

This document is made available through the declassification efforts
and research of John Greenewald, Jr., creator of:

The Black Vault



The Black Vault is the largest online Freedom of Information Act (FOIA)
document clearinghouse in the world. The research efforts here are
responsible for the declassification of hundreds of thousands of pages
released by the U.S. Government & Military.

Discover the Truth at: **<http://www.theblackvault.com>**

JFK ASSASSINATION SYSTEM
IDENTIFICATION FORM

AGENCY INFORMATION

AGENCY : DOS
RECORD NUMBER : 179-40010-10274
RECORDS SERIES : LOT 85D275: RECORDS RELATING TO LEE HARVEY OSWALD
AGENCY FILE NUMBER :

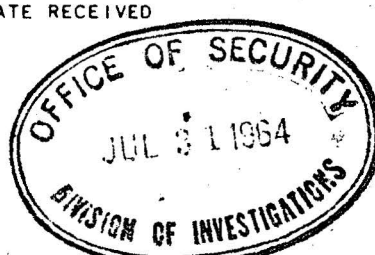
DOCUMENT INFORMATION

ORIGINATOR : DOS
FROM :
TO :
TITLE : OSWALD, LEE HARVEY
DATE : 07/27/1964
PAGES : 63
SUBJECTS : OSWALD, LEE, INVESTIGATION OF LOOKOUT CARD SYSTEM

DOCUMENT TYPE : PAPER, TEXTUAL DOCUMENT
CLASSIFICATION : CONFIDENTIAL
RESTRICTIONS : 3
CURRENT STATUS : RELEASED WITH DELETIONS
DATE OF LAST REVIEW : 06/29/1995
OPENING CRITERIA :
COMMENTS : FOLDER: PRESIDENT'S COMMISSION-- INVESTIGATION OF SY;
INCLUDES COPIES OF P. 2, 12, AND 14-34 WITH NOTE
STATING THAT THOSE PAGES WERE DELETED FROM THE REPORT
SENT TO THE COMMISSION; POSTPONEMENTS BY DOS, BUREAU
OF ~~CONSUL~~ *CONSUL AFFAIRS*

CONFIDENTIAL

CIA HAS NO OBJECTION TO
DECLASSIFICATION AND/OR
RELEASE OF THIS DOCUMENT
6/29/95

FORM DS-838 4-26-56				DEPARTMENT OF STATE OFFICE OF SECURITY	
TITLE OSWALD, Lee Harvey			CASE CLASSIFICATION 1A.26.00		
FIELD OFFICE Washington	DATE REPORTED July 27, 1964	DATES INVESTIGATED June 24 to July 23, 1964		REPORTING AGENT Louis C. Kachulis <i>AK</i>	
SYNOPSIS A procedural study of the look-out card system of the Passport Office was conducted with specific reference being made to the procedures followed in the Lee Harvey OSWALD case, including a security survey of the Clearance Section. The details are set forth below. - CLOSED - REFERENCE: Oral instructions from SI/Headquarters dated June 4, 1964 (Mr. Berry) Memorandum from Mr. G. Marvin Gentile to Mr. Henri G. Grignon dated June 2, 1964 Memorandum from Mr. A. Schwartz to Mr. G. Marvin Gentile dated May 20, 1964 <u>DETAILS</u> Pursuant to the authority in the referenced oral instructions and memoranda, and a conference held on June 23, 1964, attended by Mr. Charles Mace, Mr. Richard Frank, Mrs. Frances Knight, Mr. Edward Hickey, Mr. Robert D. Johnson, Mr. G. Marvin Gentile and the writer, a procedural study of the look-out card system of the Passport Office was conducted.					
APPROVED <i>George M. [Signature]</i> (Special Agent in Charge)			DO NOT WRITE IN THIS SPACE		
COPIES REFERRED 3 - Headquarters 1 - WFO file (64-1475)			DATE RECEIVED 		
REVIEWED BY AGENT SUPERVISOR _____ (Date) (Initials)					

CONFIDENTIAL

GROUP 1
Excluded from automatic
downgrading and
declassification

THIS REPORT IS THE PROPERTY OF THE DEPARTMENT OF STATE AND ITS
CONTENTS MUST NOT BE DISCLOSED TO UNAUTHORIZED PERSONS.

GPO 891584

CONFIDENTIAL

OSWALD, Lee Harvey

The look-out card process can be divided into two categories, (a) one which can be referred to as the judicial aspect and (b) the ministerial aspect. The first category concerns itself with the basis or criteria used in determining whether or not a card shall be requested. The second category concerns itself with the mechanical steps involved in preparing a look-out card from the time a decision to request a card is made until the filing of the card. Since the purpose of this study is primarily concerned with the procedures in handling the look-out cards, emphasis will be placed on the ministerial aspect of the look-out card process with reference to the judicial aspect primarily for reasons of background. Furthermore, any recommendations at the conclusion of this report, which can be said to fall within the judicial realm of the process, are offered not as criteria for refusing a passport, but only as a means of avoiding the routine issuance of a passport to an individual with a questionable background. Moreover, the study was confined to inquiries to determine how the look-out cards are handled in a typical "OSWALD" case and specifically the procedures followed in the Lee Harvey OSWALD case itself. This study is broken down into the following categories: (1) the general overall procedures, (2) the Lee Harvey OSWALD case, (3) security survey of the Clearance Section, (4) recommendations, and (5) summary of SY/Files having derogatory information relating to personnel assigned to the Clearance Section.

- 2 -

CONFIDENTIAL

THE GENERAL OVERALL PROCEDURES

CONFIDENTIAL

OSWALD, Lee Harvey

(1) GENERAL OVERALL PROCEDURES:

A - Generally the request for the preparation of a look-out card comes from the Passport Office's (a) Domestic Operations Division, (b) Foreign Operations Division, (c) Legal Division, and (d) the Department's Office of Finance.

(a) Domestic Operations Division: Basically the Domestic Operations Division (DO) handles all applications for passports within the United States. The application is generally received in a passport agency, where it is reviewed by an adjudicator to determine, among other things, whether or not it has been properly executed, and whether the applicant is seeking to travel in restricted areas. Assuming the application does not indicate restricted travel and that it is properly executed, the applicant's name and date of birth are teletyped to Washington, D. C., where it is checked through the Clearance Section to determine whether or not a look-out card exists. If one does not exist the passport is issued routinely, if a card does exist the issuance of the passport is held in abeyance and the applicant's passport file is reviewed prior to the issuance of the passport. In cases where the application indicates a prior passport has been issued and that it is potentially valid the agency requests the applicant to present it for examination. If the passport has expired the applicant is not requested to present it. When an application indicates a desire to travel in countries for which there are travel restrictions and the applicant persists in his desire, a letter of explanation is requested and is forwarded to the Department together with the application for determination. Further, applications which raise a question of citizenship, loss of citizenship, or a possibility of fraud are forwarded to the Department for decision. When such a question of citizenship arises it is referred to a Senior Adjudicator who makes a determination. If it is decided there is prima facie evidence indicating a lack of citizenship or a loss of citizenship, a look-out card is requested by preparing a refusal sheet. The purpose of the look-out card is primarily to serve as a "flag" or an "alert" to the Passport Office. In the event a passport is subsequently applied for it will not be issued routinely, but a review of the applicant's file by a Senior Adjudicator will then be made to determine whether or not a passport may be duly issued.

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

(b) Foreign Operations Division: The Foreign Operations Division (FO) receives for action, matters arising overseas relating to passport and nationality matters. However, it should be noted that only "problem" cases are referred to FO for decision (8 FAM 245.6 specifies what cases "must" be referred to the Department "for decision"); approximately 93 to 95% of all cases arising overseas are handled by the overseas post concerned. In cases referred to the Department, if the adjudicator determines that there has been a loss of citizenship or a failure to acquire citizenship a refusal sheet is prepared requesting a look-out card. Also, a card may be requested in any case where the adjudicator desires one to act as an "alert" or "flag". That is, although there is no legal basis under existing regulations and statutes for refusing or limiting a passport, it is felt, nonetheless, in the adjudicator's judgment, that a passport should not be subsequently issued routinely. This "flag" or "alert" insures, at least, a review of the individual's passport file prior to the issuance of a passport. Decisions for an "alert" or "flag" are based on the adjudicator's experience and no definite criteria are established.

(c) Legal Division: The Legal Division of the Passport Office is composed of the Legal Advisory Branch (PT/LAD) and the Security Branch (PT/LS). Generally PT/LAD is concerned with look-out cards in cases which involve passport frauds, imposters, child custody cases and requests from other agencies for look-out cards. PT/LS is primarily concerned with Communist Party members, Communist sympathizers, persons who have violated travel limitations, etc. This Branch receives reports from other agencies, which are disseminated by INR. The majority of the reports come from the FBI with a small portion from the CIA. (INR records indicate that some 350 or more reports are forwarded to the Passport Office each month). PT/LS reviews the reports and if they indicate the individual is a Communist Party member or a member of a Front Organization which implies strongly the individual is a member of the Communist Party, a look-out card is requested through the preparation of a refusal sheet.

(d) Office of Finance: Within the Department the Office of Finance (OF) also requests look-out cards when an individual has been granted a repatriation loan. The procedure requires that when an individual executes an application for a repatriation loan

-4-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

the request is forwarded to the Special Consular Services (SCS) of the Department for determination. If the loan is approved, SCS so advises the overseas post and the loan is granted. (It is not customary for SCS to advise the Passport Office of their reasons for granting the repatriation loan). When the loan is granted, the Consular Officer forwards a copy of the invoice to OF, on some occasions the invoice would indicate the individual's date and place of birth and name, and on others only the name. These invoices would then be forwarded to the Clearance Section of the Passport Office and acted as a request for a look-out card. However, if the loan did not exceed \$50 and did not contain a date and place of birth a card would not be prepared and it would be returned to OF. As a result, therefore, when an invoice did not indicate a date and place of birth OF discontinued sending them to the Clearance Section. When an invoice did not contain the required information the Clearance Section did prepare a look-out card and insert it into the look-out file.

Under current procedures the loan application is sent with the invoice to OF by the overseas post and the date, place of birth and the amount of the loan are readily available to OF. The Clearance Section maintains the requirement of a date and place of birth in order to insure positive identification when a search is made and avoid possible embarrassment to the Department by erroneous identification. Further, under current procedures OF prepares its own IBM look-out card and forwards it to the Clearance Section for insertion in the look-out file.

B - The Clearance Section of the Passport Office is that area where the look-out file is maintained. When one of the areas under (A) above requests a look-out card through the preparation of a refusal sheet, under current procedures, the following steps are taken:

- (a) The Senior Adjudicator or the Adjudicator preparing the refusal sheet signs the sheet authorizing the request;
- (b) a clerk within the Adjudicator's area prepares the look-out card in duplicate, signs the refusal sheet and forwards the sheet and the duplicate cards to the Clearance Section;
- (c) the clerk within the Clearance Section receiving the file with the refusal sheet and look-out cards performs the following:

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

- (1) indexes the refusal sheet;
- (2) places one look-out card in the look-out file as a temporary card and the other is stored for future forwarding to prepare an IBM card. (When the IBM card is received it replaces the temporary card and becomes the permanent card).
- (3) When these steps have been performed the individual performing them signs the refusal sheet.
- (4) The passport file is then forwarded to the Passport Office files for storage or to a requesting individual.

