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INBOX.1 (#640)

TO: HQ1 @ EMH1, BA @ EMH2

FROM: CI @ EMH2

SUBJECT: 167/0019 ROUTINE

DATE: 17 JUN 86 00:11:51 GMT

CC:

TEXT:

CIO019 1680011Z

RR HQ BA

DE CI

R 160011Z JUN 86

FM CINCINNATI (73-751) (RUC)

TO DIRECTOR (73-19114) ROUTINE

BALTIMORE ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED PAST  
(MAY 19, 1986)

RE BUREAU TELETYPE, DATED JUNE 12, 1986.

ON JUNE 16, 1986, [REDACTED] RECORDS, SECRETARY  
OF STATE, OHIO, CORPORATE SECTION, COLUMBUS, OHIO,  
ADVISED THAT KINSMAN LINES IS LICENSED UNDER CHARTER  
NUMBER 571-092, TO DO BUSINESS IN THE STATE OF OHIO.  
SHE ADVISED THAT THIS CORPORATION IS A COMPANY OUT  
OF THE STATE OF DELAWARE AND THAT IN ORDER TO OBTAIN  
THE INCORPORATIONS AND OFFICERS THAT THE STATE OF  
DELAWARE WOULD HAVE TO BE CHECKED.

BALTIMORE: AT WILMINGTON, DELAWARE: WILL REVIEW

*Hold for 9 AM*

INDICES SEARCH

☒ GENERAL

☒ FOIMS

☐ NEGATIVE

☒ NEGATIVE

☐ SEE ATTACHED S.S.

☐ SEE ATTACHED S S

☒ SEARCH BY *ma*

☐ SEARCH BY *dl*

*George Steinbrenner  
7.172-736  
owner of  
race horse  
no disc*

*Rec. Downer  
6/24/86.*

b6  
b7C

*S.T. 6/23/86*

*CABND PG*

*73-841-1*

SEARCHED	INDEXED
SERIALIZED	FILED <i>Wing</i>
JUN 16 1986	
FBI - BALTIMORE	
<i>Wilmington</i>	



PAGE TWO, CI 73-751

CORPORATION RECORDS RE KINSMAN LINES.

CINCINNATI: AT COLUMBUS, OHIO: WILL FORWARD  
RUC REPORT.

BT

-->

# FEDERAL BUREAU OF INVESTIGATION

C 1/18

REPORTING OFFICE <b>BALTIMORE</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>7/10/86</b>	INVESTIGATIVE PERIOD <b>7/1/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>cbb</b>
		CHARACTER OF CASE APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE	

b6  
b7c

REFERENCE:

Cincinnati teletype to the Bureau and Baltimore, dated 6/16/86.

RUC-

ND 7/10/86

ADMINISTRATIVE:

Information contained in the files of the Secretary of State, State of Delaware, Division of Corporation, is considered to be public record and can freely be disseminated.

Review of Baltimore indices negative regarding individual, with the exception of one reference to GEORGE STEINBRENNER (NFD), in Baltimore file 172-73-6, who is described as being the owner of a racehorse.

/

APPROVED <div style="font-size: 2em; font-family: cursive; text-align: center;">y</div>	SPECIAL AGENT IN CHARGE	<b>DO NOT WRITE IN SPACES BELOW</b>			
COPIES MADE: 2 - Bureau (73-19114) ① - Baltimore (73-841) (SQ 9) <div style="font-size: 1.2em; font-family: cursive; margin-left: 100px;">cbb</div>					
		73-841-2			
Dissemination Record of Attached Report		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

## Copy to:

Report of:

SA [REDACTED]

Office:

BALTIMORE

b6  
b7c

Date:

7/10/86

Field Office File #:

BA 73-841

Bureau File #:

73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

Review of records maintained by the State of Delaware regarding KINSMAN LINES, INC., determined that GEORGE M. STEINBRENNER, III, is listed as the Director of that corporation.

-RUC-

DETAILS:

## FEDERAL BUREAU OF INVESTIGATION

7/10/86

Date of transcription

[redacted] Office of the Secretary of State, State of Delaware, Division of Corporations, provided the following information regarding KINSMAN LINES, INC., from the records maintained by her office:

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b7C

On March 6, 1981, the State of Delaware received the Certificate of Incorporation of KINSMAN LINES, INC. That document reflects that the corporation's nature of business is "to undertake and transact all types of business relating to the ownership and operation of vessels transporting grains and other property on the Great Lakes, as a water contract carrier and to engage in any other lawful act or activity for which a corporation may be organized under the general corporation law of Delaware". The corporation's authorized stock was 2,000 shares of common stock, with a par value of \$1.00 per share.

The most recent document in the Delaware State Corporate File of KINSMAN LINES, INC., is the 1984 Annual Franchise Tax Report, which was filed on March 1, 1985. That document reflects that the principal place of business of KINSMAN LINES, INC., is 20325 Center Ridge Road, Rocky River, Ohio, 44116. All 2,000 shares of the corporation's stock have been issued; however, no shareholders were identified. The corporation's total gross assets were listed as \$3,162,546.00, as of December 31, 1984.

The director of KINSMAN LINES, INC., was identified as GEORGE M. STEINBRENNER, III, of 20325 Center Ridge Road, Rocky River, Ohio. The officers of KINSMAN LINES, INC., were identified as [redacted]

b6  
b7C

The terms of the above identified officers and director of KINSMAN LINES, INC., were to expire on June 24, 1985, which was to be the next annual meeting of the corporation's stockholders.

Investigation on 7/1/86 at Dover, Delaware File # BA 73-841  
by SA [redacted]:cbb Date dictated 7/2/86

b6  
b7C

REPORT  
of theFEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 205351 - Mr. b6  
b7c

To: Federal Highway Administration  
U. S. Department of Transportation  
Washington, D. C. 20590

December 26, 1973

REGISTERED

Attention: Mr. W. C. Thornton  
Director of Program Review  
and Investigations

Re: Possible Fraud in Ohio Federal-aid  
Highway Program;  
Typewriter Examination

Clarence M. Kelley  
Director

EX-105  
REC-138

YOUR NO. HPR-20

FBI FILE NO. 95-189353-1

LAB. NO. D-731207071 NV

Examination requested by: Addressee

Reference: Letter dated 12-5-73

Examination requested: Document

- Q1 Five sheets of paper bearing typewriting beginning  
"DEPARTMENT OF TRANSPORTATION ..." (Item 1)
- Q2 Two sheets of paper bearing typewriting beginning "George  
Steinbrenner ..." (Item 2)
- Q3 Four sheets of paper bearing typewriting beginning "The biggest  
racket ..." (Item 3)
- Q4 Manila envelope bearing six postmarks and typewritten address  
"Hon. Norbert T. Tiemann Administrator Federal Highway  
Administration Nassif Building 400 7th Street, S.W.  
Washington, D. C. 20591" (Item 4)
- Q5 Envelope postmarked "U.S. POSTAL SERVICE, OH 430 PM 18 NOV  
1973" bearing typewritten address "Hon. Norbert T. Tiemann,  
Administrator Federal Highway Administration Department  
of Transportation Nassif Building, Rm 4218 400 7th Street  
SW Washington, D. C. 20591" (Item 5)

Enclosures (6)

Page 1

CTSG:maw  
(4) JAN 15 1974

MAIL ROOM ☐ TELETYPE UNIT ☐

716539

MAILED 6

DEC 26 1973

-FBI

(over)

11609 (new case)

b6  
b7c

Q6 Envelope postmarked "U. S. POSTAL SERVICE, OH 430  
PM 20 NOV 1973" bearing typewritten address "Hon Norbert  
T. Tiemann, Administrator Federal Highway Administration  
Department of Transportation Nassif Building, Room 4218  
400 7th Street, SW Washington, D. C. 20591" (Item 6)

Result of examination:

Although the typewriting on Q1 and Q2 is similar in size and style, there are insufficient significant typewriter characteristics present to determine whether the same typewriter was or was not used to prepare the typewriting on these items.

For the same reason, a definite conclusion was not reached whether the typewriting on specimens Q3, Q4, and Q6 was or was not prepared on the same typewriter.

The typewriter used to prepare specimen Q5 was not used to prepare the typewriting on specimens Q1 through Q4 and Q6.

The typewriting on specimens Q1 and Q2 matches typewriter standards for IBM Prestige Pica, Royal Herald Pica, and Underwood Esteem Pica. The typewriting on Q3, Q4, and Q6 matches typewriter standards for IBM Prestige Elite, Remington Elite Paramount, Royal Herald Elite, and Underwood Esteem Elite. Because these type styles match so closely and due to the limited amount of typewriting on specimens Q5 and Q6, a determination was not made as to the exact manufacturer of the type styles of the typewriting on these specimens.

The submitted evidence, which has been photographed, is returned herewith.

RECORDED  
12/15/73  
jms

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

NO LAB FILE

Laboratory Work Sheet

Re: Possible Fraud in Ohio  
Federal-Aid Highway Program;  
Typewriter Examination

File # 95-189353-1  
Lab. # D-731207071 NV

U. S. Dept. of Transportation  
Examination requested by: Washington, D. C.

letter 12/5/73

Examination requested: Document

Date received: 12/6/73

Result of Examination:

Examination by: 12/19/73

b6  
b7c

Ent: Although the tuig on Q1 + Q2 is similar in size + style there are insufficient significant tuig chars. present to determine whether the same tuig wear (not) used to prep the tuig on these items.  
For this same reason, no whether the tuig on specs Q3, Q4 + Q6 were/not preped on the same tuig.

The tuig used to prep spec Q5 was not used to prep the tuig on specs Q1 + Q4 and Q6.

The tuig on specs Q1 + Q2 match tuig std for IBM Prestige Pica, Royal Pica, and Underwood Esteem Pica. The tuig on Q3, Q4 and Q6 match tuig std for IBM Prestige Elite, Remington Elite Paramount, Royal Herald Elite and Underwood Esteem Elite. Because these tuig styles match so closely as well as the build out of tuig on specs Q5 + Q6, no exact determination was not made as to the exact manufacture of these specimens.

- Q1 Five sheets of paper bearing typewriting beginning "DEPARTMENT OF TRANSPORTATION..." (Item 1.)
- Q2 Two sheets of paper bearing typewriting beginning "George Steinbrenner..." (Item 2.)
- Q3 Four sheets of paper bearing typewriting beginning "The biggest racket..." (Item 3.)
- Q4 Mainla envelope bearing six postmarks and typewritten address "Hon. Norbert T. Tiemann Administrator Federal Highway Administration Nassif Building 400 7th Street, S. W. Washington, D. C. 20591" (Item-4.)

*Report*  
CTS:MCW  
12-26-73



Q1 8.47" x 10.98" x 0.0042"; white; xerox copy; no watermark; no  
indented writing; UV, IR, TL not noted

Q2 8.50" x 10.98" x 0.0042"; white; xerox copy; no watermark; no  
indented writing; UV, IR, TL not noted

Q3 8.47" x 10.97" x 0.0041"; white; xerox copy; no watermark;  
no indented writing; UV, IR, TL not noted

Q4 9.45" x 12.63" x 0.0062"; Manila; 8 stamps from roll;  
opened at Flap; no watermark; no indented writing; IR, UV, TL not noted

Q5 4.14" x 9.42" x 0.0046"; white; slit open along Top; no watermark  
no indented writing; 8 stamps from roll; TL, UV, IR not noted

Q6 9.49" x 4.15" x 0.0050"; white; slit open along Top; no  
watermark, no indented writing; 8 stamp from roll; TL, UV, IR not noted

- Q5 \ Envelope postmarked "U. S. POSTAL SERVICE, OH 430  
PM 18 NOV 1973" bearing typewritten address  
x "Hon. Norbert T. Tiemann, Administrator Federal Highway  
Administration Department of Transportation  
Nassif Building, Rm. 4218 400 7th Street SW  
Washington, D. C. 20591"  
(Item-5)
- Q6 \ Envelope postmarked "U. S. POSTAL SERVICE, OH 430  
PM 20 NOV 1973" bearing typewritten address  
"Hon Norbert T. Tiemann, Administrator Federal Highway  
Administration Department of Transportation  
Nassif Building, Room 4218 400 7th Street, SW  
Washington . D. C 20591"  
(Item-6)

Q1 → Q2 (Pica) [Xerox]

1360, 1433 IBM Prestige Pica  
 536 Royal exact copies  
 775 Underwood Esteem Pica

~~Smith Corona #78 Presidential~~ → see i dot

Q5 (Elite)

486 Royal

Q3, Q6, Q4 (Elite) similar to these

- |                                    |                                     |
|------------------------------------|-------------------------------------|
| (1.) 1356 IBM Prestige             | (5.) 541 Royal Herald               |
| (2.) 1396 IBM Prestige 72          | (6.) 1267 Smith Corona Presidential |
| (3.) 1388 IBM Prestige 72          | (7.) 1202 Smith Corona              |
| * (4.) 183 Remington El. Paramount | (8.) 776 Underwood Esteem           |

Q3 g does not match (4)  
 i dot doesn't match (6)  
 i dot doesn't match (7)  
 S does not match (8)

(Xerox)

Q6 i dot doesn't match (6)  
 i dot doesn't match (7) (too limited)

Q4 a does not match (1) → (8) (limited)  
 Remington El. Elite  
 Paramount

conform to match type that  
 means for

because of match so clearly unable to distinguish

7:9 12:4

Q2)

Q1) w  
 v

Q1

IBM Prestige Pica (1360, 1433)  
 Royal Herald 536 Pica  
 Underwood Esteem Pica (775)

Q3  
 Q4  
 Q6

IBM Prestige 1356 1396 138  
 Remington Elite Paramount (Elite)  
 Royal Herald 541 (Elite)  
 Underwood Esteem 776 (Elite)

Q3 a neg = Remington El. Paramount Elite  
 i dot neg = Smith Corona Presidential  
 i dot neg = S/C 1202 Elite  
 i neg = Underwood Esteem Elite

Q4 a neg = IBM Remington Royal S/C,  
 Underwood Esteem  
 Q6 i dot neg = S/C Presidential Elite  
 i dot neg = S/C Paramount Elite

**EVIDENCE**

FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D.C.

95-189353-1

Possible Fraud in Ohio  
Federal-Aid Highway  
Program

**EVIDENCE**

FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D.C.

95-189353-1

Possible Fraud in Ohio  
Federal-Aid Highway  
Program

REPORT  
of the

1 - Mr.



FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

To: Federal Highway Administration  
U. S. Department of Transportation  
Washington, D. C. 20590

January 3, 1974

Attention: Mr. W. C. Thornton  
Director of Program Review  
and Investigations

*Clarence M. Kelley*  
Clarence M. Kelley  
Director

Re: GEORGE STEINBRENNER  
POSSIBLE FRAUD IN OHIO - FEDERAL  
AID HIGHWAY PROGRAM;  
TYPEWRITER EXAMINATION

EX-109 YOUR NO.  
REC-59  
FBI FILE NO. 95-189353 - 2  
LAB. NO. D-731217036 NV

Examination requested by: Addressee

Reference: Letter dated 12-12-73

Examination requested: Document - Fingerprint

Q7 Envelope postmarked "U.S. POSTAL SERVICE 021 HO DEC 8 PM 1973," bearing the typewritten address "Hon. Norbert T. Tiemann, Administrator Federal Highway Administration Nassif Building 400 7th Street SW Washington, D. C. 20591"

b6  
b7C

Q8 Accompanying sheet of paper bearing typewritten letter beginning "Dear Mr.  G. A. O. is much concerned with ..."

Q9 First page of photocopy of eight-page report of receipt of political contributions beginning "The Ohio Democratic State Executive Committee ..."

Q10 Second page of eight-page photocopy of report of receipt of political contributions beginning "2.  listed as a consultant ..."

Q11 Third page of eight-page photocopy of report of receipt of political contributions beginning "5.  contributed \$2,000.00 ..."

Page 1  
CTS:mcw  
(4)

MAILED 20  
JAN 4 1974  
FBI

(over)

51 JAN 24 1974

MAIL ROOM ☐

TELETYPE UNIT ☐

*7600*

- Q12 Fourth page of eight-page photocopy of report of receipt of political contributions beginning "state employees, but reported in these ..."
- Q13 Fifth page of eight-page photocopy of report of receipt of political contributions beginning "k. [redacted] \$200. - Liquor ..."
- Q14 Sixth page of eight-page photocopy of report of receipt of political contributions beginning "mm. [redacted] \$2,000. - Transportation (Contracts) ..."
- Q15 Seventh page of eight-page photocopy of report of receipt of political contributions beginning "Department of Commerce employees paid \$100 ..."
- Q16 Eighth page of eight-page photocopy of report of receipt of political contributions beginning "21. [redacted] contributed \$2,000.00 ..."

b6  
b7C

Result of examination:

It was concluded that the typewriting on specimen Q7 is not identical with any of the typewriting on items submitted previously in this case.

The typewriting on specimen Q8 is of the same type style as the typewriting on specimens Q1 and Q2, submitted previously. However, due to the absence of significant individual identifying characteristics, a definite conclusion was not reached whether the typewriting on these items was or was not prepared using the same typewriter.

The typewriting on specimens Q9 through Q16 is of the same type style as the typewriting on specimens Q3, Q4 and Q6, submitted previously. However, due to the lack of significant features as well as the indistinctiveness of the photocopies of these items, a definite conclusion was not reached whether the typewriting thereon was or was not prepared using the same typewriter.

The submitted evidence, which has been photographed, will be returned separately with the results of the latent fingerprint examination.

8  
Rego

Recorded  
12/26/73  
cm

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

NO LAB FILE

Laboratory Work Sheet

Re: GEORGE STEINBRENNER  
POSSIBLE FRAUD IN OHIO - FEDERAL  
AID HIGHWAY PROGRAM;  
TYPEWRITER EXAM.

File # 95-189353 -2  
Lab.# D-731217036 NV

LATENT

Examination requested by: Federal Highway Administration  
U.S. Department of Transportation  
Washington, D.C. 20590 letter 12/12/73  
Examination requested: Document - Fingerprinting Date received: 12/13/73

Result of Examination:

Examination by: 12/28/73

Enty

-It was conc'd the the tucg on spec Q7 is not identical to any of the tucg on items sub prev this case.

The tucg on spec Q8 is of the same typstyle as the tucg on specs Q1 + Q2, sub prev. However, due to absence of suff individual identifying chars, nc with the tucg on these items [were (-)] and using the same tucg.

The tucg on specs Q9 - Q16 is of the same typstyle as the tucg on specs Q3, Q4 + Q6, sub prev. However, due to the lack of sign features as well as the indistinctness of the photo copies of these items, nc with the tucg there on. was (-) prev using the same tucg.

Photos, in sep & ltr.

- Q7 Envelope postmarked "U.S. POSTAL SERVICE 021 HO DEC 8 PM 1973," bearing the typewritten address "Hon. Norbert T. Tiemann, Administrator Federal Highway Administration Nassif Building, 400 7th Street SW Washington, D. C. 20591"
- Q8 Accompanying sheet of paper bearing typewritten letter beginning "Dear Mr. [redacted] G. A. O. is much concerned with..."
- Q9 First page of photocopy of eight page report of receipt of political contributions beginning "The Ohio Democratic State Executive Committee..."
- Q10 Second page of eight page photocopy of report of receipt of political contributions beginning "2. [redacted] listed as a consultant...."
- Q11 Third page of eight page photocopy of report of receipt of political contributions beginning "5. [redacted] contributed \$2,000.00...."
- Q12 Fourth page of eight page photocopy of report of receipt of political contributions beginning "state employees, but reported in these..."

report  
CTJ:muw  
1-3-74



- Q13 Fifth page of eight page photocopy of report of receipt of political contributions beginning "k. [REDACTED] \$200. - Liquor..."
- Q14 Sixth page of eight page photocopy of report of receipt of political contributions beginning "mm. [REDACTED] - \$2,000. - Transportation (Contracts)..."
- Q15 Seventh page of eight page photocopy of report of receipt of political contributions beginning "Department of Commerce employees paid \$100...."
- Q16 Eighth page of eight page photocopy of report of receipt of political contributions beginning "21. [REDACTED] contributed \$2,000.00...."

Underwood Standard Distinctive Pica

... (enulypiz.)

Q7 Underwood 761 (Pica) NO IDENT E any of prev.

Q8 IBM Prestige (Pica) ————— exact copy  
Royal Herald 536 (Pica) —————  
Underwood Esteem (Pica) NE Q1 + Q2 (prev)

W ← missing (lower case)  
V ← missing (lower case)

Q9 - Q16 IBM Prestige (Elite)

Remington Elite Paramount (Elite)

Royal Herald (Elite)

Underwood Esteem (Elite)

NE Q3, Q4 + Q6 (prev.)

Recorded  
12/26/73  
cm

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

Laboratory Work Sheet

NO LAB FILE

Re: **GEORGE STEINERENNER**  
**POSSIBLE FRAUD IN OHIO - FEDERAL**  
**AID HIGHWAY PROGRAM;**  
**TYPEWRITER EXAM.**

File # **95-189353**  
Lab.# **D-731217036 NV**

**LATENT**

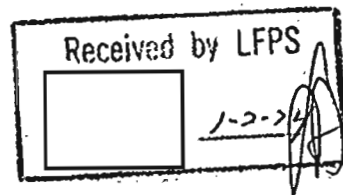
Examination requested by: **Federal Highway Administration**  
**U.S. Department of Transportation**  
Examination requested: **Washington, D.C. 20590** **Date received 12/12/73**  
**Document - Fingerprint**  
Result of Examination: Examination by:

**12/13/73**

*LFPS to return Q7 → Q16 to*

*273*

b6  
b7c



- Examination returned to LFPS Report 1-15-74*
- Q7 Envelope postmarked "U.S. POSTAL SERVICE 021 HO DEC 8 PM 1973," bearing the typewritten address "Hon. Norbert T. Tiemann, Administrator Federal Highway Administration Nassif Building 400 7th Street SW Washington, D. C. 20591"
  - Q8 Accompanying sheet of paper bearing typewritten letter beginning "Dear Mr. [redacted] G. A. O. is much concerned with..."
  - Q9 First page of photocopy of eight page report of receipt of political contributions beginning "The Ohio Democratic State Executive Committee..."
  - Q10 Second page of eight page photocopy of report of receipt of political contributions beginning "2. [redacted] listed as a consultant..."
  - Q11 Third page of eight page photocopy of report of receipt of political contributions beginning "5. [redacted] contributed \$2,000.00...."
  - Q12 Fourth page of eight page photocopy of report of receipt of political contributions beginning "state employees, but reported in these..."



# FEDERAL BUREAU OF INVESTIGATION

Washington, D. C. 20537

## REPORT

of the

## IDENTIFICATION DIVISION

LATENT FINGERPRINT SECTION

YOUR FILE NO.  
FBI FILE NO.  
LATENT CASE NO.

95-189353  
A-82624

January 15, 1974

REGISTERED

TO:

Mr. W. C. Thornton  
Director  
Program Review and Investigations  
Federal Highway Administration  
U. S. Department of Transportation  
Washington, D. C. 20590

RE:

UNKNOWN SUBJECT(S);  
FEDERAL HIGHWAY ADMINISTRATION  
FEDERAL AID PROGRAM, OHIO  
POSSIBLE FRAUD

*Highway Program*

REFERENCE: Letter December 12, 1973

EXAMINATION REQUESTED BY: Addressee

SPECIMENS:

Envelope, Q7

Letter, Q8

Eight pages of report, Q9 through Q16

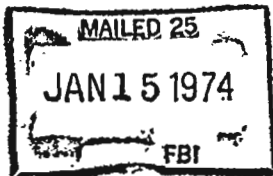
No latent prints of value were developed on  
the enclosed specimens, which are further described  
in a separate Laboratory report.

EX-103

REC-46

95-189353-3

718357



JAN 15 1974

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Director \_\_\_\_\_

Enclosures (10)

CCO:jrv

(4)

BMC

FDT / EWW / JLL

Clarence M. Kelley, Director

THIS REPORT IS FURNISHED FOR OFFICIAL USE ONLY

IL ROOM ☒TELETYPE UNIT ☐

4000

Recorded  
12/26/73  
cm

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

Recorded: 1/3/74

2:45 p.m.

jep

NO LAB FILE

Laboratory Work Sheet

Received: 1/3/74

Re:

UNKNOWN SUBJECT(S);  
FEDERAL  
FEDERAL HIGHWAY ADMINISTRATION  
FEDERAL AID PROGRAM, OHIO  
POSSIBLE FRAUD

File # 95-189353-3  
Lab.# D-731217036 NV  
LC# A-82624

LATENT

*Mr. W. C. Thornton*  
*Director, Program Review & Investigation*  
Federal Highway Administration

Examination requested by:

U.S. Department of Transportation

Examination requested:

Washington, D.C. 20590 letter 12/12/73  
Document - Fingerprint

Date received:

12/13/73

Result of Examination:

Examination by:

Noted by:

*No lats in NH or SN 1-4*  
*Lab rep rep*  
*Q7 - Q16 encl*  
*10 encl*

b6  
b7C

- Q7 Envelope postmarked "U.S. POSTAL SERVICE 021 HO DEC 8 PM 1973," bearing the typewritten address "Hon. Norbert T. Tiemann, Administrator Federal Highway Administration Nassif Building, 400 7th Street SW Washington, D. C. 20591"
- Q8 Accompanying sheet of paper bearing typewritten letter beginning "Dear Mr. [redacted] G. A. O. is much concerned with..."
- Q9 First page of photocopy of eight page report of receipt of political contributions beginning "The Ohio Democratic State Executive Committee..."
- Q10 Second page of eight page photocopy of report of receipt of political contributions beginning "2. [redacted] listed as a consultant...."
- Q11 Third page of eight page photocopy of report of receipt of political contributions beginning "5. [redacted] contributed \$2,000.00...."
- Q12 Fourth page of eight page photocopy of report of receipt of political contributions beginning "state employees, but reported in these..."

Page 1

Examination completed

time

1200

date

Dictated

date

(over)

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 28

Page 9 ~ Referral/Consult  
Page 10 ~ Referral/Consult  
Page 18 ~ Referral/Consult  
Page 21 ~ Referral/Consult  
Page 24 ~ Referral/Consult  
Page 26 ~ Referral/Consult  
Page 27 ~ Referral/Consult  
Page 29 ~ Referral/Consult  
Page 30 ~ Referral/Consult  
Page 31 ~ Referral/Consult  
Page 32 ~ Referral/Consult  
Page 33 ~ Referral/Consult  
Page 34 ~ Referral/Consult  
Page 35 ~ Referral/Consult  
Page 36 ~ Referral/Consult  
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Page 46 ~ Referral/Consult  
Page 47 ~ Referral/Consult  
Page 48 ~ Referral/Consult  
Page 50 ~ Referral/Consult  
Page 58 ~ Referral/Consult  
Page 65 ~ Referral/Consult

Airtel

August 16, 1973

To: SAC, Cleveland

From: Director, FBI

4737-1

1 - Mr.

b6  
b7c

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER *et al*  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)

EX-100 WFO

Enclosed for Cleveland are the original and one copy each of seven subpoenas together with four copies of a memorandum dated 8/10/73 received from the office of the Special Prosecutor. Enclosed for Washington Field is one copy of the 8/10/73 memorandum.

Cleveland insure that this case receives the same, immediate and preferred handling as have other cases growing out of the Watergate affair. Surep at the very earliest date possible.

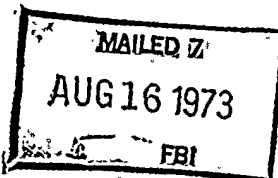
The office of the Special Prosecutor has indicated extreme interest in this matter and requests that the interviews be conducted as soon as possible, and as nearly at the same time as possible. Further, it is desired that in the event admissions are received from any of the interviewees that they were not actually the ultimate source of the contribution and that, in fact, the corporation actually made the contribution, a teletype summary of such admissions should be sent to the Bureau in order that the Special Prosecutor's office may be informed. Note that the thrust of all the interviews is to determine precisely the source of the contribution attributed to Steinbrenner and the eight other individuals who are to be interviewed.

Enclosures (18)

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Cong. Serv. \_\_\_\_\_  
Corr. & Crm. \_\_\_\_\_  
Research \_\_\_\_\_  
Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

1 - Washington Field (Enclosure) (Info)

CAN/lrk  
(5)



SEP 10 1973

MAIL ROOM ☒ TELETYPE UNIT ☐



Airtel to SAC, Cleveland  
RE: AMERICAN SHIP BUILDING COMPANY

The enclosed subpoenas should be served on the appropriate [redacted] should be informed that the office of the Special Prosecutor will consider that the subpoena has been appropriately answered if [redacted] will allow FBI Agents [redacted]

b3

[redacted] In the event any of [redacted] by FBI Agents the Bureau is to be immediately advised in order that the Special Prosecutor's office may be informed.

Four copies of Cleveland's report should be furnished to the Bureau and no copies are to be designated for any U. S. Attorneys since this case is being handled by the Special Prosecutor. Washington Field follow and report prosecutive action, it being noted that the Special Prosecutor has determined that venue in this case will lie in the District of Columbia.



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D.C. 20535

January 14, 1986

Born: [redacted]  
Hamilton, Ontario [redacted]

In response to your name check inquiry, the central files of this Bureau reveal the following information which may relate to the subject of your request.

[redacted] was one of several individuals who were the subjects of a criminal-type investigation conducted by the FBI from 1973 to 1976. This investigation concerned the possible violation of Title 18, U.S. Code, Sections 371 and 610, which pertains to conspiracy and illegal corporate contributions.

[redacted] while an officer and employee of the American Ship Building Company (ASBC), Cleveland, Ohio, received a corporate bonus on the same day that he made a secret contribution to a re-election committee. There is attached one copy of an interview conducted with [redacted] regarding his participation in this matter.

In addition, on April 5, 1974, a fifteen count indictment was returned at Cleveland, Ohio, charging the main principal in this matter and the ASBC with violations of Title 18, Sections 2, 371, 610, 1001, 1503, and 1510, U.S. Code. On August 30, 1974, the main principal in this matter and the ASBC were sentenced in U.S. District Court, Cleveland, Ohio, as follows: the main principal received a \$10,000 fine regarding violation of Title 18, Section 371, U.S. Code and a \$5,000 fine for violation of Title 18, Section 3, U.S. Code; ASBC received fines of \$10,000 each for violation of Title 18, Section 371 and 610, U.S. Code. The remaining counts of the indictment were dismissed.

Original & One - SBA Investigations

Request Received: 11/4/85

1 - Mr. [redacted] (Attn: [redacted])

1 - Ms. [redacted]

1 - 56-4737

NOT RECORDED

APR 23 1986

23

MC:saj (7)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI, and is loaned to your agency; it and its contents are not to be distributed outside your agency. This reply is result of check of FBI investigative files. To check arrest records, request must be submitted to FBI Identification Division. Fingerprints are necessary for positive check.

E64 AUG 6

DUPLICATE YELLOW

FBI/DOJ

ORIGINAL FILED IN 62-5-56447

[redacted]

During the course of this investigation it was determined that immunity from prosecution was granted to eight officers and employees of ASBC (of which [redacted] was one), by a special prosecutor in this matter.

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The central files of this Bureau contain no additional information regarding captioned individual.

UNITED STATES GOVERNMENT

DEPARTMENT OF JUSTICE

# Memorandum

TO : Clarence M. Kelley  
Director  
Federal Bureau of Investigation

DATE: 8/10/73

FROM : Henry S. Ruth, Jr.  
Deputy Special Prosecutor  
WSPF

HSR:RMW:mmj

SUBJECT: Campaign Contributions / George M. Steinbrenner

In the course of this office's investigation into violations of 18 U.S.C. Section 610 (illegal corporate contributions) and other federal laws, we have learned that George M. Steinbrenner, Chairman and Chief Executive Officer of American Ship Building Company, 1210 Investment Plaza, Cleveland, Ohio, contributed about \$75,000 to the Nixon Campaign. Steinbrenner transmitted with his contribution, the following contributions, presumably from company employees:

1. Robert L. Dibble  
(27843 Detroit Road, Westlake, Ohio)  
Society National Bank - \$3000  
Cleveland, Ohio  
Check #1410  
4/6/72

2. [Redacted]  
Central National Bank - \$2800  
Cleveland, Ohio  
Check #246  
4/7/72

3. [Redacted]  
Central Security National Bank - \$3200  
Lorain, Ohio  
Check #5089  
4/6/72

4. [Redacted]  
Cleveland Trust - \$3500  
Lakewood, Ohio  
Check #1583  
4/6/72

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21 AUG 17 1973

- 2 -

5. Robert Bartlome  
(274 Sunrise Drive, Amherst, Ohio)  
Lorain County Savings & Trust - \$3000  
Amherst, Ohio  
Check #1445  
4/6/72

6.   
Cleveland Trust - \$3000  
Lorain, Ohio  
Check #1544  
4/6/72

Cleveland Trust - \$100  
Check #1543  
4/6/72

7. Daniel Kissel  
(37849 Lake Drive, Avon, Ohio)  
Cleveland Trust - \$3500  
Lorain, Ohio  
Check #604  
4/6/72

8. Gordon Stafford  
(20328 Parklane Drive, Rocky River, Ohio)  
Cleveland Trust - \$2900  
Rocky River, Ohio  
Check #429  
4/7/72

TOTAL: \$25,000

We request the FBI to question Steinbrenner and each of the eight smaller contributors concerning the complete circumstances surrounding these contributions. In particular, an agent should question each concerning the amount of the contribution; the date of the contribution; the precise source of the funds; who solicited the contribution; the complete circumstances surrounding the solicitation; who delivered the contribution, to whom, when and where; what if any representations were made to the contributor about possible reimbursement, direct or indirect, immediate or deferred, and by whom. In addition, the agent should determine from each

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whether the individual made other political contributions in 1972, whether he made political contributions in 1968, and the complete circumstances surrounding these. Further, the agent should question each concerning the sources and forms of all compensation (including salary, bonus, stock options, and fringe benefits) from his company or other sources for the years 1968 through 1972. Finally, the agent should determine the name and address of all banks at which each individual had any account or safe deposit box from January 1, 1972 to date.

Attached are subpoenas duces tecum for the



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Please investigate all reasonable leads arising out of this investigation.

If further clarification of this investigative request is needed, please contact [redacted] of this office.

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NR 009 CV CODE

10:45 PM NITEL 8-20-73 JSM

TO DIRECTOR, FBI

WASHINGTON FIELD

FROM CLEVELAND (56-83) (P)

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 20 1973

TELETYPE

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Cong. Serv. \_\_\_\_\_  
Corr. & Crm. \_\_\_\_\_  
Research \_\_\_\_\_  
Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)  
OO: WFO.

b6  
b7C

RE BUREAU AIRTEL TO CLEVELAND, 8/16/73.

APPROPRIATE

SERVED WITH SUBPOENAS IN INSTANT MATTER ON

8/20/73. ARRANGEMENTS MADE WITH

AND

RAISED FOLLOWING POINTS

OF CONTENTION RE PERTINENT SUBPOENAS SERVED

AUG 24 1973

(1) THE REQUEST FOR

END PAGE ONE

8/21/73

S.P. COX OFFICE  
SEP 6 1973  
CAN

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b7C



*That's the title of S.P.'s can open*

b6  
b7C

(2) THE ISSUING OF THE SUBPOENA

(3) THE INCLUSION OF

ON ONE SUBPOENA,

*LEGAL OFF. QUESTIONS*

SPECIFICALLY

ALSO EXPRESSED THE DESIRABILITY OF ADVISING THE

THE SUBPOENAS

*Said only can be on one subpoena. LS GOING TO DO THIS.*

WAS QUESTIONED SPECIFICALLY AS TO WHETHER THE

ABOVE POINTS WOULD INHIBIT

THE

SUBPOENAED

WHEREUPON HE ADVISED THAT THEY WOULD NOT AND

THAT

IN RESPONSE TO THE

SUBPOENAS.

IT IS NOTED THE

OF SUBPOENAS

AS THEY WILL BE SPECIFICALLY INTERVIEWED RE INSTANT MATTERS

PERTAINING TO

b3

END

FBIHQ FLC ACK FR ONE TEL CLR

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 21 1973

*hr* **TELETYPE**

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Files & Com.	_____
Gen. Inv.	<i>JS</i>
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Cong. Serv.	_____
Corr. & Crm.	_____
Research	_____
Press Off.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 007 CV CODE

9:30 PMPM URGENT 8-21-73 KAM

*gr* TO DIRECTOR

WASHINGTON FIELD

FROM CLEVELAND (56-83) (P) 2P

*JS* AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT).

OO: WFO.

RE CLEVELAND NITEL TO DIRECTOR AND WFO, 8-20-73.

*EX-103*  
[REDACTED] THIS DATE, ADVISED THAT AT THE  
INSTRUCTION OF [REDACTED] THE [REDACTED]

*7* AUG 24 1973

[REDACTED] WOULD NOT MAKE THE SUBPOENAED [REDACTED]

[REDACTED] BY THE FBI INASMUCH AS THE

SUBPOENAS FURNISHED [REDACTED]

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b7C

[REDACTED] STATED FUTHER THAT HE WOULD TELEPHONICALLY CONTACT

[REDACTED] USDJ THIS DATE RE SUBPOENAS AND IF REQUESTED

TO DO SO, [REDACTED]

END PAGE ONE

*of S. Pres.*  
*Cap' staff advised 8/22/73*  
*en*

b6  
b7C

CV 56-83

PAGE TWO

[REDACTED]  
[REDACTED] IT WAS REITERATED TO [REDACTED]  
THAT THE OFFICE OF THE SPECIAL PROSECUTOR WILL CONSIDER THAT  
THE SUBPOENAS HAVE BEEN APPROPRIATELY ANSWERED IF [REDACTED]  
[REDACTED]

[REDACTED] STATED THAT [REDACTED] WAS  
AWARE OF THIS; HOWEVER, WOULD NOT ANSWER THE SUBPOENAS FOR THE  
REASON SET FORTH ABOVE.

IN ADDITION, [REDACTED] STATED INTENT OF [REDACTED]  
[REDACTED] OF THE RECEIPT  
OF SUBPOENAS [REDACTED]

[REDACTED] WILL BE DISCUSSED  
FUTHER WITH DEPARTMENTAL ATTORNEY [REDACTED].

AS BUREAU IS AWARE, COMPREHENSIVE INTERVIEWS OF [REDACTED]

[REDACTED] CANNOT BE CONDUCTED UNLESS [REDACTED]  
[REDACTED]

CLEVELAND NOT EXPERIENCING ANY DELAY TO DATE WITH OTHER  
[REDACTED]

BUREAU REQUESTED TO BRING ABOVE MATTER TO THE ATTENTION  
OF DEPARTMENT. 8/22/ [REDACTED]

END

*advised he talked  
to the [REDACTED]  
is sending a letter to [REDACTED]  
requesting FBI be given access  
to [REDACTED] A copy of the  
letter is being sent to Bureau,  
SAC Febl, CV, was advised. can*

b3  
b6  
b7C

1- Mr. [redacted]

b6  
b7c

August 28, 1973

BY COURIER SERVICE

REC-49 56-4737-4

EX-104

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

This will confirm the discussion of August 27, 1973, between Mr. [redacted] of the Special Prosecutor's Office and Special Agent [redacted] of the FBI. Mr. [redacted] was advised the Cleveland FBI Office on August 24, 1973, recontacted [redacted]

[redacted] to ascertain the status of [redacted] requested by subpoenas issued by the Special Prosecutor's Office. [redacted] advised he has not yet received a letter from the Special Prosecutor's Office to authorize disclosure of the [redacted]. He stated that upon instructions of [redacted]

after receipt of the anticipated letter from the Special Prosecutor's Office and upon the arrival of September 12, 1973, the date listed on the subpoenas, the bank will [redacted] but not before.

Mr. [redacted] advised that the letter to [redacted] has not been sent as yet and in light of the foregoing information, a new subpoena with return date of [redacted] will be immediately forwarded for service [redacted].

Mr. [redacted] was advised that [redacted] American Ship Building Company (ASBC), and Robert Bartlome, General Secretary of that firm, have been interviewed and furnished signed statements in which they advise of receipt of checks drawn on the payroll account of ASBC in amounts similar to or identical to the amounts of their campaign contributions on or about April 6, 1972. However, each of these men claims these contributions were in no way solicited by any company officials and that the sizeable payroll checks were company bonuses which just happened to be disbursed at that time. Each of these men stated his contribution was motivated by the availability of personal funds and their desires to financially support the Nixon Administration which each felt best represented their interests and those of the company.

Assoc. Dir.  
Asst. Dir.:  
Admin.  
Comp. Syst.  
Files & Com.  
Gen. Inv.  
Ident.  
Inspection  
Intell.  
Laboratory  
Plan. & Eval.  
Spec. Inv.  
Training

Legal Coun. — 1- Cleveland (56-83)

Cong. Serv. — 1- WFO  
Corr. & Com. — 1- WFO  
Res. & Eval. — 1- WFO  
Press Off. — 1- WFO

Telephone Rm. — 1- WFO  
Director Sec'y — MAIL ROOM [ ] TELETYPE UNIT [ ]

SEENOTE PAGE TWO...

American Ship Building Company

It was pointed out to Mr. [ ] that interviews for additional officers of ASBC would need to be held in abeyance pending the obtaining of [ ]

[ ] Mr. [ ] stated that in view of the delays encountered [ ] it would be desirable that all of these officers be interviewed during the week of August 27-31, 1973, to obtain as much information as possible even though [ ]

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In view of the above, the Cleveland Office has been instructed to immediately interview the remaining officers of ASBC and submit a report as soon as possible. The results of these interviews will be furnished to the Special Prosecutor's Office as soon as they are received.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NOTE: Original and one forwarded to Special Prosecutor's Office by 0-14, this date; one copy each to WFO and Cleveland by 0-7, for information, this date.

On 8/27/73, SA [ ] telephonically advised SAC Frederick Fehl, CV, of the results of above discussion. SAC Fehl advised the interviews will be scheduled at once and report submitted at the earliest possible date.

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FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 24 1973

TELETYPE

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Cong. Serv.	_____
Corr. & Crm.	_____
Research	_____
Press Off.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 012 CV CODE

11:05 PM NITEL 8-24-73 SLO

TO DIRECTOR

WASHINGTON FIELD OFFICE

FROM CLEVELAND

56-83

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER, ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT) OO: WFO

RE CLEVELAND TEL OF 8/21/73.

ON 8/24/73,

RECONTACTED TO ASCERTAIN

REQUESTED VIA INSTANT SUBPOENAS. ADVISED HE STILL HAS

NOT YET RECEIVED A LETTER FROM THE USDJ AUTHORIZING THE DISCLOSURE OF

TO FBI AGENTS. STATED THAT PER

UPON RECEIPT OF ANTICIPATED LETTER, AND

UPON ARRIVAL OF DATE LISTED ON THE SUBPOENAS,

AND NOT BEFORE. IT IS NOTED

END PAGE ONE

4:25 PM  
8/27/73 FENL CV TO  
SAC INTERVIEWED  
INTERVIEW REMAINING  
people this  
week. CW.

9:35 AM 8/27/73  
advised of  
contents.  
CW

LHM to Cox  
ICC CV, WFO  
CALJamm  
8028073

b3  
b6  
b7C

CV 56-83

PAGE TWO

CLEVELAND ANTICIPATES REQUESTING ADDITIONAL [REDACTED]

b3

BUREAU REQUESTED TO BRING ABOVE DESCRIBED MOST RECENT STIPULATION  
OF [REDACTED] TO ATTENTION OF USDJ.

FOR INFO OF BUREAU, [REDACTED]

b6  
b7C

AMERICAN SHIP BUILDING COMPANY (AMSHIP) AND ROBERT BARTLOME, GENERAL  
SECRETARY, AMSHIP, INTERVIEWED AND IN SIGNED STATEMENTS CONFIRM RECEIPT

OF CHECKS DRAWN ON PAYROLL ACCOUNT OF AMSHIP SIMILAR OR IDENTICAL TO  
THE AMOUNTS OF THEIR CAMPAIGN CONTRIBUTIONS ON OR ABOUT 4/6/72;  
HOWEVER, EACH CLAIMS THE CONTRIBUTIONS WERE IN NO WAY SOLICITED BY ANY  
COMPANY OFFICIALS AND THAT THE SIZEABLE PAYROLL CHECKS WERE COMPANY  
BONUSES WHICH JUST HAPPENED TO BE DISBURSED AT THAT TIME. EACH STATED  
CONTRIBUTION WAS MOTIVATED MERELY BY THE AVAILABILITY OF PERSONAL FUNDS

AND THEIR OWN DESIRES TO FINANCIALLY SUPPORT THE NIXON ADMINISTRATION  
WHICH EACH FELT BEST REPRESENTED THEIR INTERESTS AND THOSE OF AMSHIP.

CLEVELAND AWAITING AVAILABILITY OF ADDITIONAL [REDACTED]

b3

END PAGE TWO.

CV 56-83

PAGE THREE

[REDACTED] PRIOR TO

INTERVIEW. [REDACTED]

b3  
b6  
b7C

[REDACTED]

BUREAU WILL BE KEPT ADVISED OF PERTINENT  
DEVELOPMENTS.

P

END

MSI FBIHQ ACK 4

PLS HOLD FOR ONE



UNITED STATES GOVERNMENT

DEPARTMENT OF JUSTICE

# Memorandum

TO : Clarence M. Kelley  
Director  
Federal Bureau of Investigation  
Attn: Accounting and Frauds Section  
FROM : Henry S. Ruth, Jr.  
Deputy Special Prosecutor  
Watergate Special Prosecution Force

DATE: 8/27/73

SUBJECT: Campaign Contributions / George M. Steinbrenner

With reference to our investigative request of August 10, 1973, we are sending three new subpoenas duces tecum to [redacted] which are returnable on September 4, 1973. These subpoenas, which are enclsed, are part of the investigation which we outlined in the August 10 investigative request.

b7D

If further clarification of this investigative request is needed, please contact [redacted] of this office.

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b7C

Attachments.

REG-19

EX-103

56-4737-5

9-4  
16 AUG 28 1973

ENCLOSURE

advised to SAC, EU  
1-wfo  
8/29/73  
CAN/act

AMERICAN SHIP BUILDING CO.  
BIL.

RW:vc

August 24, 1973



Dear [redacted]:

AMERICAN SHIP BUILDING CO.

Pursuant to our conversation of August 21, please be advised that FBI Agents [redacted] are acting as agents of Archibald Cox, the Watergate Special Prosecutor. These agents are authorized to [redacted] have been subpoenaed by the Grand Jury. We will consider your [redacted] as complete compliance with the subpoenas.

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b7C

Thank you for your cooperation in this matter.

Your truly,

[redacted]  
Assistant Special Prosecutor

REC-8

56-4737-6

18 SEP 4 1973

Files  
Cox  
Ruth

FBI File No. 5

0201  
VCC

10352 331113

File No. 315

Agent [redacted]  
Accounting & Fraud Section  
FBI

RECEIVED - FBI

REC-8 UNIT

55 SEP 17 1973

SEP 11 1973

COPIES RETAINED IN  
PERSONNEL RECORDS UNIT

Airtel

8-29-73

TO: SAC, Cleveland (56-83)

FROM: Director, FBI

1 - Mr.

b6  
b7c

*EX-103*  
AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

ReBuairtel 8-16-73, and urtel dated 8-24-73.

Enclosed for Cleveland are two copies of a memorandum dated 8-27-73, together with the original and one copy each of three subpoenas for service on . One copy of the 8-27-73, memorandum is enclosed for WFO.

Note the return date of the enclosed subpoenas is  and further, that by letter dated 8-24-73, the Office of the Special Prosecutor advised  that Special Agents  are authorized to  subpoenaed by the grand jury.

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b7c

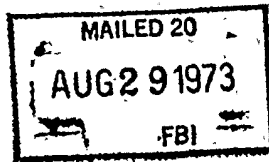
Bureau should continue to be kept informed of significant developments and any problems encountered in completing this investigation.

Enclosures (8)

1 - SAC, WFO (info) (Enclosure)

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
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Corr. & Crm. \_\_\_\_\_  
Research \_\_\_\_\_  
Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

CAN:aat *aat*  
(5)



*284 PGBN*  
70 SEP 7 1973

*REC'D STG UNIT*

MAIL ROOM ☒

TELETYPE UNIT ☐

*Om*

Airtel

1- Mr.

b6  
b7C

9/5/73

TO: SAC, ~~REC-19~~ Cleveland (56-83)

FROM: Director, FBI

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

EX-103

Rerep of SA  dated 8/30/73 at Cleveland.

b6  
b7C

Enclosed for receiving offices are two copies each of a revised Table of Contents which should be substituted for the original Table of Contents in rerep. In the future please list enclosures for the Special Prosecutor's Office following the synopsis of a report. Also, as  may possibly become the best available evidence in a court proceeding, they should have been initialed and dated by the Agent who obtained them. In the future, please conform to this practice.

b3

Enclosures (2)

1- WFO (Enclosures 2)

MAILED 22

SEP 05 1973

FBI

Assoc. Dir. JJC/amm (5)

Asst. Dir. *amm*

Admin. *100*

Comp. Syst.

Files & Com.

Gen. Inv.

Ident.

Inspection

Intell.

Laboratory

Plan. & Eval.

Spec. Inv.

Training

Legal Coun.

Cong. Serv.

Off. & Com.

Research

Press Off.

Telephone Rm.

Director Sec'y

57 SEP 10 1973

MAIL ROOM ☐

TELETYPE UNIT ☐

FBI

Date: 8/31/73

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI

FROM: SAC, CLEVELAND (56-83) (P)

SUBJECT: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)Enclosed to the Bureau are four (4) copies of  
Cleveland report of SA [redacted] dated 8/30/73 in  
captioned matter.Two (2) copies of above report forwarded Washington  
Field via regular mail.

- ② - Bureau (Encl. 4) ENCLOSURE  
 1 - Washington Field  
 1 - Cleveland  
 MVH:mak  
 (4)
- 2-SPCox w/ 4 encl 0-14-1  
 1 Retained Bu r41

REC-19

EX-103

SEP 3 1973

RECEIVED - E

Approved: FCF  
Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 04 1973

TELETYPE

NR 005 CV CODE

9:20 PM URGENT 9-4-73 JSM

TO DIRECTOR, FBI

WFO

FROM CLEVELAND (56-83) (P) 6P

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT).

RE CLEVELAND REPORT OF SA [REDACTED] OF 8/30/73, AND  
BUREAU TELCALL 9/4/73.

PURSUANT TO SUBPOENA SERVED ON [REDACTED]

END PAGE ONE

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. Sh  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Cong. Serv. \_\_\_\_\_  
Corr. & Crm. \_\_\_\_\_  
Research \_\_\_\_\_  
Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

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SEP 04 1973  
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9/5/73

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SEP 11 1973  
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CV 56-83

PAGE SIX

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b6  
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BUREAU WILL NOTE THAT THE AMOUNTS OF THE CAMPAIGN CONTRIBUTIONS WERE SET FORTH IN THE DEPARTMENTAL MEMO WHICH INITIATED THIS INVESTIGATION.

IN MOST CASES, THE AMERICAN SHIP BUILDING COMPANY OFFICERS INTERVIEWED DID NOT RECALL THE SPECIFIC NAMES OF THE COMMITTEES TO WHOM THE CONTRIBUTIONS IN THE FORM OF CHECKS WERE DIRECTED OR MADE PAYABLE TO. FURTHER DETAILS OF THE ACCOUNTS REGARDING THE CAMPAIGN CONTRIBUTIONS ARE SET FORTH IN THE RESPECTIVE INTERVIEWS OF THESE OFFICERS, WHICH ARE INCLUDED IN THE REFERENCED REPORT.

b3

END

FBIHQ FLC ACK FR ONE TLEL CLR

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WASHINGTON FIELD</b>	DATE <b>8/30/73</b>	INVESTIGATIVE PERIOD <b>8/20/73 to 8/29/73</b>
TITLE OF CASE <b>AMERICAN SHIP BUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY <b>SA [redacted]</b>	TYPED BY <b>mab</b>
		CHARACTER OF CASE <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

~~CONFIDENTIAL~~

## REFERENCE

Bureau airtel to Cleveland, 8/16/73.  
Cleveland teletypes to the Bureau, 8/20/73, 8/21/73,  
8/24/73, 8/28/73, and 8/29/73.

## ENCLOSURES

Enclosed for the Bureau are eight packets of

APPROPRIATE AGENCIES

AND FIELD OFFICES

ADVISED BY ROUTING

SLIP OF CLASS

DATE 12/6/77 EFC/LL

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b7C

## ACCOMPLISHMENTS CLAIMED

☒ NONEACQUIT-  
TALS

CASE HAS BEEN:

PENDING OVER ONE YEAR ☐ YES ☒ NO  
PENDING PROSECUTION  
OVER SIX MONTHS ☐ YES ☒ NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

4 - Bureau (Enc. 10)  
2 - WFO  
2 - Cleveland (56-83)

ENCLOSURE

56-4737-9 REC 17  
ST-109

SEP 6 1973

EXEMPTED FROM AUTOMATIC  
DECLASSIFICATION

AUTHORITY DERIVED FROM:  
FBI AUTOMATIC DECLASSIFICATION GUIDE  
EXEMPTION CODE 25X(1)

DATE 12-09-2010

## Dissemination Record of Attached Report

## Notations

Agency	2-35 CoA w/4 enclosures
Request Recd.	ATTN
Date Fwd.	9/4/73
How Fwd.	2-14(1)
By	1/6

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

SEP 18 1973

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~~CONFIDENTIAL~~



CV56-83

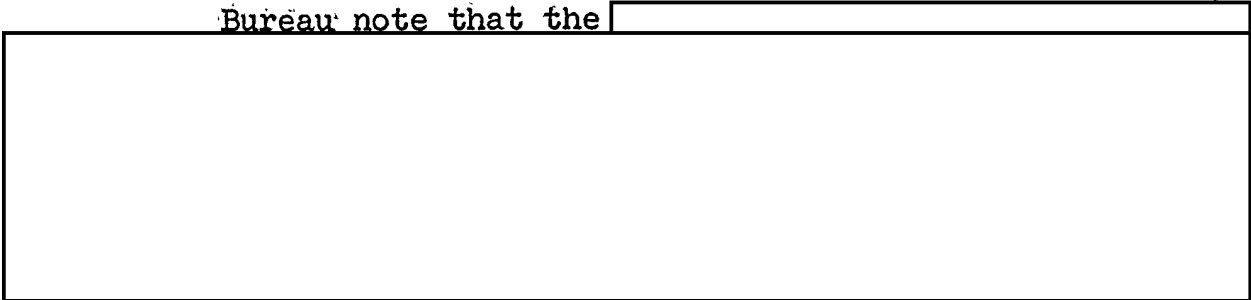


b3

ADMINISTRATIVE

Two copies of instant report being designated for WFO which according to referenced Bureau airtel is to follow and report prosecutive action. WFO note that no copies are being disseminated to any US Attorneys as this matter being handled by Special Prosecutor.

Bureau note that the



b3

For information of the Bureau, [redacted] has been contacted for at least two years during the course of any criminal or security matters involving members of the American Ship Building Company, Lorain, Ohio, where [redacted] is employed as the [redacted]

Cleveland indices negative regarding ROBERT E.

BARTLOME, [redacted]  
ROBERT LYONS DEBBLE, DANIEL AUGUST KESSEL, [redacted]  
[redacted] and [redacted]

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RE:



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CV 56-83

~~CONFIDENTIAL~~

[redacted] was contacted and utilized as a non  
symbol source in connection with [redacted]

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(E) ?

RE: GEORGE M. STEINBRENNER  
Chief Executive Officer of  
American Chip Building Company  
Cleveland, Ohio

During course of an extortion investigation conducted  
by the Cleveland, Ohio Division during July - September, 1972,  
STEINBRENNER was the recipient of several threatening telegrams  
from an individual identified as [redacted] as set forth in  
Cleveland airtel to Bureau entitled, [redacted] GEORGE  
STEINBRENNER - VICTIM; EXTORTION; CV 9-2291".

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Bureau will note enclosed [redacted]

b3

LEAD

CLEVELAND:

AT CLEVELAND, OHIO

Will report results of investigation outstanding.

~~CONFIDENTIAL~~

C\*  
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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of:

SA [REDACTED]

b6  
b7C

Office: CLEVELAND

Date:

8/30/73

Field Office File #:

56-83

Bureau File #:

Title:

AMERICAN SHIP BUILDING COMPANY,  
GEORGE M. STEINBRENNER

Character:

ELECTION LAWS

## Synopsis:

Subpoenas served on [REDACTED]

for [REDACTED]

The counsel

as of 8/24/73 took

the position [REDACTED]

requested by the subpoenas until 9/12/73 and until receipt of written confirmation from the USDJ. The pertinent officers or employees of the American Ship Building Company or their respective subsidiaries were interviewed and in signed statements all furnished their recollections regarding the circumstances of their contributions to NIXON campaign committee on or about 4/6/72. Each interviewee stated he received a company bonus around the same time that he made the contribution but claimed that their contributions were all of their own desires and in no way were motivated or solicited by GEORGE M. STEINBRENNER or any other company officials. Each official advised he wrote his campaign contribution varying in amounts from \$2800 to \$3500 on a personal check and gave the check to ROBERT BARTLOME, General Secretary of the company, who reportedly then gave the checks to [REDACTED] with the company, who allegedly handcarried the checks to Washington, D.C. Available bank records indicated the deposit of the company bonus checks in the personal checking accounts of company officials; however, those interviewed stated that they would have made contributions to the NIXON campaign regardless of the receipt of their bonuses.

b3  
b6  
b7Cb6  
b7C

- P -

TABLE OF CONTENTS

PAGE

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II.	[REDACTED] AND INTERVIEW OF ROBERT E. BARTLOME	b3 b6 b7C	19-38
III.	[REDACTED] AND INTERVIEW OF [REDACTED]		39-56
IV.	INTERVIEW OF GORDON STAFFORD		57-61
V.	[REDACTED] AND INTERVIEW OF ROBERT LYONS DIBBLE	b3 b6 b7C	62-78
VI.	INTERVIEW OF [REDACTED]		79-83
VII.	INTERVIEW OF DANIEL AUGUST KISSEL	b6 b7C	84-87
VIII.	INTERVIEW OF [REDACTED]		88-92
IX.	CONTACT WITH [REDACTED] [REDACTED]	b3	93-97

ENCLOSURES: For the Office of the Special Prosecutor,  
U. S. Department of Justice, Washington, D. C.,  
[REDACTED] of the  
following officials of the American Ship  
Building Company: [REDACTED]

b3  
b6  
b7C

CV 56-83

DETAILS:

PREDICATION

Investigation in this matter is predicated upon receipt of a letter directed to the Director of the FBI dated August 10, 1973 from the Deputy Special Prosecutor, U.S. Department of Justice, requesting an investigation into a campaign contribution matter regarding GEORGE M. STEINBRENNER.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

1

[redacted]  
[redacted]  
was contacted at his place of business.

b6  
b7C

[redacted] was already aware of the identity of Special Agent (SA) [redacted] and was advised of the identities of [redacted] and [redacted] as SAs of the Federal Bureau of Investigation (FBI).

b6  
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[redacted] was informed of the existence of and furnished a copy of a subpoena directed to [redacted]  
[redacted]  
dated the [redacted] and issued from the District Court of JOHN J. SIRICA, United States District Court for the District of Columbia.

b3  
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This subpoena requests [redacted]  
[redacted]

b3  
b6  
b7C

[redacted] was advised by SA [redacted] that the office of the special prosecutor, Washington, D.C. would consider the subpoena as having been appropriately answered if [redacted]  
[redacted]

b3  
b6  
b7C

Upon a review of the subpoena, [redacted] noted that he is aware that [redacted] with the American Shipbuilding Company, Lorain, Ohio, which firm is [redacted] and which firm is managed by GEORGE M. STEINBRENNER.

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b7C

Interviewed on 8-21-73 at Lorain, Ohio File # Cleveland 56-83

by SAs [redacted]  
[redacted] and [redacted] MVH:kls Date dictated 8-27-73

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CV 56-83

2

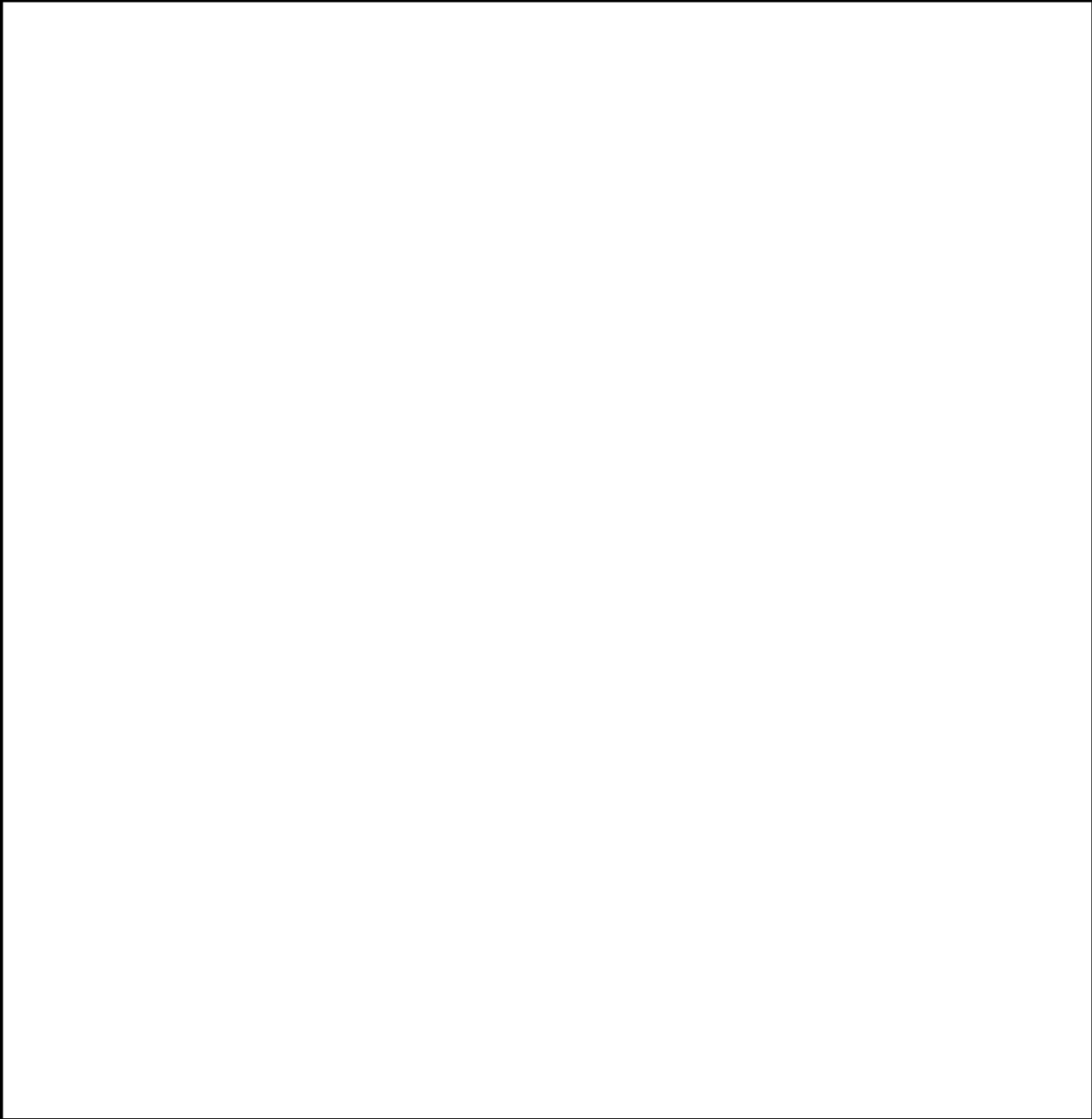
[redacted] stated he was immediately instituting

b3  
b6  
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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/73

1

Pursuant to serving a Federal subpoena on b3  
b6  
b7C

Interviewed on 8/22/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA  /mrw Date dictated 8/22/73

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.



## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

1

[redacted]  
Lorain, Ohio, was interviewed in the executive office area of the American Shipbuilding Company, Lorain, Ohio, in the presence of [redacted] for that firm.

[redacted] were advised of the identities of [redacted] and [redacted] as Special Agents (SAs) of the Federal Bureau of Investigation (FBI) and both were advised that the matter under investigation concerned a possible violation of election laws, specifically campaign contributions and the funding of campaign contributions by corporations through officers of the corporation or private individuals.

Mr. [redacted] was thereafter orally advised of his rights by SA [redacted] and furnished an "Interrogation; Advice of Rights" form which he read, stated he understood and then signed with the concurrence of Mr. [redacted] who stated that neither he nor [redacted] had any reluctance to sign.

b6  
b7C

Thereafter [redacted] was questioned by SAs [redacted] and [redacted] concerning a campaign contribution made by him via a \$3,200 check dated April 6, 1972 for the campaign of RICHARD M. NIXON.

At the conclusion of the interview the following statement was prepared, read by [redacted] and [redacted] any corrections requested were made, and thereafter the statement was signed.

The statement reads as follows:

"Lorain, Ohio

Interviewed on 8-23-73 at Lorain, Ohio File # Cleveland 56-83

by SAs [redacted] and [redacted]  
[redacted] MVH:kls Date dictated 8-27-73

b6  
b7C

CV 56-83

2

"August 23, 1973

"I, [redacted]  
[redacted] furnish the following  
free and voluntary statement to [redacted] and  
[redacted] who have identified themselves to  
me as Special Agents of the Federal Bureau of Inves-  
tigation (FBI). I have been advised that I am being  
interviewed concerning a possible violation of  
election laws matter, specifically an April 6, 1972,  
contribution by me to the campaign of RICHARD NIXON.

"I have been orally advised of my rights and  
furnished an "Interrogation; Advice of Rights"  
form which I have read in the presence of [redacted]

[redacted]  
[redacted] for the American Ship Building Company.  
I fully understand my rights and the rights form and  
have voluntarily signed the form.

b6  
b7C

" I have been employed at the American Ship  
Building Company since January, 1965. In late 1965  
I was appointed [redacted] and in 1969 I was appointed  
[redacted] of the company.

"Regarding my April, 1972, campaign contribution  
I make the following observations:

"The contribution was made in the amount of  
\$3,200.00 (dollars) in the form of a check drawn on  
the joint account of me and [redacted].

"I do not recall the specific date of the contri-  
bution other than the fact that the contribution was made  
prior to a new campaign contributions law going into  
effect. The only aspect of the new law I was con-  
cerned with was the fact that when the law went into

effect a contribution and the source of same could be public information.

"The source of the funds covering my contribution in the amount of \$3,200 was a bonus from American Ship Building Company in the net amount of \$3,050.00 which was paid to me in the form of a company check on the payroll account which is the only method by which American Ship Building Company disburses bonuses.

- "The contribution was not solicited by anyone either privately or thru the company and merely was motivated by my own desire to financially support the administration which I thought best represented my interests and those of the American Ship Building Company. I did not get or expect to receive anything in return for my contribution other than the continuation of the NIXON Administration. Specifically, President NIXON's administration had been responsible for the passage of the U.S. Maritime Act of 1970 which was of significant help to shipping and ship construction on the Great Lakes.

"Prior to my making the contribution there had been much discussion among company employees regarding making political contributions and political activity and campaign contributions were encouraged by GEORGE STEINBRENNER, Chairman of the Board and Chief Executive officer. I had mentioned my desire to a number of other executive officers including ROBERT BARTLOME, who, I believe, discussed the subject with STEINBRENNER, as BARTLOME produced the names of a number of committees, perhaps 6 to 12 in number,

CV 56-83

which committees were a means to contribute to the NIXON campaign. BARTLOME mentioned something to the effect that the list was a list of committees provided by GEORGE STEINBRENNER that we might contribute to. There was no specific meeting convened for the purpose of soliciting contributions. I wrote my check and gave the check to ROBERT BARTLOME, secretary of the company as he was the individual the checks were being given to as it seemed that BARTLOME was the individual who would see that the checks got to their destination. There was no specific request that the contributions be in the form of checks; however, I am not aware of any cash contributions.

