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OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012  
Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

# Unresponsive

12/09	Cairncross	RD	12/8/11	1/6/12	All files related to the 278 and EA of Richard Carmona	Partial Grant - Exemptions	(b)(5) (b)(6)	1/3/12	18 Days	Partial referral to HHS
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## OFFICE OF GOVERNMENT ETHICS (OGE)

**Freedom of Information Act (FOIA) Requests FY 2012**  
 Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

12/10	Drake	EN	12/8/11	1/6/12	SOC and employee manual	Partial Grant - No Records	N/A	12/12/11	2 Days	N/A
12/11	Ravnitzky	RD	12/12/11	1/9/12	Copy of records given to Issa in Jan. 2011 and any other 2011 correspondence to Issa	Partial Grant -Exemptions, No Records	(b)(6)	1/9/12	20 Days	Request for electronic version; Email response
12/12	(b)(6)	RD	12/14/11	1/11/12 10 Day Ext: 1/25/12	Copy of opinion requested by Army Corp of Engineers, OGE's response, any comments on request, and Army annual ethics report	Partial Grant - No Records	N/A	1/23/12	28 Days	Consultation with Dept. of the Army
12/13	Hershberg	RD	1/4/12	2/1/12	Org. directory of OGE HQ and field offices; OGE FOIA/PA policy/procedure; OGE publication for filing a complaint	Partial Grant - No Records	N/A	1/9/12	3 Days	N/A

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12/14 PA 12/14	(b)(6)	RD	1/5/12	2/2/12	FOIA Appeal of OGE FOIA 12/04 & PA 12/1/4 for Investigative documents compiled in response to OGE IG referral	Partial Grant - Exemption	(b)(6)	1/26/12	14 Days	(b)(6) – Affirmed
12/15 PA 12/15	(b)(6)	RD	1/5/12	2/2/12	Written confirmation that the OGE referral took place; records explaining why DOE, DNFSB, and NRC have not responded	Partial Grant - No Records	N/A	1/26/12	14 Days	New request included in appeal
12/16	Bodney	RD	1/11/12	2/9/12	FOIA Appeal of OGE FOIA 12/02 for records regarding the possible appointment of (b)(6)	Glomar	(b)(6)	2/3/12	16 Days	(b)(6) – Affirmed
12/17 PA 12/17	Bodney	RD	1/11/12	2/9/12 (10 day ext to 2/27/12)	All records regarding the possible appointment of (b)(6)	Partial Grant – Exemption Partial Grant Unresponsive Partial Grant – Records referred to other agencies	(b)(5) (b)(6)	2/22/12	27 Days	New request included in appeal that provided release form  Partial referral to Dept. of State

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12/18	Smathers	RD	1/24/12	2/21/12 (10 day ext to 3/6/12)	All emails to or from Don W. Fox that were created between 11-9-11 and 11-16-11.	Partial Grant – Exemption  Partial Grant – Not Agency Records  Partial Grant – Records referred to other agency	(b)(2) (b)(5) (b)(6)	3/6/12	30 Days	Consultation with ACUS  Partial referral to CIGIE
12/19	Smathers	RD	1/24/12	2/21/12	All OGE Form 202, “Notice of Conflict of Interest Referral Form” received in calendar year 2011.	Full Denial – All records referred to other agencies	N/A	2/6/12	9 Days	Referral to 16 agencies
12/20	Cairncross	RD	1/27/12	2/24/12	Any records of formal complaints filed against Richard Carmona & any emails sent to/from OGE with “Carmona” and “problem” in subject line	Partial Grant – Exemption	(b)(5)	2/8/12	9 Days	

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12/21	Kuo	RD	2/6/12	3/5/12	All documents relating to presidential candidate Mitt Romney and his application for approval by OGE of the Ann Romney Blind trust, or related blind, or qualified, trusts.	Full Denial - No Records	N/A	2/15/12	8 Days	
12/22	Ravnitzky	RD	2/17/12	3/16/12	Last three program reviews of the FEMA's Ethics Program	Partial Grant -- Exemption	(b)(6)	3/5/12	10 Days	Referral from FEMA (Bennett)  Consultation with FEMA (Wilson)  Posted to website/filed in binders
12/23	Ravnitzky	RD	3/2/12	3/30/12  Response time tolled for one day (3/14) to resolve fee-related issues	OGE opinion letters or guidance letters to agencies concerning individual Ethics situations between 1/1/00 and 10/1/10	Partial Grant -- Exemption	(b)(6)	3/21/12	12 Days	Requester agreed to accept a copy of the records we have retrieved as of 3/14/12 and to close the request


