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BOS

From: BOS
Sent: Thursday, January 17, 2013 8:59 AM
To: CID
Cc: ISD; BOS
Subject: CT 775.510 Aaron Swartz (J-102-775-60071-S)

*V. Mc
mk*

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF JUDICIAL ACTION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

ACTUAL LOSS: TBD

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 09/21/12 - REPORT OF JUDICIAL ACTION
REPORTING PERIOD: 09/22/12 - 1/17/13
STATUS: CONTINUED

SYNOPSIS:

On 1/11/13, Aaron Swartz was found dead in his apartment in Brooklyn, NY as a result of an apparent suicide.

A suppression hearing in this had been scheduled for 1/25/13, with a trial date of 4/01/13, in U.S. District Court for the District of Massachusetts.

Case continued.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report Judicial Action written by SA (b)(6),(b)(7)(C) on 09/21/12.

On 1/12/13, several open internet sources were reporting the death of Aaron Swartz. Detective (b)(6),(b)(7)(C) Cambridge, MA PC, contacted Detective (b)(6),(b)(7)(C) New York City PD (NYPD) - Precinct 71 to confirm Swartz' death. Detective (b)(6),(b)(7)(C) stated that at 19:35 hours on 1/11/13, it was reported to NYPD that Aaron Swartz had committed suicide. Detective (b)(6),(b)(7)(C) further stated he responded to (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) in Brooklyn, NY, 11225 and confirmed that Aaron Swartz was found dead of an apparent suicide. The reporting party was Swartz' girlfriend, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (DOB (b)(6),(b)(7)(C)) who resided with the Swartz at the apartment.

JUDICIAL ACTION:

A suppression hearing had been scheduled for 1/25/13 in U.S. District Court for the District of Massachusetts with a trial date of 4/01/13.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT (FEDERAL)

1599: Yes (Entered 1/19/2011)
1599A: Yes (Entered 11/29/2011)

EXAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

NCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault as previously reported.

DISPOSITION:

Case continued pending disposition of evidence.

USSS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

JUDICIAL ACTION:

On 09/12/12, a superseding indictment was rendered in the United States District Court, District of Massachusetts, charging Aaron Swartz with violations of 18 U.S.C 1343 wire fraud; 18 U.S.C 1030 computer fraud, unlawfully obtaining information from a protected computer and recklessly damaging a protected computer; and 18 U.S.C 2 aiding and abetting.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT
1599: Yes
1599A: Yes

XAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

US65/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

BOS

From: BOS
Sent: Tuesday, May 29, 2012 2:11 PM
To: CID
Cc: BOS; ISD
Subject: CT 775.510 Aaron Swartz (J-102-775-60071-S)

FILE COPY

me ✓
me

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF JUDICIAL ACTION

FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

ACTUAL LOSS: TBD

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b) (b)(6) (b)(6),(b) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 02/27/12 - Report of Continuing Investigation
REPORTING PERIOD: 02/28/12 - 05/29/12
STATUS: CONTINUED

BOSTON, MA

2012 MAY 29 P 2:20

RECEIVED
U.S. SECRET SERVICE

SYNOPSIS:

Judicial action continues in the case of the United States versus Aaron Swartz and the case of the Commonwealth of Massachusetts versus Aaron Swartz.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b) on 02/27/12.

Reference is made to the telephone conference between Assistant U.S. Attorney Stephen Heymann, SA (b)(6),(b) (b)(6),(b) and Detective (b)(6) (b)(6),(b) Cambridge Police Department on 05/15/12.

Reference is made to the email from Assistant District Attorney (b)(6),(b)(7)(C) to SA (b)(6),(b) (b)(6),(b) on 05/23/12.

JUDICIAL ACTION:

On 05/15/12, AUSA Heymann, Detective (b)(6) and SA (b)(6),(b) conducted a telephone conference call to discuss the progress of the case against Swartz. AUSA Heymann informed SA (b)(6),(b) and Detective (b)(6),(b) that the case was progressing and he expected judicial action at some time in the future.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

5/29/2012

1599: Yes
1599A: Yes

KAMS CONDUCTED:

ECSAP: 05/20/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

Results of database searches have been reported under "Details of Investigation."

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

BOS

FILE COPY

From: BOS
 Sent: Monday, February 27, 2012 6:01 PM
 To: CID
 Cc: ISD; BOS
 Subject: CT 775.510 Aaron Swartz (102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

✓ MCT
AML

FROM: BOSTON FIELD OFFICE
 TO: CRIMINAL INVESTIGATIVE DIVISION
 INFO: INVESTIGATIVE SUPPORT DIVISION
 SUBJECT: REPORT OF CONTINUING INVESTIGATION

FILE: 102-775-60071-S
 X-REF: N/A
 SEIZURE#: N/A

ACTUAL LOSS: TBD POTENTIAL LOSS: \$2,500,000.00

20110303
0 30

CASE TITLE: AARON SWARTZ
 CASE TYPE: 775.510
 SECONDARY TYPES: 848.191, 848.304, 848.930
 CONTROLLING OFFICE: BOSTON FIELD OFFICE
 REPORT MADE BY: SA (b)(6),(b)(7)(C)
 DATE CASE OPENED: 01/07/11
 PREVIOUS REPORT: 11/29/11 - Report of Continuing Investigation
 REPORTING PERIOD: 11/30/11 - 02/27/11
 STATUS: CONTINUED

SYNOPSIS:

Swartz was arraigned in the Middlesex County, Massachusetts Superior Court on two counts of breaking and entering in the daytime; one count of larceny for more than \$250.00; and three counts of unauthorized access to a computer.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) on 11/29/11.

On 12/20/11, SA (b)(6),(b)(7)(C) Detective (b)(6),(b)(7)(C) Cambridge PD, and Detective (b)(6),(b)(7)(C) Boston PD (both of the New England Electronic Crimes Task Force - NEECTF) delivered a hard drive containing discovery information prepared by (b)(6),(b)(7)(C) of CERT to 20 Park Plaza in Boston, Massachusetts. The drive was signed for by (b)(6),(b)(7)(C) of Martin Weinberg P.C. The signed receipt by (b)(6),(b)(7)(C) will remain a permanent part of this case file.

On 01/31/12 (b)(6),(b)(7)(C) a courier for Martin Weinberg, signed for a Toshiba Portable Hard Drive containing discovery information also prepared by (b)(6),(b)(7)(C) at the Boston Field Office.

JUDICIAL ACTION:

On 11/30/11, Swartz was arraigned in Middlesex County, Massachusetts Superior Court on two counts of breaking and entering daytime, one count of larceny for more than \$250.00, and three counts of unauthorized access to a computer.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT
1599: Yes
1599A: Yes

RIF

EXAMS CONDUCTED:

ECSAP: 03/20/11

Poly: N/A

FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11

NCIC/NLETS: 01/07/11

CCS/CFT: 01/07/11

LOCAL LE: 01/07/11

Results of database searches have been reported under "Details of Investigation".

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

BOS

From: BOS
Sent: Tuesday, November 29, 2011 3:49 PM
To: CID
Cc: ISD; BOS
Subject: 775.510 Aaron Swartz J-102-775-60071-S (Continued)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF CONTINUING INVESTIGATION
FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 08/01/11 - NOTIFICATION OF FEDERAL ARREST
REPORTING PERIOD: 08/02/11 - 11/29/11
STATUS: CONTINUED

BOSTON, MA

2011 NOV 29 P 3 51

RECEIVED
US SECRET SERVICE

SYNOPSIS:

In addition to the federal charges pending in this case, Aaron Swartz was also indicted in Suffolk County, MA Superior Court for state charges to include breaking and entering in the daytime with intent to commit a felony, larceny over \$250 and unauthorized access to a computer network.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) dated 08/01/11.

On 11/21/11, Aaron Swartz, along with attorneys Martin Weinberg and (b)(6),(b)(7)(C) came to the Boston Field Office to review evidence in this case as part of the discovery process. After the review, items approved for release by AUSA Stephen Heymann were turned over to attorney Weinberg.

On 11/23/11, SA (b)(6),(b)(7)(C) turned over the Apple iMac model A1311 with serial number WBC25AXGD87 that was seized from Harvard University pursuant to a federal search warrant on 02/11/11 to Detective (b)(6), (b)(6) of the Harvard University Police Department.

JUDICIAL ACTION:

On 11/17/11, Aaron Swartz was indicted in Suffolk Superior Court for two counts of breaking and entering in the daytime with intent to commit a felony, larceny over \$250, and three counts of unauthorized access to a computer network.

11/29/2011

On 11/25/11, Assistant District Attorney [redacted] for the Middlesex District Attorney's Office emailed SA [redacted] to inform him that he expected Swartz to be arraigned on 11/30/11.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)
1599: Yes
1599A: Yes
PHOTO: Yes
PRINTS: Yes

[redacted] - SUBJECT
1599: Yes
1599A: No

[redacted] - SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/20/11
Polygraph: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CT: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA [redacted]
DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
Apple Multi Adapter SN# 6F9395M7ZU6
Apple Multi Adapter SN# 6F7281NNU4S
Black Notebook Journal
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Item 1 and 4 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA [redacted]
DESCRIPTION OF ITEMS: Harvard University Earning Statement
Earning Statement Addressed to Aaron Swartz
Master's Thesis M-876 Lind
Western Digital Mail-in Rebate Form
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

11/29/2011

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 33
02/11/11
SA (b)(6),(b)
Wireless-G 2.4 GHz Broadband Router Linksys
MacBook Mac OSX Install Disc one and two
MacBook User Guide in Gray Carboard case
Apple Care Service Letter Dispatch R&Z76408
Genius Bar Work Confirmation
Aaron Swartz
Boston Field Office Evidence Vault
Item 1 returned to Swartz's attorney, Martin
Weinberg, on 11/21/11.

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 35
02/11/11
SA (b)(6),(b)
Metallic Blue iPod
White iPod with carrying case
White iPod with serial number 3A6330856UX8A
White iTalk

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

Black 16GB Thumb-drive
Aaron Swartz
Boston Field Office Evidence Vault
Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 36
02/11/11
SA (b)(6),(b)
Fifty-four miscellaneous compact discs
Two hard drive enclosures
T-Mobile Sidekick
Sony Micro Vault

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

Aaron Swartz
Boston Field Office Evidence Vault
39 CDs returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 37
02/11/11
SA (b)(6),(b)
DVD-R with handwritten label
Pocket notebook
Pure Drive Quick start guide
Disk utility internal hard drive upgrade kit
Seagate Barracuda Hard Drive Installation Guide

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

Aaron Swartz
Boston Field Office Evidence Vault
Items 3 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 38
02/11/11
SA (b)(6),(b)(7)
Apple iMac Model A1311 serial number W8026AXGD87
Western Digital Hard Drive SN WMANN1006724

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

Aaron Swartz
Boston Field Office Evidence Vault
Item 1 turned over to Harvard Univ. PD Det. (b)(6),(b) on 11/23/11.

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:
SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

102 2011 CE 81
02/25/11
SA (b)(6),(b)(
16 CD contained in a mailing envelope
Aaron Swartz
Martin Weinberg P.C.
Returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

DISPOSITION:

11/29/2011

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

11/29/2011

BOS

From: BOS
Sent: Monday, August 01, 2011 1:38 PM
To: CID
Cc: ISD; BOS
Subject: 775.510 Notification of Federal Arrest - Aaron Swartz (J-102-775-60071-S)

Villal
MW

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
FILE: J-102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: NOTIFICATION OF FEDERAL ARREST
AARON SWARTZ - Date of Arrest: 07/19/11

FILE COPY

ACTUAL LOSS: \$TBD

POTENTIAL LOSS: \$2,000,000.00

FEDERAL STATUTES VIOLATED - TITLE 18 UNITED STATES CODE
SECTION 1343 - WIRE FRAUD
SECTION 1030(a)(4) - COMPUTER FRAUD
SECTION 1030(a)(2) - THEFT OF INFORMATION FROM A COMPUTER
SECTION 1030(a)(5)(B) - RECKLESSLY DAMAGING A COMPUTER
SECTION 2 - AIDING AND ABETTING

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 06/29/11 - REPORT OF CONTINUING INVESTIGATION
REPORTING PERIOD: 06/30/11 - 08/01/11
STATUS: CONTINUED

2011

SYNOPSIS:

Aaron Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) - Recklessly Damaging a Computer; and Section 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(7)(C) on 06/29/11.

JUDICIAL ACTION:

On 07/14/11, Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) - Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(A) - Recklessly Damaging a Computer; and 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein. Swartz was released on \$100,00.00 bond.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL)
1599: Yes
1599A: No

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) - SUBJECT
1599: Yes
1599A: No

(b)(6),(b)(7)(C) SUBJECT
1599: Yes
1599A: No

EXAMS CONDUCTED:

ECSAP: 05/26/11
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

NCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains secured in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

On 04/13/11, SA (b)(6),(b)(7) Detective (b)(6),(b) and AUSA Heyman interviewed (b)(6),(b)(7)(C) at the U.S. Attorney's office at 1 Courthouse Way, Boston, Massachusetts. Also present were (b)(6) (b)(6),(b) and (b)(6),(b)(b)(6) both attorneys with Fish and Richardson. The details of the interview are described in a Memorandum of Interview written by SA (b)(6),(b). A copy of that MOI will be maintained in this case folder.

On 05/12/11, SA (b)(6),(b) and Detective (b)(6),(b) interviewed (b)(6),(b)(7)(C) at the Free Software Foundation at 51 Franklin Street, 5th Floor, Boston Massachusetts. The details of the interview are described in a Memorandum of Interview written by Detective (b)(6),(b). A copy of the MOI will be maintained in this case folder.

On 06/07/11, 4 Samsung Hard Drives were turned over to SA (b)(6),(b) at the offices of Good and Cormier located at 43 Atlantic Avenue in Boston Massachusetts.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron H. - SUSPECT

1599: Yes

1599A: No

(b)(6),(b)(b)(6),(b)(7)(C) - SUBJECT

1599: No

1599A: No

(b)(6),(b)(b)(6),(b) - SUBJECT

1599: No

1599A: No

EXAMS CONDUCTED:

ECSAP: Ongoing

Poly: N/A

FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11

NCIC/NLETS: 01/07/11

CCS/CFT: 01/07/11

LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

SEIZED / OBTAINED FROM:

LOCATION:

DISPOSITION:

102 2011 CE 81

02/25/11

SA (b)(6),(b)(b)(6),(b)(7)(C)

16 CD contained in a mailing envelope

Aaron Swartz

Boston Field Office Evidence Vault

Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

SEIZED / OBTAINED FROM:

LOCATION:

DISPOSITION:

102 2011 CE 82

02/25/11

SA (b)(6),(b)(b)(6),(b)(7)(C)

Galaxy Metal Gear Box External Hard Drive

Aaron Swartz

Boston Field Office Evidence Vault

Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

102 2011 CE 119

06/07/11

SA (b)(6),(b)(b)(6),(b)(7)(C)

DESCRIPTION OF ITEMS: 4 Samsung Hard Drives
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office ECSAP Vault
DISPOSITION: Held pending judicial action.

All other evidence remains in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USBS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

(b)(6),(b)(7)(C)

(BOS)

From: SFO
 Sent: Thursday, June 23, 2011 4:36 PM
 To: CID: BOS
 Cc: ISD: SFO
 Subject: CT 775.510 (102-775-80071-S) REPORT OF IOD - AARON SWARTZ (CLOSED)

//ROUTINE//

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: SAN FRANCISCO FIELD OFFICE
 TO: CRIMINAL INVESTIGATION DIVISION
 BOSTON FIELD OFFICE
 INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: 102-775-60071-S
 X-REF: N/A
 SEIZURE#: N/A

FILE COPY

7511

SUBJECT: REPORT OF IOD

ACTUAL LOSS: \$TBD POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
 CASE TYPE: 775.510
 SECONDARY TYPES: 848.191, 848.304, 848.930
 CONTROLLING OFFICE: BOSTON FIELD OFFICE
 REPORT MADE BY: SA (b)(6) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
 DATE CASE OPENED: 02/28/11
 PREVIOUS REPORT: N/A
 REPORTING PERIOD: 02/28/11 - 06/29/11
 STATUS: CLOSED

SYNOPSIS:

The Boston Field Office requested the San Francisco Field Office (SFO) to interview (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) two known associates of Aaron Swartz to determine their knowledge of his activities.

After a discussion with Assistant United States Attorney (AUSA) Stephen Heymann, District of Massachusetts, and case agent (b)(6),(b)(7)(C) (BOS), it was decided that the interview of (b)(6),(b)(7)(C) was not necessary.

(b)(6),(b)(7)(C) was interviewed regarding her knowledge of Aaron Swartz's recent activities.

Case closed in San Francisco.

DETAILS OF INVESTIGATION:

On 3/1/11, I participated in a conference call with SA (b)(6),(b)(7)(C) of the Boston Field Office (BOS) and AUSA Stephen Heymann. During this conversation, SA (b)(6),(b)(7)(C) and AUSA Heymann requested that I interview (b)(6),(b)(7)(C) first, and a decision on whether to interview (b)(6),(b)(7)(C) would be made at a later date.

On 3/9/11, I responded to (b)(6),(b)(7)(C) residence, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C). At this time, SA (b)(6),(b)(7)(C) and I interviewed (b)(6),(b)(7)(C). (b)(6),(b)(7)(C) stated that she received a phone call from Swartz, who asked her to call his lawyer and arrange bail for him, which she did. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) When (b)(6),(b)(7)(C) was asked about any additional contact with Swartz, she

1599A:
PHOTO:
PRINTS:
POB:
DL/STATE:
ADDRESS:
EMAIL:
DATABASE CHECKS: 02/24/11

No
No

(b)(6),(b)(7)(C)

EXAMS CONDUCTED:

None.

DATABASE SEARCHES CONDUCTED:

None

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

None

DISPOSITION:

Case closed in San Francisco.

UCSS/SAN FRANCISCO/d

(b)(6),(b)(7)(C)

and 9,472 editorials.

On 02/11/11, SA (b)(6),(b)(7)(C) ATSAIC (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C), SA (b)(6),(b)(7)(C) and Detective (b)(6),(b)(7)(C) executed a federal search warrant on Swartz's residence located at 950 Massachusetts Avenue, Apartment 320, Cambridge, Massachusetts. Swartz was home at the time the search was executed. While the search was conducted, Swartz made statements to the effect of, what took you so long, and why didn't you do this earlier? The search team seized several items described in greater detail in the Evidence section of this report. After completing the search of Apartment 320, the search team returned to the first floor to search the storage locker allocated to apartment 320. While on the first floor, SA (b)(6),(7)(C) observed Swartz leave the building, walk to the street and sprint away after he reached the street. After ascertaining that none of the items in the storage locker belonged to Swartz, the search team moved to 124 Mount Auburn Street in Cambridge, Massachusetts where the Harvard University, Edmond J Safra Center for Ethics is located and Swartz is listed as a lab fellow. The search team observed Swartz at the Safra Center for Ethics. Harvard University Police secured Swartz's office while a federal search warrant was obtained. After the search warrant was obtained, the team searched Swartz's office at the Safra Center. SA (b)(6),(b)(7)(C) seized an Apple iMac computer and a Western Digital hard drive from Swartz's office.