"Another reason for my making the contribution was the fact that I was financially in a position to make such a contribution. I know of no connection or relationship between the issuance of the company bonuses and the giving of the campaign contributions. I believe there are some company employees who received bonuses but who did not contribute.

"I gave my personal check to BARTLOME, who was collecting the other checks and I understand the checks were taken to Washington, D.C., but I do not know who took the checks there. Apparently the checks were personally transported because of the impending deadline for the new law which was a few days away.

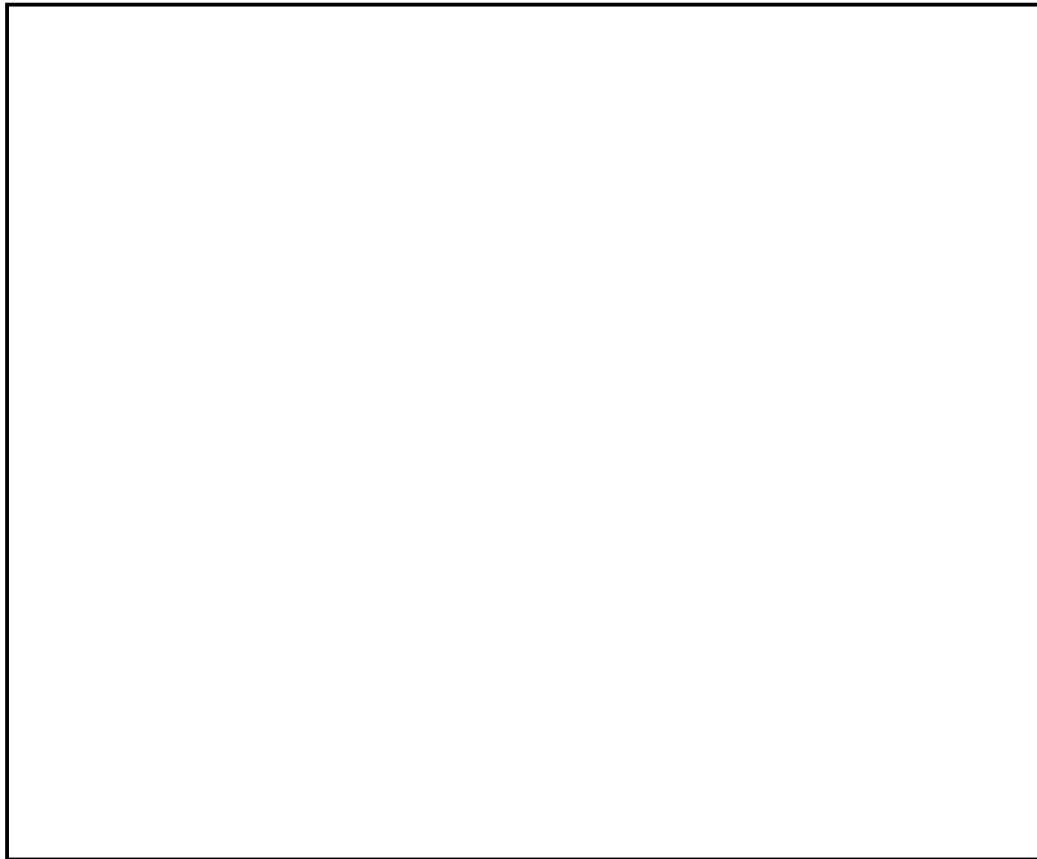
"There was no statement or any kind of representation made to me by anyone about any kind of any reimbursement, consideration, or anything of value, direct or indirect, immediate or deferred,

CV 56-83

5

regarding my making or not making a campaign contribution to either the NIXON campaign or any other local, state or Federal election.

"I also recall making a \$1,000 and a \$2,000 contribution both in personal checks. One of the contributions was to the Democratic Party and the other was either for a Republican or Democratic campaign dinner. I also recall making two contributions in the amount of \$500 and \$750 to the Congressional campaign of CHARLES MOSHER the Republican Congressman from Oberlin, Ohio.



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CV 56-83

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b3  
b6  
b7C

"The above statement represents the accurate account of my knowledge of the circumstances regarding my April, 1972, campaign contribution. I have not discussed my statement or responses to possible questions regarding my contribution and I have not been coached or otherwise told how to respond.

"I have read the above statement consisting of this page and three other pages and certify that the above is true to the best of my knowledge.

"/s/ [redacted]  
August 23, 1973

"Witnesses:

"/s/ [redacted] b6  
/s/ SA [redacted] FBI, b7C  
Cleveland, Ohio 8/23/73  
/s/ SA [redacted] Special  
Agent, FBI, Cleveland 8/23/73"

[redacted] advised that since approximately 1967 his salary has increased from around \$15,000 to his present \$20,000 to \$27,000 salary. He advised that he is interested in keeping his present position with American Ship and maintaining his salary and with regard to supporting various candidates of either party he would

CV 56-83

7

contribute whatever he can to the campaign of any candidate who he feels will best represent his interests and those of the American Shipbuilding Company.

Concerning the \$1,000 and \$2,000 contributions by check that he made early in 1972, he believes these contributions were solicited of him by mail but does not recall the specific times or circumstances. He noted that his campaign contributions are fully available for inspection and are reported on his annual income tax return. [ ] noted also that any bonus given him or any other officer of the company reflects the net amount, that is the bonus minus any local, state or Federal income taxes and he believes that any bonuses offered may be discussed, authorized or acted upon by a meeting of the Board of Directors of Amship.

Mr. [ ] stated he would be completely willing without any hesitation to furnish the above account of his campaign contributions to any Grand Jury with full confidence that the above is the complete truth as he knows it.

b6  
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The following was obtained through interview and observation:

Name	[ ]	
Race	White	
Sex	Male	
Date of birth	[ ]	SOC SEC # [ ]
Place of birth	Lorain, Ohio	[ ]
Height	6'	
Weight	210 pounds	[ ]

LORAIN, OHIO

CV 56-83

a

Eyes Blue  
Hair Brown  
Employment   
American Shipbuilding Company  
Lorain, Ohio

Social Security  
Account Number   
Education

Military Service

Address

Lorain, Ohio

Home telephone  
number

Relatives

Wife

Son

Daughters

b6  
b7C

After having read and signed the prepared statement,  requested a photostatic copy of the statement and was furnished the same.



## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/22/73

I

[redacted]  
[redacted] was contacted at his place of employment on the afternoon of August 21, 1973.

b6  
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[redacted] was advised of the identities of [redacted] and [redacted] as Special Agents of the FBI. [redacted] was presented with a subpoena duces tecum from the United States District Court (USDC) for the District of Columbia, [redacted]

b6  
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b7D

[redacted] was advised by SA [redacted] that if the [redacted] that the subpoena will be considered as appropriately answered.

b3  
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b7C

At this point, [redacted] requested to be permitted to consult with [redacted] which he did privately.

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Shortly thereafter, [redacted] returned, along with [redacted] who was introduced to the interviewing agents as the [redacted]

[redacted] produced the original and a carbon copy of a written confirmation directed to the FBI setting forth the above fact that the subpoena would be considered complied with if [redacted]

b3  
b6  
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The above described statement was read by the interviewing agents and thereafter signed with the original being returned to [redacted] and the carbon copy retained by SA [redacted]

b6  
b7C

Interviewed on 8/21/73 at Elyria, Ohio File # Cleveland 56-83

SAS [redacted] and [redacted] /MVH/mrw Date dictated 8/22/73

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<sup>2</sup>  
CV 56-83

[redacted] were advised by SA [redacted] that the assigning of the above described statement merely confirmed SA [redacted] statement regarding the answering of the subpoena and that the agents could not speak for or directly represent the United States District Court as [redacted]

[redacted] Both Mr. [redacted] and [redacted] acknowledged this stipulation.

[redacted] then provided [redacted]

[redacted]

[redacted]

b3  
b6  
b7C

[redacted] was questioned as to the [redacted]

[redacted]

[redacted] indicated that these items could be obtained, and he did obtain copies of these two above described items.

[redacted]

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/73

1

[redacted]  
[redacted]  
[redacted] was advised of the identities of  
the interviewing agents and the fact that they had been in con-  
tact with [redacted]

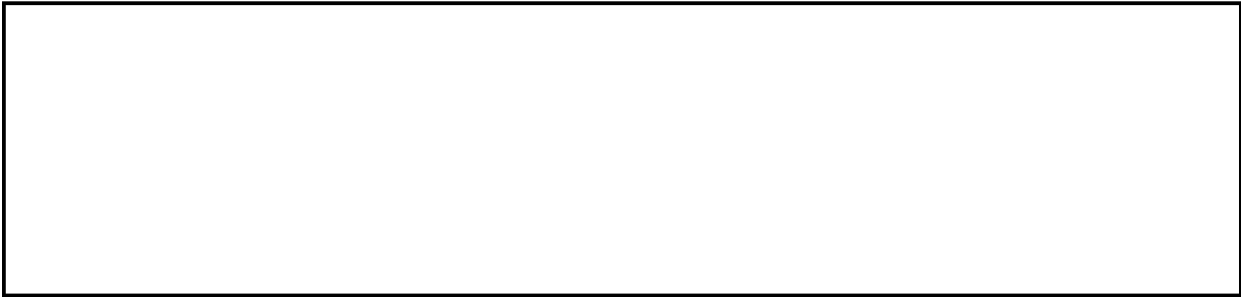
[redacted] regarding [redacted]

b3  
b6  
b7C

Interviewed on 8/21/73 at Amherst, Ohio File # Cleveland 56-83  
by SAS [redacted] and [redacted] /RJM/mrw Date dictated 8/22/73

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CV 56-83



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b6  
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## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 8/23/73

In connection with the serving of a subpoena, the

b3  
b6  
b7CInterviewed on 8/22/73 at Cleveland, Ohio File # Cleveland 56-83by SA  /mrw b6  
b7C Date dictated 8/22/73

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/30/73

1

Pursuant to a Federal subpoena served on b3  
b6  
b7CInterviewed on 8/28/73 at Elyria, Ohio File # Cleveland 56-83by SA 

smab

b6

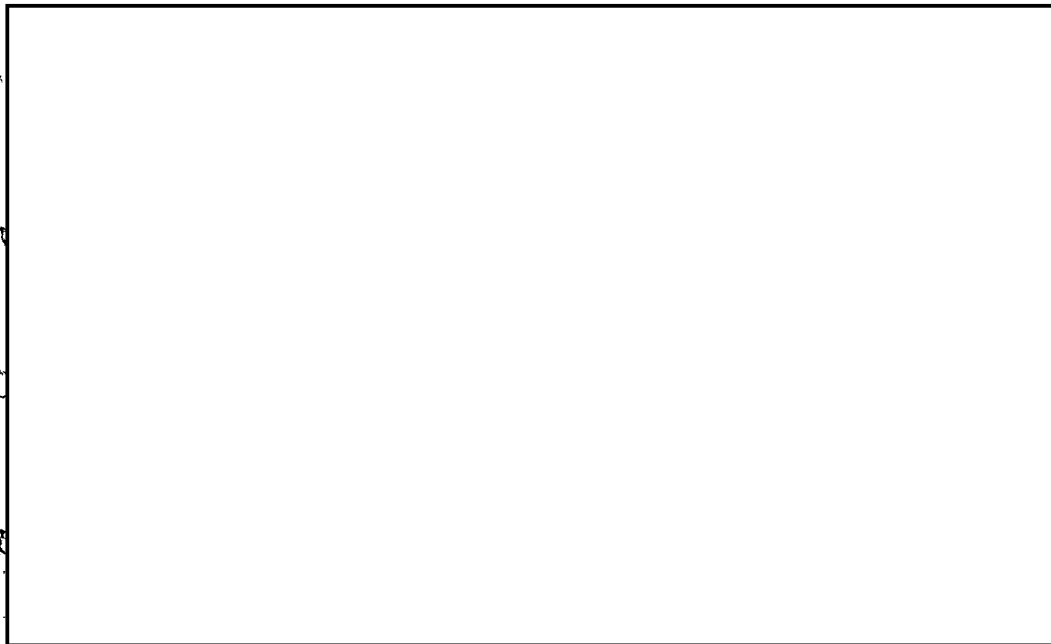
b7C

Date dictated 8/30/73

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CV 56-83

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b6  
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## FEDERAL BUREAU OF INVESTIGATION

8/29/73

Date of transcription

1

ROBERT E. BARTLOME was contacted at his place of employment, American Ship Building Company, Lorain, Ohio, at which time he furnished the following signed statement:

Lorain, Ohio 11-7-22  
8/23/73

"I, ROBERT E. BARTLOME, make the following free and voluntary statement to [redacted] and [redacted] who have identified themselves to me as Special Agents of the Federal Bureau of Investigation. Agent [redacted] furnished me with an interrogation and advice of rights form which I read, understood and executed the waiver section of this form.

b6  
b7C

"I have been employed by American Ship Building Company since 1965 to the present. I was originally employed as manager of systems and procedures and since 1968 I have held the position of corporate secretary.

"At the beginning of my employment with American Ship Building my salary was somewhere between \$13,000.00 and \$14,000.00 and my current salary is \$27,500.00. I have had gradual increases since 1968, the latest increase was January 24, 1973 when my salary increased from \$26,300.00 to \$27,500.00.

"In addition to my salary stated above, I have also recieved bonuses since 1968 from American Ship Building Company averaging about \$5,000.00 per year. My bonus in 1972 amounted to \$7500.00

"In connection with the bonus I recieved in 1972, I elected to take \$5,000.00 in 1972 and defer the \$2,500.00 balance until January, 1973 for tax purposes.

Interviewed on 8/23/73 at Lorain, Ohio File # Cleveland 56-83

SAs

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by

APB/cac

Date dictated

8/29/73



"Any bonus which I recieve is less the city, state and federal taxes. I am in the 38% tax bracket.

"In addition to salary and bonuses recieved from American Ship Building Company I also have stock options. Since 1965 I have exercised my stock option of 451 shares at \$22.22 per share. I also have future stock option of 330 shares at \$11.62 per share and 1000 shares at \$23.50 per share, these options to expire in 1975 or 1976.

"In addition to the above salary, bonus and stock option plans the only other fringe benefits I recieve in connection with my employment are two weeks vacation per year and a pension plan which will give me \$6.25 per month per each years service.

"In 1972 I recall making the following political contributions:

"In approximately February 1972 I purchased two tickets to the Senate majority dinner in Washington, D.C. at \$1,000.00 per ticket. Neither I or my wife attended this dinner. In connection with these tickets I was solicited through the mail by an individual whose identity I cannot recall. I mailed the check for the tickets to the address furnished me by the solicitor.

"Also in February 1972 I was solicited through the mail to purchase a ticket to the Democratic Campaign dinner in Washington, D.C. by an individual whom I presently recall may have been Senator HARRIS of Oklahoma. I purchased a ticket to this dinner and forwarded my check to the Washington address furnished by the solicitor. I also recall recieving a telephone call by someone employed by Senator HARRIS, possibly his secretary, to determine if I was going to attend the dinner, which I did not.

"In April of 1972 I made a \$3,000.00 contribution to one of the committees for the re-election of President NIXON. In connection with this contribution a group of eight or nine employees of American Ship Building Company had talked over the benefits that the passage of the Merchant Marine Act of 1970 brought to the employees of the maritime industry. We felt we wanted to contribute in some way to the re-election of President NIXON, so I personally asked Mr. GEORGE STEINBRENNER, Chairman and Chief Executive Officer of American Ship Building Company how to contribute. Mr. STEINBRENNER subsequently furnished me with a list of various committees to which contributions could be made for the re-election of President NIXON, and the addresses of the committees. When the group of eight or nine individuals mentioned above had prepared their checks for this purpose, the checks were given to Mr. [REDACTED] a public relations employee of American Ship Building Company who personally took these checks to Washington, D.C. and delivered them to the committee to whom the checks were written. [REDACTED]

"In connection with any previous contributions to political campaigns from 1968 up to 1972 I can not recall at this time if I actually made contributions in 1968 or 1969 but it is possible I made contributions during those years. I do recall making political contributions in 1970 and 1971, but I do not recall the amounts of these contributions, or to whom the contributions were paid. I do have all of my personal checking account cancelled checks dating back to 1968 and would be able to determine any such contribution by reviewing these checks.

"I wish to state that all of the contributions that I have made to political campaigns from 1968 thru 1972 were made from my personal checking account and that the money deposited in my checking account for this purpose would have been from my personal earnings either through salary, bonuses or from deposits from my personal savings account.

"I wish to state that in connection with any political contributions I have made there have been no promises or representations made to me to induce these contributions, nor has any plan been made to me by anyone for any direct or indirect reimbursement to me in the amount of the contributions that I made. I further state that I was not coerced in any way by my employer to in any way participate in any political campaign contributions.

"In connection with my personal financial status I wish to state that I own my residence outright and the present value of this residence is approximately \$35,000.00. I also began dealing in the stock market in 1965, and now have approximately \$50,000.00 to \$60,000.00 in stocks and mutual funds. The majority of my stock transactions have been handled through Mrs. [REDACTED] of Ocala, Florida.

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"In connection with the banks I have personally dealt with since January 1, 1972 to the present time, I wish to state as follows:

"I have a checking and savings account at the Lorain County Savings & Trust Company in Amherst, Ohio, the average balance would fluctuate between \$2,000.00 to \$4,000.00. I have a savings account at the Lorain National Bank on Rt. 58, Lorain County, Ohio and maintain an average balance of \$300.00 to \$500.00. I have a savings account at Citizens Savings in Amherst, Ohio, where I maintain a balance of \$7,500.00 to \$8,000.00. I also have a safety deposit box at Citizens Savings in Amherst, Ohio which I have had for about two years.

"In reference to line five of page four of this statement I would like to clarify the figure eight or nine contributors and state that as many as fifteen or twenty fellow employees may have discussed making contributions at various times.

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"I have read this statement consisting of this and six other pages. I have initialed each page and each correction. This statement is true and correct to the best of my recollection.

"/s/ ROBERT E. BARTLOME  
8/23/73

"Witnesses:

"/s/ [redacted] Special Agent,  
FBI, Elyria, Ohio, 8/23/73

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"/s/ [redacted] F.B.I.,  
Elyria, Ohio 8/23/73"

In addition to the above information. BARTLOME was questioned [redacted]

b3  
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[redacted] After completion of the interview, BARTLOME advised he telephonically contacted [redacted] and determined the following [redacted]

CV 56-83  
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b3  
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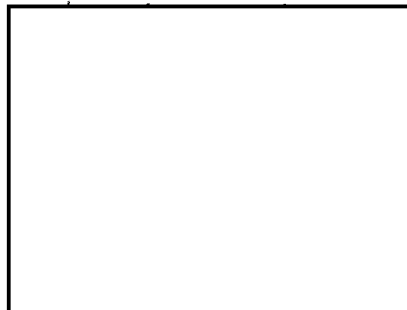
In connection with the granting of bonuses by the American Ship Building Company, BARTLOME stated the stock holders had a meeting on January 24, 1973, and following this meeting was the meeting of the Board of Directors. He believes it was at this meeting that the authority was given to various corporate heads to give out bonuses during the year. He stated the final approval for any bonus would be given by Mr. GEORGE M. STEINBRENNER, Chairman and Chief Executive Officer of American Ship Building Company, and

[redacted] who in 1972 was Mr. [redacted]  
and who in 1973 is Mr. [redacted]

~~The following descriptive data was obtained~~  
through observation and interview:

Sex	male
Race	white
Date of birth	11/7/22
Place of birth	Lorain, Ohio
Height	6'1"
Weight	195 lbs.
Hair	black and graying
Eyes	brown
Scars	cyst scar near tailbone gall bladder operation scar
Marital status	married

Children



b6  
b7C

CV 56-83

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Education

graduated from Spencerian  
Business College  
Cleveland, Ohio  
1941

Social Security  
Number

282-20-2715

Residence

274 Sunrise Drive  
Amherst, Ohio  
telephone - 984-8680

Employment

American Ship Building Company  
Lorain, Ohio

Occupation

Corporate secretary

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

[redacted]  
[redacted]  
Cleveland, Ohio, was contacted at his place of employment.

b6  
b7C

[redacted] was advised of the identities of  
[redacted] and [redacted] as Special Agents (SAs)  
of the Federal Bureau of Investigation (FBI). [redacted]  
was exhibited the original and one copy of a subpoena issued  
from the United States District Court for the District of  
Columbia, dated August 10, 1973 by the Honorable JOHN J.  
SIRICA, commanding [redacted]

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[redacted]

b3  
b6  
b7C

Following a review of the subpoena, [redacted]  
was specifically advised by SA [redacted] that the office of the  
special prosecutor, Washington, D.C. will consider that the  
subpoena has been appropriately answered if [redacted]

[redacted]

b3  
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[redacted] stated he would initiate an appropriate  
inquiry [redacted] and  
immediately notify SA [redacted]

Interviewed on 8-20-73 at Cleveland, Ohio File # Cleveland 56-83

by SAs [redacted] and [redacted] MVH:kls Date dictated 8-24-73

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/731

[redacted]  
[redacted]  
Cleveland, Ohio, was advised of the identity of the interview-  
ing agent, after which [redacted] furnished the following  
information pursuant to a Federal subpoena served [redacted]  
[redacted]

[redacted]

b3  
b6  
b7C

Interviewed on 8/22/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [redacted] mrw [redacted] b6  
b7C Date dictated 8/22/73

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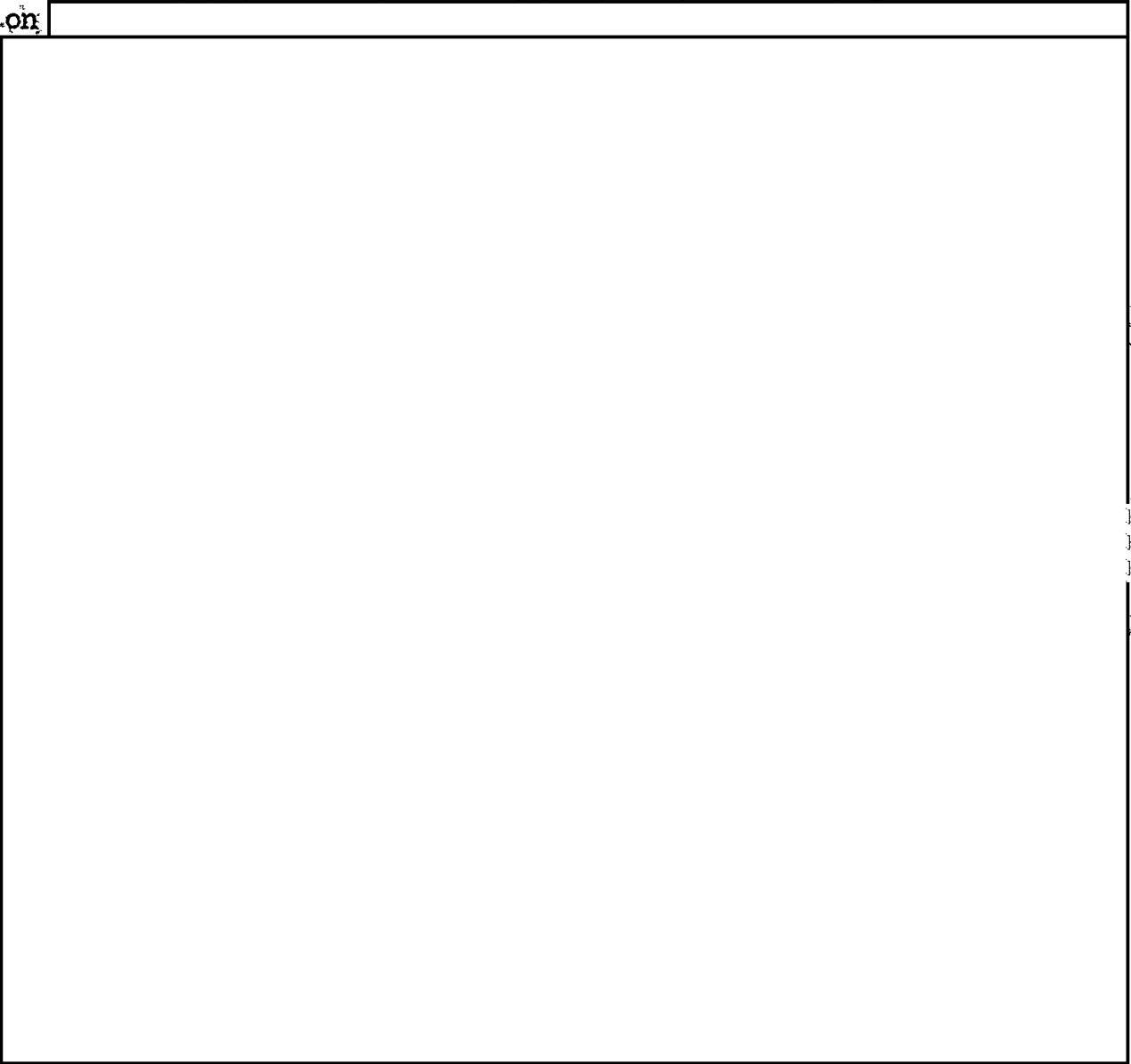
## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/73

1.

Pursuant to the issuance of a Federal subpoena

on

b3  
b6  
b7CInterviewed on 8/22/73 at Cleveland, Ohio File # Cleveland 56-83

by SA



/mrw

b6  
b7C

Date dictated

8/22/73

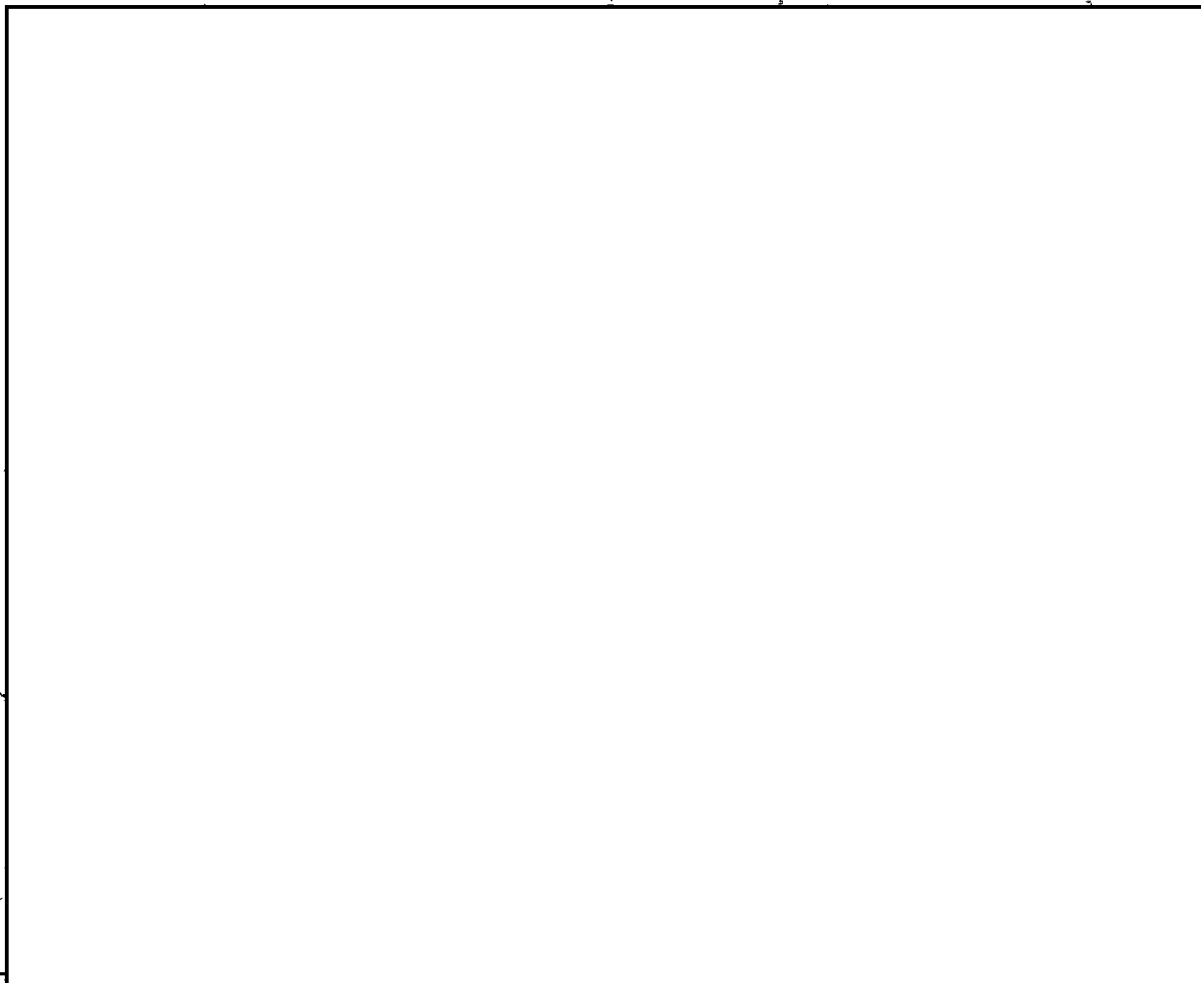
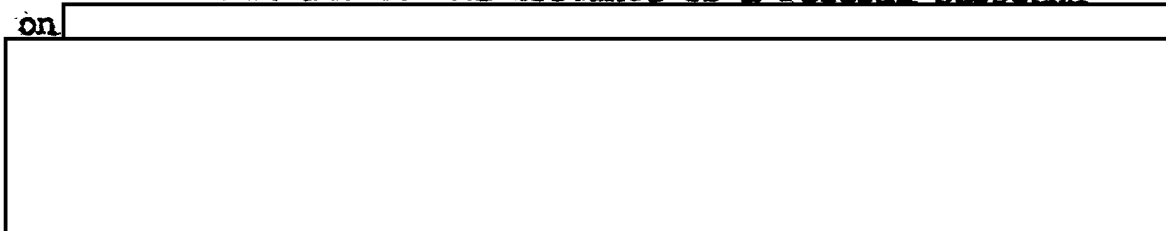

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-28-731

Pursuant to the issuance of a Federal subpoena

on

b3  
b6  
b7CInterviewed on 8-27-73 at Cleveland, Ohio File # Cleveland 56-83by SA  :kls Date dictated 8-27-73

b6

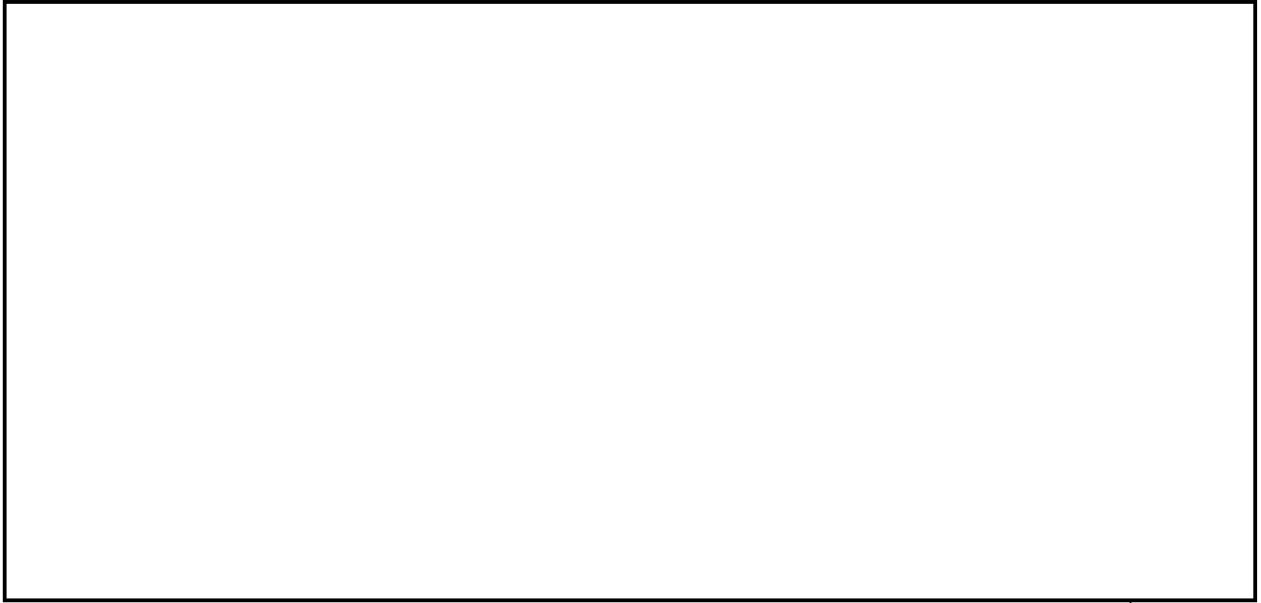
b7C

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## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 8/29/73

[redacted]  
[redacted] was contacted at his place of employment, the American Ship Building Company, 12th Floor Investment Plaza, East Ninth Street, Cleveland, Ohio.

b6  
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[redacted] in the presence of [redacted]  
[redacted] American Ship Building Company, was advised of the identities of [redacted] and [redacted] as Special Agents of the Federal Bureau of Investigation (FBI).  
[redacted] was advised by SA [redacted] of the nature of the investigation and orally advised of his rights and furnished an "Interrogation; Advice of Rights Form" which he read, stated he understood, and voluntarily signed.

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Thereafter, in response to questioning, [redacted] furnished his recollection of the facts concerning a check in the amount of \$2,800, dated April 7, 1972, drawn on his checking account at Central National Bank, Cleveland, Ohio.

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[redacted] statement was reduced to writing and after having been read the prepared statement, signed it, which statement reads as follows:

"Cleveland, Ohio  
August 28, 1973

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"I, [redacted]  
[redacted] furnish the following free and voluntary statement to [redacted]  
[redacted] and [redacted] who have identified themselves to me as Special Agents of the Federal Bureau of Investigation (FBI). I have been told

Interviewed on 8/28/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [redacted] and SA [redacted] MVH:lmj Date dictated 8/28/73

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b7C

that this investigation concerns a possible violation of Federal laws concerning campaign contributions, specifically corporate campaign contributions.

"I have been advised of my rights as set forth on an 'Interrogation; Advice of Rights' form which I read and signed in the presence of the above agents and [redacted]

[redacted] for the American Ship Building Company.

I am presently [redacted]

[redacted] of American Ship Building Company. I have been associated with GEORGE M. STEINBRENNER in a business capacity since 1966 while I was with Kinsman Marine Transit at which time I was earning about \$10,000. I currently earn \$35,000 in salary per year, own a house valued at about \$52,000, and own property in Canada with a value of about \$100,000. I own about 138 shares of stock in American Ship Building Co., at about \$20 per share, for value of \$2,500 - \$3,000. I have a checking account with Central National Bank and Union Commerce Bank in Cleveland, Ohio, and two checking accounts with the Royal Bank of Canada in St. Catherine's, Ontario, Canada.

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"Regarding a campaign contribution made by me to the campaign of RICHARD NIXON I recall that I made the contribution by personal check in an amount between \$2,500 and \$3,000 which was drawn on the Central National Bank, Cleveland, Ohio. The campaign contribution was motivated solely by my own desire to make a contribution to NIXON's campaign and the fact that I was in a financial position to make such a contribution. At the time I wrote the check I had been talking with [redacted] and ROBERT BARTLOME and other company officers and employees about the

CV 56-83

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campaign. I was not aware of how to go about making the contribution but I was shown a list of several committees which I was told represented the Nixon campaign. I do not recall the specific committee that I made the check payable to [REDACTED]

[REDACTED]

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"My contribution was not solicited by any AMSHIP officer or by anyone else and I was not told that I could expect any kind of reimbursement or any thing of any value at anytime immediate or deferred.

"Around the same time that I wrote the check, although I do not recall whether before or after I received a company bonus in the form of a check drawn on the account of AMSHIP made out to me. The bonus was I believe for \$5,000 total and I would have received a net amount of about \$2,800 which I deposited in my checking account. I know of no connection between the company offering the bonus at that time and my making the contribution. Even if I had not received the bonus I still would have made a campaign contribution of some amount.

"I gave my check to ROBERT BARTLOME, Secretary of AMSHIP or AMSHIP Corp., who told me he would take care of it. I do not know what happened to the check after that other than it was cashed.

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"The only other campaign contribution I recall making was to U.S. Congressman WILLIAM MINSHALL which was in 1972 for about \$100.00.

"The above account of my recollection of the campaign contribution to the Nixon campaign is based solely on my recollection of the facts and was not prompted or influenced by any AMSHIP officials, and my contribution was solely my own desire rather than any company project.

"I have read the above statement consisting of this and 2 other pages and state that the above statement is true to the best of my knowledge.

"/s/ [redacted]

"Witness: [redacted]

[redacted] Special Agent, FBI,  
Cleveland, Ohio, 8-28-73.

[redacted] Special Agent, FBI,  
Cleveland, 8-28-73."

b6  
b7C

At his request, [redacted] was furnished a photostatic copy of the above three-page statement.

In addition to the above information, [redacted] stated that he does not recall specific amounts of various financial transaction checks written or other monies personally expended by himself as he claimed that he is in a position to make relatively large expenditures because of his comfortable financial situation.

As an example, he noted that he also received a bonus during this year, 1973, possibly in the amount of

CV 56-83

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\$5,000 but does not recall the month or exact season that he received the bonus. He noted that GEORGE STEINBRENNER is the type of boss who may make a casual statement to the effect that an employee will receive a bonus or a raise and sometime thereafter, perhaps several months, he will receive same.

He stated he has no information concerning any other bonuses that any other officers may have received and noted that the bonus that he received around the time that he made the contribution to the Nixon Campaign was the bonus he had been expecting from the company since his discussion with GEORGE STEINBRENNER some time in November or December, 1971.

[redacted] noted that the receipt of the bonus and his making the \$2,800 campaign contribution are merely coincidental as far as both occurring at the same time, and he stated that even if he had not received the bonus, he would still have made a contribution to the Nixon Campaign as he thought the Nixon Administration better represented the interest of the American Ship Building Company than did the administration of GEORGE MC GOVERN.

In response to questioning, [redacted] stated that his recollection of the circumstances surrounding the campaign contribution are true and accurate to the best of his knowledge and that he would not hesitate to relate the same account before any judicial proceedings or any grand jury.

The following description was obtained through interview and observation:

Name:  
Sex:  
Race:

[redacted]  
Male

White BORN [redacted]

HAMILTON, Ontario, Canada

b6  
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Date of birth:  
Place of birth:  
Height:  
Weight:  
Hair:  
Eyes:  
Social Security  
Account Number:  
Citizenship:

[REDACTED]

Hamilton, Ontario, Canada

6'2"

220 lbs.

Brown

Green

b6  
b7C

Address:

[REDACTED]

[REDACTED]

North Olmsted, Ohio

Home telephone:

[REDACTED]

Education:

[REDACTED]

Relatives:

Previous  
employment:

[REDACTED]

## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 8/29/73

GORDON STAFFORD, age 55, 20328 Parklane Drive, Rocky River, Ohio, was contacted at his place of employment, the American Ship Building Company, 12th Floor, Investment Plaza, East Ninth Street, Cleveland, Ohio.

STAFFORD was advised of the identities of [ ] and [ ] as Special Agents of the Federal Bureau of Investigation (FBI) and was informed by SA [ ] of the nature of the investigation. STAFFORD, in the presence of [ ] for the American Ship Building Company, was orally advised of his rights by SA [ ] as set forth on an "Interrogation; Advice of Rights Form" which he read, stated that he understood and signed.

b6  
b7C

Thereafter, STAFFORD, who stated he is Vice President of Sales of American Ship Building Company, furnished the following signed statement:

"Cleveland, Ohio  
August 28, 1973

"I, GORDON STAFFORD, age 55, 20328 Parklane Dr., Rocky River, Ohio, desire to furnish the following free and voluntary statement to [ ] and [ ] who have identified themselves to me as Special Agents of the Federal Bureau of Investigation. I have been told that this investigation concerns a possible violation of Federal laws concerning campaign contributions, specifically corporate campaign contributions.

"I have been advised of my rights as set forth on an 'Interrogation; Advice of Rights'

Interviewed on 8/28/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [ ] and SA [ ] MVH:lmv Date dictated 8/28/73

b6  
b7C

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form which I read and signed in the presence of the above agents and [REDACTED] for the American Ship Building Company.

b6  
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"I have been employed by the American Ship Building Company since 1937. I became manager of the Lorain, Ohio yard around 1954 and then was made an assistant to the Vice President of Sales for American Ship Building Company and earn \$30,000 per year. I own a \$50,000 home which has about a remaining \$2,000 mortgage. In addition I receive about \$4,000 yearly through a deferred profit sharing plan and also receive director's fees for a total yearly income of about \$41,000. I have about \$40,000 savings in cash and certificates of deposit in Westside Federal Savings and Loan, Fairview Park, Ohio. In addition I have about \$28,000 in stocks and bonds. I have a joint checking account with [REDACTED] with the Cleveland Trust Company, Cleveland, Ohio.

b6  
b7C

"Regarding my campaign contribution to the Richard Nixon campaign in April, 1972, I recall the contribution was made in the form of a check in the amount of \$2,900.00 drawn on my and my wife's checking account. Around the same time, either before or after, I received a company bonus representing a gross amount of \$5,000, of which I received \$2,900 net amount in the form of an AMSHIP payroll check. The difference between the two amounts represents the deductions from the gross amount.

"At the time I actually made the contribution I happened to be at the Lorain, Ohio, offices of AMSHIP. ROBERT BARTLOME, Secretary of AMSHIP had

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a list of several committees or groups representing the Nixon campaign and I wrote the \$2,900 check out to one of the committees listed. I handed the check to BARTLOME who I understand was going to have someone, possibly [redacted] another company official, hand deliver the check to Washington, D.C.

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"The contribution was solely my idea and represented my own personal funds and not AMSHIP money and no company official or employee solicited the contribution. I did not expect or receive any consideration or anything of value, either immediate or deferred, for the contribution given. The contribution was motivated by my desire to support the Nixon Campaign and my financially being able to do so. The fact that the bonus and the contribution were identical in amount is mainly a matter of timing and one was not directly influenced by the other.

"In addition I also had made previous campaign contributions one of which was a \$1,000 check to a Democratic dinner and another was in the amount of \$1,900, possibly to a Republican Senate Dinner. I later made a \$100 check contribution to the Nixon campaign. At the time I made the \$2,900 check contribution I was aware of the impending deadline of the contribution laws which would make public the amounts of contributions and donors.

"In summary there was no company plan or inducement to make specific campaign contributions and the above account regarding my contribution is my own and not prompted or suggested by any AMSHIP official or any other person.

CV 56-83

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"I have read the above statement consisting of this page and two (2) other pages and state that it is the truth as I know it.

"/s/ GORDON STAFFORD

"Witness: [redacted] Special Agent, FBI,  
Cleveland, Ohio, 8-28-73.

[redacted] Special Agent, FBI,  
Cleveland, Ohio, 8-28-73."

Upon reading and signing the above statement, STAFFORD requested a photostatic copy of same and was furnished a copy.

b6  
b7C

In addition to the above, STAFFORD was specifically questioned concerning the disbursement of company bonuses particularly when those bonuses might amount to a gross of \$5,000 among six or more officers. STAFFORD, who stated he is a member of the Board of Directors of American Ship Building Company, advised that the disbursement of funds for bonuses is usually the subject of Board discussions and normally minutes or written records would be retained for same. STAFFORD was questioned as to whether he could recall any Board meetings which may have been convened prior to April, 1972, and also if there was such a meeting, whether or not they might have had a discussion concerning company bonuses. STAFFORD stated he could not recall whether or not such a board meeting might have occurred and/or whether such a discussion might have taken place or been recorded.

At the conclusion of relating his recollections of the circumstances regarding the \$2,900 campaign

CV 56-83

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contribution to the Nixon campaign, STAFFORD was asked whether he felt that he could relate the same account before any judicial proceedings or grand jury with the full confidence that same would be the truth whereupon he replied that he felt that he could as his responses were based upon the truth to the best of his recollection.

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The following descriptive data was obtained through interview and observation:

Name:	GORDON <del>STAFFORD</del>
Sex:	Male
Race:	White
Date of birth:	January 4, 1918
Place of birth:	Cleveland, Ohio
Height:	5'7"
Weight:	190 lbs.
Hair:	Black
Eyes:	Grayish green, wears glasses
<u>Social Security</u>	
Account Number:	271-01-7624
Address:	20328 Parklane Drive Rocky River, Ohio
Home telephone:	331-3611
Education:	High school graduate
Relatives:	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Employment:	American Ship Building Company 1937 to date.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

1

[redacted]  
[redacted] Cleveland, Ohio, was con-  
tacted at his place of employment and advised of the  
identities of [redacted] and [redacted] as  
Special Agents (SAs) of the Federal Bureau of Investigation  
(FBI).

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[redacted] was exhibited and served with a subpoena  
[redacted]

b3  
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which subpoena is issued by the Honorable JOHN J. SIRICA,  
United States District Court for the District of Columbia  
and dated August 10, 1973.

Specifically the subpoena [redacted]  
[redacted]

b3  
b6  
b7C

[redacted] was advised by SA [redacted] that the office  
of the special prosecutor will consider that the subpoena  
has been appropriately answered [redacted]  
[redacted]

b3  
b6  
b7C

Upon a review of the subpoena, [redacted] noted  
that it is possible that [redacted]  
[redacted]

b3  
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b7C

Interviewed on 8-21-73 at Cleveland, Ohio File # Cleveland 56-83

by SAs [redacted] and [redacted] MYH:kls Date dictated 8-27-73

b6  
b7C

CV-56-83

2

[redacted] stated he would [redacted]  
[redacted]

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b6  
b7C



## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/73

1

Pursuant to a Federal subpoena served on b3  
b6  
b7CInterviewed on 8/23/73 at Cleveland, Ohio File # Cleveland 56-83by SA  /mrw <sup>b6</sup><sub>b7C</sub> Date dictated 8/23/73

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

Pursuant to a Federal subpoena served on

JA

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b6  
b7C

Interviewed on 8-27-73 at Cleveland, Ohio File # Cleveland 56-83by SA [redacted] :kls Date dictated 8-27-73

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-30-73

1

Mr. ROBERT LYONS DIBBLE was interviewed at his employment, American Ship Building Company, Lorain, Ohio, this date, at which time he furnished the following signed statement:

"I, ROBERT LYONS DIBBLE, make the following free and voluntary statement to [redacted] and [redacted] who have identified themselves to me as special agents of the Federal Bureau of Investigation. I have been furnished an interrogation; advice of rights form by special agent [redacted] I have read and understand the meaning of this form and have executed the waiver section of the form.

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"I am 58 years of age and reside in Westlake, Ohio. Immediately prior to my taking employment with the American Ship Building Company in October of 1967 I was employed for 21 years as an agent for Northwestern Mutual Life Insurance Company. I am presently the Vice-President of Personal at American Ship Building Company and held prior positions in both public relations and employee relations. My beginning salary with American Ship Building Company in October of 1967 was \$12,000.00 and my current salary is \$17,300.00. I recently recieved a raise in August, 1973, which raised my salary from \$16,300.00 to \$17,300.00.

"During the years 1967 thru 1969 I do not recall receiving any bonuses from my current employer. During the years of 1970, 1971 and 1972 I recall receiving \$5,000.00 bonus per year.

"I wish to state that I don't recall exactly when the various bonuses were paid as there is no set date when bonuses are given out nor do I recall the specific reason for my bonuses but believe they would have been paid

Interviewed on 8-29-73 at Lorain, Ohio File # Cleveland 56-83  
by SA [redacted] and SA [redacted] Date dictated 8-29-73

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APB:rep

for some type of outstanding service rendered. I also wish to state that I have no personnel knowledge as to how employees are selected for the receipt of these bonuses.

"In regards to any stock options that have been made available to me at American Ship Building Company, I can not recall what options have been offered but I do believe I have a current option to purchase 224 shares but do not recall the price of these shares at option. I further wish to state that I have never engaged in buying or selling stocks.

"Other than the salary and bonuses I receive from my present employer I also receive annually renewals from insurance policies I previously wrote. These renewals are paid for a period of 9 years. In the year of 1972 I received approximately \$1,100.00 from renewals. I also wish to state that I have no other investments, own no real estate and my wife is not employed.

"In regards to my personal business I maintain a checking account with an average balance of \$600.00 to \$700.00 at the Society National Bank, Main Office, Cleveland, Ohio. I also have a savings account with a balance of about \$8,000.00 at the Society National Bank, Kamms Branch, Cleveland, Ohio. I do not rent any safety deposit box in any bank.

"During the years of 1967 thru 1969 I can not recall making any monetary political contributions, nor can I recall making any such contributions prior to 1967.

"In 1970 I recall making the following political contributions. I gave \$500.00 to the personal campaign of Congressman CHARLES MOSHER. I made this contribution with a personal check and probably mailed this check to MOSHER's campaign committee. I do not recall being solicited for this contribution

and wanted to make this contribution personally because I had known of CHARLES MOSHER for a number of years and considered him to be a good man. I knew the competition he had was keen and I wanted to give him what assistance I could.

"I also contributed two checks of \$500.00 each to the Ohio State Republican Finance Committee. I believe I was solicited in some manner through the mail by this committee and the checks would have been sent at different times.

"I contributed about \$1,400.00 to the National Democratic Party Dinner Committee in Washington, D.C. I believe the actual price of the dinner was \$500.00 per plate even though I gave \$1,400.00. In connection with this dinner I was not solicited in any way but wanted to contribute. I attended this dinner and I believe it was the year that GEORGE M. STEINBRENNER, Chairman and Chief Executive Officer of American Ship Building Company, was chairman of the dinner. I believe that I either mailed a check to the dinner committee or possibly gave the check personally to the dinner committee at the Mayflower Hotel in Washington, D.C.

"During 1971 I made no political contributions.

"I made the following political contributions during the year 1972. I purchased two tickets to the National Democratic Congressional Dinner, date unrecalled, and believe the tickets were \$500.00 each. I may have been solicited thru the mail for this dinner and believe I probably mailed the check for the tickets to the committee even though I personally attended the dinner.

"I contributed to the Republican dinner which I believe was called the Senate House Majority Dinner. Tickets to this dinner were \$1,000.00 each and I purchased two tickets.

In connection with this dinner I believe I was solicited through the mail and would have returned my check by mail. I did not attend this dinner.

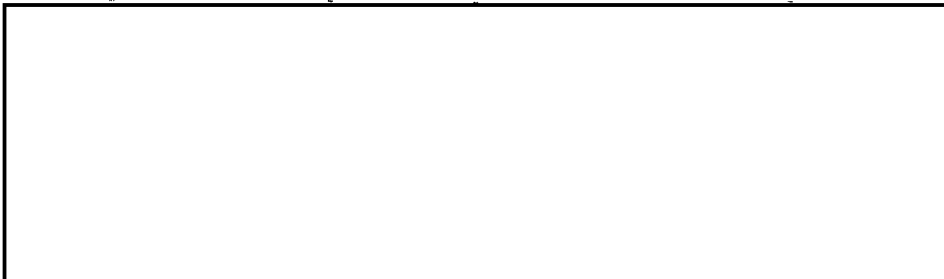
"I also contributed to an organization formed to assist in the re-election of President NIXON. I believe this organization was called the 'Loyal Americans for Government Reform.' I contributed \$3,000.00 to this organization and believe the contribution was made by a personal check in early April 1972.

"In connection with the above contribution to the NIXON re-election campaign, I was very concerned and wanted to help NIXON defeat MC GOVERN as I felt MC GOVERN would not be a good President. From time to time various employees would talk about the Presidential campaign in general conversation around the office and I think I asked BOB BARTLOME where would be the best place to send money to help re-elect NIXON. I am not positive but I think BOB BARTLOME may have given me the name of Loyal Americans for Government Reform to which committee I made out a check for \$3,000.00. I don't recall if I mailed the check to the committee or if I gave it to someone to deliver for me and if I gave it to anyone it would have been BOB BARTLOME.

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*SENATOR DANIEL K. INOUE*  
"In January of 1973 I met [redacted] (Phonetic) who works in DAN INOUE's office in Washington, D.C., in the Cleveland, Ohio area. I had previously met [redacted] at one of the Democratic dinners I attended in Washington, D.C. [redacted] mentioned that they were going to have a dinner for DAN INOUE and I told him that because of my interest in DAN and the ability which he possesses I wanted to make a contribution of \$250.00 to the success of this dinner. I gave the check to [redacted].

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"I wish to state that even though the information furnished above reflects that prior to 1970 I had not made any political contributions and subsequent to 1969 I have made considerable political contributions in the year 1970 and 1972, the nature of my employment or the receipt of bonuses from my employer had no direct bearing on my decision to make contributions. I further state that I have not been requested or compelled to make any political contribution by anyone nor have any promises of reimbursement in any form been promised to me by anyone.

"In connection with the \$3,000.00 contribution made to the Loyal Americans for Government Reform I believe I would have made some monetary contribution regardless of whether or not I received a bonus, but the amount of the bonus had an influence on the amount of money I was able to contribute. In connection with the other contributions mentioned above I do not believe they were influenced in any way by any bonus I received.

"To the best of my recollection I do not believe that I ever withdrew any money from my savings account to deposit in my checking account to cover checks written for political contributions. I also state that any political contributions I have made have been written on my personal checking account.

"I have read this statement consisting of this page and eight (8) other pages. I have

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initialed each page and each correction. This statement is true and correct to the best of my recollection.

"/s/ ROBERT LYONS DIBBLE  
8-29-73

"Witnesses:

[REDACTED], SA, FBI, Elyria, Ohio, 8-29-73  
SA, FBI, Elyria, Ohio, 8-29-73."

The following descriptive data was obtained from  
ROBERT LYONS DIBBLE during interview:

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Sex	Male
Race	White
Date of Birth	January 8, 1915
Place of Birth	Toledo, Ohio
Height	5'10"
Weight	200 lbs.
Hair	Gray
Eyes	Blue
Marital Status	[REDACTED]
Son	
Daughter	
Social Security No.	294-07-4649
Residence	27843 Detroit Road Apartment 212 Westlake, Ohio
Home Telephone	871-4670
Employer	American Ship Building Company
Occupation	Vice President in Charge of Personnel



## FEDERAL BUREAU OF INVESTIGATION

8/29/73

Date of transcription

1  
Mr. [ ] was interviewed at his employment American Ship Building Company, Lorain, Ohio, at which time he furnished the following signed statement:

"Lorain, Ohio  
8/28/73

"I, [ ] make the following free and voluntary statement to [ ] and [ ] who have identified themselves to me as special agents of the Federal Bureau of Investigation. Special Agent [ ] has orally advised me that I do not have to make any statement and that any statement I do make could be used against me in a court of law. I have further been advised that I have the right to consult with an attorney prior to being interviewed and also have the right to be represented by an attorney during this interview if I so chose. I also have been advised that I do not have to answer all questions if I do not desire to and that I have a right to terminate the interview at anytime I desire to do so. I wish to state that no threats or promises were made to be by the above identified agents to induce my cooperation.

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"Following my graduation from [ ] I spent most of my subsequent years from 1965 thru 1970 in the teaching profession in both the Cleveland, Ohio and North Olmsted, Ohio school districts.

"On June 8, 1970, I began employment with American Ship Building Company in the capacity of [ ]

"My beginning salary with American Ship Building Company was \$12,000.00 per year. In approximately December of 1971 my salary was raised to \$15,000.00, where it remained until August of 1973 when it was again increased to \$16,000.00.

Interviewed on 8/28/73 at Lorain, Ohio File # Cleveland 56-83  
by SAS [ ] & [ ] APB:mab Date dictated 8/29/73

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"During the years 1970 and 1971 I recieved no other compensation from my employer. In 1972, sometime in April of this year, I recieved a \$5,000.00 bonus. To date in 1973 I have recieved no bonus.

"The net amount of the bonus I recieved in 1972 after taxes and other deductions were taken out amounted to \$3,650.00.

"During approximately February 1972 I engaged in conversation with several fellow employees at American Ship Building Company concerning the presidential election. Two of the individuals I recall speaking with in this regard were Mr. ROBERT BARTLOME and Mr. DANIEL KISSEL. We discussed the various merits of the NIXON Administration in connection with the maritime industry which was greatly bolstered by the passage of the Maritime Act of 1970. This added great security to many jobs due to the construction of new ships. In addition to this facet of the NIXON administration I was also interested in the manner in which President NIXON handled other various problems which confronted the nation.

"In connection with these conversations mentioned above concerning the re-election of President NIXON I asked Mr. BARTLOME how an individual could make a contribution toward the re-election of President NIXON. Mr. BARTLOME stated that he would find out.

"Mr. BARTLOME subsequently advised me that he had obtained the name of a committee I could direct my contribution to from Mr. GEORGE M. STEINBRENNER, Chairman and Chief Executive Officer of American Ship Building Company. Mr. BARTLOME later gave me the name of a committee I believe was called "Supporters of Good Government".

"On April 6, 1972 I wrote a personal check on my account at Cleveland Trust Bank, Cleveland, Ohio to the Supporters of Good Government in the amount of \$3500.00.

"Prior to writing the above check I had learned from Mr. BARTLOME, possibly as far back as February 1972, that I was going to receive a \$5000.00 bonus from the American Ship Building Company. As I recall the date I received the bonus and the date of my contribution to the above committee were very close.

"I wish to state that I made the contribution to the above committee of my own free will and was not compelled to do so in any way by my employment. I further wish to state that I felt so strongly about the necessity of re-electing President NIXON that I would have made some type of monetary contribution even though I had not received a bonus, however the bonus made it possible for me to make the contribution. I made above. After I had made out the check to the Supporters of Good Government, I gave the check to Mr. BARTLOME who told me he would send the check along with his contribution and that of DANIEL KISSEL. I have no idea how the check was delivered to the committee for Supporters of Good Government.

"No provisions or promises were made to me by my employer or anyone else indicating I would be reimbursed in any way for any political contributions I made. I further state that I have not made any previous political contributions and made no other political contributions thru the year 1972.

"Concerning my personal banking accounts I have my savings and checking account at the Cleveland Trust Bank, my home mortgage at the Second Federal Savings and Loan and I have a automobile loan at the National City Bank of Cleveland, Ohio. I do not

have a safety deposit box at any bank. I have read this statement. I have initialed each page and each correction. This statement consists of (5) five pages and one other page to which I will sign my signature. This statement is true and correct to the best of my recollection.

"/s/ [redacted]  
8/28/73

:Witnesses:

/s/ [redacted] SA, FBI, Elyria, Ohio 8/28/73.  
/s/ [redacted] FBI, Elyria, Ohio 8/28/73.

In addition to the information furnished above, Mr. [redacted] advised that in July, 1973, he made a \$200 contribution for Dinner with Dan which he stated was a dinner in honor of DAN INOUE which contribution he paid for with a personal check.

The following descriptive data was obtained during the interview:

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Name	[redacted]
Sex	Male
Race	White
Date of Birth	[redacted]
Place of Birth	North Olmsted, Ohio
Height	5' 11"
Weight	230
Hair	Blond
Eyes	Brown
Wife	[redacted]
Children	[redacted]
Residence	North Olmsted, Ohio
	Phone: [redacted]
Social Security	[redacted]

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5

Education

[Redacted]

Employment  
Occupation

American Ship Building Company

[Redacted]

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/29/73

1

DANIEL AUGUST KISSEL, 37849 Lake Drive, Avon, Ohio, was contacted at the offices of the American Ship Building Company, Investment Plaza, East 9th Street, Cleveland, Ohio.

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KISSEL was advised of the identities of [redacted] and [redacted] as Special Agents of the Federal Bureau of Investigation (FBI) and was informed of the nature of the investigation by SA [redacted].

After having been orally advised of his rights and executing a Waiver of his rights as set forth on an "Interrogation: Advice of Rights Form" KISSEL was informed that in connection with the investigation a subpoena had been served [redacted]

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Thereafter, KISSEL furnished the following signed statement:

"Cleveland, Ohio  
August 29, 1973

"I, DANIEL AUGUST KISSEL, age 60, 37849 Lake Drive, Avon, Ohio hereby make the following free and voluntary statement to [redacted] and [redacted] who have identified themselves to me as Special Agents of the Federal Bureau of Investigation.

"I have been furnished a form entitled, "Interrogation: Advice of Rights" with a waiver of rights thereon. I have signed this waiver in the presence of [redacted] for American Ship Building Company.

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Interviewed on 8/29/73 at Cleveland, Ohio File # Cleveland 56-83  
by SAS [redacted] & [redacted] Date dictated 8/29/73  
MVH:mab

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"I have also been advised this investigation concerns campaign contributions as they might relate to a possible violation of Federal election laws, particularly the contributing of corporate funds or monies through company employees or officers.

"I have been employed by the Kinsman Marine Transit Co., a subsidiary of the American Shipbuilding Company since 1963. Since about 1967 I have been Treasurer of Kinsman Marine Transit and earn \$14,500 yearly. I own a \$30,000 home which has about a \$1,400 mortgage remaining. I and my wife [redacted] have a joint checking account at the Lorain, Ohio, branch of Cleveland Trust Company, which has an average monthly balance of \$300.00. In addition, my wife and I each have savings accounts at the downtown branch of the Union Savings and Loan Association with total balance of about \$5,000. My wife [redacted] also has about a \$1,000 savings account at Broadview Savings, also at downtown Cleveland, Ohio. [redacted] also has a \$2,000 to \$2,500 savings account at the Avon, Ohio branch of the Central Security National Bank of Lorain, Ohio.

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"Regarding the \$3,500 contribution to the NIXON campaign which I recall making early in April, 1972, I can state that this contribution came about after discussion with my wife and other employees of the American Ship Building Company on Kinsman Marine Transit. My wife and I felt that we wished to make a substantial contribution to the RICHARD NIXON campaign as, in our opinion, his policies better represented our interests than did the Democratic candidate GEORGE MC GOVERN.

"At the time I made the contribution I had been working out at the Lorain, Ohio, American Shipbuilding Co., and ROBERT BARTLOME had a list of several committees which I understand were backing the NIXON campaign. I wrote a \$3,500 check to one of the committees, the specific name I do not recall, and gave my check to ROBERT BARTLOME, who I under-

stand gave the check to [redacted] an American Ship Building company official, who took the check to Washington, D.C. b6 b7C

"I was aware at the time that there was a deadline coming up which, in effect, would make campaign donors and contributions public information I intended to cover the \$3,500 charge to my checking account by the transfer of personal savings accounts funds. However, it so happened at that time that I received a \$5,000 company bonus in the form of an American Ship Building Company payroll check in the amount of \$3,750, which represented the \$5,000 bonus less the usual deductions.

"I had been expecting the bonus but I was not sure when I would receive the check or what the amount was going to be. Regardless of the receipt of the bonus I still would have made a contribution to the NIXON campaign. Prior to receiving the \$5,000 bonus the highest or largest company bonus I had received was \$1,200. Since the April 1972 bonus I received a \$1,500 company bonus in February or March of this year, 1973. I am not familiar with the corporate procedure for the allocating of company bonuses and I can only account for the sizeable bonus which I received in 1972 by citing the financial success of the company in 1971. Also my campaign contribution, including the amount was my own idea and in no way was my making the \$3,500 contribution motivated by my anticipated bonus or any instruction, order, or specific encouragement by my employer GEORGE M. STEINBRENNER or any other company officer or employee. Also no representative or suggestion was made to me about any reimbursement, direct or indirect, immediate or deferred, or any repayment or any consideration or anything of value for my making the contribution.

"Other than the above-mentioned contribution I have never made any campaign contributions to either party at this time.



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"I have read the above statement consisting of this page and two other pages and certify that the statement is true to the best of my knowledge.

/s/ DANIEL A. KISSEL

"Witness:

/s/ [redacted] Special Agent, FBI, Cleveland, Ohio 8/29/73.  
/s/ [redacted] Special Agent, FBI, Cleveland, Ohio 8/29/73!"

KISSEL requested a copy of the above statement and was furnished with same.

Following is descriptive data obtained during interview:

Name	DANIEL AUGUST KISSEL
Sex	Male
Race	White
Date of Birth	July 21, 1913
Place of Birth	Cleveland, Ohio
Height	5' 10"
Weight	192
Hair	Brown and gray
Eyes	Blue, glasses
Social Security	#271-03-0971
Education	1½ years college
Military	US Army 1943-46 and 1950-52 Honorable Discharge
Home address	37849 Lake Drive Avon, Ohio Phone: 934-4407
Wife	[redacted]
Son	
Son	

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/28/73

1  
Mr. [ ] was interviewed at his employment. The American Ship Building Company, Lorain, Ohio, at which time he furnished the following signed statement:

"I, [ ] make this free and voluntary statement to [ ] and [ ] who have identified themselves to me as special agents of the Federal Bureau of Investigation.

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"Agent [ ] furnished me with an interrogation; advice of rights form which I read, understood the meaning of, and executed the waiver section of this form.

"I have been employed by American Ship Building Company since March of 1968. I am presently the [ ] and previously held position of [ ].

"My salary in March of 1968 when I began employment with this company was \$8,600.00 and my present salary as of August 1973 is \$16,300.00.

"In connection with bonuses recieved from American Ship Building Company, I recieved none in 1968 and may have recieved one in both 1969 and 1970, but I am not sure of this. In the years 1971 and 1972 I recall receiving a bonus of about \$5,000.00 each year. This bonus would be paid by regular payroll check and would be less deductions for taxes, etc. I can not recall the exact net amount of these bonuses.

"In connection with stock options at American Ship Building Company I have two options to purchase 500 shares of stock each, one option being in the range of \$21.00 per share and the other option at about \$22.00 per share. These options will expire in 1975 or 1976 and to date I have not purchased any of the stock.

Interviewed on 8/28/73 at Lorain, Ohio File # Cleveland 56-83

by SAS [ ] & [ ]  
[ ] APB:mab Date dictated 8/29/73

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"During the early part of 1972 the exact period of time unrecalled, I discussed the presidential campaign with many of my fellow employees. I felt that I wanted to contribute in some way to the re-election of President Nixon as I felt the shipping industry was profiting more since the passage of the Maritime Act of 1970. I also feel that I hoped indirectly to bring to the attention of Mr. GEORGE STEINBRENNER, Chairman and Chief Executive Officer of American Ship Building Company, that I was personally interested in the future of the ship building industry by backing the NIXON Administration which administration helped the industry.

"In particular I recall speaking more with Mr. ROBERT BARTLOME about making a contribution to assist in the re-election of President NIXON, in that I inquired of Mr. BARTLOME how I would go about making a contribution. Mr. BARTLOME said he would get a list of organizations connected with NIXONs re-election campaign and that I could choose one of these organizations to contribute too. I chose one of the organizations from a list provided me by Mr. BARTLOME, however I can not recall the name of the organization or committee, but do recall it had the word 'Society' in it.

"I also learned sometime around February 1972 from Mr. BARTLOME that I would be receiving a bonus but I did not know the amount of the bonus or when I would receive it.

"In April of 1972 I wrote a check in the amount of \$3,000.00 on my [redacted] payable to the committee mentioned above. I gave this check to Mr. BARTLOME with the implied understanding that he would see the check arrived at its proper destination.

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"Also in either late 1971 or early 1972 I purchased two tickets to a political dinner to be held in Washington, D.C. I can not recall the exact price of these tickets but they were either \$500.00 or \$1,00.00 each. I did not attend this dinner. The contributions for this dinner were solicited by the dinner committee through the mail. I paid for these tickets by personal check from the Cleveland Trust Bank and probably mailed the check in an envelope provided by the committee.

"Regarding any other political contributions made in previous years dating back to 1968, I believe I possibly made contributions in 1970 and 1971, but do not recall the amounts or to whom the contributions were made. I do not recall making any contributions during 1968 or 1969.

