

To:  
Cc:  
From:

**ATF**

Sent: Wed 9/21/2011 2:46:11 AM  
Subject: FW: Responsive Cases.xlsx  
Responsive Cases.xlsx

**ATF** Below is my draft of the “relevant case criteria” and the follow-up analysis questions. Please review and advise if these capture what we discussed and will be sufficient to cull and outline the reported cases, or if this is too much analysis for first review. These questions would be used to review the attached list. (The highlighted lines are those previously reviewed and/or briefed to DOJ.)

Relevant case minimum criteria:

- A. Case involved(s) firearms trafficked to Mexico.
- B. Case involved(s) 20 or more firearms trafficked.

If the answer is YES to A and B, answer questions below by reviewing case file and/or eTrace.

Questions for analysis of relevant cases:

- A. How many suspects have been identified?
- B. What is the case status/disposition?
- C. How many firearms are involved?
- D. How many of the firearms have been recovered in the US and in MX (report separate numbers)?
- E. Based on existing trace data and case file information, how many of the US firearms recoveries are associated with a Violent Crime?
- F. Based on existing trace data and case file information, how many of the MX firearms

recoveries are associated with a Violent Crime?

G. Are all unrecovered “suspect” firearms purchased by the suspect(s) entered into the Suspect Gun database?

H. Did ATF conduct surveillances/observe suspect(s)’ firearms purchase transactions?

I. How many firearms transactions were subject of physical surveillances?

J. How many firearms were associated with these surveillances (number of “eyes-on” firearms)?

K. Did ATF observe any subsequent transfers of firearms to third parties?

L. Were any such third parties identified timely and if so, were any prohibited persons?

M. If third party transfers were observed, did contact or interdiction occur? (Specifically address any transfers to known prohibited third parties in which interdiction did not occur.)

N. Did ATF “facilitate” or otherwise control firearms transactions involving suspects?

O. Did such transactions involve dealer inventory or ATF owned “prop” firearms? (Describe events and number of firearms involved.)

P. Did ATF conduct any surveillances in which suspects believed to be in possession of firearms were followed to a border crossing area and allowed/observed to cross the border without an attempted interdiction? (Describe any such events.)

**ATF**

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**From:** ATF  
**Sent:** Friday, July 22, 2011 4:55 PM  
**To:** ATF  
**Subject:** Responsive Cases.xlsx

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