This document is made available through the declassification efforts and research of John Greenewald, Jr., creator of:



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DEPARTMENT OF THE NAVY HEADQUARTERS NAVAL CRIMINAL INVESTIGATIVE SERVICE 27130 TELEGRAPH ROAD QUANTICO VA 22134-2253

> 5720 2016-004193 SEROOLJF/16U1258

> > 29 JUN 2016

Mr. John Greenewald Jr.

Dear Mr. Greenewald:

The processing of your request for information pertaining to unidentified flying objects or UFO's and unidentified submersible or submerged or USO's has been completed.

Our review of the requested documents reveals they contain personal identifiers (such as names) of third parties, the release of which would constitute an unwarranted invasion of personal privacy. Accordingly, we must partially deny your request and withhold this information pursuant to the Freedom of Information Act (FOIA) provisions 5 U.S.C. § 55 (b)(6), (b)(7)(C) and (b)(7)(E). All releasable information pertaining is provided to you at enclosure (1).

As the official responsible for the partial denial of your request, I am advising you of your right to appeal this determination. Your appeal must be postmarked within 60 calendar days from the date of this letter and should be addressed to the Secretary of the Navy's designee: Office of the Judge Advocate General, (Code 14), 1322 Patterson Avenue, S.E., Suite 3000, Washington Navy Yard, D.C. 20374-5066. The envelope and letter should bear the annotation "FOIA Appeal." Please include a copy of your original request with your appeal letter.

While processing your request we located documents proprietary to other agencies and those documents along with a copy of your request have been referred for review. Upon conclusion of the reviews you will be notified of the result.

There are no assessable fees associated with the processing of your request.

Sincerely,

KAREN RICHMAN CDR, JAGC, USN

Encl: (1) Documents



## **Explanation of FOIA/PA Exemptions**

#### Subsections of Title 5, United States Code, Section 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute(A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could be reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could be reasonably expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

#### Subsections of Title 15, United States Code, Section 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

Cover Page				Page 1 of
	RIMINAL INVI	GEMENT DI	E SERVICES IVISION	
Package ID #: 0026247-000439 Name: FORCE PROTEC PELELIU AKA:	CTION UMBRE	ELLA SUPPO	ORT OPERATION	FOR
Social Security Number: Date of Birth: State			ntext: Impersonal Title : US - United States of	
Case Number: 07FEB12SW	ND0087XXFP	Dossier:		
DCII INDEX CODE: G = 25 Years		<b>SERIES #:</b> )/2a(1)	Date Clo 08/30/20	<b>sed:</b> 013
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AmhsId: 512373 Originator(b)(6) & (b)(7)(C) DTG: 0320122 Sep 13 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC), NAVCRIMINVSERVHO NSD OUANTICO VA(sc), DIRNAVCRIMINVSERV OUANTICO VA(sc) Primary Precedence: ROUTINE Classification: SECRET// NOFORM

Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUPPORT OP FOR PELELIU ARG (CLOSED) 07FEB12-SWND-0087-XXFP

REPORT OF INVESTIGATION (CLOSED) 30AUG13 FORCE PROTECTION (II) CONTROL: 07FEB12-SWND-0087-XXFP/C I/FORCE PROTECTION UMBRELLA SUPPORT OPERATION FOR PELELIU AMPHIBIOUS READINESS GROUP (PELARG) COMMAND/AMPHIBIOUS SQUADRON THREE/55281 MADE AT/SWX8/USS PELELIU (LHA 5), (b)(6) & (b)(7)(C) , SPECIAL AGENT REFERENCES (A) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/30MAR12 (B) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/29MAY12 (C) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/21JUL12 (D) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/24SEP12 (E) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/26NOV12 (F) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/14JAN13 (G) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/08MAR13 (H) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/31MAY13 EXECUTIVE SUMMARY 1<sub>(1)</sub> (S/(NF) References (A) through (H) documented Force Protection (FP), Counterintelligence (CI), and Counterterrorism (CT) efforts and General Criminal Investigative support provided to the USS Peleliu (PEL) (LHA-5) Amphibious Readiness Group (PELARG) comprising of Amphibious Squadron Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands from the period of MAR13 through JUN13. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involved collections, reporting and protective measures. Information collected by NCIS providing FPS focused on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises. NARRATIVE 1. 1. (SL/NL) Subsequent to the submission of Reference (H), PHIBRON Three and its subordinate commands entered a Planned Incremental Availability (PIA) period and will be birthed at San Diego Naval Station, San Diego, CA, until a readiness date and anticipated to be circa JUL14. In accordance with PIA, PHIBRON 3 Command and Staff have disembarked. As the breadth of PHIBRON 3 units remain in a non-deployable status and in accordance with the transfer of Reporting Agent, the captioned Operation will be closed. 2.(u)C//NF) FORCE PROTECTION SUPPORT DATA: DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 19APR16 OPERATION TOTALS EEE FUNDS EXPENDED \$0.00 UNCLASSIFIED DECLASSIFIED

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3. (U/<del>/FOUO)</del> The captioned operation was productive and provided the requisite support to PHIBRON 3 and her deployed units. This investigation is closed.

Page 2 of 2

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6) & (b)(7)(C)

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AmhsId: 485266 Originator(b)(6) & (b)(7)(C). DTG: 041621Z Jun 13 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVFO SW SAN DIEGO CA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: <u>SECPET// NOFORM</u> Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: FP SUPPORT FOR PELELIU (INTERIM) 07FEB12-SWND-0087-XXFP

REPORT OF INVESTIGATION (INTERIM) 31MAY13 FORCE PROTECTION (II) CONTROL: 07FEB12-SWND-0087-XXFP I/FORCE PROTECTION UMBRELLA SUPPORT OPERATION FOR PELELIU AMPHIBIOUS READINESS GROUP (PELARG) COMMAND/AMPHIBIOUS SQUADRON THREE/55281 MADE AT/SWX8/USS PELELIU (LHA 5)/ (b)(6) & (b)(7)(C). SPECIAL AGENT REFERENCES (A) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/30MAR12 (B) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/29MAY12 (C) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/21JUL12 (D) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/24SEP12 (E) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/26NOV12 NCIS/CODE 22 Security Specialist (F) NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/14JAN13 NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/08MAR13 (G) (H) NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C). (I) (b)(6) & (b)(7)(C). NCISREP USS PELELIU (LHA 5) CASE FILE: (J)(b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). NCISREP USS PELELIU (LHA 5) CASE FILE: (K) (b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). 19APR (L)NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). (M) NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C). DECLASSIFIED BY: DECLASSIFIED ON: (b)(6) & (b)(7)(C). (N) NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). NCISREP USS PELELIU (LHA 5) CASE FILE: (0)(b)(6) & (b)(7)(C). (b)(6) & (b)(7)(C). (P) NCISREP USS PELELIU (LHA 5)/19MAR13/I/PORT BRIEF UNITED ARAB EMIRATES/USS GREEN BAY/CCN: 19MAR13-SWX8-0014-9ZNA NCISREP USS PELELIU (LHA 5)/19MAR13/I/FORCE PROTECTION SUPPORT (Q)UNITED ARAB EMIRATES/USS GREEN BAY/CCN: 19MAR13-SWX8-0015-5CNA (R) NCISREP USS PELELIU (LHA 5)/25MAR13/I/PORT BRIEF UNITED ARAB EMIRATES/USS PELELIU/CCN: 25MAR13-SWX8-0016-9ZNA NCISREP USS PELELIU (LHA 5)/25MAR13/I/FORCE PROTECTION SUPPORT (S) UNITED ARAB EMIRATES/USS PELELIU/CCN: 25MAR13-SWX8-0017-5CNA (T)NCISREP USS PELELIU (LHA 5)/15APR13/I/PORT BRIEF HONG KONG, CHINA/USS PELELIU/CCN: 15APR13-SWX8-0018-9ZNA (U) NCISREP USS PELELIU (LHA 5)/15APR13/I/FORCE PROTECTION SUPPORT HONG KONG, CHINA/USS PELELIU/CCN: 15APR13-SWX8-0019-5CNA UNCLASSIFIED DECLASSIFIED

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- (V) NCISREP USS PELELIU (LHA 5)/15APR13/I/PORT BRIEF HONG KONG, CHINA/USS GREEN BAY/CCN: 15APR13-SWX8-0020-9ZNA
- (W) NCISREP USS PELELIU (LHA 5)/15APR13/I/FORCE PROTECTION SUPPORT HONG KONG, CHINA/USS GREEN BAY/CCN: 15APR13-SWX8-0021-5CNA
- (X) NCISREP USS PELELIU (LHA 5)/15APR13/I/PORT BRIEF HONG KONG, CHINA/USS RUSHMORE/CCN: 15APR13-SWX8-0022-9ZNA
- (Y) NCISREP USS PELELIU (LHA 5)/15APR13/I/FORCE PROTECTION SUPPORT HONG KONG, CHINA/USS RUSHMORE/CCN: 15APR13-SWX8-0023-5CNA
- (Z) NCISREP USS PELELIU (LHA 5)/03MAY13/I/PORT BRIEF HAWAII/ USS PELELIU/CCN: 22FEB13-SWX8-0024-9ZNA
- (AA) NCISREP USS PELELIU (LHA 5)/03MAY13/I/FORCE PROTECTION SUPPORT HAWAII/USS PELELIU/CCN: 3MAY13-SWX8-0025-5CNA
- (BB) NCISREP USS PELELIU (LHA 5)/03MAY13/I/PORT BRIEF HAWAII/ USS GREEN BAY/CCN: 03MAY13-SWX8-0026-9ZNA
- (CC) NCISREP USS PELELIU (LHA 5)/03MAY13/I/FORCE PROTECTION SUPPORT HAWAII/USS GREEN BAY/CCN: 03MAY13-SWX8-0027-5CNA
- (DD) NCISREP USS PELELIU (LHA 5)/03MAY13/I/PORT BRIEF HAWAII/ USS RUSHMORE/CCN: 03MAY13-SWX8-0028-9ZNA
- (EE) NCISREP USS PELELIU (LHA 5)/03MAY13/I/FORCE PROTECTION SUPPORT HAWAII/USS RUSHMORE/CCN: 03MAY13-SWX8-0029-5CNA

#### EXECUTIVE SUMMARY

1.<sup>(1)</sup>(SLANT) This operation is being conducted in order to document Force Protection (FP), Counterintelligence (CI), Counterterrorism (CT) and Criminal Investigative support to the USS Peleliu (PEL) (LHA-5) Amphibious Readiness Group (PELARG) comprising of Amphibious Squadron Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FPS will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises.

#### NARRATIVE

1. (U//FOUO) References (A) through (G) encapsulate all investigative and operational activities that occurred prior to this report.

2. (U//FOUO) Reference (H) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) All investigative activity is completed. This investigation was prougnt to an Article 32 and based on the recommendations of the Investigating Officer, command elected to drop the charges  $against_{(b)(6)} \& (b)(7)(C)$ . This investigation is closed.

3. (U//FOUO) References (I and J) cite ongoing investigations into allegations (b)(6) & (b)(7)(C) used his cellphone to film (b)(6) & (b)(7)(C) while she used the restroom aboard USS Peleliu without her knowledge. During the interrogation for that suspected offense, (b)(6) & (b)(7)(C) acknowledged he sought, found, downloaded and possessed what he described as approximately 100 photos of half-dressed and fully nude photos of children between the ages of 8-12 years. This investigation continues pending further analysis of images provided by the National Center for Missing and Exploited Children (NCMEC) extracted from (b)(6) & (b)(7)(C) cellphone, laptop computer and external hard drive.

4. (U/<del>/FOUO</del>) Reference (K) cites allegations (b)(6) & (b)(7)(C) sexually assaulted (b)(6) & (b)(7)(Owhile the two slept in his bed in his apartment at the Pacific Beacon, Naval Base San Diego, CA. This investigation is pending command's prosecutorial determination.

5. (U/FOUO) Reference (L) cites allegations(b)(6) & (b)(7)(C3) hoved, punched, and utilized a taser to shock several Marines while aboard USS Peleliu in port in

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Page 2 of 3

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Phuket, Thailand.(b)(6) & (b)(7)(Cis also alleged to have shocked and bea(b)(6) & (b)(7)(C)ntil he was unconscious. During the assault(b)(6) & (b)(7)(Cis alleged to have bitten (b)(6) & (b)(7)(C)face until his mouth was forcibly removed from(b)(6) & (b)(7)(C)y other Marines. During this reporting period(b)(6) & (b)(7)(C)pled guilty to the aforementioned charges and was sentenced to 10 months confinement and reduced to an E-2. This investigation is now closed.

6. (U//<del>FOUO)</del> Reference (M) cites an ongoing investigation(b)(6) & (b)(7)(Cpexually assaulted ile she was sleeping and another occasion(b)(6) & (b)(7)(Csexually assaulted (b)(6) & (b)(7)(C). while she was heavily intoxicated. This investigation is pending command's prosecutorial determination.

7. (U//FOUO) Reference (N) cites an ongoing investigation into allegations that S/LOLIN placed his hand inside of an intoxicated (b)(6) & (b)(7)(C) pants while the two sat next to each other on a Liberty Bus in Khalifa, UAE. Command reviewed this investigation and elected to not refer this investigation to an Article 32. This investigation is closed.

8. (U/FOUG)—Reference (O) cites the details and disposition of (b)(6) & (b)(7)(C) who was arrested during the Hong Kong Port Visit by the Hong Kong Police Department for allegedly groping a the breast of a Host nation female. (b)(6) & (b)(7)(C) remains in Hong Kong and will be tried for Indecent Assault on 13Mayl3.