"In connection with any political contributions I have described above, I wish to state that no pressure was brought to bear on me in any way to induce me to make these contributions. I also wish to state that the contributions were made from my own earnings and I was not directly or indirectly reimbursed by anyone for the contributions I made of my own free will.

"As far as my banking connections are concerned, my personal banking both savings and checking are handled through the Cleveland Trust Bank in Lorain, Ohio. I do not have a safety deposit box, and the only other bank I do business with is Cleveland Federal Savings & Loan where I have my home mortgage. I also wish to state I have no outside source of income.

"In connection with my contribution to the NIXON re-election campaign, I feel the annual bonus I received from my employment had a bearing on the amount of my contribution, in that this bonus afforded

me a larger amount of money to contribute than if I had contributed out of my regular earnings. I wish to state however that I felt strong enough about the re-election of President NIXON that I would have contributed some amount of money even if I would not have recieved a bonus.

"I have read this statement consisting of six pages. I have initialed each page and each correction. This statement is true and correct to the best of my recolection.

"/s/ [redacted]

8/28/73

"Witnesses:

/s/ [redacted]

/s/ [redacted]

, SA, FBI, Elyria, Ohio 8/28/73.  
SA, FBI, Elyria, Ohio, 8/28/73."

2

In addition to the above statement, the following descriptive data was obtained during the interview:

Name	[redacted]
Sex	Male
Race	White
Date of Birth	[redacted]
Place of Birth	Pittsburgh, Pennsylvania
Height	6'
Weight	230
Hair	Blond
Eyes	Blue
Wife	[redacted]
Children: Sons	[redacted]

Education

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5

Employment  
Occupation  
Residence

American Ship Building Company



Avon Lake, Ohio

Phone: 

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

[redacted]  
[redacted] Cleveland, Ohio, was contacted at his place of employment and advised of the identities of [redacted] as Special Agents (SAs) of the Federal Bureau of Investigation (FBI).

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[redacted] was furnished the original and one copy each of subpoenas dated the tenth of August, 1973 issued from the United States District Court, for the District of Columbia for the Honorable JOHN J. SIRICA commanding [redacted]  
[redacted]

[redacted] was specifically advised by SA [redacted] that although each subpoena calls for [redacted] requested to the United States District Court, Washington, D.C. on or before September 12, 1973, that the office of the special prosecutor will consider that the subpoena has been appropriately answered if [redacted]  
[redacted]

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[redacted] was requested by SA [redacted] to immediately advise the contacting agents if [redacted] was unable to comply with any aspects of the subpoenas.

Interviewed on 8-20-73 at Cleveland, Ohio File # Cleveland 56-83  
by SAs [redacted] and [redacted] Date dictated 8-24-73  
[redacted] MVH:kls

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CV 56-83

2

Subsequent to the above personal contact on the same date [redacted] telephonically contacted SA [redacted] at the Cleveland FBI office and stated that after reviewing the respective subpoenas with [redacted]

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[redacted] raised the following points concerning the subpoenas:

[redacted]

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[redacted] also made reference to the probability that [redacted]

[redacted]

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[redacted] attempted to set up a three-way telephone conference with [redacted] SA [redacted] and himself but was unsuccessful. [redacted] was informed that he may have [redacted] contact SA [redacted] directly.

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-731

[redacted]  
[redacted] Cleveland, Ohio, telephonically contacted the  
Cleveland Office of the Federal Bureau of Investigation  
(FBI) on the afternoon of August 20, 1973 and spoke with  
Special Agent (SA) [redacted] making reference to a  
telephone conversation between [redacted]  
[redacted] and SA [redacted]

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[redacted] reiterated the several points pre-  
viously mentioned by [redacted] regarding [redacted]  
[redacted] observations on the subpoenas served on [redacted]  
[redacted] on that date by SAs [redacted] and [redacted]

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On repeating his observations [redacted] was  
questioned by SA [redacted] as to whether the several observations  
would inhibit [redacted] whereupon he replied  
that the points he listed would not prevent [redacted]

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[redacted] also mentioned [redacted]  
[redacted]

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[redacted] was also informed by SA [redacted] that the  
office of the special prosecutor, Washington, D.C. would  
consider the subpoenas as having been answered if [redacted]  
[redacted]

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Interviewed on 8-20-73 at Cleveland, Ohio File # Cleveland 56-83

by SA [redacted] : kls Date dictated 8-24-73

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8-27-73

[redacted]  
[redacted]  
Cleveland, Ohio, was contacted at his place of employment.

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[redacted] was aware of the identities of [redacted]  
[redacted] and [redacted] as Special Agents (SAs) of the  
Federal Bureau of Investigation (FBI) from previous contacts.  
He was informed by SA [redacted] that he was being contacted to  
ascertain the most current position of [redacted]  
[redacted] with regard to the responding and complying with  
subpoenas served on [redacted] by SA [redacted]  
on August 20, 1973.

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In response, [redacted] advised that upon receipt  
of a letter from the United States Department of Justice  
directed to [redacted] and upon the arrival  
of September 12, 1973, [redacted] will make the requested [redacted]  
[redacted]

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[redacted] also noted that the subpoena commands  
[redacted] "on or before  
Wednesday, the 12th of September, 1973" and noted that even  
though "or before" is so listed [redacted] is withholding  
[redacted] until September 12, 1973

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Interviewed on 8-24-73 at Cleveland, Ohio File # Cleveland 56-83

by SAs [redacted] and [redacted]  
[redacted] MVH:kls Date dictated 8-27-73

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CV 56-83

2

at the recommendation of [REDACTED]

[REDACTED] noted that as of that date, August 24, 1973 [REDACTED] had not received the anticipated letter from the United States Department of Justice.

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1- Mr.

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b7C

August 31, 1973

BY COURIER SERVICE

REC-38

EX-103

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

*Jaw*  
Reference is made to the memorandum of Henry S. Ruth, Jr., dated August 10, 1973, requesting investigation regarding captioned matter and the prior memoranda of the FBI dated August 28 and August 29, 1973, concerning this case.

The Cleveland, Ohio, Office of the FBI advised on August 30, 1973, as follows:

*By Courier*  
Robert Lyons Dibble, Vice President in Charge of Personnel, American Ship Building Company, Lorain, Ohio, furnished a signed statement advising he has been employed by captioned firm since 1967 and currently earns \$17,300 annually. During the period 1970 through 1972, he received a \$5,000 bonus each year and made political contributions in 1970 of \$500 to Congressman Charles Mosher; \$1,000 to Ohio State Republican Finance Committee; and \$1,400 to National Democratic Party Dinner Committee which dinner he attended in Washington believed to be chaired by George M. Steinbrenner. In 1971 he made no political contributions.

In 1972 Dibble purchased two \$5 tickets to the National Democratic Congressional Dinner which he attended in Washington, D. C.; two \$1,000 tickets for a Republican Dinner which he did not attend; and \$3,000 to a Nixon reelection committee called "Loyal Americans for Government Reform." He believed most solicitation was through the mail and recalls no personal solicitation. Checks would have been mailed to various committees or delivered personally when in Washington. He said that he was not coerced in any way by anyone to make political contributions and stated his bonus may have influenced the size of his contribution to the Nixon reelection committee in that he had more money available to contribute but he feels he would have contributed regardless of the bonus; however, it would have been a lesser amount.

MAILED 3  
AUG 31 1973  
FBI

Assoc. Dir. \_\_\_\_\_  
Asst. Dir. \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
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Research \_\_\_\_\_  
Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

JEH/amm  
525-1164  
1/5/73  
REC  
WAF

SEE NOTE PAGE TWO...

MAIL ROOM ☒

TELETYPE UNIT ☐

AMERICAN SHIP BUILDING COMPANY

Daniel Kissel, Treasurer, Kinsman Marine Transit Division, American Ship Building Company, Cleveland, furnished a signed statement in which he advised that he currently earns \$14,500 annually and in April, 1972, he made a \$3,500 contribution to an unrecalled Nixon campaign committee. He received a \$5,000 company bonus which in no way influenced his contribution as he would have contributed anyway. He stated the largest previous company bonus prior to aforementioned \$5,000 bonus was for \$1,200 and during the current year, 1973, he received a \$1,500 bonus.

The Cleveland Office noted that in view of the apparent disproportionate size of the company bonus and campaign contribution of Kissel, consideration should be given to issuing a subpoena for Kissel in the event grand jury testimony is taken concerning this matter.

A detailed interview report form will be furnished to you for the two aforementioned interviews in the immediate future. The requested investigation in the memorandum of August 10, 1973, has not as yet been completed and you will be kept advised of pertinent developments as they occur.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NOTE: Original and one forwarded to Special Prosecutor Cox by 0-14 this date.

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 30 1973

TELETYPE

NR 002 CV CODE

110 AM NITEL 8/30/73 BAW

TO DIRECTOR

WASHINGTON FIELD

FROM CLEVELAND (56-83) (P) 3P

Assoc. Dir.	_____
Asst. Dir.:	_____
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Telephone Rm.	_____
Director Sec'y	_____

① AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)  
OO: WFO.

RE CLEVELAND NITEL TO DIRECTOR AND WASHINGTON FIELD  
8/28/73.

ROBERT LYONS ~~DIBBLE~~, VICE PRESIDENT IN CHARGE OF  
PERSONNEL, AMERICAN SHIP BUILDING CO., LORAIN, OHIO, FURNISHED  
SIGNED STATEMENT THIS DATE ADVISING IN ESSENCE THAT HE HAS  
BEEN EMPLOYED BY THIS COMPANY SINCE OCTOBER, 1967 TO PRESENT.  
BEGINNING SALARY OF \$12,000 HAS INCREASED TO PRESENT SALARY  
OF \$17,300. RECEIVED NO BONUSES 1967 THROUGH 1969. FROM 1970  
THROUGH 1972, RECEIVED \$5,000 BONUS EACH YEAR. MADE NO  
POLITICAL CONTRIBUTIONS PRIOR TO 1970. IN 1970, MADE FOLLOWING  
POLITICAL CONTRIBUTIONS: \$500.00 TO PERSONAL CAMPAIGN,  
CONGRESSMAN CHARLES MOSHER; \$1,000 TO OHIO STATE REPUBLICAN  
FINANCE COMMITTEE; \$1400 TO NATIONAL DEMOCRATIC PARTY DINNER  
END PAGE ONE

REG-38

EX-103

RECEIVED

SEP 6 1973

LHM to COX  
JEH/awm  
8/31/73

b6  
b7c

PAGE TWO

CV 56-83

COMMITTEE AND ATTENDED DINNER IN WASHINGTON, WHICH HE BELIEVES WAS CHAIRED BY GEORGE M. STEINBRENNER. IN 1971, HE MADE NO POLITICAL CONTRIBUTIONS.

MADE FOLLOWING CONTRIBUTIONS IN 1972: TWO TICKETS AT \$5.00 EACH TO NATIONAL DEMOCRATIC CONGRESSIONAL DINNER, WASHINGTON, D. <sup>C</sup>/ WHICH HE PERSONALLY ATTENDED; TWO TICKETS AT \$1,000 EACH FOR REPUBLICAN DINNER BELIEVED CALLED "THE SENATE HOUSE-MAJORITY DINNER"; DID NOT ATTEND; \$3,000 TO NIXON RE-ELECTION COMMITTEE CALLED "LOYAL AMERICANS FOR GOVERNMENT REFORM". BELIEVES MOST SOLICITATION WAS THROUGH THE MAIL AND RECALLS NO PERSONAL SOLICITATION. CHECKS WOULD HAVE BEEN MAILED TO VARIOUS COMMITTEES OR DELIVERED PERSONALLY WHEN IN WASHINGTON.

DIBBLE ADVISED WAS NOT COERCED IN ANY WAY <sup>y</sup>/ BY ANYONE TO MAKE POLITICAL CONTRIBUTIONS AND ADVISED BONUS MAY HAVE INFLUENCED SIZE OF CONTRIBUTION TO NIXON RE-ELECTION COMMITTEE IN THAT HE HAD MORE MONEY AVAILABLE TO CONTRIBUTE BUT FEELS HE WOULD HAVE CONTRIBUTED REGARDLESS OF THE BONUS; HOWEVER, IT WOULD HAVE BEEN A LESSER AMOUNT.

END PAGE TWO

PAGE THREE

CV 56-83

DANIEL ~~KISSEL~~, TREASURER, KINSMAN MARINE TRANSIT DIVISION  
OF AMERICAN SHIP BUILDING CO., CLEVELAND, OHIO, IN SIGNED  
STATEMENT FURNISHED SIMILAR ACCOUNT OF APRIL, 1972 CAMPAIGN  
CONTRIBUTION AS OTHER SEVEN COMPANY OFFICIALS. KISSEL STATED HE  
EARNS \$14,500 ANNUALLY AND CLAIMED HE <sup>G</sup>HAVE \$3500 CONTRIBUTION  
TO UNRECALLED NIXON CAMPAIGN COMMITTEE AND RECEIPT OF \$5,000  
COMPANY BONUS IN NO WAY INFLUENCED HIS CONTRIBUTION AS HE WOULD  
HAVE CONTRIBUTED ANYWAY.

KISSEL STATED LARGEST PREVIOUS COMPANY BONUS WAS FOR  
\$1200 AND HE RECEIVED A \$1500 BONUS THIS YEAR, 1973.

IN VIEW OF APPARENT DISPROPORTIONATE SIZE OF COMPANY BONUS  
AND CAMPAIGN CONTRIBUTION FOR KISSEL, USDJ MAY WISH TO CONSIDER  
ISSUING SUBPOENA FOR KISSEL IN EVENT GRAND JURY TESTIMONY  
COMMENCES IN THIS MATTER.

CLEVELAND SUBMITTING PENDING REPORT.

~~EDDRECTION: PAGE TWO LINE 14 WORD 8 SHLD BE WAY RPT WAY.~~

ACK FOR ONE TEL LNG FBI HQ CLR



REC 99

August 29, 1973

1- Mr.

**EX-103**

**AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS**

This is to record telephonic advice on this date to Mr.  of the Special Prosecutor's Office by Special Agent  of this Bureau concerning the following information.

The Cleveland, Ohio, Office of the FBI advised August 28, 1973, as follows:

and Gordon Stafford, Vice President of Sales, both of American Ship Building Company, in signed statements on August 28, 1973, advised that their April, 1972, contributions to the Nixon Campaign were based on their own personal desires and not solicited in any way by George M. Steinbrenner or any other company officials. Each stated that they received net amounts of company bonuses which are identical to the amounts contributed and stated same is due merely to coincidence and that even if they had not received bonuses, they still would have made the contributions.

Each personally stated that his recollection of the circumstances concerning the contributions is based on the truth as he recalls it and each would not hesitate to relate the same account at any judicial proceeding.

claimed that prior to being shown a photo copy of his checking account statement for the month of April, 1972, he could only recall that the contribution was somewhere between \$2,500 and \$3,000 as he is of such financial means that such a contribution would not be a strain on him and thus not necessarily easily remembered.

JJC:efg

SEE NOTE PAGE TWO...

(4)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; and its contents are not to be distributed outside your agency.

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
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Press Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

MAIL ROOM ☐

TELETYPE UNIT ☐

LHM

Re: American Ship Building Company

[redacted]  
[redacted] and [redacted] American  
Ship Building, Lorain, Ohio, in signed statements advised as follows:

[redacted] has been employed by the company since June, 1970, and salary ranged from \$12,000 to \$16,000 at present. He received a \$5,000 bonus in 1972 netting him \$3,650. He stated he discussed the Nixon Re-election Campaign with fellow employees and believed Nixon's Re-election would further bolster the security of the Maritime Industry as did the passage of the Maritime Act of 1970. He advised the receipt of his bonus made it possible for him to make the size contribution he made although he would have made some contribution even if he did not receive a bonus. This is the only political contribution he has ever made.

b6  
b7C

[redacted] has been employed by American Ship Building from March, 1968, to the present at a beginning salary of \$8,600 and is presently earning \$16,300 per year. He recalls receiving bonuses in 1971 and 1972 of about \$5,000 each year. He advised he discussed Nixon's Re-election Campaign with other fellow employees and felt he wanted to contribute in some way to the Re-election. He subsequently made a contribution of \$3,000 to one of the Committees for the Re-election of President Nixon as he felt this would both assist in the bolstering of the security of the Maritime Industry and would also indirectly bring to the attention of Mr. George Steinhilber the fact that he was personally interested in the future of the Ship Building Industry by backing the Nixon administration, which administration has helped the industry.

Both [redacted] each indicated that they were in no way intimidated by their employer to contribute to any political committee and made their contributions of their own free will. Both also stated that they received no reimbursement or any promise of reimbursement for any contribution made.

NOTE: Original and one forwarded to Special Prosecutor's Office by 0-14, this date.

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 28 1973  
*Don*  
TELETYPE

NR 012 CV CODE

10:25 PM NITEL 8-28-73 JSM

TO DIRECTOR

WASHINGTON FIELD

FROM CLEVELAND (56-83) (P) 4P

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Director Sec'y	_____

*WAPP 1-1 10-13*  
*(40)*  
AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)

*OO WFO*

RE CLEVELAND NITEL TO DIRECTOR AND WASHINGTON FIELD

8/24/73.

*S*  
[REDACTED] AND GORDON STAFFORD, VICE PRESIDENT

b6  
b7c

OF SALES, BOTH OF AMERICAN SHIP BUILDING COMPANY, IN SIGNED  
STATEMENTS <sup>on Aug 28, 1973</sup> THIS DATE, ADVISED THAT THEIR APRIL, 1972 CON-  
TIBUTIONS TO THE NIXON CAMPAIGN WERE BASED ON THEIR OWN PERSON-  
AL DESIRES AND NOT SOLICITED IN ANY WAY BY GEORGE M. STEINBRENNER  
OR ANY OTHER COMPANY OFFICIALS. EACH STATED THAT THEY  
RECEIVED NET AMOUNTS OF COMPANY BONUSES WHICH ARE IDENTICAL TO  
THE AMOUNTS CONTRIBUTED AND STATED SAME IS DUE MERELY TO  
COINCIDENCE AND THAT EVEN IF THEY HAD NOT RECEIVED BONUSES,  
THEY STILL WOULD HAVE MADE THE CONTRIBUTIONS.

END PAGE ONE

EX-103

REC 99

56-4737 +1

SMC-40

SEP 4 1973

10 AM 8/29/73

[REDACTED]

*advised this al. ch*

*LHM 8/29/73  
to SPO 0-14-1  
HC: etg*

*6-ON*

EACH PERSONALLY STATED THAT HIS RECOLLECTION OF THE CIRCUMSTANCES <sup>concerning</sup> ~~RE~~ THE CONTRIBUTIONS IS BASED ON THE TRUTH AS HE RECALLS IT AND EACH WOULD NOT HESITATE TO RELATE THE SAME ACCOUNT AT ANY JUDICIAL PROCEEDING.

[REDACTED] CLAIMED THAT PRIOR TO BEING SHOWN A PHOTO COPY OF HIS CHECKING ACCOUNT STATEMENT FOR THE MONTH OF APRIL, 1972, HE COULD ONLY RECALL THAT THE CONTRIBUTION WAS SOMEWHERE BETWEEN \$2500 AND \$3000 AS HE IS OF SUCH FINANCIAL MEANS THAT SUCH A CONTRIBUTION WOULD NOT BE A STRAIN ON HIM AND THUS NOT NECESSARILY EASILY REMEMBERED.

b6  
b7C

[REDACTED] AND [REDACTED] AMERICAN SHIP BUILDING, LORAIN, OHIO, <sup>N</sup>IS SIGNED STATEMENTS ADVISED AS FOLLOWS:

<sup>has been</sup>  
[REDACTED] EMPLOYED BY THE COMPANY SINCE JUNE, 1970 AND SALARY RANGED FROM \$12,000 TO \$16,000 AT PRESENT. RECEIVED \$5,000 BONUS IN 1972 NETTING HIM \$3650. STATED HE DISCUSSED NIXON RE-ELECTION CAMPAIGN WITH FELLOW EMPLOYEES AND BELIEVED NIXON'S  
END PAGE TWO

CV 56-83

PAGE THREE

RE-ELECTION WOULD FURTHER BOLSTER THE SECURITY OF THE MARITIME INDUSTRY AS DID THE PASSAGE OF THE MARITIME ACT OF 1970. HE ADVISED RECEIPT OF HIS BONUS MADE IT POSSIBLE FOR HIM TO MAKE THE SIZE CONTRIBUTION HE MADE ALTHOUGH HE WOULD HAVE MADE SOME CONTRIBUTION EVEN IF HE DID NOT RECEIVE A BONUS.

HE ADVISED THIS IS THE ONLY POLITICAL CONTRIBUTION HE HAS EVER MADE.

WAS EMPLOYED BY AMERICAN SHIP BUILDING FROM MARCH, <sup>b6</sup>  
1968 TO PRESENT AT A BEGINNING SALARY OF \$8600 AND IS PRESENTLY <sup>b7c</sup>  
EARNING \$16,300 PER YEAR. HE RECALLS RECEIVING BONUSES IN  
1971 AND 1972 OF ABOUT \$5,000 EACH YEAR. HE ADVISED HE  
DISCUSSED NIXON'S RE-ELECTION CAMPAIGN WITH OTHER FELLOW EMPLOYEES  
AND FELT HE WANTED TO CONTRIBUTE IN SOME WAY TO THE RE-ELECTION.  
HE SUBSEQUENTLY MADE A CONTRIBUTION OF \$3,000 TO ONE OF THE  
COMMITTEES FOR THE RE-ELECTION OF PRESIDENT NIXON AS HE FELT  
THIS WOULD BOTH ASSIST IN THE BOLSTERING OF THE SECURITY OF  
THE MARITIME INDUSTRY AND WOULD ALSO INDIRECTLY BRING TO THE  
ATTENTION OF MR. GEORGE STEINBRENNER THE FACT THAT HE WAS  
PERSONALLY INTERESTED IN THE FUTURE OF THE SHIP BUILDING INDUSTRY  
END PAGE THREE

3

CV 56-83

PAGE FOUR

BY BACKING THE NIXON ADMINISTRATION, WHICH ADMINISTRATION HAS  
HELPED THE INDUSTRY.

BOTH  EACH INDICATED THAT THEY WERE IN  
NO WAY INTIMIDATED BY THEIR EMPLOYER TO CONTRIBUTE TO ANY  
POLITICAL COMMITTEE AND MADE THEIR CONTRIBUTIONS OF THEIR OWN  
FREE WILL. BOTH ALSO STATED THAT THEY RECEIVED NO REIMBURSEMENT  
OR ANY PROMISE OF REIMBURSEMENT FOR ANY CONTRIBUTION MADE.

END

FBIHQ FLC CLR

b6  
b7c

4

PLAINTEXT

TELETYPE

NITEL

1- Mr. [REDACTED]

9/7/73

TO SAC CLEVELAND (56-83)  
FROM DIRECTOR FBI (56-4737)

b6  
b7c

AMERICAN SHIP BUILDING COMPANY; GEORGE M. STEINBRENNER;  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT);  
OO: WFO.

REBUCAL TO CLEVELAND THIS DATE.

THIS IS TO CONFIRM INSTRUCTIONS IN REBUCAL AS FOLLOWS:

THIS CASE WAS DISCUSSED 9/7/73 WITH [REDACTED]  
ASSISTANT SPECIAL PROSECUTOR, AT WHICH TIME HE ADVISED AS  
FOLLOWS: THE CLEVELAND OFFICE SHOULD PROCEED WITH THE SCHEDULED  
INTERVIEW OF STEINBRENNER ON MONDAY 9/10/73. INTERVIEWING  
AGENTS, AFTER ADVISING STEINBRENNER OF HIS RIGHTS, SHOULD  
QUESTION HIM IN DETAIL CONCERNING THE POSSIBLE ELECTION LAWS  
VIOLATION, SECTION 610, TITLE 18, USC. WHILE STEINBRENNER SHOULD  
NOT BE SPECIFICALLY QUESTIONED CONCERNING A POSSIBLE OOI VIOLATION,  
THAT IS, THE FABRICATION OF THE DECEPTIVE COVER STORY TOLD BY  
THE COMPANY OFFICIALS DURING THEIR INTERVIEWS, INFORMATION  
CONCERNING THIS AND ANY OTHER VIOLATIONS SHOULD BE ACCEPTED IF  
VOLUNTEERED AND LOGICAL QUESTIONS ASKED IN THAT REGARD.

1- WFO (info)

EX-117

REC-75

56-4737-12

22 SEP 11 1973

JJC/amm (4)

JJC/amm

WAF

SEE NOTE PAGE TWO...

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

mm 9:14p

Assoc. Dir.  
Asst. Dir.:  
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Corr. & Crim.  
Research  
Press Off.  
Telephone Rm.  
Director, Sec'y

55 SEP 14 1973

TELETYPE UNIT

NITEL TO SAC CV  
RE: AMERICAN SHIP BUILDING COMPANY

ADDITIONALLY, YOUR OFFICE SHOULD ATTEMPT TO IDENTIFY ANY INFORMANTS OR OTHER SOURCES NOW OR PREVIOUSLY EMPLOYED BY THAT COMPANY WHO COULD BE CONTACTED AT A LATER DATE FOR INSIDE INFORMATION. NO OTHER INVESTIGATION IS TO BE CONDUCTED AT THIS TIME EXCEPT THAT. ANY INFORMATION VOLUNTEERED SHOULD BE ACCEPTED AND IMMEDIATELY REPORTED.

THE RESULTS OF THE MONDAY INTERVIEW WITH STEINBRENNER SHOULD BE IMMEDIATELY REPORTED TO FBIHQ BY TELETYPE FOLLOWED BY A REPORT.

MAIL COPY TO WFO.

NOTE: Above discussion with Mr. [REDACTED] was had today by Section Chief Long and SA [REDACTED] b6 b7C

ReBucal was made by Section Chief Long to SAC F. Fehl, Cleveland.



FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 10 1973

TELETYPE

Assoc. Dir.	
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Cong. Serv.	
Corr. & Crm.	
Research	
Press Off.	
Telephone Rm.	
Director Sec'y	

NR 006 CV CODE

8:35 PM NITEL 9-10-73 CLP

TO DIRECTOR(56-4737)

FROM CLEVELAND (56-83)

AMERICAN SHIP BUILDING COMPANY; GEORGE M. STEINBRENNER;  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE  
UNIT); OO:WASHINGTON FIELD.

RE BUREAU TELETYPE TO CLEVELAND, 9-7-73.

A HIGHLY PLACED CONFIDENTIAL SOURCE AT THE AMERICAN  
SHIP BUILDING COMPANY, CLEVELAND, OHIO, ON THE MORNING OF  
9-10-73 ADVISED THAT GEORGE M. STEINBRENNER, CHAIRMAN  
AND CHIEF EXECUTIVE OF THE AMERICAN SHIP BUILDING COMPANY IS  
CURRENTLY IN WASHINGTON, D.C. STEINBRENNER, ACCORDING TO  
SOURCE, ALONG WITH HIS ATTORNEYS, IS CONFERRING WITH  
REPRESENTATIVES OF SPECIAL ATTORNEY ARCHIBALD COX, APPARENTLY  
REGARDING HIS INTERESTS IN THE CURRENT CAMPAIGN CONTRIBUTION  
INVESTIGATION INVOLVING HIS FIRM AND OFFICERS OF HIS FIRM.  
END

PLS ACK FOR THREE TELS  
CKG FBIHQ ACK FOR THREE  
HOLD

58 SEP 10 1973

OF SPC advised on  
9/10/73 Re contents  
rel

b6  
b7c

56-4737-13

6-CAN

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 11 1973

TELETYPE

NR 019 CV CODE

10:38 PM NITEL 9/11/73 JSM

TO DIRECTOR (56-4737)

FROM CLEVELAND (56-83) 2P

AMERICAN SHIP BUILDING COMPANY; GEORGE M. STEINBRENNER;  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE  
UNIT); OO WASHINGTON FIELD OFFICE.

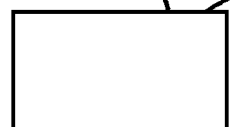
RE CLEVELAND TELETYPE TO BUREAU, 9/10/73.

ON THE MORNING OF 9/11/73, A HIGHLY PLACED CONFIDENTIAL  
SOURCE IN THE AMERICAN SHIP BUILDING COMPANY, CLEVELAND, OHIO,  
ADVISED THAT INFORMATION AVAILABLE INDICATES THAT GEORGE M.  
STEINBRENNER IS STILL IN WASHINGTON, D.C. CONFERRING WITH  
HIS ATTORNEYS AND POSSIBLY WILL BE CONFERRING WITH REPRESENTATIVES OF THE COX COMMITTEE. SOURCE UNAWARE OF WHETHER IN  
FACT STEINBRENNER OR HIS ATTORNEYS HAD BEEN IN CONTACT WITH  
THE COX COMMITTEE ON 9/10/73 OR IF WHEN CONTACT EFFECTED WHAT  
MAY BE THE DISCUSSION.

SOURCE STATED STEINBRENNER IS TENTATIVELY EXPECTED TO  
RETURN TO CLEVELAND ON THURSDAY, 9/13/73; BUT IT IS NOT  
END PAGE ONE

Assoc. Dir.	_____
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Director Sec'y	_____

*Logan*



b6  
b7c

*gm*

EX-105

REC-3 56-4737-14

SEP 12 1973

*6-CM*

54 SEP 18 1973

CV 56-83

PAGE TWO

KNOWN WHETHER STEINBRENNER MAY BE AVAILABLE FOR INTERVIEW  
AT THAT TIME.

SOURCE NOTED SUBPOENAS CURRENTLY BEING SERVED BY U.S.  
MARSHAL, CLEVELAND, OHIO, ON

b3

END

DLM FBI HQ CLR

F B I

Date: 9-7-73

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via Airtel \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI *56-4737*

FROM: SAC, CLEVELAND (56-83) (P)

RE: *6* AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)

OO: Washington Field Office

Re Bureau airtels of 8-16-73 and 8-29-73, enclosing  
subpoenas regarding instant matter.

Enclosed for the Bureau are the originals of 10  
subpoenas issued for \_\_\_\_\_

The Bureau will note 7 of the subpoenas instruct a  
return on or before 9-12-73 while the remaining 3 are for a  
return on or before Tuesday, 9-4-73.

b3

All \_\_\_\_\_ have been obtained and the  
enclosures should be returned to the appropriate clerk, USDC,  
District of Columbia.

ST. 100

REC-28

56-4737-15

- (2) - Bureau (Enc. 10) (RM)  
1 - WFO (INFO)  
2 - Cleveland

MVH:kls

(5)

ENCLOSURE

To WFO by 0-7 for  
appropriate action  
9/17/73 JAC

SEP 14 1973

Approved: \_\_\_\_\_

Special Agent in Charge

Sent \_\_\_\_\_ M

Per \_\_\_\_\_

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WASHINGTON FIELD</b>	DATE <b>9/10/73</b>	INVESTIGATIVE PERIOD <b>8/31/73 - 9/7/73</b>
TITLE OF CASE <b>AMERICAN SHIP BUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1em;"></span>	TYPED BY <b>lmp</b>
		CHARACTER OF CASE <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

b6  
b7C

## REFERENCES

Cleveland report of SA , 8/30/73.  
Bureau teletype to Cleveland, 9/7/73.

- P -

## ENCLOSURES

TO THE BUREAU: Enclosed for the Bureau are 

b3

ACCOMPLISHMENTS CLAIMED						<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:		
CONVIC.	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES	PENDING OVER ONE YEAR		<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
APPROVED <i>FLC/A</i>						SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW		
COPIES MADE:								56 -4737- 16		
4 - Bureau (Enc. 8) (56-4737)								REC 17		
2 - WFO								SEP 14 1973		
2 - Cleveland (56-83)										
1 - Retained Run 2241										
Dissemination Record of Attached Report										
Agency	2 - SFO by 0-14 attn:							b6 b7C		
Request Recd.	w/4 encl							DATA PROCESSING		
Date Fwd.	9/11/73									
How Fwd.										
By										

53 SEP 24 1973

COVER PAGE

CV 56-83



b3  
b6  
b7c



LEADS

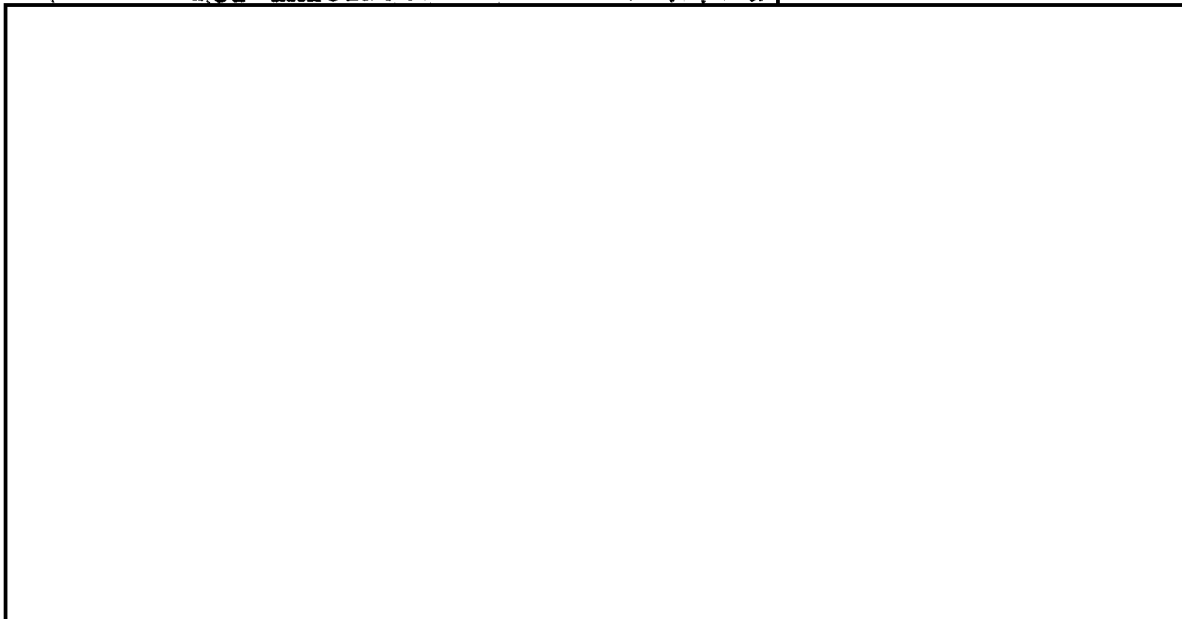
CLEVELAND:

AT CLEVELAND, OHIO

Will report results of interview of GEORGE M.  
STEINBRENNER in RUC report.

ADMINISTRATIVE

For information of the Bureau, 



b3

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

b6

Office: CLEVELAND, OHIO

Date:

9/10/73

b7C

Field Office File #: 56-83

Bureau File #: 56-4737

Title:

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

Character:

ELECTION LAWS

Synopsis:

Subpoena served on [REDACTED]

[REDACTED] on 8/31/73, and on 9/4/73, [REDACTED]

b3  
b6  
b7C

[REDACTED] GEORGE M.

STEINBRENNER unavailable for interview as of 9/7/73.

- P -

ENCLOSURES:To the Office of the Special Prosecutor,  
U.S. Department of Justice, Washington, D.C.:

[REDACTED] b3

CV 56-83



b3  
b6  
b7C





CV 56-83

TABLE OF CONTENTS

	<u>PAGE</u>
I. [REDACTED] . . . . .	4 - 12
II. [REDACTED] . . . . .	13 - 18
III. [REDACTED] . . . . .	19 - 26
IV. [REDACTED] . . . . .	27 - 33

b3  
b6  
b7c

CV 56-83

DETAILS:

I.



b3  
b6  
b7c

## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 9/7/73

On the afternoon of August 31, 1973, [redacted]

[redacted] Cleveland, Ohio, was contacted at his place of employment. [redacted] was advised of the identities of [redacted] and [redacted] as Special Agents of the Federal Bureau of Investigation and was informed that he was being recontacted concerning the same matter previously discussed with him by SA [redacted] and SA [redacted] of the FBI.

At that time, [redacted] was served with copies of subpoenas dated the 27th day of August, 1973, from the United States District Court for the District of Columbia, which subpoenas commanded [redacted]

[redacted]

b3  
b6  
b7C

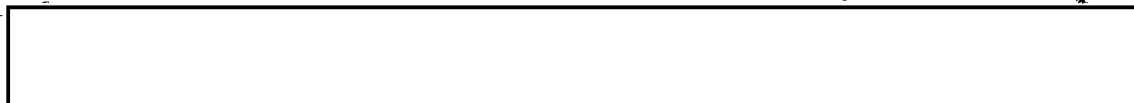
These subpoenas for [redacted]

Interviewed on 8/31/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [redacted] and SA [redacted] WJK:sjf Date dictated 9/7/73


b6  
b7C

CV 56-83

2



b3  
b6  
b7C

 attention was drawn to the fact that the subpoena is returnable on or before Tuesday, the fourth of September, 1973, as opposed to the date listed on the previous subpoena in this matter.

b6  
b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/73

1

Pursuant to the serving of a subpoena on [REDACTED]

[REDACTED] Cleveland, Ohio, on

August 31, 1973. [REDACTED]

b3  
b6  
b7C

Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [REDACTED] /mrw [REDACTED] Date dictated 9/5/73

## FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 9/6/73

Subsequent to the serving of a subpoena on August  
31, 1973, on [redacted]

Cleveland, Ohio, [redacted] was recontacted on September 4, 1973  
at which time [redacted]

[Large redacted area]

b3  
b6  
b7C

Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83

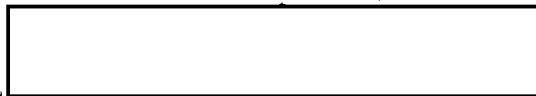
by SA [redacted] b6 /gak Date dictated 9/6/73  
b7C

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12

CV 56-83

II.



b3

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/73

1

Pursuant to the serving of a subpoena on [REDACTED]

[REDACTED] Cleveland, Ohio, on

August 31, 1973, [REDACTED]

b3  
b6  
b7C

Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [REDACTED] /mrw [REDACTED] Date dictated 9/5/73

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## FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 9/6/73

Subsequent to the serving of a subpoena on August 31, 1973, on [REDACTED]

Cleveland, Ohio, [REDACTED] was recontacted on September 4, 1973 at which time he provided [REDACTED]

[REDACTED]

[REDACTED]

b3  
b6  
b7C

Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83

by SA [REDACTED] b6 /gk Date dictated 9/6/73  
b7C

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CV 56-83

III.



b3

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/73

1

Pursuant to the serving of a subpoena on [REDACTED]

[REDACTED]  
[REDACTED] Cleveland, Ohio, on  
August 31, 1973, [REDACTED]

[REDACTED]

b3  
b6  
b7CInterviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83by SA [REDACTED] /mrw [REDACTED] Date dictated 9/5/73

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 9/6/73

Subsequent to the serving of a subpoena on August 31, 1973 on [REDACTED]

Cleveland, Ohio, [REDACTED] was recontacted on September 4, 1973 at which time he [REDACTED]

[REDACTED]

[REDACTED]

b3  
b6  
b7C

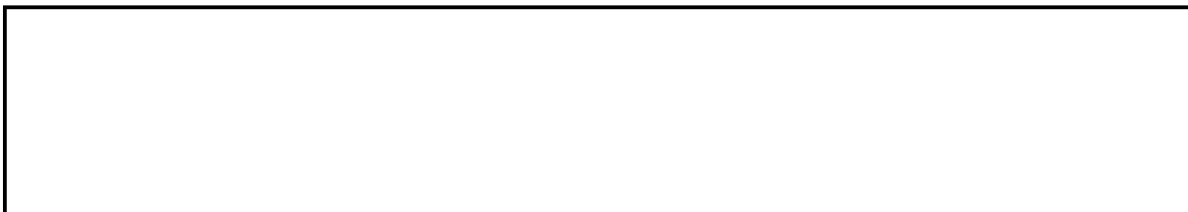
Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83

by SA [REDACTED] b6 b7C /gak Date dictated 9/6/73

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

CV 56-83

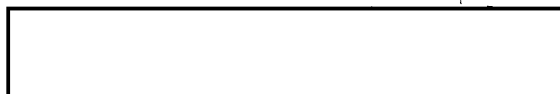
2



b3  
b6  
b7C

CV 56-83

IV.



b3  
b6  
b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/5/73

1

Pursuant to the serving of a subpoena on [REDACTED]

[REDACTED] Cleveland, Ohio, on

August 31, 1973, [REDACTED]

b3  
b6  
b7C

Interviewed on 9/4/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [REDACTED] /mrw Date dictated 9/5/73

b6  
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

## FEDERAL BUREAU OF INVESTIGATION

9/6/73

Date of transcription

1

Subsequent to the serving of a subpoena on August  
31, 1973, on [redacted]

Cleveland, Ohio, [redacted] was recontacted on September 4, 1973  
at which time he [redacted]

b3  
b6  
b7C

9/4/73 Cleveland, Ohio Cleveland 56-83  
Interviewed on \_\_\_\_\_ at \_\_\_\_\_ File # \_\_\_\_\_  
by SA [redacted] b6 /gak 9/6/73  
b7C Date dictated \_\_\_\_\_



## FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 9/10/73

[redacted]  
American Ship Building Company, Investment Plaza, Cleveland, Ohio, was contacted by Special Agent [redacted] concerning the availability for interview of GEORGE M. STEINBRENNER, Chairman and Chief Executive Officer of that Company.

[redacted] advised that in view of the recent publicity including radio and newspaper publicity concerning Mr. STEINBRENNER's campaign contribution to the Nixon election, STEINBRENNER would not be reporting to his office on that date, September 5, 1973, and on Thursday, September 6, 1973, STEINBRENNER had a tentative business appointment in Indiana.

b6  
b7C

[redacted] stated he would recontact SA [redacted] regarding the availability of STEINBRENNER on September 7, 1973.

On Friday, September 7, 1973, [redacted] advised that Mr. STEINBRENNER was not in Cleveland on that date and would either be in New York City or in Washington, D.C., and thus was not available for interview.

[redacted] stated he would be in contact with SA [redacted] on Monday, September 10, 1973, and if possible at that time, advise regarding Mr. STEINBRENNER's availability for interview.

Interviewed on 9/5/73  
9/7/73 at Cleveland, Ohio File # Cleveland 56-83  
by SA [redacted] :lmp [redacted] Date dictated 9/10/73

b6  
b7C

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

NR 007 CV CODE

SEP 13 1973

8:20 PM NITEL 9/13/73 SLO

TELETYPE  
56-4737

TO DIRECTOR

WASHINGTON FIELD

FROM CLEVELAND

56-83

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Cong. Serv.	_____

b6  
b7C

AMERICAN SHIP BUILDING CO.,; GEORGE M. STEINBRENNER; ELECTION LAWS

(ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT); OO: WFO

RE CLEVELAND TEL TO BUREAU, 9/11/73.

ON THE MORNING OF 9/13/73, A HIGHLY PLACED CONFIDENTIAL SOURCE IN THE AMERICAN SHIP BUILDING CO., CLEVELAND, OHIO, ADVISED THAT GEORGE M. STEINBRENNER IS CURRENTLY EN ROUTE FROM FLORIDA TO WASHINGTON, D.C. AND WILL ALSO BE IN WASHINGTON, D.C. ON FRIDAY 9/14/73.

SOURCE UNWARE OF STATUS OF STEINBRENNER'S DEALINGS WITH COX COMMITTEE AND ALSO DOES NOT KNOW THE ANTICIPATED PLANS OF STEINBRENNER WEEK OF 9/17-21/73.

IT APPEARS THE POSSIBILITY EXISTS THAT STEINBRENNER IS UNAVAILABLE

END PAGE ONE

REC-37 56-4737-17

22 SEP 14 1973

EX-103

70 SEP 20 1973

176

Corr. & Crm.	_____
Research	_____
Press Off.	_____
Telephone Rm.	_____
Director Sec'y	_____

CV 56-83

PAGE TWO

PENDING THE SERVING OF ADDITIONAL SUBPOENAS ON [REDACTED]

b3

[REDACTED] AND THEIR FGJ TESTIMONY  
IN WASHINGTON, D.C.

CLEVELAND WILL MAINTAIN CONTACT WITH SOURCE RE  
STEINBRENNER'S AVAILABILITY AND REPORT SAME TO BUREAU.

END

CKG FBIHQ CLR

ACK FOR TWO

NR 012 CV CODE

10:15 PM NITEL 9/17/73 JSM

TO DIRECTOR (56-4737)

WASHINGTON FIELD

FROM CLEVELAND (56-83) (P) 2P

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 17 1973

TELETYPE

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

AMERICAN SHIP BUILDING COMPANY; GEORGE M. STEINBRENNER;  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE  
UNIT); OO WASHINGTON FIELD OFFICE.

RE CLEVELAND TELETYPE TO BUREAU AND WASHINGTON FIELD,  
9/13/73.

FOR INFORMATION OF BUREAU, CONTACT WITH HIGHLY PLACED  
CONFIDENTIAL SOURCE AT THE AMERICAN SHIP BUILDING COMPANY,  
CLEVELAND, OHIO MORNING OF 9/17/73, DETERMINED THAT GEORGE  
M. STEINBRENNER IS STILL CURRENTLY UNAVAILABLE FOR INTERVIEW  
AND BELIEVED TO BE PROCEEDING TO WASHINGTON, D.C. FROM  
FLORIDA AND LIKELY WILL BE IN WASHINGTON, D.C. AT LEAST UNTIL  
TUESDAY, 9/18/73 AND POSSIBLY LONGER.

AS PREVIOUSLY NOTED, IT APPEARS STEINBRENNER WILL BE  
END PAGE ONE

REC-9

56-4737-18  
20 SEP 19 1973

EX-103

7244  
55 SEP 20 1973

b6  
b7c

CV 56-83

PAGE TWO

UNAVAILABLE FOR INTERVIEW AT LEAST WHILE PERTINENT FEDERAL  
GRAND JURY IN WASHINGTON, D.C. IS HEARING TESTIMONY FROM

b3

BUREAU WILL BE ADVISED OF ANY SUBSEQUENT DEVELOPMENTS.

END

HOLD

RECEIVED  
JUL 11 11 43 AM '83

UNITED STATES GOVERNMENT

# Memorandum

TO : Mr. Gebhardt

DATE: 9/26/73

FROM : R. E. Long

1- Mr. Gebhardt  
1- Mr. Long  
1- Mr. [redacted]

SUBJECT: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)

Cleveland, Ohio

Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_ b6  
Training \_\_\_\_\_ b7C  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

On the afternoon of 9/25/73, Attorney [redacted] of  
the Special Prosecutor's Office telephonically advised Super-  
visor [redacted] that [redacted]

[redacted] Cleveland, has been invited to testify  
on 9/27/73 before the Federal grand jury, Washington, D.C.,

[redacted] Mr. [redacted]  
advised that [redacted] is not a target of the grand jury  
although [redacted]

At the request of the Special Prosecutor's Office, our  
Cleveland Office has interviewed eight executives of captioned  
firm to obtain their explanations of contributions to CRP  
attributed to these officers in amounts varying between  
\$2,800 and \$3,500. These officers whose annual salaries  
were in the range of \$15,000 to \$20,000 admitted they made  
contributions as indicated, admitted that they received  
company bonuses at about the time they made the contributions,  
in the approximate amount of the contributions, but claimed  
they would have made the political contribution even if they  
had not received the bonuses. Our Cleveland Office also  
obtained [redacted]

COPY RETAINED IN  
FEDERAL RECORDS UNIT

CAN/amm (4)

CUM

REC-100

REC-36

56-7737-19  
CONTINUED - OVER

OCT 2 1973

55 OCT 18 1973

OCT 11 1973

PERS. REC. UNIT

Long to Gebhardt  
RE: AMERICAN SHIP BUILDING COMPANY

Based on the results of our investigation, the Special  
Prosecutor's Office has

b3

ACTION: This is for information. We have completed all  
investigation requested to date.

*①*

*hno*

*RJG*

*REG*

*REL*

*K*

PLAINTEXT

FACSIMILE

1- Mr.  

b6

b7c

IMMEDIATE

TO: SAC, Cleveland (56-83)

FROM: Director, FBI

PERSONAL ATTENTION

10/17/73

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

ReBucal to SAC, Cleveland, 10/16/73.

Attached for Cleveland is a copy of 10/15/73 request from Henry S. Ruth, Jr., Special Prosecutor's Office, and for WFO in a sealed envelope is one copy of same request.

This investigation is to be afforded highest priority and security. Cleveland to assign most capable personnel to achieve prompt positive results. Cleveland to submit daily summary teletype until matter is resolved.

Attachment

1- WFO (Mail Copy) (Personal Attention)(Attachment)

NOTE: ReBucal made by Section Chief Long to SAC Fehl 10/16/73 in which SAC was advised as above.

JJC/amm

amm

JJC

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

OCT 17 1973

1238p DKS  
TELETYPE

Asst. Dir. \_\_\_\_\_  
Adm. Serv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. of Cong. & Public Affairs \_\_\_\_\_  
Rec. Mgmt. \_\_\_\_\_  
Tech. Serv. \_\_\_\_\_  
Training \_\_\_\_\_  
Off. of Liaison & Int. Affs. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

MAIL ROOM ☐

TELETYPE UNIT ☐

REC  
WAF

*[Handwritten signature]*



## WATERGATE SPECIAL PROSECUTION FORCE

DEPARTMENT OF JUSTICE

## Memorandum

TO : Clarence M. Kelly  
 Director  
 Federal Bureau of Investigation  
 FROM : *WJR* ATTN: Accounting & Frauds Section  
 Henry S. Ruth, Jr.  
 Deputy Special Prosecutor  
 Watergate Special Prosecution Force

DATE: Oct. 15, 1973

SUBJECT: [REDACTED]

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

b6  
b7C

In the course of our investigation into possible violations by American Shipbuilding Company and its officers and employees of federal statutes relating to corporate political contributions and obstruction of justice, we have interviewed [REDACTED]

*P.C.* [REDACTED] of American Shipbuilding. [REDACTED] has stated that he has spoken several times with [REDACTED], a chemist with Protective Chemicals, a Cleveland chemical company. Beginning around Labor Day Weekend, 1973, [REDACTED] asked [REDACTED] for information concerning the investigation of American Shipbuilding by the Special Prosecutor's Office. [REDACTED] has told [REDACTED] that he has a "source" close to the Special Prosecutor's Office. This "source" told [REDACTED] that if George Steinbrenner (the Chairman and Chief Executive Officer of American Shipbuilding) came to the Special Prosecutor's Office and acknowledged his participation in an illegal corporate political contribution, this office would not press any obstruction of justice charges. [REDACTED] also told [REDACTED] as late as September 18, that the door to the Special Prosecutor's Office was still open.

b6  
b7C

[REDACTED] has previously told [REDACTED] that the Department of Justice would agree to a settlement of an antitrust action against American Shipbuilding by accepting the divestiture of 3 ships by the company. That advice proved to be correct. [REDACTED] has also told [REDACTED] that the Government would not approve a shipyard acquisition by American Shipbuilding while the company was involved in the present investigation. That information has, thus far, proved to be accurate.

OCT 19 1973

*Long*  
*Tell Fehl to put this*  
*real hard with the test*  
*Talent results are expected*  
*10/17/73*  
*Facsimile to CV (Lmc)*  
*CCWFO (Lmc)*  
*JC/Am*  
*10-17-73 SAC Fehl*  
*of Cleveland*  
*NOTIFIED*  
*11:25 P.M.*  
*10/17/73*  
*6-50*

EX-117  
 OCT 15 1973  
 PROC.

[redacted] should be interviewed as soon as possible to determine the name of his "source" close to the Special Prosecutor's Office. [redacted] should also be questioned as to his conversations with [redacted] and with his "source." The nature of each of those conversations should be established including the date of the conversation, what [redacted] asked to be done, and what information about the Special Prosecutor's investigation was obtained from the "source" and relayed to [redacted]. In addition [redacted] should be questioned as to the basis for his information about the Department of Justice - what information has he obtained about the Department, from whom, when, under what circumstances, and what information was relayed to [redacted].

b6  
b7C

If further clarification of this investigative request is required, please contact [redacted] Associate Special Prosecutor.

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR003 CV PLAIN

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

230 PM URGENT 10-19-73 CLP

TO DIRECTOR, FBI (56-4737)

WFO

OCT 19 1973

TELETYPE

FROM CLEVELAND (56-83) 3 PAGES

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS. (ACCOUNTING AND FRAUD SECTION-WATERGATE UNIT)

00:WFO.

RECUTEL, 10-19-73.

[REDACTED] APPEARED AT THE CLEVELAND OFFICE ON  
THE MORNING OF 10-19-73, EXECUTED A VOLUNTARY APPEARANCE FORM  
AND FURNISHED PHOTOSTATIC COPIES OF TOLL RECORDS FOR  
6/29-10/2/73 FOR THE TELEPHONE NUMBERS ISSUED TO HIS PLACE OF  
EMPLOYMENT, PROTECTIVE CHEMICALS, INC., CLEVELAND, OHIO. THE  
TOLL RECORDS FURNISHED ARE IDENTICAL TO THOSE PREVIOUSLY  
CONFIDENTIALLY OBTAINED BY THE CLEVELAND OFFICE ON 10-18-73.

[REDACTED] STATED THAT HE CAN ACCOUNT FOR ALL CLEVELAND  
TO WASHINGTON, D.C. TELEPHONE CALLS PLACED TO HIS BUSINESS  
NUMBERS AND VERIFIED PHONE CALLS PLACED TO WASHINGTON, D.C.

[REDACTED] STATED THAT TO THE BEST OF HIS KNOWLEDGE NO OTHER TELE-

END PAGE ONE

55 NOV 1 1973

OCT 29 1973

PAGE TWO

CV 56-83

PHONE CALLS WOULD HAVE BEEN PLACED TO WASHINGTON, D.C. AND ANY SUCH CALLS RELATING TO [REDACTED] THE COX COMMITTEE OR ANY WASHINGTON, D.C. LAW FIRMS WOULD HAVE BEEN PLACED BY HIM. *CHLO*

[REDACTED] NOTED HE RECALLS RETURNING A TELEPHONE CALL TO [REDACTED] TO A WASHINGTON, D.C. LAW FIRM WHICH EMPLOYED ATTORNEYS

[REDACTED] AND [REDACTED] WHO HE BELIEVES WERE REPRESENTING THE

[REDACTED] SUBPOENAED BY THE COX COMMITTEE. HE

ALSO RECALLS A SECOND CALL PLACED SEVERAL WEEKS LATER TO A LAW FIRM REPRESENTING [REDACTED] AND AT THAT TIME [REDACTED] WAS TIED UP WITH HIS ATTORNEY [REDACTED] (PH) AND [REDACTED] SUBSEQUENTLY RETURNED HIS CALL.

[REDACTED] REITERATED THAT ANY CONVERSATIONS HE WOULD HAVE HAD WITH [REDACTED] CONCERNING GEORGE STEINBRENNER OR THE AMERICAN SHIP BUILDING COMPANY WOULD HAVE BEEN MERELY ADVICE OFFERED FREELY TO [REDACTED] AND IN NO WAY REPRESENTED ANY KIND OF KNOWLEDGE BASED ON ANY OUTSIDE INFORMATION FROM ANY OTHER PERSONS ANYWHERE.

[REDACTED] STATED THAT DURING THE INTERVIEW ON THE EVENING OF 10-18-73 [REDACTED] ACCORDING TO [REDACTED] TELEPHONED  
END PAGE TWO

b3  
b6  
b7C

b6  
b7C

PAGE THREE

CV 56-83

HIS RESIDENCE TWICE. IN ADDITION, ACCORDING TO [ ] HE WAS TO MEET WITH [ ] THIS DATE SUBSEQUENT TO LEAVING THE FBI OFFICE.

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[ ] ADVISED THAT HE HAD TELEPHONED [ ] THURSDAY EVENING, 10-18-73 CONCERNING HIS INTERVIEW BY THE FBI AND THAT [ ] DID NOT SHOW ANY SURPRISE CONCERNING THE SUBJECT MATTER OF THE INTERVIEW. [ ] ADVISED AT THE TIME HE APPEARED IN THE CLEVELAND OFFICE ON 10-19-73 THAT HE HAD NO ADDITIONAL INFORMATION CONCERNING THIS MATTER OTHER THAN THAT OBTAINED BY CLEVELAND AGENTS FROM HIM ON THE NIGHT OF OCTOBER 18 LAST.

REPORT CONTAINING INVESTIGATION IN CLEVELAND DIVISION BEING IMMEDIATELY DISPATCHED TO BUREAU.

END

PLS HOLD FOR TWO MORE

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

OCT 19 1973

TELETYPE

REC-38

Assoc. Dir.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 001 CV CODE

2:00 AM URGENT 10/19/73 JSM

TO DIRECTOR, FBI (56-4737)

WFO

FROM CLEVELAND (56-83)

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS. (ACCOUNTING AND FRAUD SECTION-WATERGATE UNIT),  
OO WFO.

RE BUREAU TELCALL AND BUREAU AIRTEL, 10/17/73; CLEVELAND  
TELCALL TO WFO, 10/18/73.

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REFERENCED BUREAU AIRTEL REQUESTED INVESTIGATION RE ONE  
[REDACTED] ASSOCIATED WITH PROTECTIVE CHEMICALS, A CLEVELAND  
CHEMICAL COMPANY AND CONVERSATIONS BETWEEN ONE [REDACTED] AND [REDACTED]

FOR AMSHIP. Ohio

INVESTIGATION AT CLEVELAND, OHIO, DETERMINED TRUE  
IDENTITY OF [REDACTED] TO BE [REDACTED]  
END PAGE ONE

REC-38

EX-105

SPB

10/19/73 jef.

OCT 29 1973

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PAGE TWO

CLEVELAND CITY DIRECTORY LISTS [REDACTED]

PROTECTIVE CHEMICALS, INC., 2060 HAMILTON AVENUE, CLEVELAND, Ohio

WITH [REDACTED] RESIDENCE BRUNSWICK, OHIO.

BUREAU'S ATTENTION DIRECTED TO BUFILE 105-193684,  
CAPTIONED "[REDACTED] IS - YU", WHICH, IN BRIEF, INDICATES  
[REDACTED] INTERVIEWED BY BUAGENTS, CLEVELAND, IN 1967 AND 1969.  
HE WAS INTERVIEWED RE UNDERGROUND CROATIAN MOVEMENT AND  
POSSIBLE DEMONSTRATIONS. HE ADMITTED PUBLISHING MONTHLY  
NEWSPAPER, CIRCULATION OF ABOUT 150, AND ADVISED HIS  
ATTORNEY, [REDACTED], PERMITTED HIM TO USE HIS  
REPRODUCING EQUIPMENT FOR PRODUCING NEWSPAPER. HE  
INDICATED AT THAT TIME HE WOULD "BE" [REDACTED] OF SURFATHANE  
CHEMICALS, INC., 2060; ~~XXXXXXXXXX~~ <sup>HAMILTON AVENUE,</sup> CLEVELAND.

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[REDACTED] DESCRIBED AS WHITE MALE; DOB [REDACTED] SARAJEVO,  
YUGOSLAVIA; 5'10"; 187 POUNDS; BROWN HAIR; BROWN EYES;  
DARK COMPLEXION; NATURALIZED 8/5/66, USDC, CLEVELAND.  
SURFATHANE CHEMICALS, INC. PRODUCED UNDERCOATING FOR  
AUTOMOBILES.

[REDACTED] HAD CONTACTED WHITE HOUSE TO ADVISE OF UNREST  
END PAGE TWO

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PAGE THREE

OF CROATIAN ELEMENT IN CLEVELAND, ACCORDING TO U.S. SECRET  
SERVICE IN 6/69.

RECORD REVIEW:

DUNN AND BRADSTREET, INC., CLEVELAND, MADE AVAILABLE DATA  
10/18/73 RE PROTECTIVE CHEMICALS, INC.;

[REDACTED]

[REDACTED] PROTECTIVE CHEMICALS FORMED BY MERGER OF  
SURFATHANE CHEMICALS, INC., 7/69.

[REDACTED]

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END PAGE THREE



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PAGE FOUR



[REDACTED] COMPANY MANUFACTURES ROOF

COATINGS FOR MOBILE HOMES AND RUST-PROOFING CHEMICALS FOR  
AUTOMOBILES AND TRUCKS. EMPLOYS 13 PEOPLE.

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TELEPHONE TOLL RECORDS (CONFIDENTIAL)

REVIEW OF RECORDS OBTAINED FOR NUMBERS [REDACTED]

[REDACTED] AND [REDACTED], AND [REDACTED] ALL OF WHICH ARE ISSUED TO  
SUPRA PROTECTIVE CHEMICALS, INC., FOR THE PERIOD OF 6/29-10/2/73,  
WHICH TOLL RECORDS REFLECT A VOLUMINOUS NUMBER OF CALLS TO AND  
FROM VARIOUS CITIES.

END PAGE FOUR

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PAGE FIVE

A REVIEW OF TELEPHONE CALLS PLACED TO WASHINGTON, D.C. NUMBERS LOCATED A ONE MINUTE TELEPHONE CALL PLACED AT 8:56 AM ON 9/4/73 TO (202) 223-6000, IDENTIFIED AS THE TELEPHONE NUMBER FOR THE "WASHINGTON POST" NEWSPAPER; A ONE MINUTE TELEPHONE CALL PLACED SEVERAL MINUTES THEREAFTER AT 9:02 AM, ALSO ON 9/4/73, TO 737-8200, A NUMBER FOR U.S. DEPARTMENT OF JUSTICE; A FIVE MINUTE TELEPHONE CALL PLACED ONE MINUTE LATER AT 9:03 AM ON THE SAME DATE TO 655-4000, THE MAIN U.S. GOVERNMENT NUMBER.

AT 9:58 AM ON SAME DATE, 9/4/73, A THREE MINUTE CALL IS LISTED TO WASHINGTON, D.C. NUMBER 393-2300, THE MAIN NUMBER FOR SPECIAL PROSECUTOR'S OFFICE.

ON 9/10/73, AT 10:08 AM, A THREE MINUTE CALL IS LISTED TO 638-6565 FOR A LAW FIRM AT 839 17TH STREET, NW, BELIEVED TO BE COMPRISED OF 31 PARTNERS, INCLUDING [REDACTED].

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END PAGE FIVE

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PAGE SIX

ON 9/18/73, THREE SEPARATE PHONE CALLS ARE LISTED AS HAVING BEEN PLACED TO 785-1000, THE HOTEL MADISON, WASHINGTON, D.C., INCLUDING A 13 MINUTE CALL AT 7:51 AM; SEVEN MINUTE CALL AT 8:32 AM AND A THREE MINUTE CALL AT 11:55 AM. A TEN MINUTE CALL TO THAT NUMBER WAS ALSO PLACED AT 9:26 AM ON 9/22/73.

IN ADDITION, TELEPHONE TOLL CALLS FOR PHONE [REDACTED] LISTED TO [REDACTED] BRUNSWICK, OHIO, LOCATED APPROXIMATELY 25 MILES SOUTH OF CLEVELAND, WERE OBTAINED WHICH INCLUDED FOLLOWING PHONE CALLS PLACED TO WASHINGTON, D.C.:

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A THREE MINUTE CALL IS LISTED AS HAVING BEEN PLACED AT 9:04 AM ON 9/3/73 TO 223-6000, THE "WASHINGTON POST".

ON 9/4/73, A 12 MINUTE CALL IS LISTED FOR 3:29 PM TO 298-5970, THE LAW FIRM OF BECKER AND GREENWALD, 1701 PENNSYLVANIA AVENUE, WASHINGTON, D.C.

END PAGE SIX

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PAGE SEVEN

IN ADDITION, AS NOTED ON THE BUSINESS TELEPHONE RECORDS, TELEPHONE NUMBERS ARE ALSO LISTED AS HAVING BEEN PLACED TO 785-1000, THE HOTEL MADISON, INCLUDING A ONE MINUTE CALL AT 5:37 PM ON 9/18/73; A 20 MINUTE CALL AT 2:11 PM ON 9/22/73; ONE MINUTE CALL AT 10:00 PM ON 9/23/73; AND A 30 MINUTE CALL AT 11:04 PM ON 9/23/73.

WITH REGARD TO THE PERTINENT DATES LISTED ABOVE, IT IS BELIEVED THAT [REDACTED] REPORTED TO WASHINGTON, D.C., BEGINNING 9/4/73, IN RESPONSE TO SUBPOENAS ISSUED.

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INTERVIEW OF [REDACTED]:

ON 10/18/73, [REDACTED] WAS LOCATED AT HIS RESIDENCE EXECUTED A WAIVER OF RIGHTS FORM AND FURNISHED FOLLOWING:  
END PAGE SEVEN

b6  
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PAGE EIGHT

PRIOR TO BEING ADVISED OF SPECIFIC NATURE OF CONTACT BY CLEVELAND AGENTS, HE INDICATED HE WAS AWARE OF REASON AND WAS EXPECTING A VISIT FROM "SOMEBODY", LIKELY AGENTS FROM FBI, BASED UPON FACT THAT UPON THE RETURN OF [REDACTED] FROM WASHINGTON, D.C. TO CLEVELAND ON SATURDAY, 10/13/73, [REDACTED] CONTACTED [REDACTED] AT HIS PLANT. AT THAT TIME, ACCORDING TO [REDACTED] TOLD HIM THAT DURING A CONVERSATION WITH (FNU) [REDACTED] OF THE COX COMMITTEE, [REDACTED] AND (FNU) [REDACTED] (PH) OR [REDACTED] (PH) [REDACTED], [REDACTED] AND [REDACTED] MAY HAVE GIVEN [REDACTED] THE IMPRESSION THAT HE, [REDACTED] HAD GIVEN [REDACTED] VALUABLE INFO RELATIVE TO COX'S INQUIRY. [REDACTED] BELIEVES [REDACTED] REPRESENTED JOHN DEAN. [REDACTED] FEELS [REDACTED] SPECIFICALLY TOLD HIM THE DETAILS OF THEIR CONVERSATION WITH [REDACTED] TO SOMEHOW PREPARE [REDACTED]

END PAGE EIGHT

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FOR A POSSIBLE INTERVIEW CONCERNING THIS MATTER.

[ ] EXPLAINED HE HAS KNOWN [ ]  
FOR AT LEAST TEN YEARS AND IS VERY CLOSE TO [ ] TO THE  
EXTENT THAT [ ] PERSONALLY VISITS WITH HIM AT LEAST  
THREE TIMES A WEEK AND CALLS HIM MANY TIMES A DAY, CLAIMING  
EVEN THAT [ ] CALLS HAD BECOME A NUISANCE AT HIS  
PLACE OF BUSINESS. [ ] STATED [ ] HAS TOLD HIM ABOUT  
THE \$100,000 CONTRIBUTION TO THE CAMPAIGN FUND. [ ]  
CLAIMS [ ] TOLD HIM THAT THE CONTRIBUTION AROSE OUT OF  
A DINNER BETWEEN GEORGE M. STEINBRENNER AND [ ] WHO  
WAS CHARACTERIZED BY [ ] AS A MEMBER OF THE NIXON  
RE-ELECTION CAMPAIGN, A BOARD MEMBER OF AMERICAN SHIP  
BUILDING COMPANY, AND FAMILIAR WITH PRESIDENT RICHARD NIXON'S  
FORMER LAW FIRM IN WASHINGTON, D.C.