The indexing of the refusal sheet consists of the following: The individual's name is typed along the right-hand margin of the refusal sheet by a clerk designated to do so and, under the old system, the name preceded by the number 130. Also, prior to the adoption of the current refusal sheet where the signature of the clerk receiving the file and refusal sheet within the Clearance Section is required, the indexing was the only evidence the refusal sheet was received and a look-out card prepared by the Clearance Section. Moreover, the current refusal sheet was not in effect at the time of the OSWALD look-out cards. The number 130 was used as part of the State Department's filing system. Under that system numbers 130 to 149 were assigned to the Passport files and 130 denoted the files which were filed by an individual's name and not by subject matter; however, approximately two years ago the Department's files were decentralized and the numbers were dropped.

Prior to this current procedure the system generally required the requesting officer to execute a refusal sheet and forward it, with the file, to the Clearance Section where a clerk indexed the refusal sheet and prepared a look-out card. Under both systems if there was no request to return the file to a particular individual it would be forwarded to the Passport files for filing.

Under the present procedures there is a record kept of the names of all the persons taking action on the refusal sheet with regard to the look-out card. Under both systems it is assumed that all proper action will be taken during the ordinary course of business. However, under neither system, present or prior, is there any one particular individual vested with the responsibility of checking to determine that all the ministerial steps required in processing of a look-out card have been complied with.

CONFIDENTIAL

OSWALD, Lee Harvey

C - The removal of the look-out cards is requested by those individuals having authority to do so - generally an Adjudicator in FO or a Senior Adjudicator in DO. In cases where a look-out card is requested for loss of citizenship, lack of citizenship, or any case where there is no time limit placed on the look-out card the request is made when evidence is received warranting a reversal of the Adjudicator's prior decision. In such cases the Adjudicator marks the refusal sheet "disregard", indicates the reason for removal, and signs his name. The file and refusal sheet are forwarded to the Clearance Section where the card is removed by the Chief of the Clearance Section or someone designated by him. Prior to the adoption of this procedure there was no formal record kept of the reason for removal of the look-out card on the refusal sheet and the requesting officer did not sign his name on the sheet. The refusal sheet was merely marked "disregard" and forwarded to the Clearance Section for removal of the look-out card.

With regard to the removal of look-out cards requested by outside agencies this is accomplished when the requesting agency asks that it be removed. In cases where the card has a set expiration date the Clearance Section removes it automatically at the end of the period or shortly thereafter.

Look-out cards requested by OF are removed by the Clearance Section when it is notified that the loan has been paid in full. OF sends a monthly list of persons who have paid in full to the Clearance Section for removal of the look-out cards.

Procedures in the Clearance Section dictate that the actual removal of the card shall be accomplished by the Chief of the Section himself or his designee.

-7-

CONFIDENTIAL

THE LEE HARVEY OSWALD CASE

CONFIDENTIAL

OSWALD, Lee Harvey

(2) LEE HARVEY OSWALD CASE:

The OSWALD passport file was reviewed by the writer and it is noted that the case was handled by the Foreign Operations Division. Also, that a refusal sheet was prepared; was apparently indexed, although not according to standard procedures for indexing; and the refusal sheet was not marked "disregard" - authority to remove the look-out card. OSWALD applied for and received a passport on or about June 25, 1963 at the Passport Agency in New Orleans. The New Orleans Passport Agency sent a TWX to Washington which, among other names, listed OSWALD's name, but no information indicating a prior passport was issued to him. OSWALD's 1963 application indicated desired travel to Russia and Cuba.

Mr. Henry KUPIEK, Chief, PT/FEA, was interviewed and advised that cases assigned to his Branch first came to his desk, as Chief of the Branch, and he assigned them to the Adjudicators according to their experience and ability. KUPIEK related he assigned the OSWALD case to Bernice WATERMAN (since retired) who was at the time his Assistant and a most experienced Adjudicator.

Mrs. WATERMAN was interviewed and advised that she prepared a refusal sheet requesting a look-out card for OSWALD in March of 1960. She continued, saying that the purpose of a look-out card in the OSWALD case was not to refuse him a passport in the future since he had not expatriated himself, but was intended only as an "alert" or a "flag". Mrs. WATERMAN further stated that she was only attempting to avoid the issuance of a passport routinely in the event OSWALD should apply in the future. She added that she did not mark the refusal sheet "disregard" when it was decided OSWALD had not in fact expatriated himself because, as already indicated, she considered the card a "flag". She stated it was her intention to leave the card in the file indefinitely so that OSWALD's file would be reviewed by an Adjudicator prior to the issuance of a passport to him in the future. She related that once she had executed a refusal sheet it would be forwarded to the Clearance Section where a look-out card would be prepared and filed. She added, she did not, nor was there any prescribed procedure, follow up her request in any way to insure the card was prepared and filed, but assumed that all persons concerned would perform their respective duties properly and a card prepared and filed in the due course of business.

As indicated above, it appears from a review of the OSWALD refusal sheet that at least an attempt was made to index the sheet which, if it had been done, would have been followed by the preparation and filing of a look-out

CONFIDENTIAL

OSWALD, Lee Harvey

card. It has been previously indicated that the usual procedure for indexing is one where the individual's name is typed along the right-hand margin of the sheet preceded by the number 130. In the case of OSWALD, his name, rather than typed, had been clearly printed by hand along the right-hand margin and was not preceded by the number 130. Arthur M. COSTLEY, Evelyn V. HALL, Alexander W. MAXWELL, Nanne BERREY, Dorothy CARTER, and Mary RICHARDS (who is no longer with the Department) all of whom, at one time or another about March 1960 prepared look-out cards, were interviewed and shown a copy of the OSWALD look-out sheet. All readily denied it was their hand printing except Dorothy CARTER. When shown the sheet CARTER immediately stated that the printing was hers, and when asked if she were positive, she stated only that it looked very much like her hand printing. (CARTER's statements were made in the presence of Henry KUPIEK). CARTER continued saying that assuming the handwriting was hers it could safely be concluded a look-out card was prepared and filed. However, CARTER had no personal recollection of preparing or filing a look-out card in the OSWALD case nor had she any recollection of removing the OSWALD card from the file. With regard to the fact that the number 130 did not precede OSWALD's name CARTER could offer no explanation other than the possibility the refusal sheet may have been indexed when the number 130 was dropped by the Passport Office.

In interviewing the various Passport Office personnel none could offer any explanation as to what may have happened to the look-out card had one been prepared. The majority of the persons interviewed were of the opinion that a card was never prepared because, among other reasons, the refusal sheet was not indexed. Mrs. WATERMAN, among others, offered the possible explanation that the refusal sheet was buried under subsequent correspondence and, as a result, missed when the file reached the Passport files.

The general accepted procedure for removing a look-out card was described as one where the Adjudicator marks the refusal sheet "disregard" and forwards it to the Clearance Section for action. However, in interviewing Alexander W. MAXWELL, Chief, Clearance Section, and Evelyn V. HALL, now with RAF, it was determined that in many cases the Adjudicator only sent a "buck slip" to the Clearance Section requesting the removal of the card and the refusal sheet in those cases apparently was not marked "disregard". It appears from talking to MAXWELL and HALL that this was done more often than not.

-9-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

OSWALD was granted a repatriation loan on June 1, 1962. The repatriation loan authorized by Special Consular Services (SCS) was granted on the authority of 7 FAM 423.1-2 which states "b. The United States national is in or is the cause of a situation which is damaging to the prestige of the United States Government or which constitutes a compelling reason for extending assistance to effect his return." There does not appear to be anything in OSWALD's passport file to indicate that the Passport Office was notified of SCS's reasons for its decision that OSWALD came within the cited regulation. Since the loan was granted on June 1, 1962, a look-out card should have been prepared in June of 1962.

Leola B. BURKHEAD, ACD/RR was interviewed and advised that at the time of the OSWALD loan the procedure was to forward a copy of the loan invoice to the Clearance Section where a look-out card was prepared. She advised that since Passport would not prepare a card unless the name was accompanied by a date and place of birth her office discontinued sending the invoices to Passport. BURKHEAD stated she definitely recalled forwarding the OSWALD invoice to the Passport Office; that it was returned because it lacked a date and place of birth; and that she as a result, threw it away. BURKHEAD stated that she did not attempt to obtain OSWALD's date and place of birth and according to MAXWELL of the Clearance Section, it was not the procedure for the Clearance Section to attempt to obtain the information. With regard to BURKHEAD's certainty that she did in fact forward the OSWALD invoice to Passport it should be noted that she was equally certain of the manner in which OSWALD repaid his loan. She related that OSWALD made one payment of \$100 and paid the balance in person at the Department. The record clearly shows OSWALD repaid his loan in seven installments by mail.

In interviewing various persons in the Domestic Operations Division, including Virginia D. CARROLL, Vivian A. FERRIN, Margaret L. TATUM, and Zella M. BRAZEAL, it appears that when an application for passport contains something unusual it is referred to the Department by the Passport Agency so that a prior file, if one exists, may be reviewed and a decision rendered. All the individuals named above indicated that a potentially valid passport (such as OSWALD's) which had been stamped for limited travel is the type of case where the prior passport file would have been requested.

On June 25, 1963, or thereabouts, OSWALD applied at the New Orleans Passport Agency for a passport and indicated Russia and Cuba, among other places,

-10-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

as countries he wished to visit. The application also indicated that he had a prior passport (#1733242) and the application was marked "C & R" (cancelled and returned). The "C & R" indicates that the Agency saw the passport, cancelled it and returned it to OSWALD. However, the TWX sent to the Department does not indicate the passport was stamped for limited travel nor was any request made for the passport file. (Correspondence in the passport file of Lee Harvey OSWALD indicates Embassy Moscow was instructed by the Department to restrict OSWALD's passport to use for direct return to the United States.)

-11-

CONFIDENTIAL

SECURITY SURVEY OF THE CLEARANCE SECTION

CONFIDENTIAL

OSWALD, Lee Harvey

(3) SECURITY SURVEY OF THE CLEARANCE SECTION:

The Clearance Section which maintains the Look-out File is housed in a rectangular room with entrances from the 1800 corridor at 1811 and 1813. Also, there are two doors within the room, one at the East wall connecting it with room 1815 occupied by the Domestic Operations Division and another at the West wall connecting it with room 1807 occupied by Foreign Operations Division. The room is equipped with a Perimeter Control Security System. All doors are equipped with magnetic contacts; all, except the main door (1811) are equipped with security dead bolts; and the main door is fitted with an S&G model 50 pinch proof combination lock with dust cover. The room also has two windows on its North wall near the West corner which are fitted with tapes and contacts.

The Security System is deactivated Monday through Friday between 7:00 am and 7:30 am and is activated between 5:30 pm and 6:00 pm; on Saturdays it is deactivated between 8:30 am and 9:00 am and activated between 1:00 pm and 1:30 pm. During all other times the security system is on and a specified procedure must be followed to gain entrance into the room - only those persons authorized to enter will be allowed to do so by the GSA guards. If any of the four doors is opened other than as indicated above there occurs a simultaneous annunciation, one at the Guard Office in the Department and the other at the A.D.T. Central Station.

The West part of the room is occupied by the TWX Section and is separated by a glass partition with an opening in the center permitting free access between the TWX Section and the Clearance Section. A small area on the Clearance Section side of the partition and near the South wall is occupied by the Recording Section of the Domestic Operations Division which is composed of about three or four workers depending on their work load. For the convenience of DO the security dead bolt on the East door is left open during working hours to permit access between the Recording Section of DO and the Adjudicator Section of DO. The other security dead bolts are kept locked at all times.