9. (U/<del>/FOUO)</del> References (P) thru (S) cite support provided to PELARG while making a port call in United Arab Emirates.

10. (U/<del>/FOUO)</del> References (T) thru (Y) cite support provided to PELARG while making a port call in Hong Kong, China.

11. (U/<del>/FOUO)</del> References (Z) thru (EE) cite support provided to PELARG while making a port call in Hawaii.

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DTG: 06: From: N/ To: <u>DIRN</u> CC: <u>NAV(</u> Primary Classific Message	Cor: (b)(6) & (b)(7)(C) 1704∠ Mar 13 AVCRIMINVSERVFO SW SAN DIEGO CA(SC) VAVCRIMINVSERV OUANTICO VA(sc), NAVCRIMINVSERVHO NSD OUANTICO VA(sc) CRIMINVSERVFO SW SAN DIEGO CA(sc) Precedence: ROUTINE cation: SECRET// NOFORN- a Type: OTHER-ORGANIZATIONAL	
r	NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP	generation of an end of -Classic appropriate and a solution of the solution
REPO	RT OF INVESTIGATION (INTERIM) 08	8MAR13
FORC	E PROTECTION (II) CONTROL: 07FEB12-SWND-0087	7-XXFP
I/FO	RCE PROTECTION UMBRELLA SUPPORT OPERATION FOR PELELIU AMPH	HIBIOUS READINE
GROU	P (PELARG)	
COMM	AND/AMPHIBIOUS SQUADRON THREE/55281	
MADE	AT/SWX8/USS PELELIU (LHA 5) / (b)(6) & (b)(7)(C) SPECIAL AGEN	איד
I MADE	AT/SWX8/USS PELELIU (LHA 5)/ (b)(6) & (b)(7)(C) SPECIAL AGEN	
1	RENCES	·
(A)	NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/30MAR12	
(B) (C)	NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/29MAY12 NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/21JUL12	
(D)	NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/24SEP12	
(E)	NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/26NOV12	
(F)	NCISREP USS PELELIU (LHA 5) ROI (INTERIM)/14JAN13	
(G)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
1 /	IT2 USN/CCN: (b)(6) & (b)(7)(C)	
(H)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
	(b)(6) & (b)(7)(C)	
(I)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
	(b)(6) & (b)(7)(C)	
(J)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
(K)	(b)(6) & (b)(7)(C) NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
	(b)(6) & (b)(7)(C)	
(L)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
	(b)(6) & (b)(7)(C)	
(M)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
	(b)(6) & (b)(7)(C)	
(N)	NCISREP USS PELELIU (LHA 5) CASE FILE: (b)(6) & (b)(7)(C)	
(0)	(b)(6) & (b)(7)(C) NCISREP USS PELELIU (LHA 5)/09FEB13/I/PORT BRIEF AQABA,	
(0)	JORDAN/USS RUSHMORE/CCN: 09FEB13/SWX8-0006-9ZNA	
(P)		UPPORT
	AQABA, JORDAN/USS RUSHMORE/CCN: 09FEB13-SWX8-0007-5CNA	
(Q)	NCISREP USS PELELIU (LHA 5)/22FEB13/I/PORT BRIEF AQABA, C	JORDAN/
	USS PELELIU/CCN: 22FEB13-SWX8-0008-9ZNA	
(R)	NCISREP USS PELELIU (LHA 5)/22FEB13/I/FORCE PROTECTION SU	UPPORT
	AQABA, JORDAN/USS PELELIU/CCN: 22FEB13-SWX8-0009-5CNA	
-	UTIVE SUMMARY	
L.(u)	SLINF) This operation is being conducted in order to docur ection (FP), Counterintelligence (CI), Counterterrorism	ment Force
(CT)	and Criminal Investigative support to the USS Peleliu (PI	EL.)
	A-5) Amphibious Readiness Group (PELARG) comprising of Amph	

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Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FPS will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises.

#### NARRATIVE

1. (U/FOUO) References (A) through (F) encapsulate all investigative and operational activities that occurred prior to this report.

2. (U//FOUO) Reference (G) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C). All investigative activity is complete and command has turned this investigation over to the Region Legal Service Office (RLSO) Southwest who will be bringing these allegations to an Article 32 hearing, date pending.

3. (U//FOUO) References (H and I) cite ongoing investigations into allegations S/THOMPSON used his cellphone to film (b)(6) & (b)(7)(C) while she used the restroom aboard USS Peleliu without her knowledge. During the interrogation for that suspected offense, (b)(6) & (b)(7)(C) acknowledged he sought, found, downloaded and possessed what he described as approximately 100 photos of half-dressed and fully nude photos of children between the ages of 8-12 years. This investigation continues pending the National Center for Missing and Exploited Children (NCMEC) analysis of digital media extracted from (b)(6) & (b)(7)(C) cellphone, laptop computer and external hard drive.

4. (U/FOUD) Reference (J) cites allegations (b)(6) & (b)(7)(C) sexually assaulted (b)(6) & (b)(7)(C) while the two slept in his bed in his apartment at the Pacific Beacon, Naval Base San Diego, CA. This investigation is pending command's prosecutorial determination.

5. (U//FOUO) Reference (K) cites allegation  $s_{b}(6) \ge b_{0}(7)(C$  shoved, punched, and utilized a taser to shock several Marines while aboard USS Peleliu in port in Phuket, Thailand (b)(6) & (b)(7)(Cis also alleged to have shocked and bea(b)(6) & (b)(7)(Cintil he was unconscious. During the assault,(b)(6) & (b)(7)(Cis alleged to have bitten (b)(6) & (b)(7)(Cface until his mouth was forcibly removed from b)(6) & (b)(7)(by other Marines. This investigation is awaiting command's prosecutorial determination.

6. (U//FOUC) Reference (L) cites allegations(b)(6) & (b)(7)(C)placed his hand up (b)(6) & (b)(7)(C) skirt for a period of time while she was seated in a bar in Darwin, Australia(b)(6) & (b)(7)(@)as taken to NJP. This investigation is now closed.

7. (U//FOUO) Reference (M) cites an ongoing investigation(b)(6) & (b)(7)(Csexually assaulted (b)(6) & (b)(7)(C) ile she was sleeping and another occasion(b)(6) & (b)(7)(C) while she was heavily intoxicated. This investigation is pending the completion of additional interviews.

8. (U//FOUO) Reference (N) cites an ongoing investigation into allegations that (b)(6) & (b)(7)(C) pants while the two sat next to each other on a Liberty Bus in Khalifa, UAE. This investigation is complete and is pending prosecutorial determination from command.

9. (U//FOUO) References (O) thru (R) cite support provided to PELARG while making a port call in Aqaba, Jordan.

(b)(7)(E)



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(b)(7)(E)

DISTRIBUTION NCISHO: 0022/0023 INFO: SWFO/SWND/SWX8 SCG: 04-05

Classified by: Reason: Declassify On: 0517072 Mar 38

(b)(6) & (b)(7)(C)

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DTG: From: To: DI CC: N/ Prima	ator: (b)(6) & 41738Z Jan 1 NAVCRIMINV RNAVCRIMINV VCRIMINVSER	3		-01	CRE	T// NOF	OR	4-
	ry Precedence fication: SEC ge Type: OTH	SERV OL RVFO SW CE: ROUT	UANTICO VA V SAN DIEGO TINE SFORN	A(sc), NAVCE O CA(sc), NA				
	ct: NCIS CASE			and an and the second state of	-	7FEB12-SWM	VD-008	
REI	PORT OF IN	NVESTI	GATION	(INTERIM	)			14JAN13
FOI	CE PROTEC	CTION	(II)		С	ONTROL:	07FEE	B12-SWND-0087-XXFP
1	FORCE PROT		N UMBREI	LLA SUPP	ORT O	PERATION	FOR	PELELIU AMPHIBIOUS READIN
CON	IMAND/AMPH	HIBIOU	S SQUAD	RON THRE	E/552	81		,
MAI	E AT/SWX8	B/USS	PELELIU	(LHA 5)	, (b	)(6) & (b)(7)(C	C)	SPECIAL AGENT
REI	ERENCES							
(A)						(INTERIM		
(B)						(INTERIM		
(C)						(INTERIM		
(D						(INTERIM		
(E						(INTERIM	)/261	
(F)	NCISREE	PUSS		(LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(G	NCISRE	P USS			CASE	FILE:		(b)(6) & (b)(7)(C)
(H)	NCISREE	P USS	PELELIU	(LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(I	NCISRE		PELELIU	)&(b)(7)(C) (LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(J	NCISREE		(b)(6) & (b)(7 PELELIU	(LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(K	NCISRE		b)(6) & (b)(7) PELELIU	(C) (LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(L	NCISRE			(LHA 5)	CASE	FILE:		(b)(6) & (b)(7)(C)
(M)	NCISRE			(LHA 5)		FILE:	(b)	)(6) & (b)(7)(C)
(N	NCISRE		PELELIU		/23DE		RT BI	RIEF SALALAH,
10	OMAN/CO			(LHA 5)			DOP	and a second second second

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as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises.

NARRATIVE

1. (U//FOUO) References (A) through (E) encapsulate all investigative and operational activities that occurred prior to this report.

2. (U//FOUO) Reference (F) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C). All investigative activity is complete and command has turned this investigation over to the Region Legal Service Office (RLSO) Southwest who will be bringing these allegations to an Article 32, date pending.

3. (U//FOUD) References (G and H) cite ongoing investigations into allegations (b)(6) & (b)(7)(C) used his cellphone to film (b)(6) & (b)(7)(C) while she used the restroom aboard USS Peleliu without her knowledge. During the interrogation for that suspected offense, (b)(6) & (b)(7)(C) acknowledged he sought, found, downloaded and possessed what he described as approximately 100 photos of half-dressed and fully nude photos of children between the ages of 8-12 years. This investigation continues pending the National Center for Missing and Exploited Children (NCMEC) analysis of digital media extracted from (b)(6) & (b)(7)(C) cellphone, laptop computer and external hard drive.

4. (U//FOUO) Reference (I) cites allegations(b)(6) & (b)(7)(C) made wrongful sexual contact with (b)(6)&(b)(7)(C) while he slept in his rack aboard USS Peleliu.(b)(6)&(b)(7)(C) was taken to Captain's Mast where he was awarded 45 days restriction/45 days extra duty and ordered to be administratively separated from the Navy under Other Than Honorable (OTH) discharge. This investigation is closed.

5. (U//FOUO)- Reference (J) cites allegations (b)(6) & (b)(7)(C) sexually assaulted (b)(6) & (b)(7)(Owhile the two slept in his bed in his apartment at the Pacific Beacon, Naval Base San Diego, CA. This investigation is pending command's prosecutorial determination.

6. (U//FOUO) Reference (K) cites allegations(b)(6) & (b)(7)(C\$hoved, punched, and utilized a taser to shock several Marines while aboard USS Peleliu in port in Phuket, Thailand.(b)(6) & (b)(7)(C)s also alleged to have shocked and beat(b)(6) & (b)(7)(Ontil he was unconscious. During the assault, (b)(6) & (b)(7)(O)s alleged to have bitten (b)(6) & (b)(7)(C)face until his mouth was forcibly removed from(b)(6) & (b)(7)(Q) other Marines. This investigation is awaiting command's prosecutorial determination.

7. (U/7FOUO) Reference (L) cites allegations(b)(6) & (b)(7)(C) placed his hand up (b)(6)&(b)(7)(C) skirt for a period of time while she was seated in a bar in Darwin, Australia. This investigation is pending command's prosecutorial determination.

8. (U//FOUO) Reference (M) cites allegations that (b)(6) & (b)(7)(C) (b)(6) & (b)(7)(C) hazed and physically assaulted eight sailors recently assigned to Deck Department aboard USS Peleliu. On 07Dec12, (b)(6) & (b)(7)(C) and (b)(6) & (b)(7)(C) were taken to Captain's Mast and ordered to 45 days restriction, 45 days of extra duty and will be Administratively separated under Other Than Honorable discharge. Charges against (b)(6) & (b)(7)(C) were dropped at Captain's Mast. This investigation is closed.

9. (U//FOUO) References (N) and (O) cite support provided to PELARG while making a port call in Salalah, Oman.

