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February 10, 2011

The Honorable David Ferriero
Archivist of the United States
National Archives and Records Administration
8601 Adelphi Road
College Park, MD 20740

Dear Mr. Ferriero:

Phil Angelidis
Chairman

Hon. Bill Thomas
Vice Chairman

Brooksley Born
Commissioner

Byron S. Georgiou
Commissioner

Senator Bob Graham
Commissioner

Keith Hennesey
Commissioner

Douglas Holtz-Eakin
Commissioner

Heather H. Murren, CFA
Commissioner

John W. Thompson
Commissioner

Peter Wallison
Commissioner

Wendy Edelberg
Executive Director

When the Financial Crisis Inquiry Commission (the "Commission") terminates, by statute, on February 13, 2011, the records of the Commission will be transferred to the National Archives and Records Administration (NARA) for preservation and public access. This letter sets forth the Commission's continued interest in government and public access to information created or gathered during its investigation and establishes criteria under which these records should be made available.

The Commission has established a policy of making available to the public as much information as possible, while safeguarding personal privacy, law enforcement, private commercial, financial regulatory, and other sensitive information. The Commission's final report is highly detailed and discloses a significant amount of previously unavailable material. In addition, the Commission has released through its website many supplemental documents, including staff memoranda, documentary evidence, e-mails, witness statements, interviews, transcripts and summaries, audio and video files, and press releases. All of the records and information that the Commission has made available to the public should continue to be made publicly available by NARA. This includes the records that are accessible on the Commission's website, which NARA will maintain after February 13, 2011, and on the Commission's parallel website which the Commission will establish at Stanford University. Because the Commission was established in the legislative branch, its records have not been subject to the Freedom of Information Act (FOIA), and we understand that the FOIA will not apply to Commission records even after they are transferred to NARA.

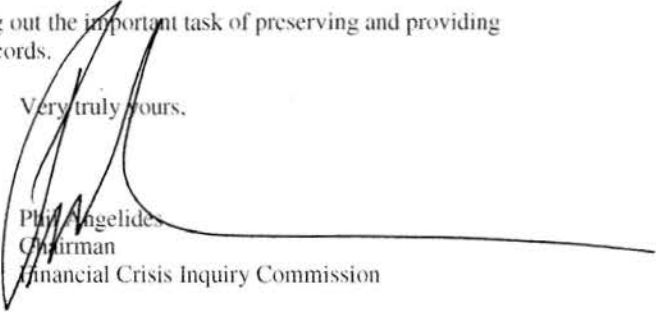
The Commission recommends to NARA that records not already publicly available should be made available to the public, to the greatest extent possible consistent with the terms of this letter, beginning on February 13, 2016. The Commission encourages NARA to conduct a systematic review of the records that are not currently available to the public with the goal of releasing to the public as much information as is allowable by law and regulation on February 13, 2016, or as soon thereafter as possible. Records should not be disclosed immediately after February 13, 2016, if they contain (a) personal privacy information that the Commission agreed to protect from public disclosure for longer than 5 years; (b) confidential financial supervisory or regulatory information which remains sensitive at the time of release; (c) proprietary business information which remains confidential or contains trade secrets at the time of release, including any such information that the Commission has agreed will remain confidential for a longer period of time; or (d) information which is otherwise barred from public disclosure by law, as determined by the Archivist.

1717 Pennsylvania Avenue, NW, Suite 800 • Washington, DC 20006-4614
202.292.2799 • 202.632.1604 Fax

We understand that there will be an initial period of at least several months during which NARA's staff will be organizing and processing the Commission's records. During this period, access to the records should be provided to the ten members of the Commission (Phil Angelides, the Honorable Bill Thomas, Brooksley Born, Byron S. Georgiou, Senator Bob Graham, Keith Hennessey, Douglas Holtz-Eakin, Heather H. Murren, John W. Thompson and Peter J. Wallison) and the following members of the Commission staff and advisors: Wendy Edelberg, Peter Kadzik, Esq., Gretchen Newsom, Scott Ganz, Gary Cohen, Greg Feldberg, Chris Seefer, Maryann Haggerty, and Cassidy Waskowicz. In addition, certain administrative staff of the Commission may have access as part of their duties to transfer the records to NARA. During the period when the FCIC transfers records to NARA and after February 13, 2011, it is important that the ten Commissioners and the designated members of the Commission staff and advisors have continuing access to the Commission's records once the records are transferred to NARA.

Thank you for your cooperation in carrying out the important task of preserving and providing access to the Commission's voluminous records.

Very truly yours,


Phil Angelides
Chairman
Financial Crisis Inquiry Commission

Cc: Members, Financial Crisis Inquiry Commission
Gary M. Stern, NARA
Wendy Edelberg, Executive Director
Cassidy Waskowicz, Deputy General Counsel



National Archives and Records Administration

700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Receipt for Initial Transfer of Records of the Financial Crisis Inquiry Commission

Donald Collier received 65 boxes of records of the FCIC from

Sarah Zuckerman on Feb. 2, 2011, from the FCIC office.

A complete SF258 will be prepared for signature in time for the final pick-up.

Donald Collier

Donald Collier

2/2/11

Date

Sarah Zuckerman

Printed Name/Signature of FCIC representative

2/2/11

Date



National Archives and Records Administration

700 Pennsylvania Avenue, NW
Washington, DC 20408-0001
<http://archives.gov>

RECORDS TRANSFER RECEIPT

TRANSFER OF
FINANCIAL CRISIS INQUIRY COMMISSION
ELECTRONIC RECORDS

February 9, 2011

This is an acknowledgement for the transfer and receipt of electronic records from the **Financial Crisis Inquiry Commission (FCIC)** to the **National Archives and Records Administration Center for Legislative Archives**. The transfer is in accordance with the agreed upon Record Schedule between the Commission and the National Archives and Records Administration and consists of:

19 Federal Records Center (FRC) boxes containing FCIC electronic records stored on optical media (CD-DVD), portable disk drives, and other forms of electronic media storage.

