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	COMMENTS B1	THESE INTERVIEWEES		B 5 50
	ON MACSOG'S OPERA	ATIONS AND INTELLIGENCE		1
	(In Chrone	ological Order)		13
	<u>Name</u>	Ausociation with MACSOG	Tab	15 16
	Col Clyde R. Russell, USA	Chief, MACSOG Jan 1964 - Jun 1965	A	200 · · · · · · · · · · · · · · · · · ·
4. F	Col William R Becker, USAF	Chief, MACSOG Air Operations Jan 1964 - Dec 1964	B	19 20 21 22 23
*	LTC David H. Arno, USAF	Staff Officer, Air Operations, MACSOG Jan 1964 - Mar 1964 (assigned to Hq PACAF	. C	24 25 26 27 28
-		and TDY with MACSO7)		<u>29 ° </u>
	Gdr Kenneth N. Bebb, USN	Staff Officer, Special Plans, MACV J-5 Apr 1965 - May 1966 Chief, PsyOps Office, Special Operations, J-3, Hq PACOM Jun 1963 - Apr 1965		31 . 1 32 . 33 33 . 35 35 . 36 37
	Col Donald D. Blackburn, USA	Chief, MACSOG Jun 1965 - May 1966	E	37 38 39 40 40 41 42 43
<u>.</u>	Co! John T. Moore, Jr.,	Deputy Chief, Operations Branch, MACSOG Jun 1965 - Jun 1966 Chief, Special Flans Office Directorate of Plans, Headquarters, USAF]	44 1 1
		Jun 1966 - to date: Jul 196	69	47
	LTC Ralph R. Garrison, USAF	Assistant Air Operations Officer, MACSOG Sep 1965 - Sep 1966	· 6	49 50 51 82
	LTC Ernest T. Hayes, Jr., USA	Plans Officer and Strategic Technical Directorate (STD) Liaison Officer, MACSOG Oct 1965 - Nov 1965 STD Liaison Officer, MACSOG Sep 1968 - Jun 1969 Chief, Operations-34 and STD Liaison Officer, MACSOG Jun 1969 - to date: Jul 1969	H	45 47 47 49 55 55 55 55 55 55 55 55 55 55 55 55 55
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-	Name	Association with MACSOG	<u>Tab</u>
	LTC Vincent W. Lang, USA	Chief, Plans and Senior Advisor, Camp Long Thanh Dec 1965 - Nov 1966	I
	Col Robert C. MacLane, USA	Chief, Airborne Operations Section, MACSOG May 1966 - May 1967	J
	Col John K. Singlaub, USA	Chief, MACSOG May 1966 - Aug 1968	ĸ
- °	Col Dennis P. Casey, USMC	Chief, Operations Branch, MACSOG Jun 1966 - May 1967	r
2	LTC Jonathon D. Carney, USA	Deputy Director, Operations-35 (SHINING BRASS/PRAIRIE FIRE, DANIEL BOONE/SALEM HOUSE, IGLOO WHITE) Aug 1966 - Jul 1967	M
	Capt Bruce B. Dunning, USN	Special Operations Division, OSACSA, Staff Officer & Division Chief Aug 1966 - Nov 1969	N ·
	LTC Kenneth W. McNiven, USAF	Assistant Air Operations Officer, MACSOG Sep 1966 - Sep 1967	0
<i>(</i> - ,	Col Benton M. Austin, USA	Chief, Operations-35 (SHINING BRASS) Chief, MACSOG Operations Sep 1966 - Sep 1967	P
	LTC Harold J. Rose, USA	Commander, C&C Detachment North, MACSOG Sep 1966 - Sep 1968	Q
)	Col Eugene A. Wahl, USAF	Chief, MACSOG Air Operations Section Dec 1966 - May 1967 Deputy Operations Officer Jun 1967 - Dec 1967	R
-	Col Robert C. Kendrick, USA	Chief, Special Operations Branch, Office of the Assistant Chief of Staff for Operations (J-3) Hq PACOM Dec 1966 - to date: Jul 1969	S
	Col Robert C. Kingston, USA	Chief, MACSON Omerations-34 Mar 1967 - Aug 1969	T
	Col Harold K. Aaron, USA	Commander, lst SFG Jun 1967 - May 1968 Commander, 5th SFG Jun 1968 - May 1969	U

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Name	Association with MACSUG	Тав
Col George A. Maloney, USA	Chief, Operations Branch Special Operations Division OSACSA Jun 1967 - Apr 1969	Tab V W X
Staff Sergeant Russell D. Allen, USA	Advisor, Operations-34 Reconnaissance Teams, MACSOG Aug 1967 - Dec 1968	W
LTC Jefferson Seay, III, USA	Liaison Officer, MACSOG, to the Strategic Technical Directorate Jan 1968 - Sep 1968	x
Col Robert L. Glesson, USAF	Deputy Chief, MACSOG Mar 1968 - Mar 1969	Y
Col Stephen E. Cavanaugh, USA	Chief, MACSOG Aug 1968 - to date: Jul 1969	z

Annex N to Appendix B

COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE COLONEL CLYDE R. RUSSELL, USA 3 4 . . . Once . . . /OPIAN 34A7 was approved and the military took over, everyone wanted immediate results. The <u>5</u> biggest mistake in OPLAN-34A was the assumption that we would <u>6</u> (b)(1) 7 take over assets in being. (P)(3) <u>B</u> 9 <u>10</u> 11 12 13 14 <u>15</u> - a naval hase commanded by a <u> 16</u> questionably capable major in the Vietnamese Army. Later on 17 I did everything I could to get rid of him.* He was entirely <u> 19</u> _ineffective. (6)(1) 20 (b)(3) <u>21</u> 22 <u>23</u> 24 <u>25</u> <u> 26</u> 27 28 <u>29</u> 30 * (TS) Interview of Colonel Clyde R. Russell, USA, pp. 1-2. <u>31</u> Tab A to Annex N to

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Appendix B

	1
I stress the importance of weather reporting	2
in this type of operation. We had to know the winds aloft to	<u>3</u>
get the leaflets in on-target. We needed accurate wind	4
information for paratrooper operations. We had to know the	<u>5</u>
tide condition, the wave condition, and the beach conditions.	<u>6</u>
We had to have weather information from below the surface of	7
the water up to 20,000 or 30,000 feet in_order to run this	8
operation. I must say, that once we got the satellite weather	9
program over there, we had as fine a weather facility as has	10
ever been my pleasure to work with and it was required, it was	11
used, and was a real asset to the operations. We certainly	12
should make preparations for accurate weather reporting for any	<u>13</u>
operation of this type in the future.*	14
One of my big disappointments was that we could not	15
start a resistance movement in North Vietnam. I feel that had	16
we been able to do that, get it started_in 1964, we would be	17
in a much better position at the bargaining table today, and	18
we could have had a counter_organization for the NIF	<u> 19</u>
Looking back, had we started in 1964, I am quite confident we	20
could have quite a guerrilla effort going in NVN today and it	21
would have put us in a real good position from a bargaining	22
standpoint. I can't understand why, as a nation, we take such	23
a dim view of guerrilla warfare that we run and yet it's one	24
of the best operations that the communists have been running	<u>25</u>
against us We must get beyond this, in my opinion, and get	26
into the guerrilla operation type thing if we are to face up	27
to Africa and South America where problems will come from in	28
the future.**	29
	<u>30</u>
* Ibid., p. 4. ** Ibid., p. 5.	<u>31</u>

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1 During my time, we were restricted from going into Laos 2 at all, although the Vietnamese did make liaison with Vang Pao. <u>3</u> Vang Pac was running the Meo group in Laos and doing quite well 4 with what he had to work with. He was under-equipped at the <u>5</u> time and he had tribal problems which is true of that group 6 of people, but he was willing to cooperate with the Vietnamese. 7 My counterpart, Colonel Ho, visited this man on several 8 occasions. I sent Colonel Eddie Partain with him on one of 9 these operations. He was picked up by the US Embassy even 10 though we had cleared him for going into Laos. He was expelled <u>11</u>` from the country with no questions asked. We were extremely 12 · disappointed. - At that time we were forbidden from sending <u>13</u> anybody else back to make liaison with Vang Pao. The 14 Vietnamese disregarded this and continued their liaison with <u>15</u> Vang Pao. I was concerned in that they might make an arrange-16 ment with him and we would lose control of the operation and 17 have no influence. Of course, we will probably always have . 18 influence through logistics and money. But, it would have been <u> 19</u> very hard to control once they got together and made the 20 arrangements. Had Ho been more aggressive, I'm sure that he 21 and Vang Pao could have worked out something because Vang Pao 22 was willing at that time to co-perate in any type of cross-23 border operations that we wanted to run from Laos into MVN. <u>24</u> I couldn't say that Vang Pao and Ho would have run successful <u>25</u> operations, but I did finally get Ho in when he was . <u> 26</u> uncooperative and threatened him with cutting off logistic 27 support for any operations through Laos. I told him we would <u> 28</u> absolutely not support it until my government was read in on <u> 29</u> it. He accepted this.* <u>30</u>

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Ibid., pp. 5-6.

Tab A to Annex N to Appendix B <u> 31</u>

could have done anything up and down the coast within the limitations of the weight of the ammunition and people you want. We could have had complete control of the coast up to a 4 depth of three or four miles, in my opinion, and could have <u>5</u> done any type of operation from prisoner taking to putting <u>6</u> 7 radios on the dining room tables or doing anything we wanted 8 to, e.g., blowing up water systems (which we did). When the 9 boats went in, the North Vietnamese people were scared and their 10 regional forces or national guard forces were no problem at 11, 12 The air operations were more of a problem. Our 13 problem here was what would our agents do once they got incountry? I don't think we'd have had a problem recruiting ___ people had we been talking about a guerrilla operation up___ 16 there where they could have gone up and recruited people and <u>17</u> started tearing up the countryside. It's hazy where the restriction came that they could not recruit and we could not start a 18 <u> 19</u> guerrilla movement. We could never get concurrence for this 20 in-country. CAS would not concur in this effort, the ambassador <u>21</u> was not strongly for it even though the military wanted to push <u>22</u> it. While I was there, the paper never got out of country . <u>23</u> requesting that we start a strong guerrilla effort up there. I 24 do know at one time in one of the briefings that we were told <u>25</u> to tell the team that they would not make contact with the populace in the north and at that time it became strictly a <u> 26</u> -, <u>27</u> psychological operation as well as an intelligence collection 28 operation. You don't collect much intelligence when you're 29 hiding in the hills trying to protect your life. Really, they <u>30</u> were running around the woods dropping a few hand-printed <u>31</u> leaflets and it was a totally unacceptable operation. We should

I've covered maritime operations and again I think we

* Ibid., pp. 7.

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have real strong guidance on what we want these people to do and, of course, my fixed opinion is it should be a guerrilla effort.*

4 When we took over, we found we had a number of so-called <u>5</u> agents who were not qualified for anything. They had been 6 on the payroll for a good number of years and they liked the <u>7</u> pay, but when we got ready to commit them, they were not eager 8 to go. We did commit most of these people without very high 9 expectations at the time they were launched. We didn't expect 10 them to come up on the air in some instances; we knew they would <u>11</u>` surrender immediately upon landing, and they did. This is one -<u>12</u> of the reasons for our lack of success in the first of the . 13 airborne operations. Later on, when we got confidence in the 14 teams and, again, I feel this is due to more adequate training <u>15</u> and more rugged training to where they were properly trained <u> 16</u> and properly motivated, then we had less trouble in infiltrating 17 the teams and getting them to want to go. The original assets 18 we had in this effort were not capable of going anywhere and <u> 19</u> we had to get rid of them; at the same time, we couldn't turn <u>20</u> them loose in South Vietnam because they'd been briefed and <u>21</u> rebriefed on operations in North Vietnam. Our solution was to 22 put them in the north; many of them were captured. ** <u>23</u> --- I do feel that once you commit teams that you must do every-24 thing you can to support them if you're going to have the <u>25</u> effort succeed. You can't abandon people because invariably <u>26</u> the word gets out and the rest of the teams will know. So, <u>27</u> once you make up your mind you're going to quit supporting <u>28</u> the people you have in an area, you better cancel the entire <u>29</u> operation because its chances of success are real limited. I <u>30</u> don't know how many of the teams were compromised. We had this <u>31</u> one instance where we used codes and recodes and cipher codes

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^{*} Ibid., pp. 7. ** 1bid., pp. 7-8.

and I am sure that, with enough pressure, they compromised the	<u>1</u>
codes even though it's a difficult one to compromise. In the one	2
instance where we had the airplane badly shot up, we were	<u>3</u>
convinced that that team had been compromised and yet, after	4
the shooting, they did come back on the air and said that	<u>5</u>
they had heard the shooting in the distance. It was a mountain	<u>6</u>
or two away. We were never able to really determine whether	7.
they were compromised or not. It's one of the real problems	8
of this type of operation to know whether they are or not. ARIES,	9
living in a highly populated area sent out long, long messages	<u>10</u>
and has been doing this for years you worry about his being-	77,
compromised, whether he is or not; yet, some of the information	12
he sends out is fantastic. The messages right after the first	13
air strikes were raw do-it-again type affairs and you wondered	14
if a man would truly send something like this out, encouraging	<u>15</u>
you to make more air strikes, had he been compromised. You	<u>16</u>
can't follow this line of reasoning, and yet the man was	<u>17</u>
extremely enthusiastic when we launched the first air strikes	<u> 18</u>
in the north.*	<u>19</u>
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To be the same of the control of the	<u> 26</u>
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* Ibld., pp. 8.	

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	1
. YE	2
COLONEL WILLIAM R. BECKER, USAF	3
There were some questions that were just simply	4
unanswered. We did at times seek answers to these questions,	<u>5</u>
but we did not stir up a great deal of interest in providing	<u>6</u>
us the answers. One of the unanswered questions really was	7
the extent of cover that the military personnel should be under	<u>8</u>
in their operations over there. Were we really going to try	9
to cover them in the sense that they were not acknowledged as	<u>10</u>
military personnel to anyone within the country, or only to a	<u>11,</u>
select few within the country; or were they to be openly	12
military personnel within the country except to those people	<u>13</u>
that were going to be the agents, to be dropped outside of the	14
country and then consequently subject to compromise? We made	<u>15</u>
a long, gallant attempt to obtain some answers to this type of	<u>16</u>
policy question and drew almost a complete blank; there did not	<u>17</u>
seem to be anyone really interested in it as a significant	<u>18</u>
question of policy pertaining to the extent, ef_cover we were	<u>19</u>
to provide the growing SOG operation as far as its aircrew	20
personnel and in-country presence were concerned.*	<u>21</u>
There was also the problem of determining adequate cover	<u>22</u>
for the entire operation in the event of trouble. What was	<u>23</u>
going to happen in case we lost an airplane over North Vietnam?	<u>24</u>
We did not have an adequate plan and we had a little difficulty	<u>25</u>
trying to get even an inadequate plan that we had coordinated.	<u>26</u>
The plan simply was a repeat of what the CAS people had used	<u>27</u>
which was rather a thin and unimaginative sort of affair; and	28
it really did not answer any of the hard questions. The	<u>29</u>
mirplanes that we were using (C-123s) were so obviously attribut-	30
able to the United States; i.e., the C-123 had never been sold	<u>31</u>

* (DS) Interview of Colonel William R. Becker, USAF, pp. 5.

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on the commercial market abroad and no one would have bought it. The plane was clearly identified as a US aircraft. There was some attempt to sanitize them, i.e., to make them not directly attributable to the United States Air Force. For example, there were parts on the aircraft that had serial numbers stamped on them that said the aircraft went through the depot at San Antonio only two months before -- this type of thing. There was some attempt to rectify this. The attempt, however, was far from

* Ibid., pp. 5-6. ** Ibid., pp. 6-7.

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With regard to our resupply operations, one of the first	3
problems that we ran into was the teams' locations, i.e., the	2
locations where the teams were dropped or existed in place	3
when we took over the operations. At that time, we assumed the	4
responsibility for resupplying the existing teams and for taking	5
the teams that had been trained by CAS and emplacing them. Most	6
of the drop zones had already been selected. They were simply	7
waiting for suitable weather or for completing the last few	9
moments of training for emplacement. Washington had already	9
cleared the location where the teams would be emplaced. A good	10
part of our initial operations then were essentially already	11
cast in concrete. There was really no opportunity for a	12
dramatic shift in the operations. The teams were already in-	13
place and required resupply. A good part of the air operations	14
was concerned exclusively with resupply in the sense that the	15
air operations were so meager that all they were able to do,	16
and they were not able to do this very well, was to attempt	17
to keep up with the resupply problem as opposed to being able	18
to emplace new teams.*	19
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* Ibid., pp. 7-8.	31

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u>1</u>	
BY	<u>2</u>	
. LT COLONEL DAVID H. ARNO, USAF	<u>3</u>	
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one of the main problems was the fact that	<u>5</u>	
CINCPAC never did write a 34A plan which tasked the components	<u>6</u>	
to support the operation. As a result, we were operating from	<u>7</u> .	
a MACV plan which did not provide any clear statement of	8	
responsibilities, functions, and missions of the components	9	
toward 34A operation. This problem was reflected in the	10	
obtaining of personnel, approval of the tables of distribution,	11,	
budgeting, providing equipment and basic support to aircraft	12	•
and this type of thing. For example,	13	(b)(1) (b)(3)
we also	14	102122
provided the necessary maintenance personnel; however,	<u>15</u>	
there was no clear understanding as to just whom the maintenance	<u>16</u>	
personnel were assigned to, how they were to be controlled, the	17	
status of normal Air Force procedures, rules, regulations,	18	
flying safety; these types of things were completely omitted	<u>19</u>	
from any real guidance. Thus, the maintenance personnel working	20	
on the aircraft had no clear source of spare parts. I feel	<u>21</u>	
that had CINCPAC prepared an OPIAN tasking the various components	22	
and forming a very definite organization for control of the	23	
resources necessary for SOG operations that MACSOG would have	<u>24</u>	
gotten much better support and, in the long run, would have -	<u>25</u>	
gotten it faster.*	26	
Some of the organizational problems, of course, overlapped	<u>27</u>	
with the haste to become operational and were a little bit hard	28	
to separate out as being one category or the other. For -	<u>29</u>	
example, the original 34A OPIAN stated a requirement for six	30	
additional C-123 type aircraft. However, there was no statement	<u>31</u>	

* (28) Interview of Lt Colonel David H. Arno, USAF. pp. 1-2.

Tab C to
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Appendix B

(xD) Interview of it cotonet bavio n. Armo, usar. pp. 1-2.

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as to flying hours required, sortie requirements, this type of thing, upon which the air component that was to provide the aircraft could make any rational determination as to whether this was the real requirement or not. Also, there was no clear statement that the Air Force was, in fact, responsible for providing them. In the exchange of message traffic between MACV. CINCPAC and Washington the transfer of six C-123s was approved. There was considerable confusion in SOG as to exactly how they wanted the aircraft configured and what type of equip-10 ment they wanted aboard in the way of ECM gear, flight recorders, <u>11</u> etc. Saigon had also stated that they wanted the aircraft to 12 be sanitized without any clear definition as to what they meant <u>13</u> by sanitizing the sirplane. To the Air Force, sanitizing an 14 aircraft meant completely removing all identification marks 15 and serial numbers from the aircraft and all of its component 16 parts, black boxes, etc. so that nothing on the airplane could be 17 officially traced to the United States. This, as can be recognized, is an expensive and time consuming process since the airplane has to be completely disassembled and then reassembled. When this was explained to Saigon it was understood then that they did not require this degree of sanitizing. The decision was then made as far as sanitizing was concerned to merely paint the airplane, to remove the tail numbers, and to remove flight records or maintenance records from the aircraft. Once the decision was made for the Air Force to provide the C-123s, the Air Force responded quite rapidly. Special training schools were established to provide for the operation and maintenance of the ECM equipment and the personnel were then transferred to Saigon as rapidly as possible without leaves intervening.*

* Ibid., pp. 2-3.

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With regard to selection of the C-123 as the aircraft to be provided to SOG, the Air Force was never asked its opinion as to whether or not this was the best aircraft or as to what type of equipment in the way of ECM gear, radars, flight recorders, navigation equipment should be provided on the aircraft to perform its mission. In all probability, at the time we were providing this aircraft in 1964, the C-123 was the best airplane immediately available for this use. We did not have the more sophisticated C-130s at the time.

To clarify the use of

the term "mission" here, I am talking about PACAF's or the Air

Force's mission and responsibility to support this SOG activity. Although the flight crews were trained, this lack of assignment of specific responsibility caused unnecessary delay and an exchange of telegram messages between Saigon, Hawaii, and the States to provide for the coordination required.*

Another example as to the implications raised by the lack of better or different organization was in respect to aircraft accidents. One of the SOG C-123s had an aircraft accident with US personnel aboard and immediately there came the problem of how do we handle this one. Since the aircraft was not flying under USAF regulations, was not even on the Air Force inventory, but did have Air Force personnel aboard, there was

* Ibid, pp. 3-4.

Tab C to Annex N to Appendix B

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the question of responsibility for an aircraft accident investigation who was to do it, was it to be done at all, what was to be the classification of the accident investigation. It wasn't until about the third accident involving the C-123s that these problems were straightened out. I might add that the SOG aircraft did have a rather high nonoperational accident rate. It was felt at PACAF that this rate was attributable to the lack of standing operating procedures and flying safety programs that are normally found in an Air Force unit. As a result of some of these accidents, the procedures were tightened up and the operation was, in effect, run more along the lines of a standard Air Force unit.*

. Another problem area which might be discussed is the haste for the SOG to take over the CAS operations and to become operational. I feel that had the SOG taken a little more time to organize before initiating operations they could have been accomplished much smoother and with greater understanding of what SOG was really trying to do. Also, had some attempt been made to establish the organization before assuming responsibility, the required trained and experienced people could have been assigned to SOG prior to its assumption of responsibilities. To this end, none of the original Air Force personnel, at least, assigned to SOG (PCS to SOG) had any previous background in unconventional warfare operations. This is despite the fact that at "Hurlburt we did have a group of personnel there trained and experienced in unconventional warfare operations and in coordinating these operations with the Army. In the haste to become operational, the original Air Force personnel were taken from resources available to the 2nd Air Division. I say this not to cast aspersions on the personnel selected because, in

* Ibid., pp. 4.

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my mind, they did do an outstanding job of providing support for the air operations conducted by SOG, but they did this without a background of training and experience.*

Also, as a result of the haste to become operational, SOG 4 merely continued to do what CAS had been doing without any <u>5</u> real change in direction, scope or effect on the program itself. 6 CAS operations to that time had been less than spectacular and one of the main reasons for expanding the program was to obtain 8 more effectiveness. This haste to become operational also 9 relates to the basic organization itself. Had a JUNTF been 10 formed, it is felt the necessary personnel would have been <u>11</u> obtained prior to the headquarters actually assuming respon-12 sibility for the mission. This is particularly true since there <u>13</u> was no real haste for transferring control of the operations 14 <u>15</u> and SOG continued to use CAS procedures and CAS assets to 16 continue the operation. **

In a closed and controlled society like North Vietnam,

development of resistance movements is not an easy thing to do.

However, in the early 1964 time frame, before the aerial

bombardments had caused the massive relocation of Vietnamese

from the major cities, it is quite possible that in the various

tribal areas, particularly along the North Vietnamese-Lao

border, a resistance base could have been established.

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However, it must be 27

recognized that implicit in the development of this resistance

movement is the moral responsibility to provide for the

requisite safety, evacuation, etc., of indigerous personnel

in the movement, etc., in case of need. . . ***

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Tab C to Annex N to Appendix B (b)(1) (b)(3)

^{*} Ibid., pp. 4-5
** Ibid., pp. 5.