With regard to the actual searching of the look-out files only those persons assigned to the Section and those authorized by General Management Instruction 1714.1 are permitted to search names through the files. The DO employees sharing space in room 1811 are not permitted to search the files; however, the TWX employees are allowed to search when an urgent TWX is received.

RECOMMENDATIONS

CONFIDENTIAL

OSWALD, Lee Harvey

(4) RECOMMENDATIONS:

A - It has been noted during this survey that no one particular individual is vested with the responsibility of checking to see that all steps necessary in the request, preparation, and insertion in the file of look-out cards have been complied with prior to placing the case file in the files for storage. In this regard the following are recommended:

(a) that the refusal sheet be placed on the inside left cover of the file where one exists, and in all other cases at the top of all the correspondence both prior and subsequent;

(b) that the outside cover of the file be marked in such a manner as to alert the file room personnel and all others coming in contact with it, that the file contains a refusal sheet;

(c) that responsible clerks be assigned to handle the filing of these files, and they should be vested with the responsibility of checking to see that all the signatures required by the refusal sheet have been entered on it;

(d) if a refusal sheet does not bear all the necessary signatures and notations the file should be returned to the person or area requesting the look-out card and it should then be their responsibility to insure the necessary signatures and notations are entered on the refusal sheet.

B - It is recommended that the refusal sheet be amended to include the name of the person who physically removes the look-out card from the look-out file, and the date of such removal.

C - In making the recommendations immediately following it is realized that a passport cannot be refused an individual for the sole reason that he falls within one of the categories outlined. However, they are offered, as indicated earlier in this report, with the intent of avoiding in the future the routine issuance of a passport to a person coming within one of the specified categories without at least a review of the person's passport file, and in some cases a check of the Office of Security indices. In short, they are suggested with the aim of preventing the routine issuance of passport to a person with an "OSWALD" background.

CONFIDENTIAL

OSWALD, Lee Harvey

(a) It is recommended that in all cases where the person seeking a passport indicates a desire to travel behind the Iron Curtain and evidence of a prior passport exists, the individual's passport file be reviewed and his name checked through indices of the Office of Security for possible CIA or FBI information prior to the issuance of a passport.

(b) It is recommended that in all cases where a passport stamped for limited travel is presented, the passport file should be requested and reviewed prior to the issuance of a passport.

(c) It is recommended that in all cases where an individual has attempted to expatriate himself a look-out card should be requested, prepared and filed. Also, if the individual should apply in the future for a passport the passport file should be reviewed and his name checked through the indices of the Office of Security for the reason as indicated under (a) above.

(d) It is recommended that in all cases where a repatriation loan is granted because the applicant for the loan comes within the purview of 7 FAM 423.1-2 (the person is involved in a situation damaging to U. S. prestige), the Passport Office be advised of the facts surrounding SCS's decision.

(e) It is recommended that in all cases where the Passport Office is apprised as recommended in the preceding paragraph (d) a look-out card be requested, prepared and filed in the name of the individual.

(f) If the pertinent regulations do not already so state, it is recommended they be amended making it mandatory for the overseas post granting the loan to forward a copy of the loan application together with the invoice to the Office of Finance.

D - The Security of the Clearance Section as described above is believed to be adequate. However, it is felt that access into the Clearance Section during working hours should be more closely controlled. In this regard the following are recommended:

-14-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

- (a) The Recording Section of the Domestic Operations Division be relocated to an area outside the Clearance Section;
- (b) A buzzer-type swinging door be placed in the opening of the glass partition separating the TWX Section and the Clearance Section;
- (c) All security dead bolts be kept locked at all times;
- (d) A counter be installed inside the entrance to the Clearance Section over which business with persons not assigned to the Clearance Section may be transacted;
- (e) All persons permanently assigned to the Clearance Section be required to have their Identification Cards visibly displayed on their persons during all working hours.

-15-

CONFIDENTIAL

REVIEW OF SY/FILES OF PERSONS ASSIGNED TO THE CLEARANCE SECTION

CONFIDENTIAL

OSWALD, Lee Harvey

(5) SUMMARY OF SY/FILES:

The Security files of personnel assigned temporarily or permanently to the Clearance Section were reviewed by Special Agent Robert J. FUREY and the writer. However, the Security files reviewed were limited to files of personnel assigned to the Section from January 1960 through August of 1961, and March 1962 through August of 1963, the periods when a look-out card, if one had been prepared, would have been on file for OSWALD. The first period covers the span when OSWALD attempted to expatriate himself until the Department's decision that he had not in fact expatriated himself, and the second period extends from the granting of the repatriation loan until the final payment and slightly beyond. Approximately 140 files were reviewed (the list of names was supplied by the Passport Office) and following is a summary of the files containing derogatory information of a more serious nature:

[Bruno William KORSAK]: He was accused of making statements, while at Embassy Bonn, that he was "a confirmed Communist" and that he "would probably go to Moscow and never return". [KORSAK] stated the statements were made in jest. While in Germany he was intimate with an East German girl. He admitted to excessive drinking. Also, he reportedly attempted to rape a "CAS" employee, but denied it when questioned. PER/MED advised they believed [KORSAK] to be a security risk under EO 10450.

[William T. GRIER]: It was stated that a picture of Nikita S. KHRUSHCHEV was observed hanging on the wall of a room occupied by [GRIER] and two others.

[Cleveland Oatman Da COSTA]: He was described as a follower of STALIN with an extensive knowledge of Marxist Doctrine; has expressed himself as being anti-American and praises the Soviet Union; is an admirer of Paul ROBESON; has distributed pro-Soviet pamphlets, printed by the Council on African Affairs which is cited by the Attorney General as subversive and communist; attempted to organize a Canal Zone Branch of the International Progressive Negro Youth Congress during 1950.

CONFIDENTIAL

OSWALD, Lee Harvey

[William T. MALONE:] He was accused of engaging in extra-marital relations with Department employees. While in the U. S. Army he wrote to Paul ROBESON soliciting his aid in marrying a German national - he stated the letter was not answered. [MALONE] attempted to enlist the aid of Senator JAVITS and Representative BLAKELY on his behalf implying he was victim of discrimination. JAVITS and BLAKELY withdrew their support when apprised of the facts in the case.

[Irwin Bennett ROSENSTEIN:] His wife has a paternal uncle, [Sidney SHUPAK], who has been accused by the wife's family of being a Communist and has been ostracized by the family. HCUA records indicate one [Sidney SHUPAK] signed a petition in 1950 protesting the shipment of arms to the Chinese Nationals, and served as Chairman of the [5th Congressional] District of the Progressive Party in Philadelphia.

[William Nelson POINDEXTER, Jr.:] Listed as a personal reference a former teacher and neighbor, [Mr. Van Dyke WALKER], whose name appeared on the mailing list of the Progressive Party of the District of Columbia in 1955.

[Wilbert Henry GRAY:] A former employer questioned his honesty.

[Milton LEE: LEE] had a serious record of bad credit and was offered an opportunity to resign in lieu of charges. He admitted being party to a scheme to obtain money under false pretences. Also, he listed [Dammon A. FLEMONS] as a reference (see name below).

[Dammon A. FLEMONS: FLEMONS] has had bad credit from the date of his entrance on duty with the Department in 1956 up to and including this year. [FLEMONS] listed [Milton LEE] above as a reference.

[Valerie Regina JOHNSON aka Valerie Regina MOYO:] She gave birth to a child out of wedlock fathered by a Southern Rhodesian national, [Charles MOYO], who has returned to Africa. Also, some people questioned her emotional stability. It appears she was friendly with a [Madelyn FREUND] who planned to marry an Ethiopian national who at one time was reportedly attached to the Ethiopian Embassy.

CONFIDENTIAL

OSWALD, Lee Harvey

[John Albert JONES:] While enrolled in the R.O.T.C. program he refused to sign a loyalty oath. He has, however, denied membership in any subversive organizations.

[Richard Bruce KURTICH:] A doctor advised the Office of Security that there existed the possibility [KURTICH] may be dangerous. The doctor officially stated subject has a "character disorder" and unofficially he indicated [KURTICH] is a psychopath and described him as "thoroughly rotten". [KURTICH] admitted to homosexual activity and being friendly with [Kenneth E. GARY] listed below. Resigned in lieu of charges.

[Eugene PALMORE:] His father, [Jefferson PALMORE] signed a Communist Party election petition in 1940. This was admitted by the father but he denied knowing what it was.

[Frederick J. BLACHLY:] PER/MED advised [BLACHLY] should be given a psychiatric evaluation, however, he transferred to AID prior to the evaluation.

[Alexander W. MAXWELL:] Although no derogatory information as such appears, the file reflects that he was discharged from the military service in 1943, the height of World War II, because he did not possess the required attributes to become an officer.

[Douglas Bruce UTHUS:] No derogatory information concerning [UTHUS.] However, his father was investigated by the FBI for possible fraud against the Government while performing his duties with the War Assets Administration; for representing himself as a procurer of Government contracts; and for failure to register under the Registration Act as a Foreign Agent. In each case there was no prosecution by the Department of Justice.

The files of [James TULLY, Kenneth Edward GARY, Herbert W. KUEHNE, Fox Gregg BENJAMIN, Harry M. HANDLEY, Carl J. PRIESTLAND, and Carl R. HAGEN] contain information of a serious nature regarding homosexual activity.

CONFIDENTIAL

OSWALD, Lee Harvey

Below are listed the names of all the people whose security files were reviewed with the date and type of Security Clearance received:

George William ALLEN, granted EO 10450 clearance on 10/3/55 -
transferred to CSC 12/30/61

Janice ALESTOCK, granted EO 10450 clearance on 6/17/63 - resigned
11/18/63

Horace ANDREWS, Jr., granted EO 10450 clearance on 5/26/61 - com-
pleted assignment released 5/12/61

Robert BANKS, granted EO 10450 clearance on 6/30/62 - resigned
9/18/63

James G. BARNUM, granted 180-day clearance on 3/9/60 - resigned
5/25/60

Gregg F. BENJAMIN, granted 180-day clearance on 12/13/60 -
resigned 4/12/61

Nanne BERREY, granted EO 10450 clearance on 7/8/54

Carmen BEVACQUA, granted EO 10450 clearance on 2/20/61

[Frederick J. BLACHLY, EOD 4/18/62 - transferred to AID on
11/5/62 - no other information available]

John B. BREEN, granted 180-day clearance on 1/23/61 - resigned
5/11/61

Dorothy BROWN, granted EO 10450 clearance on 7/30/54

Rueben BROWN, granted EO 10450 clearance on 9/5/62

Shirley M. BROWN, granted EO 10450 clearance on 5/5/59 and
10/26/59

-19-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Velma S. BROWN, granted EO 10450 clearance on 11/13/56

Melvin BULLOCK, granted EO 10450 clearance on 7/24/61 - transferred to USACDA on 9/15/62

Dorothy L. CARTER, granted EO 10450 clearance on 1/26/59

William R. CASPARIS, granted EO 10450 clearance on 9/6/62

James C. CAVILL, granted 180-day clearance on 12/19/60 - termination of appointment 5/12/61

Alvin COOPER, granted EO 10450 clearance on 1/4/56 - resigned 5/25/62

Cleveland Da COSTA, granted 180day clearance on 3/14/60 - resigned 7/1/60

Marshall DATCHER, granted EO 10450 clearance on 4/29/54

John DENISON, granted EO 10450 clearance on 3/16/62 - resigned 5/19/62

Alfred D. DERRICOTTE, granted EO 10450 clearance on 5/15/61 - resigned 6/6/61

Fabian F. DESBORDES, granted EO 10450 clearance on 8/8/60 and 6/22/61 - resigned 9/8/61