FCIC TRANSFERRING OFFICIAL:

Sarah Zuckerman

Printed Name

[Signature]

Signature

[Signature]

T. Ashley Smoot
National Archives and Records Administration



National Archives and Records Administration

700 Pennsylvania Avenue, NW
Washington, DC 20408-0001
<http://archives.gov>

RECORDS TRANSFER RECEIPT

TRANSFER OF
FINANCIAL CRISIS INQUIRY COMMISSION
RECORDS

February 9, 2011

This is an acknowledgement for the transfer and receipt of records from the **Financial Crisis Inquiry Commission (FCIC)** to the **National Archives and Records Administration Center for Legislative Archives**. The transfer is in accordance with the agreed upon Record Schedule between the Commission and the National Archives and Records Administration and consists of:



20 Federal Records Center (FRC) boxes containing FCIC textual records.

FCIC TRANSFERRING OFFICIAL:

Jack Bakerman
Printed Name

[Signature]
Signature

T. Ashley Smoot
T. Ashley Smoot
National Archives and Records Administration

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER N1-148- 11 - 1	
TO NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 2/8/11	
1 FROM (Agency or establishment) Financial Crisis Inquiry Commission		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
2 MAJOR SUBDIVISION			
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER Cassidy Waskowicz	5 TELEPHONE NUMBER 202-292-1350	DATE 13 Apr 11	ARCHIVIST OF THE UNITED STATES 
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE 2/7/2011	SIGNATURE OF AGENCY REPRESENTATIVE 		TITLE Deputy General Counsel
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	See attached		

1. Files documenting the Commission's establishment, membership, policy, Organization, deliberations, findings, and recommendations, including such records as

- Original charter, organization charts, directives or memorandums to staff concerning their responsibilities, and other materials that document the organization and functions of the Commission and its components
- Agendas, minutes, testimony, transcripts, and video and audio records of meetings and hearings
- Reports, studies, news releases, and commissioners' speeches
- Correspondence (paper and electronic), subject and other files maintained by key commission staff, such as the executive director and legal counsel documenting the functions of the commission
- Substantive records relating to research studies and other projects
- Correspondence (paper and electronic), briefing books, agendas, appropriations reports and other records relating to substantive interactions with Congress and the Administration
- Documentation of subcommittees, working groups, or other subgroups of advisory committees, that support their reports and recommendations to the full or parent committee This documentation may include, but is not limited to minutes, transcripts, reports, correspondence, briefing materials, and other related records
- Documentation of formally designated subcommittees and working groups This documentation may include, but is not limited to minutes, transcripts, reports, correspondence, briefing materials, and other related records
- Documentation obtained from Federal and private sources
- Final report and proposed standards presented to the President, the Congress, and other Federal and State officials

PERMANENT Transfer to the National Archives on termination of the Commission

2. Web site records

- a Electronic version of web site

PERMANENT Transfer to the National Archives on termination of the Commission

- b Design, management, and technical operation records

PERMANENT Transfer to the National Archives on termination of the Commission

**RECOMMENDATION TO THE ARCHIVIST ON RECORDS
DISPOSITION REQUEST**

Job No N1-148-11-1
Item Count: 3

SUMMARY

The Financial Crisis Inquiry Commission (FCIC) requests disposition authority for their Organization Files

The FCIC was a bipartisan commission created to research, analyze, and report on the causes of the financial crisis originating in 2006. The FCIC had broad subpoena powers and obtained a substantial amount of records from public and private entities, including Wall Street firms and Government agencies. The FCIC also conducted hearings across the country. The final report was issued on January 27, 2011, and the commission sunset on February 13, 2011. These records have high research value and document the actions of Federal officials because of the breadth of records obtained by the FCIC and it's an in depth analysis reported to the Congress, the Administration, and the public.

RECOMMENDATION

- 1 **APPROVED FOR DISPOSAL.** The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not, or will not after the lapse of the period specified, have sufficient administrative, legal, research or other value to warrant their continued preservation by the Government.
- 2 **APPROVED FOR PERMANENT RETENTION.** The records described under the following item or items have been appraised by the National Archives and Records Administration (NARA) and are determined to have sufficient historical or other value to warrant their continued preservation by the United States Government. The agency will transfer these records to the National Archives as specified 1, 2a, and 2b.
- 3 **DISPOSITION NOT APPROVED.** The records described under the following item or items are not approved for disposition.
- 4 **WITHDRAWN.** The records described under the following item or items have been withdrawn at the request of the agency and/or NARA.

FEDERAL REGISTER NOTICE

Not required

Required - Publication Data
Copies Requested 0
Comments Received 0

SIGNATURES	TITLE	SIGNATURE	DATE
Appraisal <i>SMC 4/11/11</i> <i>mm 4/11/11</i>	Appraiser	<i>James Cristler</i>	<i>4/6/11</i>
	NWML	<i>Deborah A. Zeng</i>	<i>4-11-2011</i>
	NWM	<i>Julie Hill</i>	<i>4-11-2011</i>
CONCURRENCES			



NATIONAL
ARCHIVES

Date: February 9, 2011
Appraiser: Lauren Crisler, NWML
Agency: Financial Crisis Inquiry Commission
Subject: N1-148-11- 1

INTRODUCTION

Schedule Overview

Organization Files

Background

The Financial Crisis Inquiry Commission (FCIC) was established by the Fraud Enforcement and Recovery Act of 2009 (FERA), Public Act 111-21. The FCIC was to examine the causes, both domestic and global, of the current financial and economic crisis in the United States. The analysis and conclusions of FCIC were to be reported to the Congress, the Administration, and the American people prior to its sunset date of February 13, 2011.