On 02/25/11, Detective (b)(6),(7)(C) received the Acer Aspire netbook, hard drive enclosure, Western Digital hard drive contained in the enclosure, and the USB flash drive from Cambridge Police (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) Detective (b)(6),(b)(7)(C) took the evidence items directly to the ECSAP Lab Evidence Vault.

SUSPECTS/DEFENDANTS:

SWARTZ, Aaron H - SUSPECT

1599: Yes
 1599A: No

(b)(6),(b)(7)(C) - SUBJECT

AKA: (b)(6),(b)(7)(C)
 RACE: (b)(6),(b)(7)(C)
 SEX: (b)(6),(b)(7)(C)
 DOB: (b)(6),(b)(7)(C)
 SSN: (b)(6),(b)(7)(C) - 6047
 FBI: (b)(6),(b)(7)(C)
 SID: (b)(6),(b)(7)(C)
 HT: (b)(6),(b)(7)(C)
 WT: (b)(6),(b)(7)(C)
 EYES: (b)(6),(b)(7)(C)
 HAIR: (b)(6),(b)(7)(C)
 1599: No
 1599A: No
 PHOTO: No
 PRINTS: No
 POB: (b)(6),(b)(7)(C)

DL/STATE:

ADDRESS:

EMAIL:

(b)(6),(b)(7)(C)

DATABASE CHECKS: 02/24/11

(b)(6),(b)(7)(C) - SUBJECT

AKA: N/A
 RACE: (b)(6),(b)(7)(C)

SEX: (b)(6) (b)(7)(C)
DOB: (b)(6) (b)(7)(C)
SSN: (b)(6) (b) -5939
FBI: N/A
SID: N/A
HT: N/A
WT: N/A

(b)(6) (b)(7)(C)

1599: No
1599A: No
PHOTO: No
PRINTS: No
POB: No

DL/STATE:

ADDRESS: (b)(6), (b)(7)(C)
EMAIL:

DATABASE CHECKS: 02/24/11

EXAMS CONDUCTED:

ECSAP: Ongoing
Poly: N/A
FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N: 102 2011 CE 31
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)
DESCRIPTION OF ITEMS: Scientific Atlantic Modem SN# SM1565968
Apple Multi Adapter SN# 6F9395M7ZU6
Apple Multi Adapter SN# 6F7281NNU4S
Black Notebook Journal
SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 32
DATE OF INVENTORY: 02/11/11
INVENTORY MADE BY: SA (b)(6)
DESCRIPTION OF ITEMS: Harvard University Earning Statement
Earning Statement Addressed to Aaron Swartz
Master's Thesis M-876 Lind
Western Digital Mail-in Rebate Form

SEIZED / OBTAINED FROM: Aaron Swartz
LOCATION: Boston Field Office Evidence Vault
DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 33

DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) /
 DESCRIPTION OF ITEMS: Wireless-G 2.4 GHz Broadband Router Linksys
 MacBook Mac OSX Install Disc one and two
 MacBook User Guide in Gray Cardboard case
 Apple Care Service Letter Dispatch R4276408
 Genius Bar Work Confirmation
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 34
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) /
 DESCRIPTION OF ITEMS: Nokia Cell Phone
 T-Mobile, HTC G2 cell phone
 12 Magnetic Media Tapes
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 35
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) /
 DESCRIPTION OF ITEMS: Metallic Blue iPod
 White iPod with carrying case
 White iPod with serial number 8A6330856UX8A
 White iTalk
 Black 16GB Thumb-drive
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 36
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) /
 DESCRIPTION OF ITEMS: Fifty-four miscellaneous compact discs
 Two hard drive enclosures
 T-Mobile Sidekick
 Sony Micro Vault
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 37
 DATE OF INVENTORY: 02/11/11
 INVENTORY MADE BY: SA (b)(6) /
 DESCRIPTION OF ITEMS: DVD-R with handwritten label
 Pocket notebook
 Pure Drive Quick start guide
 Disk utility internal hard drive upgrade kit
 Seagate Barracuda Hard Drive Installation Guide
 SEIZED / OBTAINED FROM: Aaron Swartz
 LOCATION: Boston Field Office Evidence Vault
 DISPOSITION: Held pending judicial action.

EVIDENCE SSF 1544 S/N: 102 2011 CE 38
 DATE OF INVENTORY: 02/11/11

NEW ENGLAND ECTF CASE OPENING SHEET

TITLE: AARON SWARTZ

FILE NUMBER: 102-775-60071

NCIC: 01/07/11 QH 01/07/11 QR 01/07/11 STATE: 01/07/11 BOP: 01/07/11

SA ASSIGNED: (b)(6),(b)(7)(C) DATE ASSIGNED: 01/04/11

PRIMARY CASE TYPE: 775. ⁵¹⁰ ~~400~~ Unauthorized Access LOCATION: Cambridge, MA

SECONDARY CASE: 848.191; 848.304; 848.930

FRAUD LOSS: ACTUAL: STBD POTENTIAL: \$50,000.00

ELECTRONIC BANKING/CONSUMER ELECTRONIC FUNDS TRANSFER:
ATM _____ DIRECT DEPOSIT _____ PAY-BY-PHONE _____
COMPUTER BANKING _____ POINT OF SALE TRANSFER _____

COMPUTER FRAUD:
FED _____ STATE _____ PRIVATE X _____

TELECOMMUNICATIONS/WIRE FRAUD:
CELL _____ WIRE X _____ PAGER _____ CREDIT CALLING CARDS _____

ACCESS DEVICE:
FINANCIAL _____ PERSONAL _____ ELECTRONIC _____

IDENTIFICATION/SOFTWARE PROLIFERATION:
SOFTWARE DISTRIBUTION _____ PLANT/PRODUCTION _____

ECTF MEMBER

_____ AGENCY _____ CHARGE

CRS INITIAL / DATE _____
ASSET FORFEITURE POTENTIAL REVIEW

APPROVED

(b)(6) (b)(7)(C)

ATSAIC SIGNATURE

2011

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000038

EVIDENCE HELD AGAINST

SPECIMEN

CASE NO.

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

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OF

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PAGES

2/11/11

SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

2/11/11

SIGNATURE

DATE

2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 124 MOUNT AUBURN STREET, SUITE 520N, OFFICE 504, CAMBRIDGE, MA. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE. APPLE IMAC, MODEL A1311 SERIAL NUMBERS: WB025AKGD87	\$800.00
2	02/11/11	1	WESTERN DIGITAL HARD DRIVE, MODEL WD1200 SERIAL NUMBERS: WDAAM1006724	\$60.00
TOTAL				\$860.00

1 Rec.

Casefile

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000038		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-2	2-11-11	TO GCSAP Lab for exam		(b)(6),(b)(7)(C)	
all	2/2/11	TO SA [Redacted] [Redacted]			
1	2/2/11	TO Boston Esplanade Lab			
2	2/2/11	TO Boston Esplanade Lab			
1	2/23/11	Det [Redacted] Harvard PD			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CR 000031

EVIDENCE HELD AGAINST SPECIMEN
SWARTZ AARON

CASE NO. 102-775-0060071-5

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY 02/11/11 PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

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SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVZ, APT# 320 BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION. AB IDENTIFIES SA (b)(6) BOSTON FIELD OFFICE.</p>				
1	02/11/11	1	SCIENTIFIC ATLANTIC MODEM, BLACK, WITH POWER CORD, S/N # SM1565968, LOCATED IN CORNER OF LIVING ROOM	\$20.00
2	02/11/11	1	APPLE MULTI ADAPTER, WHITE, S/N # 6F9395M72U6, LOCATED IN CORNER OF LIVING ROOM	\$20.00
3	02/11/11	1	APPLE MULTI ADAPTER, WHITE, S/N # 6F7281HWU48, LOCATED IN BEDROOM	\$20.00
4	02/11/11	1	BLACK NOTEBOOK JOURNAL, UNLINED LOCATED IN BEDROOM	NO VALUE
TOTAL →				\$60.00

Case file

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000031		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)		
1-4	2/11/11	TO BFO VAULT		(b)(6), (b)(7)(C)	
C11	"2/11/11	TO SA (b)(6), (b)(7)(C) Discovery			
1 + 4	"2/21/11	Returned to attorney Martin Weinberg			
2 + 3	"2/21/11	TO BFO VAULT			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000082

EVIDENCE HELD AGAINST SPECIMEN

CASE NO. 102-775-0060071-S

SWARTZ AARON

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

2/11/11

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

DATE

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

2-11-11

SIGNATURE

2/11/11
DATE

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SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THIS ITEM HAS BEEN MARKED "MP 2/11/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BFO.</p> <p>GALAXY METAL GEAR BOX EXTERNAL HARD DRIVE</p>	\$50.00
TOTAL				\$50.00

Case file

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CB 000082		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO 102-775-0060071-5	
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1	2/11/11	TO BFO Vault		(b)(6), (b)(7)(C)	
1	"2/11/11"	to SA (b)(6), (b)(7)(C)			
1	"2/11/11"	to IGB			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000034

EVIDENCE HELD AGAINST SPECIMEN
SWARTZ AARON

CASE NO. 102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

(b)(6),(b)(7)(C)

DATE OF INVENTORY 02/11/11 PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT
(b)(6),(b)(7)(C) 2/11/11
DATE

REVIEWING SUPERVISOR
(b)(6),(b)(7)(C)

SIGNATURE - WITNESS 2/11/11
DATE

SIGNATURE 2/11/11
DATE

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SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APTS 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING BY (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.</p>				
1	02/11/11	1	NOKIA CELL PHONE W/POWER CORD, BLACK IN COLOR, AT&T BRAND, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
2	02/11/11	1	T MOBILE, HTC G2 CELL PHONE W/POWER CORD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
3	02/11/11	12	(12) MAGNETIC MEDIA TAPES IN A FEDEX BOX, LOCATED IN THE LIVINGROOM CLOSET OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

FINAL JAB

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CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000034		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-9	
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-3	2-11-11	TO BOFO vault		(b)(6),(b)(7)(C)	
1-2	2-14-11	TO ECSP lab			
all	2/14	to SA (b)(6),(b)(7)(C) / discovery			
all	2/14	to BOFO vault			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000039

EVIDENCE HELD AGAINST SPECIMEN

SWARTZ AARON

CASE NO. 102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EV (b)(6),(b)(7)(C)

DATE OF INVENTORY 02/25/11 PAGE 1 OF 2 PAGES

2/25/11

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

DATE

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

DATE

SIGNATURE


DATE

2/25/11

2/25/11

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SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/25/11	1	<p>THE BELOW LISTED EVIDENCE WAS RETRIEVED FROM CAMBRIDGE, MA POLICE DEPARTMENT ON 2/25/11. THESE ITEMS HAVE BEEN MARKED AND DATED "MP 2/25/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.</p> <p>ACER ASPIRE ONE MODEL 532H SERIAL NUMBERS: LUSAKOD0010011001E16 01</p>	\$200.00
2	02/25/11	1	<p>ROCKETFISH ENCLOSURE KIT CONTAINING ONE WESTERN DIGITAL 2TB HARD DRIVE SERIAL NUMBERS: WMA2AL626675</p>	\$85.00
3	02/25/11	1	<p>HP USB DRIVE MARKED 00458MKT1 85102</p>	\$10.00
TOTAL 				\$295.00

UNITED STATES SECRET SERVICE

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FINAL JAB

SSF 1544 (03/05)

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000039		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/25/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-3	2.25.11	TO PCSSAP vault		(b)(6),(b)(7)(C)	
911	"b.11"	TO SA (b)(6),(b)(7)(C) / [redacted]			
911	"b.11"	TO BFC vault			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000033

EVIDENCE HELD AGAINST

SPECIMEN

CASE NO.

102-775-0060071-3

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EV (b)(6),(b)(7)(C)

DATE OF INVENTORY

02/11/11

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PAGES

SIGNATURE - SPECIAL AGENT

2/11/11

DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

SIGNATURE - WITNESS

2/11/11

DATE

SIGNATURE

2/11/11

DATE

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SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE.</p>	
1	02/11/11	1	WIRELESS-G 2.4 GHz BROADBAND ROUTER LINKSYS: BLUE AND BLACK, S/N # CDPG1G609626 LOCATED IN THE LIVING ROOM	\$30.00
2	02/11/11	1	MACBOOK MAC OSX INSTALL DISC 1 AND 2, GRAY, IN PAPER SLEEVE, LOCATED IN THE LIVING ROOM	\$10.00
3	02/11/11	1	MACBOOK USER GUIDE IN GRAY CARDBOARD CASE, S/N # 2V09082006, LOCATED IN THE LIVING ROOM	\$10.00
4	02/11/11	1	APPLE CARE SERVICE LETTER DISPATCH #R7276408 (6 PAGES), LOCATED IN THE LIVING ROOM	NO VALUE
5	02/11/11	1	GENIUS BAR WORK CONFIRMATION (2 PAGES) REPAIR # R7276313 AND R7276408, LOCATED IN THE LIVING ROOM	NO VALUE
TOTAL				\$50.00

UNITED STATES SECRET SERVICE

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SSF 1544 (03/08)

FINAL JAB

Casefile

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)


SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000033

PAGE 2 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	\$50.00
6	02/11/11	1	DIGITAL MEDIA COMPACT FLASH 256MB SINGLE TECH, LOCATED IN THE LIVING ROOM BOOKCASE	\$5.00
TOTAL 				\$55.00

FINAL JAB

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CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CB 000033		PAGE 3 OF 3 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE			CASE NO. 102-775-0060071-3
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
H-6	2/11/11	TO (b)(7)(C) VAULT		(b)(6), (b)(7)(C)	
011	2/11/11	TO (b)(6), (b)(7)(C) / Discovery			
1	2/11/11	Returned to Attorney Martin Kenney			
2-6	2/11/11	Secured out of (b)(7)(C) VAULT			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000036

EVIDENCE HELD AGAINST SPECIMEN
SWARTZ AARON

CASE NO
102-775-0060071-8
OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6),(b)(7)(C)
SIGNATURE - SPECIAL AGENT
(b)(6),(b)(7)(C)
DATE **2/11/11**

DATE OF INVENTORY
02/11/11 PAGE **1** OF **2** PAGES
REVIEWING SUPERVISOR
(b)(6),(b)(7)(C)
DATE **2/11/11**

SIGNATURE - WITNESS
(b)(6),(b)(7)(C)
DATE **2/11/11**

SIGNATURE
(b)(6),(b)(7)(C)
DATE **2/11/11**

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE. APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE.</p>				
1	02/11/11	40	Forty misc CD-R discs with cases and misc paperwork, located in the bedroom.	\$40.00
2	02/11/11	2	Two hard drive enclosures, located in the living room closet.	\$2.00
3	02/11/11	1	1 mobile siderrick, located in the living room closet.	NO VALUE
4	02/11/11	1	1 Sony micro vault, orange, located in wallet.	\$5.00
TOTAL				\$47.00

FINAL JAB

Casefile

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000036		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO.	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-4	2/11/11	TO BFO VALLEY		(b)(6), (b)(7)(C)	
4	5/18/11	TO ESCAP lab			
all	11/2/11	TO SA ^{(b)(6), (b)(7)(C)} / discovery			
^{11/2/11} #1	39 disks	TO Maxin Weinberg, ATTNY			
#2	lot 2	Maxtor Harddrive enclosure			
#2	2012	Returned to Attorney Maxin Weinberg			
#1	disk 40	TO lab			
2-4	11/2/11	TO BFO Valley			

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CR 000037

EVIDENCE HELD AGAINST SPECIMEN
SWARTZ AARON

CASE NO. 102-775-0060071-S
OFFICE BOSTON FIELD OFFICE

EVIDENCE (b)(6),(b)(7)(C)
SIGNATURE - SPECIAL AGENT _____
DATE 2/11/11

DATE OF INVENTORY 02/11/11
PAGE 1 OF 3 PAGES

(b)(6),(b)(7)(C)
SIGNATURE - WITNESS _____
DATE 2/11/11

REVIEWING SUPERVISOR (b)(6),(b)(7)(C)
SIGNATURE _____
DATE 2/11/11

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION _____
DATE _____

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "AS 2/11/11" FOR IDENTIFICATION PURPOSES. AS IDENTIFIES SA (b)(6),(b)(7)(C) BOSTON FIELD OFFICE.</p>				
1	02/11/11	1	"OFFICE DEPOT" DVD/R; HANDWRITTEN LABEL "BIBLIOGRAPHIC DATA WHICH MYSTERIOUSLY APPEARED ONE DAY AS THE SUN WAS SHINING", LOCATED IN THE BEDROOM	\$5.00
2	02/11/11	1	POCKET NOTEBOOK WITH BLUE AND WHITE HEXAGON AND RECTANGLE DESIGN COVER. LOCATED IN THE BEDROOM	NO VALUE
3	02/11/11	1	PURE DRIVE MODEL 761 EXTERNAL HARD DRIVE QUICK START GUIDE WITH CD, CONTAINED IN PLASTIC BAG, LOCATED IN THE BEDROOM	\$20.00
4	02/11/11	1	DISC UTILITY INTERNAL HARD DRIVE UPGRADE KIT, YELLOW AND ORANGE CD IN WHITE PAPER CASE, LOCATED IN THE BEDROOM	\$20.00
TOTAL				345.00

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSS/ADMIN/MNO/PARS

SSF 1344 (03/09)

FINAL JAB

CASE FILE

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)


SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000037

PAGE 2 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	\$45.00
✓ 5	02/11/11	1	SEAGATE SATA 3.5" BARRACUDA INTERNAL HARD DRIVE INSTALLATION GUIDE, LOCATED IN THE BEDROOM	\$5.00
TOTAL 				\$50.00

UNITED STATES SECRET SERVICE

This form was electronically produced via OmniForm by USSSADMIN/MNO/PARS

SSF 1544A (03/06)

FINAL JAB

RIF

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000037	PAGE 3 OF 3 PAGES	
DATE OF INVENTORY 02/11/11		OFFICE BOSTON FIELD OFFICE	CASE NO 102-775-0060071-9	
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
1-5	2/11/11	TO BFO VAULT	(b)(6), (b)(7)(C)	
311	"/21/11	TO SA (b)(6), (b)(7)(C) / discovery		
3, 4, 5	"/21/11	Returned to Airtel in Weymouth, MA		
#2		Copies provided		
#2	"/21/11	TO ISB		
1	"/21/11	TO BFO VAULT		
#2	"/21/11	TO BFO VAULT		

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000119

EVIDENCE HELD AGAINST SPECIMEN

CASE NO
102-775-0060071-S

SWARTZ AARON

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTOR (b)(6),(b)(7)(C)

DATE OF INVENTORY
06/07/11
PAGE 1 OF 2 PAGES

(b)(6),(b)(7)(C)

6/7/11

SIGNATURE - SPECIAL AGENT (b)(6),(b)(7)(C)

DATE

REVIEWING SUPERVISOR

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

6/7/11

SIGNATURE - WITNESS

DATE

SIGNATURE DATE

6/7/11

I certify the evidence described in the page(s) of this inventory not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			<p>THE BELOW LISTED EVIDENCE WAS RECEIVED BY SA (b)(6),(b)(7)(C) SFO, FROM THE LAW OFFICES OF GOOD & CORMIER, 83 ATLANTIC AVENUE, BOSTON, MA., ON 6/7/11. THIS EVIDENCE WAS TURNED OVER BY SUSPECT AARON SWARTZ.</p> <p>THESE ITEMS HAVE BEEN MARKED AND DATED "MP 6/7/11" IDENTIFYING SA (b)(6),(b)(7)(C) OF THE BOSTON FIELD OFFICE.</p>	
1	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800326	\$25.00
2	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800329	\$25.00
3	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800331	\$25.00
4	06/07/11	1	SAMSUNG HDD 1500GB HARD DRIVE SERIAL NUMBERS: S1Y6J1CZ800332	\$25.00
TOTAL				\$100.00

Original

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)		SERIAL NUMBER (FROM SSF 1544) 102 2011 C2 000119		PAGE 2 OF 2 PAGES	
DATE OF INVENTORY 06/07/11		OFFICE BOSTON FIELD OFFICE		CASE NO. 102-775-0060071-8	
ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE	
1-4	6.7.11	TO PSFO VAULT		(b)(6),(b)(7)(C)	
1-4	6/21/11	TO ECAP VAULT			
911	12/1/11	TO ^{(b)(6),(b)(7)(C)} JUDGE			
911	12/1/11	TO BEA VAULT			

CERTIFIED INVENTORY OF PERSONAL PROPERTY

SERIAL NUMBER
15287

NAME OF SUBJECT
Aaron Swartz

CASE NO

102-775-60071

OFFICE CONDUCTING INVENTORY
BOS / 102

DATE OF INVENTORY
6/7/11

PAGE 1 OF 1 PAGES

SIGNATURE
1 (b)(6).(b)(7)(C)

INVENTORYING PERSON
(b)(6).(b)(7)(C)

LISTED PROPERTY
(b)(6).(b)(7)(C)

TIME RECEIVED


DATE RECEIVED

13 12

6/7/11

ITEM #	QUANTITY	DESCRIPTION OF PROPERTY
1	1	Samsung HDD SN S1Y6J1CZ800 326
2	1	Samsung HDD SN S1Y6J1CZ800 329
3	1	Samsung HDD SN S1Y6J1CZ800 331
4	1	Samsung HDD SN S1Y6J1CZ800 332

I hereby acknowledge relinquishing the above listed property and have been informed that I have thirty (30) days from the date of this notice to reclaim my property or designate someone in writing to receive it. If after thirty (30) days, the property has not been claimed, the U.S. Secret Service will commence abandonment procedures. (THIS NOTICE WILL SERVE AS YOUR ONLY NOTICE.)