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Classified by: Reason: Declassify (b)(6) & (b)(7)(C)

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(b)(7)(E)

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DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 20APR16

## DECLASSIFIED

## UNCLASSIFIED

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Originator:(b)(6) & (b)(7)(C) DTG: 261957Z Nov 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV QUANTICO VA(sc), NAVCRIMINVSERVHO NSD QUANTICO VA(sc) CC: NAVCRIMINVSERVFO SW SAN DIEGO CA(sc) Primary Precedence: ROUTINE Classification: SECRET// NOFORN Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP

REPO	ORT OF INVESTIGATION (INTERIM)	26NOV12
FORC	CE PROTECTION (II) CONT	TROL: 07FEB12-SWND-0087-XXFP
1	DRCE PROTECTION UMBRELLA SUPPORT OPEN JP (PELARG)	RATION FOR PELELIU AMPHIBIOUS READINESS
COMM	AAND/AMPHIBIOUS SQUADRON THREE/55281	
MADE	E AT/SWX8/USS PELELIU (LHA 5)/ (b)(6)8	& (b)(7)(C) , SPECIAL AGENT
REFE	ERENCES	
	NCISREP USS PELELIU (LHA 5) ROI (IN	NTERIM)/30MAR12
	NCISREP USS PELELIU (LHA 5) ROI (IN	
(E)		
(F)	(b)(6) & (b)(7)(C) NCISREP USS PELELIU (LHA 5) CASE F	
(G)	(b)(6) & (b)(7)(C) NCISREP USS PELELIU (LHA 5) CASE F	ILE: (b)(6) & (b)(7)(C)
1	(b)(6) & (b)(7)(C)	
(H)	NCISREP USS PELELIU (LHA 5) CASE F	ILE: (b)(6) & (b)(7)(C)
	(b)(6) & (b)(7)(C)	(b)(b) & (b)(7)(c)
(I)	NCISREP USS PELELIU (LHA 5) CASE F	ILE: STIHUMPBUR, OCHRISTIAN
	(b)(6) & (b)(7)(C)	
(J)	NCISREP USS PELELIU (LHA 5) CASE F.	$LE: (D)(6) \approx (D)(7)(C)$
	(b)(6) & (b)(7)(C)	
(K)	NCISREP USS PELELIU (LHA 5) CASE F	ILE: (b)(6) & (b)(7)(C)
1	(b)(6) & (b)(7)(C)	
(L)	NCISREP USS PELELIU (LHA 5) CASE F (b)(6) & (b)(7)(C)	(b)(6) & (b)(7)(C)
1		
(M)	NCISREP USS PELELIU (LHA 5) CASE F	(b)(6) & (b)(7)(C)
1	(b)(6) & (b)(7)(C)	
(N)		ILE: (b)(6) & (b)(7)(C)
101	(b)(6)&(b)(7)(C) NCISREP USS PELELIU (LHA 5) CASE F	LIE: (b)(6) & (b)(7)(C)
(0)		11E: (b)(b) & (b)(7)(C)
	(b)(6) & (b)(7)(C)	A T TOODE DETER RACE TIMOD
(P)		2/1/PORT BRIEF EAST TIMOR
10	LESTE/CCN: 150CT12-SWX8-6006-92NA NCISREP USS PELELIU (LHA 5)/150CT1.	2 /T /FORCE DROWECTION CURRORT
1(0)	EAST TIMOR LESTE/CCN: 150CT12-SWX8	
(P)	NCISREP USS PELELIU (LHA 5)/200CT1.	
(K)	THATLAND/CCN: 200CT12-SWX8-6008-9Z	
101	NCISREP USS PELELIU (LHA 5)/200CT1	
(5)	PHUKET, THAILAND/CCN: 200CT12-SWX8	
	FRUKEI, INALMAD/CCN: 2000112-5WX8	-0003-JONA
EVEC	CUTIVE SUMMARY	
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1.(U(S//NF) This operation is being conducted in order to document Force Protection (FP), Counterintelligence (CI), Counterterrorism (CT) and Criminal Investigative support to the USS Peleliu (PEL) (LHA-5) Amphibious Readiness Group (PELARG) comprising of Amphibious Squadron Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FPS will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises.

#### NARRATIVE

1. (U//FOUO) References (A) through (D) encapsulate all investigative and operational activities that occurred prior to this report.

2. (U//FOUO)\_Reference (E) cites allegations of suspected sexual assault of V/QUINTANS. Command elected to not pursue charges against(b)(6) & (b)(7)(CThis investigation is now closed.

3. (U//FOUO) Reference (F) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) Command elected to not pursue charges against(b)(6) & (b)(7)(C) This investigation is now closed.

4. (U//FOUO) Reference (G) cites allegations of suspected sexual assault of declined to participate in this investigation (b)(6) & (b)(7)(C) and command elected to not prefer charges against (b)(6) & (b)(7)(C) . This investigation is now closed.

5. (U//FOUO) Reference (H) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C). All investigative activity is complete and command has turned this investigation over to the Region Legal Service (RLSO) Southwest who will be bringing these allegations to an Article 32, date pending.

6. (U//FOUO) References (I and J) cite ongoing investigations into allegations (b)(6) & (b)(7)(C) used his cellphone to film (b)(6) & (b)(7)(C) while she used the restroom aboard USS Peleliu without her knowledge.

During the interrogation for that suspected offense, (b)(6) & (b)(7)(C) acknowledged he sought, found, downloaded and possessed what he described as approximately 100 photos of half-dressed and fully nude photos of children between the ages of 8-12. This investigation continues pending the extraction of digital media from (b)(6) & (b)(7)(C) cellphone, laptop computer and external hard drive seized from his Living and work spaces.

7. (U//FOUO) Reference (K) cites allegations(b)(6) & (b)(7)(C) made wrongful sexual contact with (b)(6)&(b)(7)(C) while he slept in his rack aboard USS Peleliu. This investigation is complete and awaiting command's prosecutorial determination.

8. (U//FOUO) Reference (L) cites allegations (b)(6) & (b)(7)(C) sexually assaulted (b)(6) & (b)(7)(Cwhile the two slept in his bed in his apartment at the Beacon, San Diego, CA. This investigation is pending a lead to interview V/UTTON's former boyfriend and a crime scene examination of (b)(6) & (b)(7)(C) former residence.

9. (U//FOUO) Reference (M) cites allegations(b)(6) & (b)(7)(Oshoved, punched, utilized a . taser to shock several Marines while aboard USS Peleliu in Port in Phuket, Thailand.(b)(6) & (b)(7)(Cis also alleged to have shocked and bea(b)(6) & (b)(7)(Ginconscious. During the assault, (b)(6) & (b)(7)(C) s alleged to have bitten(b)(6) & (b)(7)(C) face until his mouth was forcibly removed from(6)& (b)(7)(0b)y other Marines. This investigation is complete and awaiting command's prosecutorial determination.

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Message: NCIS CASE REPORT: SUPP PELARG (INTERIM) 07FEB12-S

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10 (II//FOHO) Reference (N) cites allegations(b)(6) & (b)(7)(C)placed his hand up (b)(6)&(b)(7)(C) skirt for a period of time while she was seated in a bar in Darwin, Australia. This investigation is pending lead tasking to conduct a crime scene examination in Darwin, Australia.

11. (U//FOUO) Reference (O) cites allegations and (b)(6) & (b)(7)(C) (b)(6) & (b)(7)(C) hazed and physically assaulted eight sailors recently assigned to Deck Department aboard USS Peleliu. This investigation is complete and is pending command's prosecutorial determination.

12.  $(U/\overline{FOUO})$  References (P) and (Q) cite support provided to PELARG while making a port call in Timor Leste.

13. (U/FOUO) References (R) and (S) cite support provided to USS Peleliu while making a port call in Phuket, Thailand.

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Originator: (b)(7)(E) DTG: 282237Z Sep 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV QUANTICO VA(sc), NAVCRIMINVSERVHQ NSD QUANTICO VA(sc) Primary Precedence: ROUTINE Classification: SECRET// NOFORN Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP

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Message: NCIS CASE REPORT;

SUPP PELARG (INTERIM) 07FEB12-

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2. (U//FOUO) Reference (D) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) All investigative activity is completed and command elected to not pursue charges agains(b)(6) & (b)(7)(C) This investigation is pending the completion of the Sexual Assault Disposition Report (SADR) completed by Command.

3. (U//FOUO) Reference (E) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) All investigative activity is completed and command elected to not pursue charges against (b)(6) & (b)(7)(C) This investigation is pending the completion of the SADR completed by Command.

4. (U//FOUO) Reference (F) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) All investigative activity is complete and this investigation is pending prosecutorial determination by Command.

5. (U/FOUO) Reference (G) cites allegations of suspected sexual assault of (b)(6) & (b)(7)(C) All investigative activity is complete and this investigation is pending prosecutorial determination by Command.

6. (U//FOUO) Reference (H) cites an investigation into a suspected submersible vessel observed by USN pilots and crew while at sea. The vessel was approximately 3 miles from the stern of USS Peleliu (LHA 5) during an Underway period. This investigation has been transferred to Special Agent (b)(6) & (b)(7)(C)

7. (U/FOUO) Reference (I) cites a Death investigation of V/WRIGHT who was found deceased in his rack aboard USS Peleliu. Reporting Agent (RA) identified two USS Peleliu sailors who witnessed V/WRIGHT purchase 40 Methadone pills prior this death. Subsequent search of his rack revealed that just over one day later, only seven pills remained in the bottle. This investigation has been transferred to SA (b)(6) & (b)(7)(C) .

8.  $(U/\overline{FOUO})$  References (J and K) cite ongoing investigations into allegations (b)(6) & (b)(7)(C) used his cellphone to film (b)(6) & (b)(7)(C) while she used the restroom aboard USS Peleliu without her knowledge. During the interrogation for that suspected offense, (b)(6) & (b)(7)(C) acknowledged he sought, found, downloaded and possessed what he described as approximately 100 photos of half-dressed and fully nude photos of children between the ages of 8-12. This investigation continues pending the extraction of digital media from (b)(6) & (b)(7)(C) cellphone, laptop computer and external hard drive seized from his living and work spaces.

(b)(7)(E)

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Originator: (b)(6) & (b)(7)(C) DTG: 2420302 JUL 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV QUANTICO VA(sc), NAVCRIMINVSERVHO NSD QUANTICO VA(sc) Primary Precedence: ROUTINE Classification: SECRET// NOFORM Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP

DEDADY OF INVECTIONTION (INVERTING	21JUL12
REPORT OF INVESTIGATION (INTERIM)	2130512
FORCE PROTECTION (II)	CONTROL: 07FEB12-SWND-0087-XXFP
I/FORCE PROTECTION UMBRELLA SUPPORT GROUP (PELARG)	T OPERATION FOR PELELIU AMPHIBIOUS READINESS
COMMAND/AMPHIBIOUS SQUADRON THREE/S	55281
MADE AT/SWND/USS PELELIU (LHA 5)/	(b)(6) & (b)(7)(C) , SPECIAL AGENT
REFERENCES (A) NCISREP USS PELELIU (LHA 5) ROJ (B) NCISREP USS PELELIU (LHA 5) ROJ (C) NCISREP USS PELELIU (LHA 5)/013 BRIEF/CCN: 01JUN12-SWND-0365-92 (D) NCISREP USS PELELIU (LHA 5)/023 BRIEF/CCN: 02JUN12-SWND-0366-92	I (INTERIM)/29May12 JUN12/I/PIRACY/DETAINEE OPS ZMA JUN12/I/PIRACY/DETAINEE OPS
Protection (FP), Counterintelligend Investigative support to the USS Pe Group (PELARG) comprising of Amphik Marine Expeditionary Unit (15th MEU commands. Force Protection Support Investigative Service (NCIS) involve measures. Information collected by posed by international, transitional	conducted in order to document Force ce (CI), Counterterrorism (CT) and Criminal eleliu (PEL) (LHA-5) Amphibious Readiness bious Squadron Three (PHIBRON 3), the 15th U), and all supporting military t (FPS) provided by the Naval Criminal ves collections, reporting and protective y NCIS providing FPS will focus on threats al and native terrorist organizations as well lligence Security Services (FISS), and
States Secret Service (USSS) in cor	12-20Jun12, RA provided support to the United njunction with POTUS' visit to the G-20 as, MX. USS Peleliu was used as a lilly-pad
15th Marine Expeditionary, Combat I	2 thru 21Jul12, RA provided Marines from the Logistics Battalion (CLB), Military Police Briefing (References C and D). Additionally, block of crime scene instruction.
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Classified by: DoD Instruction C-5240.08 Reason: 25X1 Declassify On: 242034Z Jul 37

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Originator:(b)(6) & (b)(7)(C) DTG: 312000Z May 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV OUANTICO VA(sc), NAVCRIMINVSERVHO NSD OUANTICO VA(sc) Primary Precedence: ROUTINE Classification: SECRET// NOFORN-Message Type: OTHER-ORGANIZATIONAL Subject: NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP REPORT OF INVESTIGATION (INTERIM) 29MAY12 FORCE PROTECTION (II) CONTROL: 07FEB12-SWND-0087-XXFP I/FORCE PROTECTION UMBRELLA SUPPORT OPERATION FOR PELELIU AMPHIBIOUS READINESS GROUP (PELARG) COMMAND/AMPHIBIOUS SQUADRON THREE/55281 MADE AT/SWND/USS PELELIU (LHA 5)/ (b)(6) & (b)(7)(C) , SPECIAL AGENT REFERENCE (A) NCISREP USS Peleliu (LHA 5) ROI (INTERIM)/30Mar12 EXECUTIVE SUMMARY 1.(1(S//NF) This operation is being conducted in order to document Force Protection (FP), Counterintelligence (CI), Counterterrorism (CT) and Criminal Investigative support to the USS Peleliu (PEL) (LHA-5) Amphibious Readiness Group (PELARG) comprising of Amphibious Squadron Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FPS will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises. NARRATIVE 1. (U) During the period of 29Mar12 thru 29May12, Reporting Agent (RA) did not provide briefings to ship's company. However, RA did provide numerous training classes to Master at Arms staff aboard USS Peleliu. (b)(7)(E)

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Classified by: DoD Instruction C-5240.08 Reason: <del>25%1</del> Declassify On: <del>3120032 May 37</del>

> DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 20APR16

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SECRET // NOFORN

Originator: (b)(6) & (b)(7)(C) DTG: 061449Z Apr 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: <del>SECRET// NOFORN</del> Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: FP SUPP PELARG (INTERIM) 07FEB12-SWND-0087-XXFP