The financial meltdown that started in 2006 and persists today has negatively affected the global economy. The United States has faced the greatest recession since the Great Depression and it has left its mark on the American economy. Seventeen trillion dollars of household wealth was lost since 2006 and the amount of unemployed people hit new records. where at one point 1 in 10 Americans were out of work.

The FCIC was to conduct a thorough analysis of all factors that led to the present state of affairs. It had the statutory requirement to examine 22 areas that include fraud, abuses, the impact of existing Federal regulations, the actions of Federal and State regulators, little regulated financial instruments, lending practices, the interactions between and banking and non-banking institutions, and the actions of credit ranking agencies.

The final report was issued on January 27, 2011 and totals 662 pages.

Overall Recommendation

I recommend approval of the attached schedule.

NATIONAL ARCHIVES *and*
RECORDS ADMINISTRATION

8601 ADELPHI ROAD
COLLEGE PARK, MD 20740-6001

www.archives.gov

APPRAISAL

Item 1: Files documenting the Commission's establishment, membership, policies, organizations, findings, and recommendations, among others.

The FCIC records consist of a wide range of financial information from Federal, State, and private sources. The information gathered includes, but is not limited to, financial statements, internal memos, and emails. Internal analysis of the information includes, but are not limited to, research, comparisons, and summaries.

The FCIC conducted interviews and hearings across the country with a wide range of people. Transcripts and video of these hearings are retained, as are supplementary reports that were developed from the materials.

The final report which was issued to the Congress, the President, and the public is 662 pages. The report is an in depth analysis of the causes, both past and present, of the financial crisis. Also, the report examines the actions and their efficacy of Federal officials.

Other records include communications between high level officials and the Congress, internal subcommittee records, and documentations of the commission's founding and operations.

Proposed Disposition: Permanent

Appropriateness of Proposed Disposition: Appropriate

Appraisal Justification:

*High potential research value. The FCIC records have the potential to be the most comprehensive and depth collection of information relating to the financial crisis. The sheer quantity and quality of the records the commission obtained and their subsequent research will have far reaching consequences in documenting the financial crisis. The FCIC had broad subpoena powers that empowered the commission to obtain records from practically any private or public entity. Also, the commission was the sole decision maker of a record's confidentiality. The collection that developed contains a variety of internal and external documents that provide an in-depth look into the inner workings of Wall Street firms, Federal agencies, and others.

Adequacy of Proposed Retention Period(s):

*Adequate from the standpoint of legal rights and accountability.

Media Neutrality: Approved

Item 2a: Electronic version of website

Proposed Disposition: Permanent

Appropriateness of Proposed Disposition: Appropriate

Appraisal Justification:

*High potential research value. The website includes the final and interim reports, videos and transcripts of nationwide hearings, and various documents obtained from private financial companies. The website provides a clear and concise view of some of the commission's most important records, adding a contextual relationship between the varieties of records the commission obtained.

Adequacy of Proposed Retention Period(s):

*Adequate from the standpoint of legal rights and accountability.

Media Neutrality: Approved

Item 2b: Design, management, and technical operation records.

Proposed Disposition: Permanent

Appropriateness of Proposed Disposition: Appropriate

Appraisal Justification:

*Documents the actions of Federal officials. Records were used to develop and manage the website.

Adequacy of Proposed Retention Period(s):

*Adequate from the standpoint of legal rights and accountability.

Media Neutrality: Approved

Lauren Crisler

Appraiser

REQUEST FOR STAKEHOLDER UNIT ACTION

Job No NI-148-11- Date sent 1/20/11 Date received 1/25/11

Return to sender by: 1/28/11

Route To (CIRCLE APPROPRIATE STAKEHOLDER)
NWME; NWMW; NWMD; NWCS; NWCT; NWCT-2P; NWCT-2R; NWCTF; NWCTB; NWL ; NWM; NR
Return to: Lauren Crisler, NWML, AII, Room 2100

- A This Job has also been sent to ~~NWME, NWL, NWM~~
- B NWML general comments on this job GRS 20 submission Are any permanent series covered by the schedule to such high reference use that NARA should consider retaining electronic copies in addition to recordkeeping copies? If so, specify
- C NWML wishes assistance in appraising these records

FOR STAKEHOLDER USE Check and fill out the line that applies

- 1 Waives informal review ___ wants / ___ does not want to receive completed job
- 2 Wishes to review appraisal report wants / ___ does not want to receive completed job
- 3 Wishes to participate directly in the appraisal of the entire job or the following selected items
SHU point of contact for appraisal is _____
- phone no _____

SHU comments [Use this space or attach separate sheet]

Date Sent 1/25/2011 SHU Signature [Signature]

NWML Contact : Lauren Crisler, NWML	Room number: AII, Rm 2100
	Phone No.: 301-837-0663

Financial Crisis Inquiry Commission Box Index with Locations

FOIA(b)7 - (E)