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	ī
BA	2
COMMANDER KENNETH N. BEBB, USN	3

The cumbersome approval system that was established in the maritime program made it difficult for SOG to take advantage of current operational intelligence. If, for example, SOG planned a kidnapping at a specific village above the parallel, by the time they got the approval to carry it out, the situation would have changed drastically so that the mission would have to be aborted.

SOG Naval officers requested both on visits to CINCPAC and by message authority to put US nationals on board to improve the efficiency of the operations. This was denied on all but one occasion when we had the OIC of NAD Danang go out with the Vietnamese on an air-sea rescue mission prior to sir strikes in North Vietnam. In summary, I felt that SOG should have had approval to conduct certain types of missions without going to Washington for approval.*

In addition to being the action officer on CINCPAC Staff for the MAROPS, I was also the mine warfare officer for the 34A program. Admiral Felt devoted a great deal of effort in providing a few elite Vietnamese with aerial mine training so that they could under the 34A proposed mission plans carry out mining operations in North Vietnam to bottle up the SWATOWS (as Adm Felt used the term). In conjunction with MACV, we devised a unique plan of sheep dipping American carrier-based aircraft and naval pilots so that they could conduct mining operations in Haiphong and two or three of the smaller ports. The Vietnamese would fly missions from SVN bases (Danang). train the Vietnamese in this mining operation in AlEs, the

⁽²⁸⁾ Interview of Commander Kenneth N. Bebb, USN, pp. 3.

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Navy made preparations with their mine warfare people at CINCPACFLT and at other commands to provide the mines and other support equipment to carry out this mission. Adm. Felt sent three naval aviators to Vietnam to work with the 34A Vietnamese pilots and to train them in this mission. When they were in the last part of their training, he sent me to Vietnam for a couple of weeks to evaluate their training and to report to him on my assessment as to whether they were capable of carrying out the mission. With maximum cooperation from the Air Force in Vietnam, the training was accomplished and they were ready to carry out this mission permission was never received to conduct any mining with the 34A pilots up north.*

Admiral Felt was extremely frustrated with the progress of MAROPS during the winter of 1964. He ordered General Milton, his deputy, and myself down to VN to find out why the PT boats were unable to go out on their missions and why they were always cancelled because of weather. We investigated this for him and concluded that winter operations out of Danang in VN were marginal and a great deal of time was lost because of the high sea state and high winds. There was no solution for this problem. But it was difficult to convince Washington that mother nature had control of us during the Monsoon seasons.**

of our UW forces was never used ... Many excellent proposals 25 were recommended by SOG and supported by CINCPAC to conduct 26 submarine underwater SEAL operations in Haiphong and other 27 areas in NVN. During my tour in CINCPAC and MACV I can remember 28 no US submarine operations up north.**

. . . . The full capability

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^{* &}lt;u>Ibid.</u>, pp. 4-5.

_	I witnessed a great deal of friction between SOG and J-2	Ī
(during the latter part of my tour the J-2 at	2
1	MACV, was very reluctant to accept intelligence data from SOG	3
	sources. As an example, we spent a great deal of time in J-5	4
	assisting SOG in convincing General Westmoreland that their	<u>5</u>
	sanctuaries in Cambodia did exist and that the proof was readily	<u>6</u>
	available by photographs from some of our ground cross-border	<u>7</u>
_	operations *	8
•	On completion of my tour in MACV Special Plans, it was	9
	my opinion and also the opinion of other officers that were	10
	with me in J-5, that the 34A operations became unrealistic once	<u>11</u> `
$\overline{}$	the United States started overt air operations in NVN. The	12
	program was no longer covert. In my estimation it was not even	<u>13</u>
	clandestine.*	14
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	* Ibid., pp. 5.	<u>30</u>
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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE

PX

COLONEL DONALD D. BLACKBURN, USA

. . . The original agreement (CIA/DOD) . . . said that a resistance movement could not be organized without

approval of higher authority.

(b)(1) (b)(3) -

The concern was that a

resistance movement might get out of control and on cessation of hostilities leave the people out on a limb — the Bolovens operation is a case in point. To be really successful, a more viable national front organization and more flexibility in operational techniques were needed. My idea was to establish cells in the north and develop a system to extract people from the north for external training and reinsertion as well as inserting people from SVN who were Northerners. Indigenous assets from areas continguous to NVN would have also been useful in such an operation if permission to recruit them could have been obtained. The modus operandi that prevailed amounted to a "one way street" for the team personnel with no hope of return.*

I developed a plan for a front organization and briefed 25 the country team. The idea was that phantom agencies would 26 be established in Paris and Hong Kong, etc., so as to provide <u>27</u> plausible denials of controls by GVN and USG and give credence 28 to the idea of a real resistance effort. This would be the 29 national front organization, with the low-level movement 30 conducted by infiltrated teams, tribal contacts in the north-31 west area of NVN, and other oppressed elements of the population. 32

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^{* (25)} Interview of Colonel Donald D. Diackburn, Win, pp. 1.

^{# [25]} interview of Colonel Donald D. Dlackburn, UDA, pp. 1.

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For instance, So Kha Tien (phonetic) an evacuee Meo chief from	1
Northwest NVN, was willing to lend his support in recruiting	2
and establishing contacts locally available in SVN as well as	3
in NVN. The idea would be to collect intelligence and establish	4
cells and contacts. Ambassador Lodge was briefed and endorsed	<u>5</u>
the front concept. In addressing this matter to the JCS through	<u>6</u>
CINCPAC the intent and concept was misinterpreted or misunderstood	<u>7</u>
by members of the latter staff. It was construed to be tied to	8
the GVN. Therefore, CINCPAC forwarded the plan to JCS with a	<u>9</u>
recommendation for disapproval. This was unfortunate because,	<u>10</u>
with publicity, it could have been a parallel to the NLF and	11
could have provided something more credible than the Sacred	12
Sword of the Patriots League to tie operations to. A viable	<u>13</u>
cause would have been the basis for successful operations rather	14
than using money as a team motive.*	<u>15</u>
	<u>16</u>
Assuming that SOG was charged with "deniable" missions	<u>17</u>
by 1ts 34 Alpha Charter, why was it necessary to treat MAROPS	18
in the same light as the bombing halt? However,	<u>19</u>
the logic behind deniable operations that were lending credence	20

the logic behind deniable operations that were lending credence to the Sacred Sword activities has been destroyed. In essence we have shown our hand behind the operations that were to support it. It can't be reconstituted. . . . **

<u>23</u> <u>24</u> <u>25</u>

<u> 26</u> <u>27</u> <u>2B</u> <u>29</u>

<u>30</u> Ibid., pp. 2. Ibid., pp. 3-4. 31

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	ī
BY	2
COLONEL JOHN T. MOORE, JR., USAF	<u>3</u>
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I spent most of my time at the outset, digging into the	<u>5</u>

I spent most of my time at the outset, digging into the air operations themselves and noted several things. First, that we expanded the agent operations up North, we were stretching the range limits of the aircraft. I noted also that we were extremely limited operationally because of the capabilities of the C-123. We had to fly in the light-of-the-moon periods and even then we were limited to flying at times when the moon was at least 300 above the horizon. It all boiled down to the fact that out of any single complete moon phase period, we only had four days in which we could operate. This meant that if we failed, by virture of bad weather, to get an operation off in that four-day period, we were automatically forced to reschedule it for the next moon period. Another important limitation on the C-123 was its inability to fly in weather at low altitude. All these operations had to be conducted at low altitude to avoid radar detection and the air defense threat. We prepared a study, which I personally conducted, to highlight these mission aircraft shortcomings and concluded that we needed an especially configured C-130 to overcome the operational limitations imposed upon us. We submitted this study to CINCPAC and it eventually ended up in the Joint Chiefs of Staff. However, this was not the first time that C-130s for this mission had been requested. MACSOG records indicated that there had been several attempts to obtain them; however, they had always been turned down. We felt that one of the reasons was that there was not sufficient justification for the C-130s. The purpose of the study really

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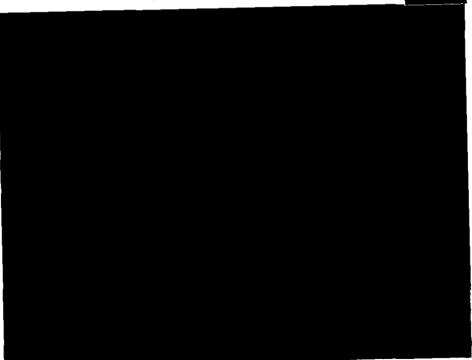
was to document in detail the shortcomings of the MACSOG air capability and to fully justify getting an improved capability. Eventually, we were successful, although I had finished my tour with MACSOG before the modifications on the C-130 aircraft were completed and they were delivered to the theater.*

With respect to the air operations, I noted that they

were categorized as being covert in support of covert ground operations, namely the agent teams in NVN. Looking into this, I found that, in fact, we were using third country crews, namely the Chinese to fly the C-123s. These aircraft were especially configured for the mission with defensive equipment, etc. Moreover, there were approved cover stories

Neither of these was

very plausible. They were rather weak, in my opinion.



* (PS) Interview of Colonel John T. Moore, Jr., USAF, pp. 1-2.

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Tab F to Annex N to Appendix B

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The fact that

they were Chinese was rather close hold information. **

The aircraft themselves were not sanitized to the extent that they could be plausibly denied as being a US Government airplane. The only sanitization of the aircraft that had been done and was done before each mission was to remove all tags and any of the other documents or papers that would indicate . the unit of assignment. None of this accompanied the airplane; however, even a superficial investigation into any parts of the airplane would have indicated it was being maintained with USAF aircraft parts coming out of US stocks. All the equipment on board the airplane was military equipment. Navigational, communications, and ECM equipment were fairly common to quite a number of US aircraft. All the manufacturer's plates clearly indicated that they were made in the United States. There is a significant aspect of this. The reason why we did not press to get the aircraft sanitized and did not ask that the C-130s coming over be sanitized was the fact that, by the time I arrived there, we had already started the bombing operations up North. There was an overt presence of US military aircraft over Vietnam and this, in effect, negated the requirement for the air effort itself over North Vietnam to be covert. It was quite easy to explain the presence of a USAF C-123 up there. The only thing that we had to be concerned about was a forced landing or crash of the aircraft with the agent personnel

Ibid., pp. 2-3.
Ibid., pp. 3.

Annex N to Appendix B

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themselves aboard and, of course, since they were Vietnamese, we could even explain this by saying that we had evacuated these people out of a forward area and were taking them back when the pilots got lost and flew in the wrong direction and got over North Vietnam when he shouldn't have been there. This was the cover story that we planned to use but the point is that there really was no requirement for the air assets themselves to be covert. This existed from the time that I got over there to the time I left and until November 1968, when all air operations up North ceased.*

If, in fact, we would have had to conduct a true covert air operation up North by virtue of the fact that there was no other US presence there, then we would have had to develop much better covert assets, in terms of crew documentation, cover for the presence of both the crew and the aircraft and, of course, sanitization of the aircraft. There are several ways this could have been done. The aircraft could have been sanitized; however, this would have required setting up a completely separate supply system for aircraft parts from other than US military sources. Also, we would have had to develop a cover arrangement to account for ownership of the aircraft. This could have been done by one of two ways.

aircraft. This could have been done by one of two ways.

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Any cover entity that we created for ownership of the sircraft

Any cover entity that we created for ownership of the aircraft

and the crews would have automatically excluded anything associated 29

with South Vietnam as well as the United States, at least the

US Government. I doubt seriously if this type of a cover would

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^{*} Ibid., pp. 3-4.

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have been very plausible in this area of the world because of the ratner scant civilian air operations in the area. If we had been forced to go to a covert operation, probably we would have had to discard the idea of trying to keep the thing deniable by the South Vietnamese Government as well.

(b)(1) (b)(3)

One might ask why we did not establish a cover using the SSPL. To do so would have involved establishing the credibility of the SSPL in considerable depth. There would have to be realistic sponsorship of the SSPL because of the fact that you just don't acquire expensive assets without having some strong financial backing behind you. Of course, at this time all of MACSOG's attempts to establish the credibility of the SSPL in depth were disapproved -- such things as building a front organization in Saigon backed by an office in Paris, a movement in Paris, and something similar to the National Liberation Front which the Viet Cong established in Paris, Algeria, and elsewhere. Even attempts to publicize the fact that the SSPL were engaged in a resistance movement in the North and that there really were dissident North Vietnamese opposed to the present government in North Vietnam and to their policies were not approved at the Washington level. Due to its transparency, this pretty well ruled out using the SSPL as the cover entity sponsoring the air operations up North. **

. . . MACSOG did not have the capability to really make its air assets truly covert. I think one can say the same thing about the boats but to a lesser degree. The boats had a much better beginning insofar as cover was concerned

^{*} Ibid., pp. 4. ** Ibid., pp. 4-5.

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in that they were foreign manufactured; at least, the NASTYs were. however, the basic beginning was ruined by putting all US equipment, such as radar and communications that were clearly of US military manufacture, on board the boat. In addition, the equipment was manufactured under US military contracts. No attempt was made at the time the boats were configured to put commercial equipment aboard, for example. The crews on the boats were Vietnamese. There were two or three of the boat crews that had come from North Vietnam and that could have been categorized as members of the SSFL. Of course, I think you also could have brought in the other boat crews, even though they were from South Vietnam, because they could have been recruited by the SSPL. Here again, though, when you start talking about covert boat operations, you have to go back to the same thing that you do with the air. You have to have some sponsor to attribute these things to and the SSPL to which they were attributed was not credible to the depth that was necessary to stand up under close scrutiny. It was just too obvious by virtue of the operations themselves, e.g., the close coordination that was effected between the PLOWMAN operations and the overt US naval presense up there. Several times, when our boats were in trouble, US Navy aircraft off the carriers in the Yankee area came to their assistance gave away our operations. Damaged boats returning home were being picked up and escorted by a US destroyer. This close association between the overt US naval forces and the PLOWMAN forces would just about blow any cover that the latter were really and truly non-US oriented or non-US sponsored. I don't think you can honestly state that the boat operations were truly covert either.*

* Ibid., pp. 5-6.

Tab F to Annex N to Appendix E

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One other thing that was absolutely necessary, in my oplimon, to conduct covert agent team operations up North using SSPL as sponsors would have been the development of a resistance movement. None of these teams was ever able to survive up there, and all the best indications are that none of them really did survive and remain under our sole control. Most of them we believed were doubled against us. These setbacks can be directly related to the absence of a resistance movement. As a matter of fact, some of the original directives that we had when I got there said that the teams were not even allowed to make contact with the local natives. Later, this was changed slightly; there could be some limited contact made. Everything that the teams used, even food, had to be supplied to them. Although we had the capability to do this and did it, this was not the proper way. If we could have truly given the teams the mission of organizing a resistance movement, it would have done two things for them. First, it would have given them strong motivation, which they lacked, to successfully evade capture, and it would have put them in contact with the local population, which in turn would have allowed them to develop the capability for at least being completely independent of subsistence. They should have been able to live off the land. This way, we would have had a much more viable asset than we ever achieved.*

As to why we didn't get approval for instituting a

resistance, everytime we requested authority to develop it,

we were told from Washington that this was against US national

objectives and aims in Vietnam. It is true that a resistance

movement would have been counter to our overt national objectives. 29

The United States was not advocating actual overthrow of the

North Vietnamese Government; we made this statement several

* Ibid., pp. 6-7.

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times from the highest level. Of course, ostensibly, a resistance movement would have simed at that. However, this tying together at Washington, the seat of government, a covert operation and judging it against overt national objectives, to me, is an error. I think this is what we have been suffering from. We will never be able to get a truly covert operation going if we are going to continue to do this. . . .*

In my opinion, you cannot have an agent operation of guerrilla teams or anything else like this o: rating behind enemy lines without some degree of cooperation from the native population, friendly or coerced. There must be a safe haven. You can't develop this in a hostile country unless you have or develop friendly natives. The only way you can get someone friendly to you is to win him over to your side. From this standpoint. I would have to say that MACSOG was really denied the capability to conduct its first and primary mission (covert operations) over and in North Vietnam. If we had been granted authority to start a resistance movement, would it actually have been feasible? The answer to that, in my opinion, is yes. We had several very good contacts with people in the South who had left North Vietnam almost at the time of the division of the country. I don't remember the name of the tribe (it was either some of the Khas or Meos -- I'm not sure which). One of the strong leaders of these tribal people was in South Vietnam and he had the contact whereby we could recruit people from assets available in South Vietnam and which had come from certain areas in North Vietnam. We had good agent assets available that could have been put up North and made contact with their families and their relatives still living in North Vietnam. This would have given us a good beginning. Of course, we would have had to introduce them in strength, I

^{*} Ibid., p. 7.

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think, to overcome opposition from the local population until such time as they could have achieved enough friendly support from the indigenous people there. It was also quite feasible to start this capability along the Lao-NNW border and gradually spread it eastward into North Vietnam from that base area.*

Another good source of people which could have been developed in a resistance effort were the Catholics up North. There were enough of those present and enough evidence that we had available to us to indicate that they were, in fact, actually dissatisfied with the North Vietnamese Government. They were possible condidates. We had several plans to evacuate some of them from North Vietnam. From the standpoint of available assets, I think it was feasible to begin a resistance movement and I think it could have been sustained if we were willing to put the effort into it to establish the credibility of the resistance movement itself and to keep it plausibly deniable of US sponsorship.**

the very outset, and continues to do so today, is the fact that the covert operations MACSOG proposed to conduct were often disapproved with the statement that they were not in consonance with US overt national objectives or aims in Vietnam. For example, resistance, which I covered earlier. The continued attempts by MACSOG to get approval to organize a resistance movement were never intended to achieve anything more than the appearance to the North Vietnamese that there was a resistance movement. We never really entertained the thought that we were going to try to overthrow the government. We did want to create the impression in NVN though that dissidents in NVN were

^{*} Ibid., pp. 7-8. ** Ibid., p. 8.

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doing so. These attempts were turned down on the basis that they were incompatible with US national objectives. It is incorrect, in my opinion, to weigh or judge a covert operation against an overt national objective. The very fact that the covert operation has to be deniable by the United States should not inhibit us from accomplishing something that is contrary to our stated national objectives. This apparent inability at the seat of government to separate the two continues to cause us trouble and will continue to unless we can change it. I think that serious attempts should be made to ge a recognition by both the State Department and the White House that a covertmission should be decided on its own merits and not weighed against accomplishing publicly stated national objectives. Take a resistance movement, "for example. It's true that we did not want to overthrow the North Vietnamese government. We only wanted to exert enough pressure on them to make them cease their operations in South Vietnam. By the fact that we would create a resistance movement in North Vietnam with the ostensible purpose of overthrowing the government, and the fact that this was being done covertly the United States should be able to starid up and say, "We had nothing to do with that." It's not contrary to our national objectives because we're not doing 1t. Somehow or another this point seems to get lost or it is just not recognized, and consequently, we are never able to really conduct a significant covert agent program.*

At the outset of my tenure, there were a considerable number of restrictions placed upon MACSOG with respect to

lbid., p. 15.

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Received approvat for individual missions. Most of chese,	- 7
however, have been gradually lifted and the procedures have	2
been pretty well simplified. Now I think that I'd have to say	<u>3</u>
that MACSOG has the latitude to operate without any undue	4
restrictions. Any time you are going to conduct a covert	, <u>5</u>
operation, you cannot get the authority away from Washington.	<u>6</u>
There are too many political implications and there is too	7
much at stake with the United States vis-a-vis the Free World	, <u>8</u>
- the risks of exposure are too high and could cause grave	<u> </u>
embarrassment to the United States.*	<u> </u>
My association with covert operation, of course, began	_ 11 `
with my tour_of duty_with MACSOG but has continued up to the	12
present time. It has been my observation that the best arrange	- <u> 13</u>
ment for conducting covert operations is one wherein it is a	14
joint military-CIA effort. The CIA has the basic charter to	15
conduct this type of an operation and the only time that the $\ _{\ \ }$	- 16
military gets involved in peacetime, or has a charter to	17
get_involved_in it, is in support of the CIA. There can come	<u>18</u> .
a time, as is recognized in NSAM-57, that such operations by	
CIA can get to the point where they exceed CIA resources, at	20
which time the military is brought into play. In fact, if	<u>21</u>
the operation becomes big enough (and this is exactly what	<u>22</u>
happened in MACSOG), the military will take over the responsi-	, <u>23</u>
bility for conducting the operations, with CTA then assuming a	24
supporting role. In other words, they just switch chairs.	<u>25</u> -
This envisages that both parties are going to be participating	26
in the operation. As we know from history, when the military	, <u>27</u>
took the MACSOG operation over from CIA, CIA gradually, and	28
* Ibid., pp. 15-16.	<u> 29</u>
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almost completely, withdrew from the operation. <u>1</u> I think 2 this was wrong. This should have been a continuing joint effort 3 and I think that CIA should have continued to play a very strong 4 part in the MACSOG operation. This is the way we should go in 5 the future. What we need is a joint forces and a joint effort 6 and unless it gets into practically a little war of its own, I 7 don't think CIA should be allowed to drop out of it. My own 8 personal opinion is that the military should never have taken 9 over MACSOG operations completely. I don't think it got that 10 big really. I don't have the exact figures but I don't think <u>11</u> the total US military ever exceeded 150 to 200 people. I may 12 be wrong on these figures but this is not too many, particularly 13 when they are not involved in the actual operations themselves. 14 The majority of these are in a training, administering, 15 supervisory and planning role. I don't see why we couldn't 16 have done this as a continuing joint effort. The reason for <u>17</u> this, and I think we should keep this in mind in the future, 18 is that the expertise that the agency acquires in peacetime in 19 conducting covert operations is lacking in the military. It's not completely lacking because the military has people detailed 21 to duty with the agency and in this way we do acquire some <u>22</u> experience in running covert operations. . . . * 24 <u>25</u> . . at the time we were conducting covert operations I think we had the best that were available at that time as 26 <u>27</u> far as assets were concerned. We were developing the capability to introduce a much more advanced covert air capability 28 into North Vietnam when it was overtaken by events. In other 29 30 Ibid., pp. 16-17. <u> 31</u>

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words, the requirement for a covert air operation over North 1 Vietnam ceased. There was no more requirement for it. We 2 would certainly not commit this costly asset to fulfill a 3 requirement that didn't require a covert capability. As concerns 4 R&D for a covert capability, I just have to say that the very 5 nature of a covert operation, if you are going to keep in mind 6 the US Government plausibly deniability aspect, is that any 7 equipment you are going to introduce into that country or which 8 is liable to come into the hands of the enemy, such as an air-9 craft if it crashes or is shot down, you have to be very careful 10 of. It has to be sanitized to begin with. Most of the time, -11 12 we would have to use US manufactured equipment. We've either got to do one of two things with it. If we use the most 13 advanced technology, then it is readily recognizable by the 14 fact that we are this far advanced in technology. There are 15 only about two countries in the world having this capability 16 <u>17</u> -- the United States and Russia. If the operation is to be covert, we have to keep in mind that whoever is the sponsor of 18 19 this covert operation, the guy who is going to be accused of running it, has to have access to the equipment, a logical 20 access to it. We don't have an R&D program just for covert 21 operations. We may in any one specific covert operation come 22 up with a specific requirement for something and then we will <u>23</u> 24 go all out to get it. We spend all kinds of money, if that's · 25 what is needed to get the job done. There are some good 26 examples of this, where we have put out \$2 million for one 27 little damned box to do a specific job. The box was sanitized at the time it was being developed so it would be non-28 <u>29</u> attributable. This is the way it is done. There is no way, 30 in my opinion, to set up an R&D program for covert operations, <u>31</u> per se, like you do in the various Services for normal



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hardware. There is no way you can orderly do this, at least,	<u>1</u>
not in the Military Services. It is in the province of the CIA	<u>2</u>
to do this.	<u>3</u>
Insofar as the Air Force is concerned, there is an	4
organized program for R&D continued development of the	<u>5</u>
Air Force's capability to conduct covert operations. However,	<u>6</u>
this is such a close hold and sensitive program that I'm not	7.
at liberty to discuss the details here.**	8
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* Ibid., pp. 22-23. ** Ibid., p. 23.	<u>29</u>
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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u>1</u>
BY	2
LT. COLONEL RALPH R. GARRISON, USAF	<u>3</u>
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I would like to point out that during my tour we	<u>5</u>
never lost a C-123 airplane and only occasionally would we	<u>6</u>
get battle damage from small arms fire. Most of this battle	7
damage was on airlift missions rather than on the OPLAN-34A	8
missions. Because of the defense build-up in NVN, other $\frac{1}{2}$	9
methods of resupply of the already infiltrated teams had to	10
be established. Working with 7th AF we set up the missions	11
whereby teams were resupplied using F-4 aircraft. These F-4	12
missions were very successful. Our operation included both	13
Chinese crews and VNAF H-34 and A-2 crews It also included_	14
the air assets of 7th AF and US Marines which were blended	15
together, in my opinion, to accomplish a very successful	16
mission in SHINING BRASS, OPLAN 34A and Psy Ops operations.*	17
In my opinion, the principal problem area in the OPLAN	18
34A operation was weather insofar as resupply is concerned,	19
which also applied to infiltration operations. Since the only	20
vehicle that we had for operations during my tour for	21
infiltration was the C-123, our infiltration efforts were	22
severely hampered getting into NVN. This was because of the	<u>23</u>
defense in NVN which would not permit overflight of the C-123	24
to the areas of concern. We would use American helicopters to	<u>25</u>
infiltrate the teams into the northern Laos area which sub-	<u> 26</u>
sequently moved over into NVN. There were AF CH-3s that were	<u>27</u>
flown out of Nakhon Phanom. Again, 7th AF gave us support in	28
every instance which, in my opinion, couldn't have been .	<u>29</u>
improved. Although we lost no American helicopters in this	<u>30</u>
OPIAN-34A mission, we did lose one A-1 that was supporting a	<u>31</u>
helicopter operation in northern Laos.**	32

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B-n-38 Tab G to Annex N to Appendix B

^{* (}PS) Interview of LTC Ralph R. Garrison, USAF, pp. 2-3.
** Ibid., p. 3.