REPORT OF INVESTIGATION (INTER)	M)	30MAR12
FORCE PROTECTION (II)	CONTROL: 07FI	EB12-SWND-0087-XXFP
I/FORCE PROTECTION UMBRELLA SUB GROUP (PELARG)	PORT OPERATION FOR	PELELIU AMPHIBIOUS READINESS
COMMAND/AMPHIBIOUS SQUADRON THE	EE/55281	
MADE AT/SWND/USS PELELIU (LHA S	) / (b)(6) & (b)(7)(C)	SPECIAL AGENT
REFERENCES		
(A) NCISREP USS Peleliu (LHA S		Assault Awareness
Brief/CCN: 20DEC11-SWND-09		
(B) NCISREP USS Peleliu (LHA S Brief/CCN: 21DEC11-SWND-09		Assault Awareness
(C) NCISREP USS Peleliu (LHA 5 Brief/CCN:22DEC11-SWND-099		Assault Awareness
(D) NCISREP USS Peleliu (LHA S		l Agent Afloat
Awareness Brief/15th Marin		
06FEB12-SWND-0151-9ZMA	1	
(E) NCISREP USS Peleliu (LHA S	)/07Feb12/I/Specia	l Agent Afloat Awareness
Brief/1st Marine Expeditio		
(F) NCISREP USS Peleliu (LHA S		
Brief/USS PELELIU (LHA 5)		
(G) NCISREP USS Peleliu (LHA S		
Brief/15th Marine Expedit:		
(H) NCISREP USS Peleliu (LHA S		
Brief/COMPHIBRON THREE/CCM	: 14FEB12-SWND-015	5-9ZNA
(I) NCISREP USS Peleliu (LHA S Brief/lst Marine Expedition		
		Awareness Brief/COMPHIBRON
THREE/CCN: 14FEB12-SWND-03		Awareness Brier/COMENIBRON
(K) NCISREP USS Peleliu (LHA S		an Diego, CA/Request for
Assistance/CCN: 21MAR12-SW		
(L) NCISREP USS Peleliu (LHA S		r Threat Awareness
Brief/CCN: 21MAR12-SWND-02		
(M) NCISREP USS Peleliu (LHA S		r Threat Awareness
Brief/CCN: 21MAR12-SWND-02		
(N) NCISREP USS Peleliu (LHA S		r Threat Awareness
Brief/CCN: 21MAR12-SWND-02		
(O) NCISREP USS Peleliu (LHA S		r Threat Awareness
Brief/CCN: 21MAR12-SWND-02		
(P) NCISREP USS Peleliu (LHA S	)/21Mar12/I/US Arm	y CID Request for
Assistance/CCN: 21MAR12-SW		
EXECUTIVE SUMMARY		
1(u)(S//NF) This operation is be		
Protection (FP), Counterintelli	gence (CI), Counter	rterrorism (CT) and Criminal

## DECLASSIFIED

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**UNCLASSIFIED** 

DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 20APR16

### DECLASSIFIED

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Investigative support to the USS Peleliu Amphibious Readiness Group (PELARG) comprising of Amphibious Squadron Three (PHIBRON 3), the 15th Marine Expeditionary Unit (15th MEU), and all supporting military commands. Force Protection Support (FPS) provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FPS will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups, Foreign Intelligence Security Services (FISS), and criminal enterprises.

#### NARRATIVE

1. (U) During the period of 19Dec11 thru 22Dec11, Reporting Agent (RA) provided ship's company aboard the USS Peleliu (LHA 5) with the NCIS Sexual Assault Awareness Briefing (References A thru C).

2. (U) During the period of 06Febl2 thru 14Febl2, RA provided Marines from the 15th Marine Expeditionary Unit with the Special Agent Afloat Briefing (References D thru I).

3. (U) On 14Feb12, RA provided members of COMPHIBRON Three with the Piracy/Detainee Operations Briefing (Reference J).

4. (U) On 10Marl2, RA provided support to the United States Secret Service (USSS) for a visit to the USS Peleliu by former President Jimmy Carter (Reference K).

5. (U) During the period of 19Marl2 thru 21Marl2, RA provided ships company aboard the USS Peleliu with the Insider Threat Awareness briefing (References L thru O).

6. (U) On 02MAR12, RA conducted Open Source Intelligence (OSINT) reviews of Social Media sites; Facebook, MySPace, Twitter and Youtube. While conducting a review of Youtube, RA identified one video depicting crewmembers (current and former) of the USS Peleliu walking on top of the ship's moving shaft. RA assisted USS Peleliu Security Staff with the subsequent investigation. Additionally, RA identified another Youtube video taken from inside the Engineering spaces aboard the USS Peleliu which depicts a sailor throwing a "Blood" gang sign to the camera. CAPT (b)(6) & (b)(7)(C) USN, Commanding Officer (CO), USS Peleliu was briefed and the contents of these videos were provided for action deemed appropriate.

7. (U) On 30Marl2, RA supported the US Army Criminal Investigations Division (USACID) with a visit from the current Secretary of Defense (SECDEF) Leon E. Panetta to the USS Peleliu (Reference P).

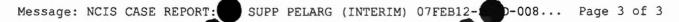
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DISTRIBUTION NCISHQ: 0022 INFO: SWND 04-05 SCG:

Classified by: Reason: 25X1

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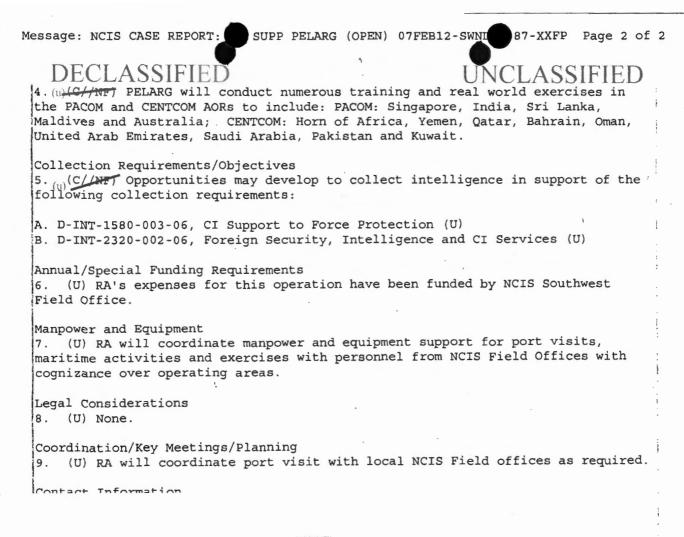
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Originator: (b)(6) & (b)(7)(C) DTG: 081808Z Feb 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV QUANTICO VA(sc), NAVCRIMINVSERVHO NSD QUANTICO VA(sc), NAVCRIMINVSERV EADPAC SAN DIEGO CA(sc) Primary Precedence: ROUTINE Classification: CONFIDENTIAL// NOFORM Message Type: OTHER-ORGANIZATIONAL Subject: NCIS CASE REPORT: FP SUPP PELARG (OPEN) 07FEB12-SWND-0087-XXFP 07FEB12 REPORT OF INVESTIGATION (OPEN) 07FEB12-SWND-0087-XXFP FORCE PROTECTION (II) CONTROL: I/FORCE PROTECTION UMBRELLA SUPPORT OPERATION FOR PELELIU AMPHIBIOUS READINESS GROUP (PELARG) COMMAND/AMPHIBIOUS SQUADRON THREE/55281 MADE AT/SWND/USS PELELIU (LHA-5) / (b)(6) & (b)(7)(C) , SPECIAL AGENT NARRATIVE 1.(u) (C//NF) This operation is being initiated to document and provide Force Protection (FP), Counterintelligence (CI), Counterterrorism (CT) and Criminal Investigation Support to the PELELIU AMPHIBIOUS READINESS GROUP (PELARG). PELARG is comprised of the following commands: Amphibious Squadron Three (COMPHIBRON THREE) 15th Marine Expeditionary Unit (15th MEU) BLT 3/5 HMM 364 CLB 15 USS PELELIU (LHA-5) USS GREEN BAY (LPD-20) USS RUSHMORE (LSD-47) Naval Beach Group 1 Assault Craft Unit 5 Assault Craft Unit 1 Beach Master Unit 1 Fleet Surgical Team 1 The 15th MEU is comprised of Marine Medium Helicopter Squadron 364 (Reinforced), Combat Logistics Battalion 15 and Battalion Landing Team 3/5. 2.(u) (C//NF) FP Support provided by the Naval Criminal Investigative Service (NCIS) involves collections, reporting and protective measures. Information collected by NCIS providing FP support will focus on threats posed by international, transitional and native terrorist organizations as well as rebellious groups; foreign intelligence security services (FISS); and criminal activity which presents the greatest current or potential threat to the interest of the USS PELELIU (LHA-5) and its assets. 3. (U) Reporting Agent (RA) will be coordinating with local NCIS Field Offices and Force Protection Detachment (FPD) elements, as well as local host-nation government officials, during the deployment period in support of PELARG in an effort to identify indications and warnings (I&W) of potential hostile actions directed against the PELARG and its subordinate commands. UNCLASSIFIED DECLASSIFIED

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Mailing Address: USS PELELIU (LHA 5) ATTN: NCIS SA(b)(6)&(b)(7)(C) FPO AP 96624-1620

DISTRIBUTION NCISHQ: 0022C INFO: SWND/002P

Classified by: DoD Instruction C-5240.08 Reason: <del>25%1</del> Declassify On: 0<del>818122 Feb 37</del>

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Cover Page		Page 1 of
REC	-SECRET NOFORN RIMINAL INVESTIGATIV ORDS MANAGEMENT D	E SERVICES IVISION
Package ID #: 0024526-000774 Name: USS PELELIU LH	IGENCE/COUNTERTEN	MERSIBLE VESSEL OBSER
AKA: Social Security Number: Date of Birth: State		ontext: Impersonal Title h: US - United States of America
Case Number: 16JUN12SWM	ND0416 Dossier:	
DCII INDEX CODE: G = 25 Years	RECORD SERIES #: 3850/2a(1)	Date Closed: 10/19/2012
Comments:		
Comments:	cted	
		isposition Report to FBI
No Polygraph Examination Condu No Finger Prints Submitted to Coo	te 24B3 No Final D	Form 009/10-80, and ensure it is retained in the case file.

Privacy Sensitive - Any misuse or unauthorized disclosure may result in both civil or criminal penalties

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CC: <u>NAVCRIMINVSERVFO S</u> Primary Precedence: ROL Classification: <del>SECRET// M</del> Message Type: OTHER-OR	) SW SAN DIEGO CA(SC) DUANTICO VA(sc), NAVCRIMINVSERVHO NS W SAN DIEGO CA(sc) ITINE IOFORN	
REPORT OF INVEST	IGATION (CLOSED)	190CT12
SPECIAL INQUIRY	(II) CONTROL: 1	6JUN12-SWND-0416-5XNA/C
I/USS PELELIU (L MEMBERS	HA 5)/UNIDENTIFIED SUBMERSIE	LE VESSEL OBSERVED BY US NAVY
COMMAND/USS PELE	LIU (LHA 5)/20748	
MADE AT/SWND/SAN	DIEGO CA/ (b)(6) & (b)(7)(C) S	PECIAL AGENT
EXHIBITS 1-7	PELELIU (LHA 5) ROI (INTERIM ) PELELIU (LHA 5) ROI (INTERIM	
EXHIBIT		NAL MARITIME UPDATE(S//NF)/06JUL
FOLLOWING AN EVE (b)(6) & (b)(7)(G) USN, I	S SPECIAL INQUIRY INVESTIGAT NT OF POSSIBLE FORCE PROTECT	TION WAS INITIATED ON 16JUN12, TION CONCERN RELATED VIA (b)(6)&(b)( TIONARY STRIKE GROUP 3 (ESG 3), I
G-20 CONVENTION SEA COMBAT SQUAL RESCUE (SAR) SYS VICINITY OF 24DE MEXICO) AT A HEA APPEARED TO BE I VICINITY OF 24DE TOWARDS THE OBJE WATER. THE BEACC OF NIGHT VISION ALTITUDE FOR APP OBVIOUS SHAPE IN SPOTTED APPROXIM OF ITS WAKE. THE APPEAR TO MAKE A THIS, THE CREW I SUBSEQUENTLY DEE THE UH-60S CREW SIZE (APPROXIMAT AS THE FORWARD I TIME. HOWEVER,	OFF THE COAST OF CABO SAN LU RON 21(HSC-21), USS PELELIU TEMATIC TRAINING PATTERNS AF G 31.72'N 112DEG 50.58'W (AF DING OF 137T. WHILE DOING SC INGERING/MOVING IN THE WAKE G 31.89'N 112DEG 50.94'W. TH CT AND NOTED MULTIPLE INFRAF ONS WERE IDENTIFIED AS IR AS GOGGLES. THE MH-60S BEGAN (AF ROXIMATELY 15 MINUTES. WHILE THE WATER WHICH THEY BELIEV MATELY 3 MILES TO THE REAR OF CREW OF THE UH-60S THAT WHI INY EVASIVE ACTIONS AND APPEN DEPARTED THE AREA AND RETURNED RIEFED BY MEMBERS OF COMMANNI AT WHICH TIME THEY GENERALLY 'IONS VARY). THE CREW WAS UN COOKING INFRARED (FLIR) WAS N THE CREW DID RECALL THAT ALM	MISSION TO SUPPORT POTUS DURING JCAS, MEXICO, A CREW FROM HELICOP (LHA 5) WAS CONDUCTING SEARCH AN BOARD THEIR MH-60S HELICOPTER IN PROX 33NM OFF BAJA PENINSULA, (CREWMEMBERS NOTED AN OBJECT WH OF USS PELELIU (LHA 5) IN THE HE MH-60S CREW MOVED THE AIRCRAFT RED (IR) BEACONS FLOATING IN THE THEY WERE ONLY VISIBLE WITH THE CONDUCTING CLOCKWISE CIRCLES AT A E DOING SO CREWMEMBERS NOTED AN VED WAS A SUBMARINE. THE CRAFT WA F USS PELELIU AND WAS IN THE VICI LE HOVERING THE SUBMARINE DID NO ARED TO STAY IN PLACE. FOLLOWING ED TO USS PELELIU WHERE THEY WERE O STAFF. NCIS CONDUCTED INTERVIEW (DESCRIBED THE CRAFT WAS LARGE I ABLE TO PHOTOGRAPH OR VIDEO THE C NOT PICKING UP IR AT THE MOST SIMULTANEOUSLY UPON SEEING T LONS ON THEIR UNENCRYPTED SATCOM

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RADIO NET (FREQUENCY USED IS UNKNOWN) OF A FOREIGN LANGUAGE WHICH WAS GENERALLY DESCRIBED AS EASTERN EUROPEAN. THE DESCRIPTION OF THE SUB INDICATED IT WAS NOT AT THE SURFACE OF THE WATER BUT RATHER JUST BELOW THE SURFACE (APPROXIMATIONS VARY).