Box Name	Location in	Number	Contents	
Ahmed, Shihata	[Redacted]	1 of 7	Credit Rating Agency Hearing; S&P Methodology	
Ahmed, Shihata		2 of 7	S&P Methodology	
Ahmed, Shihata		3 of 7	Investigative Reports; Preliminary Staff Reports	
Ahmed, Shihata		4 of 7	Derivatives; Derivatives Hearing Transcripts	
Ahmed, Shihata		5 of 7	Credit Rating Agencies; Too Big To Fail Hearing Follow Up Letters and Response; Derivatives Hearing Transcripts; Hearing 1 Transcripts and Research Documents	
Ahmed, Shihata	[Redacted]	6 of 7	Misc. Files	
Ahmed, Shihata		7 of 7	SEC- Rating Agencies; Personnel Files	
Armstrong, Jon		1 of 2	Interview Notes; Cases	
Armstrong, Jon		2 of 2	Misc. Documents	
Bachman, Rob		1 of 1	Misc. Files	
Bank of America		1 of 2	SEC Filings	
Bank of America		2 of 2	SEC Filings	
Bear Stearns		1 of 2	Sam Molinaro; Jimmy Cayne Emails re Warren Spector; Michael Alix	
Bear Stearns		2 of 2	Michael Alix	
Biegelman, Marty		1 of 1	Misc. Files	
Bondi, Brad		[Redacted]	1 of 5	Citigroup 2007 Audit and Risk Management Committee materials (vol. 1 and 2); FINRA files on Citigroup customer arbitration
Bondi, Brad		[Redacted]	2 of 5	Citigroup 2007 Audit and Risk Management Committee materials (vol. 3); Citigroup Board of Directors and Board Committee minutes; Senior Supervisor's Group annual reports of inspection for Citigroup (1999-2008)
Bondi, Brad	[Redacted]	3 of 5	Citigroup Executive Committee materials (2005-2008); Federal Reserve Citigroup materials; Citigroup hearing materials and supporting documents; Bushnell exhibits; Miscellaneous Citigroup	
Bondi, Brad	[Redacted]	4 of 5	Rating agencies (HSA) briefing book and hearing materials; Rating agencies public documents; Moody's rating committee memoranda; PSI rating agencies hearing exhibits	
Bondi, Brad	[Redacted]	5 of 5	Citigroup responses to interrogatories; SEC OIG semi-annual report; Bondi notes and notebooks	
Boone, Sylvia	[Redacted]	1 of 4	Personnel Correspondence	
Boone, Sylvia		2 of 4	Contracts	
Boone, Sylvia		3 of 4	Contracts	
Boone, Sylvia		4 of 4	Reimbursement/Travel	
Borgers, Tom and Victor Cunicelli	[Redacted]	1 of 4	CRAs; Fannie Mae and Freddie Mac; PMIs- Put-Backs; Misc.	
Borgers, Tom and Victor Cunicelli	[Redacted]	2 of 4	Moody's; Citi	
Borgers, Tom and Victor Cunicelli	[Redacted]	3 of 4	Citi Background; Options Group- Compensation; H5a Documents; Moody's Docs from CT Attorney General; Rating Agency Documents	
Borgers, Tom and Victor	[Redacted]	4 of 4	Clayton- UBS; Citi Correspondence	

Financial Crisis Inquiry Commission Box Index with Locations

Box Name	Location in []	Number	Contents
Cunicelli			
Borzekowski, Ron	[]	1 of 3	Mostly Public
Borzekowski, Ron	[]	2 of 3	Housing
Borzekowski, Ron	[]	3 of 3	Housing
Bubb, Ryan	[]	1 of 2	CRAs; Financial Guarantors
Bubb, Ryan		2 of 2	CRAs; Securitization
Burrus, Troy		1 of 4	Repos; FCIC Forum; Shadow Banking; Henry Paulson
Burrus, Troy		2 of 4	Lehman Brothers; Too Big To Fail
Burrus, Troy		3 of 4	FHFA on Countrywide (Not Troy's); Henry Paulson; Tim Geithner
Burrus, Troy		4 of 4	Repos; GE; GMAC; Shadow Banking
Cohen, Gary	[]	1 of 2	Misc. Files; Commissioners Financial Disclosure Information; IKB Subpoena Files; Hand Written Notes
Cohen, Gary	[]	2 of 2	Misc. Files; Documents re Referrals to the Department of Justice; Documents Production Correspondence (Sarah Zuckerman); Hand Written Note
Cooper, Matt	[]	1 of 1	Misc. Files
Correspondence		1 of 3	Correspondence to the FCIC
Correspondence		2 of 3	Correspondence to the FCIC
Correspondence		3 of 3	Correspondence to the FCIC
Crego, Al		1 of 9	Countrywide; Golden West
Crego, Al		2 of 9	Countrywide
Crego, Al		3 of 9	Fannie Mae
Crego, Al		4 of 9	Fannie Mae
Crego, Al		5 of 9	Fannie Mae; Fremont; New Century
Crego, Al		6 of 9	Washington Mutual; IndyMac; Misc.
Crego, Al		7 of 9	AIG
Crego, Al		8 of 9	AIG
Crego, Al		9 of 9	Washington Mutual; Fannie Mae
Danganan, Jobe		1 of 5	Citi-OCC; FHFA; Compensation
Danganan, Jobe		2 of 5	Goldman Sachs; Lehman Brothers; Compensation
Danganan, Jobe		3 of 5	Citi-OCC; Lehman Brothers
Danganan, Jobe		4 of 5	Lehman Brothers
Danganan, Jobe	5 of 5	JPMorgan; Lehman Brothers; Misc.	
Downey, Kirsten		1 of 1	Interview Notes
Dubas, Karen		1 of 13	Moody's and credit rating agencies (hearing 5A and book materials)
Dubas, Karen		2 of 13	Moody's and credit rating agencies (hearing 5A)
Dubas, Karen		3 of 13	Citigroup documents (hearing 2/3 and book research)
Dubas, Karen		4 of 13	Miscellaneous research files
Dubas, Karen		5 of 13	Citigroup 2007 Audit and Risk Management Committee materials; Citigroup 2007 Board of Directors materials
Dubas, Karen	[]	6 of 13	Citigroup 2007 Board of Directors materials
Dubas, Karen	[]	7 of 13	"Best of" SEC's Citigroup documents; SEC documents on Citigroup and the CSE program
Dubas, Karen	[]	8 of 13	Citigroup 2004-2008 Board of Directors and Board Committee minutes;