CONCERNING THE CONTRIBUTION, [ ] CLAIMED [ ]  
TOLD HIM OF THE FBI INVESTIGATION AND THE FACT THAT EIGHT AMSHIP  
OFFICERS HAD FURNISHED STATEMENTS TO FBI AGENTS. [ ]  
END PAGE NINE

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PAGE TEN

NAMED (FNU) [REDACTED] AND [REDACTED] AS  
INDIVIDUALS HE KNOWS [REDACTED]  
OHIO, WHO WERE INTERVIEWED BY BUAGENTS AND SUBPOENAED TO  
WASHINGTON, D.C. [REDACTED] TOLD [REDACTED] THAT HE, [REDACTED] WAS  
ADMONISHED BY STEINBRENNER TO STAY AWAY FROM AND HAVE NO CONTACT  
WITH ANY OF THE [REDACTED] AND RECALLED  
SPECIFICALLY A PHRASE [REDACTED]

AFTER [REDACTED] HAD TRAVELED TO WASHINGTON, D.C., ALONG WITH [REDACTED]  
[REDACTED] LEARNED THAT THE ACCOUNTS OF  
THE CONTRIBUTIONS AS GIVEN BY THE OFFICERS WERE BASED ON THE  
INSTRUCTIONS OF STEINBRENNER AND THAT [REDACTED] CLAIMED NO  
KNOWLEDGE RE THE CIRCUMSTANCES SURROUNDING THE CONTRIBUTIONS.  
MOREOVER, AFTER [REDACTED]

AT WASHINGTON, D.C., THE ATTORNEYS FOR [REDACTED] NAMED BY  
[REDACTED] AS [REDACTED] RECOMMENDED TO [REDACTED]  
THAT HE OBTAIN HIS OWN ATTORNEY IN HIS OWN BEST INTEREST,  
AT WHICH TIME, ACCORDING TO [REDACTED] TELEPHONED

[REDACTED] AND APPRISED HIM OF WHAT HAD HAPPENED, WHEREUPON  
[REDACTED] TOLD [REDACTED] THAT THEY, OR STEINBRENNER, ARE  
TRYING TO "DUMP IT ON YOUR LAP", APPARENTLY REFERRING TO THE  
END PAGE TEN

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b7C

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PAGE ELEVEN

RESPONSIBILITY FOR THE TURNABOUT IN THE TESTIMONY AND  
POSSIBLY THE ENTIRE COURSE OF THE PROSECUTION.

[ ] ALSO CLAIMED TO HAVE TOLD [ ] WHEN ASKED  
FOR ADVICE THAT THERE SHOULD BE NO NEED FOR ANYONE TO  
VOLUNTARILY APPEAR IN WASHINGTON, D.C. TO TESTIFY IF THAT  
PERSON HAD DONE NO WRONG. HE ALSO CLAIMED, ON THE OTHER  
HAND, TO HAVE RECOMMENDED TO [ ] THAT STEINBRENNER  
TESTIFY IN WASHINGTON, D.C., PRIOR TO ANY TESTIMONY OF THE

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[ ] AND DO SO VOLUNTARILY BEFORE BEING  
SUBPOENAED, PARTICULARLY IF HE, STEINBRENNER, WERE CONFIDENT  
THAT NO LAW HAD BEEN VIOLATED.

[ ] REITERATED NUMEROUS TIMES THROUGHOUT INTERVIEW  
THAT THE ADVICE HE FURNISHED [ ] WAS BASED ON PLAIN  
COMMON SENSE.

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IN ADDITION, WITH REGARD TO STEINBRENNER, [ ]  
REFERRED TO AN INSTANCE PRIOR TO THE GRAND JURY PROCEEDINGS  
AT WASHINGTON, D.C. WHERE [ ] EXPRESSED CONFIDENCE IN  
STEINBRENNER'S INTEGRITY INASMUCH AS STEINBRENNER HAD  
CONFIDED SOMETHING PERSONAL TO [ ] THAT IS, THE FACT  
THAT STEINBRENNER'S WIFE WAS REPORTEDLY IN ILL HEALTH. AT  
END PAGE ELEVEN

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PAGE TWELVE

THAT TIME, [ ] EXPRESSED A DESIRE TO MEET STEINBRENNER SO THAT HE COULD EVALUATE HIM WHEREUPON [ ] ARRANGED A PRETEXT MEETING ATTENDED BY [ ] STEINBRENNER, AND [ ] AN [ ] FOR AMSHIP. THE MEETING, ACCORDING TO [ ] LASTED ABOUT ONE HOUR AND CONCERNED STEINBRENNER'S SUSPICIONS THAT HIS COMPETITION WAS AWARE OF HIS TRAVEL PLANS AND EVERYTHING HE DID AND ALSO SUSPECTED HIS PHONES MIGHT BE TAPPED. PRETEXT WAS THAT [ ] HAD SOME KNOWLEDGE RE PHONES.

SOMETIME AFTER THE MEETING HAD CONCLUDED, [ ] TOLD HIM THAT HE, [ ] WAS ASKED BY STEINBRENNER AND/OR [ ] IF [ ] WAS [ ] CONTACT IN WASHINGTON, D.C. [ ] OFFERED THIS VOLUNTARILY, POSSIBLY AS AN EXPLANATION AS TO THE REASON FOR [ ] OR ANY OTHER PERSONS THINKING THAT [ ] MIGHT HAVE SOME CONTACTS OR INFLUENCE IN WASHINGTON, D.C. THIS WAS NEVER DISCUSSED IN FURTHER DETAIL.

[ ] READILY ADMITTED HE HAD BEEN IN TELEPHONIC CONTACT WITH [ ] WHILE [ ] WAS IN WASHINGTON, D.C., SPECIFICALLY MENTIONING SEVERAL CALLS TO THE HOTEL MADISON WHERE [ ] STAYED, THE PURPOSE BEING [ ] TELEPHONIC CONTACT WITH HIM AND RETURN CALLS TO FURNISH [ ] ADVICE,

END PAGE TWELVE

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PAGE THIRTEEN

AS A CONFIDANT AND FRIEND AND FOR NO OTHER REASON. [REDACTED]  
ADMITTED CALLING WASHINGTON, D.C. NEWSPAPERS TO  
ATTEMPT TO OBTAIN OFFICE PHONE NUMBER OF COX'S  
COMMITTEE. HE CALLED COX'S COMMITTEE TO ASK ANYONE WHO  
WOULD SPEAK WITH HIM AS TO WHETHER OR NOT IT WOULD NOT BE  
BETTER FOR A MAN TO VOLUNTARILY APPEAR BEFORE THE COMMITTEE  
RATHER THAN BEING SUBPOENAED. PURPOSE OF SUCH A QUESTION  
WAS THAT HE INTENDED TO GIVE THIS ADVICE TO [REDACTED]  
FOR RELAYING TO STEINBRENNER REGARDING HIS APPEARANCE. AN UNKNOWN  
MALE WOULD NOT DISCUSS THIS MATTER AND ASKED "ARE YOU  
CALLING FROM CLEVELAND?" AND HE REPLIED NEGATIVELY STATING  
HE WAS IN WASHINGTON, D.C. HE ALSO ADMITTED CALLING A  
LAW FIRM AS [REDACTED] TOLD HIM HE COULD LOCATE HIM THROUGH  
A LAW FIRM, IDENTITY OF WHICH HE DOES NOT RECALL, THE  
PURPOSE BEING TO RETURN A CALL [REDACTED] MADE TO HIM IN  
CLEVELAND.

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THE HERETOFORE MENTIONED OTHER CALLS IN WASHINGTON  
WERE FOR SAME PURPOSE, TO LOCATE [REDACTED] DENIED  
THAT HE HAS EVER BEEN IN WASHINGTON, D.C. AND FURTHER, THAT  
END PAGE THIRTEEN.

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HE KNOWS ANYONE IN WASHINGTON, D.C., INCLUDING STAFF OF COX'S COMMITTEE AND HAS NO SOURCE.

[ ] MADE AVAILABLE FOR REVIEW LATEST RESIDENCE TELEPHONE BILL AND ADVISED OFFICE PHONE BILL WOULD BE MADE AVAILABLE TO AGENTS TOMORROW MORNING, 10/19/73.

RE ALLEGATION THAT [ ] HAD CLAIMED THAT IF STEINBRENNER APPEARED AT THE SPECIAL PROSECUTOR'S OFFICE AND ACKNOWLEDGED HIS PARTICIPATION IN AN ILLEGAL CAMPAIGN CONTRIBUTION, [ ] CLAIMED HE DOES NOT RECALL ANY SUCH CONVERSATION WHATEVER AND NOTED ONLY THAT HE EXPRESSED TO [ ] THAT IF STEINBRENNER WERE SO CONFIDENT CONCERNING THE INTEGRITY OF HIS ACTIONS, HE, AS WELL AS ANY ONE ELSE, SHOULD NOT HESITATE TO TAKE SUCH ACTION.

CONCERNING THE ALLEGATION THAT HE PASSED ON INFORMATION THAT THE DOOR TO THE SPECIAL PROSECUTOR'S OFFICE WOULD STILL BE OPEN AS LATE AS 9/18/73, HE ADVISED THAT HE DOES NOT RECALL ANY DATE, BUT STATED THIS WAS COMMON SENSE AND HAD ALWAYS ENCOURAGED [ ] TO ADVISE STEINBRENNER TO GO IN

END PAGE FOURTEEN

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VOLUNTARILY.

CONCERNING ANY CONVERSATION WITH REGARD TO A SETTLEMENT OF AN ANTITRUST ACTION AGAINST AMSHIP, BASED ON ACCEPTANCE OF DIVESTITURE OF THREE SHIPS AT THAT COMPANY, [REDACTED] STATED HE DOES NOT RECALL ANY CONVERSATION RE ANY PARTICULAR NUMBER OF SHIPS. HE DID NOTE A PREVIOUS ACCOUNT, DATE OR MONTH UNRECALLED, WHEREIN ON THE MORNING OF ONE DAY,

[REDACTED] MADE REFERENCE TO THE FILING OF AN ANTITRUST CIVIL ACTION IN FEDERAL COURT IN CLEVELAND AGAINST AMSHIP WHICH INFO WAS CONFIRMED TO [REDACTED] BY ANOTHER UNRECALLED AND UNKNOWN INDIVIDUAL IN CLEVELAND, POSSIBLY BY SOMEONE LIKE A CLERK OF COURT OR OTHER PARTY NOT RECALLED. WHEN PRESSED FOR DETAILS AND WHY SOMEONE SHOULD FURNISH [REDACTED] WITH SUCH INFO, [REDACTED] OFFERED THE EXPLANATION THAT HE IS KNOWN AS A VERY CLOSE CONFIDANT OF [REDACTED] WHO IS KNOWN AS A HIGH OFFICIAL OF AMSHIP.

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b7c

WITH REGARD TO ANY CONVERSATION CONCERNING THE APPROVAL OF A SHIPYARD ACQUISITION BY AMSHIP WHILE THE COMPANY  
END PAGE FIFTEEN

WAS UNDER INVESTIGATION, [ ] OFFERED THE EXPLANATION TO THIS AS WELL AS THE OTHER INSTANCES CITED THAT SUCH A STANCE BY THE GOVERNMENT WOULD BE LOGICAL.

UPON HIS RETURN FROM WASHINGTON, D.C., [ ] INFORMED [ ] THAT HE HAD TOLD [ ] THE INFO MENTIONED ABOVE ABOUT THE ANTITRUST SUIT AND [ ] HAD SAID " THAT IS NOT TRUE", WHEREUPON [ ] TRIED TO REFRESH HIS RECOLLECTION SAYING "DON'T YOU REMEMBER ONE OF YOUR FRIENDS MUST HAVE TOLD YOU ABOUT THE ANTITRUST SUIT".

[ ] REITERATED THAT [ ] ON NUMEROUS OCCASIONS, ASKED HIM FOR ADVICE RE INVESTIGATION BEING CONDUCTED OF AMSHIP AND DID NOT ASK FOR INFO CONCERNING INVESTIGATION AS [ ] SAID HE HAD NO INFO.

[ ] SAID [ ] TOLD HIM THAT WHILE IN WASHINGTON, D.C., HIS, [ ] GAVE HIM, [ ] A

POLYGRAPH EXAMINATION AND OBTAINED 36-PAGE STATEMENT FROM

[ ] ACCORDING TO [ ] SAID THAT [ ] TOLD [ ] THAT THE COMMITTEE MIGHT REQUEST THAT [ ]

END PAGE SIXTEEN

b6  
b7c

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PAGE SEVENTEEN

TAKE A SECOND POLYGRAPH EXAMINATION.

IT IS NOT KNOWN FROM REVIEW OF WATERGATE SPECIAL  
PROSECUTION FORCE MEMO DATED 10/15/73, AS TO WHETHER OR NOT

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b7C

INTERVIEWING AGENTS OF DEFINITE OPINION FROM CONTACT  
WITH [ ] THAT [ ] HAS BEEN AND IS HEAVILY RELYING  
ON ADVICE FROM [ ] IN THIS MATTER AND IN HIS DAILY  
RESPONSIBILITIES WITH AMSHIP.

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REPORT FOLLOWS.

END

LNG FBI HQ CLR TU AR

F B I

Date: 10/24/73

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)

AIRTEL

Via \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI (56-4737)  
FROM: SAC, CLEVELAND (56-83) (RUC)  
SUBJECT: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)

Re Cleveland report of SA [ ] 10/24/73.

Enclosed herewith are four copies of referenced  
Cleveland report of SA [ ] of 10/24/73.

Copies for [ ] are being forwarded separately.

- ② - Bureau (Enc. 4) 2 - SPO by cover LHM 10/30/73  
1 - Cleveland 1 - Retained Rm 241  
MVH/cac 1 - File  
(3)

REC-47

EX-117

56-4737-23  
11-7  
OCT 26 1973LHM to SPF  
(Enc 2)  
JSC/AMM  
10/27-73Approved: FLX Sent \_\_\_\_\_ M Per \_\_\_\_\_  
Special Agent in Charge

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WFO</b>	DATE <b>10/24/73</b>	INVESTIGATIVE PERIOD <b>10/17-19/73</b>
TITLE OF CASE <b>AMERICAN SHIP BUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY <b>SA</b> [redacted]	TYPED BY <b>cac</b>
		CHARACTER OF CASE <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

## REFERENCE

Bureau airtel to Cleveland, 10/17/73, enclosing copy of 10/15/73 request from HENRY S. RUTH, JR., Special Prosecutor's Office.

RUC

## ADMINISTRATIVE

The details of this report represent investigation conducted by the Cleveland Division in response to the request of October 15, 1973, of Deputy Special Prosecutor HENRY S. RUTH, JR. to Director, FBI.

Bureau will note that pertinent toll records for the business telephone service and the residence telephone

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

(4) - Bureau (56-4737)

2 - WFO

1 - Cleveland (56-83)

1 - Retained [unclear] JTC

Dissemination Record of Attached Report		Notations
Agency	Request/Recd.	
2 - SPO by [unclear] LH [unclear] 10/30/73		[unclear] b6 b7C
Date Fwd.		
How Fwd.		
By		

56 NOV 15 1973

COVER PAGE



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service for [redacted] Cleveland, Ohio, were obtained on a confidential basis from the [redacted] and the [redacted] on October 18 and 19, 1973 respectively. Inasmuch as the interviews of [redacted] on October 18 and 19, 1973, as well as the telephone billing records furnished by [redacted] confirm and elaborate on the telephone records confidentially furnished, the information and listings set forth on the [redacted] records are not being included in the details of the report. Copies of the pertinent telephone records are being maintained by the Cleveland Office.

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b7D

Cleveland indices reflect under CV 105-12979, Bufile 105-193684, entitled "[redacted] IS-YU", [redacted] interviewed by Bureau Agents, Cleveland, in 1967 and 1969 regarding underground Croatian movements and possible demonstrations. [redacted] stated he was involved in the publishing of a monthly newspaper having a circulation of about 150 and advised that [redacted] permitted him to use his reproducing equipment to publish a newspaper. At that time [redacted] claimed a business affiliation as [redacted] of Surfathane Chemical Inc., 2060 Hamilton, Cleveland, Ohio.

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Inasmuch as GEORGE M. STEINBRENNER, through his attorneys, has apparently been maintaining contact with appropriate representatives of the Special Prosecutor's Committee or other representatives of the Department of Justice, Washington, D.C., no apparent investigation remains outstanding at Cleveland and this matter is being considered RUC.

Copies furnished WFO in the event any prosecutive action is forthcoming.

B\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: SA [REDACTED] b6  
Date: 10/24/73 b7C

Office: CLEVELAND, OHIO

Field Office File #: 56-83

Bureau File #: 56-4737

Title: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

Character: ELECTION LAWS

## Synopsis:

[REDACTED] interviewed 10/18/73 at his Brunswick, Ohio residence regarding his association and conversations with [REDACTED] for the American Ship Building Company, Cleveland, Ohio. [REDACTED] stated he expected to be interviewed as [REDACTED] had told him of conversations between [REDACTED] (phonetic), and Prosecutor [REDACTED] of the Special Prosecutor's Committee. [REDACTED] stated any information he may have given [REDACTED] would have merely been advice based on common sense and denied having any "source" anywhere or access to any information other than that he may have received from [REDACTED] stated while [REDACTED] was in Washington, DC, [REDACTED] made numerous telephone calls to [REDACTED] and also stated he once telephoned the COX Committee to ascertain whether or not it would be in one's best interest to voluntarily appear before a COX Committee prior to the issuance of a subpoena. [REDACTED] voluntarily furnished copies of pertinent telephone company bills to his business phone service and made available for review copies of his residence telephone bill.

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b7C

RUC

## DETAILS:

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The current Cleveland, Ohio Street Directory lists [redacted] as [redacted] Protective Chemicals, Inc., [redacted] Cleveland, Ohio, [redacted] residence, Brunswick, Ohio.

The records of the Record Room, Cleveland, Ohio Police Department, as reviewed on October 18, 1973, by Patrolman [redacted] revealed the following traffic violations for [redacted] white male, born [redacted]  
[redacted]:

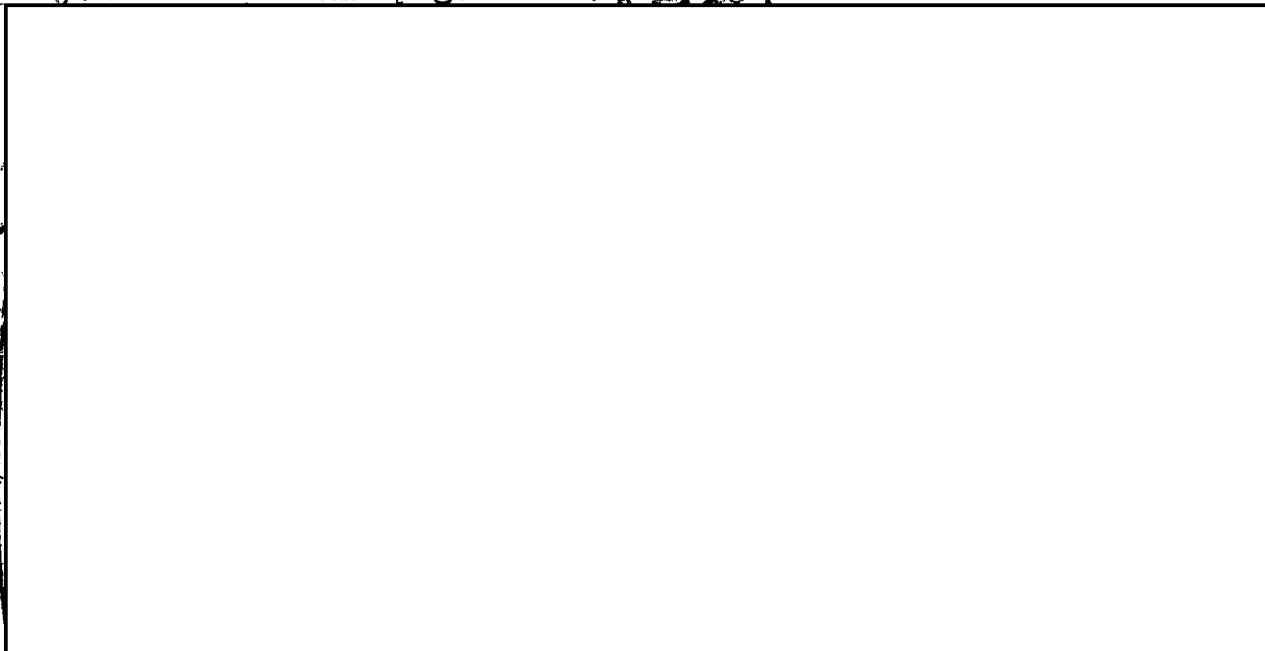
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b7C

4/3/61	Prohibited turn
10/10/61	Stop sign
2/10/62	Improper lights
9/28/72	Prohibited turn
3/3/63	Speeding

The records of the Identification Bureau, Cleveland Police Department, Brunswick Police Department, and Medina County Sheriff's Office, Ohio, do not contain any entries of any arrest record identifiable with [redacted] white male, born [redacted] as of October 18, 1973.

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The records of Dun and Bradstreet, Inc.,  
Cleveland, Ohio as reviewed on October 18, 1973, concerning  
Protective Chemicals Inc., 2060 Hamilton Avenue, Cleveland,  
revealed the following:



Protective Chemical Inc. is described as manufacturing  
roof coatings for mobile homes and rust-proofing chemicals  
for automobiles and trucks and employing 13 people. In  
addition to the above listed location, it rents office space  
at 2123 Hamilton Avenue.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10-23-73

[redacted] Brunswick, Ohio, was contacted at his residence on October 18, 1973. [redacted] was advised of the identity of [redacted] and [redacted] as Special Agents of the Federal Bureau of Investigation (FBI). While being told of the reason for his being contacted by Special Agent (SA) [redacted] interrupted and stated he is well aware of the reason for the Agents wishing to speak with him and stated he expected a visit from "someone." When asked to explain further, [redacted] stated that he believes the matter under investigation concerns [redacted] and that [redacted] indicated to him that he would likely be contacted concerning conversations of [redacted] and relating to [redacted] held in Washington, D. C.

At this point, [redacted] was orally advised of his rights by SA [redacted] as set forth on an "Interrogation: Advice of Rights Form." [redacted] stated, "What do I have to hide; why shouldn't I talk to you boys."

[redacted] was still instructed by SA [redacted] to read the above described Advice of Rights Form, which he did, and following the reading of same, [redacted] stated he understood the Form and would be willing to sign same. [redacted] also voluntarily noted that he has no need for any attorney to be present while discussing any matter with Special Agents of the FBI. [redacted] thereafter executed the Waiver of Rights Form and advised as follows:

He has known [redacted] who he knows to be the [redacted] for American Ship Building Company, Cleveland, Ohio, for the last ten years. His association with [redacted] who he also refers to as [redacted] arose from [redacted] business association with Wageman Paint Company, formerly located at East 45th Street and Superior Avenue, Cleveland, Ohio. At that time [redacted] of the commercial property at that location which was being leased by Wageman Paint Company, which Company [redacted] was employed with. He noted that firm went out of business in 1968. Concerning his last name, [redacted] he noted that due to his strong European accent, many persons, particularly

Interviewed on 10-18-73 at Brunswick, Ohio File # Cleveland 56-83

by SAs [redacted] and [redacted] b6  
[redacted] MVH:ral b7C Date dictated 10-19-73

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during telephone conversations, misunderstand the pronunciation and spelling of his name, and he is asked to spell his name, and when doing so, he becomes somewhat frustrated and over the years has gotten in the habit of merely using the last name [redacted]. He noted officially his business name is [redacted] but socially, and particularly over the telephone, he uses the name [redacted] and is known by that name because of the phonetic similarity with his given name.

Prior to the time that [redacted] began working full time for GEORGE STEINBRENNER at the American Ship Building Company, [redacted] asked [redacted] for advice as to whether such a business association would be in [redacted] best interests. In response to this, [redacted] told him something to the effect that if the salary were a positive factor and [redacted] had no negative reason to the contrary, he, [redacted] knew of no reason why [redacted] should not take the job. [redacted] is aware that [redacted] had been on [redacted] to American Ship Building from [redacted] law firm of THOMPSON, HINES and FLOREY.

Over the last ten or eleven years, [redacted] has personally and telephonically contacted [redacted] to seek advice in many and varied matters to the extent that [redacted] phone calls to [redacted] place of business has become a nuisance and [redacted] has had to instruct his employees to tell [redacted] that [redacted] is not present when [redacted] calls. [redacted] claimed that it is not unlikely or unusual that [redacted] telephone him twenty times a night.

Since the inception of the FBI investigation concerning the campaign contributions by officers of the American Ship Building Company, and GEORGE M. STEINBRENNER, [redacted] has continually discussed the contributions as well as the prosecution resulting from the investigation. Over the last several months, [redacted] has advised [redacted] that in his opinion, if GEORGE STEINBRENNER is confident that he, STEINBRENNER, has not made any contribution which is illegal, or violated any other law, STEINBRENNER should voluntarily appear in Washington, D. C., and tell that to the Cox Committee or any other investigative body, particularly if not to show would unnecessarily cause embarrassment for his, STEINBRENNER's, eight employees. Likewise, [redacted] has maintained that if the eight officers allegedly involved in the

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making of illegal campaign contributions, have not, in fact, violated any law there should be no necessity for them to have to voluntarily travel to Washington, D. C., or anywhere to merely tell someone that "I have done no wrong," explaining that doing so suggests implication of guilt and that something wrong has occurred.

To bring the matter up to date, [ ] noted that while [ ] was in Washington, D. C., during last week, October 8-12, 1973, [ ] telephoned [ ] on numerous occasions, particularly late on the evenings of Thursday and Friday, October 11 and 12, and during the conversations, [ ] got the impression that [ ] was under much pressure because of the continuing proceedings in Washington, D. C., involving the American Ship Building Company. Specifically, [ ] noted that [ ] had [ ] (FNU) or [ ] and that [ ] had almost gotten the impression that [ ] was acting as a prosecutor rather than a defense attorney as [ ] had to take the polygraph examination and had to furnish a 36-page statement to [ ] [ ] also indicated his office might wish to have [ ] take a polygraph examination. In addition, [ ] got the impression that [ ] had been quite concerned concerning his conferences with (FNU) [ ] of the Cox Committee to the extent that in the event [ ] might have to report to [ ] in the future concerning the Grand Jury inquiry he, [ ] might feel compelled to "rescue" [ ] as according to [ ] was not in the best of health. [ ] explained that approximately over a year ago, [ ] suffered a heart attack and gave the impression that [ ] is easily agitated and even noted one can tell when [ ] is agitated or nervous because the area above his nose on his forehead becomes quite red.

[ ] also noted that during the evening phone conversations from [ ] to him, [ ] gave [ ] the impression that either he, [ ] or [ ] during conversations with [ ] had told [ ] or implied to [ ] or [ ] had inferred that [ ] was a source of information concerning GEORGE STEINBRENNER and The American Ship Building Company's investigation by the Department of Justice. [ ] gave [ ] the impression that he, [ ] was so "up-tight" by the Washington, D. C., proceedings that [ ] was not sure about what he might have said or not said to [ ].

When asked to explain further what [ ] or [ ] might have implied or told [ ] stated that [ ] mentioned some things to him such as the Special Prosecutor's inquiry of the campaign contributions, the Antitrust action against STEINBRENNER by the Justice Department, something vaguely relating to an American Ship Building installation in another city, possibly Tampa, Florida, and to the references to the effect that [ ] may be a "source of valuable information."

On Saturday afternoon at approximately 1:00 p.m., October 13, 1973, following his return from Washington, D. C., [ ] personally contacted [ ] at his place of business, Protective Chemicals Incorporated, 2060 Hamilton Avenue, Cleveland, Ohio. At that time [ ] repeated his concern that [ ] may have received the impression that [ ] may be some kind of "source" or have given [ ] some knowledge or information to which he normally would not have access.

When questioned as to why [ ] was telling [ ] all of this information, [ ] explained [ ] told [ ] that they would probably be contacting him, [ ] and questioning him concerning [ ] and [ ] conversations with [ ] explained that he presumed "they" to mean Special Agents of the FBI and that [ ] should prepare for such an interview. At this time, [ ] noted that he had expected to be interviewed earlier and stated specifically on Monday, October 15, 1973.

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Concerning any information that [ ] may have offered [ ] over the last year, [ ] noted that he does not like the word "information" and would prefer to use the word "advice" and that his advice appeared to him to be merely "common sense." [ ] noted again that [ ] continually contacted him concerning numerous matters and that perhaps this is true because to the best of [ ] recollection, he has never furnished [ ] any "bad advice" and somehow always seems to be right. [ ] offered the anecdote that a number of years ago he was crying and when asked why by [ ] stated that his mother who is living in Yugoslavia had died. [ ] without any prior knowledge to that effect, stated he confirmed that fact by a telephone call to Yugoslavia. When asked to explain further, [ ] could only state that somehow such



information or knowledge simply appears to him and that often he attributes same merely to logic or common sense and also made reference to the fact that he is a naturalized citizen having been born and grown up under Communism, somehow survived the war, spent six years working in a camp for refugees and subsequently came to the United States and today has obtained financial comfort, and has many friends throughout the country and even throughout the world.

Concerning any implication that he, [redacted] is a "source" of any information he might have furnished [redacted] [redacted] offered the following:

He has not been privy to any kind of information relating to GEORGE STEINBRENNER or the American Ship Building Company which he did not get from [redacted]. He noted [redacted] has told him much concerning the activities of the American Ship Building Company, which information [redacted] has not solicited from [redacted] and he claims he does not care to hear it. Concerning the references that the door to the Special Prosecutor's Office was still open [redacted] noted it is his belief that it would be in anyone's best interest to voluntarily appear and testify before any court proceeding prior to any subpoena being issued if that person had done no wrong. Specifically regarding the date September 18, [redacted] stated he does not recall any particular date with reference to GEORGE STEINBRENNER's appearance before the Cox Committee. He stated that he still maintains that if STEINBRENNER is really that confident that he has violated no law, then his voluntary appearance would have been recommended, although at this late date, he noted that the whole matter has gone so far that it is unlikely that STEINBRENNER could take any positive action as in [redacted] opinion, it is likely STEINBRENNER will be indicted. [redacted] noted that in his opinion, it would have been best if STEINBRENNER originally had voluntarily offered his testimony before the Special Prosecutor's Grand Jury.

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Regarding any reference to his knowledge as to the Antitrust action against the American Ship Building Company by the Department, [redacted] stated he vaguely recalls perhaps as long ago as six months, specific month unrecalled, that [redacted] told him that some Antitrust action against American Ship Building Company was likely. He noted later that same

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day he, [ ] was told by some unrecalled person, someone like a Clerk of the Court or a policeman or some other person possibly having such information that a motion had been filed in Federal Court, Cleveland, Ohio, involving an Antitrust action against the American Ship Building Company. In this regard [ ] noted that conversation was specifically referred to by [ ] on Saturday, October 13, 1973, and that he recalls that at that time [ ] made some mention about several ships owned by the company to which [ ] replied that he did not know what [ ] was talking about and stated "that is not true." [ ] then attempted to have [ ] attempt to recall and stated, "Don't you remember, one of your friends must have told you about the Antitrust suit?" b6 b7C

Concerning any reference to an American Ship Building Company yard somewhere else [ ] noted he recalled something mentioned by [ ] vaguely referring to Tampa, Florida, or possibly Nashville, Tennessee, or some other location. Other than that vague reference he could recall no additional details. b6 b7C

Concerning the Grand Jury proceedings at Washington, D. C., [ ] noted that according to information furnished by [ ] accompanied [ ] to Washington, D. C., early in September of 1973, and that sometime around their appearance before the Grand Jury. [ ] b3 b6 b7C

[ ] (phonetic), recommended to [ ] that he obtain his own attorney as that would be in his best interest.

[ ] recalled being told this telephonically by [ ] on one evening around that time and was also told by [ ] that [ ] had changed their stories from those furnished the FBI Agents and that when [ ] asked [ ] what he thought might be happening, he told him that "they," or STEINBRENNER, are attempting to "dump it in his lap" possibly referring to the responsibility for the change in testimonies or also possibly the remaining course of the investigation. [ ] noted that he believes that the attorneys [ ] and [ ] might be associated with the same firm utilized by President RICHARD M. NIXON and he believes he telephoned [ ] at that firm's number in Washington, D. C. b6 b7C

[ ] also recalled an instance that arose during a discussion with [ ] confidence in [ ] b6 b7C

STEINBRENNER and [ ] noted he believed that STEINBRENNER was being truthful with him as STEINBRENNER had confided something quite personal to [ ] that is, the fact that STEINBRENNER's wife was reportedly in ill health, possibly suffering from cancer. To this [ ] expressed a desire to meet STEINBRENNER so that he might attempt to tell whether STEINBRENNER was a man to be trusted. Accordingly, [ ] set up a pretext meeting which was supposed to be suspected as his competitors always seemed to be aware of his travel plans and suspected that his telephones might be tapped. [ ] noted that "I was supposed to know something about that kind of stuff," apparently referring to telephones. The result was that [ ] met with [ ] STEINBRENNER and [ ] an [ ] for The American Ship Building Company. The meeting lasted approximately one hour and general discussion was held among the four.

Sometime after the meeting [ ] told [ ] that [ ] was asked by STEINBRENNER and/or [ ] if [ ] was [ ] contact in Washington, D. C., and also whether [ ] was a "con." [ ] offered this information voluntarily and cited same as an instance wherein [ ] or other persons might have gained the impression that [ ] might have some contacts or influence in Washington, D. C. He noted that entire instance was never discussed further in any detail. [ ] noted that he did not believe he had had enough contact to arrive at any firm opinion concerning STEINBRENNER, but merely based upon information from [ ] particularly concerning proceedings in Washington, D. C., he is highly suspect of STEINBRENNER although he readily admits STEINBRENNER's intelligence and apparent ability as a corporation executive.

With regard to other contacts he had with [ ] [ ] noted he vaguely recalls placing a number of telephone calls to Washington, D. C., which were return calls to [ ] and specifically believes he would have called the Hotel Madison in Washington, D. C., where [ ] was staying, which calls would have been comprised of advice given to [ ] in response to questions raised by him. [ ] also noted he made several telephone calls including he believes to the Washington "Post" and Washington "Star" in an effort to determine the number for the Cox Committee. He stated the purpose in contacting the Cox Committee was to ascertain or verify his belief as to whether or not it would not be in one's best interest to voluntarily

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appear before the Committee and furnish information rather than waiting to be subpoenaed. He stated he was interested in obtaining an answer so he might furnish same to [redacted] for the purpose of [redacted] in connection with [redacted] appearance before the Grand Jury. He noted he placed the telephone call, he believes, from his place of business, to the Cox Committee. After he dialed the number and spoke with a male, who he does not recall furnishing a name, and after posing the question concerning someone voluntarily appearing, the male in Washington, D. C., asked "Are you calling from Cleveland?" whereupon [redacted] replied that he was not and claimed that he was in Washington, D.C. [redacted] noted he presumes that the male at the Cox Committee must be the individual handling Cleveland matters as he cannot offer any other explanation.

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[redacted] also recalls telephoning at least one other firm in response to a telephone call to him by [redacted] however, he does not recall the exact name of the firm.

[redacted] also stated he recalls telephoning the Justice Department, the specific number unrecalled, but believes no one answered the phone and he also believes he telephoned some other Washington, D. C., number which he believes to be some kind of central number or number relating to a court or some similar office.

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[redacted] stated specifically that any telephone calls he placed to Washington, D. C., were his attempts to return telephone calls to [redacted] or his attempts to locate the telephone number for the Cox Committee. [redacted] stated he knows no persons in Washington, D. C., and in fact, has never traveled to Washington, D. C., has no influence in Washington, D. C., and has no "sources" of any type with information of any value there.

Concerning any conversation with regard to the approval of the shipyard acquisition by Amship while that company was under investigation or inquiry by the Justice Department, [redacted] merely offered the explanation that it would be logical to think that such an action would not be approved or granted under such circumstances and no one should have to be an attorney or "genius" to reach such a conclusion.

Concerning [ ] knowledge of the campaign contributions of STEINBRENNER and his eight Amship officers, [ ] noted several times that [ ] had continuously confided in him some of the circumstances surrounding the contribution and the fact that he, [ ] was aware that the amount was \$100,000.00. [ ] noted that [ ] told him that the contribution arose out of a dinner where STEINBRENNER and [ ] were present and apparently [ ] was the individual who suggested the amount of the contribution to STEINBRENNER. [ ] characterized [ ] as a member of the Board of the American Ship Building Company, a member of the Nixon Re-Election Committee, and an individual having some affiliation or association with President RICHARD NIXON's Washington, D. C., law firm.

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With regard to the interviews of [ ] [ ] stated that [ ] claimed that he was being circumvented in his contact with [ ] to the effect that several of [ ] later claimed that they were getting some instructions from [ ] and that GEORGE STEINBRENNER was actually telling them what to say when interviewed. [ ] mentioned [ ] and [ ] as three of the individuals he knows [ ] Ohio, and he added that [ ] told him that while in Washington, D. C., after [ ] had told the truth, several of [ ] approached [ ] and told him that [ ] should not act as if he did not know what had actually happened and that STEINBRENNER had told them what to say and that they felt somewhat compelled to do what their boss instructed them to do. [ ] also reportedly told [ ] that he was told by STEINBRENNER in Washington, D. C., that he, [ ] was to stay away from the [ ] and he was to have no contact with [ ] and specifically recalls the phrase "to stay away from --- [ ]". This would have been around the time, according to [ ] when [ ] was concerned as to what his role in the entire matter was supposed to be and probably would have been around the time that attorneys [ ] and [ ] advised him, [ ] to obtain his own attorney. [ ] noted that he believes that [ ] would not knowingly tell a lie and that [ ] gave him the impression that he was not aware of anything illegal

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or questionable concerning the contributions, or prior to  
traveling to Washington, D. C., the falsehood of the previous <sup>b3</sup>  
interviews

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data on [redacted] Set forth below is description and background:

Sex	male
Race	white
Date of birth	[redacted]
Place of birth	[redacted]
Height	5'10"
Weight	187 lbs.
Eyes	brown
Hair	brown
Complexion	dark
Marks	none
Marital status	married on [redacted] at [redacted]

Wife  
Children

Father  
Mother

Occupation  
Languages

Foreign  
residences

b6  
b7c

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Entered United  
States



at New York City

Destination



Cleveland, Ohio

Organizations



b6  
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Citizenship



## FEDERAL BUREAU OF INVESTIGATION

10/23/73

Date of transcription

1

During the course of the interview of [redacted] at his residence at [redacted] Brunswick, Ohio, [redacted] voluntarily made available to Special Agents [redacted] and [redacted] Northern Ohio Telephone Company bills including the September 25, 1973, bill which includes the following telephone calls billed to telephone number 225-7677 to [redacted] at that address:

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<u>Date</u>	<u>City Called</u>	<u>Number Called</u>
8/29/73	Washington, DC	872-4600
9/3/73	"	223-6000
9/4/73	"	298-5970
9/18/73	"	785-1000

[redacted] noted that he believes the first telephone number listed, 872-4600, is the number of the American Chemical Society in Washington DC.

He stated he believes 223-6000 is the number for the "Washington Post" newspaper or possibly the "Washington Star" newspaper.

[redacted] stated he believes that 298-5970 is the telephone number for a law firm in Washington, DC which number was furnished him by [redacted] on a previous call and that [redacted] had requested him to telephone him at that number.

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He believes 785-1000 is the telephone number for the Hotel Madison, the hotel utilized by [redacted] while staying in Washington, DC.

Interviewed on 10/18/73 at Brunswick, Ohio File # Cleveland 56-83  
 by SAs [redacted] and [redacted] MVH/cac Date dictated 10/23/73

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## FEDERAL BUREAU OF INVESTIGATION

10/23/73

Date of transcription

1

[redacted] also known as [redacted] Brunswick, Ohio, voluntarily appeared at the Cleveland Office of the Federal Bureau of Investigation (FBI) and asked to speak with Special Agent [redacted]. [redacted] was furnished a "Voluntary Appearance; Advice of Rights" form by SA [redacted] in the presence of SA [redacted]. The form was read to [redacted] by SA [redacted] following which [redacted] also read the form, stated that he understood its contents, and thereafter signed the form.

[redacted] stated he was aware that SAs [redacted] and [redacted] were the same Special Agents who had previously interviewed him on the night of October 18, 1973 at his residence.

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Prior to [redacted] appearance at the Cleveland Office of the FBI, he had telephoned the Cleveland Office and thereafter a return telephone call was placed to [redacted] by SA [redacted] who requested that if available [redacted] bring with him any records of any telephone bills or long distance calls which he may have in his possession.

[redacted] furnished photostatic copies of telephone calls billed to his telephone numbers at his place of business, Protective Chemicals, Inc., 2060 Hamilton Avenue, Cleveland, Ohio, for the months of August, September, and October, 1973, and listed the telephone numbers for his place of business as:

(216) 696-6030

(216) 696-6031

(216) 696-6032

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He noted that the last number, [redacted], is his private business number.

Interviewed on 10/19/73 at Cleveland, Ohio File # Cleveland 56-83  
by SAs [redacted] and [redacted] MVH/cac Date dictated 10/23/73

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[ ] noted that the interviewing agents can observe that numerous long-distance telephone calls are billed to his numbers from numerous states from Maine to Iowa and explained that his company employs approximately 27 company representatives and that most of the collect calls attributed to his business telephone numbers are comprised of such calls.

[ ] was requested to and accordingly signed the front page of each separate photostatic copy of his telephone records and also noted the date, October 19, 1973, next to his signature.

Thereafter, [ ] furnished the following additional information:

He recalls that he placed two telephone calls from Cleveland, Ohio, to two separate Washington, DC law firms in returning previous telephone calls from his friend, [ ]. The telephone calls he believes would have been in early September when [ ] accompanied the other American Shipbuilding (AMSHIP) Company employees to Washington, DC. He believes [ ] would have been, at that time, in conversation with Attorneys [ ] and [ ] (phonetic).

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The second call that he recalls placing to a law firm would have been approximately several weeks later around the time that [ ] had retained his own attorney. [ ] telephoned the law firm which [ ] attorney, First Name Unknown (FNU) [ ] is associated with and was informed by an unrecalled individual that [ ] was tied up or in conference. Approximately ten minutes later [ ] returned the call.

In addition [ ] stated he specifically recalls telephoning Washington DC and speaking with someone at the "Washington Post" or the "Washington Star" on a total of two separate occasions. He also recalls talking with an unrecalled government agency and also telephoning the Justice Department once but stated he did not get any answer. He noted also that he telephoned the ARCHIBALD COX Committee and spoke with an unrecalled individual as he described during his interview on the evening of October 18, 1973.

[ ] reiterated that on Saturday, October 13, 1973, at approximately 1:00pm [ ] after flying back from Washington, DC on that date, visited [ ] at his place of business and discussed with him his, [ ] conversations with his Attorney [ ] and [ ] of COX's committee. [ ] also noted that [ ] telephoned him from Washington, DC late Thursday evening at approximately 10:00pm or 11:00pm and also called him early Friday morning at about 12:30am and repeated various parts of his conversations with his attorney and [ ] and on each occasion gave [ ] to understand that either he, [ ] or his attorney, had given [ ] the impression that [ ] may have been privy to or the source of some valuable information from Washington sources, unknown to [ ]

[ ] also noted that he subsequently learned from [ ] that following the meeting attended by GEORGE STEINBRENNER, [ ] and himself, STEINBRENNER and [ ] asked [ ] regarding [ ] "What is that guy, a con?" [ ] stated that he did not know the meaning of the inquiry, that is whether they referred to [ ] as an ex-convict, as a confidence man, or as a con-artist.

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In summarizing his relationship with [ ] [ ] noted that [ ] told him what had transpired in Washington, DC and [ ] recalls [ ] saying specifically in regard to the conversation about AMSHIP and the divestiture of several ships in connection with an anti-trust case, "In case you are asked" apparently referring to an anticipated interview of [ ] also stated "All I know from [ ] is that he really cracked up.", explaining that apparently [ ] has been quite upset or pre-occupied with his legal activities in Washington, DC.

Concerning [ ] who he previously characterized as being the individual somehow responsible for obtaining the STEINBRENNER campaign contribution, [ ] stated that he believes [ ] was a member of the law firm which handled some work for President RICHARD M. NIXON, possibly the same firm that [ ] and [ ] are associated with or possibly the Mudge Rose Law Firm.

[ ] noted that [ ] has also told him that STEINBRENNER, [ ] and FNU [ ] have discussed moving the

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Cleveland AMSHIP office to Tampa, Florida, but they have not mentioned this at all to [ ] and when [ ] approached STEINBRENNER about this, STEINBRENNER told [ ] he would talk to him about it later.

Concerning telephone calls billed to his business telephones at 2060 Hamilton, Cleveland, Ohio, [ ] stated that to the best of his knowledge no other telephone calls would have been placed to Washington, DC by any person other than himself and that with the exception of possibly one telephone call to the American Chemical Society, Washington, DC all of his telephone calls to Washington would have been attempts to obtain the number for the COX Committee or returning telephone calls to [ ].

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Regarding [ ] stated that following the interview by the interviewing agents on Thursday evening, October 18, 1973, he was advised by [ ] that [ ] had called his home twice during the time he was with SAs [ ] and [ ] also noted that he expected to meet with [ ] later on in the day, Friday, October 19, 1973. He stated that during his phone calls with [ ] since being interviewed he had not received any additional information of any kind other than what he had already furnished the interviewing agents.

## FEDERAL BUREAU OF INVESTIGATION

10/23/73

1

Date of transcription

A review of telephone records furnished by [redacted]  
 [redacted] Protective Chemicals, Inc., 2060  
 Hamilton Avenue, Cleveland, Ohio, on October 19, 1973,  
 revealed the following long-distance telephone calls  
 placed to Washington, DC:

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<u>Calling number</u>	<u>Date</u>	<u>Number called</u>
696-6032	9/4/73	223-6000
696-6032		737-8200
696-6031		655-4000
[redacted]		393-2300
696-6032	9/10/73	638-6565
[redacted]	9/18/73	785-1000
696-6032		
696-6031	9/22/73	

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b7C

Interviewed on 10/19/73 at Cleveland, Ohio File # Cleveland 56-83  
 by SA [redacted] /cac b6  
 Date dictated 10/23/73 b7C

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Investigation conducted by the Washington Field Office of the Federal Bureau of Investigation on October 18, 1973, determined the below listed subscribers to the respective telephone numbers, all of which are for Washington, D.C.:

223-6000  
Washington Post Newspaper

737-8200  
Department of Justice

655-4000  
Main US Government  
Telephone Number

393-2300  
Special Prosecutor's Office  
(COX Committee)

638-6565  
Law Firm  
839 17th Street, NW

785-1000  
Hotel Madison

298-5970  
Law Firm  
Becker and Greenwald  
1701 Pennsylvania  
Washington, D.C.

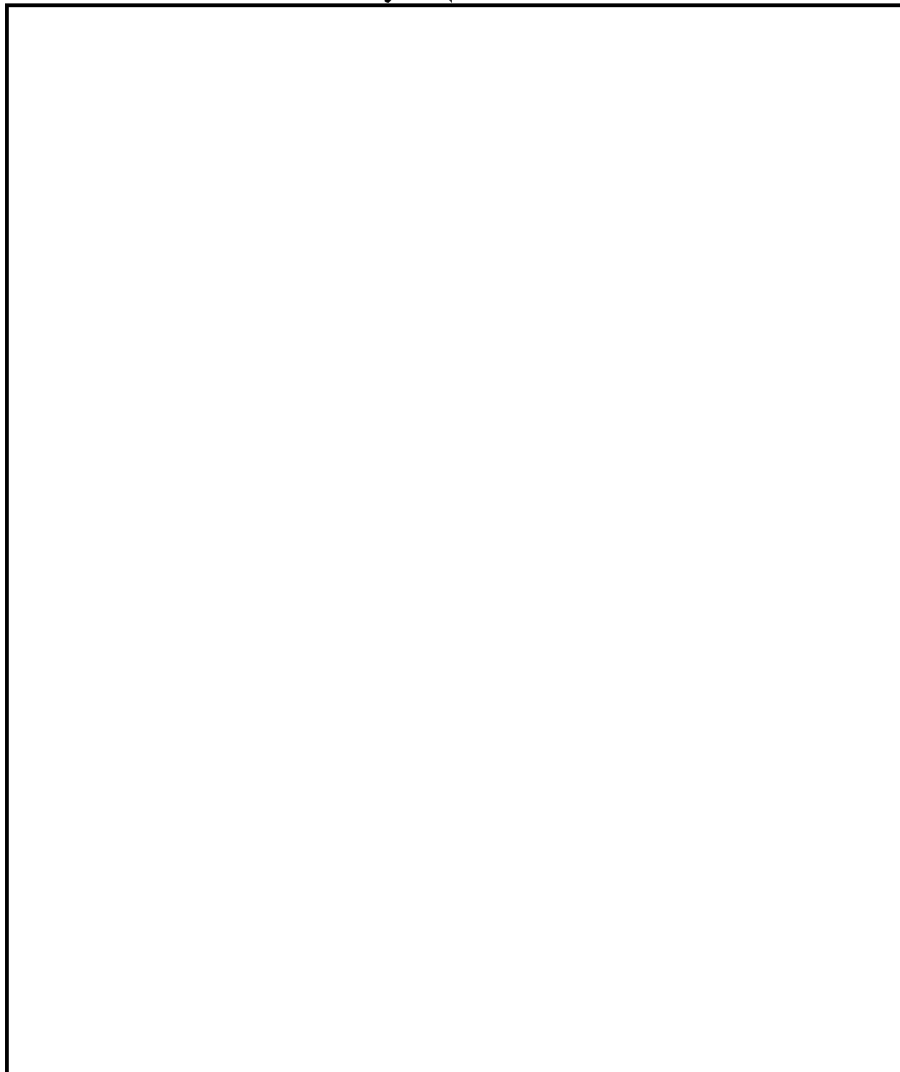
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Set forth below are names of attorneys listed as  
being associated with the respective Washington, D.C. law  
firms:

**298-5970 - Becker and Greenwald  
1701 Pennsylvania Avenue**



**638-6565 - 839 17th Street, NW**



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1- Mr. [redacted]

b6  
b7C

October 30, 1973

BY COURIER SERVICE

**AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS**

Reference is made to the memorandum of Henry S. Ruth, Jr., to the Director, FBI, dated October 15, 1973, captioned [redacted] which memorandum is believed to concern [redacted]

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Enclosed for the Special Prosecution Force are two copies of a report dated October 24, 1973, received from the Cleveland, Ohio, FBI Office, which sets forth the results of the requested investigation.

The [redacted] were obtained on a confidential basis from the [redacted]

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As the interviews of Mr. [redacted] and the telephone billing records furnished by him confirm and expand on [redacted] confidentially obtained, [redacted]

[redacted] may be obtained upon issuance of subpoenas duces tecum. [redacted]

The Special Prosecution Force is requested to advise this Bureau only if additional investigation is desired.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Enclosures (2)

NOTE: Original and one forwarded with enclosures to Special Prosecution Force by 0-14 this date.

JGC/amm (4)

MAIL ROOM ☒ TELETYPE UNIT ☐

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

MAILED 3

OCT 30 1973

FBI

NOV 15 1973

REL  
WAF

*[Handwritten signatures and initials]*



Memorandum to Mr. Gebhardt  
RE: WATERGATE SPECIAL PROSECUTION UNIT  
AMERICAN SHIPBUILDING CORPORATION

The second point raised was that [REDACTED]

[REDACTED]

Items three, four, and five in the referenced memorandum of Mr. [REDACTED] concern Ruth's impression that [REDACTED]

[REDACTED]

b3  
b6  
b7C

Memorandum to Mr. Gebhardt  
RE: WATERGATE SPECIAL PROSECUTION UNIT  
AMERICAN SHIPBUILDING CORPORATION

b3  
b6  
b7C

over  
L

Memorandum to Mr. Gebhardt  
RE: WATERGATE SPECIAL PROSECUTION UNIT  
AMERICAN SHIPBUILDING CORPORATION

The last concern raised by Mr. Ruth was that statements



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Mr. Ruth advised that he did not want to appear that he was a "complainor" and he really did not know if



He then stated that he thought the investigation conducted by the Cleveland office had been "outstanding" as evidenced by the results achieved and contemplated. He stated he was impressed by the concern shown by the FBI in this matter and considered it resolved. He hoped that we could maintain the excellent relations that now exist with his staff and should any problems develop in the future that the Bureau would contact him immediately. He advised that he and Mr. [redacted] did not feel that it was necessary to forward any correspondence, nor was any further action necessary with regard to this matter by the Bureau as he considered it resolved.

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b7C

RECOMMENDATION

1. That no further action be taken with regard to this matter, specifically in writing or contacting the Special Prosecutor's office.



over



Memorandum to Mr. Gebhardt  
RE: WATERGATE SPECIAL PROSECUTION UNIT  
AMERICAN SHIPBUILDING CORPORATION

2. A review of this matter discloses that the Cleveland office handled the investigation in an outstanding manner as stated by Mr. Ruth. It appears that indictments will be obtained for Steinbrenner for an Election Law violation and [redacted] for Obstruction of Justice. It is recommended, with the Director's approval, that a general letter of commendation be sent to the SAC, Cleveland, recognizing the outstanding work by his personnel in this matter.

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*Handwritten notes:*  
SAC to be  
Commended  
also to be  
intend to  
SAC  
10/18/73

*Handwritten initials and signatures:*  
Jett. [Signature] RBY [Signature] [Signature] [Signature]

*Handwritten signature:* [Signature]

(10-23-73)  
APPROPRIATE LETTER PREPARED, BG.  
*Handwritten signature:* [Signature]

Assoc. Dir.	<input checked="" type="checkbox"/>
Asst. Dir.:	
Admin.	<input checked="" type="checkbox"/>
Comp. Syst.	<input checked="" type="checkbox"/>
Ext. Affairs	<input checked="" type="checkbox"/>
Files & Com.	<input checked="" type="checkbox"/>
Gen. Inv.	<input checked="" type="checkbox"/>
Ident.	<input checked="" type="checkbox"/>
Inspection	<input checked="" type="checkbox"/>
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Laboratory	<input checked="" type="checkbox"/>
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Spec. Inv.	<input checked="" type="checkbox"/>
Training	<input checked="" type="checkbox"/>
Legal Coun.	<input checked="" type="checkbox"/>
Telephone Rm.	<input checked="" type="checkbox"/>
Director Sec'y	<input checked="" type="checkbox"/>

October 9, 1973

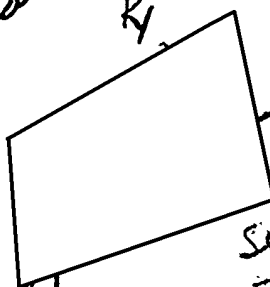
To: Mr. Clarence M. Kelley  
Director, FBI

From:   
Executive Assistant

Re: WATERGATE SPECIAL PROSECUTION UNIT  
AMERICAN SHIPBUILDING CORPORATION  
INVESTIGATION

b6  
b7C

*What about This?*



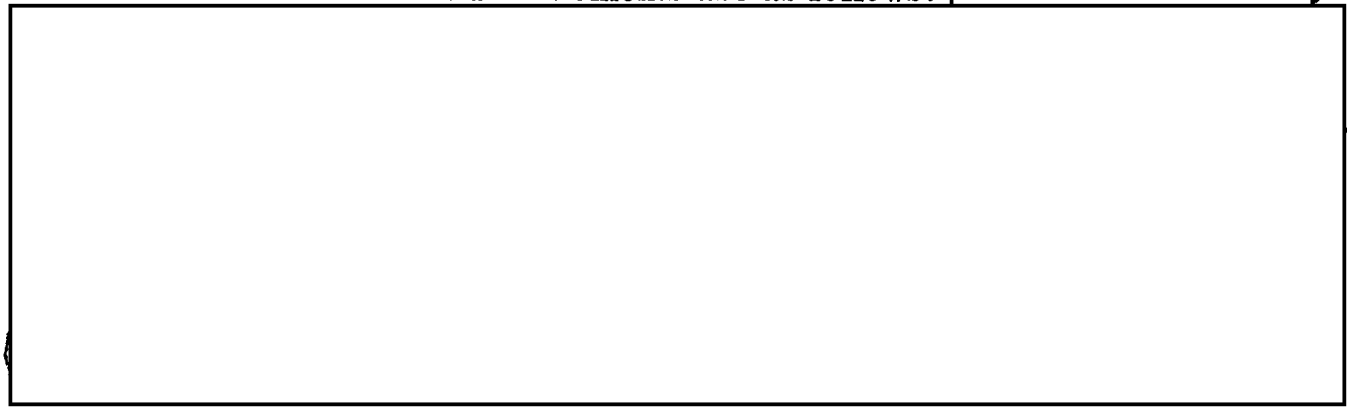
*See he:*



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On Friday, October 5, 1973, having accepted the luncheon invitation of Henry Ruth, Deputy Special Prosecutor, I visited the Unit's office (Vermont and K) and at the request of Mr. Ruth, we were joined by a  Associate Special Prosecutor. The entire discussion centered on current investigation into American Shipbuilding Corporation illegal political contribution allegations.

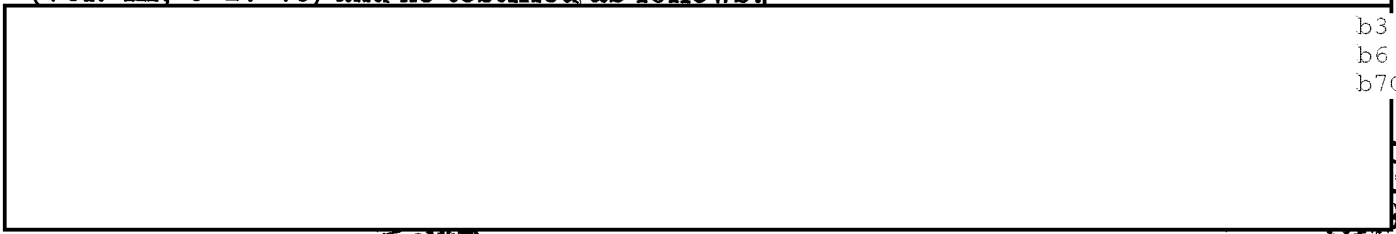
The substance of their statements are as follows:



RECORDED COPIED IN

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b7C

As a result of this testimony  was called before Grand Jury No. 2 (Vol. III, 9-27-73) and he testified as follows:



~~ENCLOSURE~~

1 - Mr.

*Long to Gebhardt 10/11/73*  
*JEH: REC-15 56-4737-26 3*

WLR:nm (2)

XEROX

NOV 16 1973

b6  
b7C

NOV 20 1973

SIX

Memorandum for Mr. Kelley  
Re: Watergate Special Prosecution Unit  
American Shipbuilding Corporation Investigation

b3  
b6  
b7C

Messrs. Ruth and [ ] also stated that the statements obtained were obviously "canned" but no challenges or reinterviews were conducted by Cleveland Field Office. Both Ruth and [ ] stated that the Headquarters liaison and candor with General Investigative Division, particularly Dick Long and [ ] were outstanding. b6 b7C

Mr. Ruth stated that this information was being provided to you as per Special Prosecutor Archibald Cox's assurances that such matters would be brought directly to your attention. I advised Mr. Ruth I would do so and was further advised that this was not urgent but this matter was for your attention only.

Mr. [ ] indicated that an indictment of [ ] is not probable but as a result of these developments stated that a separate and unrelated statement received from a [ ] Special Agent, Cleveland, which contained broad allegations of improprieties in the Cleveland Field Office, including U. S. Attorney disposition of cases, is given some credibility although previously discounted in toto. Upon further discussion, Mr. [ ] seemed to rethink this matter as not having credibility. Mr. Ruth advised that a Bureau internal investigation into [ ] charges and personal conduct has been completed. Mr. Ruth also expressed some concern regarding an FBI interview in Los Angeles which contained serious allegations related to the scope of his Unit's inquiry. Although proven to be unfounded, he did express concern that over sixty days lapsed before the Unit received a report. b6 b7C

I advised both officials I would bring this matter to your personal attention.



Airtel

1- FOF  
1- Mr. [redacted]

b6  
b7C

12/3/73

TO: SAC, WFO

FROM: Director, FBI (56-4737)

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

The Special Prosecutor's Office (SPO) has requested the FBI to determine the present address and telephone number of [redacted] and [redacted] who were connected with the Public Relations firm of Neale Roach and Associates, whose address in October, 1967, was Suite 609, 1725 K Street, N.W., Washington, D. C. The telephone number of that firm was 296-8926.

b6  
b7C

WFO should, through available sources, develop the present address and telephone number of these individuals in order that the SPO may be informed. No interviews of these individuals are requested at the present time. Please furnish investigative results in LHM form as soon as possible.

EX-107

NOTE: Above request was received on 12/3/73 by SA [redacted] from Assistant Special Prosecutor [redacted]

b6  
b7C

CAN/amm (5)  
amm

REC-1 56-4737-27

MAILED-7

DEC 3 1973

FBI

5 DEC 4 1973

Assoc. Dir. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

55 DEC 8 1973

MAIL ROOM ☒

TELETYPE UNIT ☐

F B I

Date:

12/13/73

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)

Via AIRTEL

(Priority)

TO: DIRECTOR, FBI (56-4737)

FROM: SAC, WFQ (56-311) (P)

AMERICAN SHIP BUILDING CO.  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION-  
WATERGATE UNIT)  
(OO:WFO)

ReBuairtel, 12/3/73.

Enclosed for the Bureau are the original and five  
copies of an LHM, dated and captioned as above.

12/13/73  
SPF, advised  
CAN

2-WSPF by 0-14 (U)  
ATTN: Mr. [redacted]  
1- Retained Room 2244  
2- Destroyed.  
CAN/KK  
12/13/73

b6  
b7C

REC 44 56-4737-28

22 DEC 14 1973

EX-110

②-Bureau (Enc. 6) ENCLOSURE  
1-WFO  
AJL:ags  
(3)

58 DEC 14 1973

Approved: [Signature]  
Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

[redacted] advised [redacted] is presently home suffering from pneumonia. His home address is [redacted], Chevy Chase, Maryland.

b6  
b7c

A physical check of a "new" building in the 400 block of First Street, Southeast, revealed that the American Trucking Institute will be located at 430 First Street, Southeast, Washington, D. C.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.



In Reply, Please Refer to  
File No.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Washington, D. C. 20535

December 13, 1973

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

Pursuant to a request from the Office of the Watergate Special Prosecutor, to locate one [redacted] the following investigation was conducted by Special Agent [redacted] Washington Field Office of the Federal Bureau of Investigation (FBI):

A review of the Polk Directory for the years 1969-1970 showed a [redacted] Associates at [redacted] Washington, D. C., [redacted]

A review of the 1971 Criss-Cross Directory reflected a [redacted] residing in [redacted] at [redacted] [redacted] His employment was listed as Marketing Consultant.

b6  
b7C

On December 12, 1973, The Credit Bureau of Washington and the records of Dunn and Bradstreet, Washington, D. C., were checked with negative results regarding [redacted] or [redacted] Associates.

An examination of the file at the Washington Field Office of the FBI reflected the following information:

In October, 1971, [redacted] was employed by the American Trucking Institute Associations, Inc., as [redacted] [redacted] with an office in Suite 201 of the Old Congressional Hotel. He was formerly [redacted] [redacted] from 1966 to 1970. His residence was listed as [redacted] Washington, D. C.

On December 12, 1973, [redacted] Congressional Hotel, Washington, D. C., advised [redacted] and the American Trucking Institute are presently moving from this location, to a new building in the 400 block of 1st Street, Southeast, Washington, D. C.

56-4737-28

ENCLOSURE

F B I

Date: 1/25/74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL

(Priority)

TO: DIRECTOR, FBI (56-4737)

FROM: SAC, WFO (56-311)(P)

○ AMERICAN SHIP BUILDING CO.  
~~GEORGE M. STEINBRENNER~~  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
(OO:WFO)

X-14  
CATN/11x

Re WFO airtel dated 12/13/73 and WFO telcall,  
1/25/74.

Enclosed for the Bureau are the original and  
five copies of an LHM dated and captioned as above.

b6  
b7c

② - Bureau (Enc. 6)  
1 - WFO

AJL:nsw  
(3)

ENCLOSURE

Approved: *[Signature]*  
JAN 26 1974

Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Washington, D. C. 20535

January 25, 1974

In Reply, Please Refer to  
File No.

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

Pursuant to a request to establish the whereabouts of [redacted], the Washington Field Office of the Federal Bureau of Investigation determined on January 23, 1974, from a review of the Dunn and Bradstreet records that a [redacted] was listed as an Engineering Consultant and resided at [redacted] Bethesda, Maryland. *ab.g* [redacted]

*DC* On January 24, 1974, the records of The Credit Bureau of Washington reflected no information regarding Walter.

A review of the 1970 through 1973 directories in the possession of the Washington Field Office confirmed the above address for [redacted] however, no employment could be located. A telephone number of [redacted] was located in a 1972 Polk's Directory.

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The above information was telephonically furnished to Assistant Watergate Special Prosecutor [redacted] on January 25, 1974.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

RECEIVED

56-4737-29

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WFO</b>	DATE <b>4/8/74</b>	INVESTIGATIVE PERIOD <b>4/5/74</b>
TITLE OF CASE <b>AMERICAN SHIPBUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> b6 b7C	TYPED BY <b>cab</b>
		CHARACTER OF CASE <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

REFERENCE

Cleveland teletype to the Bureau, 4/5/74.

-P-

LEADCLEVELAND:AT CLEVELAND, OHIO

Will follow and report prosecution.

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:	
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			PENDING OVER ONE YEAR	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
APPROVED <i>FLH</i>		SPECIAL AGENT IN CHARGE			DO NOT WRITE IN SPACES BELOW			
COPIES MADE: <b>4 - Bureau (56-4737)</b> <b>2 - WFO</b> <b>1 - Cleveland (56-83)</b>						<b>56-4737-30</b>		<b>REC-45</b>
						<b>5 APR 15 1974</b>		<b>EX-111</b>
								b6 b7C
Dissemination Record of Attached Report						Notations		
Agency	<i>2 copies destroyed</i>					<div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;"> <b>DATA PROC</b> </div>		
Request Recd.	<i>1 - [unclear]</i>							
Date Fwd.	<i>4-15-74</i>							
How Fwd.	<i>Ext</i>							
By								

**57 APR 22 1974**A\*  
COVER PAGE
 #14-4-17-73  
 EXP. PROC.

CV 56-83

ADMINISTRATIVE

In view of the numerous charges appearing in the 15 count indictment of STEINBRENNER and his firm the American Shipbuilding Company and the complexity of those charges, the indictment as obtained from the Office of the Clerk of Courts, United States District Court, Cleveland, Ohio, is being set forth in its entirety.

B\*  
COVER PAGE



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:  
Date:

SA [REDACTED]  
4/8/74

Office: CLEVELAND, OHIO

b6  
b7c

Field Office File #:

AMERICAN SHIPBUILDING  
COMPANY  
GEORGE M. STEINBRENNER

Bureau File #: 56-4737

Title:

Character:

ELECTION LAWS

Synopsis:

Fifteen count indictment returned 4/5/74 at Cleveland, Ohio, charging STEINBRENNER and the American Shipbuilding Company with violations of Title 18, Sections 2, 371, 610, 1001, 1503, and 1510, USC.

-P-

DETAILS:

At Cleveland, Ohio

The records of the Clerk of Courts, United States District Court, for the Northern District of Ohio, Cleveland, Ohio, as reviewed on April 5, 1974, reflect that on that date a 15 count indictment was returned against GEORGE M. STEINBRENNER, III, and the American Shipbuilding Company. The indictment, which lists STEINBRENNER and the American Shipbuilding Company as defendants, charges violations of Title 18, Sections 2, 371, 610, 1001, 1503, and 1510, United States Code.

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF OHIO

JUDGE BATTIST

UNITED STATES OF AMERICA,

Plaintiff,

v.

GEORGE M. STEINBRENNER III, and  
THE AMERICAN SHIPBUILDING COMPANY,

Defendants.

CR 74-174

No.