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE

BY

LT. COLONEL ERNEST T. HAYES, JR., USA

North Vietnam when I first came to SOG in 1964 and subsequently we infiltrated one or two teams and conducted resupply operations to those that were already in place. These teams that we infiltrated were actually reinforcements of existing teams with groups of individuals who had been trained as a team at Camp Long Thanh. There had been little real intelligence and practically no concrete evidence of successful operations on the part of the agent teams that were in place.

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* (TB) Interview of LTC Ernest T. Hayes, Jr., USA, p. 2.

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We had a special delivery technique that was involved. The bundles would be rigged with a 100-foot extension on the riser so that the bundle would actually dangle down from the jungle canopy if it landed in the trees. We used a beacon on one bundle of every resupply drop that would send out a signal that could be picked up by a small transister type radio that was carried by the team. We were quite chagrined to find out at one time that we were sending in beacons that were on a different frequency than the beacon the team had set up on the drop zone, so we had to again establish a standard operating procedure that, would insure the team was instructed to either set their radios on the frequency for the resupply bundle or to turn off the beacon that was located on the drop zone.***

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Tab H to Annex N to Appendix B (h)(1) (b)(3)

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^{*} ibid., pp. 2-3. ** ibid., p. 3. *** ibid., p. 4.

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The members of the teams were chosen from groups that were native to the operational area and we had several different tribal groupings among the various teams. This was of interest in our communications with the teams because only one of the counterpart operations officers was fluent in the tribal dialects and we relied upon him completely in translating messages to and from the teams.*

on one occasion we decided to operationally test a team and the technique we decided on was to assign a sabotage mission to the team to plant explosive charges on a bridge.

. . . The team subsequently reported that they had gone to the target and placed their explosive charges. We then requested serial coverage of the target and we did find that there appeared to be a large crack in the bridge. The one failure we had was that we had no previous coverage of the target, so it was possible that the damage existed before the coverage we requested.**

Giving missions to the teams was a challenge to us 78 because we actually lacked enough detailed information to come <u> 19</u> up with a well founded target. We did, in fact, come up 20 with the idea of trying to drop rockets to a team so that it 21 could emplace them and fire them remotely against the Dien 22 Bien Phu airfield. The rockets used were 4.5" variety and we 23 tested these at Camp Long Thanh where they did prove successful. 24 We came up with a device for laying them by azimuth and for 25 elevation using a protractor and we actually used firing 26 <u>27</u> tables that were obtained from ordnance experience. The rockets were dropped into the team operating in the Dien Bien 28 29 Phu area; ... however, I have no knowledge that the rockets 30 were ever actually fired at the target.***

^{*} Ibid., pp. 4-5. *** Ibid., p. 5.



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We were confronted with a weather problem during the Monsoon season that frequently would result in moon phases going by in which we could not fly a single mission. In the early stages of 1965, we really did not have trouble with the enemy antiaircraft threat; however, this later became a very real threat that we could not get to the teams that were in the northeastern portion of North Vietnam.*

In planning the resupply missions or the reinforcement missions to the in-place teams, weather and any information that we had on enemy antiaircraft would result in coming up with 10 sometimes three and four different flight routes into the drop <u>11</u> zone that we could use for a particular team. This really 12 didn't present a problem to us because we would have a 13 different available cargo load given to us for each of the 14 several planned routes. In making up the resupply bundles, <u> 15</u> we would always designate one or two bundles as having primary <u> 16</u> equipment that the team needed and then the follow-on or add-<u>17</u> on bundles would contain more or less a standard resupply of 18 <u>19</u> food and sometimes blankets or other clothing. This system proved quite successful and we even worked in a variation later 20 21 on in which we had one aircraft resupply two different teams on the same mission. This was in the southwestern portion of 22 23 North Vietnam, the Dien Bien Fhu/Lai Chau area . . . Incidentally, we did discover that we had more success in <u>24</u> <u>25</u> operating in the Dien Bien Phu/Lai Chau area than we did in the northern or the northeastern portion of North Vietnam. 26 <u>27</u> This was primarily because of weather. . . . ** 28

Ibid., p. 5. ** Ibid., pp. 5-6.

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<u> 29</u>

Tab H to Annex N to Appendix B

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- . . The weather also caused us to come up with a system whereby we were ready to run a mission to any one of the agent teams on any particular day. The determining factor would be the weather report on that particular day. The day being the 24-hour period in which the drop would take place; whether it was in the evening or the early morning of the following day. The early morning briefing which would be held about 7:30 would have a very detailed briefing on the weather and normally we would use all the aircraft available if we had more than one or two targets. If the weather permitted getting to two or three teams and we had two or three aircraft, we would hit each of the teams. We had had some very sad experience if, in fact, the reports we received from the teams were true and correct. We had not been able to resupply some teams for period of eight or nine months, even one year. They would run out of food and we actually had reports of agent members dying of starvation. Having realized the dire straits that some of the teams had been put in through lack of resupply, it was our policy that anytime we could get to the team, with a reasonable period of time, say spreading apart the resupplies two or three days, we would resupply them as often as possible so they would have the opportunity to cache the supplies and have some flexibility regardless of the weather. *

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The teams would take with them a basic medical kit and most often the medicine would be described as Tablet A, Tablet B, Tablet C - to be used for such and such a symptom. We managed to get the teams through most of their sicknesses though we did have several that died of illness, possibly pneumonia or TB contracted after they had been infiltrated. We had one

^{*} Ibld., pp. 8-9.

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instance in which an agent had broken a leg on infiltration	1
and by sending to the team instructions obtained from a	2
doctor here in Saigon, we were able to tell how to splint the	<u>3</u>
leg. Fortunately, after the accident, we sent in a pain	4
killing medicine and the man did survive, according to	<u>5</u>
the reports.*	<u>6</u>
	<u> </u>
Regarding the lack of success of our long-term	<u>8</u>
agent teams in North Vietnam, I feel that this could primarily	9
be attributed to the fact that we were taking people that had	10
been native to the area at one time; however, they had been	<u>11</u> `
gone so long that it was the same as inserting a group of	12
strangers into the area. I say strangers in the sense that	13
they may have been familiar with the surroundings but they did	14
not know any individuals in the area. This could be from	15
their prolonged absence or from displacement of the population	16
itself. At any rate, it was in a sense merely the process of	17
inserting a group of strangers into an environment that was	18
hostile to them. They just didn't know what to expect. I	19
can think of one exception to this. This was a team that was	20
sent into North Vietnam and the members of the team actually	<u>21</u>
had a contact who was a relative of Colonel Binh who at that	22
time was, I believe, the head of the STD. This team actually	23
spent some four or five days on the ground before it was	24
captured. In 1969, our Vietnamese counterparts managed to	<u>25</u>
come up with a film that showed the mock NVN trial of these	<u>26</u>
personnel. The case officer for the team, Major Antoine,	27
recognized the members of the team, the team leader, the	28
radio operator and the individuals and the equipment	<u>29</u>

* 1bid., p. 9.

Tab H to Annex N to Appendix B 30

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I think that the basic reason that we did not have more success with these teams was the lack of detailed information, a contact; the teams could have gone in and made contact with a friendly element. . . . *

I cannot recall any instance in which we provided any form of documentation to the team that would enable them to pass freely as bona fide residents of North Vietnam. We did use North Vietnamese currency when it was available and also from time to time we would supply old French silver coins. Money should really have been no particular problem to the teams; with the exception of EASY, and ARES none had been permitted to make contact with the local population. . . .**

... operations officers ... normally went to STS as it was then named on a daily basis, usually twice a day. The communications procedures we had set up at that time involved writing the messages to the teams in English at the SOG Headquarters and then going to the STS building and having the

message translated into Vietnamese.

We rotated this around among the various operations officers and I would say the captains and myself were at STS daily spending anywhere from one to two hours there.***

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Tab H to Annex N to Appendix B (b)(1) (b)(3)

^{*} Ibid., p. 16. ** Ibid., p. 17. *** Tbid., p. 18.

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With the bombing halt, we had a standdown completely on air operations over North Vietnam and that ended any type of activity, resupplying or reinforcing the in-place teams. We had two trained long-term agent teams on hand when the standdown and the bombing halt came about last November and we subsequently used both of these teams on operations into Laos.*

. . . I am almost completely against the concept of agent teams. I feel that we haven't made enough effort on looking at each particular target area and putting our finger on someone in the area that has access to what we want, whether it is access to an installation we would like to destroy or access to information that we are after. . . We are putting strangers into a hostile area. They have no base from which to operate. I think that the doctrine for the conduct of Special Forces operations should provide for the initial infiltration of a pilot team to conduct an assessment to find out if they actually can survive in a particular area and, if so, then to bring in reinforcements has validity over here . . . If we had to do this all over again, I think I would go back and start out with the pilot team concept and pick out some good Vietnamese Special Forces type officers and senior NCOs who could operate in the jungles, assess an area, and conduct a very detailed reconnaissance. I feel that before I sent them in I would give them a lead as to some contact in their operational area. If they made a successful contact in their area, there would be little or no need for sending in additional personnel to the area because this would increase the chance for compromising the team. Instead, there should be an effort to recruit the locals to get started with

Ibid., p. 18.

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The team members would be Vietnamese Special <u>1</u> Forces personnel trained as we feel a good US A Detachment 2 would be. The team leader and the team sergeant and maybe a 3 radio operator and assist radio operator or medic would be 4 sent to make the initial assessment. If they went into an <u>5</u> unpopulated area where they could actually set up a base of 6 operation, set up a safe area, it would be possible to call in <u>7</u>. 8 a follow-on element; successful contact in a populated area would permit recruiting.* 9

Basically, here, we are faced with a much different 10 situation than we had during WW II when we were dropping 11 small two and three man teams into France, Denmark, and 12 13 Germany, where there were always friendly elements among the population that were already organized and active. We were 14 dealing with something that was already in being. Here and in <u>15</u> North Korea, during the Korean War, we went into a complete 16 vacuum with regard to having support of any kind. Basically, 17 18 in approaching the problem of getting access into a denied <u> 19</u> area, I don't feel that we have tapped what has been established as the really correct approach, i.e., the third country type <u>2</u>0 21 operation. We have one lead at this time and I believe that 22 we are going to go ahead and make a request for it. This <u>23</u> would involve putting an individual through a long period of 24 preparation, putting him into the denied area openly on some <u>25</u> existing transportation system, commercial air or shipping, and letting him live his cover. In this case, we might consider: 26 27 Where does the shipping that goes into Hai Phong originate? 28 How would we get a man on one of these ships? How could we 29 arrange contact between a member of the crew and an asset in 30 Hanoi? This is not the way we did it. We eliminated all of 31 these steps and just parachuted somebody into a vacuum. At

^{* 1}b1d., pp. 19-20

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the present time, we have taken the US operations officer who is primarily involved in clandestine operations and are using him to plan operations that are conceived and developed to obtain clandestine access to a particular target. It is being done in a professional manner. We cannot really state that we conducted clandestine agent operations in North Vietnam. They were more of an overt introduction of a commando unit or the overt introduction of a group of people who had to hide. They were actually hidden; they weren't passing as members of the native population.*

The STRATA teams are entirely Vietnamess or Cambodian.

They are not as aggressive as US led teams and they won't seek contact where at times I feel that some of the other reconnaissance teams do seek contact. This is why the STRATA teams, in some cases, have been successful in staying on the ground for longer periods. Some of the reconnaissance teams now go in on a linear reconnaissance, a roadwatch, a river watch; some go in with the objective of taking a prisoner, sometimes interdicting a road. These various missions may be assigned to any RT; if a US led team is used and they see one or two enemy, the team will go ahead and try and get the prisoners or try and set up an ambush to either kill or capture them.

I don't feel that the Vietnamese-led teams would be as prone to do this. They are more content to leave things quiet and go ahead and observe.**

* Ibid., pp. 20-21. ** Ibid., p. 21.

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	1
BY ·	2
LT. COLONEL VINCENT W. LANG, USA	<u>3</u>
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Some of the Vietnamese agent teams were not isolated	5
properly before going off on a mission. The Vietnamese camp	<u>6</u>
commander had keys and access to the isolation area as did	2
the US types. However, on more than one occasion, the camp	8
commander, as a result of instructions from his Vietnamese	9
headquarters in Saigon, would take some of these people out, a	10
complete team, and put them back into the training program or	<u>11</u>
give them a couple of days off for R&R. The Americans would	12
find out about this team being out of camp after the damage	13
was done; the people were gone and there was nothing we could	14
do about it at that time. Frequently teams were put in the	<u>15</u>
isolation area and for some reason or other the operation was	16
aborted. The exfiltration was aborted and the teams would stay	<u>17</u>
in the isolation area, on one case I know of, for up to three	18
weeks. Then, somebody finally got the idea, we better quit	<u>19</u>
this and get them back out. So, we took them out of isolation	20
and that mission was dropped. Violation of isolation	21
procedures had an adverse effect on security.	22
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* (TS) Interview of LTC Vincent W. Lang, USA., p. 3.	28
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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	_
BY	2
COLONEL ROBERT C. MACLANE, USA	<u>3</u>
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The constraints on the teams were that they had	<u>5</u>
to keep things low key. In other words, they couldn't go out	6
and develop any intelligence nets of large numbers that could	7
turn into resistance-type operations. Any sabotage targets	8
that they were to hit had to be such that they were not too	9
well defended. They were not supposed to provoke large-scale	10
retaliation from the North Vietnamese forces or cause the	11
Chinese or Russians to get in the act. Many of the agent	12
teams weren't ethnic. They weren't familiar enough with the	13
areas of operations. Therefore, they could not recruit in	14
the areas they went into.*	<u>15</u>
	<u>16</u>
I was always told by the CAS people that it was	17
our national policy that we couldn't start any resistance	18
movement up in North Vietnam. This is why our teams were	<u>19</u>
small. They could not create too much of a problem for the	20
North Vietnamese regime. Several times STS (our Vietnamese	21
counterparts) had uncovered large numbers of assets for	22
recruitment into the program. Of course, there were many	<u>23</u>
strings attached to these recruits. Many indigenous people	24
wanted to go back to their home areas in North Vietnam.	· <u>25</u>
They were willing to allow us to recruit and train small pilot	26
teams to go in and work our Early Warning Observation Team	27
* (TS) Interview of Col Robert C. Maclane, USA, p. 1.	28
- (25) interview of Col Robert C. Maciane, USA, p. 1.	29
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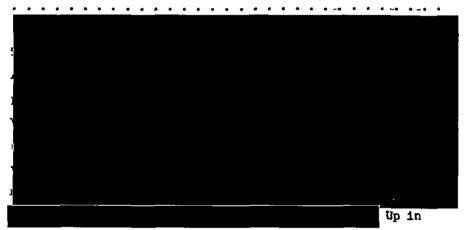
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(EWOT) type missions originally, but the agreement with them had to be that later on we would infiltrate more of their members, hoping to reestablish themselves in their old home areas in North Vietnam and eventually set up autonomous areas. The CAS people stated that we didn't have money to become involved in a large-scale resistance operation because we couldn't resupply the people and also we had to guarantee some kind of means of exfiltration for these people which we couldn't do at this time.*



North Vietnam, we had no electrical source for the radios to plug in to. We did try to work with a smaller radio. We used several versions of it on a test basis over there. We were trying to get a radio with voice as well as CW. The reason we wanted voice was so we could talk to the aircraft as they flew overhead. To my knowledge, we still have not accomplished the procurement of the small, light-weight radio. One of the big problems we ran into with radio communications over there was wave propagation. We didn't have very long times when we could get radio contact with these teams. Many

(b)(1) (b)(3)

^{* &}lt;u>lbid.</u>, pp. 4-5.

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(b)(d) 1 times we lost contact with teams for months (b)(3) 2 <u>3</u> because of static in the air. Generally, what I would recommend 4 for an agent team is to have a very small radio that one men 5 could operate. It should have a CW as well as voice capatility.* 6 7. When a team was initially infiltrated, they're excited, 8 they have to gather all this heavy equipment and cache it along the line from the landing zone to their safe area. We <u>9</u> 10 always tried to put the teams in with enough equipment <u>11</u> (supplies, equipment and food) to last them about three Ecoths, 12 because resupply up there was rather hard due to the weather <u>13</u> and drop zones being compromised. If the team jumped in or was 14 put in by helicopter, it was a long time before they could get <u> 15</u> ready to talk on the radio. All the teams were afraid; they 16 knew that was the most vulnerable time after they are 17 infiltrated and they had to get away from their drop zone or 18 landing zone. Yet, the powers-that-be always wanted to know 19 in 24 hours or less if the team had reported and how things 20 were going. To me, it is easy to see, lugging all the equip-<u>21</u> ment, caching it, getting out of the initial infiltration area, 22 that they could not work their radio without fear of compremise <u>23</u> to themselves. Later on, when they were in position, the <u>24</u> (6)(1) teams reported. They did try to come up on schedule but (6)(3) <u>25</u> did not acknowledge. This, I believe, was because of atmospheric 26 conditions. Later on, the arduous living up there sometimes <u>27</u> wouldn't permit the team to go out and crank up the radics 28

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Ibid., pp. 3-4.

because to set up the antennas and get to a high position for	<u>1</u>	
contact with radio could take several days. You just	2	
can't set up that type of radio the teams were using in any	<u>3</u>	
location. They had to move around. It might take them	4	
several tries before they could contact	<u>5</u>	
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* Ibid., p. 4.	<u>27</u>	
101d., p. 4.	28	
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COMMENTS ON MACEOG'S OFERATIONS AND INTELLIGENCE	Ŧ
BĀ	2
COLONEL JOHN K. SINGLAUB, USA	<u>3</u>
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I initiated an evaluation of the FOOTBOY	<u>5</u>
operations to determine those which appeared to have the	<u>6</u>
highest probability of success. This was done with a view	2.
toward expanding or improving such operations and eliminating	8
those that were less successful or, in fact, were frustrated	9
by the enemy. Here, I refer specifically to the TIMBERWORK	10
teams which we considered early in the operation to have been	11
doubled by the enemy. While we concluded that the bulk of the	<u>12</u>
teams had been doubled, we decided to use them for some	13
deception operations rather than to eliminate the teams	14
completely. The PLOWMAN operations were expanded. In this	15
area, we introduced a completely new concept of systematically	<u>16</u>
interrogating the prisoners that were captured for the purpose	<u>17</u>
of collecting both operational and positive intelligence.	18
Previously, this intelligence had been used internally only,	<u>19</u>
but by this realignment we were able to produce intelligence	20
reports on North Vietnam that turned out to be the only real	21
source of human intelligence coming out of North Vietnam.*	<u>22</u>
	<u>23</u>
as to whether the mission was feasible of	24
accomplishment I feel that it was but the	25
changing missions sometimes made it difficult to use the same	<u> 26</u>
resources for the new mission. I specifically refer to the	<u>27</u>
* (PS) Interview of Colonel John K. Singlaub, USA, pp. 2-3.	28
And Theelaten of Cotonet Com V. Singing ONY, bb. 5-3.	<u>29</u>
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teams, i.e., they had been recruited, and trained as leaders to conduct sabotage and demolition attacks against key targets in North Vietnam. They had been infiltrated into North Vietnam with that mission as their sole reason for being. When the bombing started in North Vietnam, it was no longer necessary to hand place demolitions to knock out bridges or railroads. So, the decision was made to realign the missions assigned to the agent teams. Unfortunately, a decision was made to leave the same individuals in North Vietnam and to convert them to roadwatch and intelligence collection teams. If think that decision was a basic error because the recruitment of the action agent is quite different from that of the intelligence agent. The training is completely different and the method of handling of individuals should be different. The new mission of intelligence collection and the establishment of intelligence collection nets was not feasible with the type of people who had already been infiltrated into North Vietnam.* Another difficulty encountered in attempting to accomplish the mission was the constraints that were placed on SOG which rendered parts of the mission impossible to accomplish. It must be assumed that the establishment of SOG meant that the United States wanted to establishment of this type of asset was that the operation could be feasibly deniable, that it could be logically denied. Of course,	situation which developed when the bombing of North Vietnam	1
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* <u>Ibid</u>., p. ?.

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Tab K to Annex N to Appendix B 31

the purpose of this deniability was to permit the government ĩ to exercise pressure on the enemy outside of its official 2 public pronouncements of policy toward North Vietnam. When 3 constraints were placed upon the operations of these teams 4 and upon the recruitment and dispatch of additional teams that <u>5</u> were tied directly to the overt announced public policy of the 6 United States toward North Vietnam, it tended to subvert the <u>7</u> original purpose of establishing SOG and made the mission far 8 more difficult to accomplish.* 9 10 11` At least two reasons were given for this: (1) The US overt policy did not advocate the overthrow of the Ho Chi Minh 12 regime. (Again this is a failure to separate the overt from <u>13</u> the covert policies of our government, and I think it was 14 wrong.) (2) There was a fear that a resistance movement in <u> 15</u> the north might get out of hand and might need continued <u> 16</u> support to permit the individual to survive in the event that 17 we agreed to a standdown of overt overflights. (Again, I 18 19 feel this is fallacious because we could have developed a capability for covert penetrations to resupply these teams 20 with the essentials for survival even though we were 21 continually denying that we were flying over North Vietnam.)** <u>22</u> This restriction against resistance operations made it <u>23</u> exceedingly difficult to recruit good personnel into the 24 program. - The high-quality personnel who had been guerrilla 25 leaders in operations against the French and who were 26 perfectly willing to go back and attempt to activate their <u>27</u> 28 Ibid., pp. 7-8. <u>29</u> Ibid., p. 9.