Financial Crisis Inquiry Commission Box Index with Locations

Box Name	Location	Number	Contents
			Citigroup litigation; Witness files and exhibits from Citigroup interviews
Dubas, Karen		9 of 13	Citigroup official transcripts of Rubin and Maheras interviews; Files for Citigroup hearing witnesses
Dubas, Karen		10 of 13	2006-2009 Citigroup SEC filings (10Ks and 10Qs)
Dubas, Karen		11 of 13	Citigroup 2003-2005 SEC filings (10Ks and 10Qs); FINRA files on Citigroup customer arbitration; Miscellaneous Citigroup documents
Dubas, Karen		12 of 13	Exhibits from Moody's witness interviews; Files for Moody's hearing witnesses (HSA); FDIC emails on Bank of America and Merrill Lynch receipt of TARP funds
Dubas, Karen		13 of 13	Citigroup MFRs and transcripts; Official transcripts for Bushnell and Prince (Citigroup); Karen Dubas notes and notebooks
Dzivi, Bart		1 of 4	Citi- OCC Documents
Dzivi, Bart		2 of 4	Citi- OCC Documents
Dzivi, Bart		3 of 4	Citi- OCC Documents
Dzivi, Bart		4 of 4	Citi- OCC Documents
Easterly, Mike		1 of 6	Investigations
Easterly, Mike		2 of 6	Research
Easterly, Mike		3 of 6	Research
Easterly, Mike		4 of 6	Book
Easterly, Mike		5 of 6	Book
Easterly, Mike		6 of 6	Reference Materials
Edelberg, Wendy		1 of 4	Misc. Files and Notes
Edelberg, Wendy		2 of 4	Misc. Files and Notes
Edelberg, Wendy		3 of 4	Misc. Files and Notes
Edelberg, Wendy		4 of 4	Commissioner Comments
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Electronic Records		2 of 7	Hard Drives 16-24 (except 23)
Electronic Records		3 of 7	Hard Drives 41-61 (except 43, 48, 56, 60) Disks 43, 44, 48
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Electronic Records		6 of 7	Disks
Electronic Records		7 of 7	Disks
Fannie Mae/ Seefer		1 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer		2 of 9	Fannie Mae Board Minutes from Chris Seefer's Office

Financial Crisis Inquiry Commission Box Index with Locations

Box Name	Location	Number	Contents
Fannie Mae/ Seefer	[REDACTED]	3 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	4 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	5 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	6 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	7 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	8 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
Fannie Mae/ Seefer	[REDACTED]	9 of 9	Fannie Mae Board Minutes from Chris Seefer's Office
FCIC Correspondence	[REDACTED]	1 of 1	Letters Written to the FCIC
Feldberg, Greg	[REDACTED]	1 of 11	Background materials for Commissioners for Derivatives hearing: 3 volumes; Background materials for Commissioners for Credit Rating Agencies hearing: 1 volume
Feldberg, Greg	[REDACTED]	2 of 11	Analyst Research- Amherst Securities Group reports: stapled; Morgan Stanley, Structured Credit Insights, 1 volume; Assorted CDO/CLO Market research reports: 1 volume; CIBC/Oppenheimer/Meredith Whitney research reports on large banks and brokers: piles; Fannie Mae Research: Morgan Stanley and Sanford Bernstein analyst reports: Volume 4 of 4; Nomura Research reports on structured finance industry; Assorted reports on monolines: 1 volume (MBIA, FG, rating agency reports, news, company presentations); Articles on monolines: clipped
Feldberg, Greg	[REDACTED]	3 of 11	Fannie Mae Research: Analyst reports: Volumes 2 and 3 of 4; Folder of background materials on ACA Capital
Feldberg, Greg	[REDACTED]	4 of 11	Hearing 6 documents; Lehman background materials; BNY Mellon production
Feldberg, Greg	[REDACTED]	5 of 11	Investment bank-related, CDO materials: Binder: New York Fed materials on liquidity crisis at investment banks in 2008; BSAM Pricing Committee minutes: clipped; Lehman Brothers Liquidity Stress Scenario - New York Fed: stapled; Lehman IB Update - NY Fed: stapled (2); Risk Management Reviews of Consolidated Supervised Entities - SEC: Folder; Misc. memos on Lehman - NY Fed; CDO/CLO Update - Goldman: clipped; Analysts reports on CDO market; Articles on CDO market, 2001-2009, High Beam Research; JPM documents: Binder
Feldberg, Greg	[REDACTED]	6 of 11	Feldberg meeting notes and misc. notes: Loose papers; Too-big-to-fail background materials: Folder; Too-big-to-fail background materials: Folder; Preliminary staff reports and preliminary investigative reports: Binder
Feldberg, Greg	[REDACTED]	7 of 11	Materials on supervision: Loose papers; Materials on CDO market: Folder; Materials on supervision: Folder; OCC documents on Citigroup: Folder
Feldberg, Greg	[REDACTED]	8 of 11	Folders by section of early book drafts; Shadow banking misc: Folder; Transcripts of early interviews (2009) with Bernanke and others: Folder