SIGNATURE OF SUBJECT:


RETURN OF PROPERTY

I ACKNOWLEDGE RECEIPT OF THE ABOVE LISTED PROPERTY WITH THE FOLLOWING EXCEPTIONS
 NONE (List items by number)

SIGNATURE OF SUBJECT
SIGNATURES OF PERSONS CERTIFYING RETURN OF PROPERTY
 1
 2.

TIME RECEIVED:
DATE RECEIVED:

MCI(311) FINANCIAL CRIMES INVESTIGATION
CTRL D CASE NUMBER: 102-775-0060071-S CC NR: 1

CASE TYPE: 775.51
SARS CASE(Y/N): N
OTHER NON-PRIORI
CPG TYPE: 848960

OFF: 102 CASE TITLE: AARON SWARTZ

PRIMARY ---LOCATION-----
UNITS ST CITY
00000001 MA CAMBRIDGE

CTRL HAS ADDITIONAL SECONDARY
RE-OPEN FIELD ORIG IOD ZONE TRANSF
X

AGENT FOR CASE CREDIT
(b)(6),(b)(7)(C)

AGENT ID NR	AGENT OFF	1823 OFF	DATE ASSIGNED	DATE CLOSED	DOLLAR AMOUNT CURRENT	POTENTIAL LOSS
(b)(2),(b)(7)	102	102	010411	MMDDYY	0000000000	CURRENT ACTUAL LOSS

NUMBER OF DEVICES:

AC:

HEADQUARTERS:

OFFENDER IDENTIFIED (Y OR N):

JUDICIAL ACTION---BLANKET SPECIF
ACCEPT DECLINE DECLIN

REMARKS:

FEDERAL:
STATE :

F3 CASE F4 TICK F5 SECD F6 JUST

F9 MENU F10 EXIT DATE EST 011911
DATE CPO 011911

102

PERSONAL HISTORY SUMMARY

CASE NO

102-775-60071

UNKNOWN SUBJECT

SUBJECT (LAST FIRST MI)

AFFIX/TITLE

ARMED AND DANGEROUS

SWARTZ, AARON, MNU

ALIAS/NICKNAME (LAST FIRST MI)

ALIAS/NICKNAME (LAST FIRST MI)

ALIAS/NICKNAME (LAST FIRST MI)

SEX

MALE (M) FEMALE (F)

RACE

ASIAN/PACIFIC ISLANDER (A) BLACK (B) WHITE (W)
 AMERICAN INDIAN/ALASKAN NATIVE (I)

HEIGHT

WEIGHT

5 FT 6 IN 120

EYES

BLUE (BLU) GREEN (GRN) GRAY (GRY) BLACK (BLK) WHITE (WHI)
 BROWN (BRO) BLACK (BLK) PINK (PNK) SANDY (SOY) RED (RED)
 HAZEL (HAZ) MAROON (MAR) BROWN (BRO) BLOND (BLN) GRAY (GRY) BALD (BAL)

DOB

MM DD YY
1 1 0 8 8 6

EST YEAR OF BIRTH
(If DOB not known)

POB (CITY/STATE/COUNTRY)

CHICAGO, IL

DOB

MM DD YY

DOB

MM DD YY

INTEREST

- APPLICANT (1)
- EMPLOYEE (2)
- CONTRACT EMPLOYEE (3)
- PAYEE (4)
- REGISTERED OWNER (5)
- ENDORSER (6)
- REQUESTER (7)
- COMPLAINANT (8)
- PLAINTIFF (9)
- VICTIM (10)
- WITNESS (11)
- SUSPECT (12)
- DEFENDANT (13)
- WANTED FOR QUESTIONING (14)
- WANTED WARRANT (15)
- FUGITIVE (16)
- PROTECTEE (17)
- SUPPLY HOUSE CANVASS (18)
- DECEASED
- SUBJECT (19)
- EX-MAJOR OFFENDER (20)
- HCB USE ONLY (21-27)
- CARDHOLDER (28)

OCCUPATION

OCCUPATION

OCCUPATION

RESEARCHER

KNOWN TO AGENT (LAST, FIRST MI)

(b)(6),(b)(7)(C)

JO NO

SSN

SSN

SSN

FBI NO

(b)(2),(b)(7)

(b)(6),(b)(7)(C) -0493

(b)(6),(b)(7)(C) -1374

675304KDO

MILITARY SERVICE NO / BRANCH

DATES OF DUTY

TYPE OF DISCHARGE

VA CLAIM NO

PASSPORT (COUNTRY/NO)

IO NO / STATE LOCAL P D

LOCAL P D NO

LOCAL P D NO

(b)(6),(b)(7)(C)

MA1056559

DRIVERS LICENSE (STATE/NO)

DRIVERS LICENSE (STATE/NO)

UNK

SCARS (DESCRIBE)

TATTOOS (DESCRIBE)

SPEECH DEFECT (EXPLAIN)

ACCENT (DESCRIBE)

MISSING LIMB (DESCRIBE)

PHYSICAL DEFORMITY (DESCRIBE)

ADDICT (SPECIFY)

HANDWRITING

PHOTOGRAPH

FINGERPRINTS

PALMPRINTS

MS ALIEN NO

MISC NO

MISC NO

OTHER AGENCY NO

0 1 0 4 1 1

0 1 0 4 1 1

BANK/CREDIT NAME	ACCOUNT NO	ADDRESS
NAME	ACCOUNT NO	ADDRESS

HOBBY/SKILLS	ORGANIZATIONS/AFFILIATIONS/OTHER REMARKS
--------------	--

RESIDENCE ADDRESS NUMBER	STREET	CITY	STATE	ZIP CODE	CTRY
--------------------------	--------	------	-------	----------	------

PHONE AREA CODE	NUMBER	EXT	PHONE AREA CODE	NUMBER	EXT	REMARKS
-----------------	--------	-----	-----------------	--------	-----	---------

SECONDARY ADDRESS NUMBER	STREET	CITY	STATE	ZIP CODE	CTRY
--------------------------	--------	------	-------	----------	------

PHONE AREA CODE	NUMBER	EXT	PHONE AREA CODE	NUMBER	EXT	REMARKS
-----------------	--------	-----	-----------------	--------	-----	---------

VEHICLE OWNED/USED/LEASED							
TAG NO	STATE	EXPIRATION MM YY	YEAR	MAKE	MODEL/STYLE	COLOR	VIN
							REMARKS
TAG NO	STATE	EXPIRATION MM YY	YEAR	MAKE	MODEL/STYLE	COLOR	VIN
							REMARKS

EMPLOYER NAME	EMPLOYMENT DATES	DUTIES PERFORMED	SUPERVISOR'S NAME
HARVARD UNIVERSITY		RESEARCHER	
PHONE AREA CODE	NUMBER	EXT	ADDRESS NUMBER
			STREET
			CITY
			STATE
			ZIP CODE
			CTRY

EMPLOYER NAME	EMPLOYMENT DATES	DUTIES PERFORMED	SUPERVISOR'S NAME
PHONE AREA CODE	NUMBER	EXT	ADDRESS NUMBER
			STREET
			CITY
			STATE
			ZIP CODE
			CTRY

RELATIVES AND/OR ASSOCIATES			
RELATIONSHIP	NAME	ADDRESS	AREA CODE/PHONE NO
FATHER	(b)(6),(b)(7)(C)		
MOTHER			

NCIC WANTS OR WARRANTS (Date Check Made) MM DD YY	OTHER WANTS OR WARRANTS (Specify - Agency) (Date Check Made)
0 1 0 7 1 1	

DECLARATION	SPECIAL AGENT COMPLETING FORM	DATE FORM COMPLETED
FEDERAL <input type="checkbox"/> BLANKET <input type="checkbox"/> SPECIFIC <input type="checkbox"/>	(b)(6),(b)(7)(C)	01/18/11
STATE <input type="checkbox"/> BLANKET <input type="checkbox"/> SPECIFIC <input type="checkbox"/>		

Judicial Action Summary

Case No 102-775-60071		Defendant's Name (last first mi) SWARTZ, AARON, MNU					
Arrest Date MM DD YY 0 7 1 9 1 1		Office No 102	Offense Case Type 775.510		Agent for Arrest Credit (b)(6),(b)(7)(C)		
Initial Appearance <input type="checkbox"/> Dismiss <input type="checkbox"/> Held		Judicial District BOSTON	Name of Judge/Magistrate JUDGE JUDITH G. DEIN		Appearance Date MM DD YY 0 7 1 9 1 1		
Preliminary Hearing/Arrestment <input type="checkbox"/> Dismiss <input type="checkbox"/> Held <input checked="" type="checkbox"/> Continued		Name of Judge/Magistrate JUDGE JUDITH G. DEIN		Bar	Appearance Date MM DD YY 0 7 1 9 1 1		
Grand Jury <input type="checkbox"/> No Bill <input checked="" type="checkbox"/> True Bill		Grand Jury Judicial District BOSTON		Grand Jury Amount \$100,000.00		Grand Jury Date MM DD YY 0 7 1 9 1 1	
Trial Court <input type="checkbox"/> Federal <input type="checkbox"/> State <input type="checkbox"/> Municipal <input type="checkbox"/> Ct Martial		Judge/Magistrate		Appearance Date MM DD YY 0 7 1 4 1 1			
Final Plea Entered <input type="checkbox"/> Guilty <input type="checkbox"/> Not Guilty <input type="checkbox"/> Nolo Contendere <input type="checkbox"/> Civil <input type="checkbox"/> Other (explain)							
Disposition <input type="checkbox"/> Conviction <input type="checkbox"/> Acquitted <input type="checkbox"/> Nolle Pros <input type="checkbox"/> Other (explain) <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Felony							
Agent for Disposition Credit		ID Number	1823 off	SA off	Trial Court Judicial District		
Sentence YY MM DD		Suspended YY MM DD		Probation YY MM DD		Probation Start Date MM DD YYYY	
Sentence/Disposition Date MM DD YY		Disposition Office Number		Sentencing Judge			
Terms of Sentence							
Agent Assisting in Arrest		Agent Assisting in Arrest		Agent Assisting in Arrest			
Violation/Description 18 USC 1343 Wire Fraud; 18 USC 1030 (a) (4) Computer Fraud; 18 USC 1030 (a) (2) Theft of Information; 18 USC 1030 (a) (5) (B) Recklessly Damaging a Computer; 18 USC 2 Aiding and Abetting				Violation <input checked="" type="checkbox"/> Federal <input type="checkbox"/> State			
Remarks (i.e. scheduled court appearances, bond, etc.)							

RECEIVED
US SECRET SERVICE
2011 NOV 29 P 3 53
BOSTON, MA

UNITED STATES GOVERNMENT

MEMORANDUM

Date: August 1, 2011

U. S. Secret Service

REPLY TO
ATTN OF: SAIC - Boston

File: J-102-775-60071-S

SUBJECT: Photograph

TO: SAIC - Investigative Support Division

Attached please find a photograph of *Aaron Swartz* for your files

(b)(6).(b)(7)(C)

For Steven D Ricciardi
Special Agent in Charge

111

Prepared on 07/19/2011

United States Marshals Service - LIMITED OFFICIAL USE
Booking Package Photos



USMS NUMBER: 94045-038
ORI: MAUSM0100

Date of Arrest: 07/19/2011
FBI Number:

Last Name: SWARTZ
First Name: AARON
Middle Name:

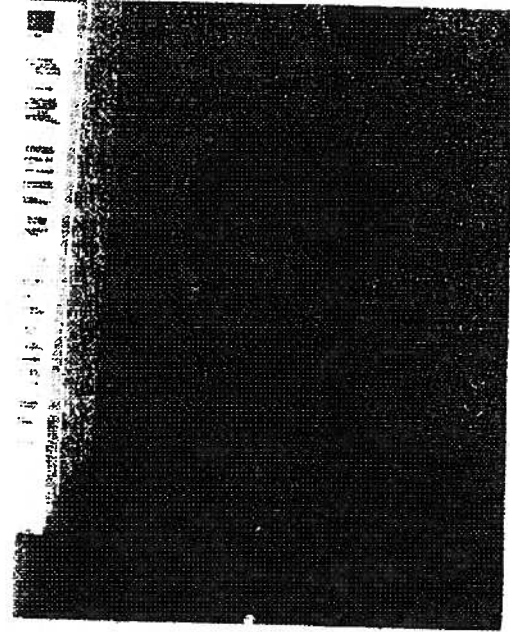
Sex: M
Race Code: W
DOB: 11/08/1986

Height: 5'06"
Weight: 130 LBS

Eye Color: BRO
Hair Color: BLK

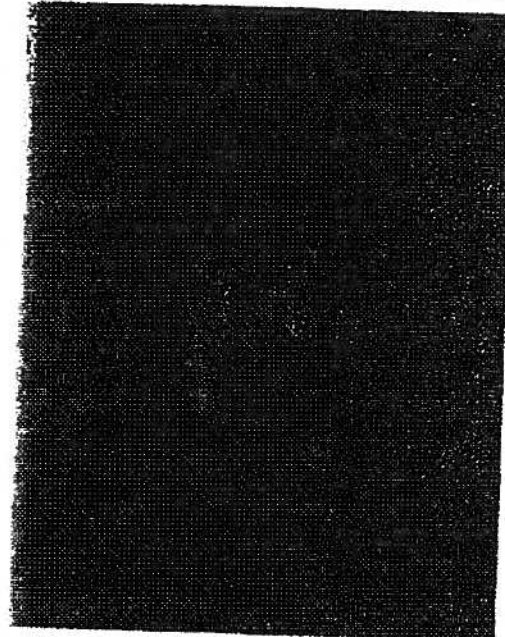
View : FRONT

Date Taken: 07/19/2011



View : RIGHT

Date Taken: 07/19/2011



"Limited Official Use"

RIF

Prepared on: 07/19/2011

United States Marshals Service - LIMITED OFFICIAL USE
Booking Package Photos



USMS NUMBER: 94045-038
ORI: MAUSM0100

Date of Arrest: 07/19/2011
FBI Number:

Last Name: SWARTZ
First Name: AARON
Middle Name:

Sex: M
Race Code: W
DOB: 11/08/1986

Height: 5'06"
Weight: 130 LBS

Eye Color: BRO
Hair Color: BLK

Limited Official Use

This information is the Property of the U.S. Marshals Service and Shall Not be Publicly Released or Disseminated Without U.S. Marshals Service Authority.

Page 2 of 2

RIF

UNITED STATES GOVERNMENT
MEMORANDUM
U.S. SECRET SERVICE

Date: August 1, 2011

File: J-102-775-60071-S

Reply To
Attn Of: SAIC - Boston

Subject: Fingerprints of *Aaron Swartz*

To: SAIC - Forensic Services Division

Attached please find a FD-249 of *Aaron Swartz*
for your files.

(b)(6) (b)(7)(C)

For: Steven D. Ricciardi
Special Agent In Charge

2011

SWARTZ, AARON

(b)(6),(b)(7)
(C)

0493

19861108

M

W

R66

110

B



MASS10200

UNITED STATES SECRET SERVICE

FALENSDC

1124
WASHINGTON, DC

20110719

20110719

11

118

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

20110719
2600-Fraud By Wire

20110719
2509-Fraud And Abuse - Computer

Medical Concerns

FINAL DISPOSITION REPORT

Leave Blank

Note: This vital report must be prepared on each individual whose arrest fingerprints have been forwarded to the FBI Criminal Justice Information Services Division without final disposition noted thereon. If no final disposition is available to arresting agency, complete left side and forward the form when case referred to prosecutor and/or courts. Agency on notice as to final disposition should complete this form and submit to FBI, CJS Division, Clarksburg, WV 26308.

(See instructions on reverse side)

FBI No. 675304KD0		Final Disposition & Date (If convicted or subject pleaded guilty to lesser charge, include this modification with disposition.)
Name on fingerprint Card Submitted to FBI Last First Middle Swartz Aaron		
Date of Birth 11/08/86 Sex M Henry Fingerprint Classification _____ From FBI 1-8 Response		
State Bureau No. (SID) MA10556559	Social Security No. (SOC) (b)(6) 0493	This Form Submitted By: (Name, Title, Agency, ORI No., City & State)
Contributor of Fingerprints (Include complete name and location of agency together with ORI number.) U.S. Secret Service 10 Causeway St., Suite 447 Boston, MA 02222 MASS 10200		
Arrest No. (OCA)	Date Arrested or Received 7/19/11	Signature _____ Date _____ Title _____
Offenses Charged at Arrest 1343 - Wire Fraud 1030 (a) (4) - Computer Fraud 1030 (a) (2) - Theft of information from a computer 1030 (a) (5) (B) - Recklessly damaging a computer Section 2- Aiding and Abetting		<input type="checkbox"/> COURT ORDERED EXPUNGEMENT: Certified or Authenticated Copy of Court Order Attached.