Earnest DIXON, granted EO 10450 clearance on 5/22/62

Vertie Mae DUNCAN, granted EO 10450 clearance on 10/11/54 - transferred 12/26/59

Lewis M. EDMUNDS, granted EO 10450 clearance on 12/7/59 - resigned 9/30/60

Florence ELLIS, granted EO 10450 clearance on 1/3/56 and 3/28/56

-20-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Howard FELDSTEIN, granted EO 10450 clearance on 5/28/62 -
resigned 6/29/62

Damon A. FLEMONS, granted EO 10450 clearance on 3/19/56

Gwendolyn C. FINNEY, granted EO 10450 clearance on 5/5/61 -
transferred to NASA on 12/18/61

John Donald FISHER, granted EO 10450 clearance on 2/27/61 -
resigned 4/26/61

John H. FRAZIER, granted 180-day clearance on 1/11/61 -
resigned 5/11/61

Ella L. FYKES, granted EO 10450 clearance on 3/10/60 -
resigned 7/7/61

Freddie GANTT nee HENRY, granted EO 10450 clearance on 1/21/55
and 5/27/55

Kenneth E. GARY, granted 180day clearance on 3/22/60 - resigned
9/21/60

Carnetta N. GASKINS, granted EO 10450 clearance on 10/21/55

Evelyn B. GORDON, granted EO 10450 clearance on 6/17/54

Robert GRAVES, granted EO 10450 clearance on 8/12/56

Willie GRAY, granted EO 10450 clearance on 11/8/56

William T. GREENE, granted EO 10450 clearance on 8/3/59 -
resigned 1/4/63

William T. GRIER, granted 180-day clearance on 3/14/60 -
resigned 5/20/60 and 5/11/61

Carl R. HAGEN, granted EO 10450 clearance on 9/13/60 -
resigned 8/25/61

-21-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Mamie L. HALE, granted EO 10450 clearance on 3/14/58 - transferred to Department of Army on 2/18/62

Evelyn HALL, granted EO 10450 clearance on 10/1/54

Harry M. HANDLEY, granted 180-day clearance on 12/19/60 - resigned 5/11/61

Charles E. HANRAHAN, JR., granted EO 10450 clearance on 3/22/61 terminated, job completed 5/12/61

Miriam HARDMAN nee OLIVER, granted EO 10450 clearance on 3/31/60

Thomas W. HARGIS, granted 180-day clearance on 3/10/60 - terminated, job completed 6/10/60 - granted 180-day clearance on 2/4/61 - terminated, job completed 5/12/61

Richard B. HAWTHORNE, Jr., granted EO 10450 clearance on 5/16/61 terminated, job completed 5/12/61

Loretta HELM, granted EO 10450 clearance on 1/25/56

Dexter L. HILL, granted EO 10450 clearance on 1/9/60 - completed assignment 6/10/60 - granted EO 10450 clearance on 2/4/61 - released 5/19/61

Jon D. HOLSTINE, granted EO 10450 clearance on 8/5/60 - resigned 10/26/62 - transferred to USIA

William R. HUMPHREY, granted 180-day clearance on 1/23/61 - job completed 5/17/61

Allen L. INGLING, granted 180-day clearance on 12/16/60 - resigned 2/9/61

Dorothy JACKSON, granted 180-day clearance on 3/2/59 and 10/26/59 transferred to CSC on 3/19/60

-22-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Virginia JEROME, granted EO 10450 clearance on 10/29/56 - resigned
12/23/60

Mary V. JETER, granted 180-day clearance on 5/17/62 - case canceled

Albert L. JOHNSON, granted EO 10450 clearance on 8/7/62 - resigned
2/4/62

Sylvia JOHNSON, granted EO 10450 clearance on 9/10/57 and 12/4/57

Valerie R. JOHNSON (MOYO), granted 180-day clearance on 2/20/63
resigned 3/7/64

Vince JOHNSON, Jr., granted EO 10450 clearance on 1/22/57

Geraldine JONES nee MONTAGUE, granted EO 10450 clearance on 6/23/60

John Albert JONES, granted 180-day clearance on 3/26/62 - resigned
7/20/62

Eloise L. JORDAN, granted EO 10450 clearance on 11/2/54

Bruno KORSAK, granted EO 10450 clearance on 9/5/58

Herbert W. KUEHNE, granted 180-day clearance on 3/10/60 - resigned
5/13/60

Richard B. KURTICH, granted 180-day clearance on 3/16/60 - resigned
10/7/60

Nancy H. LANNING, granted EO 10450 clearance on 6/16/60

Thomas B. LEDDY, granted EO 10450 clearance on 5/24/61 and 2/20/62
resigned 5/16/62

Bertha C. W. LEE, granted EO 10450 clearance on 7/11/63 - resigned
10/4/63

Milton F. LEE, granted EO 10450 clearance on 4/9/57

-23-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Mamie D. LEWIS, granted EO 10450 clearance on 8/21/59

Gerald LUNT, granted EO 10450 clearance on 12/16/60 and 5/31/61
transferred to Department of Justice on 12/16/61

Eugene R. LYERLY, granted EO 10450 clearance on 6/3/59

William H. LYLES, granted EO 10450 clearance on 5/7/54 - resigned
4/23/64

Robert H. McFADDEN, granted EO 10450 clearance on 8/26/60 -
resigned 9/30/60

Willie McNEIL, granted EO 10450 clearance on 8/28/56

William Glenn MALCOMSEN, granted EO 10450 clearance on 7/22/60
resigned 9/21/62

William T. MALONE, granted EO 10450 clearance on 6/6/55

Alexander W. MAXWELL, granted EO 10450 clearance on 1/10/55

Lawrence Edward MENDEL, granted EO 10450 clearance on 5/26/64

William K. MITTENDORF, granted EO 10450 clearance on 8/9/60,
4/11/61 and 3/28/62 - resigned 6/11/62

Margarie McCOY nee MONROE, granted EO 10450 clearance on 11/5/56

Rose A. MOORE, granted EO 10450 clearance on 6/6/60 - resigned
7/26/60

Gloria J. MURRAY, granted EO 10450 clearance on 7/16/63

Cardoza NELSON, granted EO 10450 clearance on 9/13/54

Mora E. NICKENS, granted EO 10450 clearance on 5/20/63

James O'DONNELL, granted EO 10450 clearance on 4/10/62 - resigned
8/16/63

William H. OSCANYAN, granted 180-day clearance on 3/10/60 - job
completed 6/14/60

-24-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Thomas D. PAGE, Jr., granted EO 10450 clearance on 5/28/56 -
transferred to FHA on 12/16/61

Eugene PALMORE, granted 180-day clearance on 8/15/63 and 11/12/63 -
resigned 6/26/64

Charles L. PERRY, granted EO 10450 clearance on 7/25/61 - terminated,
temporary employment 8/8/61

Nellie M. PERSON, granted EO 10450 clearance on 10/2/59

William N. POINDEXTER, Jr., granted EO 10450 clearance on 8/22/60
and 12/7/60

Richard R. PRESTON, granted EO 10450 clearance on 8/11/60 - resigned
9/9/60

Bernard N. PRICE, granted EO 10450 clearance on 7/20/60 and 11/30/60
terminated, temporary employment 4/11/61

Carl H. PRIESTLAND, granted 180-day clearance on 3/14/60 - resigned
9/30/60

Denis QUIGLEY, granted EO 10450 clearance on 8/8/60 - terminated,
temporary employment 5/19/61

Robert F. QUINN, Jr., granted EO 10450 clearance on 5/2/61 - released
5/3/61

[Shirley A. RANDALL, granted EO 10450 clearance on 7/30/63 - terminated
2/10/64]

Mary E. RICHARDS, granted EO 10450 clearance on 4/24/57 - resigned
2/3/61

Marcia A. ROBERTS, granted 180-day clearance on 6/25/62 - resigned
9/4/62

Nazaire ROBERTS, granted EO 10450 clearance on 10/29/62

CONFIDENTIAL

OSWALD, Lee Harvey

Willard J. ROBINSON, granted EO 10450 clearance on 3/10/60

Irwin ROSENSTEIN, granted EO 10450 clearance on 4/17/61 - resigned
7/29/62

Willie J. ROSS, granted EO 10450 clearance on 10/26/60 - resigned
11/15/63

Robert E. RUSSUM, granted EO 10450 clearance on 7/11/60

Mack E. SANBURN, granted EO 10450 clearance on 1/16/59

Shirley SALERNO nee BRUNO, granted EO 10450 clearance on 12/20/61
resigned 11/27/63

Benjamin T. SAUNDERS, Jr., granted EO 10450 clearance on 11/2/62

Orlando Karson SEXTON, granted 180-day clearance on 12/22/60 -
resigned 3/17/61

Ambrose SHIEF, granted EO 10450 clearance on 3/30/56

William SOUTHWORTH, granted EO 10450 clearance on 4/11/62
resigned 9/29/62

[David A. SPEAR, granted EO 10450 clearance on 3/16/61 - terminated
4/11/61]

Everett STANARD, granted EO 10450 clearance on 5/15/61

[Shirley O. STEPHENS, granted 180-day clearance on 4/4/63 - terminated
11/1/63]

Bruce R. STOCKDALE, granted 180-day clearance on 12/16/60 - resigned
1/6/61

Robert E. L. THOMAS, granted EO 10450 clearance on 7/6/54

[Franklin L. TINKER, Jr., granted 180-day clearance on 12/5/60
terminated 12/11/61]

CONFIDENTIAL

OSWALD, Lee Harvey

Arthur M. TOMPKINS, Jr., granted EO 10450 clearance on 9/11/62

Corrine TRUEHEART, granted EO 10450 clearance on 7/5/55

Steven K. TRYON, granted EO 10450 clearance on 3/28/62 - resigned
4/6/62

Timothy T. TULLOCH, granted 180-day clearance on 1/24/61 - resigned
3/28/61

Ronald J. TULLY, granted 180-day clearance on 11/9/61 - resigned
3/16/62

Douglas B. UTHUS, granted EO 10450 clearance on 7/13/61 - resigned
8/3/61

Elsie WALKER, granted EO 10450 clearance on 4/27/54

Wilbur P. WALKER, granted EO 10450 clearance on 10/21/59 - resigned
10/14/60

Ludge N. WALLACE, granted EO 10450 clearance on 4/12/61 - resigned
1/1/62

[Gene L. WEEKS, granted 180-day clearance on 1/31/61 - terminated
8/31/61]

Cheryl WHITE, granted EO 10450 clearance on 7/3/63

Lois WHITE, granted EO 10450 clearance on 1/27/55 and 6/14/55
separated 12/28/61

Mary E. WHITEHEAD, granted EO 10450 clearance on 6/22/59

William R. WHITLOW, Jr., granted EO 10450 clearance on 6/18/63

Agnes Lee WILLS, granted 180-day clearance on 12/17/63

[Donald WOODWARD, granted EO 10450 clearance on 4/28/61 - terminated
5/12/61]

Eddie M. YOUNG, granted EO 10450 clearance on 3/28/63 - resigned
8/30/63

-27-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

The following information was contributed by the Office of Security, Executive Office to be incorporated with this report:

Cheryl R. WHITE, granted EO 10450 clearance on 7/3/63

William R. WHITLOW, Jr., granted EO 10450 clearance on 6/18/63

Arthur A. AGETON, Jr., granted 180-day clearance on 4/19/63 -
resigned 11/1/63

Gerald L. AMOS, granted EO 10450 clearance on 1/25/63 and
Cryptographic clearance

Doris I. BERKEY, granted EO 10450 clearance on 12/5/62

Richard A. BIENVENUE, granted EO 10450 clearance on 2/6/63 and
Cryptographic clearance

August J. BLESKE, granted EO 10450 clearance on 1/9/63 and
Cryptographic clearance

Barbara J. BLUME, granted EO 10450 clearance on 5/12/59

Rita M. BOUDREAU, granted EO 10450 clearance on 11/27/62 and
Cryptographic and Cosmic clearance

Jean A. BOYCE, granted EO 10450 clearance on 1/22/63 and
Cryptographic clearance

Sidney L. BOYLES, granted EO 10450 clearance on 10/26/62 and
1/10/63 and Cryptographic clearance

Maxcine V. BROWN, granted EO 10450 clearance on 11/14/62

Lois A. BUTKUS, granted EO 10450 clearance on 12/31/62 and
Cosmic clearance

Nina O. CANNON, granted EO 10450 clearance on 12/26/62 and
Cosmic/Cryptographic clearance

Ann CARLSON, granted EO 10450 clearance on 4/9/63 - resigned
11/24/63

-28-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Susan S. CARTER, granted EO 10450 clearance on 1/10/63 and
Cosmic and Cryptographic clearance

Daniel W. CHRISTENSEN, granted EO 10450 clearance on 4/16/63
and Cryptographic clearance

Mary Ann CHUDZINSKI, granted EO 10450 clearance on 4/26/63
and 1/17/63 and Cryptographic clearance -
resigned 11/23/63

Marcia B. CRAWFORD, granted EO 10450 clearance on 12/24/63 and
10/2/63

John H. DIEFFENDERFER, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

Elizabeth DOLMAT, granted EO 10450 clearance on 1/3/63 and
Cryptographic clearance

Charles D. ELLISON, granted EO 10450 clearance on 1/22/63 and
Cryptographic clearance

Audrey C. EMELDI, granted EO 10450 clearance on 8/14/63 and
Cryptographic clearance

[Bernard J. FINAN, III, granted no clearance - resigned 2/14/64]

Joseph P. FORRY, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

Sue R. FRAGALA, granted EO 10450 clearance on 5/27/63

Mary E. GROENER, granted EO 10450 clearance on 12/31/62 and
Cryptographic clearance

Marlene D. HAGELE, granted EO 10450 clearance on 2/4/63 and
Cryptographic clearance

-29-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Jay D. HARTZ, granted EO 10450 clearance on 3/20/63 and
Cryptographic clearance

Elizabeth Jane B. HAYES, granted EO 10450 clearance on 4/17/63

Lorraine JACKSON, granted EO 10450 clearance on 4/25/63

Sylvester A. JACKSON, granted EO 10450 clearance on 12/28/62

Albert W. JAREK, granted EO 10450 clearance on 11/19/62 and
Cryptographic clearance

Barbara M. JOHNSON, granted EO 10450 clearance on 1/10/63 and
Cryptographic and Seato clearance

Frederick W. KAFES, granted EO 10450 clearance on 3/12/63 -
resigned 1/9/64

Sharon A. KLOEPPING, granted EO 10450 clearance on 1/23/63
and Cryptographic clearance

Franklin A. KOEBLER, granted EO 10450 clearance on 12/4/62
and Cryptographic clearance - resigned
9/20/63

Damon V. LABRIE, granted EO 10450 clearance on 1/7/63 and
Cryptographic clearance

Catherine L. LEONARD, granted EO 10450 clearance on 1/29/63
and Cryptographic clearance

[R. N. LITTLEFIELD, II, granted EO 10450 clearance on 1/7/63
and Cryptographic clearance - Termination
3/25/64]

Lono B. LUM, granted EO 10450 clearance on 3/28/63 and Crypto-
graphic clearance

Eugene R. LYERLY, granted EO 10450 clearance on 6/3/59

-30-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Suzanne E. MARKLEY, granted EO 10450 clearance on 1/25/63 and
Cryptographic clearance

Paula M. MICHINARD, granted EO 10450 clearance on 3/27/63 and
Cryptographic clearance

Gary E. ANGELINE, granted 180-day clearance on 4/28/62 - resigned
9/11/62

Stephanie U. BAILEY, canceled 7/31/63

[James A. BARRETT, granted 180-day clearance on 2/12/63 - canceled
5/20/63]

Laura M. BEACH, granted EO 10450 clearance on 3/26/62 - resigned
9/19/62

Louise R. BERNARDI, granted EO 10450 clearance on 12/19/61 -
resigned 9/6/63

Frederick J. BLACHLY, granted EO 10450 clearance on 1/20/58

Sidney H. BLAKELY, granted EO 10450 clearance on 6/28/62

[Beatheliff BLANCHITTI, granted EO 10450 clearance on 7/17/62
termination 7/25/62]

[Patricia K. BROOKS, granted EO 10450 clearance on 4/9/62 -
separation 2/8/64]

[Houston T. BROWN,] File retained by D. D. DALEY of SY/1

Mary W. BURNS, granted EO 10450 clearance on 3/26/62 and
Cryptographic clearance - resigned 8/17/63

Samuel A. CAGNA, granted EO 10450 clearance on 12/12/61 and
Cryptographic and Cosmic clearance

Douglas L. CALDWELL, granted EO 10450 clearance on 5/2/62 and
1/10/63 - resigned 5/24/63

CONFIDENTIAL

OSWALD, Lee Harvey

William R. CASPARIS, (see list above)

Albert H. COLEMAN, Jr., granted 180-day clearance - EO 10450 pending

Patricia R. DALY, granted EO 10450 clearance on 12/27/61

Joan E. DELANEY, granted EO 10450 clearance on 2/15/62 - resigned
8/10/62

Ernest (E. or G.) DIXON, (see list above)

Lenora J. DRETZKA, granted EO 10450 clearance on 6/15/62

Paul D. ELEY, granted EO 10450 clearance on 2/6/63 - resigned
9/21/63

[Allen F. ENGLEKING, granted EO 10450 clearance on 7/18/62 -
termination 8/24/62]

Arthur E. ERNST, no record

[Jay H. FAIRFAX, granted 180-day clearance on 3/8/62 - termination
6/25/62]

Louis J. FAORS, resigned 2/8/63

Howard FELDSTEIN, granted EO 10450 clearance on 5/28/62 - resigned
6/29/62

Joseph P. FORRY, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

William R. GEE, Jr., granted EO 10450 clearance on 4/11/58

Billy L. GIPSON, granted 180-day clearance on 4/20/62 - resigned
7/27/62

Robert J. HARLOW, no record HARLOW or HARLON

-32-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

[Nancy Faye HOUSTON, granted 180-day clearance on 7/12/63 -
canceled 8/23/63]

[Ronald C. HAMBRICK, granted EO 10450 clearance on 1/14/64 -
declined 3/18/64]

[John R. HAMILTON, granted 180-day clearance on 5/4/62 - term-
ination 9/11/62]

Melvin E. HARRISON, granted EO 10450 clearance on 3/30/62 -
resigned 7/21/62

Irvin HICKS, granted EO 10450 clearance on 7/13/61

[Thomas S. JACKSON, Jr., granted EO 10450 clearance on 6/18/62 -
termination 7/10/62]

Donald E. JENNINGS, granted EO 10450 clearance on 10/5/61

Albert L. JOHNSON, granted EO 10450 clearance on 8/7/62 -
resignation 7/4/62

Kenneth A. JOHNSON, granted EO 10450 clearance on 11/9/62 -
resigned 11/8/63

John A. JONES, (see list above)

Phyllis W. KATZ, granted EO 10450 clearance on 6/30/62

Raymond M. KEATING, granted 180-day clearance on 6/12/62 -
resigned 8/17/62

Willis D. KING, granted EO 10450 clearance on 12/21/61 and
Cryptographic clearance

Harold P. KLINE, granted EO 10450 clearance on 10/11/61 and
Cryptographic clearance

Alberta LITTS, granted EO 10450 clearance on 3/26/62 - resigned
8/11/62

-33-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

George A. MAPP, granted EO 10450 clearance on 7/11/62 -
resigned 9/11/62

Donald W. MAUNEY, granted EO 10450 clearance on 8/23/62 and
Cryptographic clearance

Mary A. McCRONI, granted EO 10450 clearance on 9/27/62

[Floyd R. McDANIEL, canceled 7/5/62]

John J. McMILLER, granted EO 10450 clearance on 12/11/61

Bruce R. MEISER, resigned 12/21/62

Lawrence E. MENDEL, granted EO 10450 clearance on 5/26/64

Arthurine MITCHELL, granted EO 10450 clearance on 3/26/59

[Edward L. MOODY, granted EO 10450 clearance on 7/15/63 and 2/4/63 -
canceled 2/25/63 - 7/15/63]

Adrienne M. MORSE, pending re: DOBLY

Mary M. MURPHY, resigned 12/8/62

Peter K. MURPHY, granted EO 10450 clearance on 10/5/61

ATTACHMENTS: (To original only)

1. Memorandum to Mr. Grignon from Mr. Gentile dated 6/2/64
2. Memorandum from Mr. Schwartz to Mr. Gentile dated 5/20/64
3. Report of the Department of State on Lee Harvey OSWALD
4. Portions of the Department's response to the Commission of May 8, 1964
5. Memorandum of Mr. Carlos M. Yordan to Mr. Mason LaSelle dated
July 6, 1964 (with its attachments)

SY/I/WFO:LCKachulis:am

CONFIDENTIAL

OSWALD, Lee Harvey

The look-out card process can be divided into two categories, (a) one which can be referred to as the judicial aspect and (b) the ministerial aspect. The first category concerns itself with the basis or criteria used in determining whether or not a card shall be requested. The second category concerns itself with the mechanical steps involved in preparing a look-out card from the time a decision to request a card is made until the filing of the card. Since the purpose of this study is primarily concerned with the procedures in handling the look-out cards, emphasis will be placed on the ministerial aspect of the look-out card process with reference to the judicial aspect primarily for reasons of background. Furthermore, any recommendations at the conclusion of this report, which can be said to fall within the judicial realm of the process, are offered not as criteria for refusing a passport, but only as a means of avoiding the routine issuance of a passport to an individual with a questionable background. Moreover, the study was confined to inquiries to determine how the look-out cards are handled in a typical "OSWALD" case and specifically the procedures followed in the Lee Harvey OSWALD case itself. This study is broken down into the following categories: (1) the general overall procedures, (2) the Lee Harvey OSWALD case, (3) security survey of the Clearance Section, (4) recommendations, and (5) summary of SY/Files having derogatory information relating to personnel assigned to the Clearance Section.

Material deleted from Report which was
sent to the Commission

CONFIDENTIAL

OSWALD, Lee Harvey

(3) SECURITY SURVEY OF THE CLEARANCE SECTION:

The Clearance Section which maintains the Look-out File is housed in a rectangular room with entrances from the 1800 corridor at 1811 and 1813. Also, there are two doors within the room, one at the East wall connecting it with room 1815 occupied by the Domestic Operations Division and another at the West wall connecting it with room 1807 occupied by Foreign Operations Division. The room is equipped with a Perimeter Control Security System. All doors are equipped with magnetic contacts; all, except the main door (1811) are equipped with security dead bolts; and the main door is fitted with an S&G model 50 pinch proof combination lock with dust cover. The room also has two windows on its North wall near the West corner which are fitted with tapes and contacts.