3. (u)(SLINF) ON 08JUL12, OFFICE OF NAVY INTELLIGENCE (ONI), ASSESSED THE JUNE SIGHTING INVOLVING USS PELELIU MATCH OTHER DESCRIPTIONS OF PREVIOUSLY SEIZED SELF-PROPELLED SEMI-SUBMERSIBLE VESSELS (SPSS). HOWEVER, THIS REPORTED SIGHTING OCCURRED APPROXIMATELY 2,500 NM FROM THE TRADITIONAL EASTERN PACIFIC DEPARTURE ZONE IN THE SOUTHWEST COLOMBIA/NORTHWEST ECUADOR REGION. THIS WOULD PLACE THE SUPPOSED SPSS APPROXIMATELY 1,000-1,500 NAUTICAL MILES (NM) BEYOND THE HISTORICALLY ASSESSED DELIVERY ZONE IN THE MEXICAN-GUATEMALAN BORDER AREA, AND APPROXIMATELY 500 NM SHORT OF THE U.S. BORDER REGION. CONSIDERING AN AVERAGE SPEED-OF-ADVANCE OF 6 KNOTS, A VESSEL THAT HAS TRAVELED 2,500 NM WOULD HAVE BEEN AT SEA ROUGHLY 17 DAYS, A LONG PERIOD BASED ON ASSESSED CAPABILITIES OF SEIZED SPSS. FINALLY, IT WOULD BE EXTREMELY UNUSUAL FOR AN SPSS TO CARRY A NAVIGATION RADAR; THEREFORE, THE ELINT HITS RECORDED BY USS PELELIU SHOULD BE CONSIDERED WITH THIS IN MIND. ALL INVESTIGATIVE LEADS ARE COMPLETE. INVESTIGATION IS CLOSED.

#### NARRATIVE

1. (U//FOUG) AT THIS TIME, THIS INVESTIGATION DOES NOT PERTAIN TO ANY SUSPECTED VIOLATIONS OF LAW RATHER THIS INVESTIGATION WAS OPENED OUT OF A POSSIBLE FORCE PROTECTION CONCERN RELATED VIA LCDR (b)(6) & (b)(7)(C) USN, INTELLIGENCE OFFICER, EXPEDITIONARY STRIKE GROUP 3 (ESG 3), IN RESPONSE NAVAL BASE SAN DIEGO, CA.

2.(u)(S/(NF) ON 08JUL12, OFFICE OF NAVY INTELLIGENCE (ONI), ASSESSED THE JUNE SIGHTING INVOLVING USS PELELIU MATCH THE DESCRIPTION OF PREVIOUSLY SEIZED SELF-PROPELLED SEMI-SUBMERSIBLE (SPSS) VESSELS. HOWEVER, THIS REPORTED SIGHTING OCCURRED APPROXIMATELY 2,500 NM FROM THE TRADITIONAL EASTERN PACIFIC DEPARTURE ZONE IN THE SOUTHWEST COLOMBIA/NORTHWEST ECUADOR REGION. THIS WOULD PLACE THE SUPPOSED SPSS APPROXIMATELY 1,000-1,500 NAUTICAL MILES (NM) BEYOND THE HISTORICALLY ASSESSED DELIVERY ZONE IN THE MEXICAN-GUATEMALAN BORDER AREA, AND APPROXIMATELY 500 NM SHORT OF THE U.S. BORDER REGION. CONSIDERING AN AVERAGE SPEED-OF-ADVANCE OF 6 KNOTS, A VESSEL THAT HAS TRAVELED 2,500 NM WOULD HAVE BEEN AT SEA ROUGHLY 17 DAYS, A LONG PERIOD BASED ON ASSESSED CAPABILITIES OF SEIZED SPSS. FINALLY, IT WOULD BE EXTREMELY UNUSUAL FOR AN SPSS TO CARRY A NAVIGATION RADAR; THEREFORE, THE ELINT HITS RECORDED BY USS PELELIU SHOULD BE CONSIDERED WITH THIS IN MIND, EXHIBIT (8) PERTAINS. ALL INVESTIGATIVE LEADS ARE COMPLETE. INVESTIGATION IS CLOSED.

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Pages 30 through 36 redacted for the following reasons: PAGES 30 - 36 REFERRED TO ANOTHER AGENCY

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SECRET# NOFORN

Originator: (b)(6) & (b)(7)(C) DTG: 211750Z Sep 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>NAVCRIMINVSERVHO NSD QUANTICO VA(sc)</u>, <u>DIRNAVCRIMINVSERV QUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: <u>SECRET// NOFORN</u> Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBDERVED BY USN MEMBERS (INTERIM) 16JUN12-SWND-0416-5XNA

REPORT OF INVESTIGATION (INTERIM)

SPECIAL INQUIRY (II)

dit at

14SEP12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

COMMAND/USS PELELIU (LHA 5)/20748

MADE AT/SWND/USS PELELIU (LHA 5), (b)(6) & (b)(7)(C) SPECIAL AGENT

REFERENCE

(A) NCISREP USS Peleliu (LHA 5) ROI (INTERIM)/03Aug12 (Contains Exhibits 1-7)

EXECUTIVE SUMMARY

1. (U//FOUO) This special inquiry investigation was initiated on 16Jun12, following an event of possible force protection concern related via  $LCDR_{b}(6) \& (b)(7)(C)$ (b)(6) & (b)(7)(C) USN, Intelligence Officer, Expeditionary Strike Group 3 (ESG 3), in response Naval Base San Diego, CA.

2 (u)(S//NF) On 15Jun12, while supporting the mission to support POTUS during the G-20 convention off the coast of Cabo San Lucas, Mexico, a crew from Helicopter Sea Combat Squadron 21(HSC-21), USS Peleliu (LHA 5) was conducting Search and Rescue (SAR) systematic training patterns aboard their MH-60S Helicopter in the vicinity of 24deg 31.72'N 112deg 50.58'W (approx 33NM off Baja Peninsula, Mexico) at a heading of 137T. While doing so, crewmembers noted an object which appeared to be lingering/moving in the wake of USS Peleliu (LHA 5) in the vicinity of 24deg 31.89'N 112deg 50.94'W. The MH-60S crew moved the aircraft towards the object and noted multiple Infrared (IR) beacons floating in the water. The beacons were identified as IR as they were only visible with the use of Night Vision Goggles. The MH-60S began conducting clockwise circles at a low altitude for approximately 15 minutes. While doing so crewmembers noted an obvious shape in the water which they believed was a submarine. The craft was spotted approximately 3 miles to the rear of USS Peleliu and was in the vicinity of its wake. The crew of the UH-60S that while hovering the submarine did not appear to make any evasive actions and appeared to stay in place. Following this, the crew departed the area and returned to USS Peleliu where they were subsequently debriefed by members of command staff.

3<sup>(u)</sup> (C//MF) NCIS conducted interviews of the UH-60S crew at which time they generally described the craft was large in size (approximations vary). The crew was unable to photograph or video the craft as the Forward Looking Infrared (FLIR) was not picking up IR at the time. However, the crew did recall that almost simultaneously upon seeing the craft, the crew heard bleed over communications on their unencrypted SATCOM radio net (frequency used is unknown) of a foreign language which was generally described as eastern European. The description of the sub indicated it was not at the surface of the water but rather just below the surface (approximations vary). DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 20APR16

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UNCLASSIFIED 'Amhs/compose/dms/print.asp?id=527... 9/21/2012 VESSEL OBDERVED BY USN MEMBERS

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4(u) (S//NF) This investigation continues pending the subsequent analysis of all available ELINT and extractable data from USS Peleliu by Third Fleet Intelligence personnel.

#### NARRATIVE

1. (U//FOUO) At this time, this investigation does not pertain to any suspected violations of law rather this investigation was opened out of a possible force protection concern related via LCDR (b)(6) & (b)(7)(C) USN, Intelligence Officer, Expeditionary Strike Group 3 (ESG 3), in response Naval Base San Diego, CA.

2. (U//FOUO) This investigation continues pending the results of analysis and data by the Third Fleet N-2, San Diego, CA. Due to the deployment of the PELARG, this investigation is being reassigned to Special Agent (b)(6) & (b)(7)(C)

DISTRIBUTION NCISHO: 0022 SWND/Command (E)/ESG J2 (E)/PHIBRON (E)/USS PELELIU N2 (E)/ INFO: ONI (E)/

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SEC	RET// NOFORN
Originator: (b)(6) & (b)(7)(C) OTG: 0717252 Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u> , <u>NAVCRIM</u> Primary Precedence: ROUTINE Classification: <del>SECRET// NOFORN</del> Message Type: OTHER-ORGANIZATIONAL Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED	INVSERVHO NSD OUANTICO VA(sc) BY USN MEMBERS (INTERIM) 16JUN12-SWND-0416-5XNA
REPORT OF INVESTIGATION (INTERIM)	03AUG12
SPECIAL INQUIRY (II)	CONTROL: 16JUN12-SWND-0416-5XNA
I/USS PELELIU (LHA 5)/UNIDENTIFIED MEMBERS	SUBMERSIBLE VESSEL OBSERVED BY US NAVY
COMMAND/USS PELELIU (LHA 5)/20748	
MADE AT/SWND/SAN DIEGO CA/ (b)(6)&	(b)(7)(C) , SPECIAL AGENT
<ul> <li>EXHIBITS</li> <li>(1) IA: Results of Interview With (Copy Command/PHIBRON/N2/J2 On</li> <li>(2) IA: Results of Interview With (Copy Command/PHIBRON/N2/J2 On</li> <li>(3) IA: Results of Interview With (Copy Command/PHIBRON/N2/J2 On</li> <li>(4) IA: Results of Interview With (Copy Command/PHIBRON/N2/J2 On</li> <li>(5) IA: Results of Interview With USN/17Jun12 (Copy Command/PH</li> <li>(6) IA: Results of Contact With Sh Command/PHIBRON/N2/J2 Only)</li> <li>(7) IA: Results of Contact With LC (Copy Command/PHIBRON/N2/J2 Only)</li> <li>(7) IA: Results of Contact With LC (Copy Command/PHIBRON/N2/J2 Only)</li> <li>(7) IA: Results of Contact With LC (Copy Command/PHIBRON/N2/J2 Only)</li> </ul>	LT (b)(6) & (b)(7)(C) USN/17Jun12 ly) LT (b)(6) & (b)(7)(C) , USN/17Jun12 ly) AWS3 (b)(6) & (b)(7)(C) USN/17Jun12 ly) AT2 (b)(6) & (b)(7)(C) (IBRON/N2/J2 Only) hip's Company/18Jun12 (Copy CDR (b)(6) & (b)(7)(C) , USN/19Jun12 ly)
following an event of possible for (b)(6) & (b)(7)(C) USN, Intelligence Office IbJun12, the crew of a helicopter Peleliu (LHA 5) observed infra-rec believed to be a submersible vesse approximately 3 miles from the ste wake. Interviews of the crew reve incident. Additionally, members of language, possibly eastern Europea encounter. Analysis of a recordin conducted. This investigation cor	nvestigation was initiated on 16Jun12, cce protection concern related via LCDR(b)(6)& er, Expeditionary Strike Group 3 (ESG 3). C attached to a squadron underway with the US d beacons and the silhouette of what they el. These beacons and vessel were located ern of the USS Peleliu, in the ships ealed similar accounts of the of the crew related they heard an unidentified an on an unencrypted radio channel during the height of this radio communication is being ntinues pending the subsequent analysis of a atta from USS Peleliu by Third Fleet
violations of law. This investigat protection concern related via LCI	vestigation does not pertain to any suspect tion was opened out of a possible force DR (b)(6)&(b)(7)(C) , USN, Intelligence Offic 3), in response Naval base San Diego, CA.
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DECLASSIFIED BY: NCIS/CODE 22 Security Special DECLASSIFIED ON: 21APR16

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#### UNCLASSIFIED

2.(15//NF) On 15Jun12, while supporting the mission to support POTUS during the G-20 convention off the coast of Cabo San Lucas, Mexico, a crew from Helicopter Sea Combat Squadron 21(HSC-21), USS Peleliu (LHA 5) was conducting Search and Rescue (SAR) systematic training patterns aboard their MH-60S Helicopter in the vicinity of 24deg 31.72'N 112deg 50.58'W (approx 33NM off Baja Peninsula, Mexico) at a heading of 137T. While doing so, crewmembers noted an object which appeared to be lingering/moving in the wake of USS Peleliu (LHA 5) in the vicinity of 24deg 31.89'N 112deg 50.94'W. The MH-60S crew moved the aircraft towards the object and noted multiple Infrared (IR) beacons floating in the water. The beacons were identified as IR as they were only visible with the use of Night Vision Goggles. The MH-60S began conducting clockwise circles at a low altitude for approximately 15 minutes. While doing so crewmembers noted an obvious shape in the water which they believed was a submarine. The craft was spotted approximately 3 miles to the rear of USS Peleliu and was in the vicinity of its wake. The crew of the UH-60S that while hovering the submarine did not appear to make any evasive actions and appeared to stay in place. Following this, the crew departed the area and returned to USS Peleliu where they were subsequently debriefed by members of command staff.