Financial Crisis Inquiry Commission Box Index with Locations

Box Name	Location	Number	Contents
Feldberg, Greg	[REDACTED]	9 of 11	Major reports by BIS, Turner Review, etc.: Folder; Miscellaneous research reports, internal documents; Loose papers
Feldberg, Greg	[REDACTED]	10 of 11	Folders: Citigroup, Bear, Repo, Governance, Shadow Banking, FRBNY, Derivatives regulation, Derivatives readings, Derivatives testimony, Bloomberg, hedge funds, Markit, ISDA, Sanders, Credit Derivatives, other Derivatives folders, Moody's, Disclosure, Asbury, AIG, Investors
Feldberg, Greg	[REDACTED]	11 of 11	Two binders; Sean Flynn's files on Data-Magic, DTCC, CDOs
FHFA	[REDACTED]	1 of 2	Production
FHFA	[REDACTED]	2 of 2	Production
FHFA	[REDACTED]	1 of 1	Misc. Files
Flagg, Mike	[REDACTED]	1 of 1	Miscellaneous Notes and Documents on TBTF and Book
Ganz, Scott	[REDACTED]	1 of 1	Misc. Files
General	[REDACTED]	1 of 16	Goldman 10K; JPMorgan 10K; Morgan Stanley 10K; Hearing 2/3 Documents; Hearing 4 Documents
General	[REDACTED]	2 of 16	Morgan Stanley SEC Filings; Bank of America SEC Filings; FCIC Official Correspondence
General	[REDACTED]	3 of 16	National Credit Union Administration Documents; Principal Global Investors Documents; Mike Mayo Documents; AIG Paper; FRBNY Presentation
General	[REDACTED]	4 of 16	Shadow Banking; Repos; GMAC; Journal of Economic Progress Winter 2010
General	[REDACTED]	5 of 16	Lehman; Citi Mortgage Related Litigation; SEIU
General	[REDACTED]	6 of 16	Bank of America SEC Filings; General Investigation Files
General	[REDACTED]	7 of 16	Hearing 2/3; Hearing 4; Hearing 5; Hearing 5a; Too Big Too Fail
General	[REDACTED]	8 of 16	FHFA Documents re Fannie Mae; FHFA Documents re Mortgage Insurance; FHFA Documents re Home Saver Advanced
General	[REDACTED]	9 of 16	Clayton Holdings; Randall Dodd's Call Logs; Tom Krebs' Interview Notes; Moody's Emails; Reserve Primary Fund
General	[REDACTED]	10 of 16	Federal Reserve Board
General	[REDACTED]	11 of 16	Federal Reserve Board
General	[REDACTED]	12 of 16	Federal Reserve Board; Federal Reserve Bank of New York; Lehman Brothers
General	[REDACTED]	13 of 16	Federal Reserve Board; Lehman Brothers
General	[REDACTED]	14 of 16	FINRA Documents; Larry Summers; Presidential Working Group in Financial Markets Materials
General	[REDACTED]	15 of 16	Goldman Sachs Prospectus Supplements; Goldman Sachs Documents; Witness Files; JPMorgan Earnings Calls and Press Releases
General	[REDACTED]	16 of 16	AIG; Correspondence; FRBNY and Bank of America Hearing 1 Documents
Goldman Electronic Records	[REDACTED]	1 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records	[REDACTED]	2 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records	[REDACTED]	3 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records	[REDACTED]	4 of 12	Electronic Records Produced by Goldman Sachs

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Box Name	Location in	Number	Contents
Records			
Goldman Electronic Records		5 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		6 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		7 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		8 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		9 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		10 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		11 of 12	Electronic Records Produced by Goldman Sachs
Goldman Electronic Records		12 of 12	Electronic Records Produced by Goldman Sachs
Goldman Records		1 of 20	
Goldman Records		2 of 20	
Goldman Records		3 of 20	
Goldman Records		5 of 20	[there is no box 4]
Goldman Records		6 of 20	
Goldman Records		7 of 20	
Goldman Records		8 of 20	
Goldman Records		9 of 20	
Goldman Records		10 of 20	
Goldman Records		11 of 20	
Goldman		12 of 20	[on top of boxes on shelf 1]

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Records			
Goldman Records		13 of 20	
Goldman Records		14 of 20	
Goldman Records		15 of 20	
Goldman Records		16 of 20	[this is out of place to fill the hole left by no box 4]
Goldman Records		17 of 20	
Goldman Records		18 of 20	
Goldman Records		18 of 20	[there are two box 18s; this is out of place because of that duplication]
Goldman Records		19 of 20	
Goldman Records		20 of 20	
Greene, Tom		1 of 14	Misc. Files
Greene, Tom		2 of 14	Misc. Files
Greene, Tom		3 of 14	Misc. Files
Greene, Tom		4 of 14	Misc. Files
Greene, Tom		5 of 14	Misc. Files
Greene, Tom		6 of 14	Misc. Files
Greene, Tom		7 of 14	Misc. Files
Greene, Tom		8 of 14	Legal Research; Freddie Mac 10-k
Greene, Tom		9 of 14	Personnel Files; General Files
Greene, Tom		10 of 14	General Files
Greene, Tom		11 of 14	Hearing 4 Briefing Binder; Research Forum Briefing Binder; Hearing 4 Binder; Goldman Sachs Documents
Greene, Tom		12 of 14	Amended Complaint Documents; List of CDOs; The Road Ahead; Hearing 1 Binder
Greene, Tom		13 of 14	Lehman Brothers; Amended Complaint Documents
Greene, Tom		14 of 14	Derivatives Hearing Supporting Documents; Goldman Document Requests; FINRA Manual; Bank of America and Countrywide Prospectus Supplements; SEC-UBS Documents
GSE Documents		1 of 3	Government Sponsored Enterprises Documents
GSE Documents		2 of 3	Government Sponsored Enterprises Documents
GSE Documents		3 of 3	Government Sponsored Enterprises Documents
Gupta, Rishi		1 of 2	Misc. Final Report Files
Gupta, Rishi		2 of 2	CRS Reports; Desi Dunker's Files
Haggerty, Maryann		1 of 1	
Hearing 1		1 of 4	Staff Briefing Materials
Hearing 1		2 of 4	Staff Briefing Materials

