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U.S. Citizenship
and Immigration
Services

April 28, 2017

COW2017000213

John Greenwald Jr.
The Black Vault



Dear John Greenwald Jr.:

This is in response to your Freedom of Information Act/Privacy Act (FOIA/PA) request received in this office March 02, 2017 regarding Agency Security Classification Management Program Data SF-311 for last three years.

We have completed our search for records that are responsive to your request. The record consists of 6 pages of material and we have determined to release it in full. The enclosed record consists of the best reproducible copies available.

Documents responsive to your request may contain discretionary releases of exempt information. If made, these releases are specifically identified in the responsive record. These discretionary releases do not waive our ability to invoke applicable FOIA exemptions for similar or related information in the future.

The National Records Center does not process petitions, applications or any other type of benefit under the Immigration and Nationality Act. If you have questions or wish to submit documentation relating to a matter pending with the bureau, you must address these issues with your nearest District Office.

All FOIA/PA related requests, including address changes, must be submitted in writing and be signed by the requester. Please include the control number listed above on all correspondence with this office. Requests may be mailed to the FOIA/PA Officer at the PO Box listed at the top of the letterhead, or sent by fax to (816) 350-5785. You may also submit FOIA/PA related requests to our e-mail address at uscis.foia@uscis.dhs.gov.

Sincerely,

A handwritten signature in blue ink, appearing to read "Jill A. Eggleston".

Jill A. Eggleston
Director, FOIA Operations

Enclosure(s)

DEPARTMENT OF HOMELAND SECURITY
AGGREGATE DERIVATIVE CLASSIFICATION DECISIONS

For Fiscal Year 2014

PURPOSE: Executive Order 13526, *Classified National Security Information*, section 5.4, and *DHS Instruction 121-01-011*, Chapter 13, Section 5, require agencies to report on an annual basis, to the Information Security Oversight Office, statistics related to their security classification programs through the SF-311, *Agency Security Classification Management Program Data* inclusion in a report to the President. This *Aggregate Derivative Classification Decisions*, which is a record of classification management data provided for form provides a method for keeping an ongoing count of the required information during each Fiscal Year.

INSTRUCTIONS: This form is used to consolidate and compile individual submissions of DHS form 11000-311A, *Record of Individual Derivative Classification Decisions*. It is used only for statistical counting of derivative Classified National Security Information decisions, regardless of media, including those documented and disseminated via e-mail. *[Reproductions, products classified by other agencies, working papers, and Instant Messages are not included.]*

SECTION I. Contact Information

DHS Component/Office Name (List One): U.S. Citizenship and Immigration Services

Agency Organizational Element (OE): Office of Security and Integrity

Organizational Element Point of Contact Phone Number: +1 (202) 233-2489

Organizational Element Point of Contact Official E-mail Address: cedric.redmon@uscis.dhs.gov

SECTION II. Derivative Classification Decisions

Dates (mm/dd/yyyy)	TOP SECRET	SECRET	CONFIDENTIAL
Week 1 04/27/2014 to 05/03/2014		11	
Week 2 05/04/2014 to 05/10/2014		8	1
Week 3 05/11/2014 to 05/17/2014	1	8	
Week 4 05/18/2014 to 05/24/2014		3	
Week 5 05/25/2014 to 05/31/2014	1	6	
Week 6 06/01/2014 to 06/07/2014		15	
Week 7 06/08/2014 to 06/14/2014	2	10	
Week 8 06/15/2014 to 06/21/2014	3	9	
Week 9 06/22/2014 to 06/28/2014		6	
Week 10 06/29/2014 to 07/05/2014		6	
Week 11 07/06/2014 to 07/12/2014		1	
Week 12 07/13/2014 to 07/19/2014		11	
Week 13 07/20/2014 to 07/26/2014	2	8	
Week 14 to			
Week 15 to			
Week 16 to			
TOTAL	9	102	1

SECTION III. Agency Comments

When the sample period is multiplied by four to obtain the total estimated number of derivative classifications for FY 2014, there is a significant increase from 253 in FY 2013 to 448 during FY 2014. This is attributable to some changes in the Fraud Detection and National Security (FDNS) Directorate.

1. FDNS stood up the FDNS Employment Based (EB)-5 Division and a new Case Prioritization and Intelligence Assessment Branch within the Intelligence Division.
2. FDNS received additional Homeland Secure Data Network (HSDN) terminals enabling more frequent use of HSDN for derivative classification.

SECTION IV. Agency Designated Official Acknowledgement

Agency Designated Official Name	Date
 Gilbert Schmelzinger, Chief Security Officer	08/29/2014

DEPARTMENT OF HOMELAND SECURITY
AGGREGATE DERIVATIVE CLASSIFICATION DECISIONS

For Fiscal Year 2015

PURPOSE: Executive Order 13526, *Classified National Security Information*, section 5.4, and *DHS Instruction 121-01-011*, Chapter 13, Section 5, require agencies to report on an annual basis, to the Information Security Oversight Office, statistics related to their security classification programs through the SF-311, *Agency Security Classification Management Program Data* inclusion in a report to the President. This *Aggregate Derivative Classification Decisions*, which is a record of classification management data provided for form provides a method for keeping an ongoing count of the required information during each Fiscal Year.