2011

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

Response:

FROM:NLETS) ON 08/01/11 AT 12:38:49 MASS10200

CR.WVPBINF00
09:38 08/01/2011 44413
09:38 08/01/2011 47045 MASS10200
TXT

WDR/2101-NCIYMASS102000
ATN (b)(6)(b)(7)(C)

***** CRIMINAL HISTORY RECORD *****
Data As Of 2011-08-01
***** Introduction *****

This rap sheet was produced in response to the following request:

FBI Number 675304K00
Request Id
Purpose Code C

Attention (b)(6)(b)(7)(C)

The information in this rap sheet is subject to the following caveats:
This record is based only on the FBI number in your request-675304K00.
Because additions or deletions may be made at any time, a new copy
should be requested when needed for subsequent use. (US; 2011-08-01)
All arrest entries contained in this FBI record are based on
fingerprint comparisons and pertain to the same individual. (US;
2011-08-01)

The use of this record is regulated by law. It is provided for official
use only and may be used only for the purpose requested. (US;
2011-08-01)

***** IDENTIFICATION *****

Subject Name(s)
SWARTZ, AARON H
SWARTZ, AARON (AKA)

Subject Description

FBI Number: 675304K00
State Id Number MA10556589 (MA)

Social Security Number
[REDACTED]0493

Sex Male Race White
Height 5'06" Weight 120 Date of Birth 1986-11-08
Hair Color Brown Eye Color Brown Fingerprint Pattern
UC UC UC UC UC UC UC UC UC

Place of Birth IL Citizenship US

Fingerprint Images

Photo Images

Photo Image Available

Available Image

(No Photo Image Transmitted)

Comment: FBI has two photos associated with
arrest date of 2011/07/19)

FBI-CJIS DIV-CLARKSBG CLARKSBURG WVPBINF00
Other

Photo Image Available US SECRET SERVICE BOSTON MASS10200
 Available Image Other
 (No Photo Image Transmitted)
 Comment: Arresting agency has photo associated with arrest date of 2011/07/19)
 ***** CRIMINAL HISTORY *****
 ===== Cycle 001 =====

 Earliest Event Date 2011-07-19

 Arrest Date 2011-07-19
 Arrest Case Number
 Arresting Agency MASS10200 US SECRET SERVICE BOSTON
 Subject's Name SWARTZ, AARON
 Charge 01
 Charge Literal 2608-FRAUD BY WIRE
 Agency MASS10200 US SECRET SERVICE BOSTON
 Severity
 Charge 02
 Charge Literal 2609-FRAUD AND ABUSE - COMPUTER
 Agency MASS10200 US SECRET SERVICE BOSTON
 Severity
 ***** INDEX OF AGENCIES *****
 Agency Address FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;
 1000 CUSTER HOLLOW RD
 CLARKSBURG, WV 26306

 Agency Address US SECRET SERVICE BOSTON; MASS10200;
 STE 791 10 CAUSEWAY ST
 BOSTON, MA 022221080
 * * * END OF RECORD * * *

<< Previous | Next >> | Cancel

RIF

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

Response:

FROM(NLETS) ON 08/01/11 AT 12:38:50 MASS10200

CR.MA1110000

09:38 08/01/2011 03098

09:38 08/01/2011 47046 MASS10200

TXT

HDR/2L01-NCIYMASS102000

ATN: (b)(6)(b)(7)(C)

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-
SID/MA10556559

FBI/675304K00

PUR/C

NAME:

SWARTZ, AARON H

ADDRESS

(b)(6)(b)(7)(C)

DOB	SSN	RACE	SEX	HEIGHT	WEIGHT	HAIR	EYE COLOR	BIRTH PLACE
19861108		W	M	506	120	BRO	BRO	IL
MISCELLANEOUS NUMBER	CITIZENSHIP	NON-PP-CLASS						

EMPLOYER

124 MOUNT AUBURN ST #52 CAMBRIDGE, MA

OCCUPATION

RESEARCH AT EAR

EVENT DATA

ARREST NAME

SWARTZ, AARON H

AGENCY

CAMBRIDGE PD LS 1

ARREST DATE	CASE NUMBER	OBTN	OFFENSE DATE
20110106		TCAM201100032	

OFFENSES

20110106 B5E DAYTIME FOR FELONY C266 S18

OFFENSES

20110106 B5E DAYTIME FOR FELONY C266 S18

DISPOSITIONS

END OF RECORD

<< Previous

Next >>

Cancel

U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200-0

Response: FROM(NCIC) ON 08/01/11 AT 12:38:51 MASS10200-0

FL01-NCIYMASS102000

MASS10200

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE
COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

FBI - FBI/675304KD0
MASSACHUSETTS - STATE ID/MA10556559

END

A square stamp with the letters "RIF" inside.

CJIS 988081 02/16/2012 1012 S0751/6950.

UN.

2L01111 QUERY

MASS102S0

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR RECORD REQUEST FOR FBI/675304KDO. INDIVIDUAL'S RECORD WILL BE COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

- FBI - FBI/675304KDO
- MASSACHUSETTS - STATE ID/MA10556559

END.>><

CJIS 988081 02/16/2012 1012 S0753/6950.

UL.

CR.WVFBINF00

08:14 02/16/2012 26734

08:14 02/16/2012 10301 MASS102S0

TXT

HDR/2L01 TR

ATN (b)(6) (b)(7)(C)

***** CRIMINAL HISTORY RECORD *****

Data As Of 2012-02-16

***** Introduction *****

This rap sheet was produced in response to the following request:

FBI Number 675304KDO

Request Id

Purpose Code

C

Attention

(b)(6) (b)(7)(C)

The information in this rap sheet is subject to the following caveats:

This record is based only on the FBI number in your request-675304KDO.

Because additions or deletions may be made at any time, a new copy should be requested when needed for subsequent use. (US; 2012-02-16)

All arrest entries contained in this FBI record are based on fingerprint comparisons and pertain to the same individual. (US; 2012-02-16)

The use of this record is regulated by law. It is provided for official use only and may be used only for the purpose requested. (US; 2012-02-16)

***** IDENTIFICATION *****

Subject Name(s)

SWARTZ, AARON H

SWARTZ, AARON (AKA)

Subject Description

FBI Number

675304KDO

State Id Number

MA10556559 (MA)

Social Security Number

(b)(6) (b) 0493

Sex

Male

Height

5'06"

Hair Color

Brown

Other)

Race

White

Weight

120

Eye Color

Brown

Date of Birth

1986-11-08

Fingerprint Pattern

UP UC UP UP UP UC UP UP UP (O

J-102-775-60071-5

10 FEB 20 11 27

IL
Fingerprint Images
Photo Images
Photo Image Available
Available Im

FBI-CJIS DIV-CLRKSBG CLARKSBURG WVFBINF00

age Other
(No Photo Image Transmitted)

Comment:FBI has two photos associated with
arrest date of 2011/07/19)

Photo Image Available US SECRET SERVICE BOSTON MASS10200
Available Image Other

(No Photo Image Transmitted)
Comment:Arresting agency has photo associated
with arrest date of 2011/07/19)

***** CRIMINAL HISTORY *****

==== Cycle 001 =====

Earliest Event Date 2011-07-19

Arrest Date 2011-07-19

Arrest Case Number

Arresting Agency MASS10200 US SECRET SERVICE BOSTON

Subject's Name SWARTZ, AARON

Charge 01

Charge Literal 2608-FRAUD BY WIRE

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

Charge 02

Charge Literal 2609-FRAUD AND ABUSE - COMPUTER

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

***** INDEX OF AGENCIES *****

Agency Address FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;

1000 CUSTER HOLLOW RD
CLARKSBURG, WV 26306

Agency Address US SECRET SERVICE BOSTON; MASS10200;

STE 791 10 CAUSEWAY ST
BOSTON, MA 022221080

* * * END OF RECORD * * *

RIF

***** WARNING ***** WARNING *****

THIS INFORMATION IS CORI. IT IS NOT SUPPORTED BY FINGERPRINTS.
PLEASE CHECK THAT THE NAME REFERENCED BELOW MATCHES THE NAME AND DATE OF BIRTH
OF THE PERSON REQUESTED.

J 100-775-60071-5

***** COMMONWEALTH OF MASSACHUSETTS *****
DEPARTMENT OF CRIMINAL JUSTICE INFORMATION SERVICES

*** PERSONS COURT SUMMARY ***

NAM: SWARTZ, AARON H FORMAL-NAM: AARON PCF: 00005176807
DOB: 11/08/86 SEX: M RAC: W POB: IL SSN: (b)(6)(b)(7) 0493
MOM: POP: HGT: 506 WGT: 130 HAI: BLK EYE: BRO
ADDRESS: 76 OXFORD ST CAMBRIDGE, MA

RECEIVED

ES

***** ADULT APPEARANCES *****

ARRAIGNMENT: (001)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133001
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (002)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133002
OFF: BREAKING AND ENTERING DT BLDG B&E DT
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (003)
ARG-DATE: 11/30/11 PD: MID COURT: MIDDLESEX SUPERIOR DKT# 20111133003
OFF: LARCENY MORE O/\$250 LAR MORE
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (004)
ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133004
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (005)

-ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133005
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (006)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133006
OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF
DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (007)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001
OFF: FRAUD (SPECIFY) WIRE FRD
DISP: CNT1 C STATUS: O WPD:

ARRAIGNMENT: (008)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001A
OFF: FRAUD (SPECIFY) COMPUTER FRD
DISP: CNT2 C STATUS: O WPD:

ARRAIGNMENT: (009)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001B
OFF: CRIME OF (SPECIFY IN FULL) UNL OBT INFO FR COMPTRCRIME OF
DISP: CNT3 C STATUS: O WPD:

ARRAIGNMENT: (010)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL DKT#: 111026001C
OFF: CRIME OF (SPECIFY IN FULL) RECKLESSLY DAM COMP CRIME OF
DISP: CNT4 C STATUS: O WPD:

ARRAIGNMENT: (011)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT DKT#: 1152CR000073A
OFF: B&E DT W/I COM FEL B&E DT W/I FEL
DISP: C 12/16/11 NP STATUS: C WPD:

ARRAIGNMENT: (012)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT DKT#: 1152CR000075A

RIF

DISP: C 12/16/11 NP

B&E DT W/I FEL
STATUS: C WPD:

***** END OF ADULT APPEARANCES *****

REQUESTED BY: (b)(6);(b)(7)(C)

COMPLETED BY:

AGENCY: US SECRET SERVICE

CERTIFIED INVENTORY OF EVIDENCE

*C1034
L10*

EVIDENCE HELD AGAINST

SPECIMEN

SERIAL NUMBER
102 2011 CE 000032

SWARTZ AARON

CASE NO.
102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY
02/11/11

PAGE 1 OF 3 PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

[Signature]

SIGNATURE - FORENSIC SERVICES DIVISION *12-28-11*
DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APTS 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING BA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.	
2	02/11/11	1	HARVARD UNIVERSITY EARNINGS STATEMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
3	02/11/11	1	EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1680 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043. AMOUNT DUE \$1,703.35. LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
		1	MASTER'S THESIS M-876 LIND, WILLIAM EDMUND, THOMAS McDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
			TOTAL	NO VALUE

2012 JAN -9 AM 7:05
U.S. SERVICE

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/06)

Admire!

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)


SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

PAGE 2 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	NO VALUE
4	02/11/11	1	WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
5	02/11/11	1	ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED (b)(6) (b)(7)(c) DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
			TOTAL 	NO VALUE

UNITED STATES SECRET SERVICE

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FINAL JAB

SSF 1544A (03/88)

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)

SERIAL NUMBER (FROM SSF 154A)
102 2011 CE 000032

PAGE 3 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO
102-775-0060071-8

ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
1-5	2/11/11	TO BTO VALLEY		(b)(6) (b)(7)(C)
911	1/1/11	TO SA [redacted] et al security		
1-5	1/1/11	Returned to General Weirby ATINY		

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 000032

EVIDENCE HELD AGAINST

SPECIMEN

CASE NO

102-775-0060071-8

SWARTZ AARON

OFFICE

BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE

1

OF

3

PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION

DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320 BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AS 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.	NO VALUE
2	02/11/11	1	HARVARD UNIVERSITY EARNINGS STATEMENT FOR 12/11/10-12/17/10, ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA., LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
3	02/11/11	1	EARNINGS STATEMENT ADDRESSED TO AARON SWARTZ, 950 MASSACHUSETTS AVE., CAMBRIDGE, MA ORDERED BY GOOGLE INC. 1680 AMPHITHEATRE PARKWAY, MOUNTAIN VIEW, CA 94043, AMOUNT DUE \$1,703.35, LOCATED IN THE BEDROOM CLOSET OF THE RESIDENCE.	NO VALUE
			MASTER'S THESIS M-076 LIND, WILLIAM EDMUND, THOMAS McDONALD: "A STUDY OF AN ENGINEER ADMINISTRATOR & HIS INFLUENCE ON PUBLIC ROADS IN THE US 1919-1953," LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
TOTAL				NO VALUE

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1644 (03/00)

Casefile

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)


SERIAL NUMBER (FROM SSF 1544)
102 2011 CR 000032

PAGE 2 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
			BROUGHT FORWARD	NO VALUE
4	02/11/11	1	WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
5	02/11/11	1	ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITH BLACK INKED LETTER TO AARON, SIGNED (b)(5) (b)(7)(C) DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE.	NO VALUE
TOTAL 				NO VALUE

CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET

CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000032

PAGE 3 OF 3 PAGES

DATE OF INVENTORY
02/11/11

OFFICE
BOSTON FIELD OFFICE

CASE NO.
102-775-0060071-8

ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
1-5	2/11/11	TO BFO VALLEY	(b)(6) (b)(7)(C)	
1-11	2/11/11	TO SP. [REDACTED] <i>discovered</i>		
1-5	2/11/11	Returned to former Weinberg ATTY		

CERTIFIED INVENTORY OF EVIDENCE

✓ checked

EVIDENCE HELD AGAINST

SERIAL NUMBER
102 2011 CE 000035

SWARTZ AARON

SPECIMEN

CASE NO. 102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

[Handwritten Signature]

SIGNATURE - FORENSIC SERVICES DIVISION

12-28-11
DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	1	THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE.	
2	02/11/11	1	METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
3	02/11/11	1	WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
4	02/11/11	1	WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE. SERIAL NUMBERS: 8A6330856UXEA	\$50.00
5	02/11/11	1	WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$25.00
		1	BLACK 16GB THUMBDRIVE, WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$10.00
			TOTAL	\$185.00

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/06)

Admiral

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)

DATE OF INVENTORY
02/11/11

SERIAL NUMBER (FROM SSF 1544)
102 2011 CE 000035

OFFICE
BOSTON FIELD OFFICE

PAGE 2 OF 2 PAGES

CASE NO
102-778-0060071-8

ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
1-5	2-11-11	Recovery		
911	"2/11"	TO SA (b)(6) (b)(7)(C)		
1-5	"2/11"	Returned to Maria Wooten, ARMY		

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CZ 000035

EVIDENCE HELD AGAINST

SPECIMEN

SWARTZ AARON

CASE NO
102-775-0060071-8

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY

02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

2/11/11

DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2/11/11

DATE

SIGNATURE

2/11/11

DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT AT 950 MASSACHUSETTS AVE, APT# 320, BOSTON, MA., ON 2/11/11. THESE ITEMS ARE MARKED "AB 2/11/11" IDENTIFYING SA (b)(6) (b)(7)(C) OF THE BOSTON FIELD OFFICE.</p>				
1	02/11/11	1	METALLIC BLUE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
2	02/11/11	1	WHITE IPOD W/PLASTIC WHITE CARRYING CASE, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
3	02/11/11	1	WHITE IPOD, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$50.00
4	02/11/11	1	SERIAL NUMBERS: 8A6330856UX8A WHITE ITALK, LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$25.00
5	02/11/11	1	BLACK 16GB THUMBDRIVE. WHITE LABEL W/RED INK, READING "IL STATE BOARD OF ELECTIONS, NORMALIZED STATEWIDE 11-09," LOCATED IN THE BEDROOM OF THE RESIDENCE.	\$10.00
TOTAL				\$185.00

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/96)

Casefile

CHAIN OF CUSTODY LOG

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CERTIFIED INVENTORY OF EVIDENCE
(Continuation Sheet)

DATE OF INVENTORY 02/11/11 OFFICE BOSTON FIELD OFFICE PAGE 2 OF 2 PAGES
SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000035
CASE NO. 102-775-0060071-S

ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
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1-5	2-11-11	BIBEL VALLET		(b)(6) (b)(7)(C)
911	2/10/11	TO SA [redacted] / discovered		
1-5	2/11/11	Returned to [redacted] in [redacted], MA		

Close

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CE 00081

EVIDENCE HELD AGAINST
 SPECIMEN
SWARTZ AARON

CASE NO.
102-775-0060071-9

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY
(b)(6) (b)(7)(C)

DATE OF INVENTORY
02/11/11
PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT
(b)(6) (b)(7)(C) DATE 2/11/11

REVIEWING SUPERVISOR
(b)(6) (b)(7)(C)

SIGNATURE - WITNESS DATE 2-11-11

SIGNATURE DATE 2/11/11
I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

[Signature]

SIGNATURE - FORENSIC SERVICES DIVISION DATE 12-28-11

ITEM NO	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	16	<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "MP 2/11/11" FOR IDENTIFICATION PURPOSES. MP IDENTIFIES SA (b)(6) (b)(7)(C) BOSTON FIELD OFFICE.</p> <p>SIXTEEN DEPARTMENT OF LABOR CD'S CONTAINED IN A YELLOW MAILING ENVELOPE.</p>	\$16.00

TOTAL \$16.00

UNITED STATES SECRET SERVICE

FINAL JAB

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SSF 1544 (03/00)

Admiral

CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)	SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000081	PAGE 2 OF 2 PAGES
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DATE OF INVENTORY 02/11/11	OFFICE BOSTON FIELD OFFICE	CASE NO 102-775-0060071-8
--------------------------------------	--------------------------------------	-------------------------------------

ITEM NO	DATE	ACTION	REGISTRY NUMBER(S)	SIGNATURE
---------	------	--------	--------------------	-----------

1	2/11/11	RETURNED TO [redacted]		(b)(6) (b)(7)(C)
1	"1/21/11"	TO [redacted]		
1	"1/21/11"	Returned to [redacted]		

CERTIFIED INVENTORY OF EVIDENCE

SERIAL NUMBER
102 2011 CZ 000081

EVIDENCE HELD AGAINST

SPECIMEN

CASE NO. 102-775-0060071-8

SWARTZ AARON

OFFICE
BOSTON FIELD OFFICE

EVIDENCE INVENTORIED BY

(b)(6) (b)(7)(C)

DATE OF INVENTORY
02/11/11

PAGE 1 OF 2 PAGES

SIGNATURE - SPECIAL AGENT

2/11/11
DATE

REVIEWING SUPERVISOR

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

SIGNATURE - WITNESS

2-11-11
DATE

SIGNATURE

2/11/11
DATE

I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.