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Box Name	Location	Number	Contents
Hearing 1	[Redacted]	3 of 4	Staff Briefing Materials
Hearing 1	[Redacted]	4 of 4	Staff Briefing Materials
Hearing 5	[Redacted]	1 of 1	Hearing 5 Materials
Hinkley, Bob and Tom Krebs	[Redacted]	1 of 1	Tom Krebs' Written Notes; Bob Hinkleys Documents on Goldman and Misc.; Compensation; Put-Banks
JPMorgan	[Redacted]	1 of 1	SEC Filings
Kavounas, Peter and Stuart Shroff	[Redacted]	1 of 1	Misc. Files
Kellerman, Brook	[Redacted]	1 of 1	Research Files
Knaus, Sarah	[Redacted]	1 of 4	Hearing 4 Documents; Hearing 5 Documents; Merrill Lynch
Knaus, Sarah	[Redacted]	2 of 4	Hearing 4 Documents; Hand Written Interview Notes; Misc. Correspondence; Misc. Shadow Banking Files
Knaus, Sarah	[Redacted]	3 of 4	AIG; Bear Stearns; Misc.; Reserve Primary Fund
Knaus, Sarah	[Redacted]	4 of 4	AIG; Bear Stearns
Lerner, Jay	[Redacted]	1 of 5	Lehman Brothers Files
Lerner, Jay	[Redacted]	2 of 5	Lehman Brothers Files
Lerner, Jay	[Redacted]	3 of 5	Lehman Brothers Files
Lerner, Jay	[Redacted]	4 of 5	Lehman Brothers Files
Lerner, Jay	[Redacted]	5 of 5	Lehman Brothers Files
Marcacci, Julie	[Redacted]	1 of 1	Lehman Brothers Files
Mayo, Courtney	[Redacted]	1 of 1	FCIC Hearing Info and Logistical Info; 9-11 Commission Info and Memo; Bakersfield and Sacramento Field Hearing Transcripts; West Law Info; Draft Witness Lists; Notes: 9-11 Meeting w/ Philip Zelika & Stephanie Kaplan; Commissioner Meeting Minutes
McCarden, Carl	[Redacted]	1 of 5	AIG; Countrywide
McCarden, Carl	[Redacted]	2 of 5	Countrywide
McCarden, Carl	[Redacted]	3 of 5	Morgan Stanley; Misc. Notes
McCarden, Carl	[Redacted]	4 of 5	Fannie Mae; Morgan Stanley; Bernstein Research
McCarden, Carl	[Redacted]	5 of 5	Goldman Sachs
McWilliams, Bruce	[Redacted]	1 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	2 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	3 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	4 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	5 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	6 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	7 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia
McWilliams, Bruce	[Redacted]	8 of 8	Subprime- Citi; CRAs- Moodys; Too Big To Fail- Wachovia