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organizations in the mountains of Vietnam simply would not be ٠ı recruited to go back to the simple task of counting trucks or 2 of running an intelligence net in North Vietnam unless there 3 was some hope, some promise that they would be able to retrieve 4 their former positions of power and responsibility among their <u>5</u> own people. Since we could not promise them that they could 6 ultimately lead their people in these areas, they not only 7. refused to go as intelligence agents themselves but could not, in good conscience, recommend any of their tribal members to go 9 on this type of mission unless it could contribute to their 1.0 ultimate retrieval of their former positions. This made it 11 extremely difficult to recruit people and is directly related 12 to the refusal of authority to conduct resistance operations 13 in North Vietnam.* 14

The third problem created as a result of constraints 15 placed upon our operations relates to those restrictions 16 placed on the cross-border operations into Laos and Cambodia. 17 These constraints forced us to apply the same type of 18 gradualism in the escalation of the operations which was so 19 20 singularly unsuccessful in the conventional operations against North Vietnam. The initial limitation was that there would be <u>21</u> no aircraft overflights of the border. Later, a depth of 22 <u>23</u> penetration across the border was imposed upon the operation. ____ 24 We had restrictions which limited the number of operations that we could conduct in the course of a week or a month. 25 <u> 26</u> Initially, there was no use of exploitation forces to exploit the intelligence found by a reconnaissance team. These <u>27</u> 28 restrictions permitted the enemy to adjust to these operations 29 and to take actions to reduce our effectiveness. In my 30 opinion, the early exploitation when the enemy was unable to develop defensive tactics and means of concealing his supplies <u> 31</u>

* <u>Ibid., p. 9.</u>

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would have had much greater impact on the enemy. The resulting gradualism permitted him to adjust his operations and to reduce our effectiveness in the same way that the gradualism of the US overt air campaign in North Vietnam permitted the enemy to disperse his sensitive installations, obtain antiaircraft weapons from the Soviet Union, and indoctrinate his population to withstand the bombing raids. The enemy in Iaos had the chance to give instructions to his personnel on how to counter our operations and certainly reduced the psychological impact that we initially achieved by hitting the enemy in what he considered to be his sanctuary.*

_ A fourth type of restriction placed on our operations which reduced our effectiveness was related to the use of technology to assist us. We were denied the authority to use chemical contaminants to assist us in destroying or rendering useless the very large quantities of rice and other food stuffs that we located in the enemy's supply lines deep inside his sanctuary in Laos. It was physically impossible to evacuate the rice. It was extremely difficult to destroy it by fire or by other means on the ground. We could spread the rice and hope that a rain would cause it to germinate or dissolve, but in the dry season we found that, after we had scattered several hundred tons of rice over an area, the enemy would come back in and retrieve probably 75 to 80 percent of it just by scraping it up. . We requested authority to use a chemical compound known as bitrex to place on the rice which would render it unpalatable for human consumption and thereby useless to the enemy. Some idealist in the chain of command

* <u>Ibid.</u>, pp. 9-10.

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concluded that this might be construed as the initiation of chemical warfare, use of poisons, and denied us the opportunity to employ this technology to assist us in hurting the enemy. I consider that this was a short-sighted policy decision and a constraint that definitely worked against the best interest of the United States.*

Another example of a restriction being placed on our use of technology was the denial of an incapacitating weapon to my unit. I requested of every scientist and every member of the Research and Development community, who were cleared for my operations and who were to provide us with new technology, a weapon, comparable to that used for capturing wild animals, that would incapacitate a man without killing him. I never received this weapon, despite my best efforts to get it,. because some individuals felt they could not give me a weapon having 90 percent or higher probability that the individual would not die from the shot. This was contrary to what I was interested in. I was interested in keeping him from dying and be was certainly going to die if I didn't have some way of incapacitating him. Our problem was that the man we really wanted for interrogation would be killed in the process of being captured. If we would have been able to hit him with an incapacitating agent, his probabilities of survival would have increased regardless of the type used. I again feel some idealism crept into this decision and prevented a covert operation, which is presumably deniable, from using the technological superiority that has made our country great. Again, we are tying our hands unnecessarily.*

* Ibid., pp. 10-11.

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There appeared to be a variety of restrictions and 2 constraints placed upon our operations that adversely affected 3 our ability to perform the overall mission. I'd like to 4 mention a few of these now; perhaps we can recall some of the <u>5</u> others later. First, there was the problem of the overt 6 restrictions applying to SOG operations. By this I mean that 7 there was a lack of distinction between the covert and the 8 conventional operations when standdowns were applied to the 9 SOG operation. This caused a complete compromise of the 10 covert operations because it was just unrealistic to expect 11 that the mythical resistance movement that we used as the 12 cover for the conduct of these operations (I refer here to the <u>13</u> SSPL) would standdown their operations because of the US stand-14 down which was announced publicly and was a part of an overt 15 psychological campaign against the North Vietnamese and the 16 allies of North Vietnam. The fact that the SSPL was forced to 17 standdown at the same time that the US forces stood down exposed 18 the very direct connection between the two, and this is what 19 we worked so hard to avoid. I consider this to be a poor 20 decision on the part of someone at the national level to link 21 these two together.* 22 A second problem brought about by restrictions is <u>23</u> related to the efforts to conduct unconventional warfare 24 operations in North Vietnam. We had a specific prohibition 25 against establishing a guerrilla organization in North Vietnem. 26 However, personnel were available to initiate a resistance <u>27</u> movement, and the population in North Vietnam was receptive 28 to certain motivations which would have placed them in <u>29</u> opposition to the North, Vietnamese communist government. 30 31 * Ibid., p. 8.

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TOP SECRET Leaders who had led the guerrilla movement in North Vietnam 1 against the French were in South Vietnam ready to go back into 2 North Vietnam to reactivate their resistance against Ho Chi 3 Minh's forces, and attempting to cut communications lines and 4 to reduce the effectiveness of the surface-to-air missile <u>5</u> system. We were prohibited from establishing an anti-Ho Chi 6 Minh or anti-North Vietnamese government resistance movement.* 7 8 9 The procedures 10 (b)(1) <u>11</u>` established were relatively simple. Each month, my Operation-35 (b)(3) (or the PRAIRIE FIRE Operation) would develop its plan for <u>12</u> €. operations into lacs for the next month. This plan would be <u>13</u> developed about 15 days before the end of the current month. <u> 14</u> Sometime after the 20th of the month, I personally would go 15 (b)(1) <u> 16</u> (6)(3) There I would meet with Mr. Shackley, 17 to Udorn. (b)(1) 18 the CAS Station Chief from Vientiane, (p)(3) 19 20 <u>21</u> 22 I would be accompanied by at least <u>23</u> <u>24</u> one representative from OP-35. In addition to making plans for <u>25</u> coordinating FRAIRIE FIRE operations into Laos, we would contact the J-2 before leaving Saigon to determine if he had 26 <u>27</u> any specific intelligence requirements he desired to place on 2₿ Ibid., p. 6. <u> 29</u> 30

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Tab K to Annex N to Appendix B 31

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CAS Vientiane. The meeting would take place in the course of the morning and afternoon in Udorn, as I have mentioned. At this meeting, we would present a list of our proposed targets. I would give an analysis of what we intended to do on these and why we had selected the specific areas. These targets had been sent by coordinates to CAS Vientiane several days in advance, They would have them plotted and would ask questions

After the meeting, he would report to the

Ambassador the subject matter discussed and relay to him any decisions that would represent new policy. This worked very well and we felt that represented the views of both CAS and SOG in an excellent manner to the Ambassador.**

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Tab K to Annex N to Appendix B . (b)(1) .

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^{*} Ibid., p. 19. ** Ibid., pp. 19-20.

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As I have indicated previously, I consider that it was	2
not only feasible to organize a resistance movement in North	3
Vietnam but that it was also quite desirable from the point of	4
view of exerting pressures on the enemy in a place where he	<u>5</u>
could least stand them. The enemy later, as a result of the	<u>6</u>
black psychological operations which we conducted in North	2
Vietnam, attempted to show that there was a resistance move-	8
ment in existence. He reacted to this in a manner indicating	9
that this was quite a sensitive point because both the enemy	<u>10</u>
and ourselves recognize that the hill people in North Vietnam	<u>11</u> `
have traditionally been antagonistic to the Vietnamese who	12
occupy the lowland and have made life difficult for the	<u>13</u>
mountain people. It does not make any difference whether the	14
ruling group in Hanoi is communist or non-communist, as long	<u>15</u>
as they are Vietnamese, the ethnic minorities of the highlands	<u>16</u>
will oppose them. The Viet Minh, during World War II,	<u>17</u>
successfully employed the hill people in operations against	18
the Japanese. Some of the same hill groups later joined Viet	<u>19</u>
Minh in their anti-French operations although during World War	20
II many of these hill tribes were led by French or other	21
Caucasians and conducted very efficient operations under	22
French direction against the Japanese. The hill people are	<u>23</u>
interested in maintaining a level of autonomy that will -	24
enable them to survive in their areas without being subjected	<u> 25</u>
to any controls or domination from the lowland. It is this	<u>26</u>
basic animosity toward the flatlanders, toward the Vietnamese	27
of the flatlands, that sets the stage for a good resistance	28
movement.*	29
	<u>30</u>
* <u>Ibid.</u> , p. 20.	31

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Many of the leaders of these hill tribes took the opportunity of the withdrawal in 1954 to move south with some of their top leaders. This was necessitated in some respects by the fall of Dien Bien Phu and the withdrawal of the French who were leading the active anti-communist groups, but both the anti-communist groups and the anti-French groups sent people to the south and these leaders were under or in contact with the Vietnamese counterpart of MACSOG. They expressed a willingness to return to North Vietnam to recontact their people whom they felt certain were still loyal to them; however, were prepared to do this only if they were given some assurance that they would be permitted to organize a resistance movement and to use, as their primary theme, the creation of an autonomous area in North Vietnam.*

Not all of the leaders or followers of these hill tribes moved to the south. Some of them remained in-place in North Vietnam. Others, after the fall of Dien Bien Phu, withdrew to the west and took up positions in Laos. Those of this group who belonged to the Meo tribe were recruited and hired by Veng Pao, a Meo leader who had been received by the Lao and made a general in the Royal Lao Army.

They were not, however, exercising any control over the hill tribes such as the Black Thai and the Red Thai, and the several other tribes that at this point I can't recall. These people had personnel in the border areas who would have demanded that the program of establishing resistance would have to be treated by the United States as

101d., p. 21 30 (b)(1) (b)(3)

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a regional matter, i.e., a matter which transcended the borders of several countries. This would have been difficult, I recognize, under our present alignment of responsibilities among ambassadors, but is something that could have been worked out with some effort and a little brain power.*

The measure of the feasibility of this operation must not be confused with the performance of these tribes in the roadwatch-type missions. The personnel who would have made good leaders of a resistance movement were the natural leaders of the hill tribes. These personnel were not only NOT willing to return as roadwatchers themselves but would not recommend to MACSOG any of their better personnel to do this type of mission. The leaders desired to retain the good, smarter personnel for future use, or they would not recommend members of their family to go back for fear that they would be captured on what was considered a very insignificant intelligence and roadwatch mission. I emphasize this point. Just because these TIMBERWORK teams were unsuccessful, it does not mean that this is a direct measure of the effectiveness of the resistance movement in that area if we had been permitted to organize one. **

I have felt for some time that there must be an acceptance 23 at the national level that if we are going to use covert operations to influence our national policy objectives, i.e., if we 25 are going to employ covert operations in the same way that the 26 enemy does against us, we must accept the idea that such operations must be conducted in a manner that they will be 28

* Ibid., p. 21 ** Ibid., pp. 21-22.

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deniable; further, that if they are inadvertently surfaced	1
the government must, in ract, deny them to the extent possible.	2
The policy need not necessarily conform to our publicly-	3
announced national objectives and national policy. Covert	4
operations are distasteful to many individuals who deal with	<u>5</u>
our national policy matters. It may be necessary to conceal	6
the covert actions from some of the top policy makers in order	7
to add authenticity to the denials when they are made. Because	8
they are not to be discussed publicly, because we want to deny	9
them, they must be conducted in a completely covert and	10
clandestine manner and their existence must be known to the	<u>11</u>
absolute minimum number of individuals. This is necessary not	12
only to keep the knowledge from the enemy but it is equally	<u>13</u>
important that we not destroy the credibility of our senior	14
leadership by having it deny existence of operations that a	15
large segment of the population knows actually is taking place.	16
I think that this is an important reason for limiting the	<u>17</u>
access to this type of information. It is important that the	18
knowledge of these operations be at a sufficiently high level	19
that they are not running counter or not counterproductive	<u>20</u>
to the other efforts of our government although that need not	21
be a criterion; it is often desirable to conduct an operation	22
that appears to be counter to our national interest for the	23
reason of authenticity of the operation. This is particularly	24
true in black operations, when you are trying to ascribe certain	25
activities to a mythical organization that is not connected	26
with the United States Government.*	27
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* <u>161d.</u> , pp. 36-37.	29
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Tab K to Annex N to Appendix B

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. . . I am . . . convinced that the overall impact of the covert operations was very beneficial to the United States. The possession of this capability creates in the minds of the enemy a strategic threat that he must at all times contend with.*

We do have some measure of its effectiveness by noting and analyzing the comments made by the North Vietnamese in the early days of the Paris meetings in which they wanted to make sure that the covert operations were stopped as well as overt operations. The enemy has, on several occasions, complained bitterly to the International Red Cross that their citizens are being subjected to acts of piracy off the coast of NVN. This, of course, refers to the maritime operations in which fishermen and other villagers were seized and interrogated for the intelligence they possessed as well as for indoctrination. Having talked to these personnel who were captured in NVN, interrogated in SVN and returned to NVN, some of whom were recaptured several times, believe that our covert operations have troubled the enemy.**

The enemy's detailed interrogation of a returnee is such that the former considers it very, very important to keep these personnel from being captured. He considers it a threat to his security when they return because they have been indoctrinated and treated in a way that might have caused them to lose faith in the regime. . . One of the things that we did, in a subtle way, to the prisoners was to not only cure them of all diseases while they were being held (e.g., skin

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^{*} Ibid., pp. 40-41. ** Ibid., p. 41 30

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diseases and others that were apparent - venereal disease, in some cases) but we also had to force feed them in order to increase their weight and the size of their stomachs. We would average over some 20 pounds added. Each individual who was brought south and returned to the north would have gained an average of some 20 pounds and, obviously, be in better condition than he was when he left NVN. This is an unusual circumstance for someone who has been in captivity. For some time after his return to MVN, his stomach demanded more food than the regime was capable of providing in its normal rations and this caused some dissatisfaction on the part of the individual. Of course, eventually he would lose the weight and return to his former skinny self. His people, his family, would then see that he was in better condition when he was in the hands of the SSPL in their hideout which was the cover story that the individuals came back with. This type of handling of individuals on our part is considered by the North Vietnamese to be a real threat to them because it is spreading dissatisfaction and, of course, it spreads news of a resistance movement and a mythical organization which the North Vietnamese aren't able to do anything about. But, in the minds of the farmers and the fishermen who have been captured, the organization is a very real one.*

We also know that the enemy has been forced to react to the threat of the agent teams in the north. . . . one of our major programs there is a series of deception operations which is increasing the number of teams we have in NVN. These teams

<u>Ibid.</u>, p. 41. <u>29</u>

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are being created on paper only. We transmit messages to the mythical teams, we drop supplies, we fly actual flights and drop one bundle out which is never recovered and when it is discovered it appears to be one of a large group of bundles. This gives the impression that the enemy has another agent team in his backyard and that this team has recently been supplied with at least 10 bundles because bundle dropped is numbered 10 of 10. This causes them to alert the militia and to spend a great deal of time and energy screening the area looking for the team. They interrogate villagers and reinterrogate them and all those who have been suspected of supporting anti-communist activities in the past are brought in for interrogation. This merely assists in spreading distrust in the minds of the North Vietnamese officials and likewise it harasses the people and causes them to think less kindly toward the regime. The results of this type of activity, again, I say are very difficult to measure but are very real. How they cause the enemy to alter his policies is something that we perhaps may never know.

I think in the area of the cross-border operations, we could get into something more finite by listing the tons of rice captured and destroyed that could not be used by the enemy, the thousands of rounds of ammunition that we destroyed before they reached their destination. We are able to present a fairly respectable list of enemy soldiers killed or captured and great quantities of trucks, radios, and weapons and ammunition that have been destroyed after they have been carried laboriously down the Ho Chi Minh Trail and stored in a sanctuary right near

Ibid	p. 42.		4		 	28
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where they intend to use them. Loss of this equipment is definitely hurting the enemy and it would not have been possible without the cross-border operations. These operations have detected large logistical complexes under the canopy in the Laotian and Cambodian sanctuaries. In the case of Laos, these have been attacked and destroyed. In the case of Cambodia, we have with hand-placed demolitions blown up large ammunition dumps.*

I think it is important that we do not attempt to measure the effectiveness of the agent team operations in the north in the terms of the number of intelligence reports they have produced because we know that these teams are all in the hands of the enemy and any intelligence from them would be worthless. So, even if we had been receiving material from them, we would not have published any intelligence reports. When the casual observer notes that we have some intelligence collection teams in NVN, the first that he would ask is, "How many intelligence reports have they produced"? The fact is that they have produced very few and none of them has been significant. But that does not alter the fact that the team is, in fact, bringing pressure on the enemy. He has to devote a lot of energy to answering our messages and is concerned that we have other teams that he has not captured in the area. He is devoting a good deal of the effort of his security to seeking out these mythical teams.

While the agent teams in North Vietnam have not produced a series of worthwhile intelligence reports, several of the other operations have produced good ones. The maritime operations have produced meaningful reports from North Vietnam; in fact, they have been practically the only human intelligence

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^{* &}lt;u>Ibid.</u>, p. 42. ** <u>Ibid.</u>, pp. 42-43.

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sources in North Vietnam. There are large numbers of intelligence reports that have been written in the several years since we 2 started increasing the emphasis on intelligence collection. When 3 these are evaluated by the J-2 in MACV or later by DIA, they have 4 found them to be largely in the category of very useful or 5 confirmatory of other intelligence collected from other sources. <u>6</u> Again, it is hard to say whether production of those intelligence <u>7</u> reports has been worth the money and effort expended. I person-8 ally feel that they are.* <u>9</u> <u> 10</u> 11 12 <u>13</u> 14 <u>15</u> <u>16</u> <u>17</u> 18 <u> 19</u> 20 21 22 <u>23</u> 24 <u>25</u> <u> 26</u> <u>27</u> <u> 28</u> Ibid., p. 43. 29 <u> 30</u>

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Tab K to Annex N to Appendix B <u>31</u>

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COMMENTS ON MACSGO'S OPERATIONS AND INTELLIGENCE	1
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COLONEL DENNIS P. CASEY, USMC	3
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When I first got there, the execution of the (air)	<u>5</u>
missions was controlled almost exclusively by MACSOG. We put	<u>6</u>
out the messages advising the intelligence activities of all	<u>7</u>
concerned, where the mission was being run, requested that steps	8
be taken to insure coordination. We found a little later on	9
that these messages weren't being disseminated to the proper	10
people and some of our maritime operations, for instance were	<u>11</u>
being interfered with by friendly aircraft. One thing led to	12
another and finally 7th Air Force insisted on coordinating all	13
flying activities, including ours. This improved coordination	14
and control of missions.	<u>15</u>
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* (75) Interview of Col Dennis P. Casey, USMC, p. 4.	29
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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE

LT. COLONEL JONATHON D. CARNEY, USA

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SOG's efforts at clandestine operations in TIMBERWORK and PRAIRIE FIRE were severely limited by the lack of adequate operational data for use in briefing, training and equipping agent personnel and team members. (The terms "operational intelligence" and "operational data" are synonymous. I refer to such as the habit patterns of the local inhabitants, security practices in effect, copies of passes and other documentation, etc.) This data simply was not available to the extent that operations were possible into denied areas that depended on the agent being able to pass himself as legitimate to the area, even only briefly. This lack of adequate data has been largely responsible for the continued dependence on black operations. A related problem has been the extreme difficulty in obtaining samples of NVA documents, uniforms and equipment for copying for use in these operations. The American passion for souvenirs has proven almost impossible to defeat. As an example, it took until the summer of 1968 to procure an NVA cap device (the red and gold star) for delivery to CAS.*

In the last 8-10 months, sufficient intelligence has become available, primarily as a result of interrogation of PWs in response to SOG generated SICRs, to begin gray operations.

SOGs Intel Division and CAS Saigon have been collaborating on the production of four volumes of operational data on NVN, each covering a different aspect of life there. When completed and if kept current, these will be invaluable to all future operations into NVN controlled areas.*

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⁽TS) Memorandum for the Record by LTC Jonathon D. Carney, USA, "Lessons Learned in SOG (U)," 20 November 1968, p. 4.

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There will be similar inadequacies and blocks to effective operations in other areas in which the military will have to operate if action is not begun in the near future to amass the data required. The Army Area Handbooks and CIA Country Publications such as the recent one on Thailand are simply not adequate for the task. As an example, there was not adequate data on Cambodia to permit agent operations in that country as of my departure from SOG.

SOG's agent operations have been inept and unproductive.

I am referring to what is now known as the TIMBERWORK program.

To take some of the sting out of that fact, the CAS operation which SOG inherited in 1964 was no more successful. Unfortunately, SOG accepted the CAS modus operand1 in toto and perpetuated it during the years. Only recently have the inadequacies of that approach been recognized; the event which dramatized its failure was the revelation that Team REMUS had been doubled for years.

During my tour of duty with SOG, I gained knowledge of extensive duplication of effort in agent operations targetted against North Vietnam with the basic purpose of intelligence collection. CAS Saigon had greatly increased its efforts in the last year and was receiving continued pressure for further efforts from its Hq. The 6499th USAF Squadron was attempting operations. The 500th MI Group, Army, assigned to USARPAC under opeon CINCPAC was attempting operations from Thailand and sought FAR (Laos) cooperation. There was a degree of coordination and

Ibid., pp. 5-6.

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a willingness for cooperation within all these efforts.

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Coordinated effort with the others was much less easy in that we did not usually gain knowledge of their plans until after a great deal of effort had been expended. My personal conclusion was that there was a large waste of assets in replowing old ground that had proven unproductive, in duplicatory efforts and in the command and control elements of the several units involved.

12 Another aspect of my observations of agent operations tar-13 getted against North Vietnam is that nobody was having any 14 success that merited the efforts expended. There are many valid <u>15</u> reasons for lack of success and that is not the main issue. My 16 main conclusion was that we, the United States, were wasting assets by attempting to solve the collection problem by a prolifera-17 18 tion of attempts. I believe that responsibility for all denied <u> 19</u> area agent operations would better be given to the Central 20 Intelligence Agency, with Service collection agencies subordinated <u>21</u> to or placed in support of CIA. It is my opinion that CAS has 22 a better base (for selection of agents, training, documentation, 23 staging and for control during operations) than the Services <u>24</u> can develop. I emphasize that this belief is pertinent to <u>25</u> operations against denied areas such as NVN. The Services <u> 26</u> should continue to operate within combat areas on low level <u>27</u> agent missions such as those in support of JPRC or other 28 tactical operations. <u>29</u>

Ibid., p. 7.

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As to the major problems that we experienced in the SHINING BRASS type operation, which includes DANIEL BOONE and IGLOO WHITE considerations, . . . in the first place the American commanders in the field did not know what we were doing or why we were doing it or what the results were or why they should commit their resources to support us. By resources I refer here primarily to helicopters. The situation was extremely difficult in regard to gun ship support which was the only timely support that the troops on the ground could receive when they got in trouble.