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SECTION I. Contact Information

DHS Component/Office Name (List One): U.S. Citizenship and Immigration Services

Agency Organizational Element (OE): Office of Security and Integrity

Organizational Element Point of Contact Phone Number: +1 (202) 272-1979

Organizational Element Point of Contact Official E-mail Address: cedric.redmon@uscis.dhs.gov

SECTION II. Derivative Classification Decisions

Dates (mm/dd/yyyy)	TOP SECRET	SECRET	CONFIDENTIAL
Week 1 04/26/2015 to 05/02/2015	1	1	0
Week 2 05/03/2015 to 05/09/2015	2	2	0
Week 3 05/10/2015 to 05/16/2015	0	3	0
Week 4 05/17/2015 to 05/23/2015	0	2	0
Week 5 05/24/2015 to 05/30/2015	0	2	0
Week 6 05/31/2015 to 06/06/2015	0	2	0
Week 7 06/07/2015 to 06/13/2015	0	2	0
Week 8 06/14/2015 to 06/20/2015	2	6	0
Week 9 06/21/2015 to 06/27/2015	1	3	0
Week 10 06/28/2015 to 07/04/2015	1	3	0
Week 11 07/05/2015 to 07/11/2015	0	0	0
Week 12 07/12/2015 to 07/18/2015	0	1	0
Week 13 07/19/2015 to 07/25/2015	0	19	0
Week 14 to			
Week 15 to			
Week 16 to			
TOTAL	7	46	

SECTION III. Agency Comments

During FY 2014, USCIS created 1 Confidential, 102 Secret, and 9 Top Secret derivative documents during the sample period. There were a few reasons for the decrease during FY 2015:

1. Fraud Detection and National Security directorate personnel are using the shared drives more frequently instead of emailing information to the field.
2. More "Notice of Intelligence Concerns" were deemed to be releasable as unclassified information.
3. There was continued education about what constitutes a derivatively classified document.

SECTION IV. Agency Designated Official Acknowledgement

Agency Designated Official Name *Gilbert Schmelzinger* Date *8/26/2015*
Gilbert Schmelzinger, Chief Security Officer

DEPARTMENT OF HOMELAND SECURITY
AGGREGATE DERIVATIVE CLASSIFICATION DECISIONS

For Fiscal Year 2016

PURPOSE: Executive Order 13526, *Classified National Security Information*, section 5.4, and *DHS Instruction 121-01-011*, Chapter 13, Section 5, require agencies to report on an annual basis, to the Information Security Oversight Office, statistics related to their security classification programs through the SF-311, *Agency Security Classification Management Program Data* inclusion in a report to the President. This *Aggregate Derivative Classification Decisions*, which is a record of classification management data provided for form provides a method for keeping an ongoing count of the required information during each Fiscal Year.

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SECTION I. Contact Information

DHS Component/Office Name (List One): U.S. Citizenship and Immigration Services

Agency Organizational Element (OE): Office of Security and Integrity

Organizational Element Point of Contact Phone Number: +1 (202) 272-9514

Organizational Element Point of Contact Official E-mail Address: kelley.m.connery@uscis.dhs.gov

SECTION II. Derivative Classification Decisions

Dates (mm/dd/yyyy)	TOP SECRET	SECRET	CONFIDENTIAL
Week 1 04/25/2016 to 04/29/2016	3	28	3
Week 2 05/02/2016 to 05/06/2016	1	8	2
Week 3 05/09/2016 to 05/13/2016	1	27	1
Week 4 05/16/2016 to 05/20/2016	7	19	0
Week 5 05/23/2016 to 05/27/2016	2	26	0
Week 6 05/30/2016 to 06/03/2016	0	20	0
Week 7 06/06/2016 to 06/10/2016	5	21	0
Week 8 06/13/2016 to 06/17/2016	5	16	0
Week 9 06/20/2016 to 06/24/2016	3	14	0
Week 10 06/27/2016 to 07/01/2016	1	16	0
Week 11 07/04/2016 to 07/08/2016	2	13	0
Week 12 07/11/2016 to 07/15/2016	5	18	0
Week 13 07/18/2016 to 07/22/2016	1	6	0
Week 14 07/25/2016 to 07/29/2016	3	19	0
Week 15 to			
Week 16 to			
TOTAL	39	251	6

SECTION III. Agency Comments

This year, USCIS saw an over 400% increase in derivative documents compared to FY 2015, when USCIS created (7) Confidential, (46) Secret and (0) Top Secret derivative documents during the sample period. There are a few reasons for the increase during FY 2016:

1. Fraud Detection and National Security Directorate had 3 times the number of Requests for Assistance from the field this year. During FY 2015, they only had 275 Case Analysis Threat Summary (CATS) reports, but so far this year, they have had 521. The increase is attributed to the FBI's recent change in the filters associated with name checks. This led to a sharp increase in the number of Letterhead Memoranda received by the field; each LHM automatically triggers the field to submit an RFA to FDNS Headquarters.
2. Continued education about what constitutes a derivatively classified information.

SECTION IV. Agency Designated Official Acknowledgement

Agency Designated Official Name

Date

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8/26/2016