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Bruce			
Miller, Joel		1 of 1	
Mintz, Steven		1 of 1	Misc. Files
Morgan Stanley		1 of 2	Trustee Reports
Morgan Stanley		2 of 2	SEC Filings
Morain, Clara		1 of 1	Fannie Mae; Hearing 2/3; Lehman Brothers;
Newsom, Gretchen		1 of 2	Misc. Administrative Files
Newsom, Gretchen		2 of 2	Misc. Administrative Files
Noonan, Dixie		1 of 6	JPMorgan; Hand-written Notes; AIG; DTCC Docs (not related to D Noonan)
Noonan, Dixie		2 of 6	JPMorgan; Federal Reserve Board; AIG- Joseph Cassano
Noonan, Dixie		3 of 6	Federal Reserve Board; AIG Interview Materials
Noonan, Dixie		4 of 6	AIG; Duetsche Bank; Derivatives
Noonan, Dixie		5 of 6	Joseph St. Denis Docs; ACA Abacus
Noonan, Dixie		6 of 6	Misc.
Norman, Donna		1 of 3	Goldman Sachs documents; Goldman Sachs documents; AIG ISDAs; Citigroup articles
Norman, Donna		2 of 3	AIG 7 Abacus credit default swaps (CDS); AIG Cassano documents; AIG board minutes; Eric Dinallo background information; Copies of PIRs and PSRs
Norman, Donna		3 of 3	Notes; Shadow banking (H4) repo documents; JPMorgan Board materials and internal audit reports
OCC-Citi		1 of 2	Documents Relating to the OCC Oversight of Citi
OCC-Citi		2 of 2	Documents Relating to the OCC Oversight of Citi
Paul, Adam		1 of 1	Misc. Files
Poulin, Jane		1 of 4	Fair value accounting, mark-to-market, and loan losses documents
Poulin, Jane		2 of 4	Accounting documents
Poulin, Jane		3 of 4	Merrill Lynch and Fannie Mae filings; Short selling docs
Poulin, Jane		4 of 4	Accounting & SEC filings
Sanderford, Steve		1 of 1	Misc. Files
Seefer, Chris		1 of 17	Lehman Brothers
Seefer, Chris		2 of 17	Lehman Brothers
Seefer, Chris		3 of 17	Lehman Brothers
Seefer, Chris		4 of 17	Misc. Documents
Seefer, Chris		5 of 17	Misc. Documents
Seefer, Chris		6 of 17	Misc. Documents
Seefer, Chris		7 of 17	Misc. Documents
Seefer, Chris		8 of 17	Misc. Documents
Seefer, Chris		9 of 17	Misc. Documents
Seefer, Chris		10 of 17	Merrill Lynch
Seefer, Chris		11 of 17	Goldman Sachs
Seefer, Chris		12 of 17	Goldman Sachs
Seefer, Chris		13 of 17	Witness Files
Seefer, Chris		14 of 17	Witness Files
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Seefer, Chri	[Redacted]	16 of 17	Hearing Materials
Seefer, Chri	[Redacted]	17 of 17	Interview Notes
Shafer, Kim	[Redacted]	1 of 1	Miscellaneous derivatives (HS and PSR) and CDOs (Citigroup and rating agencies H5As and hearing docs) documents
Shemin, Godon	[Redacted]	1 of 3	Merrill Lynch; Magnetar
Shemin, Godon	[Redacted]	2 of 3	Wells Fargo- Wachovia; Misc.
Shemin, Godon	[Redacted]	3 of 3	Merrill Lynch
Simendinger, Alexis	[Redacted]	1 of 1	Interview Notes; Press Info; Report Drafts; Report Quotes
Simhai, Mina	[Redacted]	1 of 7	Files re Referrals to the Department of Justice
Simhai, Mina	[Redacted]	2 of 7	Misc. Bear Stearns Asset Management Files; SEC- Cioffi Files
Simhai, Mina	[Redacted]	3 of 7	Misc. Bear Stearns Asset Management Files; Bear Stearns Asset Management Investor Materials; Cioffi Collateral Emails
Simhai, Mina	[Redacted]	4 of 7	Hearing 4 Binder; Shadow Banking Articles; Robert Upton Quotes; Alan Schwartz Emails
Simhai, Mina	[Redacted]	5 of 7	Robert Upton Background; Bear Stearns 10 K and 10 Qs 2007-2008; Misc. Bear Stearns Documents; Bear Stearns Board of Directors Minutes and Materials
Simhai, Mina	[Redacted]	6 of 7	Hearing 4 Background Binder; Misc. Bear Stearns Documents; Repos; SEC - Consolidated Supervised Entities Documents
Simhai, Mina	[Redacted]	7 of 7	Federal Reserve Board Documents; Lehman Brothers Documents; Maiden Lane Documents; Hand Written Notes
Smith, Jeff	[Redacted]	1 of 1	Derivatives; Mortgage Fraud; Misc.
Stanton, Tom	[Redacted]	1 of 4	GSE housing goals; Credit rating agencies; Freddie affordable housing goals; FHFA documents; Fannie documents
Stanton, Tom	[Redacted]	2 of 4	FCIC Interview MFRs; Fannie documents; Documents on mortgage-related issues; Binder on Lehman - Report on Liquidity and Funding Risk Management; Binder on FCIC February 2010 Research Forum
Stanton, Tom	[Redacted]	3 of 4	Folder of Supervisors Responses; Draft Witness List; FCIC Interview notes; Freddie documents and letters; Fed documents and letters; FCIC draft reports (i.e. Bear Stearns, Wachovia, Lehman, Countrywide); Papers on Housing and CDOs; FCIC Interview MFRs
Stanton, Tom	[Redacted]	4 of 4	FCIC draft reports/documents (i.e. GE Capital, AIG, Lehman, Governance and Risk Management, Mortgage Fraud); FCIC interview transcripts; JPMorgan Chase documents; Wells Fargo documents; FHFA production documents
Stroebel, Landon W.	[Redacted]	1 of 1	
Vickers, Melana	[Redacted]	1 of 1	Misc. Files
Wahl, George	[Redacted]	1 of 2	Mortgage Fraud
Wahl, George	[Redacted]	2 of 2	Mortgage Fraud
Warren, Tucker	[Redacted]	1 of 1	Hand Written Notes; Website Materials
Waskowicz, Cassidy	[Redacted]	1 of 2	Contracts; Personnel Files; Referrals to the Department of Justice
Waskowicz, Cassidy	[Redacted]	2 of 2	Files related to Clearance of Quotes in the Final Report
Writers	[Redacted]	1 of 6	Section A and Section IV

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Research	<input type="text"/>		
Writers Research	<input type="text"/>	2 of 6	Legal Cases and CDOs
Writers Research	<input type="text"/>	3 of 6	Field Hearings
Writers Research	<input type="text"/>	4 of 6	Field Hearing; Federal Reserve Board Community Advisory Council Transcripts
Writers Research	<input type="text"/>	5 of 6	Hearing Transcripts
Writers Research	<input type="text"/>	6 of 6	Background Research
Zuckerman, Sarah	<input type="text"/>	1 of 3	Document Production Correspondence
Zuckerman, Sarah	<input type="text"/>	2 of 3	Document Production Correspondence
Zuckerman, Sarah	<input type="text"/>	3 of 3	Joseph Cassano's Counsel Interview Production; Legal Clearance Correspondence; Misc. Correspondence; Department of Labor Production; Goldman Sachs Production Correspondence; Fannie Mae/FHFA Production Correspondence; Misc. Production- Societe Generale, Vanderbilt Capital