The Air Force responded with what they could with certain exceptions. However, the time response was on the order of three or four-fold as compared to what we could expect from gun ships operating from our bases on the border. . . There was a continuing conflict of interests in helicopter support. One of the mistakes we made, and I participated in making the mistake, was ramming through somehow early in the game an adequate American helicopter unit dedicated to the SHINING BRASS work. We had the 219th Vietnamese H-34 Squadron which did outstanding. service; they had no gun ships and they were plagued with maintenance problems which may or may not have been their own fault. There were never adequate dedicated helicopters, gunships to support the program. US Air Force allegedly committed a squadron of HUEYs based at Nha Trang to the support of the program but the support we received from them was minimal; the promises they made in regard to providing gun ship support in terms of numbers of gun ships just never came through. There was more slack in that arming program than anything I've ever experienced. **

^{* (}TS) Interview of LTC Jonathon D. Carney, USA, p. 2. ** Ibid., pp. 2-3

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The US personnel in SHINING BRASS were initially all Special Forces personnel. We found that the average Special Forces trooper was good for something on the order of six missions in Laos on patrol. At the end of which time he had pretty well expended his nerve and courage. This was entirely understandable and is not intended to be a derogatory comment concerning these people. Some of them were good for 20 or more, others were good for one or two. A better system of rotating people through the patrolling activity would have been highly desirable. We need a larger manpower base which would allow us to rotate people between training or administrative or operational planning type roles and in the field. The base just never was large enough to provide the number of qualified people needed to perform the number of missions that were desired by Headquarters MACV.*

We experienced normal difficulties of equipment selection particularly in the radio area. Special Forces doctrine insists that they be equipped with CW manually-keyed equipment for communication with their base area. For a long time we permitted the people to carry the ANPRC-64 or 52 radio and found that it was hardly every used and was just another piece of equipment to carry with them. . . .**

. . . MAROPS was hindered throughout its history by the refusal to permit any American participation north of the 17th parallel. We were totally dependent upon the Vietnamese to perform these operations. . . Frequently there was a question as to whether the things the boat crews said happened up north really did happen. In most cases we were able to track the boats, know where they were, and have some indication of what they were doing, but the reports on what happened when contact was with the enemy were never fully reliable.***

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^{*} Ibid., pp. 3-4. B-n** Ibid., p. 4.
*** Ibid., p. 13.

COMMENTS ON MACSOC'S OPERATIONS AND INTELLIGENCE	3
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CAPTAIN BRUCE B. DUNNING, USN	3
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In the summer of 1964 , the Tonkin Gulf incident	5
occurred. This caused a temporary standdown in the maritime	5
operations, partically because there was some suspicion that	7
those conducted in the same time frame as the Tonkin Gulf	<u> 8</u>
incident might have been partially responsible for it. This	9
has been investigated very, very carefully and I am absolutely	10
convinced that there was no relationship whatsoever between the	11
OPLAN 34A maritime operations in July and August of 1964 and	12
the Tonkin Gulf incident. We have been able to establish very	13
definitely that both in terms of physical displacement of the	14
forces involved and the timing that there was no connection.	15
Allegations were made that the 34A maritime operations were	16
provocative and that this was what caused the North Vietnamese	17
forces to come out after the destroyers on the DESOTO patrols.	18
I don't buy these allegations. If the 34A maritime operations	19
were, in fact, bothering Hanoi so much as to cause them to react	20
in that manner, I think they most certainly would have sent their	2]
boats out after our PTFs. Certainly, the PTFs would have been	22
much more suitable targets for their boats than our modern	23
destroyers. So,I am absolutely convinced there is no direct	24
or indirect relationship between our maritime operations and	2!
the Tonkin Gulf incident*	20
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* (78) Interview of Captain Bruce B. Dunning, USN, pp. 3-4.	28
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2 With respect to intelligence, there is no question that 3 SOG was able to provide a good deal of information that would not otherwise have been available. This is particularly true <u>5</u> of information collected in the maritime detention program where fishermen from the coast could be interrogated. A rather large volume of information was collected from these fishermen, most 8 of which was low level. Much of it was hard to evaluate. The information really fell into two categories: one was hard type 9 10 information, i.e., information on coastal defense batteries, 11 coastal defenses communications, etc. This type of information 12 could be evaluated rather well because we were getting similar <u>13</u> information from other sources, for instance, photo reconnaissance. 14 The other category of information, produced in large volume by <u>15</u> the maritime detention program, was of the soft type, i.e., <u> 16</u> information on the attitudes of the North Vietnamese population, <u>17</u> on low level economic factors (legal prices, black market prices, 18 availability of goods, etc.), on the morale of the population of <u>19</u> the coastal population, on various resistance cells or dissident 20 cells that appeared in certain areas, particularly in the 21 Catholic-controlled areas. This soft information was terribly 22 hard to evaluate because we were not able to monitor the 23 interrogations themselves. We had to take the reports of 24 interrogations given to us by South Vietnamese STD interrogators. <u>25</u> We had the feeling back here in Washington for a long time that <u> 26</u> perhaps these STD interrogators were giving us what they thought <u>27</u> we wanted to hear. We felt that some of the information was **2B** much too optimistic. Its reference to dissatisfaction with the <u>29</u> cadre, the war effort, too, in a good many cases, people blaming 30

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the Hanoi regime rather than the Americans for the bombing, seemed to us too good to be true. It wasn't until much later when we got some confirming information, based on the debriefs of Spanish emigres repatriated out of North Vietnam, that we began to get a considerable amount of confirmation.*

It is my feeling, with respect to this maritime intelligence, that we have overlooked a big potenital there and that the soft type of intelligence was never properly exploited back here in Washington. On one occasion, we tried to get both CIA and DIA to show some interest in taking the mass of raw information we had available here and have it thoroughly researched by a competent team of behavioral scientists to try and get a picture of what was actually going on among the "population of North Vietnam. Both agencies indicated no interest. The only real interest we ever got in looking at this type of information was from the Air Porce. This was sometime in 1967 when a team from AFCIN came down to talk to us. We showed them what we had and they evidenced a considerable interest. 18 I thought for a while that this would result in somebody taking all of this information and exploiting it properly but it died on the vine. Interestingly enough, the motivation for the Air Force interest apparently was General McConnell's personal interest in trying to find out what was really happening to the population of North Vietnam. Of course, his motive was rather self-defensive in that it was based on the increasingly strident criticism of the bombing, the type of criticism that implied we were killing all of the civilians in North Vietnam, etc.

Ibid., pp. 5-6.

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Nonetheless, regardless of his motivation, if the Air Force had taken this information and applied the resources to exploit it, we would have been better off. Nobody really did handle this information. It is my impression, based on a considerable search in Washington for entities concerned with this type of intelligence, that nowhere in the US Government was there anybody really looking in depth at the human situation in North Vietnam, really immersing himself and watching on a seven-day week, 24-hour-a-day basis as to the political and social dynamics that were a function of the war situation and the bombing. I view North Vietnam as our primary enemy and I think that we were woefully neglectful in not looking at this human situation.*

With respect to the intelligence gathered by the agent tesms, it was minimal at best. This whole agent team program, I think, was rather ill conceived. The teams were so-called black guerrilla type teams that were put in initially to conduct physical harassment. Later, they were reoriented to an emphasis on intelligence collection. If you are going to put this type of black team, completely illegal team, into a denied area, the only place you can even get it in is into a remote area, and in a remote area there just isn't much intelligence to collect. Consequently, the best you ever got out of these teams was some extremely low-level information based on their contacts with some Montagnards in the northwest and some other local elements. A few of the teams claimed to have established sub-agent nets. It now appears, of course, that this was probably mostly fabrication because most of the teams were apparantly taken under enemy control rather shortly after they were put in. You simply can't take guerrilla type, black teams and put them in any area where they are going to be able to collect intelligence of any value and still survive. Indeed,

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Ibid., pp. 6-7.

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our experience in North Vietnam is that the teams couldn't survive at all. This, I think, points to a fundamental principle of unconventional warfare: you cannot do much in the way of active operations in a denied area unless you first start out with the long, slow painful process of building some sort of a base of support in the population. In virtually every population you are going to have certain dissidents that may be exploitable. This was certainly true to an extent in North Vietnam among some of the Montagnard elements and some of the Catholics. To conduct effective agent operations in North Vietnam would have meant a long, slow process of organizing these elements to build a support base in the population. This we did not do, partially because we were denied any authority to conduct such activities in North Vietnam. Ironically enough, we were repeatedly asked why we did not and could not do the same thing to the North Vietnamese as they were doing to us in the South. The people asking those questions simply ignored or don't know about the years and years of slow, basic, low-level organization activity that took place in the South. In that connection, probably the best summary of how they (the Viet Cong) did it is Doug Pike's book on the Viet Cong and, of course, he emphasizes that organization is their forte.* I think an example of the lack of coordination and the

I think an example of the lack of coordination and the sometimes counterproductive efforts can be demonstrated by maritime operations. For a long time, the name of the game in maritime operations was to sink enemy junks. It was a real

<u>Ibid., pp. 7-8.</u>

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high point. When you had a mission in which you sank an enemy junk, this was a very successful mission. One of the big selling points of the program in Washington was to keep track of how many junks had been sunk and to say that, in 1967, we had destroyed 75 enemy craft. Of course, after the Navy started the SEA DRAGON Program, an interdiction program, 75 junks sunk in a year was peanuts. They (the Navy) were sinking that many in one night. They were shooting at everybody in sight.*

It gradually began to dawn on us that maybe we were barking up the wrong tree. We had SSPL trying to set itself up as the dissident organization that had the best interests of the people of the Vietnamese nationality at heart and telling the fishermen along the coast how horrible the Hanoi Regime was and trying to develop support for the SSPL. At the same time, we had PTFs going up there purportedly owned and operated by the SSPL, and sinking junks all over the place. It gradually got through our thick skulls that this did not make too much sense, that we were not physically capable, with our resources, of interdicting shipping on a large scale -- a large enough sclae to really make a dent. At the same time, by trying to carry out an interdiction mission, we were cutting our own throats insofar as trying to establish a rapport with the coastal population was concerned. This came about largely because the maritime people and the psychological people just didn't talk to each other enough and actually I give most of the credit for straightening this out to Colonel Tom Bowen who went out in early 1967 as chief of the PsyOps Group. We began to realize

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Ibld., pp. 9-10.

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that if we were going to portray the PTFs as SSPL boats that we had to make them act like the SSPL should act towards the people and so we began to cut down on interdiction. We told them that they should not sink junks unless there was good reason. There were cases where sinking a particular junk, perhaps a junk owned by a particular odious cooperative, might have a psychological payoff. But the decision as to what to destroy and what to sink should have been based on the psychological objective that we sought to achieve.*

. . . with respect to FOOTBOY operations, I think that, even with all the mistakes that were made and all of the searching for proper means of doing things, overall this program was a very, very significant one. I think probably we will find out in later years that it had a rather significant impact on the North Vietnamese regime. It is difficult to assess or to prove the impact of operations of this type. It is particularly difficult to do so with Americans, particularly of the military, who tend to take a positivistic view and want to derive a well defined input/output ratio. You just simply can't do this when you are dealing with what are essentially psychologically based operations. There is no question in my mind that the agent team operations in North Vietnam did cause the regime particular concern. As I mentioned earlier, we did not get a lot of intelligence from the agent team operations and certainly we never did much in the way of real physical destruction or interdiction. However, I think there are a lot of indications

Ibid., p. 10.

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that the mere presence of these teams caused a rather significant diversion of North Vietnamese resources to internal security missions. It caused the North Vietnamese regime to, in many cases, suspect their own elements, particularly some of the cadres. It was leading, in my mind, to a type of repression that would have been counterproductive for the regime. This impact was complemented by the impact of the maritime operations.*

Maritime operations, I think, had several important results. First of all, we did get a large amount of intelligence, some of which was of considerable value. Other intelligence was possibly of low value but a great deal of it was never exploited, so you can't really assess its value. Secondly, there was a very practical effect from maritime operations, again in diversion of North Vietnamese resources. It is fairly well established now that the boats, when they went North, were fully tracked all the way. I think this tied up virtually all of the North Vietnamese surface search radar installations. Any night the boats were going up the coast, the North Vietnamese put a considerable effort into diverting radar resources to the tracking of these boats. We know that significant portions of the coast line were alerted every time the boats headed North, that both the regular coastal defense forces and the local militia, or home guard units were alerted. To put in very simple terms, this meant that one hell of a lot of people were being kept awake all night, several nights of the week, week after week, on the off chance that these boats might be conducting an operation in that particular local area. This

* Ibid., p. 26.

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type of harassment does have some effect. It gets rather old to the indigenous people after a while and it certainly doesn't 2 3 help the situation as far as they are concerned. More importantly, 4 the maritime operations, through the SSPL, established a rapport 5 with the coastal people, particularly in some of the catholic-<u>6</u> held areas. This rapport was recognized by Hanoi, caused them great concern, and reinforced the apprehension they already 8 felt for the operation of agent teams in North Vietnam.* 9 . - The impacts of the FOOTBOY agent teams and maritime 10 operations were complemented by the impact of psychological 11 operations. The Voice of the SSPL was certainly tied closely 12 into the maritime operations and tended to increase the credi-<u>13</u> bility of the SSPL. Radio Red Flag, I think, probably was 14 particularly important. Although we don't have too much readout <u>15</u> on it, there were some rather good intelligence reports indicating <u>16</u> that Radio Red Flag's credibility remained high for a long, long 17 time. It is probably still high. There were indications that 18 the North Vietnamese were never quite sure who was sponsoring 19 Radio Red Flag. For some time, there were indications that the 20 North Vietnamese, in fact, thought it was a Soviet sponsored 21 station. There was one report that even attributed the manage-22 ment of the station to two North Vietnamese military officers <u>23</u> who had defected to the Soviet Union. This type of thing 24 obviously has a rather strong effect on the sense of security <u>25</u> of the North Vietnamese leadership. ** 26 - Moreover, there are indications that our program was <u>27</u> reaching its target audiences and having a considerable impact 28 . Ibid., pp. 26-27. <u>29</u> Ibid., p. 27. 30 31

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on the thinking of people in North Vietnam. It is true that radio seemed to be reaching principally the upper strata of the 2 North Vietnamese population. It is not true that only the upper 3 strata has access to radios. Virtually every cooperative and 4 every village has a radio available. It appeared to us that <u>5</u> many of the poorer people were simply not adapted to radio <u>6</u> <u>Z</u>, listening. They didn't listen frequently to the radios. They didn't give the radio too much credibility. They weren't 8 9 interested in listening to the radio. We had cases, for instance, where it was reported that cooperative managers or 10 cadres in the villages were listening to our stations on their 11 12 local radio. In many cases, however, we found that the villagers, fishermen particularly, simply didn't bother to go <u>13</u> in and listen to the radio even though they had the opportunity. 14 <u>15</u> Printed media, primarily leaflets, seemed to hold a greater 16 attraction for them. Most of our read-back on VOSSPL and 17 Radio Red Flag came either from cadre who had rallied or from 18 military personnel who had listened to these stations surrepti-19 tiously on their military radios. It was among these strata 20 that we seemed to have the most impact with the radio operations.* 21 Again; however, the psychological operations did tend to 22 reinforce the impact of both maritime and agent team operations <u>23</u> at probably three levels: first, in causing concern and a sense 24 of insecurity on the part of the Hanoi regime; secondly, in 25

establishing some sort of rapport with the North Vietnamese population; and, thirdly, in the direct influencing of attitudes and thoughts because changing the perceptions of a population

Ibid., pp. 27-28.

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Tab N to Annex N to Appendix B 26

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is a terribly difficult thing to do. I don't think that we made a major impact there, but any impact is better than none. We really don't know how much impact we made. This is an awfully hard thing to measure particularly in a denied area.*

Overall, I think that the FOOTBOY Program evolved into a rather sophisticated program that was having a significant impact on North Vietnam. I don't mean to imply that we were winning the war through this program but, in terms of all the other things that were going on, it was having a significant impact. It is the type of program that you have to give more attention to in future contingency situations.

With respect to the PRAIRIE FIRE and SALEM HOUSE Programs, I'm really not quite as close to the details of them as I am to FOOTBOY. However, I feel that both of these programs have more than paid for themselves.*

presented out as primarily a reconnaissance and information collection program. It was programmed from the beginning to be a full blown interdiction exploitation program but the initial operations were limited to reconnaissance and intelligence collection. Later, as the exploitation phase got underway and began to take effect, we began to see a shift in the type of encounters in Laos. In the early days, when only reconnaissance operations were being conducted, it was rather seldom that the reconnaissance teams ran into anything other than scattered support troops, and the resistance to our teams was not severe. As the program got into the exploitation phase, however, and we began to use exploitation platoons and

* <u>Ibid., p. 28.</u>

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the teams began to call in air strikes, we saw an increasing 1 shift in the type of forces in the PRAIRIE FIRE area from 2 support troops to combat troops. Ultimately, and up to this 3 date, it became increasingly difficult for our teams to 4 operate in portions of the PRAIRIE FIRE area, particularly the 5 portions close to the DMZ, opposite Khe Sanh and Ashau, and in 6 the tri-border area. Resistance there became increasingly <u> 7</u> . severe and it is severe at this time. Most of our losses occur 8 in these areas. This means that the enemy for one reason or 9 another was forced to commit more of his combat troops to <u> 10</u>. security missions in the PRAIRIE FIRE area. This meant, too, <u> 11</u> he had less combat troops to cross over into South Vietnam. <u>12</u> 13 Again, I can't overemphasize this because it is almost impossible for us to tell exactly why this shift in type of 14 <u> 15</u> troops occurred. The timing seems to indicate to us that at least PRAIRIE FIRE was partially responsible for the commitment <u> 16</u> of combat troops to the PRAIRIE FIRE area but I don't for a 17 <u>18</u> moment think this was the only reason. Obviously, as the US 19 forces in South Vietnam increased and as we moved into larger. 20 more conventional operations, the enemy himself was forced to 21 bring larger and more conventionalized forces down through the PRAIRIE FIRE area and into South Vietnam. In addition, at the 22 <u>23</u> same time, he had to station larger elements along the Lactian <u>24</u> border and the Lactian side of the border for support, training, <u>25</u> regrouping, etc. I don't think that PRAIRIE FIRE was the sole 26 reason by any means for this shift in forces, but I do think 27 that it probably played a part. This contention, I think, is 28 supported to certain extent by the indications that a good <u>29</u> many of the enemy base camps and high concentrations of 30 supporting installations appear to have been moved eastward 31 from the PRAIRIE FIRE area to outside of the PRAIRIE FIRE area

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on the west. My people tell me you can define the PRAIRIE FIRE boundary line rather well just by looking at where the enemy support installations are located. This would indicate that the enemy feels he can no longer afford to put fixed installations into the PRAIRIE FIRE area. Again, PRAIRIE FIRE is probably not the only reason for this. Overall, I think that this program has certainly contributed to the efforts to interdict enemy infiltration into South Vietnam. It certainly hasn't succeeded in interdicting it completely, but neither has the bombing or anything else. The program certainly had made life considerably more uncomfortable for the enemy.

The SALEM HOUSE Program, of course, is limited to reconnaissance and information collection only. There are rather severe restrictions on where and how often the reconnaissance teams can operate. The restrictions vary in the three zones of SALEM HOUSE. Moreover, the SALEM HOUSE teams are prohibited from deliberately initiating contact on their own. They are not allowed to call in air strikes or artillery support when they identify lucrative targets. In short, it is largely a purely reconnaissance program.**

There is a difference of opinion on just what the SALEM HOUSE Program is achieving. State Department feels that it is not worth the political risk involved. To judge SALEM HOUSE, I think that you have to look at the whole picture of intelligence collection in Cambodia. Obviously, SALEM HOUSE is not the only means. You have to consider the SALEM HOUSE take as it fits into the overall intelligence picture, derived not

Tab N to Annex N to Appendix B .

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^{* &}lt;u>Ibid.</u>, pp. 28-30. ** <u>Ibid.</u>, p. 30.

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only from reconnaissance operations such as SALEM HOUSE but from sensor intelligence, aerial photo reconnaissance, other airborne sensor reconnaissance, agent operations, and the whole intelligence spectrum. SALEM HOUSE provides a means for obtaining relatively reliable human intelligence in Cambodia, intelligence based on human observation under US control. Because of the limited mobility of the teams and the limited area they can cover, the information derived is primarily of a tactical reconnaissance nature. Too often in Washington, there is a tendency to look for some very significant strategic 10 11 intelligence for SALEM HOUSE, and too often there is a failure <u>12</u> to recognize that the majority of the intelligence information <u>13</u> obtained through SALEM HOUSE is never reported back to 14 Washington. It is perishable tactical information which is <u> 15</u> disseminated through intelligence channels in South Vietnam to <u> 16</u> our field commanders there and doesn't ever go any further nor 17 need it go any further. The result is people in Washington 18 tend to look at the program and say, "well, we never see <u> 19</u> anything significant coming out of it; therefore, it is no 20 good." When you look at it from the standpoint of operational 21 commanders along the South Vietnamese-Cambodian border, you <u>22</u> get quite a different evaluation. My feeling is that SALEM <u>23</u> HOUSE has been well worth the cost. True, there have been <u>24</u> some political risks involved. These risks have been increased <u>25</u> in a few instances by actions on the part of SALEM HOUSE teams 26 which might have been better controlled but these cases have <u>27</u> been relatively few. Overall, the type of human observation 28 and reconnaissance we are getting from SALEM HOUSE fills a void <u>29</u> in the intelligence spectrum which cannot be filled in any other <u>30</u> way. This being the case, I think the program is not only well 31 worth the cost but probably a good deal more than the cost.

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Tab N to Annex N to

Ibid., pp. 30-31

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One practical side issue pertaining to intelligence is that, in order to get it, we need to establish a reward program and to advertise the program rather widely. With a reward program being advertised, you are bound to get a good many false alarms. You are bound to get people who are fabricating information in an attempt to get a reward. Every one of these reports has to be checked out thoroughly. This means that, by establishing a reward program, you are creating problems for yourself in diffusing your intelligence effort. 10 I think this is a necessary evil and something you just have 11 to put up with in that business. Another problem is the 12 13 timeliness of intelligence. Intelligence reports should be checked out very carefully before you run an operation. Most 14 <u>15</u> of the reports come from low-level and rather ill-informed sources. This means that some kind of confirmation or verifica-16 17 tion is necessary. Operational planning is necessary before a 18 recovery operation is mounted. Too often, by the time the recovery operation is mounted, the intelligence is proved to be <u> 19</u> <u>20</u> out of date.* I think, overall, that JPRC is a necessary function. I <u>21</u> 22

think that their work is important. I think the people out there have been doing just about everything they possibly could do improve the recovery rate. It is a terribly tough and discouraging business. I think we would have been criminally negligent if we hadn't established something like the JPRC. The people who established this certainly were doing something that was very necessary. It is just one of the hard facts of war that we haven't had a higher success rate.*

* Ibid., p 31.