The Security System is deactivated Monday through Friday between 7:00 am and 7:30 am and is activated between 5:30 pm and 6:00 pm; on Saturdays it is deactivated between 8:30 am and 9:00 am and activated between 1:00 pm and 1:30 pm. During all other times the security system is on and a specified procedure must be followed to gain entrance into the room - only those persons authorized to enter will be allowed to do so by the GSA guards. If any of the four doors is opened other than as indicated above there occurs a simultaneous annunciation, one at the Guard Office in the Department and the other at the A.D.T. Central Station.

The West part of the room is occupied by the TWX Section and is separated by a glass partition with an opening in the center permitting free access between the TWX Section and the Clearance Section. A small area on the Clearance Section side of the partition and near the South wall is occupied by the Recording Section of the Domestic Operations Division which is composed of about three or four workers depending on their work load. For the convenience of DO the security dead bolt on the East door is left open during working hours to permit access between the Recording Section of DO and the Adjudicator Section of DO. The other security dead bolts are kept locked at all times.

With regard to the actual searching of the look-out files only those persons assigned to the Section and those authorized by General Management Instruction 1714.1 are permitted to search names through the files. The DO employees sharing space in room 1811 are not permitted to search the files; however, the TWX employees are allowed to search when an urgent TWX is received.

-12-

CONFIDENTIAL

RECOMMENDATIONS

CONFIDENTIAL

OSWALD, Lee Harvey

(a) It is recommended that in all cases where the person seeking a passport indicates a desire to travel behind the Iron Curtain and evidence of a prior passport exists, the individual's passport file be reviewed and his name checked through indices of the Office of Security for possible CIA or FBI information prior to the issuance of a passport.

(b) It is recommended that in all cases where a passport stamped for limited travel is presented, the passport file should be requested and reviewed prior to the issuance of a passport.

(c) It is recommended that in all cases where an individual has attempted to expatriate himself a look-out card should be requested, prepared and filed. Also, if the individual should apply in the future for a passport the passport file should be reviewed and his name checked through the indices of the Office of Security for the reason as indicated under (a) above.

(d) It is recommended that in all cases where a repatriation loan is granted because the applicant for the loan comes within the purview of 7 FAM 423.1-2 (the person is involved in a situation damaging to U. S. prestige), the Passport Office be advised of the facts surrounding SCS's decision.

(e) It is recommended that in all cases where the Passport Office is apprised as recommended in the preceding paragraph (d) a look-out card be requested, prepared and filed in the name of the individual.

(f) If the pertinent regulations do not already so state, it is recommended they be amended making it mandatory for the overseas post granting the loan to forward a copy of the loan application together with the invoice to the Office of Finance.

D - The Security of the Clearance Section as described above is believed to be adequate. However, it is felt that access into the Clearance Section during working hours should be more closely controlled. In this regard the following are recommended:

-14-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

- (a) The Recording Section of the Domestic Operations Division be relocated to an area outside the Clearance Section;
- (b) A buzzer-type swinging door be placed in the opening of the glass partition separating the TWX Section and the Clearance Section;
- (c) All security dead bolts be kept locked at all times;
- (d) A counter be installed inside the entrance to the Clearance Section over which business with persons not assigned to the Clearance Section may be transacted;
- (e) All persons permanently assigned to the Clearance Section be required to have their Identification Cards visibly displayed on their persons during all working hours.

-15-

CONFIDENTIAL

REVIEW OF SY/FILES OF PERSONS ASSIGNED TO THE CLEARANCE SECTION

CONFIDENTIAL

OSWALD, Lee Harvey

(5) SUMMARY OF SY/FILES:

The Security files of personnel assigned temporarily or permanently to the Clearance Section were reviewed by Special Agent Robert J. FUREY and the writer. However, the Security files reviewed were limited to files of personnel assigned to the Section from January 1960 through August of 1961, and March 1962 through August of 1963, the periods when a look-out card, if one had been prepared, would have been on file for OSWALD. The first period covers the span when OSWALD attempted to expatriate himself until the Department's decision that he had not in fact expatriated himself, and the second period extends from the granting of the repatriation loan until the final payment and slightly beyond. Approximately 140 files were reviewed (the list of names was supplied by the Passport Office) and following is a summary of the files containing derogatory information of a more serious nature:

[Bruno William KORSAK:] He was accused of making statements, while at Embassy Bonn, that he was "a confirmed Communist" and that he "would probably go to Moscow and never return". [KORSAK] stated the statements were made in jest. While in Germany he was intimate with an East German girl. He admitted to excessive drinking. Also, he reportedly attempted to rape a "CAS" employee, but denied it when questioned. PER/MED advised they believed [KORSAK] to be a security risk under EO 10450.

[William T. GRIER:] It was stated that a picture of Nikita S. KHRUSHCHEV was observed hanging on the wall of a room occupied by [GRIER] and two others.

[Cleveland Oatman Da COSTA:] He was described as a follower of STALIN with an extensive knowledge of Marxist Doctrine; has expressed himself as being anti-American and praises the Soviet Union; is an admirer of Paul ROBESON; has distributed pro-Soviet pamphlets, printed by the Council on African Affairs which is cited by the Attorney General as subversive and communist; attempted to organize a Canal Zone Branch of the International Progressive Negro Youth Congress during 1950.

-16-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

[William T. MALONE:] He was accused of engaging in extra-marital relations with Department employees. While in the U. S. Army he wrote to Paul ROBESON soliciting his aid in marrying a German national - he stated the letter was not answered. [MALONE] attempted to enlist the aid of Senator JAVITS and Representative BLAKELY on his behalf implying he was victim of discrimination. JAVITS and BLAKELY withdrew their support when apprised of the facts in the case.

[Irwin Bennett ROSENSTEIN:] His wife has a paternal uncle, [Sidney SHUPAK], who has been accused by the wife's family of being a Communist and has been ostracized by the family. HCUA records indicate one [Sidney SHUPAK] signed a petition in 1950 protesting the shipment of arms to the Chinese Nationals, and served as Chairman of the [5th Congressional] District of the Progressive Party in Philadelphia.

[William Nelson POINDEXTER, Jr.:] Listed as a personal reference a former teacher and neighbor, [Mr. Van Dyke WALKER], whose name appeared on the mailing list of the Progressive Party of the District of Columbia in 1955.

[Wilbert Henry GRAY:] A former employer questioned his honesty.

[Milton LEE: LEE] had a serious record of bad credit and was offered an opportunity to resign in lieu of charges. He admitted being party to a scheme to obtain money under false pretences. Also, he listed [Dammon A. FLEMONS] as a reference (see name below).

[Dammon A. FLEMONS: FLEMONS] has had bad credit from the date of his entrance on duty with the Department in 1956 up to and including this year. [FLEMONS] listed [Milton LEE] above as a reference.

[Valerie Regina JOHNSON aka Valerie Regina MOYO:] She gave birth to a child out of wedlock fathered by a Southern Rhodesian national, [Charles MOYO], who has returned to Africa. Also, some people questioned her emotional stability. It appears she was friendly with a [Madelyn FREUND] who planned to marry an Ethiopian national who at one time was reportedly attached to the Ethiopian Embassy.

CONFIDENTIAL

OSWALD, Lee Harvey

[William T. MALONE:] He was accused of engaging in extra-marital relations with Department employees. While in the U. S. Army he wrote to Paul ROBESON soliciting his aid in marrying a German national - he stated the letter was not answered. [MALONE] attempted to enlist the aid of Senator JAVITS and Representative BLAKELY on his behalf implying he was victim of discrimination. JAVITS and BLAKELY withdrew their support when apprised of the facts in the case.

[Irwin Bennett ROSENSTEIN:] His wife has a paternal uncle, [Sidney SHUPAK] who has been accused by the wife's family of being a Communist and has been ostracized by the family. HCUA records indicate one [Sidney SHUPAK] signed a petition in 1950 protesting the shipment of arms to the Chinese Nationals, and served as Chairman of the [5th Congressional] District of the Progressive Party in Philadelphia.

[William Nelson POINDEXTER, Jr.:] Listed as a personal reference a former teacher and neighbor, [Mr. Van Dyke WALKER] whose name appeared on the mailing list of the Progressive Party of the District of Columbia in 1955.

[Wilbert Henry GRAY:] A former employer questioned his honesty.

[Milton LEE: LEE] had a serious record of bad credit and was offered an opportunity to resign in lieu of charges. He admitted being party to a scheme to obtain money under false pretences. Also, he listed [Dammon A. FLEMONS] as a reference (see name below).

[Dammon A. FLEMONS: FLEMONS] has had bad credit from the date of his entrance on duty with the Department in 1956 up to and including this year. [FLEMONS] listed [Milton LEE] above as a reference.

[Valerie Regina JOHNSON aka Valerie Regina MOYO:] She gave birth to a child out of wedlock fathered by a Southern Rhodesian national, [Charles MOYO] who has returned to Africa. Also, some people questioned her emotional stability. It appears she was friendly with a [Madelyn FREUND] who planned to marry an Ethiopian national who at one time was reportedly attached to the Ethiopian Embassy.

CONFIDENTIAL

OSWALD, Lee Harvey

[John Albert JONES:] While enrolled in the R.O.T.C. program he refused to sign a loyalty oath. He has, however, denied membership in any subversive organizations.

[Richard Bruce KURTICH:] A doctor advised the Office of Security that there existed the possibility [KURTICH] may be dangerous. The doctor officially stated subject has a "character disorder" and unofficially he indicated [KURTICH] is a psychopath and described him as "thoroughly rotten". [KURTICH] admitted to homosexual activity and being friendly with [Kenneth E. GARY] listed below. Resigned in lieu of charges.

[Eugene PALMORE:] His father, [Jefferson PALMORE] signed a Communist Party election petition in 1940. This was admitted by the father but he denied knowing what it was.

[Frederick J. BLACHLY:] PER/MED advised [BLACHLY] should be given a psychiatric evaluation, however, he transferred to AID prior to the evaluation.

[Alexander W. MAXWELL:] Although no derogatory information as such appears, the file reflects that he was discharged from the military service in 1943, the height of World War II, because he did not possess the required attributes to become an officer.

[Douglas Bruce UTHUS:] No derogatory information concerning [UTHUS.] However, his father was investigated by the FBI for possible fraud against the Government while performing his duties with the War Assets Administration; for representing himself as a procurer of Government contracts; and for failure to register under the Registration Act as a Foreign Agent. In each case there was no prosecution by the Department of Justice.

The files of [James TULLY, Kenneth Edward GARY, Herbert W. KUEHNE, Fox Gregg BENJAMIN, Harry M. HANDLEY, Carl J. PRIESTLAND, and Carl R. HAGEN] contain information of a serious nature regarding homosexual activity.