SIGNATURE - FORENSIC SERVICES DIVISION DATE

ITEM NO.	DATE RECEIVED	QUANTITY	DESCRIPTION OF EVIDENCE	VALUE
1	02/11/11	16	<p>THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A FEDERAL SEARCH WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE, APT #320, BOSTON, MA.</p> <p>THESE ITEMS ARE MARKED "MP 2/11/11" FOR IDENTIFICATION PURPOSES. MP IDENTIFIES SA (b)(6); (b)(7)(C) BOSTON FIELD OFFICE. SIXTEEN DEPARTMENT OF LABOR CD'S CONTAINED IN A YELLOW MAILING ENVELOPE.</p>	\$16.00
TOTAL				\$16.00

UNITED STATES SECRET SERVICE

FINAL JAB

This form was electronically produced via OmniForm by USSS/ADMIN/NO/PAPS

SSF 1544 (03/06)

Casefiles



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
 10 Causeway St., Suite 447
 Boston, MA 02222-1080
 Telephone: (617) 565-5640

File #: 102-775-60071-S

RECEIPT

On November 21, 2011 SA (b)(6) (b)(7)(C) Boston Field Office returned the below listed items to Martin Weinburg, Attorney for suspect Aaron Swartz.

<u>ITEM#:</u>	<u>SSF 1544 Evidence S/N:</u>	<u>Description</u>
1 J	102 2011 CE 31	Scientific Atlantic Modem with Power Cord
4 J	"	Black Notebook Journal
1-5 J	102 2011 CE 32	Statements, Mail In Rebate, Notebook Paper with black writing, and Master's Thesis M-876 Lind
1 J	102 2011 CE 33	Wireless Broadband Router Linksys
1-5 J	102 2011 CE 35	Ipods, Wireless ITalk, and Thumbdrive
1 J	102 2011 CE 36	(39) Misc CD's
3-5 J	102 2011 CE 37	Pure Drive Harddrive , Disc Utility Internal Harddrive Upgrade, and Seagate Sata Internal Harddrive
1 J	102 2011 CE 81	(16) Department of Labor CD's

SIGNATURE: _____

(b)(6) (b)(7)(C)

DATE: 11/21/11

2011 Nov 22 A 10:23



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone: (617) 565-5640

File: 102-775-80071-S

RECEIPT

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:
One (1) Western Digital My Passport hard drive, serial #WXG1A8146179

SIGNATURE: _____ (b)(6) (b)(7)(C)
Print Name: _____ (b)(6) (b)(7)(C)
DATE: 12/20/11
RECEIVED FROM: _____ (b)(6) (b)(7)(C)
SA (b)(6) (b)(7)(C)
Boston Field Office

2011 DEC 20 P 12:03



U.S. Department of Homeland Security
UNITED STATES SECRET SERVICE

Boston Field Office
10 Causeway St., Suite 447
Boston, MA 02222-1080
Telephone (617) 565-5640

File #: 102-775-60071-S

RECEIPT

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One Toshiba Portable Hard Drive, Serial Number Y112P078TQQ6

SIGNATURE: (b)(6) (b)(7)(C)

PRINT NAME: (b)(6) (b)(7)(C)

DATE: 01/31/12

RECEIVED FROM: (b)(6) (b)(7)(C)
SA (b)(6) (b)(7)(C) Boston Field Office

2012 FEB 24 10 11 29

(b)(6) (b)(7)(C)

(BOS)

From:

(b)(6) (b)(7)(C)

(BOS)

Sent:

wednesday, November 23, 2011 1:12 PM

To:

(b)(6) (b)(7)(C)

(BOS)

Subject:

Swartz 102-775-60071 1544 102 2011 CE 38

Item #1 the Apple iMac Model A1311 with serial number WB025AXGD87 from 1544 inventory 102 2011 CE 38 in case 102-775-60071 was turned over to Detective (b)(6) (b)(7)(C) of the Harvard University Police Department today 11/23/11.

(b)(6) (b)(7)(C)

U.S. Secret Service
Boston Field Office

(b)(6) (b)(7)(C)

UNITED STATES GOVERNMENT
m e m o r a n d u m

DATE: November 21, 2011 U. S. Secret Service
REPLY TO: (b)(6) (b)(7)(C)
ATTN OF: ISA (b)(6) (b)(7)(C) File: 102-775-60071-S
SUBJECT: Disposition of Evidence - Aaron Swartz
TO: ATSAIC (b)(6) (b)(7)(C)
Info: FSD

Approval is requested for the disposition of evidence inventoried under the above file number as indicated below:

SSF 1544	DATE	ITEM	DESCRIPTION	EXCEPTION
1022011CE32	02/11/11	1-5	Misc Statements	A
1022011CE35	02/11/11	1-5	Ipods, Elect Items	A
1022011CE81	02/11/11	1	(16) CD's	A

// EXCEPTION X:

Local destruction is authorized for inventoried items noted above not to include those items followed by an exception, explained below. A statement of certification of Destruction should be attached on the reverse of SSF 1544, parts II and III. Part II should be forwarded to FSD.

// EXCEPTION A:

You are authorized to return these items to the victim bank or merchant, etc. The recipient shall acknowledge receipt by signing a statement of receipt and/or the U.S. Postal Service registered mail receipt will be attached to part II of the appropriate SSF 1544 and forwarded to FSD.

// EXCEPTION B:

You are authorized to retain this non-contraband item in the closed file for possible future investigative or forensic purposes.

// EXCEPTION C:

This item has been ordered by the court or lawful owner to be donated to a tax exempt organization. Obtain signed statement of receipt, attach to part II of appropriate SSF 1544, and forward to FSD. Forward copy of receipt to Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION D: (Property valued less than \$500)

This item has been declared abandoned, donated by lawful owner to the USSS, or has been ordered by the court to be used by the USSS. Authorization is hereby granted to retain this item for field office use. The automated Property Management System (PAMS) will be used to track accountable property placed into official use by this Service. You must submit a memorandum to the Property Management Branch thru the Administrative Operations Division, requesting a property number for property meeting the minimum dollar cost criteria (see Administrative Manual Volume I, Chapter 2, Sections 2.3.2 - 2.3.3). A copy of this memorandum and a copy of the SSF 1544 identifying the make, model, item description, serial number, and estimated cost must be attached to the memorandum submitted to the Property Management Branch. A copy of the package submitted to the Property Management Branch must be returned to the Financial Crimes Division for inclusion in the HQ case file.

// EXCEPTION E: (Property valued \$500 - \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, AOD concurs with this Division's authorization for local disposition. A statement of certification of destruction should be attached on the reverse of the SSF 1544, Part II and III. Part II should be forwarded to FSD. However, if the property is required for official use by your office, follow the procedures as outlined in Exception D, above.

// EXCEPTION F: (Property valued in excess of \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, SSF 1905, Report of Seized Property for Disposal, has been prepared by this division and forwarded to AOD. You will receive further instructions from AOD or GSA regarding disposition of this (these) item(s). However, if the property is required for office use by your office, follow the procedures as outlined in Exception D, above.

RIF

// EXCEPTION G: (Other)

(b)(6) ;(b)(7)(C)

Investigative Support Assistant

APPROVED:

(b)(6) ;(b)(7)(C)

ATSAIC

Boston F.O. - 1CC
FSD - 1CC
CID - 1CC

2128886

RECEIVED
U.S. MARSHAL SERVICE
BOSTON, MA
2011 JUL 14 P 3 7

UNITED STATES DISTRICT COURT

for the
District of Massachusetts

United States of America
v.

Aaron Swartz
Defendant

Case No. 11-cv-10260

2011

ARREST WARRANT

To: Any authorized law enforcement officer

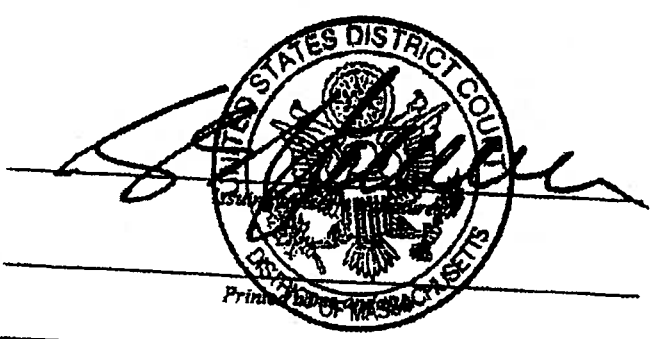
YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

- Indictment
- Superseding Indictment
- Information
- Superseding Information
- Complaint
- Probation Violation Petition
- Supervised Release Violation Petition
- Violation Notice
- Order of the Court

This offense is briefly described as follows:
18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 6, 2011, or thereabout

Date: July 14, 2011

City and state: Boston, Massachusetts



Printed name of the District Judge

Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____

Date: _____

Arresting officer's signature _____

Printed name and title _____

RIF

CASREF, VICTIM

United States District Court
District of Massachusetts (Boston)
CRIMINAL DOCKET FOR CASE #: 1:11-cr-10260-NMG-1

Case title: USA v. Swartz

Date Filed: 07/14/2011

Assigned to: Judge Nathaniel M.
GortonReferred to: Ch. Magistrate Judge
Judith G. Dein**Defendant (1)**

Aaron Swartz

represented by **Andrew Good**
Good & Cormier
3rd Floor
83 Atlantic Ave.
Boston, MA 02110
617-523-5933
Fax: 617-523-7554
Email: agood@goodcormier.com
ATTORNEY TO BE NOTICED
Designation: Retained

Pending Counts18:1343 & 2... WIRE FRAUD
(1)18:1030(a)(4) & 2... COMPUTER
FRAUD
(2)18:1030(a)(2), (c)(2)(B)(iii) &
2 ... UNLAWFULLY OBTAINING
INFORMATION FROM A
PROTECTED COMPUTER
(3)18:1030(a)(5)(B),(c)(4)(A)(i)(I)(VI) &
2 ... RECKLESSLY DAMAGING A
PROTECTED COMPUTER
(4)**Highest Offense Level (Opening)**

Felony

Disposition

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

None

Disposition

Plaintiff

USA

represented by **Scott Garland**
 United States Attorney's Office
 John J. Moakley U.S. Courthouse
 Suite 9200
 Boston, MA 02210
 617-748-3148
 Fax: 617-748-3960
 Email: scott.garland@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Stephen P. Heymann
 United States Attorney's Office
 John Joseph Moakley Federal
 Courthouse
 1 Courthouse Way
 Suite 9200
 Boston, MA 02210
 617-748-3100
 Email: Stephen.Heymann@usdoj.gov
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
07/14/2011	<u>1</u>	MOTION to Seal Case as to Aaron Swartz by USA. (Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011		Magistrate Judge Timothy S. Hillman: ELECTRONIC ORDER entered granting <u>1</u> Motion to Seal Case as to Aaron Swartz (1) (Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011	<u>2</u>	SEALED INDICTMENT as to Aaron Swartz (1) count(s) 1, 2, 3, 4. (Attachments: # <u>1</u> JS45)(Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011	<u>3</u>	Arrest Warrant Issued by Magistrate Judge Timothy S. Hillman as to Aaron Swartz. (Smith3, Dianne) (Entered: 07/15/2011)



07/15/2011		ELECTRONIC NOTICE of Case Assignment as to Aaron Swartz; Judge Nathaniel M. Gorton assigned to case. If the trial Judge issues an Order of Reference of any matter in this case to a Magistrate Judge, the matter will be transmitted to Chief Magistrate Judge Judith G. Dein. (Rynne, Michelle) (Entered: 07/15/2011)
07/19/2011	<u>4</u>	MOTION to Unseal Case as to Aaron Swartz by USA. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Ch. Magistrate Judge Judith G. Dein: ELECTRONIC ORDER entered granting <u>4</u> Motion to Unseal Case as to Aaron Swartz (1) (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Attorney update in case as to Aaron Swartz. Attorney Andrew Good added. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		Arrest of Aaron Swartz (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011		ELECTRONIC Clerk's Notes for proceedings held before Ch. Magistrate Judge Judith G. Dein: Initial Appearance as to Aaron Swartz held on 7/19/2011, Arraignment as to Aaron Swartz (1) Count 1,2,3,4 held on 7/19/2011, Plea entered by Aaron Swartz (1) Count 1,2,3,4. by Aaron Swartz Not Guilty on counts all. USMJ Dein informs the Dft. of his rights and charges; Dft. has retained counsel; Govt. states maximum penalties; Dft. is released on bond with conditions. 1st conference is set for 9/9/11 @ 10:00am (Attorneys present: Heyman and Good.) Court Reporter Name and Contact or digital recording information: Digital Recording. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>5</u>	Appearance and Compliance Bond Entered as to Aaron Swartz in amount of \$ 100,00.00, (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>6</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER Setting Conditions of Release as to Aaron Swartz. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>7</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. SCHEDULING ORDER as to Aaron Swartz Status Conference set for 9/9/2011 10:00 AM in Courtroom 15 before Ch. Magistrate Judge Judith G. Dein. (Quinn, Thomas) (Entered: 07/19/2011)
07/19/2011	<u>8</u>	Ch. Magistrate Judge Judith G. Dein: ORDER entered. ORDER ON EXCLUDABLE DELAY as to Aaron Swartz. Time excluded from 7/19/11 until 8/16/11. Reason for entry of order on excludable delay: 18 USC 3161(h) (7)(A) Interests of justice. (Quinn, Thomas) (Entered: 07/19/2011)
07/20/2011	<u>9</u>	CORPORATE DISCLOSURE STATEMENT as to Aaron Swartz (Heymann, Stephen) (Entered: 07/20/2011)
07/20/2011	<u>10</u>	NOTICE OF ATTORNEY APPEARANCE Scott Garland appearing for USA. (Garland, Scott) (Entered: 07/20/2011)
07/21/2011	<u>11</u>	Assented to MOTION Unseal Warrants and Applications, MOTION to Unseal Document (Responses due by 8/4/2011) as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011)



07/21/2011	<u>12</u>	Motion for Victim Rights in case as to Aaron Swartz(Responses due by 8/4/2011), MOTION For Alternative Victim Notification as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011)
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PACER Service Center			
Transaction Receipt			
08/01/2011 11:09:04			
PACER Login:	ux4041	Client Code:	
Description:	Docket Report	Search Criteria:	1:11-cr-10260-NMG
Billable Pages:	3	Cost:	0.24



FORFEITURE ALLEGATIONS

(18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(e), and 18 U.S.C. §982(a)(2)(B))

38. Upon conviction of the offense alleged in Count One of the Indictment, the defendant,

AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(e), any property, real or personal, that constitutes, or is derived from, proceeds traceable to the commission of the offense.

39. Upon conviction of one or more of the offenses alleged in Counts Two through Four of the Indictment, the defendant,

AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 982(a)(2)(B) any property constituting, or derived from, proceeds obtained directly or indirectly as a result of the commission of the offenses.

40. If any of the property described in paragraphs 38 and 39 hereof as being forfeitable pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(e), and 18 U.S.C. § 982(a)(2)(B) as a result of any act or omission of the defendant --

- a. cannot be located upon the exercise of due diligence;
- b. has been transferred to, sold to, or deposited with a third party;
- c. has been placed beyond the jurisdiction of this Court;
- d. has been substantially diminished in value; or
- e. has been commingled with other property which cannot be divided without difficulty;

it is the intention of the United States, pursuant to 21 U.S.C. § 853(p), as incorporated by 28 U.S.C. § 2461(c), to seek forfeiture of all other property of the defendant up to the value of the property described in paragraphs 38 and 39 above.

All pursuant to Title 18, United States Code, Sections 981(a)(1)(C) and 982(a)(2)(B), and Title 28, United States Code, Section 2461(c).

A TRUE BILL

David R Thompson
Foreperson of the Grand Jury

[Signature]
Assistant United States Attorney

Date: 7-14-11

DISTRICT OF MASSACHUSETTS

July 14, 2011

Returned into the District Court by the Grand Jurors and filed.

[Signature]
Deputy Clerk
2:00p



Criminal Case Cover Sheet

U.S. District Court - District of Massachusetts

Place of Offense: _____ Category No. 11 Investigating Agency Secret Service

City Cambridge

Related Case Information:

County Middlesex

Superseding Ind./ Inf. _____ Case No. _____

Same Defendant _____ New Defendant _____

Magistrate Judge Case Number _____

Search Warrant Case Number _____ See Additional Information Below

R 20/R 40 from District of _____

Defendant Information:

Defendant Name Aaron Swartz Juvenile: Yes No

Is this person an attorney and/or a member of any state/federal bar: Yes No

Alias Name _____

Address (City & State) Cambridge, MA

Birth date (Yr only): 86 SSN (last 4#): 1374 Sex M Race: W Nationality: USA

Defense Counsel if known: Andrew Good Address Good & Courmier

Bar Number _____ 83 Atlantic Ave.

U.S. Attorney Information: Boston, MA 02110

AUSA Stephen Heymann Bar Number if applicable 558486

Interpreter: Yes No List language and/or dialect: _____

Victims: Yes No If yes, are there multiple crime victims under 18 USC§3771(d)(2) Yes No

Matter to be SEALED: Yes No

Warrant Requested Regular Process In Custody

Location Status:

Arrest Date _____

Already in Federal Custody as of _____ in _____

Already in State Custody at _____ Serving Sentence Awaiting Trial

On Pretrial Release: Ordered by: _____ on _____

Charging Document: Complaint Information Indictment

Total # of Counts: Petty _____ Misdemeanor _____ Felony 4

Continue on Page 2 for Entry of U.S.C. Citations

I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.

Date: July 14, 2011

Signature of AUSA: _____

RIF

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant Aaron Swartz

U.S.C. Citations

	<u>Index Key/Codes</u>	<u>Description of Offense Charged</u>	<u>Count Numbers</u>
Set 1	<u>18 USC 1343</u>	<u>Wire Fraud</u>	<u>1</u>
Set 2	<u>18 USC 1030(a)(4)</u>	<u>Computer Fraud</u>	<u>2</u>
Set 3	<u>18 USC 1030(a)(2)</u>	<u>Theft of Information From a Computer</u>	<u>3</u>
Set 4	<u>18 USC 1030(a)(5)(B)</u>	<u>Recklessly Damaging a Computer</u>	<u>4</u>
Set 5	<u>18 USC 981 & 982 and 28 USC 2461</u>	<u>Forfeiture</u>	
Set 6	<u>18 USC 2</u>	<u>Aiding and Abetting</u>	<u>Counts 1-4</u>
Set 7	_____	_____	_____
Set 8	_____	_____	_____
Set 9	_____	_____	_____
Set 10	_____	_____	_____
Set 11	_____	_____	_____
Set 12	_____	_____	_____
Set 13	_____	_____	_____
Set 14	_____	_____	_____
Set 15	_____	_____	_____

ADDITIONAL INFORMATION: Search Warrant Case Numbers: 11m-5013-JGD;11m-5014-JGD
11m-5015-JGD; 11m-5031-JGD; 11m-5061-JGD; 11m-5062-JGD; 11m-5063-JGD; and
11m-5143-JGD

Seizure Warrant Case Number: 11m-5138-JGD



AO 442 (Rev. 01/09) Arrest Warrant

UNITED STATES DISTRICT COURT

for the
District of Massachusetts

United States of America
v.