18 U.S.C. Sections 2,  
371, 610, 1001, 1503  
1510

INDICTMENT

COUNT ONE

THE GRAND JURY CHARGES:

1. The defendant, THE AMERICAN SHIPBUILDING COMPANY, is and was, at all times material to this indictment, a corporation organized under the laws of the State of New Jersey.

2. The defendant, GEORGE M. STEINBRENNER III, is and was, at all times material to this indictment, an officer, to wit, the Chairman of the Board of Directors and Chief Executive Officer, of the defendant, THE AMERICAN SHIPBUILDING COMPANY, and was acting in that capacity.

3. Robert E. Bartlome, an unindicted co-conspirator, is and was, at all times material to this indictment, an officer, to wit, the Secretary, of the defendant, THE AMERICAN SHIPBUILDING COMPANY, and was acting in that capacity. Ohio

Ohio

4. Stanley J. ~~Lepkowski~~, an unindicted co-conspirator, is and was, at all times material to this indictment, an officer, to wit, the Treasurer and Comptroller, of the defendant, THE AMERICAN SHIPBUILDING COMPANY, and was acting in that capacity.

5. At all times hereinafter mentioned the term "election" refers to a general election held pursuant to the laws of the United States and of the several states at which, among others, Presidential and Vice-Presidential electors, United States Senators and Representatives in Congress were voted for, and a primary election, political convention, and caucus held to select candidates for any of the foregoing offices.

6. From in or about September, 1970, and continuously thereafter up to and including September, 1973, in the Northern District of Ohio and elsewhere, GEORGE M. STEINBRENNER III, and THE AMERICAN SHIPBUILDING COMPANY, the defendants herein, and Robert E. Bartlome and Stanley J. Lepkowski, named herein as co-conspirators but not as defendants, with each other and with other persons to the grand jury known and unknown, did knowingly, willfully and unlawfully combine, conspire, confederate and agree to violate Section 610 of Title 18, United States Code, in that they did knowingly, willfully and unlawfully conspire and agree to cause THE AMERICAN SHIPBUILDING COMPANY to make contributions and expenditures in connection with elections at which Presidential and Vice Presidential electors, United States Senators, and Representatives in Congress were to be voted for, and in

connection with primary elections, political conventions, and caucuses held to select candidates for the foregoing offices, and did so conspire and agree willfully to consent to the making of such contributions by THE AMERICAN SHIPBUILDING COMPANY.

7. It was a part of the conspiracy that, among other means, contributions by the defendant, THE AMERICAN SHIPBUILDING COMPANY, to candidates in said elections would be made in the following manner: the defendant, GEORGE M. STEINBRENNER III, together with Robert E. Bartlome and Stanley J. Lepkowski, would select a group of trusted employees who were to receive what appeared to be bonuses from THE AMERICAN SHIPBUILDING COMPANY and who would be directed to contribute the net proceeds after taxes from these bonuses to candidates in said elections; STEINBRENNER would authorize the payment of such bonuses to the employees who had been selected; STEINBRENNER would also direct the submission of fictitious expense vouchers by employees of THE AMERICAN SHIPBUILDING COMPANY to create a cash fund in order to reimburse employees for contributions; STEINBRENNER would instruct Bartlome what candidates were to receive contributions and STEINBRENNER would specify the amount of the contributions; Bartlome would direct the employees who had received the bonuses and those who were to be reimbursed from the cash fund to make out personal checks for the contributions specified by STEINBRENNER; the employees would write out their personal checks for the contributions.

8. It was further a part of the conspiracy that the source of these contributions would be disguised so as to conceal their illegal nature from federal investigative authorities and others by using, among others, the following means: In or about January, 1973, a company-wide pattern of giving bonuses would be established to camouflage the bonuses that had already been given. The defendant STEINBRENNER would cause the destruction and alteration of records and the creation of false and misleading records concerning the payment of bonuses by the defendant, THE AMERICAN SHIPBUILDING COMPANY.

In furtherance of the conspiracy and to effect the objects and purposes thereof, the following overt acts, among others, were committed in the Northern District of Ohio and elsewhere:

#### OVERT ACTS

1. In or about September, 1970, the defendant GEORGE M. STEINBRENNER III, had a discussion with co-conspirators Robert E. Bartlome and Stanley J. Lepkowski.

2. In or about September, 1970, the defendant, GEORGE M. STEINBRENNER III, authorized the payment of a gross bonus of \$5,000 before taxes were withheld to be paid to each of the following persons: Robert E. Bartlome, Matthew E. Clark, Jr., Robert L. Dibble, Erhard E. Eckert, Stanley J. Lepkowski, and Gordon Stafford.

3. On or about September 25, 1970, Stanley J. Lepkowski wrote on an attachment to a payroll register of the defendant, THE AMERICAN SHIPBUILDING COMPANY:

"SPECIAL Bonus Chargeable to CGC Claim--Reward for Extra Work & Effort Per Instructions GMS III."

4. On or about September 30, 1970, Robert E. Bartlome issued a personal check for \$750 payable to Mosher for Congress.

5. On or about September 30, 1970 Stanley J. Lepkowski issued a personal check for \$750 payable to Mosher for Congress.

6. On or about October 9, 1970, Robert E. Bartlome issued a personal check for \$1,000 payable to the Democratic Congressional Dinner Committee.

7. On or about October 12, 1970, Stanley J. Lepkowski issued a personal check for \$1,000 payable to the Democratic Congressional Dinner Committee.

8. In or about October, 1970, Robert E. Bartlome had a conversation with Gordon Stafford in which Bartlome told Stafford to issue a personal check for \$500 to the Bow for Congress Committee.

9. In or about October, 1970, Robert E. Bartlome had a conversation with Matthew E. Clark, Jr., in which Bartlome told Clark to issue a personal check for \$700 to the National Tribute to Senator Hartke.

10. In or about October, 1970, Robert E. Bartlome had a conversation with Erhard E. Eckert, in which Bartlome told Eckert to issue a personal check for \$300 to the National Tribute to Senator Hartke.

11. In or about November, 1971, the defendant, GEORGE M. STEINBRENNER III, authorized a gross bonus of \$5,000 before taxes were withheld to be paid to each of the following persons: Robert E. Bartlome, Matthew E. Clark, Jr., Robert L. Dibble, Stanley J. Lepkowski, and Gordon Stafford.

12. On or about February 1, 1972, Robert E. Bartlome issued a personal check for \$1,000 payable to the National Democratic Congressional Dinner.

13. On or about February 1, 1972, Stanley J. Lepkowski issued a personal check for \$1,000 payable to the National Democratic Congressional Dinner.

14. On or about February 26, 1972, Robert E. Bartlome issued a personal check for \$2,000 payable to the Senate/House Majority Dinner.

15. On or about February 26, 1972, Stanley J. Lepkowski issued a personal check for \$2,000 payable to the Senate/House Majority Dinner.

16. On or about February 29, 1972, GEORGE M. STEINBRENNER III, gave A. David Baumhart, an employee of THE AMERICAN SHIPBUILDING COMPANY, a package of checks amounting to \$10,000 payable to the Senate/House Majority Dinner.

17. In or about April, 1972, the defendant GEORGE M. STEINBRENNER III, authorized a gross bonus of \$5,000 before taxes were withheld to be paid to each of the following persons: Robert E. Bartlome, Matthew E. Clark, Jr., Ian Cushenan, Robert L. Dibble, Daniel A. Kissel, Stanley J. Lepkowski, Gordon Stafford, and Roy F. Walker.

18. On or about April 6, 1972, Robert E. Bartlome issued a personal check for \$3,000 payable to a committee organized to support the Presidential candidacy of Richard M. Nixon in an election held on November 7, 1972.

19. On or about April 6, 1972, Stanley J. Lepkowski issued a personal check for \$3,200 payable to a committee

organized to support the Presidential candidacy of Richard M. Nixon, in an election held on November 7, 1972.

20. On or about April 6, 1972, Robert E. Bartlome gave a package of checks, including \$25,000 in checks from Robert E. Bartlome, Matthew E. Clark, Jr., Ian Cushenan, Robert L. Dibble, Daniel A. Kissel, Stanley J. Lepkowski, Gordon Stafford, and Roy F. Walker, to Ronald Slater, an employee of THE AMERICAN SHIPBUILDING COMPANY, and told Slater to deliver the checks to an agent of the Finance Committee for the Re-Election of the President.

21. In or about November, 1972, the defendant GEORGE M. STEINBRENNER III, had a discussion with Robert E. Bartlome and Stanley J. Lepkowski in which he directed them to submit fictitious expense vouchers and make the proceeds available for contributions to candidates in elections.

22. In or about July, 1973, the defendant, GEORGE M. STEINBRENNER III, directed Robert E. Bartlome and Stanley J. Lepkowski to issue personal checks in a total amount of \$500 payable to a committee organized to support the Senatorial candidacy of United States Senator Daniel K. Inouye.

23. In or about April, 1973, the defendant GEORGE M. STEINBRENNER III, destroyed Robert E. Bartlome's records of bonuses paid to and contributions made by employees of the defendant, THE AMERICAN SHIPBUILDING COMPANY.

24. In or about June, 1973, Robert E. Bartlome signed a certificate that stated that his 1972 bonus of \$5,000 "was in no manner, either directly or indirectly,



conditioned upon or subject to the making by him of any contribution, whether charitable, political or otherwise."

All in violation of Section 371, Title 18, United States Code.

COUNT II

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraph 1 and 2 and paragraph 5 of Count I of this indictment are incorporated in this count.

2. In or about September and October, 1970, in the Northern District of Ohio and elsewhere, the defendant, GEORGE M. STEINBRENNER, III, in his capacity as an officer of The American Shipbuilding Company, did willfully and unlawfully consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice-Presidential electors and United States Senators and Representatives in Congress at the 1970 election, to wit: a contribution in the total amount of \$5,000 to the Mosher for Congress Committee.

All in violation of Section 610, Title 18, United States Code.

COUNT III

THE GRAND JURY CHARGES:

1. The allegations contained in paragraphs 1 and 2 and paragraph 5 of Count I of this indictment are incorporated in this count.

2. In or about October, 1970, in the Northern District of Ohio and elsewhere, the defendant, GEORGE M. STEINBRENNER, III,, in his capacity as an officer of The American Shipbuilding Company, did willfully and unlawfully consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice-Presidential electors and United States Senators and Representatives in Congress at the 1970 election, to wit: a contribution in the total amount of \$6,000 to the Democratic Congressional Dinner Committee.

All in violation of Section 610, Title 18, United States Code.

COUNT IV

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraphs 1 and 2 and paragraph 5 of Count I of this indictment are incorporated in this count.

2. In or about February, 1972, in the Northern District of Ohio and elsewhere, the defendant, GEORGE M. STEINBRENNER, III, in his capacity as an officer of The American Shipbuilding Company, did willfully and unlawfully consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice-Presidential electors and United States Senators and Representatives in Congress at the 1972 election, to wit: a contribution in the total amount of \$5,000 to the Democratic Congressional Dinner Committee.

All in violation of Section 610, Title 18, United States Code.

COUNT V

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraphs 1 and 2 and paragraph 5 of Count I of this indictment are incorporated in this count.

2. In or about February, 1972, in the Northern District of Ohio and elsewhere, the defendant, GEORGE M. STEINBRENNER, III, in his capacity as an officer of The American Shipbuilding Company, did willfully and unlawfully consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice-Presidential electors and United States Senators and Representatives in Congress at the 1972 election, to wit: a contribution in the total amount of \$10,000 to the Senate-House Majority Dinner.

All in violation of Section 610, Title 18, United States Code.

COUNT VI

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraph 1 and 2 and paragraph 5 of Count I of this indictment are incorporated in this count.

2. In or about April 1972, in the Northern District of Ohio and elsewhere, the defendant, GEORGE M. STEINBRENNER, III, in his capacity as an officer of The American Shipbuilding Company, did willfully and unlawfully consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice Presidential electors and United States Senators and Representatives in Congress at the 1972 election, to wit: a contribution in the total amount of \$25,000 to committees organized to support the Presidential candidacy of Richard M. Nixon.

All in violation of Section 610, Title 18, United States Code.

COUNT VII

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraphs 1 and 5 of Count I of this indictment are incorporated in this count.

2. In or about September and October, 1970, in the Northern District of Ohio and elsewhere, the defendant, THE AMERICAN SHIPBUILDING COMPANY, did unlawfully make a contribution of \$5,000 in connection with the election of Presidential and Vice-Presidential electors and United States Senators and Representatives in Congress at the 1970 election, by making said contribution to the Mosher for Congress Committee.

All in violation of Section 610, Title 18, United States Code.

COUNT VIII

THE GRAND JURY FURTHER CHARGES:

In or about August, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did willfully endeavor by means of misrepresentation, intimidation, and force and threats thereof to obstruct, delay, and prevent the communication of information relating to violations by The American Shipbuilding Company and its officers of criminal statutes of the United States, to wit, among others, Sections 371 and 610 of Title 18, United States Code, by Stanley J. Lepkowski to criminal investigators, that is, special agents of the Federal Bureau of Investigation, who were authorized by the Department of Justice to conduct and engage in investigations of violations of said statutes and who were then conducting and engaging in such an investigation, as GEORGE M. STEINBRENNER, III, well knew.

All in violation of Section 1510, Title 18, United States Code.



COUNT IX

THE GRAND JURY FURTHER CHARGES:

In or about August, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did willfully endeavor by means of misrepresentation, intimidation, and force and threats thereof to obstruct, delay, and prevent the communication of information relating to violations by The American Shipbuilding Company and its officers of criminal statutes, to wit, among others, Sections 371 and 610 of Title 18, United States Code, by Robert L. Dibble, to criminal investigators, that is, special agents of the Federal Bureau of Investigation, who were authorized by the Department of Justice to conduct and engage in investigations of violations of said statutes and who were then conducting and engaging in such an investigation, as GEORGE M. STEINBRENNER, III, well knew.

All in violation of Section 1510, Title 18, United States Code.

COUNT X

THE GRAND JURY FURTHER CHARGES:

1. On or about August 28, 1973, in the Northern District of Ohio, Matthew E. Clark, Jr., did knowingly and willfully make false, fictitious and fraudulent statements and representations to agents of the Federal Bureau of Investigation, United States Department of Justice, which Department was then conducting an investigation into a matter within its jurisdiction, namely, possible violations by The American Shipbuilding Company and its officers, of Title 18, United States Code, Sections 371, 610, and other federal statutes in that Clark falsely stated that he inquired of Robert E. Bartlome how Clark would go about making a contribution to the re-election campaign of Richard M. Nixon and that he chose one of the committees to which he would contribute from a list provided by Bartlome.

2. In or about late August, 1973, the defendant, GEORGE M. STEINBRENNER, III, did knowingly, willfully, and unlawfully aid, abet, counsel, command, induce, procure, and cause Matthew E. Clark, Jr., to make the false, fictitious, and fraudulent statements and representations described in paragraph 1 of this count.

All in violation of Sections 2 and 1001 of Title 18, United States Code.

COUNT XI

THE GRAND JURY FURTHER CHARGES:

1. On or about August 28, 1973, in the Northern District of Ohio, Ian R. Cushenan did knowingly and willfully make false, fictitious and fraudulent statements and representations to agents of the Federal Bureau of Investigation, Department of Justice, which Department was then conducting an investigation into a matter within its jurisdiction, namely, possible violations by The American Shipbuilding Company and its officers, of Title 18, United States Code, Sections 371, 610, and other federal statutes, in that Cushenan falsely stated that his contribution to the 1972 Presidential campaign of Richard M. Nixon was motivated solely by his own desire to make a contribution to Mr. Nixon and that he was in a financial position to make such a contribution.

2. In or about late August, 1973, the defendant, GEORGE M. STEINBRENNER, III, did knowingly, willfully, and unlawfully aid, abet, counsel, command, induce, procure, and cause Ian R. Cushenan to make the false, fictitious, and fraudulent statements and representations described in paragraph 1 of this count.

All in violation of Sections 2 and 1001 of Title 18, United States Code.

COUNT XII

THE GRAND JURY FURTHER CHARGES:

1. On or about August 13, 1973, a federal grand jury of the United States District Court for the District of Columbia was duly empanelled and sworn and, at all times material to this count, was investigating, among other things, possible violations of Title 18, United States Code, Sections 371 and 610.

2. From on or about August 31, 1973 to in or about early September, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did corruptly and by threats and force endeavor to influence, obstruct and impede the due administration of justice, in that the said GEORGE M. STEINBRENNER, III, knowing that Robert E. Bartlome was to be a witness before the aforementioned Grand Jury, urged, advised, and counselled Robert E. Bartlome to give false testimony before the Grand Jury in relation to its investigation.

All in violation of Section 1503, Title 18, United States Code.

COUNT XIII

THE GRAND JURY FURTHER CHARGES.

1. The allegations contained in paragraph 1 of Count XII of this indictment was incorporated in this Count.

2. From in or about the Labor Day Weekend, 1973 to in or about early September, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did corruptly and by threats and force endeavor to influence, obstruct and impede the due administration of justice, in that the said GEORGE M. STEINBRENNER, III, knowing that Stanley J. Lepkowski was to be a witness before the aforementioned Grand Jury, urged, advised, and counselled Stanley J. Lepkowski to give false testimony before the Grand Jury in relation to its investigation.

All in violation of Section 1503, Title 18, United States Code.

COUNT XIV

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraph 1 of Count XII of this indictment are incorporated in this Count.

2. From in or about the Labor Day Weekend, 1973 to in or about early September, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did corruptly and by threats and force endeavor to influence, obstruct and impede the due administration of justice in that said GEORGE M. STEINBRENNER, III, knowing that Matthew E. Clark, Jr., was to be a witness before the aforementioned Grand Jury, urged, advised, and counselled Matthew E. Clark, Jr., to give false testimony before the Grand Jury in relation to its investigation.

All in violation of Section 1503, Title 18, United States Code.

COUNT XV

THE GRAND JURY FURTHER CHARGES:

1. The allegations contained in paragraph 1 of Count XII of this indictment are incorporated in this Count.

2. From in or about the Labor Day Weekend, 1973 to in or about early September, 1973, in the Northern District of Ohio, the defendant, GEORGE M. STEINBRENNER, III, did corruptly and by threats and force endeavor to influence, obstruct and impede the due administration of justice in that the said GEORGE M. STEINBRENNER, III, knowing that Robert L. Dibble was to be a witness before the aforementioned Grand Jury urged, advised, and counselled Robert L. Dibble to give false testimony before the Grand Jury in relation to its investigation.

All in violation of Section 1503, Title 18, United States Code.

A TRUE BILL

*[Signature]*  
Foreman

*[Signature]*  
LEON JAWORSKI  
Special Prosecutor

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

APR 19 1974

TELETYPE

Assoc. Dir. \_\_\_\_\_  
Dep.-A.D.-Adm. \_\_\_\_\_  
Dep.-A.D.-Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

NR 005 CV CODE

8:30 PM URGENT 4-19-74 SLO

TO DIRECTOR

56-4737

WASHINGTON FIELD

FROM CLEVELAND

56-83 (P)

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER.

ELECTION LAWS (ACCOUNTING AND FRAUD SECTION-WATERGATE UNIT).

OO: WASHINGTON FIELD

U.S. ATTORNEY'S OFFICE, CLEVELAND, OHIO, ADVISED ON THIS  
DATE, GEORGE M. STEINBRENNER APPEARED BEFORE US MAGISTRATE  
HERBERT T. MAHER, CLEVELAND, WITH HIS ATTORNEYS [REDACTED]  
[REDACTED] OF WASHINGTON, D.C. AND [REDACTED] OF CLEVELAND.

STEINBRENNER ENTERED INNOCENT PLEA TO 14 COUNT INDICTMENT  
AND RELEASED ON PERSONAL RECOGNIZANCE AND PRELIMINARY HEARING  
SET FOR MAY 22, 1974 IN USDC, CLEVELAND.

END

HOLD

TJT FBI AWASH DC ACK FOR 2

REC 107  
APR 22 1974

18 APR 23 1974

67 APR 24 1974



Airtel

1- FOF

1- Mr.

b6

b7C

TO: SAC, WFO

4/19/74

FROM: Director, FBI (56-4737)

① AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

Rerep of SA  dated 4/8/74 at Cleveland.

"The Washington Post" issue of 4/19/74 contained an article reporting that

of American Ship Building Company, pled guilty in U. S. District Court, Washington, D. C., 4/18/74, concerning an illegal campaign contribution of \$25,000. Speculation is offered in this article that he will appear as a Government witness against Steinbrenner.

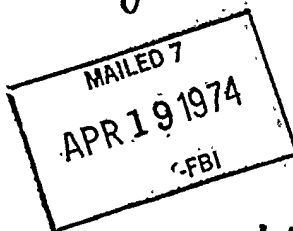
b6  
b7C

If not already done, WFO should ascertain the details of the charge and plea in this matter and follow prosecution of .

1- Cleveland (56-83)(info)

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
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Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

AGB/amm (6)



REC-24

EX-113

5 APR 26 1974

58

MAIL ROOM

TELETYPE UNIT ☐

Airtel

1- FOF  
1- Mr.

b6  
b7C

4/30/74

TO: SAC, Cleveland (56-83)

FROM: Director, FBI (56-4737)

① AMERICAN SHIP BUILDING COMPANY  
GEORGE W. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

b6  
b7C

ReButelcal to Cleveland 4/30/74.

The Special Prosecution Force has expressed concern that in future prosecution in this matter the defense may pose the objection that excessive local news coverage would prejudice successful prosecution. In order to evaluate the situation, the Special Prosecution Force requested it be furnished legible copies of the newspaper articles concerning this case appearing in the local Cleveland newspapers.

In accordance with reTelcal, Cleveland should review its file and if it is believed the file contains a majority of articles with respect to this case, copies should be submitted in a form suitable for dissemination. The Special Prosecution Force requests that the period of 1/1/73 to the present be covered.

1- WFO (info)

EX-117

NOTE: Above request of SPF was received by SA  FBIHQ, from Mr.  SPF, 4/30/74; reTelcal was made by SA  to ASAC Wells, CV.

MAILED 8

APR 30 1974

FBI

56-4737-33

18 MAY 2 1974

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
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Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

JJC/amm (6)

56 MAY 8 1974

TELETYPE UNIT ☐

b6  
b7C

F B I

Date:

4/25/74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

Assoc. Dir. \_\_\_\_\_  
 Dep.-A.D.-Adm. \_\_\_\_\_  
 Dep.-A.D.-Inv. \_\_\_\_\_  
 Asst. Dir.: \_\_\_\_\_  
 Admin. \_\_\_\_\_  
 Comp. Svst. \_\_\_\_\_  
 Ext. Affairs \_\_\_\_\_  
 Files & Com. \_\_\_\_\_  
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 Training \_\_\_\_\_  
 Legal Coun. \_\_\_\_\_  
 Telephone Rm. \_\_\_\_\_  
 Director Sec'y \_\_\_\_\_

TO: DIRECTOR, FBI (56-4737)

FROM: SAC, WFO (56-311) (P)

AMERICAN SHIP BUILDING COMPANY  
 GEORGE M. STEINBRENNER  
 ELECTION LAWS  
 (ACCOUNTING AND FRAUD SECTION-WATERGATE UNIT)  
 (OO:WFO)

ReBuairtel to WFO, 4/19/74.

Enclosed for the Bureau and Cleveland is one copy  
 of an Information charging [redacted] with Violation of  
 Title 18, Section 610 of United States Code.

For information of the Bureau the Information was  
 filed in the presence of [redacted] on April 18, 1974, at which  
 time he entered a guilty plea to the charge. The plea was  
 accepted by U. S. District Court Judge GEORGE L. HART, who  
 released [redacted] on his own recognizance and ordered a pre-  
 sentence investigation.

WFO will follow disposition of this matter.

- ② - Bureau (Enc. 1)  
 1 - Cleveland (56-83) (Enc. 1)  
 1 - WFO

AJL:lb  
 (4)

REC-16

EX-113

18 APR 30 1974

6 - [signature]

51 MAY 09 1974  
 Approved: [signature]  
 Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_

4/25/74

AIRTEL

*1- Amship  
1- Stat folder*

TO: DIRECTOR, FBI (56-4737)

FROM: SAC, WFO (56-311) (P)

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION-WATERGATE UNIT)  
(OO:WFO)

ReBuairtel to WFO, 4/19/74.

Enclosed for the Bureau and Cleveland is one copy of an Information charging [redacted] with Violation of Title 18, Section 610 of United States Code.

For information of the Bureau the Information was filed in the presence of [redacted] on April 18, 1974, at which time he entered a guilty plea to the charge. The plea was accepted by U. S. District Court Judge GEORGE L. HART, who released [redacted] on his own recognizance and ordered a pre-sentence investigation.

b6  
b7c

WFO will follow disposition of this matter.

*1- cc enc for T  
1- cc " " Stat folder  
TC*

- ② - Bureau (Enc. 1)
- 1 - Cleveland (56-83) (Enc. 1)
- 1 - WFO

AJL:lb  
(4)

*Cjm*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA, )

Plaintiff, )

V. )

NO. )

18 U.S.C. §§ 3, 610 )

Defendant. )

INFORMATION

THE SPECIAL PROSECUTOR CHARGES:

1. The American Shipbuilding Company is and was, at all times material to this information a corporation organized under the laws of the State of New Jersey.

2. From on or about June 1, 1973, and continuously thereafter up to and including the date of the filing of this information, the defendant, [REDACTED], is and was the [REDACTED] of The American Shipbuilding Company.

3. George M. Steinbrenner, III, is and was, at all times material to this information, an officer, to wit, the Chairman of the Board of Directors and Chief Executive Officer of The American Shipbuilding Company, and was acting in that capacity.

4. In or about April, 1972, George M. Steinbrenner, III, in his capacity as an officer of The American Shipbuilding Company did willfully and unlawfully in violation of 18 United States Code, Section 610, consent to the making of a contribution by The American Shipbuilding Company in connection with the election of Presidential and Vice Presidential electors and United States Senators and Representatives in Congress, to wit: a contribution in the total amount of \$25,000 to committees organized to support the Presidential

b6  
b7c

candidacy of Richard M. Nixon in the general election held on November 7, 1972.

5. In or about August, 1973 and early September, 1973, in the District of Columbia and elsewhere, the defendant, [REDACTED] knowing that George M. Steinbrenner, III, had committed an offense against the United States, to wit, the violation of Title 18, United States Code, Section 610, set forth in paragraph 4, did relieve, comfort, and assist Steinbrenner in order to hinder and prevent Steinbrenner's apprehension, trial, and punishment by using, among others, the following means:

b6  
b7C

[REDACTED] conveyed a false and misleading explanation of the \$25,000 contribution described in paragraph 4 to employees of The American Shipbuilding Company to enable said employees to give this story to agents of the Federal Bureau of Investigation who were then investigating possible violations of Title 18, United States Code, Section 610. Further, with the knowledge and consent of Steinbrenner, [REDACTED] conveyed to an Assistant Special Prosecutor of the Watergate Special Prosecution Force, Department of Justice, a false and misleading explanation of the \$25,000 contribution by The American Shipbuilding Company at a time when said Assistant Special Prosecutor was conducting an authorized investigation into possible violations of Title 18, United States Code, Section 610 in connection with said contribution.

All in violation of Sections 3 and 610 of Title 18, United States Code.

LEON JAWORSKI  
Special Prosecutor  
Watergate Special Prosecution  
Force  
1425 K Street, N.W.  
Washington, D. C. 20005

1- Mr.

b6  
b7c

May 7, 1974

REC-115

56-4737-35 COURIER SERVICE

AMERICAN SHIP BUILDING COMPANY  
GEORGE H. STEINBRENNER  
ELECTION LAWS

In accordance with the request of  Special Prosecution Force, on April 30, 1974, enclosed herewith are two copies each of newspaper articles concerning this case which appeared in the local Cleveland, Ohio, newspapers. While the enclosed material may not contain all the pertinent articles, it is believed the vast majority is represented.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Enclosures

b6  
b7c

NOTE: Original and one forwarded with encls to SPF by 0-4 this date; one cc to WFO for information by 0-7 this date.

JJC/amm(5)

56-4737

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
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Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

BY COURIER BYO

MAY 8 P.M.

FBI

53 MAY 22 1974

MAIL ROOM ☐

TELETYPE UNIT ☐

F B I

Date: 5-1-74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)

Via AIRTEL \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI  
FROM: SAC, CLEVELAND (56-83)  
SUBJECT: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)  
OO: WFO

Re Bureau telcall to Cleveland, 4-30-74.

Enclosed herewith for the Bureau are four (4) copies and for WFO one (1) copy each of an LHM captioned above, comprised of articles appearing in Greater Cleveland, Ohio, area newspapers concerning captioned matter.

It is noted that these articles were previously furnished the Bureau.

- LHM to SAC (encl)*  
*CLAWFO*  
*JIClamm*  
*5/1/74*
- 2 - Bureau (Enc. 4)  
2 - WFO (Enc. 1)  
2 - Cleveland

MVH/ham  
(6)

REC-115

56-473735

6 MAY 6 1974

Approved: *FCF* \_\_\_\_\_ Sent \_\_\_\_\_ M Per \_\_\_\_\_  
Special Agent in Charge





*In Reply, Please Refer to  
File No.*

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Cleveland, Ohio

May 1, 1974

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

Set forth below are photostatic copies of newspapers articles which have appeared in Greater Cleveland, Ohio, area newspapers relating to GEORGE M. STEINBRENNER of the American Ship Building Company, Cleveland, Ohio.

ENCLOSURE

56-4737-35

(Mount Clipping in Space Below)

# 8 at AmShip subpoenaed in Nixon campaign gift probe

Eight officials of the American Ship Building Co. here have been subpoenaed by the Watergate grand jury which is investigating corporate contributions to President Nixon's re-election campaign.

The Washington Post reported that the Watergate special prosecution force is investigating \$25,000 in contributions by the eight to the Nixon campaign, all made April 6, 1972, the day before the stiff new federal disclosure law took effect.

Associate Special Prosecutor Thomas F. McBride disclosed, the Post said, that the eight men listed as having put up the money were "reimbursed by corporate bonuses" on the same day. McBride is a member of Special Prosecutor Archibald Cox's staff.

The eight were ordered to testify yesterday, but won a two-week delay from U.S. District Judge John J. Sirica in Washington to allow them time to obtain lawyers.

In Cleveland, George M. Steinbrenner III, American Ship chairman and chief executive officer, declined to discuss the matter.

A spokesman for Watergate special prosecutor Archibald Cox in Washington also declined to discuss what the eight men would be questioned about, the Associated Press reported.

The officials were identified as Robert E. Bartlome, secretary; Matthew E. Clark Jr.; Ian R. Cushenan; Robert L. Dible, a division vice president; Daniel A. Kissel, a division treasurer; Stanley J. Lepkowski, a division treasurer; Gordon Stafford, and Roy F. Walker Jr.

The subpoenas were disclosed when the company lawyer, Timothy F. McMahon, filed a motion asking for the two-week delay.

Several major companies recently have confessed making contributions to Nixon's re-election campaign in violation of laws prohibiting corporate contributions.

McMahon said in his motion that he met on Tuesday with Cox's staff and was told "that a definite conflict of interest would be presented" if McMahon continued to represent the eight men.

McMahon said American Ship officers first told him last month that the company might become involved in the Watergate investigation. He said he advised the officers to cooperate with the investigation.

All eight have been interviewed by the FBI, McMahon said. The subpoenas were served starting Aug. 31.

Two of the eight American Ship Building officials named at the hearing — Kissel and Walker — were listed earlier this year in a partial disclosure of pre-April 7 contributors to the Nixon campaign.

Kissel was recorded as having given \$3,500 and Walker and his wife another \$3,500 for the President's re-election, both on April 6.

(Indicate page and newspaper, etc.)

Pg. 1-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Ship Building  
Company; George M.  
Steinbrenner

Date: 9-6-73

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws

Submitting: Cleveland

☐ Being Indexed

(Mount Clipping in Space Below)

# How Steinbrenner's gift came to light

By ROBERT CRATER  
Press Washington Bureau

WASHINGTON — Disclosure that Cleveland shipbuilder George M. Steinbrenner III was on a hidden list of big donors to President Nixon's 1972 campaign relates to what newsmen there refer to as "Rosemary's Baby."

"Rosemary's Baby," the name of a best-seller and hit movie, depicted the mother of a baby sired by the devil and who, because of her love, failed to destroy the blighted infant.

The story is likened to the failure of Rose Mary Woods, Nixon's secretary, to dispose of her copy of the VIP contributor list.

As a result, it was revealed this week that Steinbrenner, president of American Ship Building Co., and seven of his employees gave a total of \$100,000 to the Committee for the Re-Election of the President (CRP).

Here's how it all happened, according to Kenneth Guido, an attorney for Common Cause, a self-styled "people's lobby":

Common Cause lawyers took a sworn statement from Hugh Sloan, then CRP treasurer, on May 25. Sloan revealed the existence of the list of some 2000 big contributors to CRP prior to Apr. 7 when a federal law requiring disclosure of all such donations went into effect.

Sloan said former Commerce Secretary Maurice H. Stans, then CRP finance chairman, had a list, adding casually that Miss Woods had another.

Stans told Common Cause he destroyed his, and the lawyers turned to the White House. They asked Leonard Garment, then special consultant to Nixon, for Rose Mary Woods' copy.

After several days without action, Common Cause attorneys offered to subpoena the list as part of their action pending in Federal Court. Garment quickly sent the list to Common Cause.

Under court orders Common Cause cannot disclose names on the list, but the Watergate Grand Jury obtained it through a court order. This week an attorney for the eight employees of American Ship Building revealed their \$25,000 in contributions when the attorney obtained a postponement of their appearance before the Grand Jury until Sept. 19.

(Indicate page, name of newspaper, city and state)

Pg. 5-B  
Cleveland Press  
Cleveland, Ohio

American Ship Building Company; George M. Steinbrenner

Date: 9-8-73  
Edition: Final  
Author: Robert Crater  
Editor: Thomas L. Boardman  
Title:

SEE ABOVE

Character: Election Laws  
or  
Classification: Cleveland  
Submitting: Cleveland  
☐ Being Investigated

Steinbrenner has acknowledged he delivered the \$25,000 and his \$75,000 to the Nixon campaign, denying he had done anything wrong.

"If it had not been for the Common Cause suit and the discovery that Miss Woods had the list, the financing of the campaign by hidden contributions might not have come to light," said Guido.

Guido said the names of all of the contributors will become public when the list is turned over to the clerk of the House of Representatives on Sept. 28.

"Until then, we cannot discuss any names on the list," he said.

(Mount Clipping in Space Below)

# Steinbrenner says he gave to Nixon to help Cleveland

By ROY MEYERS

George Steinbrenner, president of American Shipbuilding Co., told The Press today that a \$75,000 personal contribution to President Nixon's 1972 re-election campaign was made to help the City of Cleveland.

"I felt that Cleveland needed an input to the White House," said Steinbrenner. "Boston has one of the most Democratic mayors in the country and it gets far more federal assistance than Cleveland."

Steinbrenner emphatically denied reports that he sought favorable treatment from the White House in a cost overrun dispute.

The dispute involved a \$5.4 million cost overrun on an oceanographic survey vessel built for the Government.

"That was a dead issue," said Steinbrenner. "The only recourse we had was to go to court. And that's what we did."

The \$75,000 contribution was in addition to \$25,000 donated by eight American Ship executives. The eight have been subpoenaed to testify before the Watergate Grand Jury.

The Watergate prosecutors are reported to be checking into the possibility that the \$25,000 was reimbursed to the executives by American Ship, a violation of federal law.

Steinbrenner declined comment on any aspect of the executives' donations.

Steinbrenner, long associated with Democratic fund-raising activities and a close friend of Sen. Edward Kennedy, said he had felt Nixon was a good president.



STEINBRENNER

The Washington Star-News yesterday reported that Steinbrenner had expressed his views on Nixon to the eight executives and said "I'll match you guys three-to-one."

"I'm sure they were aware of my feelings about Nixon," said Steinbrenner. "But I don't recall saying anything about a three-to-one donation ratio."

Steinbrenner said he was approached about the \$75,000 by a college classmate, Thomas Evans of the New York law firm of Mudge, Rose, Guthrie & Alexander.

Nixon and former Attorney General John Mitchell are former members of the firm.

Steinbrenner said Evans introduced him to Herbert Kalmbach, Nixon's personal attorney, late in March of 1972.

He said Kalmbach referred to the cost overrun case by saying "I know you have a problem. It's a difficult one."

"I was told the money would be considered as a good-sized donation," said Steinbrenner. "But later I found out it was peanuts. Look at all the \$250,000 contributions made."

Steinbrenner verified that the money was donated before the Apr. 7 deadline requiring full disclosure. It was made in the form of 25 checks of \$3000 each to 25 different committees.

"I thought Nixon had been a good president," added Steinbrenner. "He was the first president since 1930 to help the maritime industry."

Steinbrenner said he met Nixon only once, in a reception line at a White House dinner. The invitation came after the \$75,000 donation.

Asked if he still harbored the same feelings about Nixon's presidency, Steinbrenner replied: "No comment."

(Indicate page, newspaper, city)

Pg. 9-D  
Cleveland Press  
Cleveland, Ohio

American Ship Building Company; George M. Steinbrenner

Date: 9-7-73  
Edition: Final  
Author: Roy Meyers  
Editor: Thomas L. Boardman  
Title:

SEE ABOVE

Character: Election Laws  
or  
Classification:  
Submitting Office: Cleveland  
☐ Being Investigated

(Mount Clipping in Space Below)

# Immunity is granted eight at AmShip in Nixon gift

By Robert J. Havel  
Plain Dealer Bureau

WASHINGTON — Eight officers and employees of American Ship Building Co. of Cleveland who received corporate bonuses on the same day they made secret contributions to President Nixon's re-election committee have been granted immunity from prosecution by special Watergate prosecutor Archibald Cox.

The gifts were part of a secret \$100,000 donation by company officials given at the same time the firm was trying unsuccessfully to get payment of a \$5-million overrun on a government contract. The funds included a personal contribution of \$75,000 from Board Chairman George M. Steinbrenner III, who has been a top Democratic money raiser.

Cox has clamped a tight lid of secrecy on his investigations. However, Daniel Flannery, lawyer for two of the eight, confirmed that Robert E. Bartolme, company secretary, and Stanley J. Lepkowski, treasurer, had been granted immunity at their appearance before a special grand jury on Wednesday. Flannery said the two are not scheduled for further grand jury appearances at this time.

The lawyer for the other six could not be reached, but other sources said they, too, had been granted immunity.

The six are Gordon Stafford, executive vice president; Daniel A. Kissel, treasurer for the firm's fleet of cargo ships; Ian R. Cushenan, Matthew E. Clark Jr., Robert L. Dibble, and Roy F. Walker.

The eight gave a total of \$25,000 in checks of \$3,000 and \$3,500 on the same day they received similar bonuses. The entire \$100,000 was delivered on April 6, 1972, the day before a stringent campaign reporting law went into effect.

Steinbrenner, who could not be reached for comment last night, has acknowledged giving bonuses to company officers but not for political purposes. He has denied any wrongdoing and has not been subpoenaed by Cox.

(Indicate page, name of newspaper, city and state.)

Pg. 5-A

Cleveland Plain Dealer  
Cleveland, Ohio

Date: 9-21-73

Edition: Final

Author:

Editor: Thomas Vail

Title:

Character:

or

Classification:

Submitting Office

Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

# Immunity given in Amship probe

By JAMES GROHL  
Press Washington Bureau

WASHINGTON — Immunity from prosecution on criminal or civil charges has been granted the employees and officials of the Lorain-based American Ship Building Co. currently testifying before the Special Watergate Grand Jury.

The jury is probing secret contributions to President Nixon's re-election campaign.

Watergate prosecutors want to know if company bonuses paid to some employees intended to reimburse them for individual campaign contributions, a long-whispered practice in business circles that violates federal law.

Appearing yesterday before the Grand Jury in Federal Court were Gordon Stafford, the Lorain-based firm's executive vice president; Robert E. Bartolme, secretary; Stanley J. Lepkowski, treasurer; and employees Ian R. Cushenan, Matthew E. Clark Jr., Robert L. Dibble, Roy F. Walker and David A. Kissel.

George M. Steinbrenner III, the firm's chairman and an active fund-raiser for most Democratic causes, has not been subpoenaed to testify.

It is not known if or when Steinbrenner will be summoned or if he too will be granted immunity. He has retained Washington counsel.

Although Special Watergate Prosecutor Archibald Cox has clamped a tight secrecy lid on Grand Jury proceedings, it was learned that at least one more American Ship employee has been subpoenaed. His identity was not immediately known. prosecution in exchange for open testimony.

The eight who are known to have testified were subpoenaed over the Labor Day weekend, but won a delay in appearing following a quick appeal to Chief U.S. District Judge John J. Sirica.

Steinbrenner has acknowledged delivering \$100,000 to Nixon's campaign fund shortly before April 7, 1972, when a new federal law requiring an accounting of all campaign contributions went into effect.

The amount, Watergate prosecutors believe, included \$75,000 attributed to him and the remainder to employees. Prosecution sources have reported that the eight witnesses named earlier each received company bonuses about the same time their personal contributions were made.

Steinbrenner has acknowledged his company occasionally distributes bonuses to employees, but has denied any suggestions are made that they use the extra money for political purposes.

(Indicate page, name of newspaper, city and state.)

Page 1-A  
Cleveland Press  
Cleveland, Ohio

Date: 9-20-73

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

Character:

or

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## 2 AmShip Executives Given Immunity In Nixon Fund Case

By RICHARD G. THOMAS

Journal Washington Bureau

WASHINGTON — Two high-ranking American Ship Building Co. executives have been granted immunity from prosecution in the government's probe of possibly illegal contributions by AmShip officials to the 1972 Nixon reelection campaign, The Journal learned today.

They are Robert E. Bartlome, secretary, and Stanley J. Lepkowski, treasurer. Their lawyer, Washington attorney Dennis Flannery, confirmed that they have agreed to become government witnesses in exchange for immunity against any criminal charges brought in the case.

Bartlome and Lepkowski were among several company executives who testified yesterday before a federal grand jury empaneled by the Watergate task force headed by Special Prosecutor Archibald Cox. The grand jury is probing illegal financial activities related to last year's presidential campaigns.

Cox's task force is interested in the AmShip officials because of the back-door method company officials used to contribute to President Nixon's reelection effort.



ROBERT BARTLOME

Eight executives, including Lepkowski and Bartlome, contributed a total of \$25,000 out of their own pockets in early April of last year, then were immediately reimbursed by the company in the form of "bonuses," according to court records.

CAMP-AIGN donations by corporations violate federal law, but it is not known whether Cox's office is convinced that the AmShip contributions were actually corporate contributions.



STANLEY LEPKOWSKI

Court records also show that the \$25,000 contribution was accompanied by \$75,000 given to Nixon's campaign by AmShip chairman George M. Steinbrenner III.

The \$100,000 is reported to have arrived in the hands of Nixon fund-raisers on April 6, the day before the new Federal Election Campaign Act became law. By getting in under the deadline the AmShip officials' contributions were not subject to public disclosure.

(Indicate page, name of newspaper, city and state.)

The Journal  
Lorain, Ohio  
pg. 3

Date: 9-20-73  
Edition:  
Author  
Editor: IRVING LERBOWITZ  
Title:  
Character:  
or  
Classification:  
Submitting Office:  
☐ Being Investigated



Steinbrenner has acknowledged that the \$100,000 was contributed after a meeting he had with Herbert W. Kalmbach, then the President's personal attorney. Steinbrenner has denied any wrongdoing.

The money was contributed at a time when AmShip was trying to get the government to pay it \$5.4 million in "cost overruns" on a contract it had to build the Researcher, an oceanographic research vessel. The company never obtained the government payment and, in fact, ended up paying about \$230,000 in penalties for late delivery of the ship.

The fact that Cox's office has granted immunity to the two American Ship Building officials suggests that government lawyers feel there is probably basis for prosecution, according to one source close to the investigation.

It could not be learned whether any company officials in addition to Lepkowski and Bartlome have been granted immunity.

(Mount Clipping in Space Below)

# 50 Ohioans gave for Nixon just before disclosure deadline

By Robert J. Havel  
Plain Dealer Bureau

WASHINGTON — About 50 Ohioans, including several Cleveland corporate officials, gave nearly half a million dollars to President Nixon's re-election campaign in the last few days before a new campaign disclosure law went into effect April 7, 1972.

Many of the donors were identified for the first time yesterday after Nixon's re-election committee, under court order, made public a list of contributors of \$100 or more in the 27-day period preceding April 7, 1972.

Several of the Ohioans are officials of corporations in a variety of industries, oil, steel, construction, rubber, broadcasting, banking and publishing. The list could have special significance if any of the donors used corporate funds, which is illegal.

The Ohioan making the largest gift to Nixon in that period — \$100,000 — was Loren Berry, chairman of L. M. Berry & Co. of Dayton. The firm was identified by Common Cause, the "people's lobby" that forced the disclosure, as a telephone directory advertising firm.

The largest gift from a Cleveland — \$75,000 — came from George M. Steinbrenner, board chairman of the American Shipbuilding Co. The gift from Steinbrenner, a former Democratic contributor, had been previously identified, as was another \$25,000 from eight American Ship officials. The latter gift is under investigation by special Watergate prosecutor Archibald Cox.

Several Republic Steel Corp. officials were listed as \$1,000 donors for the period. They include Thomas F. Patton, former board chairman and now a director; Willis B. Boyer, chairman and chief executive officer; R. E. Waldo, vice president and secretary; E. A. Murray, senior vice president, and H. L. Allen, who retired in January as senior vice president.

Other \$1,000 donors included Robert O. Bergen Jr., a Price Waterhouse & Co. accountant who headed the 1971 United Torch drive; E. Clare Weber, who retired April 1 as a partner in the former Weber-Alder Insurance Agency, 1 Erieview Plaza, agent for the New England Mutual Life Insurance Co. of Boston, and Jerome Blonder, president of the former Imperial Wallpaper Mill Inc.

(Indicate page, name of newspaper, city and state.)

Pg. 6-A  
Cleveland Plain Dealer  
Cleveland, Ohio

American Ship Building Company; George M. Steinbrenner

Date: 9-30-73

Edition: Final

Author: Robert J. Havel

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

The list of other Clevelanders contributing \$1,000 or more to Nixon in the March 10-April 6 period was topped by Mr. and Mrs. David Ingalls, who gave \$6,000. Ingalls is vice chairman of the Taft Broadcasting Co. A man identified as "G. Biddle" of the Oglebay Norton Co. gave \$3,000.

Elsewhere in Ohio, the Timken steel family in Canton and Marathon Oil Co. people of Findlay were active. On April 6, contributions totaling \$44,000 came in from five Canton residents. They were W. R. Timken; board chairman of the Timken Co., \$14,000; Louise B. Timken, \$12,000; William R. Timken Jr., vice president of the Timken Co., \$8,000; W. J. Timken, \$5,000, and Edith Toot, \$5,000.

On April 5, John C. Donnell, president of Marathon Oil, gave \$14,000, and on the same day, checks totaling \$16,000 came in from 12 Findlay residents. The 12 were listed together in the Nixon committee report.

Mr. and Mrs. Russell DeYoung of Akron gave \$5,000. He is chairman of the Goodyear Tire & Rubber Co. Other gifts in that period from Akron rubber officials, previously reported by The Plain Dealer were Harvey Firestone Jr., retired chairman and president of the Firestone Tire & Rubber Co., \$18,712; Raymond Firestone, board chairman, \$63,441, and "employees of Goodyear Tire & Rubber Co.," \$40,000.

In Columbus, seven members of the Wolfe family, which owns the Columbus Dispatch and has extensive banking interests, gave \$25,000 in cash. Mr. and Mrs. Karl R. Bendetsen of Hamilton contributed \$7,500. He is chairman of Champion International Corp., a plywood, lumber and paper products firm.

Cincinnati contributors included Louis Nippert, \$3,000; Roger Drackett, \$5,000; Louise P. Tate, \$1,000, and Louis R. Fiore, \$1,000.

(Mount Clipping in Space Below).

# 50 Ohioans gave for Nixon just before disclosure deadline

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Plain Dealer Bureau

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Several Republic Steel Corp. officials were listed as \$1,000 donors for the period. They include Thomas F. Patton, former board chairman and now a director; Willis B. Boyer, chairman and chief executive officer; R. E. Waldo, vice president and secretary; E. A. Murray, senior vice president, and H. L. Allen, who retired in January as senior vice president.

Other \$1,000 donors included Robert O. Bergen Jr., a Price Waterhouse & Co. accountant who headed the 1971 United Torch drive; E. Clare Weber, who retired April 1 as a partner in the former Weber-Alder Insurance Agency, 1 Erieview Plaza, agent for the New England Mutual Life Insurance Co. of Boston, and Jerome Blonder, president of the former Imperial Wallpaper Mill Inc.

(Indicate page, name of newspaper, city and state.)

Pg. 6-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Ship Building  
Company; George M.  
Steinbrenner

Date: 9-30-73

Edition: Final

Author: Robert J. Havel

Editor: Thomas Vail

Title:

SEE ABOVE

Character:  
or Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

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Elsewhere in Ohio, the Timken steel family in Canton and Marathon Oil Co. people of Findlay were active. On April 6, contributions totaling \$44,000 came in from five Canton residents. They were W. R. Timken, board chairman of the Timken Co., \$14,000; Louise B. Timken, \$12,000; William R. Timken Jr., vice president of the Timken Co., \$8,000; W. J. Timken, \$5,000, and Edith Toot, \$5,000.

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In Columbus, seven members of the Wolfe family, which owns the Columbus Dispatch and has extensive banking interests, gave \$25,000 in cash. Mr. and Mrs. Karl R. Bendetsen of Hamilton contributed \$7,500. He is chairman of Champion International Corp., a plywood, lumber and paper products firm.

Cincinnati contributors included Louis Nippert, \$8,000; Roger Drackett, \$5,000; Louise P. Tate, \$1,000, and Louis R. Fiore, \$1,000.

(Mount Clipping in Space Below)

# NIXON CAMPAIGN NAMES SECRET CONTRIBUTORS

L.A. Times/Washington Post Service

WASHINGTON — The Finance Committee to Re-Elect the President yesterday named early contributors who gave President Nixon \$19.9 million, many believing they would never be publicly identified.

The contributions included \$1,470,000 in cash, about one-third of which was contributed illegally by corporations and which has been refunded.

The cash contributions also included \$76,000 from persons involved in natural gas deals with the Soviet Union, large sums from other oil men, substantial sums from persons whose interests are affected by federal actions and gifts from presumed Democrats.

The committee itself, the Finance Committee to Re-Elect the President, in compliance with a court order obtained by Common Cause, the citizen lobby, in a bitterly contested court suit.

A record \$60.2 million was raised to re-elect the President, nearly twice what was raised to get him through both the primary and general elections in 1968, records of the finance committee showed.

The figure, which the committee said does not include money raised by the Republican National Finance Committee, its associated committees, Democrats for Nixon or the 1972 Convention Arrangements Committee, compares to \$34.2 million said to have been raised in 1968.

Expenditures for Nixon's re-election also far eclipsed 1968 spending, \$56.1 million compared to \$33 million.

Whatever is left of the 1972 campaign surplus of \$4.1 million "after an audit is concluded" in connection with the campaign will be turned over to national committees of the Republican party, the Nixon committee said.

Four Ohio corporate officials and a group of employees of Goodyear Tire and Rubber Co. in Akron secretly gave \$327,153.

George Steinbrenner, board chairman of American Shipbuilding Co. and an erstwhile Democratic fund raiser, gave \$75,000. The gift was previously disclosed.

Loren Berry, chairman of L.M. Berry & Co. of Dayton, gave \$100,000, in addition to \$2,000 that was previously reported.

Harvey Firestone Jr., retired chairman and president of Firestone Tire & Rubber Co. of Akron, \$48,712; Raymond Firestone, board chairman of Firestone, \$63,441, and "employees of Goodyear Tire & Rubber Co., \$40,000.

Kent H. Smith of Gates Mills, retired chairman of Lubrizol Corp., gave \$244,000. That gift was previously disclosed.

There were a few surprises insofar as top contributors were concerned. The leader, as expected, continues to be W. Clement Stone, the Chicago insurance tycoon who gave Nixon \$2 million before April 7, 1972, when a new election-financing disclosure law took effect.

The primary importance of the list could prove to be in its naming of corporate executives, if some of them turn out to have given corporate funds disguised as personal gifts.

(Indicate page, name of newspaper, city and state.)

Pg. 1-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Ship Building  
Company; George W.  
Steinbrenner

Date: 9-29-73

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws  
or

Classification:

Submitting Office: Cleveland

☐ Being Investigated

Special Watergate prosecutor Archibald Cox has been making an investigation of corporate contributions, forbidden by the federal criminal code.

Currency contributions have become suspect to the point that the Senate, in passing an election-financing reform bill in July, prohibited them in sums exceeding \$50.

The finance committee filed an audited statement of expenditures as well as income with the clerk of the House of Representatives, who administered the limited disclosure law in effect until April 7, 1972, the Corrupt Practices Act of 1925.

The clerk got the document 35 minutes before the court-ordered deadline of 5 p.m. EDT. The committee said preparation of the paper was so difficult that it could be completed on time only with extra-ordinary effort.

The papers included a single-spaced, 100-page list of contributors who gave \$17.7 million in checks and stocks. The list gives no summary by name of contributor, is not in alphabetical order and identifies by occupation or principal place of business.

However, Common Cause provided a preliminary analysis, supplementing one made by the Washington Post.

Common Cause chairman John W. Gardner, in a press conference, said the information released should have been made public "as a routine matter well over a year ago. To withhold it from the American people was a violation of law."

The contributions included \$950,000 in trust funds handled by Herbert W. Kalmbach, the President's personal lawyer, most had been left over from the 1968 campaign.

The committee, headed by former Commerce Secretary Maurice H. Stans, insisted that pre-April 7 transactions were excluded from the Corrupt Practices Act.

The list shows the Nixon campaign got a \$30,000 secret cash contribution from a convicted felon, Miami real estate developer Calvin Kovens, who was sentenced to prison along with then-Teamsters Union President James R. Hoffa for defrauding the union's pension fund.

Kovens was released on parole early last year, before serving his complete term. His parole came to public attention last summer, when documents obtained by the Senate Watergate Committee reportedly showed that White House counsel Charles W. Colson, President Nixon's friend Charles (Bebe) Rebozo, and former Sen. George Smathers of Florida had taken an interest in his early release.

The committee, in a surprise disclosure of large refunds, said without explanation that it had returned \$200,000 to C. Arnold Smith, an old friend of Nixon, and \$250,000 to communications magnate Cornelius V. Whitney.

The Internal Revenue Service recently filed a \$22.8 million tax assessment against Smith, a San Diego banker and entrepreneur who controls the Westgate-California Corp.

The Smith gift was said to have been received and returned in March 1972. Whitney's was made in June 1971 and returned in December 1971. Neither man could be reached.

The secret contributions were made in a 5½-week period in March and April 1972 when it was widely assumed there was no law requiring the disclosure of financial contributions.

The last day of February was the end of the final reporting period of the Corrupt Practices Act of 1925.

The successor disclosure law now in effect, the Federal Elections Campaign Act of 1971, was not signed by President Nixon until the last day for doing so, Feb. 7, 1972. The effective date was 90 days later.

During this period, finance committee Chairman Maurice Stans and his aides made an all-out effort to raise funds. They assured prospective contributors that their identities need never be disclosed.

(Mount Clipping in Space Below)

## Campaign gifts probed

# Watergate committee to call AmShip execs

By Robert J. Havel

Cleveland Plain Dealer Bureau

WASHINGTON—Eight executives of the American Shipbuilding Co. are expected to be called to testify before the Senate Watergate Committee about their contributions to the Nixon re-election campaign.

"American Ship is going to be the 'guinea-pig corporation,'" a committee source said. "They are going to be used as a classic example of how corporate officials are leaned on for political contributions."

The eight have been granted immunity from prosecution by the committee in return for their testimony. Similar immunity had been given them by special Watergate prosecutor Archibald Cox before he was fired by President Nixon.

David Dorsen, head of the campaign-financing phase of the Senate Watergate investigation, declined to say when the eight would be called. The public hearings are not expected to resume before Nov. 5.

However, the source said the panel was planning to call all eight for public testimony.

The eight employees contributed \$25,000 to Nixon's campaign last year in separate checks of about \$3,000 each. They were reportedly given corporate bonuses on the same day in amounts identical to their contributions.

Use of corporate funds for political purposes is illegal. George M. Steinbrenner III, board chairman of AmShip, has denied the bonuses were for reimbursement.

Steinbrenner himself, a former Democratic fund raiser, gave a \$75,000 personal contribution to Nixon last year. He was reportedly the ultimate target of the Cox investigators in the AmShip case. Dorsen would neither confirm nor deny that Steinbrenner would be called before the Senate Committee.

Use of corporate bonuses has long been rumored as a ruse to make illegal corporate gifts to a political campaign. But the practice has never been prosecuted in the courts.

Last summer, Braniff Airways, Inc., admitted that it had reimbursed executives for \$40,000 they had given in campaign contributions to Nixon. No other firms have admitted such an arrangement, although other corporations have confessed to direct gifts from corporate funds.

Dorsen declined to say if any other Ohio firms were being investigated.

The eight AmShip employees are Robert E. Bartolme, Stanley J. Lepkowski, Gordon Stafford, Daniel A. Kissel, Ian R. Cushenan, Matthew E. Clark Jr., Robert L. Dibble and Roy F. Walker.

(Indicate page, name of newspaper, city and state)

Pg. 2-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Ship Building  
Company; George M.  
Steinbrenner

Date: 10-27-73

Edition: Final

Author: Robert J. Havel

Editor: Thomas J. Hall

Title:

SEE ABOVE

Character:

Election Laws

Classification:

Submitting Office: Cleveland

(Indicate page, name of newspaper, city and state)



(Mount Clipping in Space Below)

## Law firm is reported hired by Steinbrenner

By ROBERT CRATER  
Press Washington Writer

WASHINGTON — Cleveland shipbuilder George M. Steinbrenner III reportedly has retained a top Washington law firm in connection with his Nixon campaign troubles.

The firm of Williams, Connolly and Califano, headed by Edward Bennett Williams, the famed criminal lawyer, is said to have been hired by Steinbrenner.

Steinbrenner, chairman of the board of the American Shipbuilding Co., and eight of the firm's executives gave a total of \$100,000 to the 1972 campaign of President Nixon.

The special Watergate Grand Jury, formerly headed by Archibald Cox, is said to be investigating whether any of the contributions violate the Federal law against corporate campaign contributions.

The eight corporate employees were granted immunity from prosecution through efforts by Cox. Yesterday, the Watergate Committee obtained similar court orders for the eight from Chief U.S. District Judge John J. Sirica.

No such request was made for Steinbrenner.

The eight company executives granted the immunity are Robert E. Bartlome, Stanley J. Lepkowski, Gordon Stafford, Matthew R. Clark Jr., Ian R. Cushenar, Robert L. Dibble, Daniel A. Kissel and Roy F. Walker Jr.

(Indicate page, name of newspaper, city and state)

Pg. 12-B

Cleveland Press  
Cleveland, Ohio

American Ship Building  
Company; George M.  
Steinbrenner

Date: 10-30-73

Edition: Final

Author: Robert Crater

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character: Elections Laws

Classification:

Submitting Office: Cleveland

Being Investigated

(Mount Clipping in Space Below)

# Kuhn probing Steinbrenner gifts to Nixon

By George P. Rasanen  
Plain Dealer Bureau

WASHINGTON — Reports of illegal funneling of corporate funds to President Nixon's 1972 re-election campaign by George M. Steinbrenner III, board chairman of American Ship Building Co. of Cleveland, are under investigation by Baseball Commissioner Bowie Kuhn.

Steinbrenner, 43, is a controlling partner of the New York Yankees baseball club.

Kuhn said in New York yesterday that he has been inquiring "for some time" into reports of illegal corporate political contributions and obstruction of justice against Steinbrenner.

"It's my policy not to comment further until our inquiry is complete," Kuhn told The Plain Dealer.

Two American Ship executives who were granted immunity from prosecution made the charges before the Senate Watergate committee.

One testified that Steinbrenner ordered the channeling of \$25,000 in corporate funds to Nixon's re-election committee and then destroyed records to hide the transactions and asked witnesses to lie to federal investigators.

Kuhn said major league baseball has no specific regulation to deal with Steinbrenner's case, but he has broad powers to take whatever action is deemed "in the best interests of baseball."

Kuhn reportedly has conferred with Steinbrenner in New York but declined to confirm or deny that. Steinbrenner, who had denied making illegal contributions earlier, has declined comment on the Watergate charges. He could not be reached for comment on the Kuhn investigation.

Kuhn declined to estimate when his inquiry will be completed.

The Watergate committee has been told that Steinbrenner gave eight American Ship employees bonuses of \$25,000 which then went to the Nixon campaign. The bonuses were granted on April 6, 1972, the day before a strict new campaign fund reporting law went into effect, the company employees testified.

(Indicate page, name of newspaper, city and state.)

pg. 18-D

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 11-27-73

Edition: Final

Author: George P. Rasanen

Editor: Thomas Vail

Title:

SEE ABOVE

Character:  
or Elections Laws

Classification:  
Topic: Cleveland

File: 44

(Mount Clipping in Space Below)

## AmShip exec did not plead 5th to panel

WASHINGTON (AP) — A spokesman for the Senate Watergate committee has said he was in error when he reported the general counsel of American Ship Building Co. had taken the Fifth Amendment before an executive session of the investigative panel last week.

The company lawyer, John H. Melcher, was accused in public testimony before the committee of helping cover up illegal contributions by the company to the Nixon re-election campaign.

Assistant chief counsel David Dorsen of the committee issued the following statement about what took place during the session last Tuesday:

"John Melcher did not, in fact, plead the Fifth Amendment. His attorney did state, however, that Melcher would plead the Fifth Amendment with respect to all questions if asked about certain matters concerning the alleged illegal corporate contribution of American Ship Building Co."

Dorsen also said Melcher was not asked questions in this area but Melcher would be recalled for future questioning.

The original report appeared in The Plain Dealer last Wednesday.

Pg. 2B

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Dec 12-11-73

Edition Final

Author:

Editor: Thomas Nail

Title:

SEE ABOVE

Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## Steinbrenner absent from Regents meetings

Press Ohio Bureau

COLUMBUS — Governor Gilligan's office is concerned about the continued absence of Cleveland industrialist George M. Steinbrenner III from meetings of the Ohio Board of Regents, a spokesman said yesterday.

Steinbrenner, under a cloud in connection with the alleged conversion of American Shipbuilding Company bonuses into contributions to the Committee to Reelect the President, has attended only one monthly meeting of the Regents since May 18, 1973.

"I think it is a concern when any appointee fails to attend meetings," said Robert Tenenbaum, the governor's press aide. However, nobody in the governor's office has asked Steinbrenner for an explanation.

"I think we just became aware of it," Tenenbaum said.

Steinbrenner tells newsmen he will decide next spring whether the Senate Watergate investigation and related events are interfering with his duties as a regent to such an extent that he should resign.

Gilligan named Steinbrenner to a nine-year Regents term starting in September, 1972, and the Ohio Senate confirmed the appointment Dec. 14, 1972.

Steinbrenner attended his first nine meetings, Regents minutes show. He was an active participant and helped engineer the selection of Cleveland James A. (Dolph) Norton as chancellor.

(Indicate page, name of newspaper, city and state)

Pg. 6-A

Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 1-23-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character:  
or Election Laws

Classification:  
Submitting Office: Cleveland

Indexing: Not attempted

(Mount Clipping in Space Below)

## Steinbrenner faces quiz on Nixon gift

WASHINGTON — The Senate Watergate Committee still intends to question George M. Steinbrenner III of Cleveland despite the panel's postponement of additional public hearings, a spokesman said today.

"We had intended to resume the Steinbrenner hearings this month, but we may have to take a raincheck on that," said David Dorsen, assistant counsel of the committee. "I can't comment on the date, but it should be soon."

Steinbrenner was summoned here for a committee executive session Dec. 20. The hearing, with only Sen. Joseph M. Montoya (D-N.M.) present, was recessed after 15 minutes. Dorsen's only comment then was that it would be resumed here in January.

Steinbrenner is alleged to have distributed bonuses to executives of American Shipbuilding Co., which he heads, with the understanding that the money would be contributed to President Nixon's 1972 campaign.

The eight employees were granted immunity from prosecution and testified about the reported contribution arrangement.

Steinbrenner reportedly is seeking to escape a criminal indictment by the Watergate federal grand jury by offering to testify about alleged pressure on him by Nixon campaign committee sources to make a substantial contribution.

Dorsen said Steinbrenner's executive hearing before the Senate committee was not directly related to the investigation by Special Prosecutor Leon Jaworski.

Pg. 14-A  
Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 1-29-74

Edition: Final

Author:

Editor: Thomas L. Boardman

(Mount Clipping in Space Below)

# AmShip, Goodyear sued over gifts

By Brian T. Usher  
Plain Dealer Bureau

COLUMBUS — Atty. Gen. William J. Brown asked the Ohio Supreme Court yesterday to stop American Ship Building Co. of Lorain and Goodyear Tire & Rubber Co. of Akron from making additional illegal contributions to political campaigns.

Using information from the U.S. Senate Watergate hearings, Brown is charging American Ship officials gave \$25,000 and Goodyear officials \$40,000 in corporate money to the 1972 campaign of President Nixon.

Brown's suit is the first court action against AmShip, headed by George M. Steinbrenner III, board chairman. Goodyear and its board chairman, Russel DeYoung, pleaded guilty in U.S. District Court Oct. 17 to charges of illegal contributions.

Brown called his suit a "real kneeknocker" because it gives his office wide powers to look for any other improper political actions by the companies.

Officials of the two corporations had little comment, saying they had not reviewed the suits.

"I've heard it's been filed, but I don't know about the suit," Robert E. Bartolme, secretary of AmShip, said. "I can't comment."

Goodyear officials issued this statement: "Since the attorney general has not given us information on the suit, it is not possible to make a significant comment. However, if the objective of the action is to assure compliance with the laws of Ohio, Goodyear is doing everything in its power to comply with all laws and will do so in the future."

Brown said he expects to widen his investigation into other alleged contributions by the two companies and contributions by other major corporations.

He is seeking injunctions against the two to prevent future giving of corporate funds to campaigns, a practice banned by both state and federal law.

The suits yesterday are civil, not criminal, because the one-year statute of limitations has run out for criminal proceedings under election law, Brown said.

But, he said, if the injunction is granted and further violations are found, the corporate charters in Ohio could be revoked by the court.

The suits provide investigating tools for determining whether the two submitted other contributions in 1972 or before, Brown said.

Pg. 9-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 2-21-74

Edition: Final

Author: Brian T. Usher

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws

Location: Cleveland

8 8

Brown said he has asked State Tax Commissioner Robert J. Kosydar to help probe other corporations which have admitted contributing to the Nixon campaign. These include American Airlines, Ashland Petroleum Co., Braniff Airways Inc., Gulf Oil Co., Minnesota Mining & Manufacturing Co., Phillips Petroleum and Carnation Milk Co.

Kosydar is to investigate whether companies filed false affidavits swearing they had not made political contributions. He is also to determine whether Ohio has lost any tax revenue because of illegal contributions.

(Mount Clipping in Space Below)

# STEINBRENNER INDICTED BY U.S.

By Richard G. Zimmerman  
and Christine J. Jindra

A federal grand jury here yesterday returned a 15-count criminal indictment against the American Ship Building Co. and Board Chairman George M. Steinbrenner III in connection with alleged illegal campaign contributions made to President Nixon and to various Democratic and Republican congressional campaigns.

Steinbrenner, 43, individually was charged with five counts of violating campaign contribution laws, two counts of aiding and abetting the making of false statements to FBI agents, four counts of obstructing justice, and two counts of obstructing a criminal investigation.