-18-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Below are listed the names of all the people whose security files were reviewed with the date and type of Security Clearance received:

George William ALLEN, granted EO 10450 clearance on 10/3/55 -
transferred to CSC 12/30/61

Janice ALESTOCK, granted EO 10450 clearance on 6/17/63 - resigned
11/18/63

Horace ANDREWS, Jr., granted EO 10450 clearance on 5/26/61 - com-
pleted assignment released 5/12/61

Robert BANKS, granted EO 10450 clearance on 6/30/62 - resigned
9/18/63

James G. BARNUM, granted 180-day clearance on 3/9/60 - resigned
5/25/60

Gregg F. BENJAMIN, granted 180-day clearance on 12/13/60 -
resigned 4/12/61

Nanne BERREY, granted EO 10450 clearance on 7/8/54

Carmen BEVACQUA, granted EO 10450 clearance on 2/20/61

[Frederick J. BLACHLY, EOD 4/18/62 - transferred to AID on
11/5/62 - no other information available]

John B. BREEN, granted 180-day clearance on 1/23/61 - resigned
5/11/61

Dorothy BROWN, granted EO 10450 clearance on 7/30/54

Rueben BROWN, granted EO 10450 clearance on 9/5/62

Shirley M. BROWN, granted EO 10450 clearance on 5/5/59 and
10/26/59

-19-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Velma S. BROWN, granted EO 10450 clearance on 11/13/56

Melvin BULLOCK, granted EO 10450 clearance on 7/24/61 - transferred to USACDA on 9/15/62

Dorothy L. CARTER, granted EO 10450 clearance on 1/26/59

William R. CASPARIS, granted EO 10450 clearance on 9/6/62

James C. CAVILL, granted 180-day clearance on 12/19/60 - termination of appointment 5/12/61

Alvin COOPER, granted EO 10450 clearance on 1/4/56 - resigned 5/25/62

Cleveland Da COSTA, granted 180day clearance on 3/14/60 - resigned 7/1/60

Marshall DATCHER, granted EO 10450 clearance on 4/29/54

John DENISON, granted EO 10450 clearance on 3/16/62 - resigned 5/19/62

Alfred D. DERRICOTTE, granted EO 10450 clearance on 5/15/61 - resigned 6/6/61

Fabian F. DESBORDES, granted EO 10450 clearance on 8/8/60 and 6/22/61 - resigned 9/8/61

Earnest DIXON, granted EO 10450 clearance on 5/22/62

Vertie Mae DUNCAN, granted EO 10450 clearance on 10/11/54 - transferred 12/26/59

Lewis M. EDMUNDS, granted EO 10450 clearance on 12/7/59 - resigned 9/30/60

Florence ELLIS, granted EO 10450 clearance on 1/3/56 and 3/28/56

-20-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Howard FELDSTEIN, granted EO 10450 clearance on 5/28/62 -
resigned 6/29/62

Damon A. FLEMONS, granted EO 10450 clearance on 3/19/56

Gwendolyn C. FINNEY, granted EO 10450 clearance on 5/5/61 -
transferred to NASA on 12/18/61

John Donald FISHER, granted EO 10450 clearance on 2/27/61 -
resigned 4/26/61

John H. FRAZIER, granted 180-day clearance on 1/11/61 -
resigned 5/11/61

Ella L. FYKES, granted EO 10450 clearance on 3/10/60 -
resigned 7/7/61

Freddie GANTT nee HENRY, granted EO 10450 clearance on 1/21/55
and 5/27/55

Kenneth E. GARY, granted 180day clearance on 3/22/60 - resigned
9/21/60

Carnetta N. GASKINS, granted EO 10450 clearance on 10/21/55

Evelyn B. GORDON, granted EO 10450 clearance on 6/17/54

Robert GRAVES, granted EO 10450 clearance on 8/12/56

Willie GRAY, granted EO 10450 clearance on 11/8/56

William T. GREENE, granted EO 10450 clearance on 8/3/59 -
resigned 1/4/63

William T. GRIER, granted 180-day clearance on 3/14/60 -
resigned 5/20/60 and 5/11/61

Carl R. HAGEN, granted EO 10450 clearance on 9/13/60 -
resigned 8/25/61

-21-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Mamie L. HALE, granted EO 10450 clearance on 3/14/58 - transferred to Department of Army on 2/18/62

Evelyn HALL, granted EO 10450 clearance on 10/1/54

Harry M. HANDLEY, granted 180-day clearance on 12/19/60 - resigned 5/11/61

Charles E. HANRAHAN, JR., granted EO 10450 clearance on 3/22/61 terminated, job completed 5/12/61

Miriam HARDMAN nee OLIVER, granted EO 10450 clearance on 3/31/60

Thomas W. HARGIS, granted 180-day clearance on 3/10/60 - terminated, job completed 6/10/60 - granted 180-day clearance on 2/4/61 - terminated, job completed 5/12/61

Richard B. HAWTHORNE, Jr., granted EO 10450 clearance on 5/16/61 terminated, job completed 5/12/61

Loretta HELM, granted EO 10450 clearance on 1/25/56

Dexter L. HILL, granted EO 10450 clearance on 1/9/60 - completed assignment 6/10/60 - granted EO 10450 clearance on 2/4/61 - released 5/19/61

Jon D. HOLSTINE, granted EO 10450 clearance on 8/5/60 - resigned 10/26/62 - transferred to USIA

William R. HUMPHREY, granted 180-day clearance on 1/23/61 - job completed 5/17/61

Allen L. INGLING, granted 180-day clearance on 12/16/60 - resigned 2/9/61

Dorothy JACKSON, granted 180-day clearance on 3/2/59 and 10/26/59 transferred to CSC on 3/19/60

-22-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Virginia JEROME, granted EO 10450 clearance on 10/29/56 - resigned
12/23/60

Mary V. JETER, granted 180-day clearance on 5/17/62 - case canceled

Albert L. JOHNSON, granted EO 10450 clearance on 8/7/62 - resigned
2/4/62

Sylvia JOHNSON, granted EO 10450 clearance on 9/10/57 and 12/4/57

Valerie R. JOHNSON (MOYO), granted 180-day clearance on 2/20/63
resigned 3/7/64

Vince JOHNSON, Jr., granted EO 10450 clearance on 1/22/57

Geraldine JONES nee MONTAGUE, granted EO 10450 clearance on 6/23/60

John Albert JONES, granted 180-day clearance on 3/26/62 - resigned
7/20/62

Eloise L. JORDAN, granted EO 10450 clearance on 11/2/54

Bruno KORSAK, granted EO 10450 clearance on 9/5/58

Herbert W. KUEHNE, granted 180-day clearance on 3/10/60 - resigned
5/13/60

Richard B. KURTICH, granted 180-day clearance on 3/16/60 - resigned
10/7/60

Nancy H. LANNING, granted EO 10450 clearance on 6/16/60

Thomas B. LEDDY, granted EO 10450 clearance on 5/24/61 and 2/20/62
resigned 5/16/62

Bertha C. W. LEE, granted EO 10450 clearance on 7/11/63 - resigned
10/4/63

Milton F. LEE, granted EO 10450 clearance on 4/9/57

-23-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Mamie D. LEWIS, granted EO 10450 clearance on 8/21/59

Gerald LUNT, granted EO 10450 clearance on 12/16/60 and 5/31/61
transferred to Department of Justice on 12/16/61

Eugene R. LYERLY, granted EO 10450 clearance on 6/3/59

William H. LYLES, granted EO 10450 clearance on 5/7/54 - resigned
4/23/64

Robert H. McFADDEN, granted EO 10450 clearance on 8/26/60 -
resigned 9/30/60

Willie McNEIL, granted EO 10450 clearance on 8/28/56

William Glenn MALCOMSEN, granted EO 10450 clearance on 7/22/60
resigned 9/21/62

William T. MALONE, granted EO 10450 clearance on 6/6/55

Alexander W. MAXWELL, granted EO 10450 clearance on 1/10/55

Lawrence Edward MENDEL, granted EO 10450 clearance on 5/26/64

William K. MITTENDORF, granted EO 10450 clearance on 8/9/60,
4/11/61 and 3/28/62 - resigned 6/11/62

Margarie McCOY nee MONROE, granted EO 10450 clearance on 11/5/56

Rose A. MOORE, granted EO 10450 clearance on 6/6/60 - resigned
7/26/60

Gloria J. MURRAY, granted EO 10450 clearance on 7/16/63

Cardoza NELSON, granted EO 10450 clearance on 9/13/54

Mora E. NICKENS, granted EO 10450 clearance on 5/20/63

James O'DONNELL, granted EO 10450 clearance on 4/10/62 - resigned
8/16/63

William H. OSCANYAN, granted 180-day clearance on 3/10/60 - job
completed 6/14/60

-24-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Thomas D. PAGE, Jr., granted EO 10450 clearance on 5/28/56 -
transferred to FHA on 12/16/61

Eugene PALMORE, granted 180-day clearance on 8/15/63 and 11/12/63 -
resigned 6/26/64

Charles L. PERRY, granted EO 10450 clearance on 7/25/61 - terminated,
temporary employment 8/8/61

Nellie M. PERSON, granted EO 10450 clearance on 10/2/59

William N. POINDEXTER, Jr., granted EO 10450 clearance on 8/22/60
and 12/7/60

Richard R. PRESTON, granted EO 10450 clearance on 8/11/60 - resigned
9/9/60

Bernard N. PRICE, granted EO 10450 clearance on 7/20/60 and 11/30/60
terminated, temporary employment 4/11/61

Carl H. PRIESTLAND, granted 180-day clearance on 3/14/60 - resigned
9/30/60

Denis QUIGLEY, granted EO 10450 clearance on 8/8/60 - terminated,
temporary employment 5/19/61

Robert F. QUINN, Jr., granted EO 10450 clearance on 5/2/61 - released
5/3/61

[Shirley A. RANDALL, granted EO 10450 clearance on 7/30/63 - terminated
2/10/64]

Mary E. RICHARDS, granted EO 10450 clearance on 4/24/57 - resigned
2/3/61

Marcia A. ROBERTS, granted 180-day clearance on 6/25/62 - resigned
9/4/62

Nazaire ROBERTS, granted EO 10450 clearance on 10/29/62

-25-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Willard J. ROBINSON, granted EO 10450 clearance on 3/10/60

Irwin ROSENSTEIN, granted EO 10450 clearance on 4/17/61 - resigned
7/29/62

Willie J. ROSS, granted EO 10450 clearance on 10/26/60 - resigned
11/15/63

Robert E. RUSSUM, granted EO 10450 clearance on 7/11/60

Mack E. SANBURN, granted EO 10450 clearance on 1/16/59

Shirley SALERNO nee BRUNO, granted EO 10450 clearance on 12/20/61
resigned 11/27/63

Benjamin T. SAUNDERS, Jr., granted EO 10450 clearance on 11/2/62

Orlando Karson SEXTON, granted 180-day clearance on 12/22/60 -
resigned 3/17/61

Ambrose SHIEF, granted EO 10450 clearance on 3/30/56

William SOUTHWORTH, granted EO 10450 clearance on 4/11/62
resigned 9/29/62

[David A. SPEAR, granted EO 10450 clearance on 3/16/61 - terminated
4/11/61]

Everett STANARD, granted EO 10450 clearance on 5/15/61

[Shirley O. STEPHENS, granted 180-day clearance on 4/4/63 - terminated
11/1/63]

Bruce R. STOCKDALE, granted 180-day clearance on 12/16/60 - resigned
1/6/61

Robert E. L. THOMAS, granted EO 10450 clearance on 7/6/54

[Franklin L. TINKER, Jr., granted 180-day clearance on 12/5/60
terminated 12/11/61]