Aaron Swartz
Defendant

Case No. 11-cr-10260

2011

ARREST WARRANT

To: Any authorized law enforcement officer

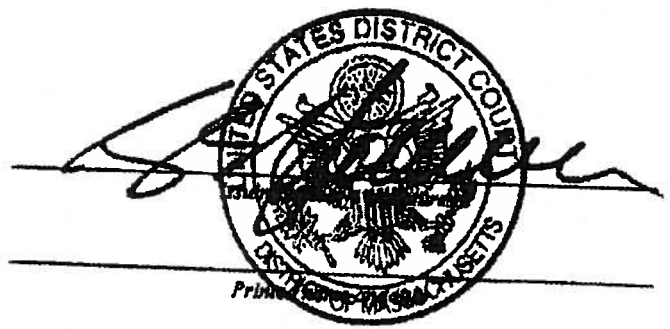
YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) Aaron Swartz
who is accused of an offense or violation based on the following document filed with the court:

- Indictment
- Superseding Indictment
- Information
- Superseding Information
- Complaint
- Probation Violation Petition
- Supervised Release Violation Petition
- Violation Notice
- Order of the Court

This offense is briefly described as follows:
18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(2) (Theft of Information From a Computer) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Computer); 18 U.S.C. 2 (Aiding and Abetting)
All committed between September 24, 2010 and January 6, 2011, or thereabout

Date: July 14, 2011

City and state: Boston, Massachusetts



Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____.

Date: _____

Arresting officer's signature

Printed name and title

RIF

(b)(6) (b)(7)(C)

(BOS)

From:

Sent:

To:

Cc:

Subject:

(b)(6) (b)(7)(C)

@cambridgepolice.org]

Friday, February 25, 2011 12:49 PM

Heymann, Stephen (USAMA) (Stephen.Heymann@usdoj.gov)

(b)(6) (b)(7)(C) (BOS)

Search Warrant Return

Steve and (b)(6) (b)(7)(C)

Please review the following language for the SW returns. If it is agreeable to you both I was intending on handwriting this onto each warrant.

Case No: **11M-5062-JED**

Date and Time Warrant Executed: **February 25, 2011 9:00am EST**

Copy of Warrant and Inventory Left With: **Property Technician** (b)(6) (b)(7)(C)

Inventory Made In The Presence of: **Property Technician** (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

and **Deputy Superintendent**

(b)(6) (b)(7)(C)

Inventory of the Property Taken:

HP USB Drive, marked 0045SMKBT1 85102

2.0 Terabyte Western Digital HD Serial Number WMAZA1626675

Acer Aspire One Laptop Computer Serial Number LUSAX0D001001100E1601

Of course the individual item will be on its specific warrant with the info about execution.

(b)(6) (b)(7)(C)

2011

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) said that she also spoke with Swartz after the search of his residence. (b)(6) (b)(7)(C) said that Swartz told her that agents came into his house and took part of his book. (b)(6) (b)(7)(C) said that Swartz also said that agents came into the Safra Center and took his controller for Rock Band. (b)(6) (b)(7)(C) said that Swartz told her that the agents were frustrated and that they did not find what they were looking for. (b)(6) (b)(7)(C) said that Swartz told her that the agents took his phones.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) said that Swartz started a Google Group called Guerilla Open Access.

Memorandum of interview

U.S. Secret Service

Case # 102-775-60071-S

DATE AND TIME: May 12, 2011 4:00pm

LOCATION: (b)(6) (b)(7)(C)

SUBJECT INTERVIEWED: (b)(6) (b)(7)(C)

IN ATTENDANCE: SA (b)(6) (b)(7)(C) (BOS)
 Detective (b)(6) (b)(7)(C), Cambridge Police

On May 12, 2011, (b)(6) (b)(7)(C) was interviewed at the offices of the (b)(6) (b)(7)(C) in Boston Massachusetts. This interview was in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Special Agent (b)(6) (b)(7)(C) and me, Cambridge Police Detective (b)(6) (b)(7)(C). The following is a synopsis of his statements:

(b)(6) (b)(7)(C) stated that he has been a friend of Aaron Swartz for several years. (b)(6) (b)(7)(C) person, by telephone and via electronic mail. (b)(6) (b)(7)(C) uses the email address (b)(6) (b)(7)(C)@gmail.com and Swartz uses the email address me@aaronsw.com for these communications. These email communications using the above addresses date back 4 to 5 years.

(b)(6) (b)(7)(C) last saw Swartz in passing the previous weekend either on Saturday or Sunday. (b)(6) (b)(7)(C) way to breakfast. (b)(6) (b)(7)(C) Swartz was on his (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) stated that after he read the Guerilla Manifesto he became interested in the idea of it and (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) stated that he believed Aaron Swartz wrote the manifesto but could not remember if he ever had a conversation with Swartz confirming that Swartz wrote the manifesto. (b)(6) (b)(7)(C) stated that, "They believe that the open access movement is a human rights issue."

(b)(6) (b)(7)(C) stated that (b)(6) (b)(7)(C) is probably the closest person professionally and personally to Swartz and at times acts as his mentor. (b)(6) (b)(7)(C) has worked on projects in the past with (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

The interview was completed at approximately 5:00pm.

Respectfully Submitted,

(b)(6) (b)(7)(C)

SOFTWARE TOOLS

Smartcard Commander - Embedded Device Forensics
CelleBrite - Cell Phone Forensics
FTK - Forensic Tool Kit
Encase - Encase

COMMENTS: NO SOFTWARE COMMENTS PROVIDED

HARDWARE TOOLS

UFED (CellBrite) - Cell Phone Forensics
SIM Card Reader - Embedded Device Forensics
Ultra Toolkit - All Writeblock Devices in the Ultra Toolkit

COMMENTS: NO HARDWARE COMMENTS PROVIDED

CASE COMPUTERS

COMPUTER DETAIL:

MODEL: Acer Aspire

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: LUSAX0D0010011001E1601

ACQUIRED DT: 02/25/2011

START DATE: 02/25/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:

MODEL	SERIAL NUMBER	SIZE
Hitachi HTS545016B9A3	091209PB5B06QcE	160 00 G

HD COMMENTS:

COMPUTER DETAIL:

MODEL: Apple iMac A1311

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: W8025AXGD87

ACQUIRED DT: 02/11/2011

START DATE: 02/11/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

HARD DRIVE(S) DETAILS:

MODEL	SERIAL NUMBER	SIZE
Imaged by TDM	Imaged by TDM	1 00 T

HD COMMENTS:

EMBEDDED TECHNOLOGY

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone (b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0080071

TOTAL MEDIA PROCESSED: 9 30 TB

EMBEDDED TECHNOLOGY

MODEL: Nokia 2320

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: 012185001723274

ACQUIRED DT: 02/14/2011

START DATE: 02/14/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

STORAGE DETAILS:

DESCRIPTION	SERIAL NUMBER	SIZE
Internal Memory	Internal Memory	32.00 M

HD COMMENTS:

EMBEDDED TECHNOLOGY

MODEL: HTC G2

ACQUIRED BY: SA (b)(6) (b)(7)(C)

SERIAL NO: 359118031982626

ACQUIRED DT: 02/16/2011

START DATE: 02/16/2011

END DATE: 05/20/2011

PROCESSED BY: SA (b)(6) (b)(7)(C)

STORAGE DETAILS:

DESCRIPTION	SERIAL NUMBER	SIZE
Internal Memory	Internal Memory	4 00 G

HD COMMENTS:

LOOSE DRIVES

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0060071

TOTAL MEDIA PROCESSED: 9.30 TB

MODEL:WD20EARS

SERIAL NUMBER:WD-WMAZA1626875

SIZE:2.00 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 02/28/2011

PROCESSED BY:SA

EXAM START:02/28/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Western Digital WD1200JS

SERIAL NUMBER:WD-WMANN1006724

SIZE:120.00 G

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 02/11/2011

PROCESSED BY:SA

EXAM START:02/11/2011

EXAM END: 05/20/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800326

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/25/2011

PROCESSED BY:SA

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800329

SIZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/27/2011

PROCESSED BY:SA

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS:None specified

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

CASE NUMBER: 102-866-0060071

TOTAL MEDIA PROCESSED: 9 30 TB

MODEL: Samsung HD154UI

SERIAL NUMBER: S1Y6J1CZ800331

SIZE: 1.50 T

ACQUIRED BY: SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/23/2011

PROCESSED BY: SA

EXAM START: 06/23/2011

EXAM END: 07/07/2011

COMMENTS: None specified

MODEL: Samsung HD154UI

SERIAL NUMBER: S1Y6J1CZ800332

SIZE: 1.50 T

ACQUIRED BY: SA (b)(6) (b)(7)(C)

ACQUIRED DATE: 06/22/2011

PROCESSED BY: SA

EXAM START: 06/22/2011

EXAM END: 07/07/2011

COMMENTS: None specified

CASE MEDIA

CATEGORY
Sim Card (Cell Phones) - Cell Phones
Secure Digital Card
USB Thumb Drives

QTY	NUM	SIZE
2	640	KB
1	30	GB
2	80	GB

CASE CREDIT

HARD DRIVE CREDIT:	8
MEDIA CREDIT:	3
E-TECH CREDIT:	2
TOTAL CREDIT:	13

EXAM RESULTS

EVIDENCE FOUND: YES
 AUTHORITY: Warrant
 COMMENTS: NO COMMENTS PROVIDED

(b)(6) (b)(7)(C)

Office. BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

Inspection Division

Criminal Investigations Case Review Worksheet - Section 8

J-Case: OPEN: [checked] CLOSED: ICR: (Appropriate Use: Yes No)

File Number 102-775-60071-5

(b)(1);(b)(7)(C)

Case Agent

Case Title Aaron Swartz

Secondary Case Type YES [checked] NO

Date Assigned 1/4/11

1st Report Due 4/4/11

Amt of Loss \$

(Tickler Date)

(Date Submitted)

1st Report 4/4/11

1/24/11

On Time

Days Late

2nd Report 4/21/11

2/28/11

On Time

Days Late

3rd Report 6/29/11

6/29/11

On Time

Days Late

4th Report 9/27/11

8/11/11 (NOFFA)

On Time

Days Late

5th Report 11/29/11

11/29/11

On Time

Days Late

6th Report 2/27/12

2/27/12

On Time

Days Late

7th Report 5/28/12

On Time

Days Late

8th Report

On Time

Days Late

Report

On Time

Days Late

Report

On Time

Days Late

Total M/Rs Total M/Rs Late Total Days Late

All Leads Explored: YES NO Reports: Accurate Clear Detailed Complex Community Impact AF

Date of Arrest 7.19.11 Date of Official Message 8.1.11 On Time Days Late F [checked] S

Date of Arrest Date of Official Message On Time Days Late F S

Date of Arrest Date of Official Message On Time Days Late F S

Date of Arrest Date of Official Message On Time Days Late F S

Date of Arrest Date of Official Message On Time Days Late F S

Total Arrest Messages Total Arrest Messages Late Total Days Late

Date of Plant Suppression Date of Official Message On Time Days Late

Date of Plant Suppression Date of Official Message On Time Days Late

Date of Plant Suppression Date of Official Message On Time Days Late

Total Plant Sup Messages Total Plant Sup Messages Late Total Days Late

Criminal Investigations Case Review Worksheet - Section 8

Page 2

Date of Intercept: _____ Date of Official Message _____ On Time _____ Days Late _____ T _____ V _____
 Date of Intercept: _____ Date of Official Message: _____ On Time _____ Days Late _____ T _____ V _____
 Date of Intercept _____ Date of Official Message _____ On Time _____ Days Late _____ T _____ V _____
 Date of Intercept _____ Date of Official Message _____ On Time _____ Days Late _____ T _____ V _____
 Total Intercept Messages: _____ Total Intercept Messages Late _____ Total Days Late _____ T _____ V _____

AUSA

Prosecution Federal _____ State _____ Juvenile _____ NA _____
 Date of AUSA Declination _____ Letter in File: Yes _____ No _____ N/A _____ (Required for State Prosecution)
 Blanket Letter Yes _____ No _____ OR In Report _____ (Required for State Prosecution)
 Date of AUSA Currency Retention Letter _____ Letter in File: Yes _____ No _____ N/A _____

SUSPECTS / DEFENDANTS

SF 1599 Photographs _____ Fingerprints _____ Palm prints _____ FBI R-84 _____ Subject Statements _____
 CIC Criminal History _____ State Records _____ ISD Data Searches _____ FSD Exam _____
 Constitutional Rights: Verbal _____ Written _____ Waived _____ Consent to Search: Verbal _____ Written _____
 Defendants/Suspects listed in subsequent reports: YES _____ NO _____

Garon Swartz

(b)(6) (b)(7)(C)

EVIDENCE / PERSONAL PROPERTY

SF 1544 SSF 3051 _____
 Position of Evidence YES _____ NO _____
 Certificate of Destruction YES _____ NO _____

J-CASE REQUIREMENTS

J-Case Requirements Complete YES _____ NO _____
 SSF 1599A _____ Case Report _____ Raid Plan _____
 Investigative Notes in File YES _____ NO _____

TYPE OF: CI _____ Confidential Fund _____ UC _____ Surveillance _____ Polygraph _____ ECSAP _____
 Content and Quality of Reports _____

BOS

From: BOS
Sent: Wednesday, June 29, 2011 9:40 AM
To: CID
Cc: ISD; BOS
Subject: CT 775.510 Aaron Swartz (102-775-60071-S)

*V. Allen
MD*

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION
SUBJECT: REPORT OF CONTINUING INVESTIGATION

FILE: 102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

ACTUAL LOSS: \$TBD

POTENTIAL LOSS: \$2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 02/28/11
REPORTING PERIOD: 02/29/11 - 06/29/11
STATUS: CONTINUED

FILE COPY

2011

SYNOPSIS:

Investigation has determined Aaron Swartz intruded into the MIT network without authorization by breaking into a locked telecommunications closet containing hardware for the MIT network, connecting a computer to the MIT network and downloading documents from JSTOR.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is a Request for Investigation Other District (IOD) written by SA (b)(6),(b)(7)(C) on 02/28/11.

Reference is made to the Report of Investigation Other District written by SA (b)(6),(b)(7)(C) of the San Francisco Field Office on 06/23/11.

Reference is made to the conference call between SA (b)(6),(b)(7)(C), AUSA Stephen Heymann, SA (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) from the Computer Emergency Response Team Coordination Center at Carnegie Mellon University on 03/09/11.

Reference is made to the conference call between SA (b)(6),(b)(7)(C), AUSA Heymann, Detective (b)(6),(b)(7)(C) of the Cambridge Police, and (b)(6),(b)(7)(C) of MIT on 06/20/11.

Reference is made to the conference call between SA (b)(6),(b)(7)(C), AUSA Heymann, and (b)(6),(b)(7)(C) from JSTOR on 06/25/11.

On 03/09/11, SA (b)(6),(b)(7)(C) and SA (b)(6),(b)(7)(C) of the San Francisco Field Office interviewed (b)(6),(b)(7)(C) at (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C). The details of the interview are described in a Memorandum of Interview written by SA (b)(6),(b)(7)(C). A copy of that MOI will be maintained in this case folder.

advised that she didn't have any contact, but did hear that [redacted] due to his arrest, he was no longer allowed on the MIT campus. Due to this restriction, [redacted] advised that she heard Swartz was upset because he would not be permitted to participate in an annual campus wide scavenger hunt, of which he participates in every year. [redacted] was asked if Swartz ever mentioned JSTOR records to her, and [redacted] advised that she has never heard Swartz discuss JSTOR records. Please see the Memorandum of Interview dated 3/9/11 for additional details.

On 6/7/11, I contacted SA [redacted] regarding the interview of [redacted] SA [redacted] advised he was preparing to indict Aaron Swartz and will consult with AUSA Heymann to determine if an interview with [redacted] is necessary.

On 6/10/11, I was contacted by SA [redacted] who advised that after a discussion with AUSA Heymann the interview of [redacted] would not be necessary.

Case closed in San Francisco.

JUDICIAL ACTION:

No Judicial Action is being sought in the Northern District of California at this time.

SUSPECTS/DEFENDANTS:

SWARTZ, Aaron H - SUSPECT

1599: Yes
1599A: No

[redacted] (b)(6),(b)(7)(C) - SUBJECT

AKA: [redacted] (b)(6),(b)(7)(C)
RACE: [redacted]
SEX: [redacted]
DOB: [redacted]
SSN: [redacted] (b)(6),(b)(7)(C)
FBI: [redacted] (b)(6),(b)(7)(C)
SID: [redacted]
HT: [redacted]
WT: [redacted]
EYES: [redacted]
HAIR: [redacted]
1599: No
1599A: No
PHOTO: No
PRINTS: No
POB: [redacted]
DL/STATE: [redacted]
ADDRESS: [redacted] (b)(6),(b)(7)(C)
EMAIL: [redacted]
DATABASE CHECKS: 02/24/11

[redacted] (b)(6),(b)(7)(C) - SUBJECT

AKA: N/A
RACE: [redacted] (b)(6),(b)(7)(C)
SEX: [redacted]
DOB: [redacted]
SSN: [redacted] (b)(6),(b)(7)(C)
FBI: N/A
SID: N/A
HT: N/A
WT: N/A
EYES: [redacted] (b)(6),(b)(7)(C)
HAIR: [redacted] (C)
1599: No

BOS

From: BOS
To: CID
Cc: ISD; SFO; BOS
Subject: 775.510 Request for Investigation Other District - Aaron Swartz (102-775-60071-S)
Attachments:

Sent: Mon 2/28/2011 3:37 PM

Handwritten signature

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
SAN FRANCISCO FIELD OFFICE
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: 102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

FILE COPY

SUBJECT: REQUEST FOR INVESTIGATION OTHER DISTRICT

ACTUAL LOSS: \$ TBD

POTENTIAL LOSS: \$ 2,000,000.00

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: 01/21/11 - OPENING REPORT
REPORTING PERIOD: 01/22/11 - 02/28/11
STATUS: CONTINUED

2011

SYNOPSIS:

Investigation has determined Aaron Swartz intruded into the MIT network without authorization by making entry into a locked closet containing networking components for MIT networks, connecting a computer to the MIT network, and downloading documents from JSTOR. Swartz was arrested by MIT Police and agents of the New England Electronic Crimes Task Force and charged with violation of Massachusetts General Law (MGL) for breaking and entering.

Agents and Detectives of the New England Electronic Task Force subsequently executed search warrants at Swartz's residence and office.

The San Francisco Field Office is requested to interview (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) two known associates of Swartz, to determine their knowledge of his activities.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to the Opening Report in this case, written by SA (b)(6),(b)(7)(C) on 01/21/11.

On 01/25/11, SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) the (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) the from (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) for JSTOR, (b)(6),(b)(7)(C) a (b)(6),(b)(7)(C) for JSTOR, and AUSA Stephen Heymann held a conference call. (b)(6),(b)(7)(C) confirmed that JSTOR has licensing agreements with publishers to make journals and articles available on the JSTOR web site, and that some of those licensing agreements include revenue sharing with publishers in which the publishers will get a share

of the fees JSTOR collects from institutions. (b)(6)(b) stated that some of the publishers allow for a direct fee to download an individual article, but some publishers do not want individual articles downloaded. (b)(6)(b) estimated that the value of the documents Swartz downloaded to be in excess of \$2 million. (b)(6)(b) stated that he believed the average cost of the articles was \$14.00. (b)(6)(b) stated that the first indication of an intrusion was a degradation of service for all customers. (b)(6)(b) stated that the software on the JSTOR site relies on cookies to track users and that Swartz must have found a way to delete the JSTOR cookies from his system prior to making a new request to download a document. (b)(6)(b) stated multiple download requests occurred simultaneously and that at times, hundreds of download requests were occurring concurrently. (b)(6)(b) confirmed that the JSTOR terms and conditions clearly prohibited the kind of downloading Swartz was doing.