3. (U//FOUG) Reporting Agent (RA) interviewed all crewmembers separately and documented their sighting via Exhibits (1-4) which include sketches of their observations. The MH-60S watched the sub at a low altitude for approximately 15 minutes and the sub made no evasive moves and appeared to have either stayed idle in the same place or moved at a slow pace and all the while continued to beacon the IR strobes. Crewmembers interviewed related the night as very dark and approximated the illumination to be 2%.

4. (U//FOUO) The interview of AWS2 (b)(6)&(b)(7)(C) USN, detailed in Exhibit (4), revealed soon after spotting the craft, communications transmitted via unencrypted SATCOM radio aboard his helicopter picked up what he described as eastern European males speaking. Additionally, RA interviewed AT2 (b)(6) & (b)(7)(C) (b)(6) & (b)(7)(CUSN, HSC-21, who advised he had recently heard unencrypted communications via SATCOM radios aboard MH-60S aircraft in the days following the sighting (Exhibit 5). Recordings of these foreign language transmissions are being analyzed by Third Fleet.

5 (US/ USS Peleliu Intercept Log was checked for indications of contact at the aforementioned location/time/date with negative results. Additional checks were made via Electronic Intelligence systems (ELINT) while cross referencing USS Peleliu's latitude/longitude and time of the aforementioned contact with the MH-60S flight crew. The check identified two commercially available navigational radar transmitters likely correlating to vessels. One of the radars operated in the vicinity of 1/4 to 1/3 of a mile from USS Peleliu on 14Jun12. The other transmitter was located just under three miles away from USS Peleliu. Per LCDR

(b)(6) & (b)(7)(C) USN, Expeditionary Strike Group 3 (ESG 3), J-2, both transmitters were identified as activating their radar systems for a very short period of time and then silencing their radar systems. According to LCDR (b)(6) & (b)(7)(C) these types of transmissions indicate the user specifically activated and de-activated the use of the radar transmission three separate times and one time on the other craft. LCDR(b)(6) & (b)(7)(C) advised the use of radar equipment in this manner could be indicative of criminal/nefarious activity and could be associated with Maritime smuggling. Further review of these transmissions indicate the craft nearest USS Peleliu activated and de-activated their radar three times. The other craft activated and de-activated its radar once which was at the same time as the last activation and de-activation of the other vessel closest to USS Peleliu (Exhibit 6).

6(u) (C//REL FVET) On 19Jun12, LCDR(b)(6) & (b)(7)(C) USN, Expeditionary Strike Group Three (ESG 3), J-2, provided RA with a SITREP and summary related to a interdiction and seizure/recovery of narcotics made by USS Princeton (CG-59) on

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UNCLASSIFIED

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(b)(7)(E)

Message:	NCIS CASE REPORT: B VESSEL OBSERVED BY	USN MEMBERS INTER Page 3 of 3
DE 16Jun12	CLASSIFIED in Pacific coastal waters (Exhibit 7).	UNCLASSIFIED
	(FOUO) This investigation continues pendin v the Third Fleet N-2, San Diego, CA.	g the results of analysis and
DISTRIB NCISHQ: INFO:		
Reason:	fied by: sify On: <del>0717432 Aug 37</del>	

# DECLASSIFIED

https://

(b)(7)(E)

## UNCLASSIFIED /Amhs/compose/dms/print.asp?id=5083... 8/8/2012

Originator:(b)(6) & (b)(7)(C) DTG: 071823Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: DIRNAVCRIMINVSERV OUANTICO VA(sc), NAVCRIMINVSERVHO NSD OUANTICO VA(sc) Primary Precedence: ROUTINE Classification: UNCLASSIFIED// FOR OFFICIAL USE ONLY Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 1) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

16JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF INTERVIEW WITH LCDR (b)(6) & (b)(7)(C) USN

1. (U//FOUO) On 16Jun12, Reporting Agent (RA) interviewed LCDR (b)(6)&(b)(7)(C) USN, HSC-21, regarding this investigation. WALSH advised on 14Jun12, at approximately 2120, while conducting Search and Rescue (SAR) systematic training patterns aboard their MH-60S Helicopter, he and his crew noted an object which appeared to be in the wake of USS Peleliu (LHA 5). According to later calculation \$b)(6) & (b)(7)(e) stimated the crew spotted the object approximately 3 miles away from the stern of USS Peleliu(b)(6) & (b)(7)(Stimated the width of USS Peleliu's wake was approximately 100 feet across at that distance from the ship. The approximate width of the object appeared to be approximately 1/3 the width of the wake (approximately 33 1/3 feet wide) and appeared to be moving in the same direction as the ship. The width of the object appeared to be consistent with the rough shape and size as a submarine. According tbg(6) & (b)(7)(C)the craft was operating within the confines of USS Peleliu's wake.

2. (U/FOUO) As the aircraft approached the object(6) & (b)(7)(opted the presence of approximately 12 blinking lights in his Night Vision goggles (NVGs). Upon closer examination, crewmembers removed their goggles and noted the blinking were not visible without the use of NVGs. As such(b)(6) & (b)(7)(determined the lights to be Infrared (IR) in nature. Additionally, the lights appeared to be in no particular order or shape(h)(6) & (b)(7)(described the lights as appearing as though "someone took a big ball of Christmas lights and left there them out there". The lights were not blinking in any order or any particular pattern rather, the lights appeared disorganized and beaconed independently(b)(6) & (b)(7)(provided RA with a sketch of what the object looked like in proportion to USS Peleliu and the approximate pattern of the lights as they beaconed (Enclosure A). Due to the restrictive visibility of the NVGs(b)(6) & (b)(7)(Stated he was unable to clearly define outlines of the craft making it difficult to describe more exact dimensions.

3. (U//FOUG)(6) & (b)(7)(&dvised the craft had traveled approximately 500 yards in approximately 10 minutes which he estimated the craft was moving at about 4 knots. While spotting the craft the MH-60S was flown in large circles around the craft at approximately 50 knots at an altitude of 100 feet from the water. At no time while observing the craft did it appear to make any evasive maneuvers rather the IR lights continued to beacon throughout the entire event and the craft did not change course.

4. (U//FOUO) No portion of the craft ever appeared above the water's surface and there was no wake noted tailing from the craft. No portion of a tower or antenna were seen or noted by (b)(6) & (b)(7)(C) estimated the craft was several feet below the surface but not enough to expose the skin of the craft but close enough to

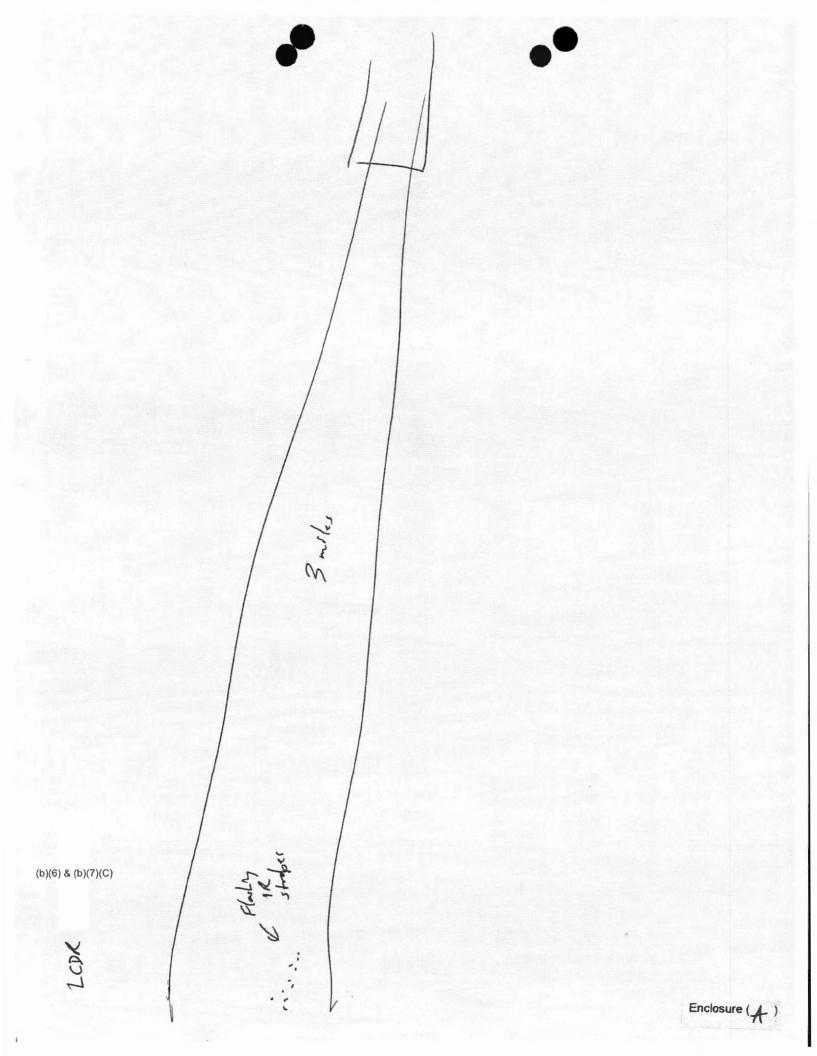
Exhibit ( )

see the beaconing IR strobes(b)(6) & (b)(7)(Cas unable to distinguish any markings and/or striations on the skin of the craft. The strobes were not bright enough to aid in further identification or size or shape of the craft(b)(6) & (b)(7) did not have the exact coordinates or bearing of the craft or USS Peleliu but opined they were both a very similar course/heading(b)(6) & (b)(7) gould not distinguish any difference in IR strobes he had seen in the past but believed they were similar to other IR strobes in size and actual IR light intensity.

5. (U//FOUG) Attempts were made to video record the shape via Forward Looking Infrared (FLIR) camera however the crew was unable to do so.

ENCLSOURE (A) Hand Drawn Sketch of Object and Lights/LCDB)(6) & (b)(7)(b\$Jun12

(b)(6) & (b)(7)(C) . Special Agent REPORTED BY: OFFICE: NCISREP USS PELELIU (LHA 5)



Originator: (b)(6) & (b)(7)(C) DTG: 071831Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: UNCLASSIFIED// FOR OFFICIAL USE ONLY Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 2) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

17JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF INTERVIEW WITH LT (b)(6) & (b)(7)(C) , USN

1. (U/FOUO) On 17Jun12, Reporting Agent (RA) interviewed LT (b)(6)&(b)(7)(C) USN, HSC-21, regarding this investigation(b)(6)&(b)(7)eQivised RA on 15Jun12, while conducting Search and Rescue (SAR) systematic training patterns aboard their UH-60 Helicopter, he and the crew noted an object which appeared to be in the wake of USS Peleliu (LHA 5)(b)(6)&(b)(7)eQilated the object was 50-60 feet long and the width of USS Peleliu's wake was approximately 100 feet across at that distance from the ship(b)(6)&(b)(7)eQivised the width of the craft was approximately 1/3 the width of the wake (approximately 33 1/3 feet wide) and appeared to be moving in the same direction as the ship. The object was initially sighted at 3.2 miles away from the stern of USS Peleliu. The width of the object appeared to be consistent with the rough shape and size as a submarine. According t(b)(6)&(b)(7)(Cthe craft was operating within the confines of USS Peleliu's wake while the ship was traveling at approximately 18 knots.

2. (U//FOUO) As the aircraft approached the craftb(6)&(b)(7)(moted the presence of blinking lights he described as Infrared (IR) strobes in his Night Vision goggles (NVGs).(b)(6)&(b)(7)(C) timated the lights were confined to an area of approximately 15 by 20 ft and approximately 1-2 feet in between the lights.
(b) (6) & (b)(7)(C) times the IR was bright but appeared diffused to an extent and believed this was caused by water diffusing the light eminating from the craft(b)(6) & (b)(7)(C) believed the lights were above the skin of the craft but below the surface of the water(b)(6) & (b)(7)(C) scribed the lights as appearing as though they were in a straight line and did appear in an organized fashion(b)(6) & (b)(7)(C) provided RA with a hand drawn description of what he recalled seeing to include the lights, craft and USS Peleliu (Enclosure A)(b)(6) & (b)(7)(C)(D) uld not distinguish any difference in IR strobes he had seen in the past but believed they were similar to other IR strobes in size and actual IR light intensity(b)(6) & (b)(7)(Stated the IR was apparent and in no way could have been confused as bio-luminescence as it was signified by the intensity and the constant blinking.

3. (U/FOUGh)(6) & (b)(7)(C + lated that soon after spotting the craft, communications transmitted via unencrypted SATCOM radio aboard his helicopter picked up what he described as eastern European or possibly middle-eastern males communicating via the helicopter's SATCOM(b)(6) & (b)(7)(C + lated the language was not Spanish, Portuguese but closer to Russian.