Steinbrenner and the company were jointly charged under a combined count for

conspiracy. The company also was charged individually with one count of making illegal corporate campaign contributions.

(Indicate page, name of newspaper, city and state.)

Pg. 1A  
Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-6-74

Edition: Final

Author: R.G. Zimmerman

Editor: Thomas Vail

Title: SEE ABOVE

Character: Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated



If convicted on all counts, Steinbrenner would face a maximum penalty of 55 years in prison and fines of \$110,000. The company would be liable to \$15,000 in fines.

The indictments were returned by a federal grand jury here on the basis of testimony earlier presented before the special Watergate grand jury in Washington. Announcement of the unprecedented criminal indictments was released simultaneously in Cleveland and in Washington by the office of special Watergate prosecutor Leon Jaworski.

The indictments were returned here because the alleged conspiracy and other

illegal acts took place in the Cleveland area.

Two top officials in AmShip were named in the indictment as unindicted co-conspirators. They were among eight Am-

- Indictment says two GOP Ohio congressmen received illegal campaign contributions. Page 6-A.

- Steinbrenner passed up a possible opportunity to avoid a federal investigation. Page 3-B.

Ship employees who were granted immunity last September in return for their testimony before both the Senate Watergate Committee and the Watergate grand jury.



George M. Steinbrenner III

ment against Steinbrenner and AmShip marks the first time felony charges have been brought by Watergate investigators against a corporate official in connection with illegal corporate campaign financing. A number of large corporations and their chief executive officers earlier were permitted to plead guilty to misdemeanor sections of campaign financing laws and were fined \$5,000 and \$1,000, respectively.

The severity of the criminal indictment returned against Steinbrenner and AmShip are thought to be related to his refusal voluntarily to admit to any wrongdoing and to allegations that he took part in an extensive coverup of the allegedly illegal contributions.

In a statement released through a Cleveland public relations consultant, Steinbrenner said Watergate prosecutors had offered him the option of pleading guilty to a one-count criminal charge of willful conspiracy to violate election laws. Maximum penalty would have been a \$10,000 fine, two years imprisonment, or both.

"There was no way I could plead guilty to a charge involving willful conspiracy . . . because I just am not guilty of any such violations," Steinbrenner said in the statement.

"I feel it is very important that I state publicly why I have chosen to fight and it is also equally important to ask the public to remember that an indictment is not a conviction," Steinbrenner's statement added.

All counts returned against Steinbrenner and AmShip relate to the basic charge that Steinbrenner in 1970, 1972 and 1973 gave corporate bonuses to trusted employees, or authorized phony expense vouchers with the clear understanding that the money was to be channeled to various political campaigns.

The particulars in the 22-page indictment list the following political committees as receiving corporate funds through AmShip:

- \$5,000 to a committee supporting the re-election of Rep. Charles A. Mosher, R-13, of Oberlin, in September 1970.
- \$2,000 to the Democratic Congressional Campaign Committee in October, 1970.
- \$500 to a committee for the re-election of the late Rep. Frank T. Bow, R-16, of Canton, in October 1970.
- \$1,000 to a committee for the re-election of Sen. Vance Hartke, D-Ind., in October 1970.
- \$11,000 to the National Democratic Congressional Dinner in October 1970 and February 1971.
- \$14,000 to the Senate-House Majority (Democratic) Dinner in February 1972.
- \$6,200 to unnamed committees for the re-election of President Nixon in November 1972.

\$25,000 to the finance committee for re-election of the President in April

- \$500 to committee for the support of Sen. Daniel K. Inouye, D-Hawaii, in July 1973.

Named by the indictments as Steinbrenner employees who served as conduits for the illegal contributions were:

Robert E. Bartlome, company secre-

## Indictments spoil opener

Plain Dealer Special

NEW YORK — Associates of George M. Steinbrenner III said he was hopping mad yesterday, not so much because of the federal indictments against him, which they said he expected, but for the timing of the indictments.

Steinbrenner also is president of the New York Yankees and had invited many prominent guests to the opening of the baseball season today, when the Yankees will play the Cleveland Indians. Among the guests are Sen. Ted Kennedy, D-Mass., and his son, Ted Jr., whose leg was removed in a cancer operation and who is scheduled to throw out the first ball for today's game.

Associates said Steinbrenner had expected the indictments to be returned next week. They said they did not know if he would show up for the game.

tary; Stanley J. Lepkowski, treasurer; Gordon Stafford, executive vice president; Daniel A. Kissel, treasurer for the firm's fleet of cargo ships; and employees Ian R. Cushman; Matthew E. Clark Jr.; Robert L. Dibble and Roy F. Walker.

Bartlome and Lepkowski were named as unindicted coconspirators. All eight were granted immunity and all eight are thought to have testified both before the Senate Watergate Committee and the special grand jury. Bartlome and Clark testified publicly before the Watergate committee last November, outlining many of the allegations contained in the indictment returned yesterday.

The names of AmShip employees Erhard Eckert, A. David Baumhart and Ronald Slater, not previously associated with the case, also were listed as playing a part in the funneling of contributions to various committees.

As part of the alleged effort to cover up the illegal corporate contributions, the indictment charges that:

- In April 1973, Steinbrenner destroyed Bartlome's records of bonuses paid to and political contributions made by AmShip employees.
- In June 1973, Bartlome signed a fraudulent certificate stating his 1973 \$5,000 bonus was not made "conditioned upon or subject to any contribution, whether charitable, political or otherwise."

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- In August 1973, Steinbrenner "did willfully endeavor by means of misrepresentation, intimidation and force of and threats thereof to obstruct, delay and prevent" Lepkowski and Dibble from "the communication of information" to FBI agents.

- In August 1973 Steinbrenner "did knowingly, willfully and unlawfully aid, abet, counsel, command, induce, procure and cause Mathew E. Clark Jr. to make false, fictitious and fraudulent statements" to FBI agents.

- In August 1973, Steinbrenner "urged, advised, and counseled" Bartlome, Lepkowski, Clark and Dibble to give false testimony before the Watergate grand jury.

During his public testimony before the Watergate committee, Bartlome said Steinbrenner suggested the "story" that he was to tell to investigating FBI agents. The story suggested that the eight company officials and employees met on their own early in 1972 and voluntarily decided to make the contributions because the Nixon administration "had been good for the shipbuilding industry."

Both Bartlome and Clark told the committee that they incorporated the story into the testimony they gave to FBI agents and that their testimony was false.

The two told the committee that he eight employees decided to tell the whole truth only after they were subpoenaed to appear before the Watergate grand jury. They said that Steinbrenner repeatedly told them not to worry during this period.

Bartlome also said he was told by Lepkowski that Steinbrenner had destroyed records involving the alleged phony bonus program.

Under rules creating the Watergate committee, the two witnesses could not testify to campaign gifts made prior to the 1972 presidential campaign. But the Watergate grand jury operates under no such restrictions.

The indictment did not indicate whether any of the contributions were made with the understanding that Steinbrenner would receive political favors in return or were made as the result of political pressure.

AmShip has been involved in litigation before the Commerce Department and the company has won government contracts in the past.

Bartlome testified that Steinbrenner told him that the contributions were "needed" and that he was being "pressured" to make a sizable contribution to the Nixon campaign.

(Mount Clipping in Space Below)

# Steinbrenner missed easy out

By Harry Stainer

George M. Steinbrenner could have pleaded guilty last fall to arranging an illegal corporate gift to a political campaign, a misdemeanor.

Doing so, regardless of whether he felt guilty, might have avoided a federal investigation, and the felony indictments returned against him yesterday.

Other large corporations such as Goodyear Tire & Rubber Co. and their executives did so. They were fined on misdemeanor charges. And as far as they are concerned, the issue is now closed.

But for Steinbrenner, board chairman and chief executive officer of American Ship Building Co., this issue not only is still alive, it threatens to crack a financial empire built up in one decade of whirlwind activity.

His empire of interests in American Ship, sports such as the New York Yankees, Broadway shows, horse breeding, a bank and real estate was founded on his ability to form syndicates of investors and be invited to join syndicates of others.

And that ability stems from a carefully nurtured belief that anyone putting up money with Steinbrenner will not get hurt if things go wrong.

One man who has known him for more than 10 years said that Steinbrenner did not change his position, plead guilty and testify freely before the Senate Watergate Committee because it could kill the reputation that made him a millionaire.

"He's a standup guy," he said. "If he were forming a syndicate to invest in something, there would be a long line of people knocking on his door for a piece of the action. They know his reputation that no one gets hurt."

"But if he talks now he could carry hundreds of people down with him. He would be testifying under oath. He was a fund raiser for both Democrats and Republicans," he said.

Steinbrenner now feels boxed in, an associate said recently. In October Archibald Cox, then special prosecutor, warned that corporate officials who fail to voluntarily confess or who were involved in improper influences on the government would face felony charges.

"He didn't take the easy way out then because he did not believe he was guilty," a friend said. "He had lawyers' opinions that he had nothing to worry about by not pleading guilty. And what he might have done was common practice."

(Indicate page, name of newspaper, city and state.)

Pg.3-B

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-6-74

Edition: Final

Author: Harry Stainer

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws  
or

Classification:  
Submitting Office: Cleveland

☐ Being Investigated

Others who know Steinbrenner repeat the same refrain: He was acting on advice of his lawyers. No one identified the lawyers who gave the advice.

American Ship did hire Nixon's old law firm of Mudge, Rose, Guthrie & Alexander in 1970, Steinbrenner said in an interview last year. But the advice that he had nothing to worry about may have come from elsewhere.

Steinbrenner has not been granting interviews lately apparently to avoid prejudicing two probes he faces: the special prosecutor's and the Senate Watergate Committee's.

An associate implied Steinbrenner's confidence in his October position was shaken in November after American Ship officials testified that eight of them were given \$25,000 in bonuses arranged by Steinbrenner with the understanding the money would be passed on to the Committee to Re-elect the President. Steinbrenner gave \$75,000, they testified.

Steinbrenner is 43 and a man in a hurry. A friend said he abhors golf as too slow and taking too much time. It was not until he was 33 that he began to build his money-making empire, win awards for civic endeavors and invoice himself in political fund raising. The decade made him a millionaire, he said last year.

He graduated from Williams College in 1952, served in the Air Force and then became an assistant football coach at a Columbus high school and later at Northwestern and Purdue universities.

His father, Henry G., in 1959 convinced him to return to the family business, Kinsman Marine Transit. It was a 100-year-old lake shipping company with five boats, a small but efficient force in the competitive lake hauling business.

A friend said the younger Steinbrenner paid little attention to Kinsman and more to the old Cleveland Pipers, a basketball team he formed in 1960 with about a dozen other investors. The team won championships but drew small crowds.

In an attempt to switch from the old American Basketball League to the National Basketball Association, Steinbrenner found he was undercapitalized. He had to sell his own stock in Kinsman Marine and reportedly lost \$250,000 when the Pipers folded.

He was 32, broke and despondent over being chalked off as a failure, according to a friend who found him holed up in a Cleveland hotel.

His chance came in 1963 when his father wanted to retire. Because of tax problems Steinbrenner could not just take over the firm. The company was up for sale.

Steinbrenner wanted to buy. An associate said Steinbrenner went to Cleveland banks but could not get a loan for a down payment. Shipping patterns were changing and the investment looked risky.

He found a New York bank that was willing to lend him the money after a convincing hard sell on the future of lake shipping. "He was a supersalesman when he believed in something," an associate said.

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"And he firmly believed that ships could always transport bulk cargo cheaper than the railroads."

A friend said that the loan had to be at arms length because of federal inheritance and gift tax regulations. Steinbrenner took over Kinsman and the firm made money.

What he did next gave him his reputation and opened more opportunities. He paid off creditors and stockholders of the Pipers although he was under no legal obligation to do so.

An investor in the Pipers recalls he received a check explanation. "That made him a standup guy, but the human feeling wasn't there," he said. "Even today he'll nod in passing, but won't stop to make small talk."

In 1964 he made his first investment, a small one, in the road show of a Broadway play, "After the Fall." In 1965 he and two other former classmates at Culver Military Academy bought the road rights to "Funny Girl."

It made money and Steinbrenner invested heavily in 1965 in a corporation producing touring musicals. In 1966 it went broke. Steinbrenner repeated the Pipers' act, paying off those who invested with him, according to a friend.

This action attracted the notice of James Nederlander, a Detroit theater promoter, who opened the right doors for Steinbrenner to later help angel such Broadway money makers as "George M!" "Cabaret." and "Applause." an associate said.

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Also in 1966, Steinbrenner authored the Little Hoover Commission report on Cleveland's airports and helped organize Group 66, a group of 30 business and professional men of the same age promoting new programs for the area.

He was named its first chairman. "When Steinbrenner was chairman of a committee, it was a one-man committee," an associate said. "He has a tremendous drive to get things done, to accomplish. But he doesn't always take advice. It was just like when he was running the Pipers."

He remembers when Steinbrenner came into a meeting of Group 66 and announced that it would raise money for the Cleveland: NOW! program. No one else at the meeting had heard of it. It was 1968. Carl B. Stokes was mayor. In a few weeks the group raised \$1.5 million from individuals.

In 1967 Steinbrenner led a syndicate of investors that took control of American Ship Building. Some subsidiaries were spun off. Kinsman Marine was added and his father was brought back to run the subsidiary.

Subsidiaries include the major tugboat company on the Great Lakes, stevedoring operations in Cleveland and three other cities and about five shipyards, including those in Lorain and Tampa, Fla. Plan for the Tampa yard is for it to make ocean oil drilling rigs and repair oil tankers.

In 1969 and 1970 he was chairman of the national Democratic Congressional dinners which were \$1 million fund raisers. He became a personal friend of U.S. Sen. Edward M. Kennedy, D-Mass.

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He also raised \$20,000 for a Republican congressional candidates' dinner, contributed to campaigns of congressmen along the Great Lakes and supported Sen. Hubert H. Humphrey, D-Minn., in his presidential bid. He also gave money to Gov. John J. Gilligan's campaign.

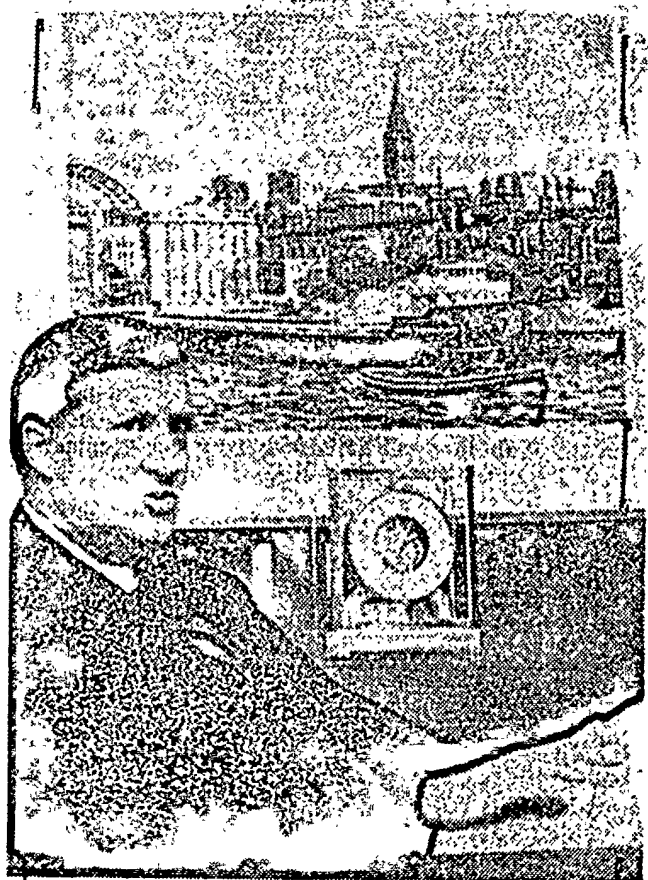
He continued his investments outside of American Ship, almost always as a member of a syndicate of investors and frequently controlling the group. For example, he bought only 10% of the Yankees early in 1973, but was responsible for putting together the group that bought 90% of its stock. In 1972 he and a group of investors bought the Chicago Bulls basketball team.

He and others also bought a share in Continental Bank last year and he sold an interest in a racetrack he had bought earlier.

But it was his activities in American Ship that brought him problems. In 1972 American Ship bought out its major grain hauling competitor, Wilson Marine Transit Co., from Litton Industries, Inc.

The Justice Department filed an antitrust suit on Aug. 16, 1972, the day after the sale. Later, the department agreed to a federal court settlement that left American Ship the dominant grain carrier on the lakes.

Steinbrenner denied there was any political influence in the settlement, although department officials said congressmen were actively supporting American Ship and the company had hired the former Nixon law firm to represent it. American Ship executives have testified the contribution to Nixon's campaign was made April 6, four months before the suit.



Associated Press  
George M. Steinbrenner III, pictured here in his office in 1972.

(Mount Clipping in Space Below)

# Steinbrenner, AmShip indicted

George M. Steinbrenner, board chairman of American Ship Building Co., was indicted in Federal Court here today for making illegal contributions to President Nixon's 1972 re-election campaign.

Amship also was named in them. ultimate indictment.

The other top Amship executives, Robert E. Bartlome and Stanley J. Lepkowski, were named as co-conspirators but not indicted.

The indictment was returned by a Federal Grand Jury here.

The indictment also says Steinbrenner made illegal contributions over a three-year period, from September 1970 to September 1973.

Eight Amship officials were subpoenaed to testify before the special Watergate Grand Jury in Washington, last September.

Steinbrenner is accused of selecting a group of trusted employees to receive what appeared to be bonuses from the company. They then allegedly were directed to contribute the net proceeds after taxes to various candidates.

The indictment covers senatorial and congressional campaigns during the period, including contributions in primary elections and for political conventions.

Steinbrenner also is charged with directing the submission of fictitious expense vouchers and directing Bartlome to decide what candidates should get funds and specifying the amount.

Those who allegedly received the illegal \$5000 bonuses include company executives Bartlome, Lepkowski, Matthew Clark Jr., Robert L. Dible, Erhard E. Eckert and Gordon Stafford.

The jury also charged the scheme was disguised from federal investigators beginning in January 1973 by hiding bonuses that already had been given.

Steinbrenner is accused of causing the destruction and alteration of records and creating false and misleading records concerning payment of the bonuses.

Included among the specific campaign contributions cited were a \$750 check to Cong. Charles Mosher (R-Oberlin), an October check for \$1000 to a Democratic congressional dinner committee in 1970, and two checks in 1970 to Sen. Vance Hartke (D-Ind.).

Last year, Steinbrenner revealed he had donated \$75,000 to Nixon's 1972 campaign after a meeting with the President's personal attorney, Herbert Kalmbach.

Steinbrenner told The Press later he expected no personal or company benefits from the contribution, even though AmShip was involved in litigation with the Justice Department at the time.

"I only wanted access to the White House to get civic projects for Cleveland," said Steinbrenner.

He said then he had no thought of getting favorable treatment from the Justice Department.

"My God, may I be struck down if there was," added Steinbrenner.

In all, the indictment contains 14 counts against Steinbrenner and the company.

The Press learned that evidence was brought to Cleveland by Justice Department attorneys in Washington and presented to a Grand Jury originally hearing testimony on organized crime.

An informant here said only a few people in the U. S. Attorney's office here knew that the Washington staff was in Cleveland.

Other contributions include a \$25,000 total to the Committee to Re-Elect the President, and donations to several Democratic committees totaling more than \$20,000.

(Indicate page, name of newspaper, city and state.)

Pg. 1-A  
Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-5-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character: Election Laws

or

Classification:

Submitting Office: Cleveland

☐ Being Investigated



8

The most recent contribution was \$500 to Ken Daniel K. Inouye (D-Hawaii), a member of the Senate Watergate Committee. The contribution was made last July while the committee was investigating illegal campaign practices.

Named in another count was Amship itself as a corporation for conspiracy and executives who allegedly received illegal bonuses were Daniel Kissel, Roy Walker and former Cleveland Baron hockey star Ian Cushenan.

Amship's main office is in Lorain with executive offices in Cleveland. It has been rumored recently the firm will move to Florida. Steinbrenner lives in Bay Village.

A surprise charge brought by the jury says Steinbrenner "corruptly and by threat of force" tried to obstruct justice by urging Bartolome to give false testimony to the Watergate Grand Jury last August.

Steinbrenner would face a maximum of \$110,000 in fines and 55 years in prison if convicted on every count.

The Grand Jury indicted American Ship Building on one count of conspiracy to defraud the U.S. which carries a maximum \$10,000 fine; and one count of violating campaign contribution laws, which carries a maximum \$5000 fine.

Steinbrenner could not be reached for comment. Marshall Samuels, his public relations agent, said Steinbrenner left for New York early this afternoon, apparently to attend tomorrow's opening baseball game between the New York Yankees and Cleveland Indians.

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Steinbrenner is president of the Yankees.

The indictment probably will not come as a surprise to Steinbrenner.

Samuels said: "We were anticipating the possibility and working on plans what to do. At this moment I really can't say anything. I want to talk to him first."

Bartolome, secretary of American Ship Building who testified against Steinbrenner, had no comment on the indictment today.

He is still employed by Steinbrenner.



George M. Steinbrenner III

(Mount Clipping in Space Below)

## Steinbrenner off Regents

Press Ohio Bureau

COLUMBUS — Governor Gilligan said today he has accepted the resignation of George M. Steinbrenner III, from the Board of Regents. Steinbrenner sent his resignation to the Governor Friday following the Cleveland's indictment by a Federal Grand Jury for alleged illegal campaign contributions.

(Indicate page, newspaper, city, state)

Pg. 1-A

Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-8-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character:

or

Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## No baseball now for Steinbrenner

DETROIT — (UPI) — George M. Steinbrenner II, general partner of the New York Yankees baseball club, said today he is removing himself from the day-to-day activities of the Yankees for the 1974 season.

Steinbrenner said he took this action in order to devote his time and attention to the defense of charges of alleged illegal campaign contributions returned against him Friday by a Federal Grand Jury in Cleveland. The announcement was made in Tiger Stadium where the Yankees were playing the Detroit Tigers.

(Indicate page, name of newspaper, city and state.)

Pg.1-A

Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-9-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character: Election Laws  
or

Classification:  
Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## Steinbrenner quits regents

### Plain Dealer Bureau

COLUMBUS — Gov. John J. Gilligan's office yesterday received by mail the resignation of George M. Steinbrenner III from the Ohio Board of Regents.

A spokesman for Gilligan said the governor's office was expected to accept the resignation in a return letter to Steinbrenner this week.

Steinbrenner, board chairman and chief executive officer of American Ship Building Co., submitted his resignation last week after he was indicted by a federal grand jury for illegal corporate campaign contributions. Steinbrenner has said he did nothing illegal.

Gilligan appointed Steinbrenner in 1972.

(Indicate page, name of newspaper, city and state.)

Pg. 3-B

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-9-74

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character:

or Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

# Steinbrenner won't sell

NEW YORK (AP) — Cleveland George M. Steinbrenner III, general partner of the New York Yankees, said Tuesday he will not participate in the day-by-day activities of the baseball club so that he can concentrate on defending against charges he made illegal political campaign contributions.

However, he denied a published report that he was considering selling the American League team.

"I am innocent of the charges against me," Steinbrenner said in a statement. "I expect to be fully vindicated and cleared at trial. At such time I will resume my activities with the Yankees."

The Yankees have won their first four games. Gabe Paul, ex-Indians' general manager is G.M. of the Yankees. Most of Steinbrenner's partners in the ownership of the Yankees are Clevelanders.

Steinbrenner chairman of the American Ship Building Co., was indicted last Friday by a federal grand jury of charges of making illegal contributions of corporate funds to political campaigns including President Nixon's.

Baseball Commissioner Bowie Kuhn said he had received a copy of Steinbrenner's statement and added, "I think this is a commendable decision on his part."



George Steinbrenner

(Indicate page, name of newspaper, city and state.)

Pg. 1-F

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-10-74

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## Illegal Steinbrenner gift was returned, Inouye says

HONOLULU (AP)—Sen. Daniel K. Inouye says he returned two campaign donations cited in the recent indictment of a Cleveland ship-builder.

George Steinbrenner III, board chairman of American Shipbuilding Co., was indicted for allegedly contributing company funds to the election campaigns of President Nixon, Inouye and other influential members of Congress.

Inouye, D-Hawaii, said he returned checks totaling \$500 at the request of the donors, Robert Bartolme and Stanley Lepkowski. They were named as coconspirators in the federal complaint but not indicted.

Inouye said his campaign staff also returned \$5,160 donated by American Shipbuilding Co. executives and an additional \$5,000 in personal funds donated by Steinbrenner.

(Indicate page, name of newspaper, city and state.)

Pg. 9-A  
Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-11-74

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character:

or

Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

## Steinbrenner bows from Yankee duties

NEW YORK — (UPI) — George M. Steinbrenner III, indicted in connection with alleged illegal campaign contributions, removed himself yesterday from his daily duties as general partner of the New York Yankees.

The decision by Steinbrenner assured organized baseball that the Yankees would not be encumbered this season by Steinbrenner's legal difficulties. Baseball Commissioner Bowie Kuhn called Steinbrenner's action "commendable."

The energetic Steinbrenner, although a partial owner of the Yankee franchise, had taken an active role in running the team last season. The decision to vastly reduce his activities with the team does not affect his share of ownership.

A grand jury in Cleveland returned the indictment against Steinbrenner Friday, and there was some speculative talk about the possibility of Steinbrenner's suspension by Kuhn, although the Commissioner apparently would be reluctant to take such action on the basis of an indictment before conviction.

Steinbrenner said, "I am innocent of the charges against me. I expect to be fully vindicated and cleared at trial. At such time I will resume my activities with the Yankees."

Steinbrenner made his announcement of his status with the Yankees at Tiger Stadium in Detroit, where the Yanks were playing the Tigers.

These are page, name of  
newspaper, city and state.  
Pg. 6-C

Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-10-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character:

or

Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

# AmShip jolted by SEC suit charging false disclosure

By John E. Bryan

Financial Editor

American Ship Building Co. officials here expressed surprise and puzzlement yesterday at Securities and Exchange Commission charges against the company and its chairman, George M. Steinbrenner III.

The SEC suit, filed in U.S. District Court in Washington, accused AmShip and Steinbrenner of filing false financial information because they did not publicly disclose illegal political contributions.

At the same time, according to wire reports and the Washington Star-News, the SEC asked the court to order Steinbrenner, principal owner of the New York Yankees, to pay back to the company political contributions made with corporate funds.

The total could amount to \$120,000.

The SEC case marks the first time the commission has moved against a company for alleged failure to disclose illegal political contributions.

Steinbrenner was indicted by a federal grand jury here two weeks ago for contributing corporate funds to the campaigns of President Nixon and some influential congressmen.

American Ship Building Co. is the 11th corporation charged with illegal contributions during the 1972 campaign. Nine companies have pleaded guilty.

A spokesman for AmShip said:

"It is extremely hard for us to understand why we, who have chosen to defend ourselves in court, should be treated more severely than the companies who have already pleaded guilty."

The money was given while AmShip was trying to win payment of a \$5-million claim for an overrun on a government contract, the indictment said.

The indictment said \$25,000 of \$100,000 in campaign contributions in Steinbrenner's name came from phony bonuses paid to eight employees who wrote campaign checks with the money left over after taxes.

A month ago, the SEC's division of corporate finance said any pending indictment or information alleging illegal campaign contributions—as well as any conviction, guilty plea or no-contest plea to such a charge—on the part of any officer or director of a company should be disclosed to the corporation's shareholders.

The disclosure, the division said, should be made in the company's annual report and in the proxy statement sent to shareholders to obtain their votes for directors at the upcoming annual meeting of the company.

The SEC said that, starting in 1970, AmShip and Steinbrenner listed expenses worth more than \$120,000 on the company's books, including payments to employees and others. The SEC said these payments "were for purposes other than those on company books, including contributions to political campaigns."

The commission said Steinbrenner and AmShip failed to disclose these matters to stockholders. The result, the SEC contended, is that the company's financial statements are inaccurate.

The commission said Steinbrenner and the company also failed to disclose false entries had been made on the company's books to conceal the political contributions.

AmShip's annual report for the fiscal year ended Sept. 30, 1973, carries no date of publication. But the report of the auditors Arthur Andersen & Co., is dated Dec. 19, 1973. It makes no disclosure of political contributions.

(Indicate page, name of newspaper, city and state.)

Pg. 1-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-16-74

Edition: Final

Author: John E. Bryan

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws  
or

Classification:

Submitting Office: Cleveland

☐ Being Investigated



8

8

However, the company's notice of the annual shareholders meeting March 4 and proxy statement, dated Feb. 11, 1974, noted that the Justice Department, through the special Watergate prosecutor, and the Select Committee on Presidential Campaign Activities of the U.S. Senate "are now investigating federal campaign contributions made by certain employees of the company."

The proxy statement adds that disclosures made in the course of the investigations indicate on three occasions between 1970 and 1972 bonuses totaling about \$97,500 were paid to certain employees who made political contributions of approximately the net amounts of their bonuses.

The proxy statement also advises shareholders two employees testified before the Senate committee that they made their contributions from their bonuses and they were either instructed or requested to do so by company officials.

It is added that the special prosecutor was investigating whether the company and Steinbrenner violated the law. In addition, it says the company's 1970 and 1971 tax returns, when the bonuses were deducted as business expenses, were under audit by the Internal Revenue Service.

The SEC suit yesterday asked for appointment of a special master to examine Amship's books to report how much in corporate funds may have been used in political campaigns.

It also asked for an order that ultimately would correct the books and publicly disclose the exact amount of money that had been misused.

The government enforcement agency also asked for an injunction to stop the practice, and it called for an accounting on Steinbrenner's part.

An SEC attorney said the suit resulted from an independent investigation and was not related directly to the Cleveland grand jury action.

Another SEC spokesman told The Plain Dealer's Washington bureau the nine companies which pleaded guilty to charges of illegal contributions during the 1972 political campaign have had the money returned to their treasuries.

But the spokesman said these companies also may be subject to SEC charges.

(Mount Clipping in Space Below)

# SEC says Steinbrenner, AmShip filed false report

Press-Dow Jones Wire.

WASHINGTON — The Securities and Exchange Commission (SEC) filed a complaint in Federal Court here against American Ship Building Co. and its chief executive officer, George M. Steinbrenner, alleging that the defendant filed false reports with the commission.

The complaint seeks to enjoin both Steinbrenner and American Ship Building Co. from further violations. The commission's complaint alleges that the defendants filed annual reports and proxy statement for the years 1970 to the present which were false and misleading and did not disclose that corporate funds were being used for contributions to political campaigns.

Amship and Steinbrenner were indicted last week by a Federal Grand Jury for illegal political contributions to President Nixon's campaign.

Steinbrenner also was charged with obstruction of justice obstructing a criminal investigation and helping an individual give false statements to the FBI.

Steinbrenner, of Bay Village, also is majority owner of the N. Y. Yankees baseball team. He could face a maximum sentence of 55 years in prison and \$110,000 in fines if convicted. The company could face maximum penalties of \$20,000 in fines.

The SEC seeks ancillary relief including appointment of a special master to examine books and records of American Ship Building to render a correct account of the firm's financial position to the court and company stockholders.

(Indicate page, name of newspaper, city and state.)

Pg.1-A  
Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-15-74

Edition: Final

Author:

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character:

or

Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

(Mount Clipping in Space Below)

# AmShip officer pleads guilty to election fraud

WASHINGTON — (UPI) — John H. Melcher Jr., executive vice president and counsel of American Shipbuilding Co., pleaded guilty today to concealing an illegal \$25,000 campaign contribution to President Nixon's 1972 campaign.

Melcher's surprise plea to the misdemeanor count reportedly means he will testify against the company's chief executive, George M. Steinbrenner III.

Steinbrenner, who is also president of the New York Yankees baseball team, had been indicted on a number of election law violations in connection with campaign contributions to presidential, vice presidential and congressional candidates.

Melcher faces a possible year in jail and a \$5000 fine. His guilty plea was accepted by U.S. District Judge John Hart who delayed sentencing.

The guilty plea said that Melcher "did believe, comfort and assist Steinbrenner in order to hinder and prevent Steinbrenner's apprehension, trial and punishment . . . by using a false and misleading explanation of the \$25,000 contribution."

Corporate political contributions are illegal.

Melcher said in the plea that Steinbrenner "wilfully and unlawfully" consented to make a corporate contribution on behalf of American Shipbuilding to the Nixon campaign.

According to testimony given by company officials at the Senate Watergate Committee hearings, the source of the money was concealed by passing it through payrolls of various employees so they would appear to be individual contributions.

Pg. 1-A  
Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-18-74  
Edition: Final

Author: Thomas L. Boardman

SEE ABOVE

Character:  
or Election Laws

Classification:  
Submitting Office: Cleveland

[ ] Index has been checked

(Mount Clipping in Space Below)

# AmShip official pleads guilty to gift coverup

© Washington Star-News

WASHINGTON — John H. Melcher Jr., executive vice president and general counsel of the American Shipbuilding Co., yesterday pleaded guilty to helping to cover up an alleged illegal campaign contribution to the Nixon campaign made by the company's board chairman.

Board Chairman George M. Steinbrenner III, indicted April 5 in connection with allegedly illegal campaign contributions, is due to be arraigned today in Cleveland.

Assistant Special Prosecutor Thomas F. McBride, who handled yesterday's plea before U.S. District Judge George L. Hart Jr., said Melcher will be cooperating with the prosecutors in the Steinbrenner case.

The maximum penalty for concealing an illegal campaign contribution is two years in jail and a \$10,000 fine. But the penalty for being an accessory after the fact to such a crime is only half that.

Because Melcher faces no more than one year in jail, McBride explained to Hart, the prosecutors consider his offense a misdemeanor, not a felony.

Melcher was released without bond pending sentencing.

According to the charge to which Melcher pleaded, Steinbrenner made a \$25,000 contribution to the Nixon re-election campaign in April 1972 from company funds. Corporations are barred by law from making political contributions.

(Indicate page, name of newspaper, city and state)

Pg. 8-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-19-74

Edition: Final

Author:

Editor: Thomas Vail

Title:

SEE ABOVE

Character: Election Laws  
or

Classification:

Submitting Office: Cleveland

☐ Being Investigated

8 8

(Mount Clipping in Space Below)

# Steinbrenner pleads innocent, is confident jury will clear him

By Christine J. Jindra

George M. Steinbrenner III pleaded innocent in U.S. District Court yesterday to charges that he made illegal campaign contributions to President Nixon and to various Democratic and Republican congressional campaigns.

Steinbrenner, 43, board chairman of the American Ship Building Co., was arraigned yesterday before Magistrate Herbert T. Maher accompanied by his lawyers, Edward B. Williams of Washington, and Robert J. Rotatori, a former assistant U.S. attorney here.

A 14-count indictment returned April 5 by a federal grand jury here accused him of making false statements to FBI agents, conspiracy, obstructing justice and a criminal investigation and violating campaign contribution laws.

Williams entered a plea of innocence for American Ship Building, which is charged with Steinbrenner for conspiracy and individually with one count of making illegal corporate campaign contributions.

Maher released Steinbrenner on personal recognizance and set a conference for May 22 for a discussion of a trial date, motions and other matters before Judge Leroy J. Contie Jr. Steinbrenner is free to travel in the United States.

The case originally had been assigned to Chief Judge Frank J. Battisti who dis-qualified himself because he knows Steinbrenner personally.

Steinbrenner, wearing a nautical-style navy blazer, arrived at the court 45 minutes early to be fingerprinted and booked before his arraignment.

He said he was fighting the charges because he "believes that in this country a person can get equal justice and equal protection under the law. I am confident I will be found innocent by jury of my peers."

On Thursday John H. Melcher Jr., executive vice president and general counsel of American Ship Building, pleaded guilty in Washington to helping to cover up an alleged illegal campaign contribution Steinbrenner made to the Nixon campaign.

Asst. special prosecutor Thomas F. McBride, who handled Melcher's plea and was here yesterday to note Steinbrenner's plea, said Melcher would cooperate with prosecutors in Steinbrenner's case.

Steinbrenner said he was not worried about Melcher's admission of guilt.

Steinbrenner is principal owner of the New York Yankees baseball team. Williams is president of the Washington Redskins pro football team.

(Indicate page, name of newspaper, city and state.)

Pg. 8-A

Cleveland Plain Dealer  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date: 4-20-74

Edition: Final

Author: Christine Jindra

Editor: Thomas Vail

Title:

SEE ABOVE

Character:  
or Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated



Plain Dealer Photo/William A. Ashbolt

George M. Steinbrenner III leaves the  
Old Federal Building.

(Mount Clipping in Space Below)

# Steinbrenner says he will be cleared

By JIM DUDAS

George M. Steinbrenner III pleaded innocent in Federal Court today to charges he illegally contributed to President Nixon's campaign and stoutly declared confidence that a jury will agree with him.

The board chairman of American Ship Building Co. was accompanied before U.S. Magistrate Herbert T. Maher by his lawyers, Edward Bennett Williams of Washington and Robert J. Rotatori.

Maher recorded Steinbrenner's innocent plea to a 14-count indictment accusing him of obstruction of justice, falsifying company records and ordering company officials to make false statements to investigators.

Magistrate Maher ordered Steinbrenner's release on personal recognizance bond, told him he was free to travel in the U.S. and set May 22 for a preliminary hearing before Federal Judge Leroy Contie.

Dressed in blue and deeply tanned, Steinbrenner engaged in banter with newsmen after the hearing, reporting he was feeling trim because he recently lost 10 pounds.

He turned serious, however and, said, "This has been difficult for my family, for my friends and the company.

"I have decided to fight the charges because I believe every man is entitled to equal protection and justice. I am confident I will be found innocent by a jury of my peers."

Attorney Williams, nationally famous trial lawyer, had little to say during the proceedings.

His appearance here brought together two noted sports figures. Williams owns the Washington Redskins pro football team while Steinbrenner is principle owner of the New York Yankees baseball team.

Williams also entered a plea of innocence for the Am ship firm during the hearing.

John H. Melcher Jr., executive vice president and general counsel of American Ship, pleaded guilty in Washington yesterday and faces a year in jail and a \$5000 fine.

In his plea, Melcher alleged that Steinbrenner used company funds to make a \$25,000 contribution to the Nixon campaign in April 1972.

(Indicate page, name of newspaper, city and state.)

Pg.1-A

Cleveland Press  
Cleveland, Ohio

American Shipbuilding  
Company; George M.  
Steinbrenner

Date 4-19-74

Edition: Final

Author: Jim Dudas

Editor: Thomas L. Boardman

Title:

SEE ABOVE

Character:  
or Election Laws

Classification:

Submitting Office: Cleveland

☐ Being Investigated

8- 8-

Steinbrenner faces up to 55 years in prison and fines of \$85,000 if convicted on all counts.

Assistant Special Prosecutor Thomas F. McBride said in Washington yesterday that Melcher will cooperate with prosecutors in the Steinbrenner case.

Steinbrenner said today he did not feel concerned because Melcher pleaded guilty, even though Melcher may testify for the Government.



George Steinbrenner

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Airtel

1- FOF  
1- Mr.

b6  
b7C

6/10/74

TO: SAC, Cleveland (56-83)  
Cincinnati

FROM: Director, FBI (56-4737)

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
CONSPIRACY; PAG; OOI  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

ReButelcal to Cleveland 6/7/74.

This is to confirm reButelcal wherein you were  
advised of the urgent request of the Special Prosecution  
Force received 6/7/74 as follows:

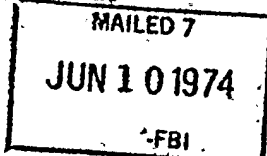
In preparation for pretrial motions, results of  
the below requested investigation are needed by Monday,  
6/10/74. Cleveland and Cincinnati were instructed to obtain  
copies of newspaper articles bylined Joe Kraft (PH) concerning  
the Special Prosecutor's investigation regarding captioned  
company, which appeared in the "Cleveland Press," 9/19/73,  
page B2, and in the "Youngstown Vindicator," 9/19/73, page 10.  
The following newspapers were to be reviewed for an article  
appearing on or about 9/19/73 on the same matter:

"Cincinnati Post"  
"Dayton Herald Journal"  
"Athens Messenger"  
"Revena Kent Record"

If such article appeared in these papers, copies should be  
similarly submitted.

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

1- WFO (info) (56-311)



REC-256-4737-36

18 JUN 13 1974

SEE NOTE PAGE TWO...

b6  
b7C

MAIL ROOM ☐ TELETYPE UNIT ☐

AIRTEL TO SAC CV, CI  
RE: AMERICAN SHIP BUILDING COMPANY

The Special Prosecution Force also requested circulation statistics for the Cleveland area only of all of the six above-mentioned newspapers except the "Cleveland Press."

Results of the above requested investigation, in LHM form, should be submitted by facsimile to reach the Bureau prior to start of business 6/10/74. Cleveland was instructed to furnish Cincinnati any required background information.

NOTE: ReButelcal was from SA [redacted] to Supervisor [redacted] CV, 6/7/74, who was instructed to telephone CI concerning the above investigation.

b6  
b7C

Request was received by SA [redacted] from

[redacted] SPE.

1 - Mr.

b6  
b7c

June 10, 1974

REC-4256-4737-37

**AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS; CONSPIRACY  
FRAUD AGAINST THE GOVERNMENT  
OBSTRUCTION OF JUSTICE**

This will confirm request of Mr.  Assistant Special Prosecutor, June 7, 1974, that he be provided with copies of an article prepared by Joseph Kraft, which appeared in the Cleveland Press, September 19, 1973, and the Youngstown Vindicator, on the same date. Mr.  also requested copies of Mr. Kraft's article appearing in the Cincinnati Post, the Dayton Journal Herald, the Ravenna Kent *Record* and the Athens Messenger be obtained. In addition, he requested the circulation figures for the Cleveland area for all the above newspapers except the Cleveland Press.

Enclosed are two copies of a memorandum dated June 7, 1974, at Cincinnati, Ohio, and a memorandum dated June 8, 1974, at Cleveland, Ohio, in answer to the above request. Also enclosed are two copies of the Joseph Kraft article appearing in the Messenger, Athens, Ohio, dated September 21, 1973.

b6  
b7c

A review of the Dayton Journal Herald, a daily Dayton, Ohio, newspaper for the period September 17 - 20, 1973, failed to reflect any article authored by Joseph Kraft pertaining to captioned individual and/or the Watergate matter.

Miss  Circulation Department, Dayton Journal Herald, advised that this newspaper had a daily circulation of 114,000 and that 33,000 copies were distributed equally to counties north and south of Dayton. She was unable to furnish data relative to the number of newspapers actually distributed in the Cleveland, Ohio, area.

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

the Athens Messenger, advised there were only 14 mail subscribers of the newspaper in the Cleveland, Ohio, area.

The above completes investigation of the request of Mr.  dated June 7, 1974.

Enclosures (4)

51 JUN 20 1974

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

MAIL ROOM ☒ (4) TELETYPE UNIT ☐

see note pg. 2...

American Ship Building Company  
6/10/74

NOTE

Request of Mr. [ ] was made of Mr. [ ]  
6/7/74, and facsimile of investigation at Cleveland and  
Cincinnati, Ohio, requested in view of the urgency attached  
to Mr. [ ] request.

b6  
b7c

Hand delivered  
To [ ]  
6/10/74. cmw

PD-36 (Rev. 5-22-64)

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

JUN 07 1974  
FBI  
TELETYPE

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	
Adm'n.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

Transmit the following in PLAINTEXT  
(Type in plaintext or code)  
Via FACSIMILE NITEL  
(Priority)

TO DIRECTOR, FBI  
FROM CINCINNATI (56- )

GEORGE M. STEINBRENNER; EL; ACCOUNTING AND FRAUD SECTION,  
WATERGATE UNIT; OO:WFO.

REBUDELCAI TO CLEVELAND, JUNE 7, 1974.

ENCLOSED VIA TELECOPIER IS AN LHM CONCERNING CAPTIONED  
MATTER CONTAINING AN ARTICLE WHICH APPEARED IN THE SEPTEMBER 22,  
1973, ISSUE OF THE CINCINNATI POST AND TIMES-STAR, A DAILY  
CINCINNATI, OHIO NEWSPAPER.

A REVIEW OF THE DAYTON JOURNAL HERALD, A DAILY DAYTON, OHIO  
NEWSPAPER FOR THE PERIOD SEPTEMBER 17-20, 1973, FAILED TO REFLECT  
ANY ARTICLE AUTHORED BY JOSEPH KRAFT PERTAINING TO CAPTIONED  
INDIVIDUAL AND/OR THE WATERGATE MATTER.

MISS [ ] CIRCULATION DEPARTMENT, DAYTON JOURNAL  
HERALD, ADVISED THAT THIS NEWSPAPER HAD A DAILY CIRCULATION  
OF 114,000 AND THAT 33,000 COPIES WERE DISTRIBUTED EQUALLY TO

ENCLOSURE  
COUNTRIES NORTH AND SOUTH OF DAYTON. SHE WAS UNABLE TO FURNISH  
DATA RELATIVE TO THE NUMBER OF NEWSPAPERS ACTUALLY DISTRIBUTED  
IN THE CLEVELAND, OHIO AREA.

1-WFO (Info)(Airmail)  
1-Cincinnati

WFM/jen  
(2)

F B I

Date:

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via \_\_\_\_\_  
(Priority)

CI 56-

PAGE 2

THE SEPTEMBER 21, 1973, ISSUE OF THE ATHENS MESSENGER, AN ATHENS, OHIO NEWSPAPER, CONTAINS AN ARTICLE PERTAINING TO SUBJECT. THIS ARTICLE WILL BE FORWARDED TO THE BUREAU BY FACSIMILE JUNE 8, 1974.

[REDACTED] THE ATHENS MESSENGER, ADVISED THERE WERE ONLY FOURTEEN MAIL SUBSCRIBERS OF THE NEWSPAPER IN THE CLEVELAND, OHIO AREA.

AIRMAIL COPIES OF NITEL AND LHM FURNISHED WFO.

END.

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b7c



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to  
File No.

Cincinnati, Ohio  
June 7, 1974

RE: GEORGE M. STRIEBERZEMER

The September 22, 1973, issue of the Cincinnati Post and Times-Star, a daily Cincinnati newspaper, contained the following article entitled, "Watergate Is Not A Fading Issue," by Joseph Kraft, which is set forth in its entirety:

"Watergate has been made to seem a fading issue by the postponement and abridgement of scheduled Senate committee hearings.

"But in fact all that has happened is that the forcing role has passed from the Senate committee to special prosecutor Archibald Cox.

"The special prosecutor, thanks in part to work done by the committee, is pressing powerfully forward. He is on the trail of some sensational indictments, and he is keeping the issue of presidential impeachment very much alive.

"The indictment possibilities spring from three sets of cases. First there is the antitrust settlement made with the International Telephone and Telegraph Company (ITT).

"The Senate investigators unearthed a memorandum from former White House special counsel Charles Colson mentioning six documents which implied a deal between the President and the company involving, among other things, ITT help to the city of San Diego as a possible spot for the Republican convention of 1977.

"The Cox office has obtained all six documents from the White House. They apparently provide material for perjury indictments against former Atty. Gen. John Mitchell, former Atty. Gen. Richard Kleindienst, ex-Asst. Atty. Gen. Richard McLaren, who is now a federal judge, and several top officers of the company.

ENCLOSURE

56-4937 27

RE: GEORGE M. STEINBRENNER

"The big question is whether to go for the simple perjury indictments, or to review the whole case including the merits of the antitrust settlement.

"A second big case involves the work of the plumbers, the special White House unit set up for security investigations. One of their activities was the burglary of Daniel Ellsberg's psychiatrist.

"Three former White House aides--John Ehrlichman, Egil Krogh and David Young--have already been indicted by a Los Angeles grand jury for their part in that operation. Cox has in the works a much wider case, which also would include indictment of former special counsel Charles Colson.

"A third set of cases grows out of the campaign contributions made illegally by large companies to the Committee to Re-Elect the President. A number of major company officers are going to be hed up in court for these activities.

"In at least one case--the case of George Steinbrenner of the American Shipbuilding Company of Cleveland--the charge may include an attempt to obstruct justice.

"On top of all these actions, Cox is pushing the extradition of Robert Vesco, the former head of Investors Overseas Services, who has fled to Costa Rica with occasional side trips to the Bahamas. The Vesco case seems to tie truly large sums of money, perhaps raised through the Teamsters and the Mafia, with the members of the President's own family.

"Mr. Nixon's own involvement is, of course, at the center of the Cox inquiry. The President's role is now bound up with the complex litigation over the tapes of his conversations and phone calls.

"In seeking access to the tapes, Cox has twice denied the President's claim that the way is barred by the principle of confidentiality.



RE: GEORGE M. STEINBRENNER

"Federal Judge John Sirica has ruled that the tapes were at least subject to judicial inspection for their possible relevance to Watergate. The court of appeals in Washington has suggested that Mr. Cox and the President's counsel examine the tapes for possible relevance to the Watergate investigation and report back to the court.

"That suggestion clearly offers a way to get at the tapes without touching the doctrine of confidentiality. The implication is that, if the President refuses their suggestion, the judges will probably rule against his claim. While no one knows for sure, the betting here is that the Supreme Court will follow the same line of argument-and by a substantial margin.

"But all signs are that the White House is not going to cough up the tapes, no matter what the courts say. In that case, the door to impeachment opens wide.

"Prof. Cox would almost certainly ask the Congress to begin impeachment proceedings. Most soundings indicate that defiance of the courts by the President would sweep away congressional reluctance to impeach. One guess by an informed White House official is that the odds are about 50-50 the issue will go to impeachment.

"What all this means is that Watergate is a long way from being behind us. The major issues are yet to be resolved, and Mr. Nixon remains in deep trouble."

On June 7, 1974, [redacted]

[redacted] The Cincinnati Post and Times-Star, advised that this newspaper has a minimal number of mailed subscriptions to individuals residing in the Cleveland, Ohio area and that in addition four daily papers are dispatched to the Krievew News, Cleveland, Ohio.

b6  
b7c

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

WATERGATE SPECIAL PROSECUTION FORCE

DEPARTMENT OF JUSTICE

# Memorandum

TO : Clarence M. Kelley  
Director  
Federal Bureau of Investigation  
Attn: Accounting and Frauds Section  
FROM : Henry S. Ruth, Jr.  
Deputy Special Prosecutor  
Watergate Special Prosecution Force

DATE: June 7, 1974

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Adm. Serv.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

SUBJECT: George M. Steinbrenner, III/The American Shipbuilding Company

In the course of our preparing our response to the pre-trial motions in United States v. George M. Steinbrenner, III, and The American Shipbuilding Company, No. Cr. 74-174 (N.D.O.) we have discovered that an article by Joseph Kraft concerning the work of the Special Prosecutor's office and which specifically mentions the American Shipbuilding Company and George M. Steinbrenner may have appeared in several Ohio newspapers. We have been informed that the article appeared in the Youngstown Vindicator on September 19, 1973. The article also appeared in the Cleveland Press on September 19, 1973 but did not refer to the American Shipbuilding Company and Steinbrenner.

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Copies of the above articles that appeared in the Cleveland Press and the Youngstown Vindicator should be obtained. Please also determine whether the Kraft article appeared in the Cincinnati Post, the Dayton Journal Herald, the Revena-Kent Record, and the Athens Messenger. Please also obtain copies of the articles if they appeared in any of those papers. Finally, it should be determined what the circulation of each of the above-mentioned newspapers is in the Cleveland Metropolitan area.

Since our papers are due in Court on June 13, please expedite this investigative request. We need this information on Monday, June 10.

If any clarification of this investigative request is needed, please contact Assistant Special Prosecutor [redacted]

18 JUN 13 1974

5340 91974

See LHM  
6/10/74 acknowledgment  
6/17/74 telephonic request  
and furnishing request  
info ABB

FBI

Date: 6-8-74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI (56-4737)  
FROM: SAC, CLEVELAND (56-83) (P)  
SUBJECT: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

Re Bureau telephone call, 6-7-74.

Enclosed for the Bureau are six (6) copies and for  
WFO one (1) copy of an LHM as requested in referenced  
telephone call.

It should be noted that the article obtained from  
the "Cleveland Press" was obtained from microfilm records  
and every effort was made to reproduce the best available  
copies for this LHM.

In addition, the article obtained from the  
"Youngstown Vindicator" was a Xerox copy and again every  
effort was made to reproduce the best available copies for  
this LHM

- ② - Bureau (Enc. 6)  
2 - WFO (Enc. 1)  
2 - Cleveland

HNH:jlb  
(6)

EX-109

REC-42

b6  
b7C56-4737-39  
18 JUN 13 1974

Approved: \_\_\_\_\_

Sent \_\_\_\_\_ M Per \_\_\_\_\_

Special Agent in Charge

53 JUN 19 1974

U.S. Government Printing Office: 1972 - 455-574



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Cleveland, Ohio

June 8, 1974

*In Reply, Please Refer to  
File No.*

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

The following article appeared in the "Cleveland Press", Cleveland, Ohio, on September 19, 1973, page B-2:

56-4737-29  
ENCLOSURE

# Cox now carrying ball on Watergate

By JOSEPH H. RAY

WASHINGTON — Watergate has been made to seem a fact of life, the prosecution and abridgment of a criminal Senate committee report. But the fact is that the Senate committee has not yet reported its findings. The Senate committee has not yet reported its findings. The Senate committee has not yet reported its findings.



The special prosecutor, who has in part to work done by the committee, is pressing powerfully forward. He is on the trail of some sensational indictments, and he is keeping the issue of presidential impeachment very much alive.

The indictment possibilities spring from three sets of cases. First, there is the anti-trust settlement made with the International Telephone and Telegraph Co. (ITT). The Senate investigators unearthed a memorandum from former White House Special Counsel Charles Colson mentioning six documents which implicated a deal between the President and the company in involving, among other things, ITT help to the city of San Diego as a possible spot for the Republican convention of 1972.

THE COX OFFICE has obtained all six documents from the White House. They apparently provide material for perjury indictments against former AUSA Gen. John Mitchell, former AUSA Gen. Richard Kleindienst, former AUSA Gen. Richard McLaren, who is now a federal judge, and

several top officers of the company. The big question is whether to go for the simple perjury indictments, or to try the whole case, including the merits of the anti-trust settlement.

A second big case involves the work of the number one special White House investigator, Robert F. Kennedy. One of their activities was the burglary of Daniel Ellsberg's files. Three former White House aides — John Shalchman, Pat Kennedy, and David Young — have already been indicted by a Los Angeles grand jury for their part in that operation. Cox has in the works a much wider case, which also would include indictment of former Special Counsel Charles Colson.

A THIRD SET OF CASES grows out of the campaign contributions made illegally by large companies to the Committee to Re-elect the President. A number of major company officers are going to be in court for these activities.

On top of all those actions, Cox is pushing the extradition of Robert Vesco, the former head of Invasion Overseas Services, who has fled to Costa Rica with occasional side trips to the Bahamas. The Vesco case seems to be truly large sums of money passing through the teamsters and the Mafia with the members of the President's own family.

Nixon's own involvement is, of course, at the center of the Cox inquiry. The President's role is now bound up with the complex allegation over the tapes of his conversations and phone calls.

In seeking access to the tapes, Cox has twice denied the President's claim that the way is barred by the principle of confidentiality. Federal Judge John Sirica has ruled that the tapes were at least subject to immediate inspection for their possible relevance to Watergate. The Court of Appeals in Washington has suggested that Cox and the President's counsel examine the tapes for possible relevance to the Watergate investigation and report back to the court.

THAT SUGGESTION CLEARLY offers a way to get at the tapes without touching the doctrine of confidentiality. The implication is that if the President refuses their suggestion, the judges will probably rule against his claim. While no one knows for sure, the betting here is that the Supreme Court will follow the same line of argument — and by a substantial margin.

Maybe the White House will compromise. But if the President refuses to cough up the tapes no matter what the courts say, the door to impeachment opens wide. For Cox would almost certainly ask Congress to begin impeachment proceedings. Most soundings indicate that the defiance of the courts by the President would sweep away congressional reluctance to impeach. One guess by an informed White House official is that the odds are about 50-50 the issue will go to impeachment.

What all this means is that Watergate is a long way from being behind us. The major issues are yet to be resolved, and Nixon remains in deep trouble.

RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

The following article appeared in the "Youngstown Vindicator", Youngstown, Ohio, on September 19, 1973, page 10:

The daily circulation for the "Youngstown Vindicator" is about 107,000 serving mainly Youngstown, Ohio, and not specifically circulated in Cleveland, Ohio.

The attached reproduction is the best reproduction available.

Attention on Cox—

# Watergate Still Principal Issue

By JOSEPH KRAFT

Watergate has been made to seem a fading issue by the postponement and abridgement of scheduled Senate committee hearings. But in fact all that has happened is that the forcing role has passed from the Senate committee to special prosecutor Archibald Cox.

The special prosecutor, thanks in part to work done by the committee, is pressing powerfully forward. He is on the trail of some sensational indictments, and he is keeping the issue of presidential impeachment very much alive.

The indictment possibilities spring from three sets of cases. First there is the antitrust settlement made with the International Telephone & Telegraph Co. (ITT). The Senate investigators unearthed a memorandum from former White House special counsel Charles Colson mentioning six documents which implied a deal between the President and the company involving, among other things, ITT help to the city of San Diego as a possible spot for the Republican convention of 1972.

## Prosecutor Has Copies Of Colson Papers

The Cox office has obtained all six documents from the White House. They apparently provide material for perjury indictments against former Atty. Gen. John Mitchell, former Atty. Gen. Richard Kleindienst, former Asst. Atty. Gen. Richard McClaren, who is now a federal judge, and several top officers of the company. The big question is whether to go for the simple perjury indictments, or to review the whole case including the merits of the antitrust settlement.

A second big case involves the work of the Plumbers, the special White House unit set up for security investigations. One of their activities was the burglary of Daniel Ellsberg's psychiatrist. Three former White House aides — John Ehrlichman, Egil Krogh and David Young — have already been indicted by a Los Angeles grand

jury for their part in that operation. Cox has in the works a much wider case, which also would include indictment of former special counsel Charles Colson.

A third set of cases grows out of the campaign contributions made illegally by large companies to the Committee to Reelect the President. A number of major company officers are going to be had up in court for these activities. In at least one case — the case of George Steinbrenner of the American Shipbuilding Co. of Cleveland — the charge may include an attempt to obstruct justice.

On top of all those actions, Cox is pushing the extradition of Robert Vesco, the former head of Investors Overseas Services, who has fled to Costa Rica with occasional side trips to the Bahamas. The Vesco case seems to tie truly large sums of money, perhaps raised through the Teamsters and the Mafia, with the members of the President's own family.

Mr. Nixon's own involvement is, of course, at the center of the Cox inquiry. The President's role is now bound up with the complex litigation over the tapes of his conversations and phone calls.

In seeking access to the tapes, Cox has twice denied the President's claim that the way is barred by the principle of confidentiality. Federal Judge John Sirica has ruled that the tapes were at least subject to judicial inspection for their possible relevance to Watergate. The Court of Appeals in Washington has suggested that Mr. Cox and the President's counsel examine the tapes for possible relevance to the Watergate in-

(Continued on Page 11)

Attention on Cox—

# Watergate Still Principal Issue

(Continued from Editorial Page) ident refuses to cough up the tapes no matter what the courts say, the door to impeachment opens wide. Prof. Cox would almost certainly ask the Congress to begin impeachment proceedings. Most soundings indicate that the defiance of the courts by the President would sweep away congressional reluctance to impeach. One guess by an informed White House official is that the odds are about 50-50 the issue will go to impeachment.

## Expect Courts to Rule Against President

That suggestion clearly offers a way to get at the tapes without touching the doctrine of confidentiality. The implication is that, if the President refuses their suggestion, the judges will probably rule against his claim. While no one knows for sure, the betting here is that the Supreme Court will follow the same line of argument — and by a substantial margin.

Maybe the White House will compromise. But if the Pres-

RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

The following article appeared in the "Record-Courier",  
Ravenna and Kent, Ohio on September 21, 1974, page 4

No article by JOSEPH KRAFT was located in this news-  
paper pertinent to this matter on September 19, 1974.

The daily circulation for the "Record-Courier" is  
about 25,000 per day, serving mainly the Portage and eastern  
Summit County, Ohio area and not specifically circulated in  
Cleveland, Ohio.



# President remains in deep trouble

By JOSEPH KRAFT

Watergate has been made to seem a fading issue by the postponement and abridgement of scheduled Senate committee hearings. But in fact all that has happened is that the forcing role has passed from the Senate committee to special prosecutor Archibald Cox.



The special prosecutor, thanks in part to work done by the committee, is pressing powerfully forward. He is on the trail of some sensational indictments, and he is keeping the issue of presidential impeachment very much alive.

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A SECOND big case involves the work of the plumbers, the special White House unit set up for the security investigations. One of their activities was the burglary of Daniel Ellsberg's psychiatrist. Three former White House aides — John Ehrlichman, Egil Krogh and David Young — have already been indicted by a Los Angeles grand jury for their part in that operation. Cox has in the works a much wider case, which also would include indictment of former special counsel Charles Colson.

A third set of cases grows out of the campaign contributions made illegally by large companies to the Committee to Reelect the President. A number of major company officers are going to be had up in court for these activities. In at least one case — the case of George Steinbrenner of the American Shipbuilding Co. of Cleveland — the charge may include an attempt to obstruct justice.

ON TOP of all those actions, Cox is pushing the extradition of Robert Vesco, the former head of Investors Overseas Services, who has fled to Costa Rica with occasional side trips to the Bahamas. The Vesco case seems to tie truly large sums of money, perhaps raised through the Teamsters and the Mafia, with the members of the President's own family.

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THAT suggestion clearly offers a way to get at the tapes without touching the doctrine of confidentiality. The implication is that, if the President refuses their suggestion, the judges will probably rule against his claim. While no one knows for sure, the betting here is that the Supreme Court will follow the same line of argument — and by a substantial margin.

But all signs are that the White House is not going to cough up the tapes, no matter what the courts say. In that case, the door to impeachment opens wide. Prof. Cox would almost certainly ask the Congress to begin impeachment proceedings. Most soundings indicate that defiance of the courts by the President would sweep away congressional reluctance to impeach. One guess by an informed White House official is that the odds are about 50-50 the issue will go to impeachment.

What all this means is that Watergate is a long way from being behind us. The major issues are yet to be resolved, and Mr. Nixon remains in deep trouble.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.



FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

**"TREAT AS ORIGINAL"**

Transmit attached as: *possible* - PLAINTEXT JUN 10 1974

Priority \_\_\_\_\_

**TELETYPE**

To: *Director, FBI*

Date: *6/11/74*

From: *Sec, Cincinnati*

Time Transmitted: *9:45 AM - CFR*

Subject: *George M. Stinkamen*  
*et al*  
*on WFO*

Initials -

*George M. Stinkamen*

- ☐ Fingerprint Photo    ☐ Fingerprint Record    ☐ Map    ☒ Newspaper clipping    ☐ Photograph  
☐ Artists Conception    ☐ Other \_\_\_\_\_

Special handling instructions:

*Accounting and Fraud Section  
Attention: Insurance Bar Page and*

Approved: *[Signature]*

**"TREAT AS ORIGINAL"**

**EX-109**

**REC-10** *56-4737-40*

18 JUN 13 1974

**ENCLOSURE**

*2 Xerox of attached  
to SPF via LHM  
6/14/74  
[Signature]*

57 JUN 21 1974

*[Signature]*

Emergency Home Switc  
in Committee To

A second big case involves the work of the plumbers, the special White House unit set up for security in 1974. One of their activities was the taping of Daniel Ellsberg's psychiatrist. These former White House aides — John Ehrlichman, Bob Krogh and David



That suggestion clearly offers a way to get at the tapes without touching the doctrine of confidentiality. The implication is that, if the President refuses their suggestion, the judges

Date: 9/21/73  
Edition: Daily  
Author: Joseph P. ...  
Editor: Charles W. ...  
Title: George M. Steinbrenner  
Character: EL  
or  
Classification: 56-  
Submitting Office: CINCINNATI  
☒ Being Investigated

1. The first group of people who are interested in the study of the history of the United States are the people who are interested in the history of the United States.

$$56-47=7-4=$$

will probably rule against the claim. While no one knows for sure, the betting here is that the Supreme Court will follow the same line of argument — and by a substantial margin.

But all signs are that the White House is not going to cough up the tapes, no matter what the courts say. In that case, the door to impeachment opens wide. Pres. Cox would almost certainly ask the Congress to begin impeachment proceedings. That proceedings indicate defiance of the courts by the President would sweep away even the most reluctant to impeach. One guess by an informed White House official is that the odds are about 50-50 the issue will go to the Supreme Court.

What all this means is that Watergate is a long way from being behind us. The major issues are yet to be resolved, and Mr. Nixon remains in deep trouble.

Airtel

1- FOF  
1- Mr.

b6  
b7C

7/19/74

TO: SAC, Cleveland (56-83)(encs 2)  
Indianapolis (encs 2)

FROM: Director, FBI (56-4737) - 41

REC-3  
AMERICAN SHIP BUILDING COMPANY  
GEORGE N. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

~~REC-3~~

Enclosed for Cleveland and Indianapolis are two copies and for WFO one copy of a memorandum dated 7/15/74 received from the Special Prosecution Force.

Mr. , Assistant Special Prosecutor, advised 7/19/74 that the enclosed memorandum includes all that is known concerning Miss  except that she is reportedly a friend of subject Steinbrenner and Robert Lyons Dibble, Vice President of Personnel, American Ship Building Company. Miss  is not known to have been employed by captioned company and contact should not be had with either Steinbrenner or Dibble concerning the whereabouts of Miss .

b6  
b7C

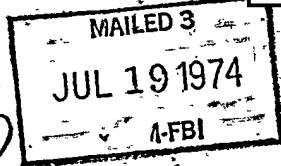
In view of the impending trial, this matter should receive expeditious attention to allow the Special Prosecutor ample time to interview Miss  prior to trial.

1- WFO (56-311)(enc)

NOTE: Above advice from  was received by SA , 7/19/74.

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
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Plan. & Eval. \_\_\_\_\_  
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Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

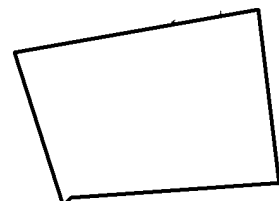
AGB/abm(8)



MAIL ROOM ☒

TELETYPE UNIT ☐

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*183*  
*cm*



WATERGATE SPECIAL PROSECUTION FORCE

DEPARTMENT OF JUSTICE

# Memorandum

TO : Clarence M. Kelley,  
Director  
Federal Bureau of Investigation  
Accounting and Fraud Section

FROM : Henry S. Ruth  
Deputy Special Prosecutor  
Watergate Special Prosecution Force

DATE: July 15, 1974

SUBJECT: Campaign Contributions/George M. Steinbrenner, III

Assoc. Dir.	
Dep.-A.D.-Adm.	
Dep.-A.D.-Inv.	
Asst. Dir.:	
Adm. Serv.	
Comp. Syst.	
Ext. Affairs	
Files & Com.	
Gen. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Plan. & Eval.	
Spec. Inv.	
Training	
Legal Coun.	
Telephone Rm.	
Director Sec'y	

In the course of our preparation for trial in United States v. George M. Steinbrenner, III, and The American Shipbuilding Company, CR 74-174 LJC (N.D.O.), it has become necessary for us to interview Miss [redacted]. All that we know about Miss [redacted] is that she lived in Cleveland in September, 1973. We believe that Miss [redacted] has since married, that her married name is [redacted] (and probably Mrs. [redacted]), that Mr. [redacted] is probably a travelling salesman, and that they probably live in Indiana. We request the Bureau to locate Mrs. [redacted] so that we can interview her.