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I might add a personal observation on the related matter of escape and evasion in general. It is my personal opinion 2 that we have retrogressed since World War II in the escape and 3 evasion (E&E) business. There have been some technical 4 advances in gadgetry but virtually nothing has been done in <u>5</u> North Vietnam to establish effective E&E nets. In part, this 6 was because of the limitations placed by national policy on organized activities in North Vietnam, that is, activities to 8 organize elements of the North Vietnamese population. There is 9 a question in my mind as to how much potential we had up there. 10 I don't know whether we could have successfully established 11 E&E nets in North Vietnam or not. In certain areas, particularly <u>12</u> <u>13</u> along the coast where they were most needed and where the SSPL had achieved a certain impact, I think we may have had an <u>14</u> opportunity to establish EAE nets, at least, low-level ones. <u>15</u> How much they could have accomplished, I don't know. The fact 16 of the matter is that to my knowledge we have done virtually 17 nothing to establish these nets or an E&E structure. We have 18 done virtually nothing to assist our captured personnel, who <u> 19</u> are instructed in the military code that it is their duty and 20 responsibility to try to escape. It seems to me that this is 21 rather hollow guidance when we do absolutely nothing to assist 2<u>2</u> 23 if they do make that attempt to escape. We know that there have <u>24</u> been a number of unsuccessful attempts to escape (some prisoners <u>25</u> escaped but were recaptured immediately) and that there have <u> 26</u> been very, very few successful attempts. Where the attempts <u>27</u> were successful, notably in the case of Dingler, he had to 28 make it out strictly on his own.* <u> 29</u>

Ibid., p. 32.

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Tab N to Annex N to Appendix B

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. . . on the development or improvement of equipment for SOG-type operations. . . on boats that you have to develop and to judge equipment based on the nature of your operations. Particularly in operations that were being run in the North I think the Norwegian NASTY-class PTFs were good boats, probably about as good as you could find anywhere. There were some maintenance problems but the major problem from the maintenance standpoint was that the electronics were so sophisticated we had to set up a repair facility at Subic. This, of course, added some undesirable features from the security compartmenta-. tion standpoint. I will say, based on some experience on boats 12 for covert actions, that there is always a propensity for <u>13</u> 14 inexperienced personnel to assume that all you have to do is go out and get yourself an indigenous craft and maybe dress your personnel like indigenous ones and you can get away with anything. This simply isn't so. I noticed this in Korea where we had to use semi-indigenous craft (not indigenous to the local area but similar to indigenous craft) manned by some old fishermen. We were repeatedly told by our headquarters in Seoul that these boats, since they were so like indigenous craft, would never be recognized as outsiders. . . this simply This idea of using indigenous craft is pretty hairy. To really do it right and to get away with it is an awfully tough job. For one thing in most parts of the world, particularly

Ibid., pp. 50-51.

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around Vietnam, any boat that isn't well known is going to be

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spotted immediately. Just because it looks similar to the local boats doesn't mean a thing. This, of course, some of our Navy people have learned on MARKET TIME. They have gradually learned all sorts of tricks for spotting infiltration craft, even to looking for the fishermen who aren't sumburned in the right way and whose hands aren't calloused in the right places. It is very hard to really get away with posing as an indigenous craft crew. I think SOG's reluctance to use indigenous craft has been well-founded. They have recognized the problems.

Although it has been suggested to them that all they have to do is steal a junk and sail into Hanoi and blow up the whole city of Hanoi, the SOG people have recognized that you just don't get away with this short of thing.*

There are a lot of small hardware items that can, and should, be developed for these types of operations. There are always priority requirements for smaller, lighter, longer range, more reliable communications equipment. Again, if you are operating covertly, you have to have communications equipment that can't be traced to you. There is a big danger, particularly with an organization like SOG which is assigned both covert and non-covert operations, that you are going to have people going off on covert missions carrying a PRO-25, clearly of US origin. Homing and marking devices are a problem that has never really been solved satisfactorily. There are requirements for certain types of weapons that have never been fulfilled satisfactorily. In this connection, there is a special operations branch at Fort Detrick that has done some

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Tab N to Annex N to Appendix B

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^{*} Ibid., p. 51.

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excellent work in their special field. Though this work shows promise for these types of operations, none of its results is ready to be used. We probably wouldn't be allowed to employ such items anyway. Again, this is an area where, if we are ever going to do any good, we (the special operations planners) must talk directly to the decision-makers. We just can't conventionally staff things such as the Fort Detrick developments.

I am concerned about the procurement and sanitization of equipment used in covert operations and the full backstopping of that equipment. This is a mandatory requirement. You can't just take a few name plates off or paint the thing black. You probably noticed the item in the newspaper the other day referring to Wha Trang and the back lot where large transport aircraft are all painted black. This sort of a short cut we sometimes take. We just kid ourselves when we talk about covert operations employing equipment of that type.**

* <u>lbid.</u>, pp. 51-52. ** <u>lbid.</u>, p. 52.

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Tab N to Annex N to Appendix B

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	1	
BA	<u>2</u>	
LT. COLONEL KENNETH W. McNIVEN, USAF	<u>3</u>	•
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We had difficulties, communications wise, from	5	
our facility in Saigon in handling air operations originating	<u>6</u>	
from bases away from the Saigon complex. Because of security	<u> 2</u> .	
requirements and the lack of hotline facilities, in many cases	<u>8</u>	-
our hands were tied in coordinating the Air Ops or the last	<u>9</u>	
minute changes with the units that were involved. As a result	10	
of our communications problems, we staffed a recommendation to -	<u>11</u> `	
have an Air Operations Command Post which would have hotline	<u>12</u>	_
communications direct to air facilities and the base camps where	<u>13</u>	
forces would launch from*	<u>14</u>	
	<u>15</u>	
It took the C-130s an inordinate amount of time	<u>16</u>	
to get combat ready in the theater. The C-130s were delayed	<u>17</u>	
in starting their operations due to equipment requirements.	18	
There was also an in-country training problem caused by the	<u>19</u>	(b)(d)
move to Nha Trang.	20	(p)(3)
	<u>21</u>	
From an Air Force standpoint, command relations were	22	
rather difficult. The advisers or experts in the airlift	23	
business worked for a Navy Operations Officer who was a flyer,	24	
but the only other aviators in the command channel were at Nha	<u>25</u>	
Trang which was a considerable distance away when the operations	26	
were being set up for any compat operations. We would be given	27	
The state of the s	<u>28</u>	
* (T8) Interview of LTC Kenneth W. McNiven, USAF, p. 1. ** ibid., p. 2.	29	
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coordinates and a support task for combat missions and our Air Staff team would plot the intelligence and come up with our 2 estimate as to what would be required. Concurrently, we would 3 send the data that we had or the requirement to Nha Trang over 4 a select secure teletype facility. They would plan their <u>5</u> operation and send us a flight plan. We would check out the <u>6</u> parameters and if there were any disagreements as to what the <u> 2</u> . requirements were or the intelligence we had versus the 8 intelligence they had posted, we would negotiate and set up 9 the operation in that manner. However, if there were require-10 ments for decisions in the command channel as to whether or <u>11</u> not a mission would be run, our recommendations were not always 12 readily received and we had very little immediate backing <u>13</u> because the rank, you might say, or impetus was at Nha Trang. 14 Many missions were laid on on very short notice and caused us <u> 15</u> a great deal of anxiety in attempting to get the support from 16 17 7th AF. On many occasions 7th AF FRAGs for the next day's combat operation were already cut. They would have to divert 18 air assets from laid on strikes, i.e., airborne reconnaissance <u> 19</u> to support MACSOG. Our relations, in many cases, were strained 20 with the 7th AF Ops people. Of course, they were constantly <u>21</u> badgering us for better advance planning. Although we dealt 22 mainly with the Tactical Air control Center with cleared 23 personnel, we would invariably show up to them with a pre-24 <u>25</u> planned mission and a specific set of requirements as far as helicopters were concerned, armed gun ships, or fighter CAP, 26 27 and consequently many of these missions were very difficult 28 to run as far as the 7th AF people were concerned. We had very 29 little preplanning. By the time a mission would be levied on the Air Ops Section in MACSOG, we had a specific requirement 30 to put it in at a certain time. It would be, for example, <u>31</u>

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tomorrow and we would hear about it today. Colonel Singlaub	1
would make a decision to insert a team tomorrow. Frequently	2
7th AF FRAGs were already cut for the next day's combat	3
operations. This made it extremely difficult in dealing with	4
7th AF because of the lack of advanced information.*	<u>5</u>
	<u>6</u>
My overall impression of the operation of MACSOG air	7,
operations was that there was a very strained chain of command	8
as far as air operations were concerned. The decision-makers	9
were located in MACSOG in Saigon but the launches of the actual	<u> 10</u> (
missions were conducted either from Nha Trang or from other	11
bases. Missions were run perhaps that could have been pre-	12
planned better **	13
• • • • • • • • • • • • • • • • • • • •	14
I would like to comment though that the cooperation we	<u>15</u>
received from 7th AF was outstanding. They recut FRAGs and	<u>16</u>
provided us as much support as they could. Because of the	<u>17</u>
varied operations that we were conducting and the requirement	18
for rapid communications, we staffed a request in 1967 to	<u>19</u>
establish a modern command post to handle air operations.***	20
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* <u>Ibid.</u> , pp. 2-3.	28
## <u>Ibid</u> ., p. 5. ### <u>Ibid</u> ., p. 6.	<u>29</u>
ANAMA P. V.	20

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Tab O to Annex N to Appendix B 30 31

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE BY 2 3 COLONEL BENTON M. AUSTIN, USA 4 . . . We found so much rice that it was difficult to destroy it. We tried to burn it and this only put a crust on 6 the top of it. We tried to rip the sacks open and scatter it 7. but we found the enemy would come along later on and scrape 8 9 it up again. We asked for authority, incidentally, to contaminate the rice and this was another restraint that was 10 (b)[1) placed on us. 11 (P)(3) · 12 <u>13</u> 14 15 <u>16</u> <u>17</u> 18 19 20 <u>21</u> <u>22</u> <u>23</u> 24 <u>25</u> 26 <u>27</u> 28 * (PS) Interview of Colonel Benton M. Austin, USA, p. 4. <u>29</u> <u>30</u> 31 B-n-100 Tab P to

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Annex N to Appendix B

COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u>1</u>
ВУ	2
LT. COLONEL HAROLD J. ROSE, USA	<u> 1</u>
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In the beginning when the B-52s were being	<u>5</u>
launched from Guam, it took 24 to 48 hours to get a mission	<u>6</u>
flown. I felt this was too long. This did change when the	7
aircraft moved to a different base. One Viet Cong was captured	8
and interrogated after one ARC LIGHT and he stated that they	9
only had eight hours notice and they had to really hustle to	<u>10</u>
get out of there before the ARC LIGHT struck. In further	<u>11</u>
interrogation, he stated that they usually got a 12 to 24-hour	12
notice.*	13
• • • • • • • • • • • • • • • • • • • •	14
The way we worked the air strikes was as	<u>15</u>
follows: We had PACs stationed at Khe Sanh, Kontum, and Phu	16
Bai and I always had one of my US NCOB riding in the back seat	<u>17</u>
with the FAC. On take-off when we had a team committed, the	18
NCO in the back seat would make contact with the team and we	<u>19</u>
had certain signals worked out, panels, etc., where we could	<u>20</u>
identify our team on the ground. When the team leader spotted	<u>21</u>
a target, he would back off away from it, mark his position and	22
give an azimuth and distance to the target. We usually had	<u>23</u>
overhead cover, a couple of A-lEs or a couple of jet fighters,	24
for immediate strikes and we called those in. They would be	<u>25</u>
directed by the FAC who got his information from the guy on the	<u>26</u>
ground. If the FAC couldn't see the target and the guy on the	<u>27</u>
* (78) Interview of LTC Harold J. Rose, USA, p. 2.	28
- LEG, Lincerview of pit naroid s. nose, usa, p. 2.	<u>29</u>
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Tab Q to Annex N to Annendix R

ground knew exactly where it was, then he would move the next strike over, sort of like adjusting artillery. I feel that these were very successful missions. On Route 922 west of 3 Ashau I recall that many trucks and two large bull dozers were 4 knocked out. On other missions, we nailed vehicles, big <u>5</u> ammunition dumps, and other types of enemy equipment. On large 6 targets, we usually used air strikes, and they were very 7. effective. We know because we assessed bomb damage -- our 8 teams did this. ō 10 . . . you could never walk overland In two or <u>11</u> three days, the teams on the ground could only cover a mile or 12 so, and by that time they were all beat up and couldn't operate; <u>13</u> then they'd have to be pulled out. ** 14 <u>15</u> <u>16</u> 17 18 <u> 19</u> 20 21 22 23 24 <u> 25</u> -26 <u>27</u> 28 Ibid., p. 3. Ibid., pp. 5-6. 29 <u> 30</u>

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Tab Q to Annex N to Appendix B <u>31</u>

COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u>1</u>
BY	2
COLONEL EUGENE A. WAHL, USAF	3
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In the air operations area, probably the biggest	<u>5</u>
problem that we had from the standpoint of support was the fact	<u>6</u>
that we did not or SOG did not own their own aircraft. In	7
other words, they weren't assigned. Although we had the	8
responsibility for scheduling the aircraft Chief, SOG did not,	2
in fact, have any aircraft assigned. For example, the C-130s	10
were assigned to the 314th and CCK; they were under the	11
operational control of 7th Air Force and they were fragged by	12
MACSOG. C-123s were assigned to I don't know who yet we	<u>13</u>
fragged them and although we used them, they weren't ours. They	14
could have been pulled any time even though they weren't when I	15
was there. The possibility did exist •	<u>16</u>
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Overriding priorities could have diverted the resources	18
or assets from SOG and, as far as I was concerned, it's not	<u>19</u>
the logical or the best way to operate. In this type of an	<u>20</u>
operation, I felt that the operational control, in addition to	<u>21</u>
the assignment should be under MACSOG.**	22
	23
I don't really think we're using it (the US	24
technological capability) to the maximum extent we	<u>25</u>
should have a VSTOL type aircraft that could put a complete	26
team in, take off at a launch base, run 100 or 200 miles on	27
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** Ibid., p. 5.	29
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Tab R to Annex N to Appendix B

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the deck, land vertical, drop the team off, pinpoint navigation, return covertly . . . You should have everything you are looking 2 for in a covert operation - your communications, for instance dealing with the teams and dealing with your missions in communica- 4 tions is the one big area that is lacking . . . communications <u>5</u> is difficult from the standpoint that you are dependent upon <u>6</u> certain times of day, certain times of the month to get the 7. maximum communication. Maybe we should think in terms of . . . 8 any time of the month and get 100 percent reliability on 9 communications and we wouldn't have to worry about restricting <u> 10</u> our operations to certain times of the moon or to certain <u>11</u> times of the day to the atmospheric conditions. The 12 communications really, regardless of what type of operations, <u>13</u> 14 is the biggest stumbling block. I think our technology is such that we should be able to overcome this difficulty. 15 16 17 <u>18</u> <u> 19</u> 20 <u>21</u> 22 <u>23</u> 24 <u>25</u> 26 <u>27</u> Ibid., pp. 6-7. 28 <u> 29</u> 30 <u>31</u>

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CONMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	±
ВУ	2
COLONEL ROBERT C. KENDRICK, USA	<u>3</u>
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I think that, in future programs of this kind, the teams	5
should be sent in as small groups and then team size increased	<u>6</u>
once these groups are able to live and survive in the country-	2.
side. I don't think we have a great problem in getting them	8
into the country. The big problem is that they are able to	9
survive and live once they get in. Our experience has shown	<u>10</u> (
that the stringent and efficient control the North Vietnamese	<u>11</u>
exercise over the population have caused such a fear in the	12
people that they are quick to detect and report our agent teams	<u>13</u>
once they make an overt act. We are operating against an	14
intelligent, efficient enemy and not against a bunch of	<u>15</u>
monkeys just out of the trees. These people know what they are	<u>16</u>
doing. They are very thorough and, as a result, our results	<u>17</u>
have been less than satisfactory, except for the benefits of	18
having the North Vietnamese commit a lot of men and materiel	<u>19</u>
to locate these teams. The mission they were actually sent to	20
perform, to gain usable intelligence on targets so that we	21
could use our massive air power, was for all practical purposes,	<u>22</u>
a failure. I think that when the teams are put in, they should	<u>23</u>
be in small numbers; once a team is able to survive, then	24
reinforce it as required. There must be some means to	<u>25</u>
exfiltrate either the team or members of the team periodically	<u> 26</u>
to be sure of what is going on in their area.*	27
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* (DS) Interview of Colonel Robert C. Kendrick, USA, p. 3.	<u>29</u>
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Tab S to Annex N to Appendix B <u>31</u>

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Because of our lack of success in TIMBERWORK and to try to have an effective program in North Vietnam, the STRATA Program was developed. The STRATA teams, when they first went in, were small (six to seven personnel). We were able to get them in. They were to watch the roads; the teams were not very aggressive. By the time the bombing halt occurred and we had to stop committing STRATA teams, they were getting better. I think that our basic mistake, when we first committed the teams, was not having adequate means of communication. These teams needed a real-time capability to call in tactical air. This was needed because once these teams got into trouble or found a good target, they could hit it with immediate tactical air. By the time the program was stopped, we were getting this capability. It was a mistake to stop this program so soon. I think the program would have improved a lot faster had we been allowed to put a couple of US personnel with each team to provide leadership and radio communications. The teams would have been a lot more aggressive.*

Until US operations break down the communist controls on the populace, we are going to have a real hard time establishing guerrilla warfare as we know it in any communist-controlled country. We would have had an almost impossible job of forming guerrilla bands and of conducting guerrilla operations in North Vietnam otherwise.**

. . . Because of the efficiency of the communist controls in North Vietnam, every village, hamlet and province -- the

<u>Ibid.</u> <u>Ibid.</u>, p. 6.

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Tab S to Annex N to Annendix B

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way the population and resources are controlled, it is next to impossible to introduce a group of strangers, regardless of how they are documented, into these areas and expect them to survive. If the controls are broken down so that it looks like that it is time for the opportunists to change sides for either survival or other reasons or to get on the band wagon in case someone else is going to take over, then you may be successful. Regardless of how well the team is trained, how they are documented or the numbers, unless they are able to get in there and operate, they are just not going to be successful. Our past operations bear this out. If we don't have a contact in the area, teams are going to have a rough time operating. When you pick teams to go, you hope to get people who are from that area. It is better to have someone that actually lives in that area. This is sometimes impossible to do. You may have to take men, if available, who lived there before. As a last resort, you may have to send men in there practically blind, trained as best you can. Through proper documentation, you try to introduce them in there as men formerly from that area and with some plausible excuse for being there now. . . . *

Any agent team that is to be introduced into a strange area should consist of not more than two to five people so that they will be able to secure themselves. In case they are captured, it cuts down on the number of people lost. Small forces should consist of just enough people to provide for

* <u>Ibid.</u>, p. 6.

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security for 24 hours a day and give them a chance to hide and	1
get set up. A large group will have a security problem and	<u>2</u>
instead of losing from two to five, you are going to lose them	<u>3</u>
all. I think that you should send a small group in to make an	<u>4</u>
area assessment and to get organized before reinforcing the	<u>5</u>
team. If you don't, you just send them to their death.	<u>6</u>
As to operations, once the teams get in if they can't	<u>7</u>
mix with the people and be a part of them, the teams won't	8
have much chance of accomplishing their mission. If a team	2
is deployed and expected to stay a long time, unless the	10
population controls are really broken down or the area is	11
really isolated, I think it is going to be almost impossible	12
for a team to survive. Once the team makes an overt act,	13
survey forces will be brought in. These forces will block off	<u>14</u>
the area and screen it from A to Z. They won't stop until	<u>15</u>
they check out every ounce of intelligence or every indication	<u>16</u>
that somebody is in there. Our past experiences bear this out.*	<u>17</u>
When the North Vietnamese send agent teams or	18
infiltrate small groups of the North Vietnamese Army into	<u>19</u>
South Vietnam, they have somebody to meet them, to take care	20
of them, to feed them, and to guide them. I am talking about	<u>21</u>
the Viet Cong. If we had a similar organization in North	<u>22</u>
Vietnam or similar friendly support there, we could do exactly	<u>23</u>
the same thing. Until you have this, your guerrilla and	<u>24</u>
intelligence collection operation are at the best going to be	<u>25</u>
marginal	<u> 26</u>
	<u>27</u>
Larger size (battalion) exploitation forces	<u>28</u>
should be authorized for use in Laos. These would be much	<u>29</u>
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<u>Ibid.</u>, p. 7.

Tab S to Annex N to Appendix B <u>31</u>

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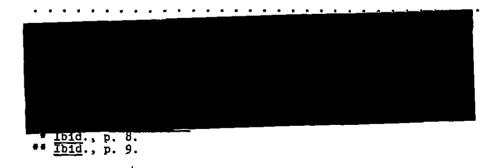
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more effective than at present. If we were able to put in larger forces along Routes 9, 922, 165, 110, we would certainly be able to impede and to a great degree stop vehicles hauling men and materiel into South Vietnam. Battalion-sized forces would have more staying power. We are restricted now to three platoons on one operation at any one time. We have had some pretty successful operations of stopping the North Vietnamese on Route 110. If we were able to do this with larger size forces, we would be able to stay in there longer. Now when we put forces in Laos, after they've been there a few days, with the numbers of troops that the North Vietnamese Army has in the PRATRIE FIRE zone of operations, they are able to run us out even though we have our massive air hitting them.*

There should be some way for us to get authority on occasion to conduct operations outside the PRAIRIE FIRE zone west, down Route 9, 122, 165, 110 and south, down Route 592 and 96. This is not being covered by Lao forces and they have their reasons as to why they don't want us in there. But I do feel that our capabilities, our US leadership — talking to US pilots in US airplanes — give PRAIRIE FIRE teams a better capability than the Lao teams. . . .**

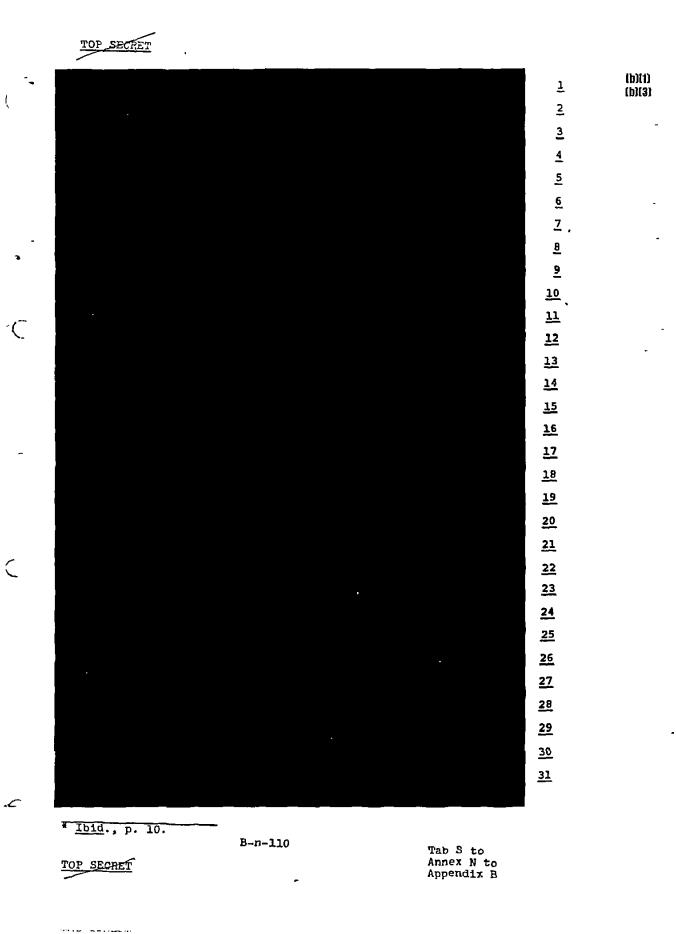


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Tab S to Annex N to Appendix B

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I want to mention our efforts to establish an intelligence net in Laos. MACSOG wanted to establish an intelligence net using friendly natives throughout the Laos panhandle. This was approved but because of the efficient controls of the communist forces in that area, we were unable to recruit or establish an intelligence net. To my knowledge, we don't have a single individual providing us intelligence from that area on a routine or continuing basis. You are going to find that this situation to a degree will exist in any place the communists have their controls until something is done to knock out or change the control they have over the population. The same holds for recruiting guerrilla forces. MACSOG tried to get approval to establish a guerrilla force in the PRAIRIE FIRE area of about 3,000. We were never able to get approval for the project. I personally feel that it would have met the same fate that the intelligence net met. I often wondered why they didn't try to recruit and use the many Bru who had been run out of the area in Laos just west of the DMZ. They could have used Lang Vay as their base. This never was done; there were probably reasons why it wasn't."