CONFIDENTIAL

OSWALD, Lee Harvey

Arthur M. TOMPKINS, Jr., granted EO 10450 clearance on 9/11/62

Corrine TRUEHEART, granted EO 10450 clearance on 7/5/55

Steven K. TRYON, granted EO 10450 clearance on 3/28/62 - resigned
4/6/62

Timothy T. TULLOCH, granted 180-day clearance on 1/24/61 - resigned
3/28/61

Ronald J. TULLY, granted 180-day clearance on 11/9/61 - resigned
3/16/62

Douglas B. UTHUS, granted EO 10450 clearance on 7/13/61 - resigned
8/3/61

Elsie WALKER, granted EO 10450 clearance on 4/27/54

Wilbur P. WALKER, granted EO 10450 clearance on 10/21/59 - resigned
10/14/60

Ludge N. WALLACE, granted EO 10450 clearance on 4/12/61 - resigned
1/1/62

[Gene L. WEEKS, granted 180-day clearance on 1/31/61 - terminated
8/31/61]

Cheryl WHITE, granted EO 10450 clearance on 7/3/63

Lois WHITE, granted EO 10450 clearance on 1/27/55 and 6/14/55
separated 12/28/61

Mary E. WHITEHEAD, granted EO 10450 clearance on 6/22/59

William R. WHITLOW, Jr., granted EO 10450 clearance on 6/18/63

Agnes Lee WILLS, granted 180-day clearance on 12/17/63

[Donald WOODWARD, granted EO 10450 clearance on 4/28/61 - terminated
5/12/61]

Eddie M. YOUNG, granted EO 10450 clearance on 3/28/63 - resigned
8/30/63

CONFIDENTIAL

OSWALD, Lee Harvey

The following information was contributed by the Office of Security, Executive Office to be incorporated with this report:

Cheryl R. WHITE, granted EO 10450 clearance on 7/3/63

William R. WHITLOW, Jr., granted EO 10450 clearance on 6/18/63

Arthur A. AGETON, Jr., granted 180-day clearance on 4/19/63 -
resigned 11/1/63

Gerald L. AMOS, granted EO 10450 clearance on 1/25/63 and
Cryptographic clearance

Doris I. BERKEY, granted EO 10450 clearance on 12/5/62

Richard A. BIENVENUE, granted EO 10450 clearance on 2/6/63 and
Cryptographic clearance

August J. BLESKE, granted EO 10450 clearance on 1/9/63 and
Cryptographic clearance

Barbara J. BLUME, granted EO 10450 clearance on 5/12/59

Rita M. BOUDREAU, granted EO 10450 clearance on 11/27/62 and
Cryptographic and Cosmic clearance

Jean A. BOYCE, granted EO 10450 clearance on 1/22/63 and
Cryptographic clearance

Sidney L. BOYLES, granted EO 10450 clearance on 10/26/62 and
1/10/63 and Cryptographic clearance

Maxcine V. BROWN, granted EO 10450 clearance on 11/14/62

Lois A. BUTKUS, granted EO 10450 clearance on 12/31/62 and
Cosmic clearance

Nina O. CANNON, granted EO 10450 clearance on 12/26/62 and
Cosmic/Cryptographic clearance

Ann CARLSON, granted EO 10450 clearance on 4/9/63 - resigned
11/24/63

CONFIDENTIAL

OSWALD, Lee Harvey

Susan S. CARTER, granted EO 10450 clearance on 1/10/63 and
Cosmic and Cryptographic clearance

Daniel W. CHRISTENSEN, granted EO 10450 clearance on 4/16/63
and Cryptographic clearance

Mary Ann CHUDZINSKI, granted EO 10450 clearance on 4/26/63
and 1/17/63 and Cryptographic clearance -
resigned 11/23/63

Marcia B. CRAWFORD, granted EO 10450 clearance on 12/24/63 and
10/2/63

John H. DIEFFENDERFER, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

Elizabeth DOLMAT, granted EO 10450 clearance on 1/3/63 and
Cryptographic clearance

Charles D. ELLISON, granted EO 10450 clearance on 1/22/63 and
Cryptographic clearance

Audrey C. EMELDI, granted EO 10450 clearance on 8/14/63 and
Cryptographic clearance

[Bernard J. FINAN, III, granted no clearance - resigned 2/14/64]

Joseph P. FORRY, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

Sue R. FRAGALA, granted EO 10450 clearance on 5/27/63

Mary E. GROENER, granted EO 10450 clearance on 12/31/62 and
Cryptographic clearance

Marlene D. HAGELE, granted EO 10450 clearance on 2/4/63 and
Cryptographic clearance

-29-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

Jay D. HARTZ, granted EO 10450 clearance on 3/20/63 and
Cryptographic clearance

Elizabeth Jane B. HAYES, granted EO 10450 clearance on 4/17/63

Lorraine JACKSON, granted EO 10450 clearance on 4/25/63

Sylvester A. JACKSON, granted EO 10450 clearance on 12/28/62

Albert W. JAREK, granted EO 10450 clearance on 11/19/62 and
Cryptographic clearance

Barbara M. JOHNSON, granted EO 10450 clearance on 1/10/63 and
Cryptographic and Seato clearance

Frederick W. KAFES, granted EO 10450 clearance on 3/12/63 -
resigned 1/9/64

Sharon A. KLOEPPING, granted EO 10450 clearance on 1/23/63
and Cryptographic clearance

Franklin A. KOEBLER, granted EO 10450 clearance on 12/4/62
and Cryptographic clearance - resigned
9/20/63

Damon V. LABRIE, granted EO 10450 clearance on 1/7/63 and
Cryptographic clearance

Catherine L. LEONARD, granted EO 10450 clearance on 1/29/63
and Cryptographic clearance

[R. N. LITTLEFIELD, II, granted EO 10450 clearance on 1/7/63
and Cryptographic clearance - Termination
3/25/64]

Lono B. LUM, granted EO 10450 clearance on 3/28/63 and Crypto-
graphic clearance

Eugene R. LYERLY, granted EO 10450 clearance on 6/3/59

CONFIDENTIAL

OSWALD, Lee Harvey

Suzanne E. MARKLEY, granted EO 10450 clearance on 1/25/63 and
Cryptographic clearance

Paula M. MICHINARD, granted EO 10450 clearance on 3/27/63 and
Cryptographic clearance

Gary E. ANGELINE, granted 180-day clearance on 4/28/62 - resigned
9/11/62

Stephanie U. BAILEY, canceled 7/31/63

[James A. BARRETT, granted 180-day clearance on 2/12/63 - canceled
5/20/63]

Laura M. BEACH, granted EO 10450 clearance on 3/26/62 - resigned
9/19/62

Louise R. BERNARDI, granted EO 10450 clearance on 12/19/61 -
resigned 9/6/63

Frederick J. BLACHLY, granted EO 10450 clearance on 1/20/58

Sidney H. BLAKELY, granted EO 10450 clearance on 6/28/62

[Beatheliff BLANCHITTI, granted EO 10450 clearance on 7/17/62
termination 7/25/62]

[Patricia K. BROOKS, granted EO 10450 clearance on 4/9/62 -
separation 2/8/64]

[Houston T. BROWN,] File retained by D. D. DALEY of SY/I

Mary W. BURNS, granted EO 10450 clearance on 3/26/62 and
Cryptographic clearance - resigned 8/17/63

Samuel A. CAGNA, granted EO 10450 clearance on 12/12/61 and
Cryptographic and Cosmic clearance

Douglas L. CALDWELL, granted EO 10450 clearance on 5/2/62 and
1/10/63 - resigned 5/24/63

CONFIDENTIAL

OSWALD, Lee Harvey

William R. CASPARIS, (see list above)

Albert H. COLEMAN, Jr., granted 180-day clearance - EO 10450 pending

Patricia R. DALY, granted EO 10450 clearance on 12/27/61

Joan E. DELANEY, granted EO 10450 clearance on 2/15/62 - resigned
8/10/62

Ernest (E. or G.) DIXON, (see list above)

Lenora J. DRETZKA, granted EO 10450 clearance on 6/15/62

Paul D. ELEY, granted EO 10450 clearance on 2/6/63 - resigned
9/21/63

[Allen F. ENGLEKING, granted EO 10450 clearance on 7/18/62 -
termination 8/24/62]

Arthur E. ERNST, no record

[Jay H. FAIRFAX, granted 180-day clearance on 3/8/62 - termination
6/25/62]

Louis J. FAORS, resigned 2/8/63

Howard FELDSTEIN, granted EO 10450 clearance on 5/28/62 - resigned
6/29/62

Joseph P. FORRY, granted EO 10450 clearance on 2/1/63 and
Cryptographic clearance

William R. GEE, Jr., granted EO 10450 clearance on 4/11/58

Billy L. GIPSON, granted 180-day clearance on 4/20/62 - resigned
7/27/62

Robert J. HARLOW, no record HARLOW or HARLON

-32-

CONFIDENTIAL

CONFIDENTIAL

OSWALD, Lee Harvey

[Nancy Faye HOUSTON, granted 180-day clearance on 7/12/63 -
canceled 8/23/63]

[Ronald C. HAMBRICK, granted EO 10450 clearance on 1/14/64 -
declined 3/18/64]

[John R. HAMILTON, granted 180-day clearance on 5/4/62 - term-
ination 9/11/62]

Melvin E. HARRISON, granted EO 10450 clearance on 3/30/62 -
resigned 7/21/62

Irvin HICKS, granted EO 10450 clearance on 7/13/61

[Thomas S. JACKSON, Jr., granted EO 10450 clearance on 6/18/62 -
termination 7/10/62]

Donald E. JENNINGS, granted EO 10450 clearance on 10/5/61

Albert L. JOHNSON, granted EO 10450 clearance on 8/7/62 -
resignation 7/4/62

Kenneth A. JOHNSON, granted EO 10450 clearance on 11/9/62 -
resigned 11/8/63

John A. JONES, (see list above)

Phillys W. KATZ, granted EO 10450 clearance on 6/30/62

Raymond M. KEATING, granted 180-day clearance on 6/12/62 -
resigned 8/17/62

Willis D. KING, granted EO 10450 clearance on 12/21/61 and
Cryptographic clearance

Harold P. KLINE, granted EO 10450 clearance on 10/11/61 and
Cryptographic clearance

Alberta LITTS, granted EO 10450 clearance on 3/26/62 - resigned
8/11/62

CONFIDENTIAL

OSWALD, Lee Harvey

George A. MAPP, granted EO 10450 clearance on 7/11/62 -
resigned 9/11/62

Donald W. MAUNEY, granted EO 10450 clearance on 8/23/62 and
Cryptographic clearance

Mary A. McCRONI, granted EO 10450 clearance on 9/27/62

[Floyd R. McDANIEL, canceled 7/5/62]

John J. McMILLER, granted EO 10450 clearance on 12/11/61

Bruce R. MEISER, resigned 12/21/62

Lawrence E. MENDEL, granted EO 10450 clearance on 5/26/64

Arthurine MITCHELL, granted EO 10450 clearance on 3/26/59

[Edward L. MOODY, granted EO 10450 clearance on 7/15/63 and 2/4/63 -
canceled 2/25/63 - 7/15/63]

Adrienne M. MORSE, pending re: DOBLY

Mary M. MURPHY, resigned 12/8/62

Peter K. MURPHY, granted EO 10450 clearance on 10/5/61

ATTACHMENTS: (To original only)

1. Memorandum to Mr. Grignon from Mr. Gentile dated 6/2/64
2. Memorandum from Mr. Schwartz to Mr. Gentile dated 5/20/64
3. Report of the Department of State on Lee Harvey OSWALD
4. Portions of the Department's response to the Commission of May 8, 1964
5. Memorandum of Mr. Carlos M. Yordan to Mr. Mason LaSelle dated
July 6, 1964 (with its attachments)

SY/I/WFO:LCKachulis:am

-34-

CONFIDENTIAL