4. (U/FOUG(b)(6) & (b)(7)(C)) lated USS Peleliu was moving at approximately 18 knots and in the period of 15 minutes the UH-60 watched the craft, USS Peleliu distanced itself from the craft from an original distance of 3.2 miles to 3.5 miles within the 15 minutes<sub>(b)(6) & (b)(7)</sub> opined the craft was not moving forward while being

Exhibit (2)

Message: NCIS CASE REPORT:

watched by the UH-60.(b)(6)&(b)(7)(Cas observed submarines before on deployment but did not observe any superstructures or identifiable markings associated with submarines he had seen in the pas(b)(6)&(b)(7)(Celated it was too dark to distinguish shape or exact size rather he relied on the difference between a light and dark contrast of the object from the water. However(b)(6)&(b)(7)(Cecalled the front of the craft had a definite rounded shape in the front of it.

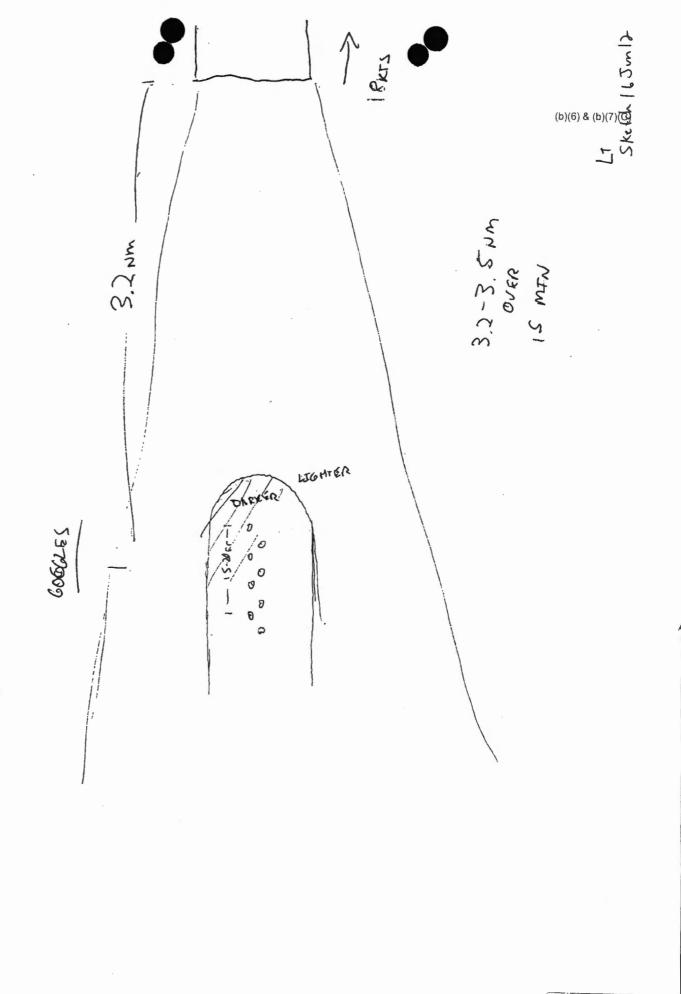
5.  $(U/\neq FOUO)$  At no time while observing the craft did it appear to make any evasive maneuvers rather the IR lights continued to beacon throughout the entire event and the craft did not change course.

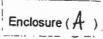
6. (U//<del>FOUO)</del> Attempts were made to video record the shape via Forward Looking Infrared (FLIR) camera however the crew was unable to do so.

ENCLSOURE

(A) Hand Drawn Sketch/L(5)(6) & (b)(7)(C] Jun12

REPORTED BY:(b)(6) & (b)(7)(C)Special AgentOFFICE:NCISREP USS PELELIU (LHA 5)





Originator: (b)(6) & (b)(7)(C) DTG: 071844Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: UNCLASSIFIED// FOR OFFICIAL USE ONLY Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 3) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

17JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF INTERVIEW WITH LT (b)(6) & (b)(7)(C) USN

1. (U/FOUO) On 17Junl2, Reporting Agent (RA) interviewed LT (b)(6) & (b)(7)(C) USN, HSC-21, regarding this investigation. MARKOWITZ advised on 15Junl2, while conducting Search and Rescue (SAR) systematic training patterns aboard their MH-60S Helicopter, he and the crew noted an object which appeared to be approximately 20 feet off the starboard side wake of USS Peleliu (LHA 5). (b)(6) & (b)(7)(C) related the object was 15-30 feet wide and approximately 40-60 feet long. The object appeared to have a tapered front and rear end and possibly had a "rigid" hull. The object was initially sighted at 3 to 3.5 miles from the stern of USS Peleliu. The width of the object appeared to be consistent with the rough shape and size as a submarine. A hand drawn sketch was created by (b)(6) & (b)(7)(C) depicting the size and pattern of the lights aboard the craft (Enclosure A).

2. (U//FOUO) As the aircraft approached the craft (b)(6) & (b)(7)(C) noted the presence of approximately a dozen blinking lights he described as Infrared (IR) strobes in his Night Vision goggles (NVGs). (b)(6) & (b)(7)(C) described the lights were blinking randomly however he believed they were in a straight line. When the lights flashed he noted the front end was not only tapered but rounded downward towards the tip and the lights followed the contour of the front end. (b)(6) & (b)(7)(C) opined the object was below the surface (approximately 30-40 feet) and believed that because as the object was in the water he recalled it was making its own sea foam vice the skin of the craft being exposed to the air and not stirring the water as much. (b)(6) & (b)(7)(C) stated he saw rounded fins located on the stern portion of the craft as well. (b)(6) & (b)(7)(C) stated it was difficult to see the exact shape due to the darkness of the night and the sub's location below the actual surface which caused the entire object to mimic a shadow. There were no striations or colorings and the craft appeared to be all on the same plane vice a tower or a raised bridge. (b)(6) & (b)(7)(C) advised the illumination was at about 2% and the NVGs the crew was using were the ANVAS-9 model. In reference to IR, (b)(6) & (b)(7)(C) related the lights were indistinguishable past 1-2 miles and it was necessary to be in the close proximity of the craft and the lights in order to see them.

3. (U/-/FOUO) (b)(6) & (b)(7)(C) advised the MH-60S altitude was about 200 feet and it was flying at approximately 60-70 knots. (b)(6) & (b)(7)(C) related he noticed the majority of the detail of the sub while the helicopter was making large clockwise circles above it and that is how he determined the shape of the noise cone and the detail of the rounded fins. (b)(6) & (b)(7)(C) related the sea state was 5-10 foot swells and the craft made no wake.

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'Amhs/compose/dms/print.asp?id=5083... 8/7/2012

Exhibit (3

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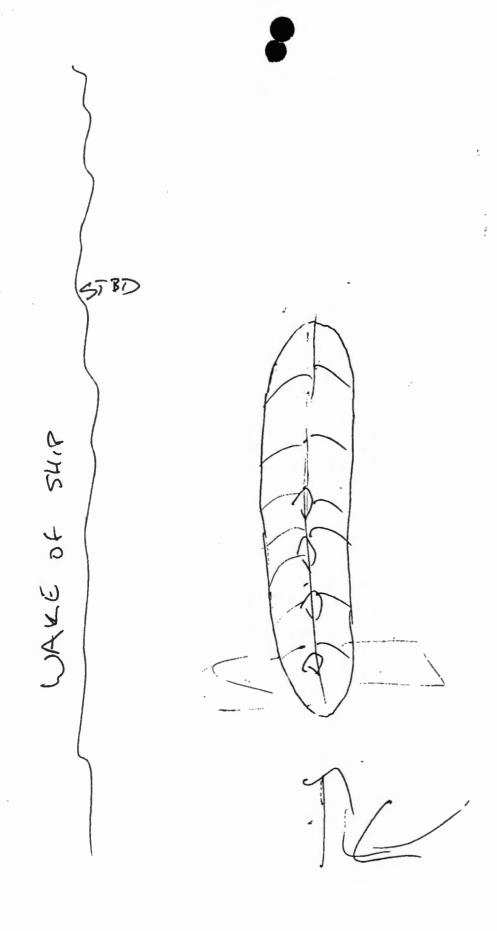
4. (U/7FOUO) (b)(6) & (b)(7)(C) related that soon after spotting the craft, communications transmitted via unencrypted SATCOM radio aboard his helicopter picked up what he described as eastern European males speaking. Their voices did not sound concerned nor were they raised in any fashion but they were speaking in a language he could not determine.

5. (U/<del>/FOUO)</del> At no time while observing the craft did it appear to make any evasive maneuvers rather the IR lights continued to beacon throughout the entire event and the craft did not change course.

6. (U//FOUO) Attempts were made to video record the shape via Forward Looking Infrared (FLIR) camera however the crew was unable to do so.

ENCLSOURE (A) Hand Drawn Sketch/LT (b)(6) & (b)(7)(C) /17Jun12

REPORTED BY: (b)(6) & (b)(7)(C) , Special Agent OFFICE: NCISREP USS PELELIU (LHA 5)



(b)(6) & (b)(7)(C)

Enclosure (A)

LT .

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A-EX...

#### UNCLASSIFIED// FOR OFFICIAL USE ONLY

Originator: (b)(6) & (b)(7)(C) DTG: 071745Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: UNCLASSIFIED// FOR OFFICIAL USE ONLY Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBERS (IA-EX 4) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION 17JUN12 CONTROL: 16JUN12-SWND-0416-5XNA I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS RESULTS OF INTERVIEW WITH AWS3 (b)(6) & (b)(7)(C), USN 1. (U//FOUO) On 17Junl2, Reporting Agent (RA) interviewed AWS3 (b)(6) & (b)(7)(C), USN, HSC-21, regarding this investigatio(b)(6) & (b)(7)(eyised on 14Jun12, while conducting Search and Rescue (SAR) systematic training patterns aboard their MH-60S Helicopter, he and the crew noted an object which appeared off the stern of USS Peleliu (LHA 5). b)(6) & (b)(7)(C)related the object was 30-40 feet wide and approximately 100 feet long. (b)(6) & (b)(7)(C) ieved the object he was seeing appeared to be consistent with the rough shape and size as a submarine. A hand drawn sketch was created by(6) & (b)(70(epicting the size and pattern of the lights he viewed off the object (Enclosure A). (U//FOUO) As the aircraft approached the craff(6) & (b)(7 Noted the presence of 2. blinking lights he described as Infrared (IR) strobes in his Night Vision goggles (NVGs) (b)(6) & (b)(7) escribed the lights were blinking randomly however he believed one

(b)(6) & (b)(7)(C) (b)(AC) control the fights were brinking fandomry however he believed one strobe appeared brighter than the other(%)(6) & (b)(7)(Optil not determine if the craft was stationary or moving. The strobes appeared to be approximately 6-7 feet apart from one another. The MH-60S observed the craft for approximately 10-15 minutes from an altitude of approximately 300-500 fee(b)(6) & (b)(7)(Optil not tell if anything was sticking out of the water or no(b)(6) & (b)(7)(Optil not observe any activity aboard the craft at all.
(b)(6) & (b)(7)(Optil not notice striations, marks or objects on or affixed to the craft. LEEK did not observe a wake coming from the craft. Howeve(b)(6) & (b)(7)(Optil observe a white mark on top of the craft which he opined may have been the top of an entry /hatch only observable from directly above the craft.

3. (U//FOU(D)(6)&(b)(7)(E)ated that soon after spotting the craft, communications transmitted via unencrypted SATCOM radio aboard his helicopter picked up what he described as eastern European males speaking. Their voices did not sound concerned nor were they raised in any fashion but they were speaking in a language he could not determine.

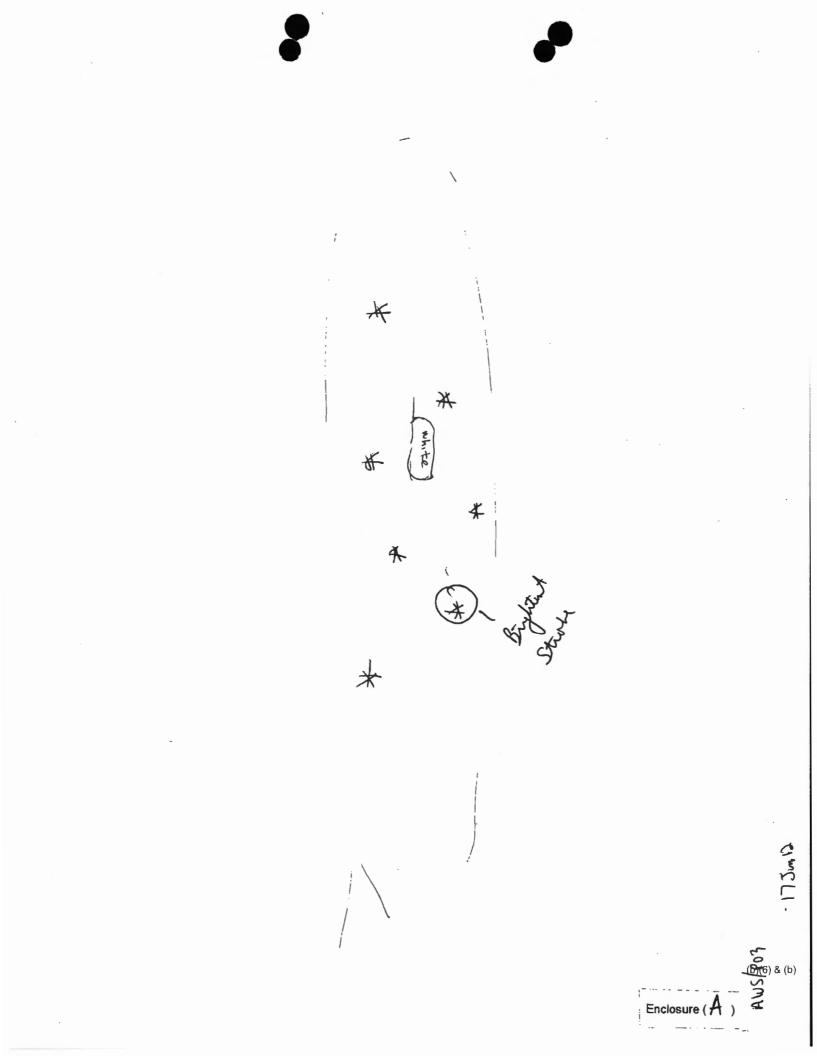
4.  $(U/\underline{/EQHO})$  At no time while observing the craft did it appear to make any evasive maneuvers rather the IR lights continued to beacon throughout the entire event and the craft did not change course.