In the PRAIRIE FIRE zone, had we been able to establish a guerrilla force, regardless of how many or how small or in how many places, under the current controls, the communists have in that area, once a village or settlement made an overt act against the North Vietnamese, I think every person there would have either been killed or would have had to depart the area. I think this information would have spread very quickly and any friendly forces we had in Laos either would have quit or would have been ineffective.

B-n-111

Tab S to Annex N to Appendix B

^{* &}lt;u>Ibid.</u>, p. 11. ** <u>Ibid.</u>, pp. 11-12.

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. . . . I think that the STRATA teams which are based and live at Danang should be used in the PRAIRIE FIRE zone west of the DMZ so that they would be better prepared to go North, which they are being maintained for, in case they are required. They are now used in both the SALEM HOUSE and the PRAIRIE FIRE zones. In case they are never able to go back into North Vietnam and are required to go into other parts of Laos and Cambodia, current operations will give them background and experience.*

. . . I think that once we determine that we are going to run a covert program, whether it is in Cambodia, in Laos or in North Vietnam, it should be run as a covert program. Until the policy is determined that we will not run the program. MACSOG should be given the go-ahead and allowed to run their program. All of the different people who feel that they are controlling or running the operations should be kept completely out and MACSOG allowed to run the program as a covert one and .to operate freely as long as they stay within approved guidelines. Until we do that, the programs are going to always be cumbersome, frustrating and to a certain degree, ineffective. There are too many people trying to control, run and influence the MACSOG operations now being conducted in Laos and Cambodia, and even more so when we were running operations in North Vietnam. I'm particularly making reference to raids along the North Vietnamese coast by action teams transported there by PT boats. It was almost impossible at times to get approval at CINCPAC because some people just didn't see the need for these operations. This just wasted a good asset that could have been used often and effectively. It is better to try and fail than not to try at all. **

B-n-112



^{* &}lt;u>Ibid.</u>, p. 12. ** <u>Ibid.</u>, p. 15.

COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u>ī</u>
ВУ	2
COLONEL ROBERT C. KINGSTON, USA	<u> 3</u>
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Several safe houses were obtained and used by OP-34	<u>5</u>
during my stay. I think the majority of them were procured	<u>6</u>
indirectly. Proper security in the procurement was lacking	<u> 7</u> .
both on the part of the STS and US SOG support personnel. It	8
is my belief that no American should ever go near or in a safe	9
house, particularly until after it has been established. Then,	10
if he must go, it should be, I believe, only the US case	<u>11</u> `
officer and all sorts of anti-detection measures should be	12
followed. Safe houses were procured that Americans went down	<u>13</u>
and surveyed. They took footage to make sure we weren't over-	14
paying on the local markets and bought them just as if they	15
were buying another US billet or installation. As it turned	16
out, several of the safe houses that I had and that were in	<u>17</u>
operation for me were owned by STS officers. We had no idea	18
how long they had owned them or whether they themselves were	<u>19</u>
not blown as Vietnamese intelligence officers.*	20
	21
The safe houses that OP-34 had were to house the	22
teams after the initial team training had been conducted and	<u>23</u>
prior to infiltration. It, in theory, was to be an area in	24
which the team could be securely held away from the camp	<u>25</u>
personnel and away from the training personnel that had given	26
them their team and individual instruction. If the team did	27
not have one of its members selected to become a radio	28
operator, the radio operator joined the team in the safe house.	<u>29</u>
This is in theory. In practice, some of the teams stayed in	30
the safe houses so long that they obviously became compromised	<u>31</u>
in them.**	

COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE

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Tab T to Annex N to Appendix R -

^{* (}T8) Interview of Col Robert C. Kingston, USA, pp. 1-2.
** Tbid., p. 4. B-n-113

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In the actual running of the safe houses, we had safe housekeepers who had no authoritative control over the teams. The STS case officer or assistant STS case officer did not live with the team in the safe house so that they could control the actions of the team on a 24-hour basis and thus prevent them from leaving the house almost at will when instruction was not going on or the case officer was not present. This lack of control of the teams' movements in and out of the house was an obvious disadvantage to our operation. The length of time in which some of the teams remained, due to operational necessity, in the safe houses obviously contributed to the compromise and disclosure of the safe houses.*

It is my opinion that a safe house hould hold the team for a minimum time and certainly not for three to six months. A safe house should certainly be used only once and for the housing of one team. If, after the exfiltration of that team a safe house is required, then I see nothing to prevent the team returning to that safe house if the organization still has it on the payroll. There were, in my opinion, sufficient houses in the Saigon area that we could have, had we had the proper support personnel that understood the purchase and use of safe houses (both American and STS), done better on our safe houses. They are not permanent possessions nor should they become so. Several safe house owners were probably known as STS case officers and automatically any team being inserted in these houses I felt were blown. Needless to say, corrective action, when this was known to me, to Colonel Singlaub, or to Colonel Austin, was taken.

Ibid., p. 5.

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Tab T to Annex N to Appendix B

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Upon my initial investigation of the SOG packing sheds or packing areas in the same general location as the 5th Special Forces Group in Nha Trang, I found that all my teams in North Vietnam had bins with the name of the team over the bin and that some of the personnel in the bin area or in the supply area were indigenous. This immediately blew the number of teams that we had and possibly the number of personnel in each I had this stopped immediately and was amazed that the US personnel in charge of the packing would complain or insist that they had a right to know the number of teams and number of personnel in the teams they were preparing supply bundles for. I bring this up because I think a packing or shipping list could have been given to the 5th Special Forces Group and they could have prepared the bundles. They had no reason to know where the bundles were going or whom they were for. I think this is the area of duplication that we could eliminate. . . .*

* Ibid., p. 3.

Tab T to Annex N to Appendix B

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ВУ	2
COLONEL HAROLD K. AARON, USA	<u>3</u>
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In debriefing some of my people in Okinawa after	<u>5</u>
they returned (I personally debriefed them), they gave me the	<u>6</u>
impression that in early 1968 many of the operations were	7
poorly planned and launched. Also, that there was a poor	8
degree of organization and support for their operations. One	9
example was the large number of teams that were on the ground	10
in Laos; if emergency extractions were required of the majority	11
of the teams, there was inadequate helicopter support for the	12
extractions. Another thing that they complained about (I know	<u>13</u>
that this was also a problem for the SOG people) was the	14
restriction of about 20 kilometers imposed on the depth of	15
penetration into Laos. After operating in these areas for	<u>16</u>
about two years, the enemy had dveloped a highly sophisticated	<u>17</u>
alert and warning system. He had all of the LZs pretty well	18
reconnoitered and had the guards posted so that when people	<u>19</u>
got on the ground it was a short period of time before there	<u>20</u>
was a reaction force moving out to intercept them and engage	<u>21</u>
them. The SOG people attempted without success to try to	22
deepen the area of penetration but did not achieve a great	23
deal of success either from the US Ambassador in Laos or from	24
Washington. Had SOG been able to make deeper penetrations,	<u>25</u>
this would have tended to dilute the security and warning	<u>26</u>
system and perhaps more insertions could have been made and	<u>27</u>
people could have stayed on the ground longer than they did.*	<u>28</u>
	<u>29</u>
* (T8) Interview of Colonel Harold K. Aaron, USA pp. 6-7.	<u>30</u>
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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE

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Tab U to Annex N to Appendix B

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My people were particularly concerned when they were going through specific target areas that there were very little data maintained in a running target file by which they could study the area and study it well and know some of the problems that had been encountered before. The intelligence was quite poor or was improperly collated. Consequently, the teams were sometimes improperly prepared for their missions. In some cases, members of the teams told me that they had an hour's notice to load the helicopters and begin the insertion. They felt that there was more of a concern for increasing the number of insertions, increasing the quantity, rather than trying to develop greater qualitative performance and efficiency. They felt that there was undue pressure from Saigon to get more teams out and on the ground.*

and being responsible I had more than enough to handle. It was serioudly reaching the limits of span and control. Some people advocated to me that we should take over and absorb the cross-border operations. I was against this because I didn't think that we were capable of doing it without diminishing effort in other areas.

There is one other appendage to the SOG operation that I would like to comment on and I feel very strongly about it. It is the JPRC. I became involved with the JPRC when I was with SACSA and I was convinced during my whole tour in SACSA that we in the JCS were not doing as much as we possibly could for the people that were prisoners of the Viet Cong or the NVA.

* <u>Ibid., p. 7.</u>

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Tab U to Annex N to

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We became involved in the 5th Group in some prisoner recovery 1 operations in-country. As we went through these evercises --2 starting to prepare the operation, plan it and mount it -- it 3 became apparent to me that we did not have in being a quick 4 reaction capability to respond to intelligence of US PW camps. 5 I feel that you must have a highly trained, highly selective, 6 force that is immediately available for launch operations 7 within 24-48 hours before those prisoners are moved. Independent-8 ly of the SOG staff, I proposed to Chief, SOG that I provide 9 the personnel, both US and indigenous, and the logistical support 10 for a two-company reaction force for prisoner recovery operations <u>11</u> in-country. I had the funds and the necessary assets to do 12 the job. The operational control of the force would be directly 13 under Chief, SOG. At the same time, I found out that the JPRC 14 15 Staff under MACSOG had come up with the same conclusion and the same approach. I made my views known to Chief, SOG as well as 16 to the J-5 of MACV that I was willing to do this, and would be 17 willing to pursue it aggressively, and get it launched as soon 18 <u> 19</u> as possible. Chief, SOG told me that he did not think it was 20 feasible and the J-5 said that they would study it if I would submit a formal proposal to MACV. I told them that it was so 21 damned obvious to me, and that if they couldn't see the 22 benefits from such an exercise and such an organization that I <u>23</u> <u>24</u> wasn't going to submit a formal proposal. I do feel that such <u>25</u> an organization is still needed. If you want to launch a <u>26</u> prisoner recovery mission now, the JPRC people have to go to 27 the field force commander and have him allocate assets, both personnel and helicopters, plan the operation, and then run it. 28 <u>29</u> In many cases, they were coming to one of my companies of the <u> 30</u> Group, particularly in III Corps, and we were starting to set <u>3</u>1 up the operation to recover the prisoners. The time we launched

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the operation was anywhere from seven to twelve days ara	1
usually we found out that we had a "dry hole." I am still	2
convinced even to this day that, in terms of prisoner recovery	<u>3</u>
operations in Vietnam, we are not geared properly for rapid	4
response and rapid reaction to recover our personnel.*	<u>5</u>
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Another thing in which we have been lacking is the codification of doctrine and techniques that have emerged from the SOG operations for the past four or five years. Helicopter operations have become increasingly sophisticated; operations orders have become more detailed. We have done little to codify these and to take advantage of these data either in the intelligence field and the operational field, and to use them as the basis of training. When SP people come over there, they have very little idea as to what these operations are like and it takes them about three to four months to get their feet on the ground and to learn from on-the-job training before they become effective.**

I think also that there was an initial tendency in Saigon, and perhaps rightfully so because of political sensitivities, to centralize much of the direction and control in Saigon. I think as time has gone on more and more latitude has been given to the C&C Detachment commander in terms of when he will launch the operations and when he will extract, etc. While I was with the lst Group, I felt that cross border operations were overcontrolled and over-centralized in Saigon and that many of the people in Saigon with SOG had little appreciation for the

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Tab U to Annex N to Appendix B

^{* &}lt;u>Ibid.</u>, pp. 8-9. ** <u>Ibid.</u>, p. 13.

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problems of the launch commanders and the problems that they were encountering in the field. For example, a team would get on the ground and get in trouble and would request emergency extraction. (Sure, some of the teams that went in there cried "wolf" early and got out before the enemy pressure was built up.) Cases of premature extraction caused an adverse reaction in Salgon and the man before he could be extracted had to be approved for extraction by SOG. I believe that the launch commander or the C&C Detachment commander should have the authority and if the extraction was premature, and found to be so, then he would take appropriate action against the reconnaissance team leader when he returned from the area. In essence, what I am saying is that you have to give the man the responsibility and insure that he does it. To a certain extent, decentralization was not provided when it should have been.

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Ibid., pp. 13-14.

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Tab U to Annex N to Appendix B

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COMMENTS ON MACSOG'S OPERATIONS AND INTELLIGENCE	<u> </u>
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COLONEL GEORGE A. HALOHEY, USA	<u>3</u>
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the military problems associated with setting up ${f a}$	<u>5</u>
cross-border program, while great, are minuscule in comparison	<u>6</u>
to the tremendous problem of obtaining the political authority	<u> </u>
to initiate and carry on programs.*	8
Looking first at military problems, you need to create	9
an organization that is responsive to a relatively small	10
number of people who, on a continuing basis, will take on very	11
high risk missions in enemy areas behind enemy lines. To be	12
successful on a continuing basis requires a highly responsive	<u>13</u>
helicopter system. It requires a continuously responsive	14
communications net; i.e., any time a team feels as though there	<u>15</u>
is a need to communicate, it can do it with the assurance that	16
its broadcast will be monitored by a relay station, airborne if	17
necessary. There must be a 24-hour a day communication link.*	18
The third requirement of this system is a retrieval	<u>19</u>
procedure which will permit the introduction of sufficient	20
force to gain temporary local superiority to permit a team to	<u>21</u>
be pulled out or extracted from a hot spot very quickly, 1.e.,	22
before the enemy has a chance to react and to build up a	<u>23</u>
significant strength in the area of a trapped team. Certainly,	24
one of the clear lessons to us in this extraction business is	<u>25</u>
that the longer we permit the team to remain in a trapped	26
position, the more difficult it is to eventually get them out.	<u>27</u>
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* (TS) Interview of Col George R. Maloney, USA, p. 2.	29
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Tab V to Annex N to Appendix B <u>31</u>

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When a team is discovered, if you don't get the out in the ensuing 30 to 40 minutes, then your chances of getting them out at all go down greatly because in that intervening time, the enemy has a chance to move weapons and forces into the area. They are able to throw up a huge volume of fire which will prohibit the helicopters from coming in and making a successful extraction.*

Another problem associated with the cross-border program is the fact that you want to keep the presence of US personnel on your reconnaissance team to the irreducible minimum. This means that you must train indigenous type troops to an acceptable skill level. This means teaching them how to read maps, how to use compasses, how to navigate at night, how to use all the type weapons that will be in the environment — both ours and the enemy's, how to call in artillery, how to adjust tactical air, how to communicate with the FACs and the gun ships, how to use first aid, how to talk enough English so that they can communicate in the event that the two Americans on the team are incapacitated. We've had any number of examples which have tended to strengthen our belief that the results obtained vary directly with the degree of proficiency that is obtained by your indigenous team members.**

. . . senior military commanders in the field in Vietnam are the primary users of this tactical intelligence on enemy activity in Cambodia. I say that because often times you will hear from other agencies comments to the effect that they are

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Tab V to Annex N to Appendix B

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^{* &}lt;u>lbid</u>. ** <u>lbid</u>., pp. 1-2.

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not getting any significant intelligence from the DANIEL BOOME program. This taces up to the age-oll probler of how far you want to disseminate intelligence without tipping the fact that such a program does exist. Frequently you will find agencies back here in Washington, particularly State Department, who question what we are getting out of DANIEL BOONE because they are making their judgments primarily on what of value they get out of the program. Of course, since the program is primarily tactical in nature, it does not deliver to the Washington arena intelligence which is primarily strategic in nature and would have interest to those people back here.*

I think one of the keys that should be used in evaluating the program is the fact that there is no other acceptable alternative means of getting this type of information other than by ground reconnaissance patrols. Whatever the deficiencies of this program are and whatever the alleged shortcomings are, unless there is a viable alternative which is an improvement, then clearly we better stick with what we have. **

<u>lbid., pp. 5-6.</u> <u>lbid., p. 6.</u>

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Tab V to Annex N to Appendix B

COMMENTS OF MACSOG'S OPERATIONS AND INTELLICENCE	1
ВУ	<u>2</u>
STAFF SERGEANT RUSSELL D. ALLEN, USA	<u>3</u>
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During my time working with this operation, I	<u>5</u>
worked with a total of 10 STRATA teams. Initially, we started	<u>6</u>
out with four teams and used those up until the bombing halt.	2.
Then we had a buildup to 10 teams which we used on numerous	<u>8</u>
operations. The first four teams had up to 15 men but we	9
decided that was too many people for a reconnaissance type	10
mission and we trimmed them down to from 6 to 10. The teams	11
consisted of a team leader, normally two assistant team	12
leaders, radio operators, usually two (one main and one	13
backup), and two scouts who took care of the navigation. The	14
missions of the teams normally consisted of a roadwatch along	<u>15</u>
a certain stretch of road to determine the amount of vehicular	<u>16</u>
and foot traffic on that road, the direction of movement, the	17
times that there was traffic on the roads and times that this	<u>18</u>
traffic would start in the morning and time that they would	<u>19</u>
stop at night. Also, during their movement to their point of	20
roadwatch, they would conduct a minor terrain analysis and	<u>21</u>
then coming from a roadwatch site to their exfiltration LZ,	22
they would do the same to give us an idea of the type of	<u>23</u>
vegetation, what the terrain was like, if it was very hilly,	24
how high the hills were, etc. Normally, we would insert the	<u>25</u>
teams by a CH-3 helicopter. The infiltrations and the	<u>26</u>
exfiltrations were conducted during the day-time. I remember	27
only one parachute infiltration which was conducted at night.	<u>28</u>
Due to problems encountered on this mission, it was decided to	<u>29</u>
use the daylight helicopter type infiltrations. During my	<u>30</u>
tour, I was involved in 19 infiltrations, mostly with the	31

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Tab W to Annex N to Appendir B

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four initial teams. There were somewhere around 30 operations	<u> </u>
in all conducted during the time that I was there.*	2
As concerns the effectiveness of the teams and the	<u>3</u>
results, I felt that the teams usually were fairly effecti =	4
in that, if nothing else, we could show the North Vietnarese	<u> 5</u>
that we could put teams into their territory whenever we like	<u>6</u>
and come in and take them out. Usually the teams did a good	7.
job but we did have some problems getting them to complete the	8
mission that they were assigned. However, the information that	9
they picked up en route to their point of roadwatch such as	10
the location of watch towers or control points was fairly good.	11
I stated that we were proving to the North Vietnamese that we	12
could put teams into their territory and take them out. We	<u>13</u>
found out through OP 33 that the North Vietnamese knew this.	14
OP 33 would get newspapers from North Vietnam or monitor their	<u>15</u>
radio stations and get articles where the North Vietnamese	<u>16</u>
stated that they had captured an agent or caught up with a team	<u>17</u>
and killed all the members of the team. These teams were usually	<u>18</u>
referred to as US ranger teams. This gave us an idea that they	19
knew that the teams were there.**	<u>20</u>
	<u>21</u>
On the communications procedures, the STRATA	<u>22</u>
teams would send messages by CW and by voice from their	<u>23</u>
operational area to our site at Danang. There the messages	<u>24</u>
received would be sent on to Salgon where necessary decisions	<u>25</u>
were made. These were sent to Danang and we'd take action	<u>26</u>
there. For instance, if they requested a resupply of ammunition	27
	28
* (78) Interview of Staff Sergeant Russell D. Allen, USA, r. 4. 1bid., pp. 4-5.	29
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Tab W to Annex E to Annenc'. 5 <u>31</u>

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or supply of demolitions, etc., we would ready this resupply in Danang and take it to Nakhon Phano. It would be delivered to the team from thers. The teams also had communications with the search aircraft or the forward air controller by the use of a PRC 25 which was used strictly for this purpose. For the long-range communications from the operational area to Danang, the team used a PRC 74 which has both CW and voice capability. However, it cannot net with the search, aircraft. The teams also carried small rescue radios, RS 2s. They sometimes had the HT 1 for inter-team communications if the team was expected to split up at any time during the mission.*

When we sent a STRATA team on a mission, we would move them from Danang to Nakhon Phanom by C-130 or C-123 aircraft. From 14 there we would move them on in by CH-3. The only problems we'd have by staging in Nakhon phanom was that we could not keep our teams in Nakhon Phanom overnight. We had to be very careful when we had them there, moving them from the airport to our secure area. We had to move them in a closed van and be very careful that none of the Thai nationals saw the team members. We would move them from the secure area to the aircraft by the same vehicle. If we had an important mission or something that couldn't wait and we needed to keep a team in Nakhon Phanom overnight, we could declare more or less an emergency and get permission through the embassy to keep the team there overnight but usually this would only be for one night. Most times, however, if we had bad weather or problems with our helicopters or anything like this where the mission was a no-go, we would carry the team back to Danang. We would check the weather the next day early and, if possible, we would try the insertion one day late. **

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Tab W to Annex N to Appendix B

Ibid., p. * Ibid., p. 6.

	<u>1</u>
Most of our insertions were done during daylight hours.	2
When we did this, we would infiltrate or insert into very	<u>3</u>
mountainous areas which usually had very heavy underbrush,	4
thick jungle, etc., and very little population in the area.	<u>5</u>
The teams would move from the infiltration LZ to their objective	<u>6</u>
on foot. This distance would be up to 10 kilometers, depending	2.
on where we could find a good LZ without getting the team so	8
close to their objective that the operation might be spotted	9
and a team given away before they even got on the ground. To	10
my knowledge, all the insertions that we had were good	11
insertions and were undetected.*	12
	13
STRATA missions were designed to be covert	<u>14</u>
operations. We tried as much as possible to use indigenous	<u>15</u>
equipment; however, some equipment we couldn't get through	<u>16</u>
foreign channels. We had to use American made radios.	<u>17</u>
	18
Their cover story was that they were recruited	19
and trained in South Vietnam by Caucasians or Westerners and	20
put into North Vietnam to search for and attempt to rescue	<u>21</u>
downed American pilots.*	22
	<u>23</u>
STRATA teams were trained for prisoner snatches	24
and were given this as a secondary mission. We encouraged	<u>25</u>
teams to take prisoners. If this were done before they reached	<u>26</u>
the objective we would exfiltrate them at that time. During	<u>27</u>
	<u>28</u>
1b1d., p. 7.	<u>29</u>
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Tab W to Annex N to Appendix B



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my time there, we ran one mission that was strictly a prisoner snatch. On this mission, the team members carried British. Sten guns with silencers. Usually, on the missions, we would have one team member carrying the Sten gun for the purpose of the prisoner snatch. . . .*

. . . . When I first arrived at Long Thanh and started working with the teams, they were carrying way too much equipment. I have never seen a man carrying as much as his own weight but I have seen one weighing 124 pounds soaking wet carrying as much as 80 pounds or a little better. This greatly impaired their ability to move. It was too much equipment for reconnaissance teams. It would wear them out. They would get tired early in the day due to the heat and everything in that country. We tried trimming their equipment down and taking away items of equipment that they didn't necessarily need to carry. I've seen times when they issued telescopes with tripods for long-distance observing which, as many times as I saw the teams operate, they never did any long-distance observing. Usually when they came back, they didn't have the telescope or the tripod. They lost it on the infiltration or the exfiltration or while being chased by the enemy. We decided to take away this piece of equipment. The homing beacons we took away when we stopped the parachute type infiltrations. Also, we took away the national panasonic radios that each member of the team carried for homing in on the beacon. The team panasonic radio was retained. **

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Tab W to Annex N to Appendix B

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<u>Ibid.</u>, p. 7. <u>Ibid.</u>, p. 8.