If any clarification of this investigative request is required, please contact [redacted], Assistant Special Prosecutor.

b6  
b7c

PROCESSED  
JUL 18 1974

#1514

EX-111

auto to SACV 3mc2  
IP  
cc WFO (mc)  
HOB/amm  
7/19/74

REC-3

56-4737-41

JUL 24 1974

608

md  
RR

Airtel

7/19/74

To: SACs, Cleveland - Enc.  
Jacksonville - Enc.  
Los Angeles - Enc.

From: Director, FBI *56-4737-42*

REC-3

ELSUR  
GEORGE M. STEINBRENNER, III, ET AL.

BUDED: 7/25/74 *EX-117*

Enclosed for your office is one copy of Department of Justice memorandum dated 7/15/74 requesting electronic surveillance information in accordance with specific questions set forth in the enclosed memorandum.

Conduct check in order to answer specific questions in enclosed memorandum and Criminal Division memorandum, 4/16/69, furnished field 5/2/69. Key answers to correspond with questions A through F. If results reveal positive information, insure microphone sources monitoring individuals involved are identified to the Bureau.

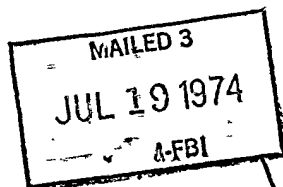
Cleveland check all subjects, noting surreptitious entry inquiry and two firms designated on Page 1.

Jacksonville and Los Angeles check Steinbrenner.

Sutel your response to reach Bureau by COB 7/25/74. If positive, submit logs and pertinent documents by airtel.

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

JEM:ds1 *ds1*  
(8)



Return to Mr.

Room 1535. b6  
b7c

84 AUG 29 1974

TELETYPE UNIT ☐

## WATERGATE SPECIAL PROSECUTION FORCE

DEPARTMENT OF JUSTICE

*Memorandum*

TO : Clarence M. Kelley  
 Director  
 Federal Bureau of Investigation  
 Accounting and Fraud Section  
 ATTN: FROM : Henry S. Ruth, Jr.  
 Deputy Special Prosecutor  
 Watergate Special Prosecution Force  
 SUBJECT: Electronic Surveillance--United States v.  
George M. Steinbrenner, III, and The American  
Shipbuilding Company, CR 74-174 LJC (N.D.O.)

DATE: July 15,

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Int.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

George M. Steinbrenner, III, and The American Shipbuilding Company are defendants in United States v. George M. Steinbrenner, III, and The American Shipbuilding Company, CR 74-174 LJC (N.D.O.).

In accordance with representations made by the Government in its responses to pre-trial motions made by the defendants and in accordance with the provisions of 18 U.S.C. §3504, we request that you advise this office whether Steinbrenner has been overheard in the period September 1, 1970 until the present as a result of any electronic surveillance regardless of the basis for the surveillance. During the relevant period, Steinbrenner has resided in Ocala, Florida and Bay Village, Ohio. In addition we request that you report to us any instances of surreptitious entry into any premises owned, leased, or licensed by Steinbrenner or The American Shipbuilding Company, as well as any electronic surveillance directed at premises owned, leased or licensed by Steinbrenner or The American Shipbuilding Company. During the relevant period, The American Shipbuilding Company had offices at 400 Colorado Avenue, Lorain, Ohio and 1210 Investment Plaza, Cleveland, Ohio. The Kinsman Marine Transit Company, a subsidiary of The American Shipbuilding Company, has had offices at 1508 Rockefeller Building, Cleveland, Ohio.

We also request that you advise this office whether the following persons, who were employees or officers of The American Shipbuilding Company for all or part of the period September, 1970 through the present time, have been overheard during that period as a result of any electronic surveillance, and whether any premises owned, leased or licensed by them have been the subject of any surreptitious entry or electronic surveillance.

EXP. PROC.  
 JUL 18 1974  
 1/42

Airtel to CV,  
 JK, LA  
 7-19-74  
 JEM:dsi

REC-3

AUG 2 1974



(1) Robert E. Bartlome resides at 274 Sunrise Drive, Amherst, Ohio.

(2) [redacted]  
[redacted] Lorain, Ohio.

(3) [redacted]  
[redacted] Westlake, Ohio, in September, 1970, and subsequently moved to [redacted] Avon Lake, Ohio.

(4) [redacted] resides at [redacted]  
[redacted] N. Olmstead, Ohio.

(5) [redacted] resides at [redacted]  
[redacted] Westlake, Ohio.

(6) Robert Lyons Dibble resides at 27843 Detroit Road, Westlake, Ohio.

(7) [redacted] resides at [redacted]  
[redacted] Walton Hills, Ohio.

(8) Daniel A. Kissel resides at 37849 Lake Drive, Avon, Ohio.

(9) [redacted] resides at [redacted]  
[redacted] Lorain, Ohio.

(10) [redacted] resides at [redacted]  
[redacted], Shaker Heights, Ohio.

(11) Gordon Stafford resides at 20328 Park Lane, Rocky River, Ohio.

(12) [redacted] resides at [redacted]  
[redacted] Olmstead, Ohio.

We would appreciate your office conducting the search and having an appropriate official swear to an affidavit containing your findings as soon as possible.

b6  
b7c

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

JUL 22 1974

TELETYPE

NR003 JK CODE

11:03 PM NITEL JULY 22, 1974 GLB

TO DIRECTOR

FROM JACKSONVILLE (66-308)

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

EL SUR, GEORGE M. STEINBRENNER, III,  
ETAL. BUDED JULY 25, 1974.

RE BUREAU AIRTEL DATED JULY 19, 1974.

IN REGARD TO CRIMINAL DIVISION MEMO DATED APRIL 16, 1969,  
AS IT PERTAINS TO GEORGE M. STEINBRENNER, III, THE FOLLOWING  
IS SUBMITTED:

A. GEORGE M. STEINBRENNER, III, WAS NOT PRESENT AT OR  
A PARTICIPANT IN CONVERSATIONS OVERHARD IN ANY ELECTRONICS  
SURVEILLANCE BY THE FBI.

B. AN ELECTRONICS SURVEILLANCE WAS NOT CONDUCTED ON ANY  
PREMISES WHERE GEORGE M. STEINBRENNER WAS THE OWNER, LEASEE,  
OR LICENSEE.

C. NA.

D. NA.

E. NA.

F. NA.

END

84 AUG 16 1974

REC-3

56-4727-43

16 AUG 2 1974

b6  
b7c

TO: Special Investigative Division

FROM: ☐ Domestic Intelligence ☐ General Investigative ☒ Special Investigative

REQUEST FOR SEARCH OF SPECIAL INDICES

Date of request	Requesting Agent	b6 b7C
July 19, 1974		

Please complete following and return one copy to:

CI & OC	Division -	<input type="checkbox"/> Domestic Intelligence
Section		<input type="checkbox"/> General Investigative
		<input checked="" type="checkbox"/> Special Investigative

NAMES TO BE SEARCHED

KNOWN ALIASES

Results of Criminal and Security  
Special Indices Search  
(attach separate sheet, if necessary)

George M. Steinbrenner, III

5/4/62 b2

Robert E. Bartlome

N/R

N/R

N/R

N/R

N/R

Robert Lyons Dibble

b6  
b7C

N/R

N/R

Daniel A. Kissel

N/R

N/R

N/R

Searched by

Bufile 56-4737

Date July 19, 1974

b6  
b7C

cc: 62-318

Gordon Stafford

N/R



b6  
b7C

N/R

American Shipbuilding Company

N/R

TO: Special Investigative Division

FROM: ☒ ~~Domestic Intelligence~~ <sup>DIVISION</sup> ☐ General Investigative ☐ Special Investigative

## REQUEST FOR SEARCH OF SPECIAL INDICES

Date of request <u>7/22/74</u>	Requesting Agent <div style="border: 1px solid black; height: 20px; width: 300px;"></div>	b6 b7C
Please complete following and return one copy to:		

ORGANIZED CRIME & CRIMINAL INTELLIGENCE, Division - ☐ Domestic Intelligence  
Section ☐ General Investigative ☒ Special Investigative

Results of Criminal and Security  
Special Indices Search  
(attach separate sheet, if necessary)

## NAMES TO BE SEARCHED

## KNOWN ALIASES

GEORGE M. STEINBRENNER, III

ROBERT E. BARTLOME

N. R.

"

"

N. I.

N. R.

"

"

b6  
b7C

"

"

"

"

"

"

"

b6  
b7C

ROBERT LYONS AIBBLE

DANIEL A. KISSEL

GORDON STAFFORD

AMERICAN SHIPBUILDING COMPANY  
KINSMAN MARINE TRANSIT COMPANYSearched by 

Bufile \_\_\_\_\_

Date 7/22/74

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

JUL 23 1974

**TELETYPE**

Assoc. Dir.	_____
Dep. AD-Adm.	_____
Dep. AD-Inv.	_____
Asst. Dir.:	
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 013 LA CODE

612 PM NITEL JULY 23, 1974 LRS

TO DIRECTOR

FROM LOS ANGELES (94-430B) 1P

ELSUR GEORGE M. STEINBRENNER, III; ET AL, BUDED JULY 25,  
1974.

RE BUREAU AIRTEL, JULY 19, 1974.

A REVIEW OF THE ELSUR INDICES OF THE LOS ANGELES OFFICE  
REVEALED THAT GEORGE M. STEINBRENNER WAS NOT THE SUBJECT OF,  
NOR WAS HE A PARTICIPANT IN A CONVERSATION MONITORED BY THE  
LOS ANGELES OFFICE.

IT WAS ALSO DETERMINED THAT STEINBRENNER DID NOT HAVE  
A PROPRIETARY INTEREST IN ANY PREMISES ON WHICH AN ELSUR  
WAS INSTALLED.

LOS ANGELES GENERAL INDICES NEGATIVE RE STEINBRENNER.  
THEREFORE, QUESTIONS IN ATTACHMENT TO REFERENCED AIRTEL  
ARE NOT APPLICABLE.

END

EX-117

REC-3

56-4737-44  
16 AUG 2 1974

84 AUG 16 1974

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

JUL 23 1974

**TELETYPE**

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 012 CV CODE

8:30 PM NITEL 7/23/74 JSM

TO DIRECTOR

FROM CLEVELAND (66-5396) 1P

ELSUR, GEORGE M. STEINBRENNER, III, ET AL, BUDED: JULY 25,  
1974.

RE BUREAU AIRTEL OF JULY 19, 1974 AND ACCOMPANYING  
DEPARTMENTAL LETTER OF JULY 15, 1974.

CLEVELAND ELSUR INDICES NEGATIVE RE GEORGE STEINBRENNER  
III, THE PREMISES AND PLACES OF BUSINESS LISTED ON PAGE 1  
OF THE DEPARTMENTAL MEMO OF JULY 15, 1974 AND ALL TWELVE  
INDIVIDUALS LISTED ON PAGE 2 OF THAT MEMO. ITEMS A THROUGH  
F, DEPARTMENTAL MEMO OF APRIL 16, 1969, ARE THEREFORE NOT  
APPLICABLE.

END

GHS FBIHQ ACK FOR ONE CLR

**EX-113**

EC-3

66-4737-45

16 AUG 2 1974

1610CE

1610CE

84 AUG 16 1974

b6  
b7c

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

JUL 25 1974

TELETYPE

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 005 CV CODE

7:50PM NITEL 7/25/74 RJB

TO DIRECTOR

FROM CLEVELAND (66-5396) 2P

ELSUR, GEORGE M. STEINBRENNER, III, ET AL, BUDED:  
JULY 25, 1974.

RE BUREAU AIRTEL OF JULY 19, 1974, AND ACCOMPANYING  
DEPARTMENTAL LETTER OF JULY 15, 1974; AND CLEVELAND  
NITEL TO THE BUREAU, JULY 23, 1974.

CLEVELAND ELSUR INDICES NEGATIVE REGARDING ANY  
SURREPTITIOUS ENTRY INTO ANY PREMISES OWNED, LEASED,  
OR LICENSED BY GEORGE M. STEINBRENNER, III, OR THE  
AMERICAN SHIPBUILDING COMPANY, OR ANY OF THE PREMISES  
AND/OR PLACES OF BUSINESS LISTED ON PAGE 1 OF THE  
DEPARTMENTAL MEMORANDUM OF JULY 15, 1974.

NO RECORD OF ANY SURREPTITIOUS ENTRY EXISTS ALSO  
WITH REGARD TO ANY OF THE EMPLOYEES OR OFFICERS OF  
THE AMERICAN SHIPBUILDING COMPANY OR ANY PREMISES  
END PAGE ONE

REC-3

56-4737-46  
16 AUG 2 1974

84 AUG 16 1974



CV 66-5396

PAGE TWO

OWNED, LEASED, OR LICENSED BY ANY OF THE INDIVIDUALS  
LISTED ON PAGE 2 OF THE MEMORANDUM OF JULY 15, 1974.

ITEMS A THROUGH F, DEPARTMENTAL MEMORANDUM OF  
APRIL 16, 1969, ARE THEREFORE NOT APPLICABLE.

END.

HLD

LRF FBIHQ FOR THREE

Deputy Special Prosecutor  
Watergate Special Prosecution Force

July 26, 1974 <sup>b6</sup>  
<sup>b7C</sup>

Director, FBI

1 - Mr. Gebhardt

1 - Mr. [REDACTED]

1 - Mr. [REDACTED]

GEORGE M. STEINBRENNER, III  
AND OTHERS  
ELECTRONIC SURVEILLANCE

Reference is made to your memorandum dated July 15, 1974, requesting electronic surveillance information on the above-captioned individuals and corporations.

A review of appropriate Bureau records reveals that none of the individuals or corporations set forth in your memorandum was ever the subject of a surreptitious entry or the target of direct electronic surveillance coverage by the FBI. Further, this Bureau has never maintained any electronic surveillance on premises which were known to have been owned, leased, or licensed by these individuals or corporations, nor were any of their conversations ever monitored by an electronic device of the FBI.

Attached is an affidavit regarding the foregoing information.

It is suggested that other Federal investigative agencies be contacted to determine if they had coverage of the subjects of your inquiry.

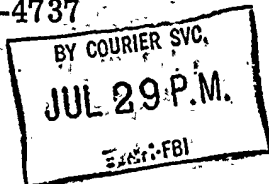
Enclosure

NOTE: The names of the above-captioned individuals and corporations were cleared with SA [REDACTED] of the Intelligence Division.

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

1 - Bufile 56-4737

JEM:jsj  
(7)



REC-3

AUG 2 1974

RETURN TO MR. [REDACTED] ROOM 1535.

TELETYPE UNIT ☐

REC COPY AND COPY OF ENCL FILED IN 62-318

A F F I D A V I T

I, [REDACTED], after having been duly sworn, do hereby depose and state the following:

I am a Special Agent of the Federal Bureau of Investigation and am currently assigned as a Supervisor at Federal Bureau of Investigation Headquarters in Washington, D. C.

I have made a careful and diligent search of the appropriate records of the Federal Bureau of Investigation and have determined that no one identifiable with the following individuals or corporations was ever the target of direct electronic surveillance coverage nor were any of their conversations ever monitored by the Federal Bureau of Investigation:

George M. Steinbrenner, III, of Ocala, Florida, and Bay Village, Ohio.

Robert E. Bartlome, of Amherst, Ohio.

[REDACTED] of Lorain, Ohio.

[REDACTED] of Westlake, Ohio, and Avon Lake, Ohio.

[REDACTED] of North Olmsted, Ohio.

[REDACTED] of Westlake, Ohio.

Robert Lyons Dibble, of Westlake, Ohio.

[REDACTED] of Walton Hills, Ohio.

56-4737-47

b6  
b7C

Daniel A. Kissel, of Avon, Ohio.

[redacted] of Lorain, Ohio.

[redacted] of Shaker Heights, Ohio.

Gordon Stafford, of Rocky River, Ohio.

[redacted] of North Olmsted, Ohio.

b6  
b7C

The American Shipbuilding Company, of Lorain, Ohio, and  
Cleveland, Ohio.

The Kinsman Shipbuilding Company, of Cleveland, Ohio.

I have also determined from this review that the Federal  
Bureau of Investigation did not maintain any electronic surveillance on  
premises which were known to have been owned, leased, or licensed by  
the above-named individuals or corporations and that no surreptitious  
entry was ever employed in connection with them.

[redacted]  
Special Agent Supervisor  
Federal Bureau of Investigation

SUBSCRIBED AND SWORN to me  
this 25<sup>th</sup> day of July, 1974

Margaret F. Devin  
NOTARY PUBLIC

My Commission Expires Dec. 14, 1978

F B I

Date: 7/25/74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI (56-4737)

FROM: SAC, CLEVELAND (56-83) (P)

RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION-  
WATERGATE UNIT)

OO: WFO

ReBuairtel, 7/19/74.

Enclosed herewith for the Bureau are four copies and for IP and WFO single copies each of an LHM re captioned matter, specifically concerning [redacted].

[redacted] CV indices negative re [redacted] and [redacted]  
or [redacted].

A review of CV file in this matter including newspaper clippings also does not reflect any persons by above names.

As set forth on enclosed LHM, which Bureau may wish to disseminate to Special Prosecution Force, Ohio Bell Telephone White Pages, CV [redacted] Ohio, reflects [redacted] residing at [redacted] CV, phone [redacted]. Additional credit info re [redacted] set forth in enclosed LHM.

In view of lack of identifying data for [redacted] or [redacted] it is not known if identical with each other.

2-Bureau (Enc. 4) ~~ENCLOSURE~~  
1-Indianapolis (Enc. 1) (INFO) (RM)  
1-WFO (Enc. 1) (INFO) (RM) (56-311)  
2-Cleveland  
MVH:jac  
(6)

15 JUL 29 1974

54 AUG 12 1974

Approved: \_\_\_\_\_ Sent \_\_\_\_\_ M Per \_\_\_\_\_  
Special Agent in Charge



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to  
File No.

Cleveland, Ohio  
July 25, 1974

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER, III

*ML:Sc*  
A review of Greater Cleveland, Ohio Area and Lorain, Ohio Area Street Directories did not disclose any listing for [redacted] or [redacted] *U.S.*

The records of the Cleveland, Ohio Police Department, the Cleveland Credit Bureau, and the Lorain Credit Bureau also do not list any entries on any such persons.

Inquiry through the Law Enforcement Automated Data System (LEADS), Bureau of Motor Vehicles for the State of Ohio, did not reflect any entry for driver's license registration or vehicle registration for [redacted]

[redacted] or [redacted]

The White Pages of the Cleveland Telephone Directory, Ohio Bell Telephone Company, include a listing for [redacted] [redacted] Cleveland, Ohio, telephone [redacted]

b6  
b7C

The records of the Credit Bureau of Cleveland reflect a record for one [redacted] current as of March, 1974, residing [redacted] Cleveland, employed as an accountant representative for the Hertz Corporation since November, 1972, SSAN [redacted].

Previous addresses for [redacted] with the Credit Bureau of Cleveland are listed as [redacted] Parkridge, Illinois, and [redacted] Arlington Heights, Illinois. [redacted] is listed as single as of March, 1974 in the credit bureau records.

56-4737-48

ENCLOSURE

RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER, III

Inquiry on July 25, 1974, with the Probate Court, Cuyahoga County Marriage License Bureau, Cleveland, Ohio, failed to locate any record of a marriage license for [redacted] and/or [redacted], January 1, 1974 to date. b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

F B I

Date: 8/1/74

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIRTEL \_\_\_\_\_  
(Priority)

TO: DIRECTOR, FBI (56-4737)  
FROM: SAC, CLEVELAND (56-83) (P)  
RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION-  
WATERGATE UNIT)  
OO: WFO

Re CV airtel to Bureau, 7/25/74.

The below-listed individuals were contacted at  
CV, Ohio, in an effort to further identify [redacted],  
26241 Lakeshore, CV:

[redacted]  
Hertz Corp.

b6  
b7c

[redacted]  
Apartment building complex at [redacted]  
Euclid, Ohio

Each of the above advised that to the best of their  
knowledge, [redacted] is single and [redacted] is  
not known to them as a female companion or associate of [redacted]

REC-32 56-4737-49

2-Bureau (RM)  
1-WFO (INFO) (RM)  
1-Indianapolis (INFO) (RM)  
2-Cleveland  
MVH:jac  
(6)

EX-107

6 AUG 5 1974

Approved: [Signature]  
57 AUG 1 1974  
Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_



CV 56-83

It is noted the above employment and residence were previously set forth in an LHM re [redacted] specifically advised that [redacted] resides in [redacted] and claimed it is not possible that [redacted] could have any female residing with him and also, it is unlikely that [redacted] is married due to their strict leasing policy.

b6  
b7c

Above for information of Bureau for possible discussion with Special Prosecution Force.

UNITED STATES GOVERNMENT

# Memorandum

TO : Director, FBI (56-4737)

DATE: 8/5/74

FROM : SAC, Indianapolis (56-281) (RUC)

SUBJECT: *J. M.*  
AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION  
WATERGATE UNIT)

OO - WFO

ReBuairtel to CV, 7/19/74.

Enclosed to Bureau are two copies of an LHM.

Enclosed for Cleveland and Washington Field is  
one copy each of above LHM.

- 2 - Bureau (Enc. 2)
- 1 - Cleveland (56-83) (Enc. 1)
- 1 - Washington Field (Enc. 1)
- 1 - Indianapolis

RJS:cdl/fjm  
(5)

*2 Xerox Copies LHM made 8/14/74*  
**REC 27**

*2 SPF (Att. 0-144) 8/14/74*  
*Trickler 8/15/74*  
*Abi 8/15/74*

**56-4737-50**

**14 AUG 8 1974**

**EXP. PROC.**  
**#18**

b6  
b7C





UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Indianapolis, Indiana  
August 5, 1974

In Reply, Please Refer to  
File No.

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER

On July 23, 1974 and July 24, 1974, all telephone directories in all Resident Agencies in the Indianapolis Division were checked for the name [redacted]

[redacted] and [redacted] with negative results. *Ind*

All police agency record bureau checks for these cities also proved negative. A review of the credit bureaus for these cities also proved negative.

On July 23, 1974, [redacted] Department of Motor Vehicles, Indianapolis, Indiana, advised she could find no driver's license issued to an [redacted] and that the only record she could find was for an [redacted] [redacted] Jeffersonville, Indiana. Davis advised she could find no driver's license for a [redacted]

b6  
b7C

On July 23, 1974, [redacted] [redacted] Jeffersonville, Indiana, was contacted, and she advised she has never heard of [redacted] or [redacted].

On July 23, 1974, Mrs. [redacted] Bureau of Vital Statistics, Indianapolis, Indiana, was contacted and a review of their marriage records from 1969 - present were negative regarding [redacted].

All major Resident Agency city directories were checked with negative results concerning the whereabouts of [redacted] or [redacted].

- 1\* -

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

56-4737-50

ENCLOSURE

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

NR 004 CV CODE

3:55 PM NITEL AUGUST 24, 1974 PGC

TO DIRECTOR (56-4737)

WFO

FROM CLEVELAND (56-83) (P) 2P

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,  
ELECTION LAWS, (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT),  
OO: WFO.

ON AUGUST 23, 1974, GEORGE M. STEINBRENNER APPEARED  
BEFORE U.S. DISTRICT COURT JUDGE LEROY J. CONTIE, JR.,  
CLEVELAND, OHIO AND PLED GUILTY TO A CONSPIRACY COUNT, A  
FELONY, WHICH OUTLINED THE SCHEME STEINBRENNER AND COMPANY  
OFFICIALS HAD DEVISED TO PAY EMPLOYEES PHONY BONUSES WHICH  
WOULD BE PASSED ON AS CAMPAIGN CONTRIBUTIONS. STEINBRENNER  
ALSO PLED GUILTY TO A MISDEMEANOR CHARGE THAT HE DEVISED A  
FALSE AND MISLEADING EXPLANATION FOR \$25,000 WORTH OF  
CONTRIBUTIONS AMERICAN SHIP BUILDING COMPANY ILLEGALLY GAVE  
TO VARIOUS CAMPAIGNS AND THAT HE INTIMIDATED EMPLOYEES TO

END PAGE ONE

REC-31

56-4737-51

18 AUG 27 1974

EX-114

58 AUG 28 1974

CV 56-83

PAGE TWO

GIVE THIS EXPLANATION TO THE FBI AND THE GRAND JURY.

GUILTY PLEAS WERE ALSO ENTERED ON BEHALF OF THE AMERICAN  
SHIP BUILDING COMPANY TO A CHARGE THAT COMPANY OFFICIALS  
CONSPIRED WITH STEINBRENNER AND TO AN INDIVIDUAL CHARGE  
OF MAKING ILLEGAL CORPORATE CAMPAIGN CONTRIBUTIONS.

U.S. DISTRICT COURT JUDGE DELAYED SENTENCING AT THIS  
TIME.

CLEVELAND WILL FOLLOW AND REPORT SENTENCING.

END

FBIHQ REC'D TWO KLJ CLR

RECEIVED  
FEB 14 1964  
FBIHQ

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

AUG 30 1974

TELETYPE

NR 005 CV CODE

3:34PM URGENT 8/30/74 PDS

TO DIRECTOR (56-4737)

WFO

FROM CLEVELAND (56-83) (P) 1P

AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER,

ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT),

OO: WFO.

RE CLEVELAND NITEL AUGUST 24, 1974.

ON AUGUST 30, 1974, USA, FREDERICK M. COLEMAN, CLEVELAND, OHIO, ADVISED THAT U.S. DISTRICT COURT JUDGE LEROY J. CONTIE, JR., CLEVELAND, OHIO, SENTENCED SUBJECT GEORGE M. STEINBRENNER AND THE AMERICAN SHIP BUILDING COMPANY, ON AUGUST 30, 1974, AS FOLLOWS: GEORGE M. STEINBRENNER RECEIVED \$10,000 FINE REGARDING VIOLATION TITLE 18, SECTION 371, USC, AND RECEIVED \$5,000 FINE FOR VIOLATION TITLE 18, SECTION 3, USC, A MISDEMEANOR, REGARDING ACCESSORY AFTER THE FACT; AMERICAN SHIP BUILDING COMPANY RECEIVED FINE OF \$10,000 FOR VIOLATION TITLE 18, SECTION 371, USC AND RECEIVED \$10,000 FINE FOR VIOLATION TITLE 18, SECTION 610, USC.

CLEVELAND WILL SUREP.

ENDTTTT

PAW FBIHQ

CLR

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

REC-40

EX-103

3 SEP 4 1974

51 SEP 09 1974

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 44

Page 25 ~ b3, b6, b7C  
Page 26 ~ b3, b6, b7C  
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Page 28 ~ b3, b6, b7C  
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Page 171 ~ b3, b6, b7C  
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Page 173 ~ b3, b6, b7C  
Page 178 ~ b3, b6, b7C  
Page 179 ~ b3, b6, b7C  
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Page 181 ~ b3, b6, b7C





## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WASHINGTON FIELD</b>	DATE <b>9/6/74</b>	INVESTIGATIVE PERIOD <b>8/23-30/74</b>
TITLE OF CASE <b>AMERICAN SHIP BUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	b6 b7C TYPED BY <b>mak</b>
		CHARACTER OF CASE  <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

References

Cleveland teletypes 8/24/74 and 8/30/74.

- RUC -

ENCLOSURETO BUREAU

One Disposition Sheet.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT- TALS	CASE HAS BEEN:  PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES		
<b>3-CV</b>		<b>\$35,000-CV</b>				
APPROVED <i>[Signature]</i> COPIES MADE: ④ - Bureau (56-4737) (Encl. 1) 2 - WFO (56-311) 1 - Cleveland (56-83)					SPECIAL AGENT IN CHARGE	
					DO NOT WRITE IN SPACES BELOW	
					56-4737-53	
					22 SEP 11 1974	
					b6 b7C	
Dissemination Record of Attached Report					Notations	
Agency	No Dissemination				DATA PROC <i>[Signature]</i>	
Request Recd.						
Date Fwd.	2 cc Destroyed					
How Fwd.	1 cc Tripler 224 9/11/74					
By						

- A\* -  
COVER PAGEDISPOSITION SHEET DETACHED  
AND HANDLED SEPARATELY

EXP. PROC.

REC-60  
EX-103

**UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION**

Copy to:

Report of:

SA [REDACTED]

b6  
b7C

Office:

CLEVELAND

Date:

9/6/74

Field Office File #: 56-83

Bureau File #: 56-4737

Title: **AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER**

Character: **ELECTION LAWS**

Synopsis: On 8/30/74, GEORGE M. STEINBRENNER and American Ship Building Company sentenced in U.S. District Court, Cleveland, Ohio, as follows: STEINBRENNER received \$10,000 fine regarding violation Title 18, Section 371, USC and received \$5,000 fine for violation Title 18, Section 3, USC; American Ship Building Company received fine of \$10,000 for violation Title 18, Section 371, USC and received \$10,000 fine for violation Title 18, Section 610, USC.

- RUC -

**DETAILS: AT CLEVELAND, OHIO**

On August 30, 1974, United States Attorney (USA) FREDERICK M. COLEMAN, Cleveland, Ohio, advised that United States District Court Judge, LEROY J. CONTIE, JR., Cleveland, Ohio, sentenced subject GEORGE M. STEINBRENNER and the American Ship Building Company, on August 30, 1974, as follows, as a result of guilty pleas on August 23, 1974:

GEORGE M. STEINBRENNER received \$10,000 fine regarding violation of Title 18, Section 371, U.S. Code based upon an indictment returned on April 5, 1974 at Cleveland, Ohio. STEINBRENNER also received a \$5,000 fine for violation of Title 18, Section 3, U.S. Code, a misdemeanor, regarding Accessory After the Fact, based upon an Information filed on August 23, 1974 in U.S. District Court, Cleveland.

CV 56-83

The American Ship Building Company received a fine of \$10,000 for violation of Title 18, Section 371, U.S. Code and received a \$10,000 fine for violation of Title 18, Section 610, U.S. Code, both based upon an indictment returned April 5, 1974 at Cleveland, Ohio. The remaining counts of the indictment were dismissed.

FBI

Transmit in \_\_\_\_\_ Via Airtel \_\_\_\_\_  
(Type in plaintext or code) (Precedence)TO: SACs, **Cleveland**5/9/75  
(Date)

From: Director, FBI

**PERSONAL ATTENTION**1 - Mr. 1 - Mr. 

(Office of Legal Counsel)

**ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER, III, IN 1970, 1972,  
TO CONGRESSMAN CHARLES A. MOSHER AND  
FORMER CONGRESSMAN WILLIAM E. MINSHALL;  
POSSIBLE VIOLATIONS OF TITLE 2, UNITED  
STATES CODE, SECTIONS 434(b), 440 AND  
TITLE 18, UNITED STATES CODE, SECTION 603  
EL**

**OO: CLEVELAND**

Enclosed are two copies of a self-explanatory Departmental letter dated  
**5/5/75.**

Complete the requested investigation in accordance with the provisions of  
Section 35, Volume II, Manual of Instructions, and surep within **15**  
days of the receipt of this communication.

State in the first paragraph of the details of your report that it contains the results  
of a ☒ limited investigation and underscore the word ☒ limited  
☐ preliminary ☐ preliminary

Advise ☒ all persons interviewed  
☐ appropriate officials at the outset that this investigation is being  
conducted at the specific request of the U. S. Department of Justice.

Remarks: **FBIHQ will notify Congressman Mosher's Office that  
investigation is being instituted in this matter. WFO will  
interview Congressman Mosher and his administrative assistant,  
 as requested by the Criminal Division.**

**Cleveland and WFO are referred to files 56-83 and  
56-311 respectively in the matter entitled "American Ship-  
building Company, Election Laws (Accounting and Fraud Section-  
Enc. (2))"**

(Do not type below this line.)

SEE NOTE PAGE THREE . . . .

JTB:kfk  
(7)MAIL ROOM ☐TELETYPE UNIT ☐b6  
b7C

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b6  
b7C

MAILED 23  
MAY 09 1975  
FBI

See H index

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70 MAY 20 1975

Airtel to SACs, CV, WFO  
RE: ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER

Watergate Unit)" in which investigation was conducted regarding Steinbrenner's use of corporate funds for political purposes. The report of SA [redacted], 4/8/74, at Cleveland, reported on the 15-count indictment returned 4/5/74 against Steinbrenner and the American Shipbuilding Company. In connection with the requested investigation, enclosed herewith, you are specifically referred to overt acts numbered 4, 5, 11 and 16, and in Count Number II, overtact Number 2; which makes reference to contributions to Mosher, a Senate/House majority dinner and the issuance of a \$5,000 bonus to Steinbrenner employees, including two employees who issued personal checks to Mosher. It appears that some of the contributions referred to in the enclosed request would logically include those contributions already known as set out in the above-mentioned indictment. b6 b7C

The Department is aware of the fact that the Statute of Limitations has already run on some of the possible violations, but states there is always the possibility of establishing a continuing conspiracy.

Both offices note the Criminal Division has advised the activities of both Congressmen and their administrative assistants could make them liable to prosecution, and they, therefore, should be fully advised of their constitutional rights prior to being interviewed concerning these matters.

Cleveland prior to interview of the administrative assistant to former Congressman Minshall, you should note the reference to him in your file 67-6903.

Cleveland Office note that with respect to tracing contributions to Minshall, [redacted] has previously admitted to your office (Cleveland file 139-165) in interview on 6/6/73 that he had accepted \$25,000 in cash from one of the dairy lobbyists while employed with the CREP. The possibility of [redacted] being involved in handling some of Steinbrenner's contributions should not be overlooked. b6 b7C

Airtel to SACs, Cleveland  
WFO

RE: ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER,  
III, IN 1970, 1972

NOTE: See memorandum, [redacted] to Mr. Gebhardt, same  
caption, dated 5/9/75, JJB:kfk.

b6  
b7c

however, STEINBRENNER is not a constituent of MOSHER. MOSHER stated that he is also familiar with A. DAVID BAUMHART. MOSHER stated that BAUMHART is a former Congressman from the 13th District of Ohio and was the representative of this district just prior to MOSHER. MOSHER further stated that [redacted] is currently a District Representative for him in the 13th District of Ohio, and was [redacted] for the "Mosher for Congress Committee" in his election campaigns in 1970 and 1972. Congressman MOSHER stated that [redacted] maintains an office at Lorain, Ohio.

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Congressman MOSHER stated that with regard to his 1970 election campaign, he wanted to state emphatically that he at no time received any of the checks which were submitted to his committee nor had he any knowledge or information at the time, prior to his election in 1970, that any of these checks which had gone to his committee were from any corporation or employee of the corporation. Congressman MOSHER stated that it was his understanding and belief that the checks or monies received by [redacted], at the "Mosher for Congress Committee" were either personal checks or personal funds of these individuals. Congressman MOSHER stated that the only time that he was aware or had knowledge who these individuals were that submitted personal checks was from a report which [redacted] prepared and submitted to the Secretary of State for Ohio setting forth the total contributions received. Congressman MOSHER stated that after his election in the Fall of 1970, he saw a copy of this report which [redacted] had prepared and submitted to the State of Ohio, and it was at this time, for the first time, that he recognized one name, that of GORDON STAFFORD, as being an employee of the American Shipbuilding Company in Ohio. Congressman MOSHER stated that he believes that STAFFORD was the president of the American Shipbuilding Company. Congressman MOSHER further stated that none of the other names were recognized by him as employees or associates of the American Shipbuilding Company. Congressman MOSHER stated that the only information on the report was the name and address of the contributor. Congressman MOSHER further stated that although it was later established that the checks came from corporate funds, he at no time prior to the election in the Fall of 1970 knew this to be a fact. Congressman MOSHER stated that he received considerable criticism from the press and his opponents in the area regarding this.

Congressman MOSHER at first estimated his total contributions for his election campaign in the Fall of 1970 as \$15,000 to \$16,000. Congressman MOSHER later reviewed a copy of a report to the Secretary of State of Ohio which indicated that the total contributions received for his election campaign in 1970 was \$17,374.73.

Congressman MOSHER stated that he did not receive any of these checks or monies for his campaign in 1970. Congressman MOSHER stated that [redacted] probably received the checks at his office in Lorain, Ohio, but Congressman MOSHER does not know this for a fact and referred such questions to be directed to [redacted].

Congressman MOSHER stated that BAUMHART is currently associated with a public relations firm in Ohio and his principal client was American Shipbuilding Company. Congressman MOSHER stated that he had no knowledge or information as to whether or not BAUMHART personally delivered any of the checks or monies to [redacted] during his election campaign in 1970. Congressman MOSHER referred such questions to be directed to [redacted].

Congressman MOSHER stated that upon reflection it did concern him after the election of 1970 that a large sum or part of the total contributions received in his election campaign of 1970 apparently came from the American Shipbuilding Company. Congressman MOSHER stated, however, that at the time and during his campaign of 1970 he did not know this to be a fact nor had he any information or knowledge that this was the case.

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Congressman MOSHER stated that as far as any dates, amounts or details of the checks and monies received in his election campaign in the Fall of 1970, such information would have to come from his [redacted] for "Mosher for Congress Committee". Congressman MOSHER stated that any information he furnished regarding the details and specifics would be hearsay information and it would be best obtained from [redacted].

Congressman MOSHER stated that [redacted] was again his [redacted] for "Mosher for Congress Committee" in his election campaign of 1972. Congressman MOSHER stated that his opponent was making numerous insinuations and references



to the large sum of money that came from the American Shipbuilding Company during his election campaign of 1970. Congressman MOSHER stated that, therefore, he was very much concerned about this in his campaign of 1972.

Congressman MOSHER stated that to the best of his recollection, the following took place: In the Summer of 1972, date and exact location unknown at this time, BAUMHART told MOSHER that STEINBRENNER wanted to contribute substantially to MOSHER's campaign in 1972. Congressman MOSHER stated that he indicated that he had accepted checks, personal checks in 1970 in good faith and had no idea that they were corporate funds. Congressman MOSHER believes he told this to BAUMHART and told him that he did not want any part of corporate funds. In addition Congressman MOSHER told [ ] not to accept any monies from BAUMHART as they would probably be corporate funds. Congressman MOSHER stated, however, that he was unaware that BAUMHART had apparently gone to see [ ] at his Lorain office in Lorain, Ohio, and had given [ ] \$1,000 in cash. Congressman MOSHER stated that he was not present nor had he knowledge of this at the time. Congressman MOSHER stated that when he learned that BAUMHART had given \$1,000 in cash to [ ] the implication that MOSHER received from [ ] was that this money was not to be reported as contributions. However, Congressman MOSHER stated that he at no time had any information or knowledge that the money was corporate funds. Congressman MOSHER further stated that he had had no contact with STEINBRENNER during this period just prior to his election in 1972. Congressman MOSHER stated that he later learned that an additional \$500 had been given to [ ] by BAUMHART and this constituted a personal contribution from Mr. and Mrs. A. DAVID BAUMHART to the election campaign for MOSHER in 1972. Congressman MOSHER stated that to the best of his knowledge this money of \$500 was accepted as a personal contribution and was reported as such in his election campaign for 1972.

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Congressman MOSHER stated that there were additional monies proffered to [ ] by BAUMHART and MOSHER believes that there was a second \$1,000 figure, a third \$1,000 and then a \$500 figure in cash. Congressman MOSHER stated that he believes these last three figures were received by [ ] subsequent to his election in 1972 and extended into March or April of 1973. Congressman MOSHER stated that as best he could recollect at this time the final figure

was handed to [ ] from BAUMHART at a picnic in Ohio. However, Congressman MOSHER stated that he does not know the dates or places involved in these transactions between BAUMHART and [ ].

Congressman MOSHER stated that BAUMHART insisted that the money was not corporate funds but were the personal funds of STEINBRENNER. Congressman MOSHER stated that [ ] did not mingle this money with any other contributions or funds and repaid the exact cash given to him by BAUMHART. Congressman MOSHER stated that the \$500 was accepted as a personal contribution from Mr. and Mrs. BAUMHART. Congressman MOSHER stated that at no time did he believe that these funds were corporate funds, but in view of the criticism that he had received in his previous election of 1970, he was most concerned about this money and wanted it returned. Congressman MOSHER stated that he believes [ ] tried to schedule an appointment with STEINBRENNER during his several trips back to Ohio, but they met with negative results. Congressman MOSHER stated that upon reflection he wished he had made more serious efforts in making an arrangement with STEINBRENNER to return the money.

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Congressman MOSHER stated that on September 15, 1973, [ ] went to STEINBRENNER's office in Ohio and returned \$3,500 in cash, "precisely as it was received." Congressman MOSHER stated that he believes a statement was made by STEINBRENNER that it was his personal funds and that he was going out to purchase an automobile with the money. Congressman MOSHER stated that, in fact, he believes STEINBRENNER did purchase an automobile at this time.

Congressman MOSHER stated that it was his impression that \$1,500 was received from BAUMHART prior to his election in 1972, and \$2,500 was received after the election. Congressman MOSHER stated that again it would be best to direct these questions to [ ] as he would have firsthand information and knowledge regarding same. Congressman MOSHER further stated that information regarding the report to the Secretary of State for Ohio from the "Mosher for Congress Committee" should further be obtained from [ ] as he prepared and submitted same for his election in November, 1970.

Congressman MOSHER stated that he has no information or knowledge regarding any dealings, transactions or contacts between BAUMHART and former Congressman WILLIAM E. MINSHALL.

Congressman MOSHER stated that during his election campaign in 1970 other than the name GORDON STAFFORD, he had no information or knowledge that any checks received by his committee were from employees of the American Shipbuilding Company. Congressman MOSHER stated that he later learned that several individuals that contributed with personal checks to his committee were, in fact, employees of the company through newspaper reporting and court proceedings. Congressman MOSHER reviewed a copy of "Statement of Receipt, Expenditures, Etc. of Candidates or Committees" covering his election of November, 1970, and stated that there were indications that checks were received from ROBERT E. BARTLOME, [redacted] and ROBERT L. DIBBLE.

Congressman MOSHER again stated that these contributions were made with personal checks; were reported as individual contributions and receipts were given for same. Congressman MOSHER stated that they were reported as such and there was no reason at the time to believe otherwise. Congressman MOSHER stated that after the elections he did review a copy of this statement or report but the only indication thereon were the names and the addresses for these individuals. Congressman MOSHER stated that since this report or statement was prepared by [redacted] specific questions regarding same should be directed to him.

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Congressman MOSHER stated that he had no information or knowledge at the time contributions were made that GEORGE H. STEINBRENNER, III, had authorized any bonuses to be paid to individuals or employees of his company, American Shipbuilding Company, and in turn this money be contributed to his committee. Congressman MOSHER further stated that he had no information or knowledge at any time that STEINBRENNER had authorized contributions from corporate funds to be made to his committee.

Congressman MOSHER stated that he and his wife were guests of STEINBRENNER at a fund-raising dinner some time in February, 1972. Congressman MOSHER stated that he could not recall specifically this affair, but believes it was a \$100 or \$500 a plate dinner and STEINBRENNER had

WFO 56-498

7

reserved two or three tables and invited several other guests in addition to Mr. and Mrs. MOSHER.

Congressman MOSHER advised that he could furnish no further information regarding this matter and expressed his continued desire to cooperate in every way in clearing this matter up as he was most concerned about it. Congressman MOSHER stated that he had to answer a roll call on the floor of the Congress and was pressed for time, consequently, a signed statement was not obtained.

UNITED STATES GOVERNMENT

# Memorandum

TO : Director, Federal Bureau  
of Investigation

DATE: May 5 1975

FROM: *JCK* John C. Keeney  
Acting Assistant Attorney General  
Criminal Division

JCK:WTB:RAH:sdg  
72-017-57

SUBJECT: Possible violations of Title 2, United States Code, Sections  
434(b), 440, and Title 18, United States Code, Section 603

*157*  
This Division has received information from the Special Prosecutor and George M. Steinbrenner, III, Chairman of the Board of American Shipbuilding Company, that Steinbrenner made substantial cash contributions to Congressman Charles A. Mosher and former Congressman William E. Minshall during the 1970 and 1972 election campaigns. A review of the reports filed by Congressman Mosher, former Congressman Minshall and their respective campaign committees indicates that no contributions were reported received from George M. Steinbrenner, III.

According to our information, a former Congressman, A. David Baumhart delivered for Steinbrenner a total of \$5,000 cash, in several trips during the fall of 1970, to Congressman Mosher's administrative assistant and [redacted] in Mosher's Lorrain, Ohio office. It is further alleged that Baumhart delivered for Steinbrenner another \$5,000 during this same time period in various trips to former Congressman Minshall's administrative assistant, Paul Brokaw, in Minshall's Cleveland office.

The same procedure was followed in the fall of 1972, except that the total amount delivered to [redacted] in 1972 was \$4,000, and that Steinbrenner personally delivered approximately \$1,000 of the \$5,000 total to Brokaw in a Cleveland restaurant. During the fall of 1973, Congressman Mosher and [redacted] returned \$3,500 to Steinbrenner in Steinbrenner's Lorrain office.

It is requested that the Bureau interview Congressman Mosher, former Congressman Minshall, [redacted] and Paul Brokaw to determine the complete circumstances of the solicitation and receipt of the 1970 and 1972 contributions. The Bureau is also requested to determine the dates and places of the delivery of contributions, including the purpose for which the offices in which the receipt of contributions occurred, were used. It will be necessary to determine the



5010-110

*Memo*  
[redacted]

*Wrote to SACs, CU & WFO  
5/9/75  
JTB: [signature]*

*5/9/75  
JTB: Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan*

EXP. PROC.  
40 MAY 6 1975

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- 2 -

there is a discrepancy of \$500 between the 1972 contribution of \$4,000 to Congressman Mosher and the return of \$3,500 to Steinbrenner in 1973, and the date thereof. Indications are that \$500 was attributed by [redacted] as a contribution from A. David Baumhart.

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We have no addresses for former Congressman Minshall or Paul Brokaw. They may be in the Cleveland area. [redacted] address can be obtained from Congressman Mosher.

UNITED STATES GOVERNMENT

# Memorandum

TO : Mr. Gebhardt

DATE: May 9, 1975

FROM :

1 - Mr. Gebhardt

1 - Mr. [REDACTED]

1 - Mr. [REDACTED]

1 - Legal Counsel  
Division

(Attn: Mr. [REDACTED])  
1 - Administrative  
Division

1 - Mr. [REDACTED]  
(Watergate Unit)

SUBJECT: ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER, III, IN 1970,  
1972, TO CONGRESSMAN CHARLES A.  
MOSHER AND FORMER CONGRESSMAN WILLIAM E.  
MINSHALL; POSSIBLE VIOLATIONS OF  
TITLE 2, UNITED STATES CODE, SECTIONS 1  
434(b), 440 AND TITLE 18, UNITED  
STATES CODE, SECTION 603  
ELECTION LAWS  
OO: CLEVELAND

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

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By memorandum 5/5/75, the Criminal Division of the Department has requested interviews with Congressman Charles A. Mosher (R. - Ohio), former Congressman William E. Minshall (R. - Ohio) and their respective administrative assistants [REDACTED] and Paul Brokaw. The request is based upon information from the Special Prosecutor and George M. Steinbrenner, III, Chairman of the Board, American Shipbuilding Company, that Steinbrenner made substantial cash contributions to Mosher and Minshall during 1970 and 1972 election campaigns. Reports filed by these candidates and their committees failed to report any contributions from Steinbrenner.

In the matter entitled "American Shipbuilding Company; George M. Steinbrenner, Election Laws (Accounting and Fraud Section - Watergate Unit)" (Bufile 56-4737) investigation was conducted of Steinbrenner and company resulting in their being convicted on 8/30/74 for violating Election Laws statutes prohibiting use of corporate funds as a contribution and for conspiracy. Steinbrenner's conviction was a result of a 15-count indictment returned 4/5/74. Among the overt acts in the indictment, several pertain to contributions to the Mosher for Congress Committee in 1970.

JJB:kfk  
(7)

REC-19

T-110

56-4737-55  
MAY 19 1975  
CONTINUED OVER

Enclosure

MAY 27 1975

Memorandum to Mr. Gebhardt

RE: ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER, III, IN 1970, 1972, TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL;

The Criminal Division seeks to determine complete circumstances of the solicitation and receipt of contributions by Mosher and Minshall in 1970 and 1972 as possible violations of Title 2, Section 434(b), which requires detailed reporting of receipts and expenditures by political committees and candidates; Section 440, which prohibits giving or receiving of campaign contributions in the name of another person; and Title 18, Section 603, which prohibits solicitation or receipt of any contribution by a Congressional candidate in any room or building occupied in discharge of official duties. Steinbrenner has alleged cash was delivered on several occasions in 1970 and 1972 to the Ohio offices of each of these two candidates.

On 5/8/75 it was brought to the attention of Departmental Attorney [redacted] that Title 2 violations have a 3-year Statute of Limitations, and that some of the alleged offenses are no longer prosecutable; whereas the Title 18 violations have a 5-year limitation. [redacted] stated the Department would take cognizance the statute has run on some of the possible violations and stated that a conspiracy might be developed which would extend the running of the statute. He also concurred that Mosher, Minshall and their administrative assistants should be advised of their rights prior to interview as they are all potential defendants.

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Bufile 67-627808 reflects that Paul E. Brokaw, administrative assistant to former Congressman Minshall, is the father of clerical employee [redacted] clerical employee of our Cleveland Office.

\* SENT 5/9/75 REPLY

ACTION: 1. Attached is an airtel ordering the requested investigation. The airtel directs attention to the prior investigation mentioned in this memorandum. Cleveland Office is being directed to note the reference to Minshall's administrative assistant in their clerical employee's personnel file.

2. The Legislative Matters Section, Legal Counsel Division, is requested to notify Congressman Mosher's Office this investigation being conducted. If the Congressman's Office has any questions concerning the matter, they may get in touch with the Chief of the Fraud Section, Criminal Division of the Department of Justice.

- 2 -

MB  
EJm  
5/15/75 Rep Mosher advised RTT

JBK/pur

YK  
dmc  
WAS



F B I

Date:

5/16/75

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)

Via AIRTEL

(Priority)

TO: DIRECTOR, FBI  
FROM: SAC, WFO (56-498) (P)

ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER, III,  
IN 1970, 1972, TO CONGRESSMAN  
CHARLES A. MOSHER AND FORMER  
CONGRESSMAN WILLIAM E. MINSHALL;  
POSSIBLE VIOLATIONS OF TITLE 2, UNITED  
STATES CODE, SECTION 434(b), 440 AND  
TITLE 18, UNITED STATES CODE, SECTION 603  
EL  
(OO:CV)

Re Bureau airtel dated 5/9/75.

On 5/15/75, [redacted]  
Congressman CHARLES A. MOSHER's Office, United States House  
of Representatives, Rayburn Building, Washington, D. C. (WDC),  
advised that [redacted] is currently acting as District  
Representative for Congressman MOSHER in 13th District of Ohio.  
[redacted] stated that [redacted] can be contacted at the following  
office at 517 East 28th Street, Lorain, Ohio 44055 (telephone  
number AC 216-244-1572). [redacted] advised that Congressman MOSHER  
will be available for interview at 3:30 p.m., EDT on 5/20/75.

LEADSCLEVELAND DIVISION

AT LORAIN, OHIO. Will contact [redacted] at  
517 East 28th Street, Lorain, Ohio, and interview in accordance  
with referenced communication.

②-Bureau  
2-Cleveland  
1-WFO

JEK:cad  
(3)

REC-6  
CH 45  
MCT-10

MAY 16 1975

Approved: \_\_\_\_\_

Sent \_\_\_\_\_ M Per \_\_\_\_\_

Special Agent in Charge

MAY 29 1975

U.S. Government Printing Office: 1972-455-574



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office:

b6  
b7c

Washington, D. C.

Date:

5/27/75

Field Office File #:

56-498

Bureau File #:

Title:

ALLEGED POLITICAL CONTRIBUTION BY GEORGE M. STEINBRENNER, III, in 1970 AND 1972 to CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL

Character:

POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATES CODE, SECTIONS 434(b), 440 AND TITLE 18, UNITED STATES CODE, SECTION 603 - EL

Synopsis:

U.S. Congressman CHARLES A. MOSHER advised that with regard to the 1970 election campaign, he had no information or knowledge of the check or monies received by his committee that they were anything else but personal funds. MOSHER stated that he had no information prior to the election in 1970 as to who the contributors were. He states that he later learned that several checks which constituted a large sum or total of his campaign contributions in 1970 had come from corporate funds. MOSHER states that BAUMHART gave [REDACTED] a total of \$4,000 in cash to his campaign of 1972. MOSHER could not furnish any details referring the questions to be directed to [REDACTED] but did state that \$500 of this amount was a personal contribution from Mr. and Mrs. BAUMHART to his campaign in 1972. MOSHER states that the money was in cash and was kept on the side and not mingled with any of the other campaign contributions. MOSHER stated that at no time did he believe that this money was corporate funds, but stated that the implication from [REDACTED] was that the money was not to be reported as a contribution. MOSHER was concerned about the criticisms from the press and his opponents as to his 1970 campaign, and on 9/15/73, MOSHER stated that [REDACTED] had returned the \$3,500 in cash, "precisely as it was received" to STEINBRENNER at STEINBRENNER's office. MOSHER stated that he was not present at this time and stated that a more serious effort should have been made to make arrangements to return this money sooner to STEINBRENNER. MOSHER stated that most of the information should come from [REDACTED] as he did not

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WFO 56-498

personally receive the check or have knowledge of their receipt at the time.

- RUC -

This is a limited investigation.

DETAILS:

AT WASHINGTON, D. C.

Investigation in this matter was instituted upon receipt of a letter from the Department of Justice dated May 5, 1975, regarding possible violations of Title 2, United States Code, Sections 434(b), 440 and Title 18, United States Code, Section 603 involving substantial cash contributions from GEORGE M. STEINBRENNER, III, Chairman of the Board of American Shipbuilding Company, to Congressman CHARLES A. MOSHER and former Congressman WILLIAM E. MINSHALL during the 1970 and 1972 election campaigns.

## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 5/27/75

CHARLES A. MOSHER, Congressman, House of Representatives, United States Congress, Washington, D. C. (WDC), was contacted at his office at Room 2368, Rayburn Building, WDC. Congressman MOSHER was apprised of the identities of the interviewing agents and the nature of the inquiry regarding possible violations of Title 2, United States Code, Sections 343(b), 440, and Title 18, United States Code, Section 603 involving Election Law Violations.

MOSHER was advised of his constitutional rights as set forth on a prepared form, to which he expressed his complete understanding thereof by executing a waiver of the rights.

MOSHER stated that he was very much concerned about this matter and had already been in contact with a representative at the Department of Justice at WDC. Congressman MOSHER stated that he would cooperate in every way and understood the seriousness of this matter.

Congressman MOSHER stated that he would prefer that his present Administrative Assistant, [REDACTED] who has been his assistant since January, 1961, be present during the course of the interview in order to provide specifics and details. Congressman MOSHER stated that [REDACTED] would be most helpful to him in furnishing specific, detailed information. Congressman MOSHER stated that he wanted to be completely honest, truthful and forthright in answering all questions put to him regarding this matter. Congressman MOSHER stated that [REDACTED] would be in a better position to provide him with the detailed information.

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Congressman MOSHER voluntarily furnished the following information:

Congressman MOSHER stated that he is an acquaintance of GEORGE M. STEINBRENNER, III, and he has known STEINBRENNER since 1966. Congressman MOSHER stated that STEINBRENNER was Chairman of the Board of the American Shipbuilding Company which is located in MOSHER's District at Lorain, Ohio

Interviewed on 5/20/75 at Washington, D. C. File # WFO 56-498

SAs [REDACTED] and [REDACTED] by JEK:ljh Date dictated 5/23/75

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Airtel

5/28/75

To: SACs, Cleveland (56-106)  
WFO

From: Director, FBI

1 - Mr.

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ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M.  
① STEINBRENNER, III, IN 1970, 1972, TO  
CONGRESSMAN CHARLES A. MOSHER AND FORMER  
CONGRESSMAN WILLIAM E. MINSHALL;  
POSSIBLE VIOLATIONS OF TITLE 2,  
UNITED STATES CODE, SECTIONS 434(B),  
440 AND TITLE 18, UNITED STATES CODE,  
SECTION 603  
EL

ReBuairtel, 5/9/75, and CVtel, 5/20/75.

Promptly surep results of investigation requested  
in re communications.

ST-108

REC-1

56-4737-58

5 JUN 2 1975

MAILED 9  
MAY 29 1975  
FBI

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
Gen. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Inspection \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Plan. & Eval. \_\_\_\_\_  
Spec. Inv. \_\_\_\_\_  
Training \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

NAZ:kfk  
(6)

64 JUN 13 1975

MAIL ROOM ☒

TELETYPE UNIT ☐

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>CLEVELAND</b>	DATE <b>5/27/75</b>	INVESTIGATIVE PERIOD <b>5/13/75 - 5/27/75</b>
TITLE OF CASE <b>ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER, III, IN 1970, 1972, TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATES CODE, SECTIONS 434(b), 440, AND TITLE 18, UNITED STATES CODE, SECTION 603</b>		REPORT MADE BY <b>SA</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> <b>b6 b7C</b>	TYPED BY <b>hs</b>
		CHARACTER OF CASE  <b>ELECTION LAWS</b>	

REFERENCE

Bureau airtel, 5/9/75, and accompanying Departmental letter, 5/5/75.

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LEADSWASHINGTON FIELD

At Washington, D. C.

Will, through Congressional Associates, Suite 905, 1730 M Street, N.W., Washington, D. C., locate and interview

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:  PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
APPROVED					SPECIAL AGENT IN CHARGE		
COPIES MADE:					DO NOT WRITE IN SPACES BELOW		
4 - Bureau					56-411-59		
2 - Washington Field (56-498)							
2 - Cleveland (56-106)							
1 - CC 45							
Dissemination Record of Attached Report					Notations		
Agency	1st Criminal Div.				511  DATA PROCESSING		
Request Recd.							
Date Fwd.	6-3-75						
How Fwd.	a-70(B)						
By	WAZ						

CV 56-106

former United States Congressman WILLIAM E. MINSHALL (R-O)  
as requested in Departmental letter of May 5, 1975.

ADMINISTRATIVE

All persons interviewed in this matter were advised this investigation is being conducted at the request of the United States Department of Justice.

Cleveland conducting no further investigation at this time pending completion of investigation at Washington, D. C.



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: SA [REDACTED]  
Date: May 27, 1975b6  
b7C

Office: CLEVELAND

Field Office File #: 56-106

Bureau File #:

Title: ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER, III, IN 1970, 1972,  
TO CONGRESSMAN CHARLES A. MOSHER AND  
FORMER CONGRESSMAN WILLIAM E. MINSHALL;  
POSSIBLE VIOLATIONS OF TITLE 2,  
~~CHARACTER~~ UNITED STATES CODE, SECTIONS 434(b), 440,  
AND TITLE 18, UNITED STATES CODE,  
SECTION 603

~~SYNOPSIS~~

Character: ELECTION LAWS

Synopsis: PAUL BROKAW, Cleveland, Ohio, on 5/20/75 advised he had no dealings during 1970 through 1972 with A. DAVID BAUMHART wherein BROKAW received any campaign contributions for then U. S. Congressman WILLIAM E. MINSHALL on behalf of GEORGE M. STEINBRENNER, III, or any other executive of American Shipbuilding Co. (Amship). BROKAW stated that during the 1970 Congressional campaign for the re-election of MINSHALL, he solicited \$5,000 loans, respectively, from [REDACTED] then [REDACTED] of (Amship), and [REDACTED] then an executive of the George S. Rider Co., Cleveland, Ohio, engineering firm. BROKAW stated that these loans were repaid to [REDACTED] and that in 1971 during a benefit to raise funds to pay a \$16,000 deficit from the 1970 campaign, he, BROKAW, solicited GEORGE M. STEINBRENNER for a contribution for that purpose and at that time STEINBRENNER personally gave BROKAW \$1,000 or \$1,500 in cash. [REDACTED] Lorain, Ohio, on 5/27/75 advised that during the 1972 Congressional campaign for the re-election of U. S. Congressman CHARLES A. MOSHER, MOSHER told [REDACTED] that he had received word from BAUMHART that GEORGE M. STEINBRENNER of Amship would be making some contributions to MOSHER's campaign. [REDACTED] advised

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CV 56-106

Synopsis: (Cont'd)

that BAUMHART gave him a total of \$3,500 in cash on three or four separate occasions, which money [redacted] presumed to be from STEINBRENNER. [redacted] advised that the money was held by him in escrow in a safe inasmuch as he and MOSHER would not accept the money as contributions since BAUMHART and apparently STEINBRENNER refused to accept a receipt for the money. [redacted] stated he and MOSHER since March or April of 1973 attempted to return the money to BAUMHART and STEINBRENNER but were unable to do so until mid-September 1973. Upon returning the money, MOSHER advised STEINBRENNER that the money could not be accepted unless properly recorded.

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Details:

Set forth are the results of a limited investigation.

Investigation in this matter is predicated upon receipt of a letter of May 5, 1975, from the Criminal Division of the United States Department of Justice to the Federal Bureau of Investigation requesting that investigation be conducted relative to possible violations of Title 2, United States Code, Sections 434(b), 440, and Title 18, United States Code, Section 603. This request concerns 1970 and 1972 campaign contributions allegedly made to the campaigns at that time of United States Congressmen WILLIAM E. MINSHALL and CHARLES A. MOSHER of the state of Ohio.

## FEDERAL BUREAU OF INVESTIGATION

(1)

Date of transcription May 22, 1975

PAUL BROKAW, Staff Member, Cleveland, Ohio, office of United States Congressman RONALD M. MOTT (Democrat, 23rd District-Ohio), was contacted at his office, Room 2951 Federal Office Building, Cleveland, Ohio. Mr. BROKAW was advised of the identities of HERBERT L. MONAHAN, Jr., and [redacted] as Assistant Special Agent in Charge and Special Agent, respectively, of the Federal Bureau of Investigation, Cleveland, Ohio. BROKAW was advised by SA [redacted] that the matter under investigation concerned allegations to the effect that he, BROKAW, while then employed by former United States Congressman WILLIAM E. MINSHALL during the years 1970 and 1972 may have accepted campaign contributions from GEORGE M. STEINBRENNER, III, A. DAVID BAUMHART, acting in the employ of STEINBRENNER, or any other executive or representative of the American Shipbuilding Company (Amship), of Cleveland and Lorain, Ohio, in possible violation of Federal Election Law Statutes.

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Prior to any questioning, Mr. BROKAW was advised by SA [redacted] that any information he offered should be completely voluntary and was orally advised by SA [redacted] of his Constitutional rights as set forth on an "Interrogation; Advice of Rights" form. BROKAW was furnished a form entitled in part "Your Rights" and instructed to read the form. Thereafter Mr. BROKAW stated he understood his rights, was completely willing to discuss the matter with the interviewing Agents, and executed the waiver form.

Mr. BROKAW advised as follows:

He knows A. DAVID BAUMHART as a former United States Congressman from the state of Ohio from the Norwalk or Sandusky, Ohio, area. During the years of 1969, 1970 and 1971, BAUMHART was employed by GEORGE M. STEINBRENNER, III, of Amship, in the Cleveland, Ohio, area in a public

Interviewed on 5/20/75 at Cleveland, Ohio File # Cleveland 56-106

by ASAC HERBERT L. MONAHAN, Jr.,  
and SA [redacted] MVH/hs Date dictated 5/21/75

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CV 56-106

relations capacity and also was a contact man or lobbyist for STEINBRENNER in the Washington, D. C., area. During those years or subsequent, he had no dealings with BAUMHART wherein he, BROKAW, ever received any campaign contributions for then United States Congressman WILLIAM E. MINSHALL or any other candidate on behalf of GEORGE M. STEINBRENNER or any other executive or official of Amship. He recalls sometime during the years 1969 through 1971 he, BROKAW, attempted to assist BAUMHART in getting a public relations account for BAUMHART, possibly with the George S. Rider Company, a Cleveland, Ohio, engineering firm. He also recalls and referred in part to his, BROKAW's, records and stated that he believes during 1973 BAUMHART came to BROKAW's office on behalf of STEINBRENNER and Amship and expressed interest and support for legislation then pending before Congress concerning Great Lakes shipping. He noted, however, that he is certain other Great Lakes area Congressmen were also interested in securing the passage of any legislation which would benefit shipping on the Great Lakes. However, that visit by BAUMHART did not involve the solicitation or receiving of any campaign contributions.

In August or September 1970, during the Congressional campaign for the re-election of WILLIAM E. MINSHALL, then Republican, 23rd District-Ohio, he, BROKAW, telephonically contacted [redacted] who was then [redacted] of Amship, and requested of [redacted] a \$5,000 loan to be used for the MINSHALL campaign, specifically for the purchase of television time. He also telephonically solicited an identical amount from [redacted] then either [redacted] of the Board of the George S. Rider Company, an engineering firm with offices in the Terminal Tower Building, Cleveland, Ohio. BROKAW emphasized that the \$5,000 requested from [redacted] and [redacted] were loans and not mere contributions and he made it clear to both [redacted] at the time that the creditors would be repaid in full and that he, BROKAW, would guarantee the repayment. Both [redacted] furnished the \$5,000 each in the form of personal checks drawn on their respective personal checking accounts and believes the individual checks would have been made payable to the Minshall for Congress Committee, or a similar name. The \$5,000 obtained from [redacted] respectively, wholly represented personal loans and in no way were corporate or company funds or monies and BROKAW noted that he was and is well aware of the pertinent

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CV 56-106

state and federal statutes which clearly prohibit the offering and receiving of corporate funds for political campaigns.

As a result of the successful 1970 Congressional campaign, the Minshall for Congress Committee had accrued an approximate \$16,000 deficit. Various means were utilized to pay off and erase the deficit. He recalls one such affair or benefit which was held at the Hermit Club in Cleveland, Ohio, for the express purpose of raising funds to pay off the campaign deficit. Around the time of the Hermit Club benefit he, BROKAW, while at Stouffer's Restaurant located in the CEI (Cleveland Electric Illuminating) Building, Cleveland, Ohio, at an informal "kaffee klatch", solicited GEORGE M. STEINBRENNER for a contribution for the Hermit Club affair, and at that time STEINBRENNER stated he would not be able to attend the dinner but that STEINBRENNER personally gave to BROKAW \$1,000 or \$1,500 in cash with the understanding BROKAW would use the money to purchase an appropriate number of tickets on STEINBRENNER's behalf for the Hermit Club benefit. BROKAW noted he recalled a conversation with STEINBRENNER at that time wherein when it was mentioned that the Minshall Committee was currently in the process of repaying an amount outstanding and owed to [redacted] in connection with the 1970 loan, STEINBRENNER jokingly said something to the effect that he, STEINBRENNER, could just as easily have given the money directly to [redacted] inasmuch as the funds were being raised to offset the deficit and that the deficit in part represented any money still owed to [redacted].

Each of the \$5,000 loans from [redacted] were repaid in full and BROKAW noted that he has a letter of November 1971 wherein it is noted that the remaining \$500 paid to [redacted] represents full repayment to [redacted] of the \$5,000 amount. Concerning the \$5,000 [redacted] loan, BROKAW noted that [redacted] check was either sent to him, BROKAW, or directly to the secretary, treasurer or assistant treasurer of the Minshall for Congress Committee.

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BROKAW noted that the above-mentioned loans and repayments of same would have been included in the pertinent 1970 and 1972 reports which the Minshall for Congress Committee by state statute was required to file with the Secretary of State for the State of Ohio. At this time BROKAW telephonically contacted Ohio Secretary of State TED BROWN and ascertained

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CV 56-106

the 1970 report and other subsequent reports are maintained by the Secretary of State's Office on microfilm.

BROKAW stated with regard to any contributions from [redacted] for the 1972 Congressional campaign that there was no similar loan or contribution anywhere near \$5,000 and he believes that [redacted] contribution was either a nominal amount or nothing at all. BROKAW is certain that the loan and repayment of same occurred in late 1970 through 1971 and did not occur in 1972. He also stated the solicitation and acceptance of the loans and repayments of same did not involve or imply in any way to [redacted] any considerations or any promises of any kind which would be of any benefit to them personally or to their respective companies or any executives of those companies.