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12/24	Barylak	RD	3/7/12	4/4/12	Records related to Nancy Beck's participation in OMB actions and decisions affecting the interests of the ACC between 1/1/2010 and the conclusion of her tenure at OIRA	Full Denial - No Records	N/A	3/20/12	9 Days	
12/25	McMillian	RD	3/12/12	4/9/12	BPD Evaluation statement prepared to support the determination of the classification of position description	Full Denial - No Records	N/A	3/13/12	1 Day	
12/26	McMillian	RD	4/9/12	5/7/12	BPD Evaluation statement prepared to support the determination of the classification of position description	Full Grant	N/A	4/16/12	5 Days	3/26/12: Referral from BPD (Nelson) 4/9/12: Received records, opened up as new request
12/27	BalaeV	RD	3/28/12	4/25/12	All OGE Forms 201	Full Denial - Exemption	(b)(3)	4/9/12	8 Days	

**OFFICE OF GOVERNMENT ETHICS (OGE)**


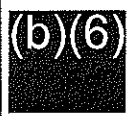

**Freedom of Information Act (FOIA) Requests FY 2012**  
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12/28	Smathers	RD	4/13/12	5/11/12 Response time tolled (4/18/12) to resolve fee-related issues	All emails to or from Don W. Fox that were created between 1-1-12 and the date this request is processed (4/13/12)	Full Denial – Fee-Related Reason	N/A	5/31/12	3 Days	Fee waiver denial determination sent 4/18/12; no appeal, payment, or reformulation of request. Closed request.
12/29	Smathers	EB	4/13/12	5/11/12	FOIA Appeal of OGE FOIA FY 12/18 for all emails to or from Don W. Fox that were created between 11-9-11 and 11-16-11.	Partial Grant – Exemption Partial Grant – Not Agency Records	(b)(2) (b)(5) (b)(6)	5/10/12	19 Days	 – Affirmed
12/30	Cairncross	RD	4/16/12	5/14/12	Any records of investigation or disciplinary actions taken against Richard Carmona; specific correspondence records	Full Denial - No Records	N/A	4/23/12	5 Days	




## OFFICE OF GOVERNMENT ETHICS (OGE)

**Freedom of Information Act (FOIA) Requests FY 2012**  
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12/31	Hightower	RD	4/18/12	5/17/12	Copies of all documents the Department of Commerce Deputy Secretary Denis Hightower is required to file, including the Supplement (or updated information) to his "New Entrant Ethics Agreement".	Partial Grant – No Records	N/A	4/23/12	3 Days	Partial referral to Dept. of Commerce
12/32	Epstein	RD	4/24/12	5/22/12	All documents referring to or relating to any OGE investigation into or determination made regarding GSA's compliance with the Standards of Conduct between 1-1-09 – 4-24-12	Full Grant	N/A	5/16/12	16 Days	Partial Discretionary Release (b)(5)  Consultation with GSA
12/33 & 		EN	5/8/12	6/4/12	Any records about 	Full Denial - No Records	N/A	5/16/12	6 Days	


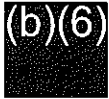
**OFFICE OF GOVERNMENT ETHICS (OGE)**

**Freedom of Information Act (FOIA) Requests FY 2012**  
 Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

12/34	Hightower	EN	6/5/12	7/3/12	FOIA Appeal of OGE FOIA 12/31 Copies of all documents the Department of Commerce Deputy Secretary Denis Hightower is required to file.	Partial Grant – No Records	N/A	6/27/12	16 Days	 – Affirmed
12/35	Sullivan	RD	6/11/12	7/10/12	Materials that Gov. Romney and his wife may have filed with OGE regarding the irrevocable trusts that they set up under Mass. law in 2003.	Full Denial - No Records	N/A	6/26/12	12 Days	
12/36	Brown	RD	6/12/12	7/11/12	A copy of a facilitation package	Partial Grant – Exemption	(b)(6)	6/25/12	9 Days	
12/37	Pelaez	RD	6/18/12	Imperfect Request	FEMA's transmittal letter of new map dated 11/16/11 known as US "X Zone"	Full Denial – Records not Reasonably Described	N/A	N/A	N/A	Request for clarification sent 6/19/12; closed request 7/19/12

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12/38 & 		RD	7/5/12	8/2/12	Verification that letters to OGE were received, information on seizures, information on whether anyone has acted as requester or on requester's behalf, and information on payouts of unclaimed retrieved stolen mail.	Full Denial – No Records	N/A	7/18/12	9 Days	
12/39	LaBarre	RD	7/24/12	8/21/12	Records obtained or created from January 1, 2003 to present regarding whether the OGE website complies with Section 508 of the Rehabilitation Act.	Full Grant	N/A	8/6/12	9 Days	
12/40	Tomkins	RD	7/27/12	8/24/12	Financial disclosure statements filed by U.S. District Court Judges George W. Lindberg and Robert M. Dow, Jr. between 1-1-05 and 7-18-12.	Full Denial – No Records	N/A	8/6/12	6 Days	