On 02/03/11, SA (b)(6)(b) and Detective (b)(6)(b) received the Acer Aspire netbook, hard drive enclosure, Western Digital hard drive contained in the enclosure, and a USB flash drive from MIT Police (b)(6)(b)(7)(C). SA (b)(6)(b) and Detective (b)(6)(b) took the evidence items directly to Cambridge Police Headquarters. The evidence items were logged into Cambridge Police evidence and taken to the Cambridge Police Identification Unit. The Identification Unit began processing the items for fingerprints. The results of the analysis is pending.

On 02/04/11, SA (b)(6)(b) Detective (b)(6)(b)(7)(C) of the Cambridge Police, (b)(6)(b) from the Massachusetts Institute of Technology, and AUSA Stephen Heymann held a conference call. (b)(6)(b) explained that he was driving to work on 01/04/11 when (b)(6)(b)(7)(C) called him and told him that he found a laptop connected to a switch. (b)(6)(b) explained that previously (b)(6)(b)(7)(C) had sent an email to (b)(6)(b)(7) describing the switch he had traced the excessive downloading from JSTOR to. (b)(6)(b) explained that after (b)(6)(b) found the laptop connected to the switch, he started a packet capture on the same switch. (b)(6)(b) also explained that the switch the laptop was connected to was an entry switch, and that normally only edge switches should be plugged into the entry switch. (b)(6)(b) also explained that when (b)(6)(b)(7)(C) arrived, he used NMAP to discover that port 22 and 8092 were open on the laptop that was discovered. (b)(6)(b) said that he reviewed the packet capture and discovered 14 different IP addresses sending SSH traffic to the laptop. (b)(6)(b) believed that some of the IP addresses were SSH background noise, however he did note that 18.181.0.232 could be traced to the linerva server at MIT. The linerva server is a Linux dial up server run by the Student Information Processing Board at MIT. (b)(6)(b)(7)(C) stated that he was still working on analyzing the packet capture.

On 02/07/11, (b)(6)(b)(7)(C) told SA (b)(6)(b)(7) that he noticed that on 01/06/11, the laptop used by Swartz was briefly registered on the MIT network from building 4 of MIT. (b)(6)(b)(7) noticed that during that time the laptop communicated with IP addresses 174.129.66.198, 204.236.212.151 and 50.16.222.69. (b)(6)(b)(7) stated that those IP addresses are associated with Amazon Elastic Compute Cloud, which is a web service that provides resizable compute capacity in the cloud.

Also on 02/07/11, (b)(6)(b)(7)(C) sent an email to AUSA Heymann to revise her estimate of how many documents were downloaded by Swartz. (b)(6)(b) stated that Swartz downloaded over 2.8 million documents in November and December of 2010. (b)(6)(b) also forwarded emails from (b)(6)(b)(7)(C) stating that the initial analysis of the activity indicated that the downloads were done systematically using sequential increases in stable URLs. The same email included a statement from (b)(6)(b)(7)(C) of JSTOR indicating that the downloading did not appear to be targeted towards research articles or any particular titles, collections, or disciplines. For the 2.8 million downloads in November and December of 2010, the breakdown was 1,385,569 research articles, 938,063 reviews, 62,127 news articles

INVENTORY MADE BY:
DESCRIPTION OF ITEMS:
SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

SA (b)(6),(b)(7)(C)
Apple iMac Model A1311 serial number W8025AXGD87
Western Digital Hard Drive SN WMANN1006724
Aaron Swartz
Boston Field Office Evidence Vault
Held pending judicial action.

EVIDENCE SSF 1544 S/N:
DATE OF INVENTORY:
INVENTORY MADE BY:
DESCRIPTION OF ITEMS:

102 2011 CE 39
02/25/11
SA (b)(6),(b)(7)(C)
Acer Aspire One SN LUSAX000010011001E1601
Rocketfish Enclosure with WD hard drive

WMAZA1626675

SEIZED / OBTAINED FROM:
LOCATION:
DISPOSITION:

HP USB Drive marked 0045SMKBT1 85102
Cambridge Police
Boston Field Office Evidence Vault
Held pending judicial action.

DISPOSITION:

The San Francisco Field Office is requested to interview (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) to determine if Swartz discussed JSTOR or MIT with them, and if they had any knowledge of Swartz's downloading of documents from JSTOR. Prior to making contact with (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) the San Francisco agent assigned this IOD is requested to contact Boston case agent (b)(6),(b)(7)(C) at (b)(6),(b)(7)(C) to further discuss this case.

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C) / RICCIARDI

BOS

From: BOS
To: CID
Cc: ISD; BOS
Subject: 775.510 Opening Report - Aaron Swartz (102-775-60071-S)
Attachments:

Sent: Fri 1/21/2011 2:58 PM

✓ mcl 1/19

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: BOSTON FIELD OFFICE
TO: CRIMINAL INVESTIGATIVE DIVISION
INFO: INVESTIGATIVE SUPPORT DIVISION

FILE: 102-775-60071-S
X-REF: N/A
SEIZURE#: N/A

SUBJECT: OPENING REPORT

CASE TITLE: AARON SWARTZ
CASE TYPE: 775.510
SECONDARY TYPES: 848.191, 848.304, 848.930
CONTROLLING OFFICE: BOSTON FIELD OFFICE
REPORT MADE BY: SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
DATE CASE OPENED: 01/07/11
PREVIOUS REPORT: N/A
REPORTING PERIOD: 01/04/11 - 01/21/11
STATUS: CONTINUED

FILE COPY 2011

SYNOPSIS:

On 01/04/11, MIT police requested assistance from members of the New England Electronic Crime Task Force regarding an investigation into a computer that was found in a locked closet at MIT and was connected to the MIT Network without authorization. Further investigation revealed that a subject later identified as Aaron Swartz, intruded into the MIT network without authorization by making entry into a locked closet containing networking components for MIT networks, connecting a computer to the MIT network, and downloading documents from JSTOR.

On 01/06/11, Aaron Swartz was arrested by MIT Police and agents of the New England Electronic Crimes Task Force and charged with violation of Massachusetts General Law (MGL) for breaking and entering. The investigation of Swartz's unauthorized intrusion into the MIT network and the theft of documents from JSTOR continue.

Case continued in Boston.

DETAILS OF INVESTIGATION:

On 01/04/11, Detective (b)(6),(b)(7)(C) of the Cambridge, MA Police Department and a member of the New England Electronic Crimes Task Force, received a call from (b)(6),(b)(7) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (MIT.EDU) of the Massachusetts Institute of Technology (MIT) Police Department, informing him that an unauthorized computer had been found in a wire closet on MIT grounds and that Network Traffic suggested that the computer was being used to download expensive technical journals without authorization. The computer was found in a wire closet in the basement of Building 16, the Dorrance Building (77 Massachusetts Avenue, Cambridge, MA) which houses the MIT Biological Engineering Department.

Continuing on 01/04/11, SA (b)(6),(b)(7)(C) Detective (b)(6),(b) and Detective (b)(6),(b)(7) (b)(6),(b)(7) of the Boston Police Department, traveled to MIT and met with (b)(6),(b)(7) (b)(6),(b)(7) (b)(6),(b)(7) of the MIT Police, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) a (b)(6),(b)(7)(C).

(b)(6),(b)(7) for MIT, in the basement of building 16. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) from the Cambridge Police Department processed the scene for fingerprints. The netbook found connected to the switch in the wire closet in the basement of building 16 was an Acer Aspire One with a serial number LUSAX0D001001100E1601. Network traffic indicated that the netbook was using two IP addresses (18.55.6.240 and 18.55.7.240) which are both IP addresses belonging to MIT. Use of NMap showed that the netbook had port 22 and 8092 open. Port 22 is the default port for SSH (Secure Shell network protocol) and port 8092 is often associated with TCP (Transmission Control Protocol) traffic. A surveillance camera was placed in the wire closet to record anyone returning for the netbook.

Continuing on 01/04/11, at approximately 1526, the surveillance camera recorded a white male, later identified as Aaron Swartz (DOB 11/08/86), enter the wire closet. Based on the surveillance video, Swartz appeared to replace the external hard drive with a new one and take the old hard drive with him.

Further on 01/04/11, (b)(6),(b)(7) was able to provide SA (b)(6),(b)(7) with the following timeline regarding this investigation:

On 09/26/10, (b)(6),(b)(7)(C) the MIT (b)(6),(b)(7)(C) received an email from (b)(6),(b)(7)(C), the JSTOR (b)(6),(b)(7)(C), stating that excessive downloading of journals had been detected from MIT, and that all of MIT access to JSTOR would be blocked. JSTOR converts printed scholarly journals into electronic form and stores them in a central archive that can be accessed by libraries and institutions such as MIT.

On 09/27/10, the MIT Network and Information Security Team received an email from (b)(6),(b)(7)(C) the MIT (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) regarding excessive downloading from two IP addresses 18.55.6.216 and 18.55.6.215. JSTOR restored MIT access but blocked access to the identified IP addresses. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@mit.edu), (b)(6),(b)(7)(C) discovered network registration for "Gary Host" with email address ghost@mailinator.com, a MAC address of 00235a735ffb and computer name "ghost-macbook" registered on the network on 09/24/10. (b)(6),(b)(7) disabled the computer registration.

On 10/09/10, (b)(6),(b)(7)(C) from JSTOR (b)(6),(b)(7)(C) emailed (b)(6),(b)(7)(C) the MIT (b)(6),(b)(7)(C) to inform her that MIT's access to JSTOR had been cut off again due to excessive downloading.

On 10/12/10, the MIT Network and Information Security Team received an email from (b)(6),(b)(7)(C) stating that JSTOR informed her that excessive downloading came from IP address 18.55.5.100.

On 10/13/10, (b)(6),(b)(7) traced the second occurrence of excessive unauthorized downloading to a computer registered on the network as "Grace Host" with an email of ghost42@mailinator.com, a MAC address of 0017f22cb074 and computer name of "ghost-laptop". (b)(6),(b)(7) disabled the host registrations identified as bogus. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) for MIT, notified (b)(6),(b)(7) and (b)(6),(b)(7)(C) the (b)(6),(b)(7)(C) MIT (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) that information indicated that the same unknown person appears to be using MIT guest registration from a wired connection in building 16.

On 11/29/10, the MIT Network and Information Security Team was notified by the MIT branch of the Institute of Electrical and Electronic Engineers that journal spidering has occurred on their site and it was tracked to the Student Information Processing Board XVM cluster, a group of computers that

are shared and that anyone in the MIT community can use to host a Virtual Machine.

On 01/03/11, (b)(6),(b)(7)(C) received an email from (b)(6),(b)(7)(C) forwarded from (b)(6),(b)(7)(C) informing him that that the excessive downloading of journals had begun again.

On 01/04/11, (b)(6),(b)(7)(C) emailed (b)(6),(b)(7)(C) the (b)(6),(b)(7)(C) Operations, and (b)(6),(b)(7)(C) (b)(6),(b)(7)(C), (b)(6),(b)(7)(C)@mit.edu (b)(6),(b)(7)(C) for MIT, asking them to further pinpoint the location of the computer downloading the journals. At 0808, (b)(6),(b)(7)(C) located a computer hidden by a box connected to a switch in a wire closet in the basement of building 16. The computer was also connected to an external hard drive. (b)(6),(b)(7)(C) established a packet capture of the same switch the computer was found attached to.

(b)(6),(b)(7)(C) also provided SA (b)(6),(b)(7)(C) with a copy of historical network flow data concerning IP addresses 18.55.6.240 and 18.55.7.240 from 12/14/10 to 01/04/11 and DHCP log information for computers registered as ghost-macbook and ghost-laptop.

SA (b)(6),(b)(7)(C) contacted SA (b)(6),(b)(7)(C) (CID) at the CERT Coordination Center at the Software Engineering Institute at Carnegie Mellon University. SA (b)(6),(b)(7)(C) provided SA (b)(6),(b)(7)(C) with instructions to upload the data to the CERT drop box.

On 01/06/11, at approximately 1232, video surveillance showed the individual later identified as Swartz return to the wire closet and remove the netbook and external hard drive. Later, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) of the MIT Police Department called (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) of the MIT Police Department and stated that he had located the suspect later identified as Swartz riding his bicycle on Massachusetts Avenue near the intersection with Lee Street in Cambridge, Massachusetts. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and SA (b)(6),(b)(7)(C) responded to Lee Street to assist Captain (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and attempted to interview Swartz, however Swartz jumped off of his bicycle and ran down Lee Street. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and SA (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) detained the suspect and he was subsequently placed under arrest. A search of the backpack the suspect was wearing revealed a U.S. passport in the name of Aaron Swartz and one (1) USB Thumb Drive. No computer was found in the backpack. Swartz was transported by Cambridge Police to Cambridge Police headquarters and subsequently charged with violation of Massachusetts General Law (MGL) for Breaking and Entering.

Also on 01/06/11, (b)(6),(b)(7)(C) checked the DHCP logs for computer registrations containing the word "ghost". Ghost-laptop was identified as still being active on the MIT network using the same MAC address as used on 01/04/11 to download journals. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@mit.edu) an MIT Network Engineer, traced ghost-laptop on the network to building W20 on the 5th floor. MIT Building W20 is the Stratton Student Center. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) traveled to the Stratton Student Center and determined that the network drop location ghost-laptop connected to was the Student Information Processing Board office, room 557. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) contacted (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) to inform him that they had traced the netbook to a room in the student center. SA (b)(6),(b)(7)(C) met (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) at the student center and found the Acer Aspire netbook and external hard drive unattended, under a table, powered on and connected to the MIT network by a cable. Using gloves, SA (b)(6),(b)(7)(C) examined the netbook. The netbook appeared to be frozen halfway in the shutdown state and all attempts to access a terminal on the machine were unsuccessful. It was determined it would not be possible to conduct live forensics or capture a snapshot of the memory of the computer in its current state. The laptop was placed in an evidence bag and turned over to MIT Police to be inventoried into evidence.

Continuing on 01/06/11, SA (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) traveled to Cambridge Police Headquarters to interview Swartz. At Cambridge Headquarters, SA (b)(6),(b)(7)(C) met (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) informed SA (b)(6),(b)(7)(C) that he represented Swartz and that his client would not make a statement. Swartz was not cooperative with investigators. Swartz initially refused to provide his name, date of birth and other biographical information.

On 01/10/11, SA (b)(6),(b)(7)(C) AUSA Heymann and (b)(6),(b)(7)(C) from JSTOR conducted a conference call to discuss the theft of material from JSTOR.

On 01/14/11, SA (b)(6),(b)(7)(C) Detective (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) and AUSA Heymann met at the MIT office of General Counsel with (b)(6),(b)(7)(C) counsel for MIT.

JUDICIAL ACTION:

On 01/06/11, Aaron Swartz was arrested by MIT Police Department and charged with violation of Massachusetts General Law (MGL) Chapter 266, Section 18, Breaking and Entering.

On 01/06/11, SA (b)(6),(b)(7)(C) contacted AUSA Steven Heymann, District of Massachusetts, to brief him on the above investigation.

On 01/07/11, Aaron Swartz was arraigned in Cambridge, MA District Court for violation of MGL Chapter 266, Section 18, Breaking and Entering. The case was assigned docket number 1152CR0073.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron H. - SUSPECT

AKA:	N/A
RACE:	White
SEX:	Male
DOB:	11/08/1986
SSN:	(b)(6),(b)(7)(C)-1374
FBI:	675304KDD
SID:	MA10556559
HT:	5' - 06"
WT:	120 lbs.
EYES:	Brown
HAIR:	Brown
1599:	Yes
1599A:	No
PHOTO:	Yes
PRINTS:	Yes
POB:	Chicago, IL
DL/STATE:	
ADDRESS:	
EMAIL:	
DATABASE CHECKS:	01/07/11

EXAMS CONDUCTED:

ECSAP:	Pending
POLY:	N/A
FSD:	N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11
NCIC/NLETS: 01/07/11
CCS/CFT: 01/07/11
LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence in this case is currently being held at MIT Police Headquarters.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

**UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS**

UNITED STATES OF AMERICA

v.

AARON SWARTZ,

Defendant

Crim. No. *11-cr-10260*
VIOLATIONS:

18 U.S.C. § 1343 (Wire Fraud)

18 U.S.C. § 1030(a)(4) (Computer Fraud)

18 U.S.C. § 1030(a)(2), (c)(2)(B)(iii)
(Unlawfully Obtaining Information from a
Protected Computer)

18 U.S.C. § 1030(a)(5)(B), (c)(4)(A)(i)(I), (VI)
(Recklessly Damaging a Protected Computer)

18 U.S.C. § 2 (Aiding and Abetting)

18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c),
and 18 U.S.C. § 982(a)(2)(B) (Criminal
Forfeiture)

INDICTMENT

The Grand Jury charges that at all relevant times:

PARTIES

1. The Massachusetts Institute of Technology ("MIT") was and continued to be a leading research and teaching university located in Cambridge, Massachusetts.
2. JSTOR, founded in 1995, was and continued to be a United States-based, not-for-profit organization that provides an online system for archiving and providing access to academic journals. It provides searchable digitized copies of over 1,000 academic journals, dating back for lengthy periods of time.
3. JSTOR's service is important to research institutions and universities because it can be extraordinarily expensive, in terms of both cost and space, for a research or university library to maintain a comprehensive collection of academic journals. By digitizing extensive, historical collections of journals, JSTOR enables libraries to outsource the journals' storage,

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ensures their preservation, and enables authorized users to conduct full-text, cross-disciplinary searches of them. JSTOR has invested millions of dollars in obtaining and digitizing the journal articles that it makes available as part of its service.

4. JSTOR generally charges libraries, universities, and publishers a subscription fee for access to JSTOR's digitized journals. For a large research university, this annual subscription fee for JSTOR's various collections of content can cost more than \$50,000. Portions of the subscription fees are shared with the journal publishers who hold the original copyrights. In addition, JSTOR makes some articles available for individual purchase. Publishers decide which articles can be purchased individually and set fees for their articles. JSTOR facilitates the purchase of these articles from the archive on behalf of the participating publishers.

5. JSTOR did not permit users:

- a. to download or export content from its computer servers with automated computer programs such as web robots, spiders and scrapers;
- b. to download all of the articles from any particular issue of a journal; or
- c. to make other than personal use of individually downloaded articles.

6. JSTOR notified its users of these rules, and users accepted these rules when they chose to obtain and use JSTOR's content.

7. JSTOR provided MIT with its services and content for a fee.

8. MIT, in turn, made JSTOR's services and content available to its students, faculty, and employees. MIT also allowed guests of the Institute to have the same access as its students, faculty, and employees for short periods of time while they were on campus.

9. JSTOR's computers were located outside the Commonwealth of Massachusetts, and thus any communications between JSTOR's computers and MIT's computers in Massachusetts crossed state boundaries. JSTOR's computers were also used in and affected interstate and foreign commerce.

10. Aaron Swartz lived in the District of Massachusetts and was a fellow at Harvard

University's Center for Ethics. Although Harvard provided Swartz access to JSTOR's services and archive as needed for his research, Swartz used MIT's computer networks to steal well over 4,000,000 articles from JSTOR. Swartz was not affiliated with MIT as a student, faculty member, or employee or in any other manner other than his and MIT's common location in Cambridge. Nor was Swartz affiliated in any way with JSTOR.