5. (U/FOUO) Attempts were made to video record the shape via Forward Looking Infrared (FLIR) camera however the crew was unable to do so.

ENCLSOURE
(A) Hand Drawn Sketch/ASW36) & (b)(7)(Q)7Jun12

REPORTED BY:(b)(6) & (b)(7)(C)Special AgentOFFICE:NCISREP USS PELELIU (LHA 5)

Exhibit (4



Originator: (b)(6) & (b)(7)(C) DTG: 071816Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: UNCLASSIFIED// FOR OFFICIAL USE ONLY Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 5) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

17JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF INTERVIEW WITH AT2 (b)(6) & (b)(7)(C) , USN/17JUN12

1. (U//FOUO) On 17Jun12, Reporting Agent (RA) interviewed AT2 (b)(6)&(b)(7)(C) )(6)&(b)(7)(CUSN, HSC-21, regarding this investigation. As background, RA was advised (b)(6)&(b)(7)(C) may be able to provide amplifying details regarding SATCOM transmissions related to the craft sighted in the water by HSC-21 pilots and crew.

2. (U/FOUO) During the interview, (b)(6) & (b)(7)(C) advised he had been hearing what he described as an unknown language spoken recently on radio equipment he was maintaining aboard HSC-21 aircraft in the days following the possible sub spotting. (b)(6) & (b)(7)(C) described the language as sharp and abrupt between two parties and did not sound like Latin or Asian. (b)(6) & (b)(7)(C) has worked on communications gear for some time and he stated he has rarely heard anything being broadcast unencrypted over SATCOM radio. (b)(6) & (b)(7)(C) advised the radio's used aboard HSC-21 helicopters is a R210 radio and a station antenna. (b)(6) & (b)(7)(C)described the purpose of this radio equipment to communicate via line of sight.

REPORTED	BY:	(b)(6) &	(b)(7)(	C) Sp	ecial	Agent	
OFFICE:		NCISREP	USS	PELELIU	(LHA	5)	

(b)(7)(E)

Exhibit (5)

**UNCLASSIFIED** 

### DECLASSIFIED

#### -SECRET// NOFORN

Originator(b)(6) & (b)(7)(C) DTG: 071905Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Procedence: ROUTINE Classification: <del>SECRET// NOFORN</del> Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 6) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

18JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF CONTACT WITH SHIP'S COMPANY

1<sup>(u)</sup>(S//WF7 On 18Jun12, Reporting Agent (RA) requested ship's company from USS Peleliu (LHA 5) Combat Information Center (CIC) query any passive and/or active radar/satellite platforms available and collecting information on at or around 2120 thru 2130 on 14Jun12, in the vicinity of LAT: 24deg 31.72'N LONG: 112deg 50.58'W (approx 33NM off Baja Peninsula, Mex) and LAT: 24deg 31.89'N LONG: 112deg 50.94'W, RA advised USS Peleliu's heading was 137T. Electronic Intelligence (ELINT) systems aboard USS Peleliu provided RA with a report which identified a three mile radius from USS Peleliu on 14Jun12. Within this radius, four separate contacts were made by two unidentified craft.

2(u)(S/(MT) Three contacts were made by a craft within approximately 1/4 to 1/3 of a mile away from USS Peleliu at LAT: 24:26'10"N; LAT: 112:48'22"W at the following times: 03:35:43, 03:42:26 and 03:35:00 Zulu time.

3(u)(S/(MP)) The fourth contact was made by a separate craft located just within the three mile radius of USS Peleliu which made contact at 24:26'41"N; LONG:112:50'23"W at 03:42:44. The ELINT report relevant to these contacts are attached (Enclosure A).

4(u)(S/(MT) Additionally, Ship's Company also provided RA with a copy chat log excerpts derived on 16Jun12, when the crew of USS Princeton (CG-59) intercepted approximately 186 bales of marijuana (Enclosure B).

5.(u)(S/MT) Per LCDR (b)(6) & (b)(7)(C) USN, Expeditionary Strike Group 3 (ESG 3), both contacts were identified as activating their radar systems for a very short period of time and then silencing their radar systems. According to LCDR(b)(6) & (b)(7)(C) these types of transmissions indicate the user specifically activated and deactivated the use of the satellite transmission three separate times and one time on the other craft. LCDR(b)(6) & (b)(7)(C)dvised the use of satellite equipment in this manner could be indicative of criminal/nefarious activity and could be associated with Maritime smuggling.

ENCLOSURES (A) ELINT Report/Undated (B) USS PRINCETON Chat Logs of 16Jun12/Undated

REPORTED BY:(b)(6) & (b)(7)(C), Special AgentOFFICE:NCISREP USS PELELIU (LHA 5)

Classified by: Reason: Declassify On: <u>0719127 Aug 37</u>

DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 21APR16

DECLASSIFIED

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DECLASSIFIED

#### SECRET// NOFORN

Originator: (b)(6) & (b)(7)(C) DTG: 071913Z Aug 12 From: NAVCRIMINVSERVFO SW SAN DIEGO CA(SC) To: <u>DIRNAVCRIMINVSERV OUANTICO VA(sc)</u>, <u>NAVCRIMINVSERVHO NSD OUANTICO VA(sc)</u> Primary Precedence: ROUTINE Classification: SECRE17/ NOFORN-Message Type: OTHER-ORGANIZATIONAL

Subject: NCIS CASE REPORT: SUB VESSEL OBSERVED BY USN MEMBER (IA-EX 7) 16JUN12-SWND-0416-5XNA

INVESTIGATIVE ACTION

19JUN12

CONTROL: 16JUN12-SWND-0416-5XNA

I/USS PELELIU (LHA 5)/UNIDENTIFIED SUBMERSIBLE VESSEL OBSERVED BY US NAVY MEMBERS

RESULTS OF CONTACT WITH LCDR (b)(6) & (b)(7)(C) USN

1.<sup>(U)</sup>(SLINF) On 19Jun12, LCDR (b)(6) & (b)(7)(C) . USN, Expeditionary Strike Group, J-2, provided Reporting Agent (RA) with a SITREP related to a interdiction and seizure/recovery of narcotics made by USS Princeton (CG-59) on 16Jun12 (Enclosure A). Additionally, RA was provided with a Powerpoint product summarizing the event (Enclosure B).

2.<sup>(u)</sup>(S//NF) According to LCDR(b)(6) & (b)(7)(C)the vessels related to this seizure had been tracked for approximately two weeks by "CSG MIDPAC". LCDR(b)(6) & (b)(7)(C)also advised USS Princeton pulled in 186 bales and turned them over to a CG Cutter (EDISTO) on 18Jun12. Later, the Mexican Navy took an additional 59 bales (possibly more) into custody. LCDR(b)(6) & (b)(7)(C)related during the interdiction, a USN helicopter startled the PANGA crews when it pursued the smugglers.

ENCLOSURES (A) USS Princeton (CG-59) SITREP/16Jun12 (B) Powerpoint Summary of Events/16Jun12

REPORTED BY: (b)(6) & (b)(7)(C) , Special Agent OFFICE: NCISREP USS PELELIU (LHA 5)

Classified by: Reason: Declassify On: <del>071917B Aug 37-</del>

DECLASSIFIED BY: NCIS/CODE 22 Security Specialist DECLASSIFIED ON: 21APR16

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DRUG OPREP-3 16JUN2012.txt

FM USS PRINCETON TO CNO WASHINGTON DC COMUSELTFORCOM NORFOLK VA COMPACFLT PEARL HARBOR HI COMTHIRDFLT COMNAVSURFPAC SAN DIEGO CA COMCARSTRKGRU ELEVEN INFO COMNAVREG SW SAN DIEGO CA CHINFO WASHINGTON DC CNIC WASHINGTON DC ONI WASHINGTON DC HQ USNORTHCOM CCGDELEVEN ALAMEDA CA COMCOGARD SECTOR SAN DIEGO CA USS NIMITZ COMCARAIRWING ELEVEN COMHELMARSTRIKEWINGPAC SAN DIEGO CA HELMARSTRIKERON SEVEN FIVE NORTH ISLAND CA HELSEACOMBATRON SIX USS PRINCETON BT UNCLAS PASS TO FOL OFFICE CODES: CNO WASHINGTON DC//N31/N4/N02/N09// COMUSELTFORCOM NORFOLK VA//N3/N5/BWC/N41// COMPACELT PEARL HARBOR HI//MOC// CHINFO WASHINGTON DC//00// HQ USNORTHCOM//J3// MSGID/OPREP-3, USMTF, 2012/USS PRINCETON/004// FLAGWORD/NAVY BLUE/-// TIMELOC/162055ZJUN12/3115N117502/INIT// GENTEXT/INCIDENT IDENTIFICATION AND DETAILS/ 1. INCIDENT: RECOVERY OF SUSPECTED DRUG CARGO 2. DATE OF INCIDENT: 16JUN2012 3. TIME OF INCIDENT: 162050Z-170245Z (1350T-1945T) 4. LOCATION OF INCIDENT: IVO SOCAL OPAREA P-3 (3115N 11750W) 5. INCIDENT: AT 1355T, WHILE PREPARING FOR FLIGHT OPERATIONS, NIM CONTACTED PRN OVER BTB REPORTING TWO FAST BOATS BRG 129T AT 6.6KTS IVO 3132N 11149W. PRN UNDERWAY WITH NIM CONDUCTING PRE-RIMPAC INTEROPERABILITY TRAINING. PRN BEGAN PURSUIT OF VESSEL AT MAX SPEED AND REQUESTED NIM SEND HELO TO INVESTIGATE. INDIAN 613 DIRECTED BY NIM TO RESPOND TO SMALL CRAFT BELIEVED TO BE PANGAS. INDIAN 613 LOCATED 2X WHITE, 25FT PRIVATE VESSELS, EACH WITH A SINGLE OUTBOARD MOTOR IVO 3115.58N 11750.60W, HEADING SE AT 20KTS. NEITHER VESSEL HAD IDENTIFIABLE COUNTRY FLAG OR MARKING. INDIAN 613 APPROACHED VESSELS, CPA OF 500METERS. WHILE APPROACHING AIRCREW IDENTIFIED APPROXIMATELY 4X PERSONS ON EACH VESSEL THROWING NUMEROUS BLACK BALES OVERBOARD.

AFTER IDENTIFYING NIMSG, FAST BOATS ALTERED COURSE TO 194T AND INCREASED SPD TO MORE THAN 30KTS. AT 1410T, PRN ABANDONED PURSUIT OF SMALL CRAFT; LAST RANGE 10NM AND OPENING. PRN ALTERED COURSE TO 025T TO RETRIEVE PACKAGES IN WATER. AT 1414T, PRN LOCATED APPROX 26 PACKAGES IN WATER BRG 020R-060R. AT 1419T PRN LOST RADAR RETURNS FROM SMALL CRAFT. LAST KNOWN POSIT 3102N 11749W.

FROM SMALL CRAFT. LAST KNOWN POSIT 3102N 11749W. PRN LAUNCHED FIRST RHIB AT 1434T TO RECOVER CONTRABAND. FIRST 3 PACKAGES RECOVERED BY RHIB AT APPROX 1457T. CONTRABAND WRAPPED IN PLASTIC BAGS. APPROX 100LBS AND 3'X 2'X 2'. BOAT OFFICER REPORTED POSSIBLE GPS TRACKING DEVICE IN WATER (NOT RECOVERED).

Page 1



DRUG OPREP-3 16JUN2012.txt

SECOND RHIB LAUNCHED AT 1510T. FROM 1510T TO 1945T, PRN RHIBS CONDUCTED RECOVERY EFFORTS OF CONTRABAND. AT 1650T, PRN LAUNCHED LONEWOLF 722 TO ASSIST IN LOCATING EXTENT OF CONTRABAND FIELD. LONEWOLF 722 RECOVERED AT 1930T. PRN EXECUTIVE OFFICER AND CHIEF MASTER AT ARMS CONDUCTED

PRN EXECUTIVE OFFICER AND CHIEF MASTER AT ARMS CONDUCTED DRUG ID TEST OF ONE BALE. CONTRABAND IDENTIFIED AS MARIJUANA. FINAL COUNT WAS 186 BALES. EACH BALE ESTIMATED TO WEIGH 80LBS FOR A TOTAL OF APPROX 15,000LBS. PRN PROCEEDING TO OFFLOAD CONTRABAND TO COGARD DISTRICT 11. 6. FINAL REPORT THIS INCIDENT. ABLE TO CONTINUE PRESENT

6. FINAL REPORT THIS INCIDENT. ABLE TO CONTINUE PRESENT MISSION. MISHAP REPORT NOT REQUIRED. PRESS INTEREST POSSIBLE.

7. POC: LT MESSNER G/OPS(AT)CG59.NAVY(.SMIL).MIL// BT