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. the STRATA missions could be improved if we would . . . have Americans work directly with the teams and accordany the teams on their missions, eitner as leaders or advisors. During training and training operations the teams always have an American there. The American usually has more experience. He has been working at this for several years and he's a good man, he knows how to work the equipment, he knows how to think and how to react in an emergency situation. When the teams go in the north on a mission, all of a sudden they find themselves without the American. I think this causes some confusion at first . . . I think if the Americans were used along with the teams we'd definitely improve the results we get back from the missions. We could get more information and better information . . . Possibly for now we could use Americans and later on, after Americans had gone with a team three or four times, then we could put a team in on a mission without the Americans. They would be more familiar with the feeling of being someplace on their own and it wouldn't affect them the same as after training with Americans all the time, just dropping them off by themselves.*

* Ibid., pp. 8-9.

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Tab W to Annex N to Appendix B

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COMMENTS OF MACSOG'S OPERATIONS AND INTILLIGET CE IT. COLONEL JEFFEPSO.' SEAY, III, USA 3 . . . At the time that I was assigned to SOG, the 219th 5 was tasked to support the SOG operations. The squadron was <u>6</u> 2. authorized 25 H-34s and had assigned 23. However, they were only able to fly between five and eight choppers per day. 8 Chief, SOG directed the Deputy Chief, SOG, at that time Colonel 9 Gleason, to take that as a special project and see what he 10 could do to bring up the operational capability of the 219th. <u>11</u> At about this same time, a Vietnamese Air Force major was 12 assigned to STD as the liaison officer, a Major Tien. Through 13 the efforts of Colonel Gleason and Major Tien, they were able 14 in about three or four months to bring the operational count <u>15</u> 16 up to 10-12 choppers a day, and occasionally 15. However, there were two major problems that Colonel Gleason encountered: 17 spare parts and the availability of aircraft. It appeared that 18 the spare parts earmarked for the 219th were being diverted to 19 other VNAF squadrons and that new H-34s earmarked for the 219th 20 were diverted upon arrival and sent to other squadrons. This 21 latter problem, Colonel Gleason was unable to solve; however, 22 23 the operational capability of the 219th did come up and other aircraft were assigned to fill the shortage. The spare parts 24 <u>25</u> problem wasn't solved, but it was improved to a great extent. 26 The improvement of the 219th was attributed to Colonel Gleason <u>27</u> and Major Tien and this was a good example of how much can be 28 done when the US and the Vietnamese counterparts work together <u>29</u> on a problem. During this period, Colonel Gleason and Major Tien made numerous trips to Nha Trang and Danang. They 30

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jointly met with the USAF advisors and VNAF correnders a c, through their mutual efforts, they were able to accomplish quite a bit.*

After the STRATA concept and program was approximately six months old, various US officials were becoming quite critical of the results obtained. This was true; they were not doing too good a job. However, in the type of operation that STRATA was, it takes considerable time just to get men who are experienced, trained and motivated to accomplish the mission. In one instance, a STRATA team went in and one of the soldiers inflicted himself with a wound so that the whole team could be extracted. After investigation, it was determined that an Army lieutenant who was the team leader was the instigator of the action and that the man had indeed shot his foot so that they could all be extracted it takes some time to weed out the weak ones and find out which individuals are going to be strong. In this particular case, one of the men who turned the rest of them in at the investigation was later made a team leader. . . To insert people in hostile territory in very, very rugged terrain under very hazardous conditions, takes time to develop good individuals whom you can depend on to go in and do a good mission. So, I think that the impatience on the US side is not always warranted. To add to this, the THUNDER CLOUD operation was finally cancelled due to lack of results. I feel that had THUNDER CLOUD continued for some months that some very good results would have come of this concept which was using NVA ralliers as three-man agent teams in South Vietnam for combat

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* (T8) Interview of Colonel Jefferson Seay, III, USA, pp. 4-5.

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Tab X to Annex N to Appendix B

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operations and intelligence gathering. However, the results were not coming forth as soon as the US counterparts felt they should, so the program was abandoned. Part of this, I believe, stems from the fact that an officer has one year to do in Vietnam and he must produce results; therefore, if he doesn't show results in the program, it's no good. Many of these programs take months and maybe even years to develop the expertise required to accomplish the mission.*

Let me eleaborate on the THUNDER CLOUD program. After 9 Tet,/19687 SOG was tasked more and more for in-country operations <u>10</u> of reconnaissance teams and platoons and even up to company-size <u>11</u> because there were very few cross-border operations being 12 conducted. In-country operations were a necessity at the time; 13 14 however, valuable assets, i.e., unconventional warfare, crossborder, were being utilized in-country rather than in the area <u>15</u> for which they were originally intended. During this period, 16 the THUNDER CLOUD concept was evaluated and it was determined 17 that they were not doing a proper job. Each team consisted 18 of two to three NVA ralliers who had volunteered to go back in 19 NVA or in VC-held territory of South Vietnam posing as NVA 20 soldiers for the purpose of collecting intelligence, conducting 21 combat operations and capturing prisoners. In some cases, 22 these teams were highly successful. They were able to walk 23 up to another NVA soldier, convince the other NVA soldier that <u>24</u> they were one of his comrades in arms and capture him. However, <u>25</u> in some cases, in the extraction, a prisoner was killed or had 26 to be killed because of other NVA units moving in the area. <u>27</u> I believe that if this program had been allowed to run for six 28 more months, it would have produced very, very outstanding 29 results simply from the fact that the team could move right 30 into NVA territory without being contested.** <u> 31</u>

^{* &}lt;u>Ibid.</u>, pp. 7-8. ** <u>Ibid.</u>, p. 8.



COMMENTS ON DACSOG'S OPERATIONS AND INTELLIGENCE	=
ву	2
COLONEL ROBERT L. GLEASON, USAF	<u>3</u>
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In the FOOTBOY Program, of course, they \sqrt{h} igh level	5
political decisions and restrictions had a direct influence.	<u>6</u>
The first vitiating factor occurred when restrictions, publicly	7.
announced, were placed on conventional forces. First, the	8
restriction on bombing during certain periods or restriction	ā
on bombing above certain latitudes. We had similar if not	10
identical restrictions placed on our FOOTBOY operation. This,	<u>11</u>
of course, would directly associate the relationship of these	12
two operations in anyone's mind. This would detract from	13
FOOTBOY effectiveness. I hasten to add that we're not so	14
naive as to think that the government of Hanoi was not aware of	<u>15</u>
the origin and genesis of all the FOOTBOY operations. However,	<u>16</u>
they were not the ones we were chiefly concerned with in our	<u>17</u>
PsyOps programs. We know from interviews out of the detainee	18
program that Hanoi would always hold to the fact that these were	<u>19</u>
US run programs out of SVN. But there always seemed to be some	20
doubt in the minds of the villagers whether or not Hanoi really	<u>21</u>
knew. Then, after we started restricting our operations in	22
conformity with other publicly announced restrictions, Hanoi	23
could and we understand did come out with "I told you so"	24
comments to substantiate what they had previously contended.*	25
There was another very important vitiating factor in my	26
mind and this perhaps had the most tragic results. That was	<u>27</u>
* (PS) Interview of Col Robert L. Gleason, USAF, p. 3.	28
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Tab Y to Annex N to

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termination of the support of the long-range in-place agent 1 2 teams. There has always been a widel, held conviction in 3 both CAS and some US military circles that all of these teams in NVN are compromised and have been compromised for some 5 The rationale then continues with the contention that there is no problem in stopping the resupply of those teams. 6 This may or may not be the case. I think we've got to at least 8 keep in the back of our minds the possibility that some of these teams are not compromised. What is most important, however, is that several of these teams are not compromised in the eyes 10 <u>11</u> of the Vietnamese Army who are personal friends of these <u>12</u> people. They were induced to go into NVN with the guarantee <u>13</u> that they would be supported by the United States. 14 not up there and the support has been withdrawn because of the <u> 15</u> bombing and overflight restrictions. They feel betrayed. 16 will not accept the fact that the teams are compromised. They 17 ask for proof that they are compromised and, of course, you 18 cannot give proof. They also point out emotionally that this <u> 19</u> type of thing gets around SEAsia to include Thailand, Laos and 20 Cambodia. In the future if the US tries to initiate programs of 21 this type, these things will not be forgotten: the fact that 22 the US trained their personnel, recruited their personnel, <u>23</u> promised to support them, took them in, supported them for a <u>24</u> while and then for non-tactical reasons, i.e., political <u>25</u> restrictions, withdrew support for these teams. They pointed <u> 26</u> out that restrictions on overflights, in many cases, were in <u>27</u> effect when these teams went in because, in many cases, they 28 were infiltrated long before we started bombing the North. Why <u>29</u> should they not be supplied and provided with necessities of <u>30</u> life now that overflight restrictions are imposed. They feel <u>31</u> strongly that these teams should continue to be supplied in spite of overflight restrictions.* <u>32</u>

Ibld., p. 4.

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Tab Y to Annex N to Appendix B

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In other areas, in the MAROPS area, the PsyOps program was halted because of political restraints. This program would suffer, I'm sure, if ever started again. It may be required to come up with some other front besides the SSPL. I do thing that some MAROPS could continue with acceptable political risks. It probably wouldn't be quite as credible in the eyes of the Vietnamese fishermen, perhaps not credible at all. But I think it would serve a purpose in giving these fishermen of the villages, especially those that feel resentful toward the regime, some outlet for expressing their resentment. Also, it would allow us to keep a thumb on the pulse of the enemy civilian populace as to the effect of the peace talks and bombing halts. This could be done very easily by continuing interviews of fishermen by taking them off their boats, interviewing them for an hour or two, getting some of the general impressions, putting them back on their boats and letting them return to NVN. In other words, don't withdraw them into SVN for protracted periods for interviews. I think we have a lot of potential with a minimum of political risk. There will be some military risk because after a while I'm sure the North Vietnamese will get after our boats with aircraft and patrol boats. So, we would have to live with some type of military risk but I think the political risks would be minimal.*

24 I think the restriction on dropping leaflets into NVN <u>25</u> is unreasonable and unrealistic. We still could get some 26 good out of the black PsyOps leaflet program if the aircraft <u>27</u> were allowed to drop the leaflets using the wind drift technique 28 flying well off the coast of NVN when the winds are blowing <u>29</u> towards the west or remain over Laos not violating NVN air space <u>3C</u> when the sinds are in the opposite direction. Again, I am 31 referring to a minimum risk program with some return.**

^{* &}lt;u>Ibid.</u>, pp. 4-5. ** <u>Ibid.</u>, p. 5.

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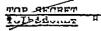
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As to how long this type of operation should continue, 2 3 before evolving into a conventional operation, I will first 4 address PRAIRIE FIRE and DANIEL BOONE operations. I think when 5 the political decision is made to announce publicly that we are <u>6</u> conducting ground operations of any magnitude into Laos and 2. Cambodia and NVN, then the control of those forces could best В be conducted through the field force commanders whose areas are 9 contiguous to the area in Laos and Cambodia they are operating 10 in. I see no reason for SOG to continue operations after it 11 was common knowledge that reconnaissance teams or exploitation 12 teams have been authorized for operation in Laos and Cambodia. <u>13</u> Until that occurs, of course, SOG should run the operations. <u>14</u> I think they could do it. But you have to depend on the field <u>15</u> forces for helicopter support, facilities to operate, etc. As 16 to the FOOTBOY operations, they have been terminated, except <u>17</u> for the radio operations because of the bombing restrictions <u>18</u> . . . I think some of these operations could be resumed, in a 19 limited capacity. However, now it is time to look forward to <u>20</u> turning them over to complete Vietnamese control with perhaps 21 US advisory assistance of considerably less magnitude than at 22 present. I would think that CAS would probably not care to <u>23</u> take over these operations; they think it would compromise their 24 operations too much. I think one possibility would be to 25 continue them as a cover operation for CAS-initiated programs, 26 i.e., continue running them to the extent possible as covert <u>27</u> operations. While this is going on, CAS could very subtly and 28 quietly begin other operations that they are going to run <u>29</u> during peacetime and continue to run during peacetime. When 30 they are well established, using FOOTBOY as a smoke screen, then <u>31</u> FOOTBOY can be phased out. . . .*

Ibid., p. 8.

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can render final judgment on these psychological variang, programs and have a better feel for repercussions. They are, in many cases . . . a type program that you can't always emplain to the American public. I think it would be disastrous if some of the more sensitive PsyOps programs became public and were traced to the military. They are better off where they are, in a non-DOD agency.*

lbid., p. 11.

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Tab Y to Annex N to Annendix E

COMMENTS ON MACCOG'S OPERATIONS IN INTELLIGINGE	1
BY	2
COLONIL STEPHEN E. CAVA UGH, USA	<u>3</u>
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In discussing the subject of restraints and restrictions,	<u>5</u>
I right break these down into the restraints and restrictions	<u>6</u>
imposed on the various types of operations that we conduct.	7.
Going back before the first of November 1968 and considering	<u>8</u>
our maritime operations against the coast of North Vietnam,	9
the restrictions imposed, insofar as they covered the distance	10
north of the 17th parallel that we could go, eventually	<u>11</u>
resulted in the enemy's being able to pretty well ascertain our	<u>12</u>
area of operation and, therefore, make boats more susceptible to	<u>13</u>
interception. I recognized that there were political factors	14
here but, nevertheless these restrictions, considering	<u>15</u>
them purely from an operational side, did restrict our capability.	16
The restrictions imposed on us on 1 November which pre-	<u>17</u>
cluded the use of boats north of the 17th parallel resulted in	<u>18</u>
an appreciable reduction, if not a total reduction, in the	<u>19</u>
intelligence that we were gleaning from fishermen being picked	20
up and detained, and completely nullified the credibility	<u>21</u>
of our psychological operations program - the SSPL which was	22
aimed at establishing a belief in the fishermen at least on	23
the coast that there existed a dissident political party within	24
North Vietnam against the current Hanoi Regime. The restrictions	<u>25</u>
which were imposed also at this time against our leaflet drops	<u>26</u>
similarly restricted or reduced the effectiveness of our black	27
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(PS) Interview of Col Stephen E. Cavanaugh, USA, pp. 3-4.	<u>29</u>
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Tab Z to Annex N to Appendix B <u>31</u>

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psychological operations program and those of us on the ground felt that these operations could have or should have been continued at least until such time as there was a specific reason to curtail them."

In Laos and the SALEM HOUSE area, the restriction on depth of penetration, of course, allowed the enemy to pretty well put his observers and his antiaircraft weapons in such a position so that they could intercept our aircraft and, recognizing that we could not go deep to insert our teams and overfly these areas, it restricted the operations to a large extent. . . . in the SALEM HOUSE area, the restrictions against tactical air and using exploitation forces allowed the enemy to escape many times when a lucrative target could have been struck.*

The restrictions against using ethnic Cambodians in operations in SALEM HOUSE are contrary to all generally accepted agent-type or intelligence type operations where every effort should be made to utilize personnel and equipment which would allow our units or people to be undetected when penetrating Cambodian airspace or on the ground. Since we could not use Cambodians in our SALEM HOUSE teams, we ran into very obvious restrictions of not being able to put people on the ground who could blend in with and talk to Cambodians and, perhaps, otherwise escape detection. It is recognized, of course, that there were political reasons behand these restrictions; however, the nature of operations were such that we were supposed to be able to deny intelligence operations by using, initially, unmarked aircraft, and personnel with sterile uniforms. Yet, we were precluded from using the very individual that would allow us to deny an operation, that is the native Cambodian. **

B-n-139



^{*} Ibid., p. 4. ** Ibid., pp. 4-5.

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In the proadest sense, of course, our operations into Cambodia were generally easily detected indotar as determining the nationality of the intruder was concerned cassage the aircraft we were using were definitely of a US military manufacture and the Americans on the teams were easily distinguished from their teams' members. The cover story used if our teams were picked up was that the tear had been on a reconnaissance in South Vietnam, had gotten lost, and had wandered into Cambodia. This cover story was, on the other hand, circumvented or contradicted by the requirement for sterile fatigues and, initially, at least, for unmarked aircraft. This latter restriction was imposed, I believe, by the SALEM HOUSE operations order which simply stated that the operations should be conducted in such a fashion that it was plausibly deniable. The attempt to pin down this aspect of the operation was exceptionally difficult. We found that sterilization of the aircraft, attempting to paint out numbers and insignia, was really not too logical and was certainly frowned on by commanders who provided us the aircraft. The Air Force aviation unit, the 20th Special Operations Squadron which was dedicated to SOG, was sterilized to the extent that their aircraft did not carry US markings and had no discernible identification with any nationality.*

In Laos we faced a somewhat similar situation in that the VNAF H-34 helicopters dedicated to SOG were unmarked but were clearly discernible as a SOG type aircraft in that they were painted in the distinctive fashion with a light absorbing green and black paint and easily identified as a unit which was operating under other than normal circumstances. After they had been observed a number of times over Laos, it is rather

^{*} Ibid., p. 5.

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certain that they were identified as a cross-border type of aircraft and wherever they moved in South Vietnam to the various launch sites and launch bases it can be assured their presence there indicated that they were preparing to launch from that location across the border. The US aircraft the US Army used in Laos all carried US Army or US Air Force identification and were clearly identified as US Army or US Air Force aircraft. Personnel on the teams were dressed in sterile fatigues or in North Vietnamese uniforms but again this was to enable them to escape ready recognition on the ground but in no way did it explain their presence as a US patrol lost in Laos.*

In North Vietnam the operations were conducted by all indigenous teams called STRATA teams, and the STRATA teams were dressed either in black pajamas or the NVA uniform -- no US personnel accompanied them. On the other hand, they were inserted into the area by US Air Force helicopters and extracted in exactly the same way. If they were able to infiltrate undetected, they could very well deny any connection with the US Forces if they were captured. If they were detected upon insert, they were clearly identified as being sponsored and operated by US units.**

I think here it is important to underscore the fact that PRAIRIE FIRE and SALEM HOUSE operations, at least during my tenure, became more of an overt combat/intelligence type of exercise; in Laos, in many cases, these operations became a combat/raid type of exercise. Intelligence gathering became

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Tab Z to Annex N to Appendix B

^{*.&}lt;u>Ibid.</u>, pp. 5-6.

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a co-partner with the concept of explcitation. Inerefore, we were inserting tears in a fashion which was here than covert. 3 They were being inserted in daylight with US rankings on the aircraft and it was obvious to personnel in South Vietnam as the aircraft left that it was a special type of operation and 6 was rather easily detected. * 7. 8 . . . prior to TET of 1968, when the enemy launched a ā major offensive against the major cities of Vietnam, SOG forces 10 were principally deployed out-of-country. Following TET, and <u> 11</u> because of the need for more reconnaissance units in-country, 12 COMUSMACV allowed the field force commanders to target MACSOG 13 units against in-country targets. This resulted in about 90 14 percent of the MACSOG effort, insofar as the cross-border 15 operations in SALEM HOUSE/PRAIRIE FIRE were concerned, being <u>16</u> turned to reconnaissance in-country in support of the field forces.

This resulted in an appreciable degradation of the SOG recon capability in that the operation proved debilitating; many personnel were wounded or injured and, as a result, the capability of SOG to turn back across the border was to an extent limited following this in-country reconnaissance period. That perhaps is putting it a little strongly and I could not actually quantify this, but we did note that when we were

The field force commander would task the command and control

detachment directly for this mission. The command and control

detachment would notify Headquarters, SOG which, if there were

no objections, would concur and the operation would be run. **

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Tab Z to Annex N to Annendix B

^{* &}lt;u>Ibid.</u>, p. 6. ** <u>Ibid.</u>, p. 9.

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turned back across the border in about September or Cottoer 1965, that we had to do a great deal of re-equipping and retraining and preparing ourselves for ercos-border operations because of the number of personnel who had been used up by in-country operations. In September or October, then COMUSHACV became concerned over the presence of North Vietnamese forces in Cambodia and Laos, the effort was turned from in-country operations to cross-border and Chief, SOG was again in full operational control of these elements.

One major problem encountered in cross-border operations in PRAIRIE FIRE and SALEM HOUSE concerned the utilization of helicopters for the insert of a team. MACSOG uses dedicated Vietnamese Air Porce assets, H-34s, a dedicated US Air Force Huey Squadron, and helicopters provided by the field forces for our operations. The principal problem that I have found, operationally speaking, is that the helicopter personnel, the mission commander, and company commanders are prone to dictate the number of aircraft used for insert purposes. A pattern has, therefore, been established where if we are launching a reconnaissance team there will be two troop carrying helicopters carrying the team (the team being split between the two), a third Huey troop carrying helicopter being used as a chase ship and at least two and normally four gun ships for escort purposes. This aerial armada descending into either Laos or Cambodia easily identifies or triggers a fact that an operation of some magnitude is taking place and it is practically impossible to insert a team without having the aircraft detected either en route to the insert point or during the insert itself.*

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Tab Z to Armex N to Appendix B

^{* &}lt;u>Ibid.</u>, pp. 9-10.

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aircraft only have been severally unsuccessful in that the mission commanders and pilots will refuse to fly the mission without adequate gunship support. This issue remains unresolved and at the present time SOG is required to properly defend all inserting troop carrying aircraft inserting teams into operations areas by using COBRA gunships or HUEY gunships. There is full recognition on the part of SOG personnel that reconnaissance team rembers themselves would be placed in jeopardy by riding into an operational area unprotected by gunships, yet at the same time most of the recon personnel would be willing to accept this risk if they could get into an area undetected, and this is relatively difficult to do with so many aircraft assigned to each mission.

Similarly attempts to land under conditions of limited visibility or darkness with helicopters have been practically impossible insofar as SOG is concerned. The aircraft and/or the pilots (I am not sure which) have proven to be incapable either because of design limitation or of pilot training to land teams after dark in denied areas. Overcoming these limitations would appreciably reduce casualties and enhance immeasurably the chances of success of the reconnaissance mission. The lack of capability of operating at night with helicopters is to me one of the principal deficiencies that exist today in the field of employing helicopters for this type of reconnaissance or intelligence exercise.**

In this area of aircraft support, also I should point out that a large number of the Army pilots are not instrument qualified in helicopters and, as a result, they are not capable

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^{* &}lt;u>Ibid.</u>, p. 10. ** <u>Ibid.</u>, pp. 10-11.

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of flying under conditions of limited visibility. Or the other hand, we found that many Marine helicopter colots had been so trained and were much more effective in impleying our teams during periods of adverse weather when the Army helicopters or VANF helicopters could not be utilized. This, again, is a matter of training and if there is not some capability to work in poor weather, your reconnaissance operations all but cease.*

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* Ibid., p. 11.

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Tab Z to Annex N to Appendix B

- 2 (2) Authorized for RT and Exploitation Units while on operations that make enemy contact. Operational mission pay will be paid for any part of a day across the border regardless of enemy contact. The maximum operational mission pay permitted for any day is 150\$VN.
- 3 (3) One Step increment may be added to the base pay of all personnel for each six months of satisfactory exprise to SOG until a maximum of 10 step increments have been added. A pay increase is thus affected on each six month anniversary of the original contract until 10 increments are made.
- 4 (4) Leaders that are proficient in English may be paid language differential of 1500\$VN provided a SCU intermeter in authorized within his unit and a SCU interpreter is not being paid for that position. In no ever well the number of personnel drawing language differential, or interpreters pay exceed the number of interpreters rathereized by the Team.
- 5 (5) TET Bonus will be based on the pay received by the individual the nearest pay period to TET, and will include:
 - 1. Employment over 1 year
 - (a) 1/2 of scheduled months salary
 - (b) 1/2 of scheduled family allowances
 - (c) 1/2 of language differential pay
 - 2. Employment under 1 year
 - (a) 1/4 of scheduled months salary
 - (b) 1/4 of scheduled months allowances
 - (c) 1/4 of language differential pay