OVERVIEW OF THE OFFENSES

11. Between September 24, 2010, and January 6, 2011, Swartz contrived to:
 - a. break into a restricted computer wiring closet at MIT;
 - b. access MIT's network without authorization from a switch within that closet;
 - c. connect to JSTOR's archive of digitized journal articles through MIT's computer network;
 - d. use this access to download a major portion of JSTOR's archive onto his computers and computer hard drives;
 - e. avoid MIT's and JSTOR's efforts to prevent this massive copying, measures which were directed at users generally and at Swartz's illicit conduct specifically; and
 - f. elude detection and identification;

all with the purpose of distributing a significant proportion of JSTOR's archive through one or more file-sharing sites.

MEANS OF COMMITTING THE OFFENSES

12. Swartz alone, or in knowing concert with others unknown to the grand jury, (hereafter simply "Swartz" in this section) committed these offenses through the means described below.

September 24 through 27, 2010

13. On September 24, 2010, Swartz purchased an Acer laptop computer from a local

computer store with the intent of using it to automatically and systematically harvest JSTOR's archive of digitized journal articles.

14. Later that day, Swartz connected the Acer computer to MIT's computer network from a location in Building 16 at MIT and registered under a pseudonym with MIT's computer network as a guest. MIT offers campus guests short-term service on its computer network. Campus guests must register on the MIT network and are limited to a total of fourteen days per year of network service.

15. Swartz registered on the network using identifiers chosen to hide his identity as the computer's owner and user.

a. The computer was registered under the fictitious guest name "Gary Host."

b. The computer's client name was specified as "ghost laptop." A computer's client name helps to identify it on a network and can be chosen by its user. In this case, the name was simply created by abridging the pseudonym "Gary Host," combining the first initial "g" with the last name "host."

c. The fictitious "Gary Host's" e-mail address was identified as "ghost@mailinator.com." This was a "throwaway" e-mail address. Mailinator is a free, disposable e-mail service that allows a user to create a new e-mail address as needed, without even registering the address with Mailinator. Mailinator provides this service for users to have an anonymous and temporary e-mail address. Mailinator accepts mail for any e-mail address directed to the mailinator.com domain without need for a prior registration, and it allows anyone in the world to read that mail without having to create an account or enter a password. All mail sent to mailinator.com is automatically deleted after several hours whether read or not.

16. On September 25, 2010, Swartz used the Acer laptop to systematically access and rapidly download an extraordinary volume of articles from JSTOR. He used a software program

to automate the downloading process so that a human being would not need to keep typing in the archive requests. The program was also designed to sidestep or confuse JSTOR's efforts to prevent this behavior.

17. These rapid and massive downloads and download requests impaired computers used by JSTOR to service client research institutions and threatened to misappropriate its archive.

18. As JSTOR, and then MIT, became aware of these efforts to steal a vast proportion of JSTOR's archive, each took steps to block the flow of articles to Swartz's computer and thus to prevent him from redistributing them. Swartz, in turn, repeatedly altered the appearance of his Acer laptop and the apparent source of his automated demands to get around JSTOR's and MIT's blocks against his computer.

a. On the evening of September 25, 2010, JSTOR blocked the computer's access to its network by refusing communications from the computer's assigned IP address. An IP (short for "Internet Protocol") address is a unique numeric address used by a computer on the Internet. Every computer attached to the Internet must be assigned an IP address so the Internet traffic sent from and directed to that computer can be directed properly from the source to its destination. Most Internet service providers control a range of IP Addresses. MIT controls all IP addresses that begin with the number 18. In this case, the computer had been assigned an IP address of 18.55.6.215, and JSTOR blocked communications from that IP address.

b. On September 26, 2010, Swartz obtained for his computer a new IP address on the MIT network - 18.55.6.216 - and began again to download an extraordinary volume of articles from JSTOR. Accesses from this address continued until the middle of the day, when JSTOR spotted and blocked this IP address as well. Because the exploits on September 25 and 26 were both

launched from MIT IP addresses beginning with 18.55.6 , and because computers used by JSTOR to service client research institutions were again impaired and its archive at risk of misappropriation, on September 26, 2010, JSTOR began blocking a much broader range of IP addresses. As a result, legitimate JSTOR users at MIT were denied access to JSTOR's archive until September 29, 2010.

c. Notified by JSTOR of what was happening, MIT sought to block Swartz more specifically. It did so by prohibiting the Acer laptop from being assigned an IP address on MIT's network. When a user plugs his computer into the wired network on MIT's campus, his computer's MAC address is used to determine whether he has been authorized to use the network. A MAC address is a unique identifier assigned to a computer network interface, in this case, the Acer laptop's network interface card. A MAC address most often is assigned by the manufacturer of the network interface card and therefore generally remains constant on the device. Although a MAC address is intended to be a permanent and globally unique identification, a user with the right knowledge can change the MAC address, an action referred to as "MAC address spoofing," as discussed below.

d. As part of the registration process, "Gary Host's" computer, i.e., the Acer laptop, had identified its network interface's MAC address as 00:23:5a:73:5f:fb. Consequently, on September 27, 2010, MIT deactivated the guest registration for the "ghost laptop" by barring any network interface with that MAC address from being assigned a new IP address.

19. MIT banned the Acer laptop from its network under and consistent with its own computer use rules, which required users to:

- a. use the network to support MIT's research, education, and MIT administrative activities, or at least to not interfere with these activities;

- b. maintain the system's security and conform to applicable laws, including copyright laws; and
- c. conform with rules imposed by any networks to which users connected through MIT's system.

Guest users of the MIT network agreed to be bound by the same rules that applied to students, faculty, and employees. These rules explicitly notified users that violations could lead to state or federal prosecution.

October 2 through 9, 2010

20. Despite knowing that his computer had been blocked from JSTOR's and MIT's networks, Swartz sought and obtained another guest connection on MIT's network, again for his Acer laptop less than a week later, on October 2, 2010.

21. Once again, Swartz registered the Acer laptop on the network using identifiers chosen to avoid identifying Swartz as the computer's owner and user:

- a. The computer was once again registered under the fictitious name "Gary Host" and the client name "ghost laptop."
- b. To evade the MAC address block, Swartz spoofed the computer's MAC address, manipulating it from 00:23:5a:73:5f:fb to 00:23:5a:73:5f:fc (the final "b" became a "c").
- c. By re-registering the "ghost laptop," Swartz ensured that it was assigned a new IP address. By obtaining a new IP address, Swartz disassociated his rogue computer from the IP addresses used to exploit JSTOR in September.

22. On October 8, 2010, Swartz connected a second computer to MIT's network and registered as a guest, using similar naming conventions: the computer was registered under the name "Grace Host," the computer client name "ghost macbook," and the throw-away e-mail address "ghost42@mailinator.com."

23. The next day, October 9, 2010, Swartz used both the "ghost laptop" and the

"ghost macbook" to systematically and rapidly access and download an extraordinary volume of articles from JSTOR. The pace was so fast that it brought down some of JSTOR's computer servers.

24. In response, JSTOR blocked the entire MIT computer network's access to JSTOR for several days, beginning on or about October 9, 2010.

November and December, 2010

25. During November and December, 2010, Swartz used the "ghost laptop" (i.e., the Acer laptop) at MIT to make over two million downloads from JSTOR. This is more than one hundred times the number of downloads during the same period by all the legitimate MIT JSTOR users combined. Of the downloads, approximately half were research articles, with the remainder being reviews, news, editorials, and miscellaneous documents.

26. This time around, Swartz circumvented MIT's guest registration process altogether when he connected to MIT's computer network. By this point, Swartz was familiar with the IP addresses available to be assigned at the switch in the restricted network interface closet in the basement of MIT's Building 16. Swartz simply hard-wired into the network and assigned himself two IP addresses. He hid the Acer laptop and a succession of external storage drives under a box in the closet, so that they would not be obvious to anyone who might enter the closet.

January 4 through 6, 2011

27. On January 4, 2011, Aaron Swartz was observed entering the restricted basement network wiring closet to replace an external hard drive attached to his computer.

28. On January 6, 2011, Swartz returned to the wiring closet to remove his computer equipment. This time he attempted to evade identification at the entrance to the restricted area. As Swartz entered the wiring closet, he held his bicycle helmet like a mask to shield his face, looking through ventilation holes in the helmet. Swartz then removed his computer equipment from the closet, put it in his backpack, and left, again masking his face with the bicycle helmet

before peering through a crack in the double doors and cautiously stepping out.

29. Shortly thereafter, Swartz connected the Acer laptop to MIT's network in a different building, again registering on the network using identifiers chosen to avoid identifying Swartz as the computer's owner and user.

a. The computer was registered under the fictitious name "Grace Host" and the client name "ghost laptop."

b. To evade the block on the computer's MAC address, Swartz had spoofed (manipulated) its MAC address a second time, changing it from the blocked 00:23:5a:73:5f:fb to 00:4c:e5:a0:c7:56.

c. By re-registering the "ghost laptop," Swartz ensured that it was assigned a new IP address. By obtaining a new IP address for his rogue computer, Swartz disassociated it from the IP addresses used to exploit JSTOR up to that point.

30. Swartz had a software program named "keepgrabbing.py" installed on the Acer laptop. Keepgrabbing.py was designed to download .pdf files from jstor.org and sidestep or confuse JSTOR's efforts to prevent the behavior.

31. When MIT Police spotted Swartz on the afternoon of January 6, 2011 and attempted to question him, he fled with a USB drive that contained the program "keepgrabbing2.py." "Keepgrabbing2.py" had distinct similarities to "keepgrabbing.py."

32. In all, Swartz stole approximately 4.8 million articles, a major portion of the total archive in which JSTOR had invested. Of these, approximately 1.7 million were made available by independent publishers for purchase through JSTOR's Publisher Sales Service.

33. Swartz intended to distribute a significant portion of JSTOR's archive of digitized journal articles through one or more file-sharing sites.

**COUNT 1
Wire Fraud
18 U.S.C. §§ 1343 & 2**

34. The Grand Jury realleges and incorporates by reference the allegations in paragraphs 1-33 of this Indictment and charges that:

From on or about September 24, 2010, through January 6, 2011, or thereabout, in the District of Massachusetts and elsewhere, the defendant,

AARON SWARTZ,

having devised and intended to devise a scheme and artifice to defraud and for obtaining property — namely, journal articles digitized and distributed by JSTOR, and copies thereof — by means of material false and fraudulent pretenses, representations, and promises, transmitted and caused to be transmitted by means of wire communication in interstate commerce writings, signs, signals, and pictures — namely, communications to and from JSTOR's computer servers — for the purpose of executing the scheme, and aided and abetted the same.

All in violation of Title 18, United States Code, Sections 1343 and 2.

COUNT 2
Computer Fraud
18 U.S.C. §§ 1030(a)(4) & 2

35. The Grand Jury realleges and incorporates by reference the allegations in paragraphs 1-33 of this Indictment and charges that:

From on or about September 24, 2010, through January 6, 2011, or thereabout, in the District of Massachusetts and elsewhere, the defendant,

AARON SWARTZ,

knowingly and with intent to defraud, accessed a protected computer — namely, a computer on MIT's network and a computer on JSTOR's network — without authorization and in excess of authorized access, and by means of such conduct furthered the intended fraud and obtained things of value — namely, digitized journal articles from JSTOR's archive — and aided and abetted the same.

All in violation of Title 18, United States Code, Sections 1030(a)(4) and 2.

COUNT 3
Unlawfully Obtaining Information from a Protected Computer
18 U.S.C. §§ 1030(a)(2), (c)(2)(B)(iii) & 2

36. The Grand Jury realleges and incorporates by reference the allegations in paragraphs 1-33 of this Indictment and charges that:

From on or about September 24, 2010, through January 6, 2011, or thereabout, in the District of Massachusetts and elsewhere, the defendant,

AARON SWARTZ,

intentionally accessed a computer — namely, a computer on MIT's computer network and a computer on JSTOR's network — without authorization and in excess of authorized access, and thereby obtained from a protected computer information whose value exceeded \$5,000 — namely, digitized journal articles from JSTOR's archive — and aided and abetted the same.

All in violation of 18 U.S.C. §§ 1030(a)(2), (c)(2)(B)(iii) and 2.

COUNT 4
Recklessly Damaging a Protected Computer
18 U.S.C. §§ 1030(a)(5)(B), (c)(4)(A)(i)(I),(VI) & 2

37. The Grand Jury realleges and incorporates by reference the allegations in paragraphs 1-33 of this Indictment and charges that:

From on or about September 24, 2010, through January 6, 2011, or thereabout, in the District of Massachusetts and elsewhere, the defendant,

AARON SWARTZ,

intentionally accessed a protected computer — namely, a computer on MIT's computer network and a computer on JSTOR's network — without authorization, and as a result of such conduct recklessly caused damage to MIT and JSTOR, and, during a 1-year period, caused loss aggregating at least \$5,000 in value and damage affecting at least 10 protected computers, and aided and abetted the same.

All in violation of Title 18, United States Code, Sections 1030(a)(5)(B), (c)(4)(A)(i)(I),(VI) & 2.

UNITED STATES GOVERNMENT
Memorandum of interview

U.S. Secret Service

Case # 102-775-60071-S

DATE AND TIME March 9, 2011
LOCATION (b)(6) (b)(7)(C)
SUBJECT INTERVIEWED (b)(6) (b)(7)(C)
IN ATTENDANCE SA (b)(6) (b)(7)(C) (SFO)
SA (b)(6) (b)(7)(C) (SFO)

On March 9, 2011, (b)(6) (b)(7)(C) was interviewed at (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Agent (b)(6) (b)(7)(C) and Agent (b)(6) (b)(7)(C) San Francisco Field Office. During the interview (b)(6) (b)(7)(C) was asked about Aaron Schwarz and any information she had regarding his recent arrest. The following is a summary of her statements:

(b)(6) (b)(7)(C) stated that she is the kind of friend that does not ask questions when a friend asks for help. (b)(6) (b)(7)(C) elaborated by stating that she received a phone call from Schwarz, who asked her to call his lawyer and arrange bail for him, which she did.

(b)(6) (b)(7)(C)

When (b)(6) (b)(7)(C) was asked about any additional contact with Schwarz, she advised that she didn't have any contact, but did hear that due to his arrest, he was no longer allowed on the MIT campus. Due to this restriction, (b)(6) (b)(7)(C) advised that she heard Schwarz was upset because he would not be permitted to participate in an annual campus wide scavenger hunt, of which he participates in every year. (b)(6) (b)(7)(C) was asked if Schwarz ever mentioned JSTOR records to her, of which she advised that she didn't know what JSTOR was and asked for an explanation. Once JSTOR was explained in more detail, (b)(6) (b)(7)(C) advised that she believes that she may have access to those records (b)(6) (b)(7)(C) but has never heard Schwarz discuss JSTOR records.

During the course of the interview, (b)(6) (b)(7)(C) was fully cooperative and openly answered any questions that were asked of her. (b)(6) (b)(7)(C) stated that she would fully cooperate in this investigation, and stated that if she hears from Schwarz, that she would contact Agent (b)(6) (b)(7)(C) who provided his contact information at the conclusion of the interview.

UNITED STATES GOVERNMENT
Memorandum of interview

U.S. Secret Service

Case # 102-775-60071-S

DATE AND TIME April 13, 2011

LOCATION 1 Courthouse Way, Boston, MA 02210

SUBJECT INTERVIEWED (b)(6), (b)(7)(C)

IN ATTENDANCE SA (b)(6), (b)(7)(C) (BOS)
Detective (b)(6), (b)(7)(C) Cambridge Police
AUSA Stephen Heymann
(b)(6), (b)(7)(C)

On April 13, 2011, (b)(6), (b)(7)(C) was interviewed at the John Joseph Moakley U.S. Courthouse at 1 Courthouse Way, Boston Massachusetts, in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Agent (b)(6), (b)(7)(C) and Cambridge Police Detective (b)(6), (b)(7)(C) and Assistant U.S. Attorney Stephen Heymann. Also in attendance were (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) attorneys with Fish and Richardson Professional Corporation. The following is a summary of her statements:

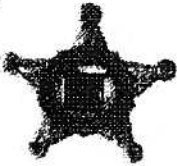
(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) she received a call from Swartz and that he told her he had been arrested. (b)(6), (b)(7)(C) said that she did not want to know why he had been arrested and that she was concerned about how he was emotionally. (b)(6), (b)(7)(C) said that (b)(6), (b)(7)(C) posted bail for Swartz. (b)(6), (b)(7)(C) the he had met Swartz before. (b)(6), (b)(7)(C) said that the main part of the discussion was regarding Swartz's mental health and that Swartz has had some depression problems. (b)(6), (b)(7)(C) said that she remembered Swartz mentioning something about a computer and something about Massachusetts Institute of Technology.

(b)(6), (b)(7)(C) said that after she spoke with Swartz she called one of her friends, (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) said that Swartz did not like to have a computer at his house but that he had an office at the democracy center. (b)(6), (b)(7)(C) said that she thought that Swartz generally used Mac equipment.

(b)(6), (b)(7)(C) said that she understood that Swartz did something with JSTOR. (b)(6), (b)(7)(C) said that she believed that academic publishing is despised by academics (b)(6), (b)(7)(C) said that researchers have to pay to be published and then people have to pay to have access to the works.



Electronic Crimes Special Agent Program

CASE NUMBER: 102-866-0080071

TOTAL MEDIA PROCESSED: 9 30 TB

CASE TITLE: Swartz

SUBJECT: Swartz, Aaron

CITY OF OFFENSE: Cambridge
STATE OF OFFENSE: MA

IOD: N
REQUESTER: AUJSA Heymann

CASE OFFICE: BOS (102)

EXAMINER'S SUPERVISOR: (b)(6);(b)(7)(C)

EXAMINER'S OFFICE: BOS (102)

DATES/APPROVERS

EXAM DATE: 07/07/2011

APPROVED DATE: 07/27/2011

SUBMIT DATE: 07/26/2011

USSS CASE INFORMATION

J-CASE:

S-CASE: S

OCCURRENCE: NOT SPECIFIED

SSF-1544: 102 2011 CE 39

INTERNAL TRACKING NUM:

ICR:

OTHER AGENCY INFORMATION

OTHER AGENCY: N

OTHER AGENCY NO:

REQUESTOR:

REQUESTOR NUMBER:

CASE ENCRYPTION

ENCRYPTION USED: N

ENCRYPTION DESCR: NOT SPECIFIED

COMMENTS

CASE SUMMARY: Aaron Swartz broke into a network closet in MIT, attached a laptop to the MIT network and illegally downloaded a large number of documents from JSTOR.

DISPOSITION EVIDENCE: Held in the Boston Field Office pending judicial action

CASE TYPES

PRIMARY CASE TYPE: 866.775 - Computer Crime Investigations

SECONDARY CASE TYPES: 848.193 - Financial Crimes Task Forces
848.191 - Electronic Crimes Task Force
848.930 - Crimes Involving use of Emerging Technology
848.304 - Books/Poems/Plays

INVESTIGATIVE TOOLS

(b)(6);(b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone

(b)(6);(b)(7)(C)

Approved by CID on 07/27/2011