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12/41	Ravnitzky	RD	8/6/12	9/4/12	Electronic/digital copy of the database that records and lists all the Program Review Division Reports, sometimes called Program Review Reports.	Full Grant	N/A	8/16/12	8 Days	
12/42	Ravnitzky	RD	8/7/12	9/5/12	OGE opinion letters/guidance letters to agencies concerning individual Ethics situations issued between 4/1/11 and 8/7/12.	Partial Grant – Duplicate request Partial Grant – Exemption	(b)(6)	8/20/12	9 Days	
12/43	Ravnitzky	RD	8/7/12	9/5/12	Electronic/digital copy of the listing/inventory of training videos at OGE.	Full Grant	N/A	8/16/12	7 Days	
12/44	Herbsman	RD	8/14/12	9/12/12	OGE278s filed by Ambassador Vincent Obsitnik form 2006-2009.	Full Denial – Exemption	(b)(3)	8/16/12	2 Days	
12/45	Gillum	RD	8/14/12	9/12/12	Correspondence to or from Rep. Paul Ryan or his staff from Jan. 3, 1999 to present	Full Denial – No Records	N/A	8/22/12	9 Days	Request for Expedited Processing – Granted (No Adj)

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**Freedom of Information Act (FOIA) Requests FY 2012**  
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12/46	Ravnitzky	RD	9/6/12	10/4/12	Digital/electronic copy of each Program Review in FY12	Full Grant	N/A	9/10/12	2 Days	
12/47	Carter	RD	9/12/12	Imperfect Request	Non-electronic filing physicians for the CA region.	Full Denial – Records not Reasonably Described	N/A	N/A	N/A	Request for clarification sent 9/17/12; close request 10/17/12 if no response
12/48	Greenewald	RD	9/14/12	10/15/12	FOIA case logs for CY 2010 and 2011	Partial Grant – Exemption	(b)(6)	9/17/12	1 Day	

**OGE Freedom of Information Act (FOIA) Requests FY 2013**  
**Fiscal Year 2013 FOIA/PA Annual Report Period: 10/1/12 - 9/30/13**

Number	Requester	OGE Atty	Date Rec'd	Due Date	Records Sought	Status	Exemptions	Date Completed	Response Time (Days)	Other Trackable Elements	
12/47	Carter	RD / KC	9/12/2012	Imperfect Request	Non-electronic filing physicians for the CA region	Full Denial – Records not Reasonably Described	N/A	N/A	N/A	Pending request from FY2012  Request for clarification sent 9/17/12; closed. request 10/17/12	
13/01	Smallberg	RD / KC	10/2/2012	10/31/2012	18 USC 207(c) waiver requests and letters issued in 1991 and 2003 for SEC positions	Partial Grant - Referral	N/A	10/15/2012	8	Partial referral to SEC	
13/02	Chato	RD / KC	10/24/2012	11/27/2012	Records pertaining to the 1998 Clinton  Two working days added to account for hurricane closures	Legal Defense Fund, specifically donor lists and contribution records  Exemption	(b)(5)	11/20/2012	16		
13/03	Payton	RD / KC	10/25/2012	11/28/2012	Correspondence between Gov.  Two working days added to account for hurricane closures	Chris Christie and OGE from 1995 to present	Full Denial - No Records	N/A	11/7/2012	7	

**OGE Freedom of Information Act (FOIA) Requests FY 2013**  
**Fiscal Year 2013 FOIA/PA Annual Report Period: 10/1/12 - 9/30/13**

13/04 PA-13/17	(b)(6)	RD / AG	10/31/2012	11/30/2012	Emails that contain the word (b)(6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	11/8/2012	6	
13/05 PA-13/28	(b)(6)	LF / JG	11/13/2012	12/12/2012	Appeal of 13/04 - Alleges inadequate search for emails that contain the word (b)(6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	12/3/2012	13	Appeal - Affirmed
13/06	McElhatton	RD / KC	11/20/2012	12/19/2012	Communications between OGE and the CIA during Oct. and Nov. 2012; and between OGE and DoD from Nov. 2012 - present	Full Denial - Referral	N/A	12/7/2012	18	Referral to CIA Referral to Army Referral to OSD

**OGE Freedom of Information Act (FOIA) Requests FY 2013**  
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13/07	Bracking	RD / KC	12/6/2012	1/4/2013	OGE Form 278 for Paul Bodnar, Foreign Affairs Officer in the Office of Global Change at the U.S. Department of State	Full Denial - Exemption	(b)(3)	12/10/2012	2	Request for Expedited Processing - Granted (No Adj.)
13/08	Ravnitzky	RD / KC	12/6/2012	1/4/2013	Letters from OGE to a Congressional Office dated between 8/1/12 and 12/11/12	Full Grant	N/A	12/12/2012	3	
13/09	Ravnitzky	RD / KC	12/6/2012	1/4/2013	Correspondence between OGE and DOJ concerning the requirement for federal employees to file the OGE Form 278-T from 9/1/12 to 12/11/12	Partial Grant - Exemption	(b)(6)	12/17/2012	7	
13/10	Morisy	RD / KC	12/31/2012	1/30/2013	Comments received from federal agencies during the last six months on the STOCK Act	Full Grant		1/2/2013	1	

**Unresponsive**