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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 21, 2016

Mr. John Greenewald
The Black Vault



RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 16-00019-OIG

Dear Mr. Greenewald:

This letter is in response to your request, dated June 26, 2016 and received in this office on June 27, 2016, for a listing of all closed investigations by your agency's Office of the Inspector General during calendar years 2014 and 2015.

The search for responsive records has resulted in the retrieval of a one page record that may be responsive to your request. The one page list is being provided to you with this letter.

If you would like additional information on any of the listed cases, the case number that appear in the left had column are available on our website at www.sec.gov.

If you have any questions, please contact me at osbornes@sec.gov or (202) 551-8371. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from a FOIA Public Liaison, Jeffery Ovall at (202) 551-7900.

Sincerely,

A handwritten signature in cursive script that reads "Sonja Osborne".

Sonja Osborne
FOIA Lead Research Specialist

Enclosure

CASE NUMBER	CLOSING_DATE
14-DTM-0499-I	1/6/2014
14-DIM-0012-I	3/11/2014
14-ENF-0019-I	3/11/2014
14-ENF-0020-I	3/11/2014
14-ERA-0009-I	7/1/2014
14-OSO-0100-I	8/18/2014
14-OIT-0002-I	9/5/2014
14-COM-0013-I	9/16/2014
14-ZZZ-0182-I	9/26/2014
14-CIE-0070-I	9/30/2014
14-CIE-0071-I	9/30/2014
14-ENF-0017-I	9/30/2014
14-OEC-0006-I	10/29/2014
14-GC-0156-I	11/14/2014
14-OIT-0516-I	12/4/2014
14-OSO-0005-I	12/23/2014
14-DCF-0529-I	12/24/2014
14-OEC-0024-I	12/24/2014
14-OFM-0543-I	2/3/2015
14-ENF-0004-I	2/11/2015
14-ENF-0016-I	2/11/2015
14-ENF-0046-I	2/11/2015
14-ENF-0037-I	2/23/2015
14-OFM-0031-I	4/21/2015
14-ENF-0660-I	4/28/2015
14-OIG-0688-I	4/28/2015
14-DTM-0814-I	5/1/2015
14-EEO-0234-I	5/19/2015
15-CHA-0079-I	5/19/2015
14-ENF-0552-I	5/21/2015
14-ENF-0014-I	6/12/2015
14-CHA-0538-I	6/24/2015
14-ENF-0142-I	6/24/2015
14-CIE-0050-I	7/13/2015
14-ENF-0726-I	7/17/2015
15-OIG-0488-I	7/20/2015
14-CIE-0539-I	8/20/2015
14-OFM-0010-I	8/21/2015
14-OIT-0851-I	8/21/2015
14-OSO-0853-I	9/8/2015
14-OSO-0523-I	9/18/2015
15-CIE-0455-I	9/18/2015
14-CIE-0727-I	9/29/2015
14-OSO-0075-I	10/22/2015