BROKAW also advised he did not get directly involved with the 1972 national campaign, The Committee to Re Elect the President (CREEP), but noted that he had a disagreement with the local organization of CREEP having an office in Parma, Ohio, involving a dispute over a previous agreement concerning the payment of rent and expenses. He stated he had to contact a state representative from that organization in Dayton, Ohio, to have the matter clarified. He also advised that he did not get involved with the Senate/House dinner in 1973 or 1974.

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BROKAW advised his solicitation and repayment of the [redacted] loans, in addition to being recorded in the pertinent reports filed with the State of Ohio, were also well known to former Congressman WILLIAM E. MINSHALL.

BROKAW furnished a business card for WILLIAM E. MINSHALL, "Congressional Associates, Suite 905, 1730 M Street, N.W., Washington, D. C. 20036, telephone (202) 452-0888".

Concerning MINSHALL [redacted] BROKAW noted that [redacted] did not get involved in any of the loans or repayment of same as mentioned above, but noted that [redacted] did take part in [redacted] re-election campaign.

BROKAW advised that he can categorically refute any allegations to the effect that he was involved in the accepting

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CV 56-106

or transferring of any money from A. DAVID BAUMHART on behalf of GEORGE M. STEINBRENNER or any other executives from Amship, including ROBERT E. BARTLOME or [REDACTED].

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PAUL BROKAW is a white male, 71 years of age, and advised he resides at 328 Bonniewood Drive, Cleveland, Ohio 44110, telephone 531-5426.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5-28-75

Mr. [ ] was interviewed at his office, 517 East 28th Street, Lorain, Ohio. It is to be noted that this address houses the insurance company of Mr. [ ] as well as the offices of Congressman CHARLES A. MOSHER on the second floor of this location.

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At the beginning of this interview, Mr. [ ] was advised of the nature of the interview and that it pertained to contributions to the 1970 and 1972 campaign of Congressman CHARLES MOSHER. Mr. [ ] stated that he had previously been in contact with Mr. MOSHER, but was not aware of the details of the entire interview, but had no objection to being interviewed.

At this time an Interrogation-Advice of Rights Form was furnished to Mr. [ ] by SA [ ] which form Mr. [ ] read and executed the waiver portion of this form. The form was thereafter witnessed by both interviewing Agents.

Mr. [ ] stated that he has been associated with Congressman MOSHER since 1960. He stated that he has been his [ ] in all eight campaigns that Mr. MOSHER has been involved in. In operating these campaigns, he stated that they have a MOSHER for Congress Campaign Committee on which various individuals work, but stated there is no personal solicitation for donations to the campaign by any of the members of the Committee. He stated that the Committee does have a mail drive; however, this does not happen every year but a mail drive solicitation is usually based on those that have given in previous campaigns.

He stated that the total solicitations for their campaigns during the years 1970, 1972 and 1974 would have averaged somewhere between \$12,000 and \$17,000 a year. He stated that in 1972 and 1970 between \$4,000 and \$5,000 may possibly have come from the National Committee and maybe \$500 from the State Committee. However, he stated that in 1972 he has no definite recollection whether they actually received any contribution from this Committee.

Interviewed on 5-27-75 at Lorain, Ohio File # CV 56-106

by SA [ ] and APB/cmh Date dictated 5-27-75

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Mr. [ ] made available the 1970 list of individuals who contributed to Congressman MOSHER's campaign which list was a copy that was submitted at the close of the campaign as requested of all political candidates.

Again, Mr. [ ] stated that most of the contributors were probably individuals who had been solicited in previous campaigns.

Mr. [ ] was asked if he was acquainted with any of the employees at American Shipbuilding Company and he stated that the only personal friend he had at the American Shipbuilding Company was [ ] who is the [ ] of the American Shipbuilding Company. He stated he did know a Mr. [ ] but only because he was the [ ] of the American Shipbuilding Company, but had no personal association with him. He also stated that he had met a Mr. GORDON STAFFORD of American Shipbuilding Company at some function but is not an associate of his.

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He was then asked if he was acquainted with [ ] ROBERT DIBBLE or ROBERT BARTLOME. He stated that he was not recently associated with any of these individuals nor was he aware until some time after the 1970 campaign that these individuals were even connected with American Shipbuilding Company.

He stated that he actually became aware of the contributions of American Shipbuilding Company employees about two years after the contributions were made when the Nader report became public.

Mr. [ ] was then asked if the same individuals mentioned above would have previously contributed to campaigns of Congressman MOSHER due to the fact that he had stated that the mail solicitations were based on previous donors.

Mr. [ ] then made available the list of 1968 donors to Congressman MOSHER's campaign and it was noted that the only individual mentioned above who was listed on the 1968 record was [ ] who had contributed \$1,000 in 1968. It was further mentioned to Mr. [ ] that [ ] was not listed among the donors in 1970 and Mr. [ ] stated that [ ] became disenchanted with the Republicans in general as the Governor was to make a recommendation to have him placed on the Board of Regents but evidently had not done so. It was then asked of Mr. [ ] if it did not appear strange to him that from the total of the 1970 contributions which amounted to \$17,374.73 that approximately \$5,000 of this money would have been solicited from individuals who had not previously donated. It was also pointed

out that two of the individuals, namely, Mr. ROBERT BARTLOME and [ ] had actually made two contributions. Each made a contribution on October 1, 1970 in the amount of \$750 and then on October 9, 1970 each made an additional contribution of \$500. It was asked if this was unusual in campaign contributions and whether or not he had any suspicion as to why their contributions were not made in one lump sum, and he stated he had no suspicions or curiosity about the contributions, but was merely thankful to receive them.

Mr. [ ] stated that it was possible the employees of the American Shipbuilding Company had contributed to the campaign because of the passage of the Merchant Marine Act of 1970 which was publicly applauded by Mr. GEORGE STEINBRENNER regarding the potential this brought to the shipbuilding industry in the Great Lakes.

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Mr. [ ] stated that he had no direct contact with the American Shipbuilding employees during the campaign nor did any member of his campaign committee.

He stated that subsequent to the publication of the Nader report that Mr. MOSHER was surprised when he learned of these contributions and one reporter asked if the money should be returned and Mr. MOSHER replied that this committee had received the contributions in proper form; that they were unsolicited; that they were accepted and recorded as directed by law.

Mr. [ ] was then asked if he was acquainted with a Mr. A. DAVID BAUMHART and he replied that he has known Mr. BAUMHART since approximately 1952 and that Mr. BAUMHART was in Congress for three terms immediately preceding Mr. MOSHER from the 13th District. He stated that when BAUMHART made it known that he was stepping down, Mr. MOSHER was drafted to run from the 13th District and at that time was completing his fifth term as a State Representative from the State Senatorial District.

Mr. [ ] stated that BAUMHART returned to Libby Owens Ford Glass in Toledo where he had previously worked and subsequent to that established a public relations consulting firm in Lorain, Ohio and in this public relations work became associated with American Shipbuilding Company. [ ] stated that he had somewhat of a falling out with BAUMHART during his last term in Congress as BAUMHART was going through a divorce and had other problems and felt that his friends had turned against him. He stated that BAUMHART after leaving Libby Owens Ford Glass also was City Service Director for Lorain, Ohio, possibly during 1968 and 1969 just prior to his public relations firm operation.

In regard to the 1972 Congressional campaign, Mr. [ ] stated that somewhere early in the campaign, Congressman MOSHER told him that he had received word from Mr. A. DAVID BAUMHART that Mr. GEORGE STEINBRENNER of American Shipbuilding Company would be making some contribution to the MOSHER for Congress Committee and that we should be very alert as to how this would take place. Mr. [ ] stated that he was further advised that BAUMHART had indicated the contribution might be in the neighborhood of \$4,000 to \$5,000. [ ] stated that Mr. MOSHER and he were suspicious because by this time the talk about Mr. STEINBRENNER and his political contribution and the re-election of Mr. NIXON were pretty widescale.

Mr. [ ] then stated that he actually became alerted to the possible contribution of Mr. STEINBRENNER because he could not quite understand with all of the so-called ramifications received from the contributions to the NIXON for President Campaign why STEINBRENNER would make a contribution to the MOSHER for Congress Committee and that this feeling was pretty much shared by Mr. MOSHER.

Mr. [ ] stated that these contributions came directly from A. DAVID BAUMHART to him, [ ] in the form of cash on three or four separate occasions and because there were no instructions connected to these funds, he, [ ] did not accept them but would hold them in escrow in his safe until a clarification in regards to these funds was received.

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The total amount of these contributions came to \$3,500 which was presumed to be from GEORGE STEINBRENNER. He stated that \$500 was from the A. DAVID BAUMHART family and that a receipt for the \$500 was given to Mr. BAUMHART, but Mr. BAUMHART refused to accept a receipt for the additional \$3,500 that Mr. [ ] held in escrow.

In connection with the \$3,500 mentioned above, Mr. BAUMHART disagreed with Mr. [ ] and said he could not take a receipt in the name of Mr. STEINBRENNER as evidently Mr. STEINBRENNER did not wish to be identified as a donor.

[ ] stated that when he received this money, he immediately made contact with Congressman MOSHER and made him aware of the contribution. He stated that the Congressman agreed with him that he could not accept it, but did agree it was all right to hold in escrow until they had a clarification. He stated that the Congressman indicated that if he could not get a clarification concerning these funds that he personally wanted to return the money to STEINBRENNER himself in the presence of both [ ] and BAUMHART. Mr. [ ] stated that it seemed

important to Mr. MOSHER to personally see this money was returned to STEINBRENNER.

Mr. [ ] then stated that the above-mentioned \$3,500 contribution from Mr. STEINBRENNER through BAUMHART was received over a period of time from late summer or early fall of 1972 when the first \$1,000 was given, until March or April of 1973. He stated in the interim he insisted upon instructions from Mr. MOSHER that an appointment be set up so that this money could be returned to Mr. STEINBRENNER. He stated that he was unable through BAUMHART to get this appointment arranged as it appeared that both Mr. STEINBRENNER and Mr. MOSHER were never in town at the same time.

Mr. [ ] stated that sometime in October 1972, Mr. MOSHER and Mr. [ ] met with Mr. BAUMHART in Mr. BAUMHART's office and it was then that Mr. MOSHER told BAUMHART definitely that the money was going to be returned to Mr. STEINBRENNER. [ ] stated that BAUMHART was quite upset and said STEINBRENNER would be extremely aggravated and he recommended that Mr. MOSHER not return the money as Mr. STEINBRENNER would be upset with him, BAUMHART.

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Mr. MOSHER stated that in order to keep the money it had to be properly recorded and a receipt given. [ ] stated that he had the impression after MOSHER met with BAUMHART that BAUMHART might contact STEINBRENNER and get back to them saying that the money could be appropriately recorded as being received from STEINBRENNER; however, this did not happen.

[ ] stated that during a delayed Lincoln Day Banquet in March or April, 1973, the final \$1,000 of the above-mentioned \$3,500 was handed to him in an envelope by BAUMHART at this banquet. After looking at the contents of the envelope, [ ] again advised BAUMHART that this money was being taken in the same category as the other money and it would be held in escrow and BAUMHART replied that we'll talk about it later.

At Mr. MOSHER's direction, [ ] kept making contact with Mr. STEINBRENNER's secretary in order to set up a meeting for the return of the money. He stated that finally in mid-September 1973, on a Saturday morning, he found out that STEINBRENNER was in his office and spoke to STEINBRENNER and made an appointment for that morning. He stated he then tried to make contact with A. DAVID BAUMHART to meet with him and Congressman

MOSHER at STEINBRENNER's office, but was unsuccessful in reaching him. He stated that he and Mr. MOSHER then met with Mr. STEINBRENNER at Mr. STEINBRENNER's office at the American Shipbuilding Company on Colorado Boulevard in Lorain, Ohio.

During this meeting, Mr. MOSHER advised STEINBRENNER that Mr. [ ] had something he wanted to give to him. Mr. [ ] then took the \$3,500 that he had brought from his safe and gave the \$3,500 to Mr. STEINBRENNER explaining that the money could not be accepted for a political campaign unless properly recorded. Mr. [ ] stated that STEINBRENNER made the comment, "It looks like the same money, rubber bands and all," and then stated something to the effect that it was his money and he would use it and it would come in handy as he was in the process of buying a car.

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Mr. [ ] was then asked if he makes a report regarding his election campaign contributions within 45 days after the election, if he is obliged in any way to indicate that additional monies which were given which are being held in escrow until clarification of their donors were made, and he stated he was not aware of such regulation. He did state after the money was returned to STEINBRENNER that he, his wife and Mr. MOSHER went to the Lorain Country Club for lunch where they ran into A. DAVID BAUMHART and advised him as to what had taken place that day.

In closing the interview, Mr. [ ] stated again that no direct solicitation was ever made to his knowledge of any employee of American Shipbuilding Company and that all contributions received were believed to be received from individuals who were conscientiously attempting to assist in the re-election of the Congressman because of their own personal desires.

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
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Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

MAY 20 1975

TELETYPE

NR 006 CV CODE

5:05 PM NITEL 5/20/75 CJK

TO: DIRECTOR

WASHINGTON FIELD

FROM: CLEVELAND (56-106) (P)

ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER, III, IN 1970, 1972, TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATES CODE, SECTIONS 434(B), 440, AND TITLE 18, UNITED STATES CODE, SECTION 603. EL.

REBUAIRTEL, MAY 9, 1975.

PAUL BROKAW INTERVIEWED MAY 20, 1975, AT THE CLEVELAND, OHIO, OFFICE OF U.S. CONGRESSMAN RONALD M. MOTT, WHERE HE IS EMPLOYED AS A STAFF MEMBER. BROKAW ADVISED AS FOLLOWS:

HE AT NO TIME, INCLUDING 1970 THROUGH 1972 OR SUBSEQUENT, HAD ANY DEALINGS WITH A. DAVID BAUMHART, WHOM HE KNOWS TO HAVE BEEN EMPLOYED IN A PUBLIC RELATIONS CAPACITY FOR THE AMERICAN SHIPBUILDING CO., OR RECEIVED CAMPAIGN CONTRIBUTIONS FOR THEN U.S. CONGRESSMAN WILLIAM E. MINSHALL OR FOR ANY OTHER CANDIDATE ON BEHALF OF GEORGE M. STEINBRENNER OR ANY OTHER EXECUTIVE OR OFFICIAL OF AMSHIP.

HE DENIES ANY INSTANCE WHEREIN HE SOLICITED OR RECEIVED

JUN 12 1975 *yaw*

REC 62

56-4737-60

56-4737-57

PAGE TWO CV 56-106

ANY CORPORATE MONIES AS A CAMPAIGN CONTRIBUTION FOR ANY ELECTION FROM 1970 TO DATE. IN AUGUST OR SEPTEMBER 1970 DURING THE CONGRESSIONAL CAMPAIGN FOR THE RE-ELECTION OF WILLIAM E. MINSHALL, REPUBLICAN, 23RD DISTRICT-OHIO, HE SOLICITED A \$5,000 LOAN FROM THE THEN [REDACTED] OF AMSHIP,

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[REDACTED] AND AN INDENTICAL AMOUNT FROM [REDACTED]

THEN [REDACTED] OF THE GEORGE S.

RIDER CO., A CLEVELAND, OHIO, ENGINEERING FIRM. HE, BROKAW, PERSONALLY SOLICITED THE LOANS BY TELEPHONE FROM EACH OF THE TWO INDIVIDUALS AND THEY WERE OBTAINED WITH THE UNDERSTANDING THE MONEY WOULD BE REPAID AND THAT SAME WAS NEEDED

FOR CAMPAIGN EXPENSES, PRIMARILY FOR THE PURCHASE OF TELEVISION TIME. EITHER THROUGH THE REMAINDER OF 1970 OR INTO 1971,

THE MINSHALL FOR CONGRESS COMMITTEE REPAID ALL OF THE AMOUNTS OF BOTH LOANS. BROKAW RECALLED ONE BENEFIT TO RAISE FUNDS

TO PAY OFF A \$16,000 DEFICIT FROM THE 1970 CAMPAIGN WHICH WAS HELD AT THE HERMIT CLUB IN CLEVELAND, OHIO, AND THAT AROUND THE TIME OF THE AFFAIR HE SOLICITED GEORGE M.

STEINBRENNER FOR A CONTRIBUTION FOR THAT PURPOSE, AND AT

PAGE THREE CV 56-106

THAT TIME STEINBRENNER STATED HE WOULD NOT BE ABLE TO ATTEND THE DINNER, BUT THAT STEINBRENNER PERSONALLY GAVE BROKAW \$1,000 OR \$1,500 IN CASH WITH THE UNDERSTANDING BROKAW WOULD PURCHASE AN APPROPRIATE NUMBER OF TICKETS IN STEINBRENNER'S BEHALF. BROKAW NOTED HE RECALLS A CONVERSATION WITH STEINBRENNER AT THAT TIME WHEREIN WHEN IT WAS MENTIONED THAT THE MINSHALL COMMITTEE WAS REPAYING AN AMOUNT OWED TO [REDACTED] IN CONNECTION WITH THE 1970 LOAN, STEINBRENNER JOKINGLY SAID SOMETHING TO THE EFFECT THAT HE, STEINBRENNER, COULD JUST AS EASILY GIVE THE MONEY DIRECTLY TO [REDACTED] INASMUCH AS THE FUNDS WERE BEING RAISED TO OFFSET THE DEFICIT.

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b7c

EACH OF THE \$5,000 LOANS FROM [REDACTED], RESPECTIVELY, WERE IN THE FORM OF PERSONAL CHECKS DRAWN ON THE RESPECTIVE BANK ACCOUNTS AND IN NO WAY REPRESENTED ANY FORM OF COMPANY OR CORPORATE MONIES, AND THAT THE [REDACTED] CHECK WAS MAILED DIRECTLY TO BROKAW AND BROKAW BELIEVES THE NEFF CHECK MAY HAVE BEEN MAILED EITHER TO HIM OR TO THE ASSISTANT TREASURER OF THE MINSHALL CAMPAIGN.



BROKAW STATED HE IS WELL AWARE OF THE ILLEGAL NATURE OF ANY CORPORATE CONTRIBUTIONS, AS WELL AS OTHER FEDERAL CORPORATE STATUTES AND STATE AND FEDERAL REPORTING STATUTES, AND DENIED THE ALLEGATIONS SET FORTH IN DEPARTMENTAL LETTER OF MAY 5, 1975, AND STATED HE WOULD AFFIRM SUCH A DENIAL REGARDLESS OF WHAT STEINBRENNER OR BAUMHART MIGHT SAY.

BROKAW STATED HE IS SURE THAT THE ABOVE CONTRIBUTION FROM STEINBRENNER OCCURRED AROUND MARCH 1971 AND CONCERNED THE REPAYMENT OF THE 1970 DEFICIT AND DID NOT AT ALL OCCUR IN 1972 AND THAT BROKAW HAD NO TRANSACTIONS WITH ANYONE FROM AMSHIP AS ALLEGED IN THE DEPARTMENTAL INFORMATION.

BROKAW ADVISED THAT ANY CAMPAIGN CONTRIBUTIONS AND LIKE DISBURSEMENTS WOULD BE SUBJECT TO STATE REPORTING REGULATIONS AND HE DETERMINED THE PERTINENT REPORTS WERE ON MICROFILM IN THE OFFICE OF THE SECRETARY OF STATE FOR OHIO.

BROKAW FURNISHED A BUSINESS CARD FOR WILLIAM E. MINSHALL, "CONGRESSIONAL ASSOCIATES, SUITE 905, 1730 M STREET, N.W., WASHINGTON, D.C., TELEPHONE 202/452-0888.

PAGE FIVE CV 56-106

FOR ADDITIONAL INFORMATION OF WASHINGTON FIELD, INQUIRY  
AT LORAIN, OHIO, DETERMINED THAT [REDACTED] LORAIN,  
CURRENTLY OUT OF HIS OFFICE UNTIL LATE THURSDAY, MAY 21, 1975,  
AND POSSIBILITY EXISTS THAT [REDACTED] IS IN WASHINGTON, D.C.

WASHINGTON FIELD, AT WASHINGTON, D.C.: (1) LOCATE AND  
INTERVIEW WILLIAM E. MINSHALL IN ACCORDANCE WITH DEPARTMENTAL  
REQUEST.

(2) THROUGH OFFICE OF CONGRESSMAN CHARLES E. MOSHER,  
ASCERTAIN IF [REDACTED] CURRENTLY IN WASHINGTON, D.C., AREA  
AND INTERVIEW.

CLEVELAND WILL SUREP.

END

HOLD FOR ONE

MAH FBIHQ ACK FOR ONE

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b7c

AIRTEL

6/12/75

To: SAC, WFO (56-498)

From: Director, FBI

1 - Mr.

b6  
b7c

ALLEGED POLITICAL CONTRIBUTION BY  
GEORGE M. STEINBRENNER, III, IN  
1970 AND 1972 TO CONGRESSMAN  
CHARLES A. MOSHER AND FORMER  
CONGRESSMAN WM. E. MINSHALL  
POSSIBLE VIOLATIONS OF TITLE 2,  
USC, SECTIONS 434 (B), 440 AND  
T18, USC, SECTION 603 - EL

ReCVrep dated 5/27/75.

WFO promptly complete investigation requested  
in rerep.

1 - SAC, CV (INFO)

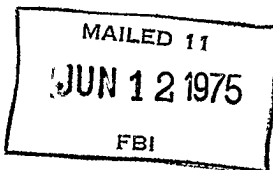
REC-63

EX-101

56-4737-61

Assoc. Dir. \_\_\_\_\_  
Dep. AD Adm. \_\_\_\_\_  
Dep. AD Inv. \_\_\_\_\_  
Asst. Dir.:  
Admin. \_\_\_\_\_  
Comp. Syst. \_\_\_\_\_  
Ext. Affairs \_\_\_\_\_  
Files & Com. \_\_\_\_\_  
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Legal Coun. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director Sec'y \_\_\_\_\_

NAZ:bap (4)



JUN 13 1975

MAIL ROOM ☒

TELETYPE UNIT ☐

F B I

Date:

6/16/75

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)

Via AIRTEL

(Priority)

Assoc. Dir. \_\_\_\_\_  
 Dep.-A.D.-Adm. \_\_\_\_\_  
 Dep.-A.D.-Inv. \_\_\_\_\_  
 Asst. Dir.: \_\_\_\_\_  
 Admin. \_\_\_\_\_  
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 Legal Coun. \_\_\_\_\_  
 Telephone Rm. \_\_\_\_\_  
 Director Sec'y \_\_\_\_\_

TO: DIRECTOR, FBI  
 FROM: SAC, WFO (56-498) (P)

ALLEGED POLITICAL CONTRIBUTION BY  
GEORGE M. STEINBRENNER, III, IN  
1970 AND 1972 TO CONGRESSMAN  
CHARLES A. MOSHER AND FORMER  
CONGRESSMAN WM. E. MINSHALL  
POSSIBLE VIOLATIONS OF TITLE 2,  
USC, SECTIONS 434 (B), 440 AND  
TI8, USC, SECTION 603 - EL

ReCVrep dated 5/27/75, and Bureau airtel dated 6/12/75.

For the information of the Bureau and Cleveland Division, [redacted] Congressional Associates, 1730 M Street, N.W., Washington, D.C., advised on 6/6/75 that WILLIAM E. MINSHALL was in Cleveland, Ohio and was expected to return shortly.

On 6/12/75 WILLIAM E. MINSHALL, Congressional Associates, 1730 M Street, N.W., Washington, D.C., telephonically advised that he was departing for Cleveland, Ohio today, 6/12/75 and would prefer interview be scheduled at 8:30 a.m. 6/18/75 at his office, 1730 M Street, Washington, D.C.

WFO will immediately report results of interview upon completion.

EX 103

REC-20

56-4737-62

- ② - Bureau  
 1 - Cleveland (56-106)(Info.)  
 1 - WFO

3 JUN 24 1975

JEK:djn  
 (4)

Approved: 04 JUL 9 1975

Special Agent in Charge

Sent

M

Per

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>WASHINGTON FIELD</b>	OFFICE OF ORIGIN <b>CLEVELAND</b>	DATE <b>7/7/75</b>	INVESTIGATIVE PERIOD <b>6/12 - 7/2/75</b>
TITLE OF CASE ALLEGED POLITICAL CONTRI- BUTION BY GEORGE M. STEINBRENNER, III, IN 1970 AND 1972 TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CON- GRESSMAN WILLIAM E. MINSHALL POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATES CODE, SECTIONS 434 (b) 440 AND TITLE 18, UNITED STATES CODE SECTION 603		REPORT MADE BY [REDACTED]	TYPED BY <b>mpc</b>
		CHARACTER OF CASE  <b>ELECTION LAWS</b>	<b>10</b> <b>7</b>  b6 b7C

REFERENCE: WFO airtel to the Bureau dated 6/16/75.  
Cleveland report of SA [REDACTED] dated 5/27/75.

- P -

ADMINISTRATIVE

All persons interviewed in this matter were advised that this investigation was being conducted at the request of the United States Department of Justice.

LEADSWASHINGTON FIELD

AT WASHINGTON, D.C. Will contact [REDACTED]  
[REDACTED] (telephone number [REDACTED]) to finalize and schedule  
interview with WILLIAM E. MINSHALL regarding this matter.

ACCOMPLISHMENTS CLAIMED				<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:  PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES		
APPROVED  COPIES MADE:  2- Bureau 2- Cleveland (56-106) 1- WFO (56-498)					SPECIAL AGENT IN CHARGE	
DO NOT WRITE IN SPACES BELOW  56-411-63  JUL 8 1975  McDONOUGH DATA PROC					EX-108 REC-75	
Dissemination Record of Attached Report					Notations	
Agency						
Request Recd.						
wd.						

JUL 16 1975

EXP. PROC.  
30 JUL 8 1975

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WASHINGTON FIELD	OFFICE OF ORIGIN CLEVELAND	DATE 7/21/75	INVESTIGATIVE PERIOD 7/2/75 - 7/ /75
TITLE OF CASE ALLEGED POLITICAL CONTRIBUTION BY GEORGE M. STEINBRENNEN, III, IN 1970 1972 TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 2, USC, SECTIONS 434 (b), 440, AND TITLE 18, USC, SECTION 603.		REPORT MADE BY SA [REDACTED]	TYPED BY dml
		CHARACTER OF CASE ELECTION LAW	

REFERENCE: WFO report of SA [REDACTED] dated 7/7/75.

-RUC-

EXP. PROC  
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ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <i>WTF/gky</i>		SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW			
COPIES MADE:				56-4737-64			
② - Bureau				REC 22			
2 - Cleveland (56-106)				EX-105			
1 - WFO (56-498)				DESTROYED RETAIN: [REDACTED]			
1 Xerox copy made of synopsis				23-25			
Dissemination Record of Attached Report				24 5 JUL 22 1975			
Agency	In CRED MINAL Div.						
Request Recd.							
Date Fwd.	7-23-75						
How Fwd.	FO-DIC						
By	[REDACTED]						

-A\*-

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:  
Date:SA [REDACTED]  
7/21/75

Office: Washington D.C.

Field Office File #:

56-498

Bureau File #:

Title:

ALLEGED POLITICAL CONTRIBUTION BY GEORGE M.  
STEINBRENNER, III, IN 1970, AND 1972 TO CONGRESSMAN  
CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM  
E. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 18 USC,  
SECTIONS 434 (b), 440 AND TITLE 18 USC SECTION 630.

Character:

ELECTION LAWS

Synopsis:

Attorney representing MINSHALL advised he will advise his  
client to refuse to be interviewed by the Federal Bureau of  
Investigation.

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b7C

-RUC-

DETAILS: AT WASHINGTON D.C.

Set forth are the results of a limited investigation.

On July 17, 1975, SA [REDACTED] telephoni-  
cally contacted [REDACTED]  
Washington D.C., telephone number [REDACTED] and requested an  
appointment to interview his client WILLIAM E. MINSHALL.  
[REDACTED] stated that he advised MINSHALL not to comply with  
the request for interview by the Federal Bureau of Investigation.

UNITED STATES GOVERNMENT

# Memorandum

TO : DIRECTOR, FBI

DATE: 7/28/75

FROM: SAC, CLEVELAND (56-106) (C)

SUBJECT: ALLEGED POLITICAL CONTRIBUTION BY  
GEORGE M. STEINBRENNER, III, IN 1970,  
1972 TO CONGRESSMAN CHARLES A. MOSHER  
AND FORMER CONGRESSMAN WILLIAM E.  
MINSHALL; POSSIBLE VIOLATIONS OF TITLE  
2, USC, SECTIONS 434 (b), 440, AND  
TITLE 18, USC, SECTION 603.  
ELECTION LAWS  
(OO: CLEVELAND)

Re WFO report of SA [REDACTED] 7/21/75.

A review of this matter indicates that all appropriate investigation has been conducted and reported.

Accordingly, in the absence of any specific request for additional investigation by the Bureau and/or the Department of Justice, this matter is being considered closed.

2 - Bureau  
1 - WFO (56-498) (Info)  
1 - Cleveland  
MVH:mak  
(4)

EX-108

REC-20

56-4757-65

21 AUG 1 1975



AUG 12 1975

5010-110

U.S. Savings Bonds Regularly on the Payroll Savings Plan

COPIES  
EX-108

b6  
b7C



UNITED STATES GOVERNMENT

# Memorandum

Assoc. Dir.	_____
Dep.-A.D.-Adm.	_____
Dep.-A.D.-Inv.	_____
Asst. Dir.:	_____
Admin.	_____
Comp. Syst.	_____
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Files & Com.	_____
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Intell.	_____
Laboratory	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

TO : Director, Federal Bureau of Investigation

DATE: August 28 1975

FROM: RLT Richard L. Thornburgh  
Assistant Attorney General  
Criminal Division

RLT:WTB:RBM  
72-017-57

SUBJECT: Reinterview of [redacted] Administrative Assistant to Congressman  
Re: Political Contributions by George Steinbrenner.

Reference is made to your memorandum of June 13, 1975, containing on pages 8-13, the results of an interview of [redacted] Administrative Assistant to Congressman Charles Mosher.

In view of the important inconsistencies in the accounting of the events involved in this matter by [redacted] on the one hand, and former Congressman Baumhardt on the other, it is requested that [redacted] be reinterviewed regarding the following matters:

1) Why \$500 of the total \$4,000 Steinbrenner contribution delivered by Baumhardt was not returned to Steinbrenner, but was accepted and reported as having been from Baumhardt.

2) Why the second, third or fourth Steinbrenner contributions (which were delivered in four installments) were ever accepted by [redacted] if there was any question in his mind or the mind of Congressman Mosher that corporate funds were involved in the preceding contribution.

3) Why [redacted] never questioned former Congressman Baumhardt regarding the source of these contributions if he, or the Congressman had some doubts as to the source of the funds.

4) Why he and the Congressman waited over one year to return these contributions which they claim were never "accepted."



5010-110

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

F B I

Transmit in \_\_\_\_\_ Via AIRTEL \_\_\_\_\_  
 (Type in plaintext or code) (Precedence)

TO: SAC, **Cleveland (56-106)**

9/2/75  
 (Date)

From: Director, FBI **REG-41**  
**SL 114** (56-4737) -

1 - Mr.

b6  
 b7C

**ALLEGED POLITICAL CONTRIBUTION BY  
 GEORGE M. STEINBRENNER, III, IN 1970,  
 1972 TO CONGRESSMAN CHARLES A. MOSHER  
 AND FORMER CONGRESSMAN WILLIAM E. MINSHALL;  
 POSSIBLE VIOLATIONS OF T2, USC, §434 (B),  
 440 AND T18, USC §603  
 ELECTION LAWS  
 OO: CV**

**ReCVrep 5/27/75.**

Enclosed are two copies of a self-explanatory Departmental letter dated

**8/28/75.**

Complete the requested investigation in accordance with the provisions of  
 Section 35, Volume II, Manual of Instructions, and surep within **10**  
 days of the receipt of this communication.

State in the first paragraph of the details of your report that it contains the results  
 of a ☐ limited investigation and underscore the word ☐ limited  
☒ preliminary ☒ preliminary

Advise ☐ all persons interviewed  
☒ appropriate officials at the outset that this investigation is being  
 conducted at the ~~S~~specific request of the U. S. Department of Justice.

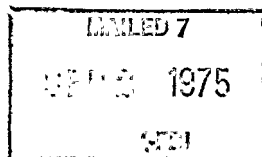
Remarks:

Enc. ( )

**2**

(Do not type below this line.)

**JB:bap (4)**



**54 SEP 30 1975**

MAIL ROOM ☐ TELETYPE UNIT ☐

(This line for LEFT MARGIN.)

(Do not type BEYOND THIS MARGIN.)

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

Date:

[REDACTED]

7/7/75

Office:

Washington, D.C.

Field Office File #:

56-498

Bureau File #:

Title:

ALLEGED POLITICAL CONTRIBUTION BY GEORGE M.  
STEINBRENNER, III, IN 1970 AND 1972 TO CONGRESSMAN  
CHARLES A. MOSHER AND FORMER CONGRESSMAN  
WILLIAM E. MINSHALL POSSIBLE VIOLATIONS OF TITLE 2,  
UNITED STATES CODE, SECTIONS 434 (b) 440 AND  
TITLE 18, UNITED STATES CODE SECTION 603

Character:

ELECTION LAWS

Synopsis:

U.S. Congressman CHARLES A. MOSHER furnished information to  
supplement previous interview, in that [REDACTED]  
[REDACTED] probably had mentioned a personal contribu-  
tion received, but at time of receipt MOSHER attached no parti-  
cular significance to it nor did he give the matter any special  
consideration. Attempts to interview former Congressman WILLIAM E.  
MINSHALL set forth and continuing.

- P -

DETAILS: AT WASHINGTON, D.C.This is a limited investigation.

WFO 56-498

On June 25, 1975, [ ] Administrative Assistant, United States Congressman CHARLES A. MOSHER, Rayburn Building, Washington, D.C., advised telephonically that Congressman MOSHER desired to furnish information clarifying a point previously furnished in an interview with Congressman MOSHER on May 20, 1975. [ ] stated that Congressman MOSHER would be available on July 1, 1975 to furnish this supplemental point.

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On June 25, 1975, [ ] telephonically advised that he had just returned from out of town and needed to get in touch with WILLIAM E. MINSHALL regarding the scheduling of the interview. On July 2, 1975, [ ] telephonically advised that he had not been in contact with MINSHALL and believed that MINSHALL was unavailable this week, July 2, 1975, through July 3, 1975. [ ] stated that he would contact MINSHALL July 7, 1975, and finalize a date for the interview.

WFO 56-498

JEK:mpc

1

On June 12, 1975, WILLIAM E. MINSHALL, Congressional Associates, 1730 M Street, N.W., Washington, D.C., telephonically advised that he was departing for Cleveland, Ohio that date, June 12, 1975, and would prefer interview with him be scheduled at 8:30 a.m., June 18, 1975, at his office, 1730 M Street, Washington, D.C.(WDC).

On June 17, 1975, [redacted], Attorney, Welch and Morgan, 900 17 Street, N.W., WDC, telephonically advised that former United States Congressman WILLIAM E. MINSHALL had visited his office this date June 17, 1975, and has retained him as counsel. [redacted] advised that he desired to be present during interview scheduled for June 18, 1975; however, he had a committment to travel to Las Vegas, Nevada for one week. [redacted] stated that upon his return to WDC on or about June 25 or 26, 1975, he would set up an interview as soon as possible.

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b7C

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/7/75

1

CHARLES A. MOSHER, Congressman, House of Representatives, United States Congress, Washington, D.C. (WDC), was contacted at his office at Room 2368, Rayburn Building, WDC. Congressman MOSHER was apprised of the identities of the interviewing agents and the nature of the inquiry regarding possible violations of Title 18, United States Code, Sections 434 (b), 440 and Title 18, United States Code, Section 603 involving Election Law Violations.

MOSHER was advised of his constitutional rights as set forth on a prepared form to which he expressed his complete understanding thereof by executing a waiver of rights. Present during the interview was MOSHER's Administrative Assistant, [REDACTED]

MOSHER advised that he wanted to furnish information regarding a supplemental point to his previous interview dated May 20, 1975. MOSHER had prepared the following information regarding the supplemental point that he wanted to make. MOSHER stated that the previous questioning on May 20, 1975, seemed to place a great deal of emphasis on the timing of any first awareness that he had that employees of the American Ship Building were making substantial contributions to the MOSHER For Congress Committee in 1970. MOSHER believed that he had indicated that he was not really aware of the extent and source of these contributions to his committee until he received a copy of Mr. [REDACTED] final campaign report to the Ohio Secretary of State.

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MOSHER stated that it was this point which he wanted to supplement and modify. MOSHER stated that as he thought back now to the situation as it then existed, it seems obvious to him that certainly Mr. [REDACTED] would never have received a personal contribution as large as \$1,000 from GORDON STAFFORD without mentioning it to him. MOSHER had absolutely no memory as to the time or place or means, but he is sure that when Mr. [REDACTED] received that STAFFORD contribution, and perhaps some of the others, [REDACTED] probably mentioned the matter to him. However, the essential fact remains that at that time

Interviewed on 7/1/75 at Washington, D.C. File # WFO 56-498

by SA [REDACTED] JEK:mpe Date dictated 7/2/75

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b7C

WFO 56-498

2

MOSHER did not attach any particular significance nor did he give the matter any special consideration. The fact is, MOSHER stated that he was extremely busy with the innumerable other matters on his mind, those checks made no great impression on him and it was not until long afterward when the questions began to be raised that those contributions achieved in his mind and MOSHER is sure that Mr. [REDACTED] mind, the importance and significance that they certainly now have. MOSHER repeated that it always has been his general policy during a campaign year, that he personally paid little or no attention to the individual contributions that were coming into his committee.

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MOSHER stated that he wanted to furnish this information to clarify this point. MOSHER stated that, in looking back, he did not attach the particular significance to this matter at that time that he probably should have. MOSHER stated that he did not know nor could he state when, where, or how the money was received as that would have to come from [REDACTED]

MOSHER advised that this was the extent of the clarification that he wished to make.

\*

UNITED STATES GOVERNMENT

*Memorandum*TO : Assistant Attorney General  
**CRIMINAL DIVISION**

DATE: 6/13/75

FROM : Director, FBI

SUBJECT:

*for* **ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER, III, IN 1970, 1972, TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM F. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATE CODE, SECTIONS 434(b), 440, AND TITLE 18, UNITED STATES CODE, SECTION 603 ELECTION LAWS**

Reference is made to my ~~memorandum~~ <sup>memoranda</sup> dated 6/5/75 and 6/3/75  
(your file DJ 72-017-57 ~~RAH~~ <sup>RAH</sup>).

There is enclosed one copy of the report of Special Agent   
dated 5/27/75 at CLEVELAND.

A. ☐ This covers the preliminary investigation and no further action concerning a full investigation will be taken by this Bureau unless the Department so directs.

B. ☒ *JTB/lu* The investigation is continuing and you will be furnished copies of reports as they are received.

C. ☐ The investigation requested by you has now been completed. Unless advised to the contrary no further inquiries will be made by this Bureau.

D. ☐ Pursuant to instructions issued by the Department, no investigation will be conducted in this matter unless specifically directed by the Department.

E. ☐ Please advise whether you desire any further investigation.

F. ☐ This is submitted for your information and you will be advised of further developments.

G. ☐ This is submitted for your information and no further investigation will be conducted unless specifically requested by the Department.

H. ☐ This covers the receipt of a complaint and no further action will be taken by this Bureau unless the Department so directs.

Enc. 1

**NOTE:** Enclosed herewith is one copy of the report of Special Agent  dated 5/27/75 at Cleveland. A copy of this report was previously furnished to you by my memorandum 6/3/75.

70 JUL 10 1975 *LC**6/13/75*



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>CLEVELAND</b>	DATE <b>9/12/75</b>	INVESTIGATIVE PERIOD <b>9/11-12/75</b>
TITLE OF CASE <b>ALLEGED POLITICAL CONTRIBUTIONS BY GEORGE M. STEINBRENNER, III, IN 1970, 1972, TO CONGRESSMAN CHARLES A. MOSHER AND FORMER CONGRESSMAN WILLIAM E. MINSHALL; POSSIBLE VIOLATIONS OF TITLE 2, UNITED STATES CODE, SECTIONS 434(b), 440, AND TITLE 18, UNITED STATES CODE, SECTION 603</b>		REPORT MADE BY <b>SA</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span> <span style="float: right;">b6 b7C</span>	TYPED BY <b>hs</b>
		CHARACTER OF CASE  <b>ELECTION LAWS</b>	

REFERENCE

Bureau airtel to Cleveland, 9/2/75.

- C -

ADMINISTRATIVE

All persons interviewed in this matter were advised the investigation is being conducted at the request of the United States Department of Justice.

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <i>[Signature]</i> COPIES MADE:						SPECIAL AGENT IN CHARGE	
4 - Bureau (56-4737) 1 - Cleveland (56-106)						DO NOT WRITE IN SPACES BELOW	
						56-4737-67 REC-16	
						1975 EX 104	
Dissemination Record of Attached Report						Notations	
Agency	<i>6-1-75</i>					SIX DISSECC	
Request Recd.							
Date Fwd.	<i>8-13-75</i>						
How Fwd.	<i>0-2-10</i>						
By	<i>57 10/1/75</i>						

-A\*-

COVER PAGE

EXP. PROC.

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office:

CLEVELAND

Date:

September 12, 1975

Field Office File #:

56-106

Bureau File #:

56-4737

Title:

ALLEGED POLITICAL CONTRIBUTIONS BY  
GEORGE M. STEINBRENNER, III, IN 1970, 1972,  
TO CONGRESSMAN CHARLES A. MOSHER AND  
FORMER CONGRESSMAN WILLIAM E. MINSHALL;  
POSSIBLE VIOLATIONS OF TITLE 2,  
UNITED STATES CODE, SECTIONS 434(b), 440,  
AND TITLE 18, UNITED STATES CODE  
SECTION 603

~~XXXXXXXXXX~~~~XXXXXXXXXX~~

Character:

ELECTIONS

Synopsis:

[REDACTED] reinterviewed Lorain, Ohio, 9/12/75,  
regarding the specific handling of 1972 campaign  
contributions of A. DAVID BAUMHART and GEORGE  
STEINBRENNER to the Mosher for Congress Committee.

- C -

Details:

Set forth are the results of a limited investigation.

## FEDERAL BUREAU OF INVESTIGATION

(1)

Date of transcription September 12, 1975

Mr. [ ] was interviewed at his office, 517 East 28th Street, Lorain, Ohio. It is to be noted that this address houses the insurance company of Mr. [ ] as well as the offices of Congressman CHARLES A. MOSHER on the second floor of this location.

At the beginning of this interview, Mr. [ ] was advised by Special Agent [ ] of the nature of the interview and that it pertained to specific questions concerning 1972 campaign contributions of A. DAVID BAUMHART and GEORGE STEINBRENNER to the Mosher for Congress Committee.

At this time an Interrogation: Advice of Rights form was furnished to Mr. [ ] by SA [ ] which form Mr. [ ] read and executed the waiver portion of this form.

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In regard to the total \$4,000 contribution from GEORGE STEINBRENNER to the Mosher for Congress Committee, which was delivered to [ ] by A. DAVID BAUMHART, and why \$500 of this total was accepted as having been received from BAUMHART while the rest of this money was subsequently returned, Mr. [ ] stated that the \$500 that was not returned was specifically designated by Mr. BAUMHART as having been a contribution to the Committee from himself and his wife and that a receipt for this \$500 was prepared and given to Mr. BAUMHART and the contribution was logged as having been received from Mr. BAUMHART.

Mr. [ ] further recalled that sometime in the past when Mr. BAUMHART was called, either to some hearing or for some hearing concerning this contribution, he had either lost or misplaced the receipt that was originally given to him for the \$500 contribution and he requested a copy of this receipt for his personal use and Mr. [ ] said that a xerox copy of the receipt was furnished to him.

Interviewed on 9/12/75 at Lorain, Ohio File # Cleveland 56-106  
by SAs and [ ] APB/hs Date dictated 9/12/75

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(2)

CV 56-106

In regard to why the second, third or fourth contributions were accepted by [ ] if there was any question in his mind or in the mind of Congressman MOSHER whether or not there were corporate funds involved, Mr. [ ] stated he does not believe that there was ever any thought there were corporate funds in the STEINBRENNER contributions but rather felt the contributions were of a personal nature, but had the definite impression that due to the trouble STEINBRENNER had been in regarding previous political contributions he did not want to be identified in any further political contributions and therefore did not want these contributions to be attributed to him personally.

Mr. [ ] was asked if he had any doubt as to the source of the contributions Mr. BAUMHART had furnished the Committee, why he never questioned Mr. BAUMHART concerning the source of these contributions. Mr. [ ] stated that he does not ever recall specifically questioning BAUMHART as to the source of the funds of the \$4,000 contributions as he had never had any doubt that the money was STEINBRENNER's personal money; however, he was puzzled in some regard why the money could not be logged as having been received from STEINBRENNER and a proper receipt given for this money.

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b7c

In regard to the period of time that [ ] held on to the contributions of STEINBRENNER before they were subsequently returned to STEINBRENNER, Mr. [ ] related as follows:

He stated that the period of time over which these contributions were received amounted to several months and Mr. MOSHER, when he decided that this money should go back to STEINBRENNER if it could not properly be attributed to him, decided that he wanted to personally return the money to STEINBRENNER. He stated that due to the fact that Mr. MOSHER and Mr. STEINBRENNER are frequently in travel status due to their occupations, it was difficult to get both of these individuals together. Mr. [ ] stated that when MOSHER first told BAUMHART he wanted to return the money to STEINBRENNER, BAUMHART was very strongly opposed to them returning the money. [ ] stated that MOSHER

(3)

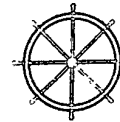
CV 56-106

spoke to BAUMHART at least on two occasions about returning the money and on one of these occasions he, [ ] was present. He stated he felt that BAUMHART felt strongly against the return of the money because it was [ ] feeling that BAUMHART thought STEINBRENNER might consider him to be somewhat of a failure in not being able to have this money be accepted by the Mosher Committee.

Mr. [ ] stated that even though at no time did Mr. MOSHER ever personally handle the money received by the Committee from STEINBRENNER, Mr. MOSHER wanted to be present personally when the money was handed back to STEINBRENNER. Mr. [ ] stated that probably the main reason the money did not go back to STEINBRENNER immediately was that both he and Mr. MOSHER were hoping that the okay would be received from STEINBRENNER through BAUMHART to log the contribution as having been received from STEINBRENNER and a proper receipt for the contribution made out to STEINBRENNER.

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Mr. [ ] could furnish no further explanation as to the manner in which the above contributions were handled.



THE AMERICAN SHIP BUILDING COMPANY

HILLSBORO BUILDING SUITE 420

P.O. BOX 2940 • TAMPA, FLORIDA 33601 • (813) 223-9411

July 28, 1976

Federal Bureau Of Investigation  
Attn: Freedom Of Information Section  
J. Edgar Hoover Building  
9th and Pennsylvania Avenue  
Washington, D. C. 20535

RE: Freedom Of Information Act/Privacy Act.

Dear Sir:

Under provisions of the captioned acts I respectfully request that you furnish me with all information including files, records, reports, exhibits and other materials you possess concerning me.

I was born July 4, 1930, Rocky River, Ohio and possess social security # 285-28-5751. I formerly resided in Bay Village, Ohio and presently live in Tampa, Florida.

Very truly yours,

*George M. Steinbrenner III*

George M. Steinbrenner, III

ST 109

REC-73

Sworn to before me this

28 day of July 1976  
*Danue Marshall*  
(Notary Public)

52-4737-68

7 AUG 9 1976

SEAL

*BOIA*

DEPT

Federal Bureau of Investigation  
Records Section

8/6, 1976

~~Name Searching Unit, 4543 JEH-FBI Bldg.~~~~Service Unit, 4654 JEH-FBI Bldg.~~

b6

☐ Forward to File Review

b7C

☒ Attention ☐ Return to

Supervisor

6248 5848

Room

Ext.

## Type of References Requested:

- ☒ Regular Request (Analytical Search)  
☒ All References (Subversive & Nonsubversive)  
☒ Subversive References Only  
☒ Nonsubversive References Only  
☒ Main References Only

## Type of Search Requested:

- ☐ Restricted to Locality of \_\_\_\_\_  
☐ Exact Name Only (On the Nose)  
☐ Buildup ☐ Variations

Subject Steinbrenner, George M. III,Birthdate & Place 7-4-30 Rocky River, OH

Address \_\_\_\_\_

Localities \_\_\_\_\_

R# \_\_\_\_\_ Date \_\_\_\_\_

Searcher  
Initials BDP

Prod. \_\_\_\_\_

FILE NUMBER

SERIAL

56-47737

56-5007-9

56-4778-1

Buia MR

George

56-47737

95-89353

97-54625

Additional Refs

8

UNITED STATES GOVERNMENT  
memorandum

DATE: January 26, 1979

REPLY TO  
ATTN OF:

Pardon Attorney

b6  
b7C

SUBJECT:

George Michael Steinbrenner  
Applicant for pardon

TO:

Director  
Federal Bureau of Investigation

FEDERAL GOVERNMENT

10

JB  
PRS

Enclosed is the petition for pardon of  
subject. I would appreciate your authorizing the  
usual clemency investigation and sending two copies  
of the report to this office. Please return the  
petition when the investigation has been completed.

*Per enclosed*

*(Handwritten initials)*

EX-125  
DE-36  
REC-11

173-19114-1

17 FEB 8 1979

*Spec. Inq.*



Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

U.S. Government Printing Office: 1977-241-530/3474

62 MAY 16 1979

OPTIONAL FORM NO. 10  
(REV. 7-76)  
GSA FPMR (41 CFR) 101-11.6  
5010-112



# PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

(Type or print) - This form may be modified for forfeiture of Veterans' benefits or military cases)

THE PRESIDENT OF THE UNITED STATES:

PETITIONER, George Michael Steinbrenner  
Name: First Middle Last  
 Social Security No. 285-28-5751, is a citizen of United States, born on July 4, 19 30  
Country  
 and resides at 10102 Lindelaan Tampa Florida 33618  
No. Street City State Zip Code

PETITIONER was convicted on a plea of guilty in the United States  
 District Court for the Northern guilty, not guilty or nolo contendere District of Ohio at Cleveland  
Eastern, Western, etc. State City  
 of the crime of conspiring to make corporate campaign contributions in viola-  
Describe specifically and accurately.  
tion of 18 U.S.C. §610 and being an accessory after the fact to viola-  
tions of §610. August 30, 19 74, to not applicable for not applicable  
imprisonment, probation length of service  
 and was sentenced on August 30, 19 74, to not applicable for not applicable  
 and/or to pay a fine of \$ 15,000.

If conviction was appealed, complete the following paragraph:

PETITIONER appealed to the United States Court of Appeals, where the judgment was affirmed on \_\_\_\_\_,  
 19 \_\_\_\_\_. An appeal \_\_\_\_\_ taken to the Supreme Court. The Supreme Court \_\_\_\_\_ a  
 was, was not \_\_\_\_\_ granted, denied \_\_\_\_\_  
 petition for a writ of certiorari on \_\_\_\_\_, 19 \_\_\_\_\_. If certiorari was granted, the judgment was affirmed on  
 \_\_\_\_\_, 19 \_\_\_\_\_.

PETITIONER began the service of his sentence on n/a, 19 \_\_\_\_; was released on \_\_\_\_\_,  
 19 \_\_\_\_\_ from \_\_\_\_\_ and was finally discharged by expiration of sentence on \_\_\_\_\_,  
Federal Institution  
 19 \_\_\_\_\_. The fine \_\_\_\_\_ been paid in full. If not paid, explain why. \_\_\_\_\_  
 has, has not \_\_\_\_\_

PETITIONER was 43 years of age when the crime was committed, and his prior and subsequent arrest record is as  
 follows: (List every arrest by local, state or Federal authorities, whether resulting in a conviction or not, giving date, disposition  
 of case and name and location of court.) There is no prior or subsequent arrest record.

PETITIONER is married, has 4 children, aged            lives with and supports his family to the best of his ability. (If divorced or not living with or supporting family, explain.) The ages of children are 9, 13, 18, and 20.

PETITIONER is employed by American Ship Building Company at Hillsboro Building, Suite 420, Tampa, Florida as Chairman of Board  
No. Street City State Position  
and has been so employed since August 18, 1967 and his prior employments since conviction follows:

(List names and addresses of employers and dates of each employment.)

New York Yankees, General Partner (March 22, 1973 to present)

PETITIONER submits his personal oath and three character references, promises to obey the laws of, and to be loyal to, the United States, and respectfully prays that he be granted a pardon for the following reasons: I respectfully request a pardon for the following reasons: (1) Under the laws of Florida, the state in which I reside, my conviction has resulted in a deprivation of certain civil rights such as the right to vote. A pardon would restore those rights. (2) My status as a felon has adversely affected my business and professional activities. As a result of my conviction, the Commissioner of Baseball prohibited my participation in the affairs of the New York Yankees for a period of 23 months though I am the general partner and principal owner of that team. Applications for licenses as the owner of race horses were delayed in a number of jurisdictions. I also believe my status as a felon has had adverse effects on other business opportunities and activities. (3) My conviction has also limited my participation in civic, charitable and community affairs. I have always tried to devote a substantial amount of my time to such activities. However, following my conviction it was plain to me that my association with certain activities could, as a result of my status as a felon, be a source of embarrassment to the particular charity or community affair. A pardon would, I believe, substantially reduce or eliminate that effect and would permit me to contribute more of my services to the community.

DATE

12-28-78

SIGNATURE OF PETITIONER

George M. Gishenew @

SAC, Tampa

2/6/79

Director, FBI

GEORGE MICHAEL STEINBRENNER  
 Application for Pardon After Completion of Sentence  
 OO: Bureau  
 BUDED (Initial report) 2/21/79  
 (Completion) 3/23/79

Reference is made to the Pardon Attorney's letter which is enclosed with applicant's petition and related documents.

Conduct investigation in accordance with ~~Chapter 5X~~  
~~Part III X FBI Handbook (Section 11 X Manual X Instructions) X~~  
 (Section 73, Manual of Investigative Operations and Guidelines.)  
 Enc. (7)

Verify payment of fine.

During interview of applicant, no comments should be made regarding whether or not applicant will be granted a presidential pardon. In addition, no comments should be made to third party interviewees concerning the applicant's request for executive clemency.

GLS:jas (VI)  
 (5)

RECEIVED: 2/6/79

RETURN TO MR.  

ROOM 3853

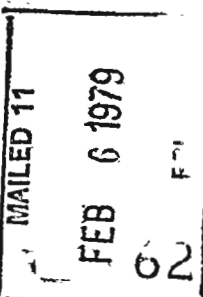
EX-125

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REC 11

73-19114-2

FEB 8 1979

MAIL ROOM ☒ TELETYPE UNIT ☐

MAR 6 1979

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>TAMPA</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>2/20/79</b>	INVESTIGATIVE PERIOD <b>2/19/79</b>
TITLE OF CASE <b>CHANGED</b> <b>GEORGE MICHAEL STEINBRENNER, III,</b> <b>George Michael Steinbrenner</b>		REPORT MADE BY <b>SA [redacted]</b>	TYPED BY <b>rmt</b>
		CHARACTER OF CASE <b>APACS</b>	<b>10</b>

Title marked "CHANGED" to reflect applicant's full name. Title previously carried as "GEORGE MICHAEL STEINBRENNER; APACS."

REFERENCES: Bureau letter to Tampa, 2/16/79.

- P -

## ENCLOSURES

FOR THE BUREAU

One (1) FD-165 pertaining to the applicant.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <b>ARM/1007</b>						SPECIAL AGENT IN CHARGE	
COPIES MADE:						DO NOT WRITE IN SPACES BELOW	
③ - Bureau (Enc. 1) ENCLOSURE 2 - Albany (Enc. 1) 2 - Cincinnati (Enc. 1) 4 - Cleveland (Enc. 1) 2 - Jacksonville (Enc. 1) 2 - Newark (Enc. 1) 2 - New York (Enc. 1) 3 - Pittsburgh (Enc. 1) 3 - Tampa (79-312)						73-14114-3 DE-6 REC-79 22 FEB 28 1979 V-13	
Dissemination Record of Attached Report						Notations	
Agency	2 cc's to Pardon Attorney, Department of Justice					Spec. Inv.	
Request Recd.							
Date Fwd.	APR 26 1979						
How Fwd.							
By	66 MAY 18 1979						

A

COVER PAGE

TP 73-312

FOR EACH RECEIVING OFFICE

One (1) copy each of an FD-406 executed by applicant.

ADMINISTRATIVE:

Buded in this matter is 3/23/79.

For the information of the Bureau, inasmuch as the applicant is a well-known public figure and his ownerships of organizations such as the New York Yankees and The American Ship Building Company are widely recognized, the Tampa Division is not setting forth leads to verify the applicant's employments through interviews with his employees, but is setting forth leads to verify applicant's business ownerships through reviews of State public corporation records UACB.

LEADS:

Leads set forth are to be conducted in accordance with Section 73, Part I, MIOG.

ALBANY

AT ALBANY, NEW YORK

Will review State corporation records to verify applicant's principal ownership of the New York Yankees.

CINCINNATI

AT COLUMBUS, OHIO

B  
COVER PAGE

TP 73-312

✓ Will review State corporation records to verify applicant's ownership of The American Ship Building Company and Kinsman Lines, both of Cleveland, Ohio.

CLEVELAND, OHIO

1. Will review records of U. S. District Court, Northern District of Ohio, regarding applicant's conviction for conspiracy to make corporate campaign contributions in violation of Title 18, U. S. Code, Section 610, and for being an accessory after the fact to violation of Title 18, U. S. Code, Section 610. Applicant reported sentence date as 8/30/74.

✓ 2. Will interview associate [redacted] Terminal Tower Building, regarding the applicant.

3. Will conduct credit and identification checks regarding the applicant.

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b7C

AT SHAKER HEIGHTS, OHIO

✓ Will interview reference, [redacted] [redacted] regarding the applicant.

JACKSONVILLE

AT TALLAHASSEE, FLORIDA

✓ Will review State corporation records regarding applicant's ownership of The American Ship Building Company, Suite 420, 512 North Florida, Tampa, Florida.

✓ NEWARK

TP 73-312

AT ATLANTIC CITY, NEW JERSEY

✓ Will interview associate [redacted]  
[redacted] regarding  
the applicant.

NEW YORK

AT NEW YORK CITY, NEW YORK

✓ 1. Will attempt to verify applicant's  
temporary and intermittent residence at the Carlisle  
Hotel, 76th and Madison, from 1974 to present.

✓ 2. Will interview associate [redacted]  
[redacted]  
✓ telephone [redacted] regarding the applicant.

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3. Will interview associate  
[redacted]  
✓ Palace Theater Building, Times Square, regarding the  
applicant.

✓ 4. Will interview reference [redacted]  
[redacted]  
regarding the applicant.

✓ 5. Will interview reference [redacted]  
[redacted] regarding the  
applicant.

✓ 6. Will conduct credit and identification  
checks regarding the applicant.

TP 73-312

PITTSBURGH

AT BECKLEY, WEST VIRGINIA

✓ Will conduct credit and identification checks regarding the applicant.

AT CHARLESTON, WEST VIRGINIA

✓ Will review State corporation records to verify the applicant's principal ownership of the Yankee Coal Company, Beckley, West Virginia.

TAMPA

AT TAMPA, FLORIDA

1. Will interview reference [redacted]

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2. Will interview associate [redacted]

✓ 3. Will conduct neighborhood investigation in the vicinity of the applicant's permanent residence, 10102 Lindelaan.

✓ 4. Will conduct credit and identification checks regarding the applicant.

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COVER PAGE



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of:

SA [REDACTED]

Office: Tampa

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Date:

February 20, 1979

Field Office File #:

73-312

Bureau File #:

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

On 2/19/79, applicant interviewed at Tampa, Florida,  
and background information set forth herein.

- P -

DETAILS:

Investigation in this matter was predicated upon receipt of a letter dated January 26, 1979, addressed to the Director, FBI, from [REDACTED] Pardon Attorney, U. S. Department of Justice, Washington, D. C., requesting a clemency investigation be conducted regarding the applicant, George Michael Steinbrenner, who had submitted a petition for pardon after completion of sentence, dated December 28, 1978.

Applicant's petition indicates he was convicted on a plea of guilty in U. S. District Court, Northern District of Ohio, Cleveland, Ohio,

TP 73-312

for conspiring to make corporate campaign contributions in violation of Title 18, U. S. Code, Section 610, and for being an accessory after the fact to violation of Title 18, U. S. Code, Section 610, and was fined \$15,000 on August 30, 1974.

INTERVIEW OF APPLICANT

On February 19, 1979, George Michael Steinbrenner, III, also known as George Michael Steinbrenner, was interviewed at his place of business, The American Ship Building Company, Suite 420, 512 North Florida, Tampa, Florida, and furnished the following information:

EMPLOYMENT HISTORY

The applicant advised that since his conviction on August 30, 1974, he has been engaged in employment as the principal owner of the New York Yankees Baseball Club, New York City, New York; as chairman of the board of The American Ship Building Company, with operating corporate headquarters located both in the Bond Court Building, Cleveland, Ohio, and in Suite 420, 512 North Florida, Tampa, Florida; as owner and chairman of the board of Kinsman Lines, Cleveland, Ohio; and as principal owner of the Yankee Coal Company, Beckley, West Virginia.

PLACES OF RESIDENCE

Applicant advised that since August 30, 1974, he has maintained a permanent residence at 10102 Lindelaan, Tampa, Florida. In addition, applicant stated he has resided on a temporary basis in the Carlisle Hotel, located at 76th and Madison, New York City, New York,

TP 73-312

while conducting business there.

APPLICANT'S VERSION OF CIRCUMSTANCES UNDER  
WHICH HE WAS CONVICTED

Applicant advised that during 1974 he pled guilty in U. S. District Court, Cleveland, Ohio, to the charge of making illegal campaign contributions and to the charge of being an accessory after the fact. Applicant stated this conviction stemmed from a \$25,000 corporate contribution made by him and several executive members of The American Ship Building Company to the Presidential Campaign of Richard Nixon during approximately 1972. Applicant advised that this corporate contribution was made after he received legal advice from corporate counsel, both inside and outside The American Ship Building Company, that this corporate campaign contribution was legal. He noted this contribution had been made openly; had been known to the accounting firm of Arthur Anderson; and had appeared in an annual statement of The American Ship Building Company. Applicant stated he would not have allowed the \$25,000 corporate contribution if he had known it was illegal. He advised that as chairman of the board of The American Ship Building Company, he was responsible for that company's transactions. However, applicant noted that the lawyers who provided the legal opinion concerning that corporate campaign contribution should have been more thorough in their legal research.

Applicant further noted that he had made a \$75,000 personal contribution to the Presidential campaign of Richard Nixon and that if he had known a \$25,000 corporate campaign contribution was illegal, he could have made an additional contribution in that

TP 73-312

amount from substantial personal funds.

Applicant advised that in regard to the related accessory after the fact charge, he never told any employee to lie about the corporate campaign contribution nor did he imply to employees that they should repeat his version of the facts concerning that contribution.

Applicant stated that he has no adverse feelings toward the judicial system due to his conviction and that he recognizes the corporate campaign contribution was an infraction of the law.

REASON PARDON DESIRED

Applicant advised he desires a pardon to restore his civil rights, particularly the right to vote. In addition, he noted that his felony conviction has adversely effected some of his past business and professional activities, and he believes a pardon would reduce or prevent any adverse effects on his future activities. Applicant further stated that his public participation in civic, charitable and community affairs has been limited due to his conviction, even though his financial support in such areas has continued.

Applicant noted that his inability to vote had been a serious concern of his. He advised that particularly at election time, he has felt embarrassment over this issue when asked if he had voted.

Applicant further stated that he is not eligible to accept public appointments without receiving a pardon. He noted, for example, that he had not been allowed to serve on the Florida Board of Regents due to his past conviction. He stated that he strongly supports university and college education

TP 73-312

in Florida, Ohio, and New York, and has previously served on the Ohio Board of Regents.

Applicant advised that due to his conviction, he had been prohibited by the commissioner of baseball from participating in the business and player-transaction decisions of the New York Yankees, of which he is the principal owner, for a twenty-three month period following his conviction.

Applicant stated that his conviction initially hindered his approval by the California, Kentucky, and Florida Racing Commissions to race horses. He noted it was necessary for him to appear before the California and Kentucky Racing Commissions and to present a brief before the Florida Racing Commission prior to receiving official approval to race his horses in those states. He stated that as a result of the time required to receive racing approval through such procedures, his horses were not allowed to race for several months while the cost of caring for those horses continued.

Applicant advised he had previously been asked to serve on the Board of Directors of the Hialeah Race Track at Miami, Florida. He did not accept that position, however, since he thought his felony conviction might possibly be reported by the press as reflecting unfavorably on the integrity of racing.

Applicant stated his past conviction has been and continues to be a source of embarrassment to him. He noted he frequently speaks in public, and during some of his public speeches, he has been questioned concerning his past conviction.

#### PRESENT MODE AND STANDARD OF LIVING

Applicant advised that he works long and hard

TP 73-312

hours and enjoys a good standard of living. He advised that he owns his own home and lives comfortably as a result of income derived from The American Ship Building Company; the New York Yankees; the Yankee Coal Company; and the Kinsman Lines. Applicant advised he is in the upper income bracket.

ASSOCIATES AND REFERENCES

Applicant provided the following list of associates and references with whom he has been personally acquainted:

[redacted]  
[redacted] Tampa, Florida.

[redacted] (associate) [redacted]  
[redacted] New York City, New York,  
telephone [redacted]

[redacted] (associate), [redacted],  
Cleveland, Ohio, telephone [redacted].

[redacted] (associate), [redacted]  
[redacted] Palace Theater Building, Times  
Square, New York City, New York.

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[redacted] (associate), [redacted]  
[redacted] Bally Corporation, Atlantic City, New Jersey.

[redacted] (reference), [redacted],  
[redacted], Tampa, Florida.

[redacted] (reference), [redacted]  
[redacted] Shaker Heights, Ohio.

[redacted] (reference), [redacted],

TP 73-312

[redacted], New York City, New York.

[redacted] (reference), [redacted]  
[redacted], New York City, New  
York.

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ANY PENDING MATTERS WITH THE FEDERAL OR  
STATE GOVERNMENTS

Applicant advised that he has no pending matters with the Federal Government or with any State governments.

ANY PRIOR OR SUBSEQUENT CRIMINAL RECORD

Applicant advised that he has no criminal record prior to or subsequent to the felony conviction to which he has filed a petition for pardon.

PHYSICAL DESCRIPTION

The following descriptive information was obtained through observation and interview:

Name	George Michael Steinbrenner, III
Alias	George Michael Steinbrenner
Race	White
Sex	Male
Date of Birth	July 4, 1930
Place of Birth	Rocky River, Ohio
Height	6'1"
Weight	210 pounds
Build	Medium heavy
Eyes	Blue
Hair	Brown
Military Service	U. S. Air Force from 1952

TP 73-312

to 1954, rank First  
Lieutenant, Strategic Air  
Command, Air Force Service  
Number A02248074

Social Security  
Account Number  
Residence

285-28-5751  
10102 Lindelaan,  
Tampa, Florida 33618

Office Telephone  
Numbers

Tampa, Florida,  
Area Code 813/223-9411;  
New York City, New York,  
Area Code 212/293-4300;  
Chairman of the Board,  
The American Ship Building  
Company, Cleveland, Ohio, and  
Tampa, Florida; principal  
owner, the New York Yankees,  
New York City, New York;  
principal owner, Yankee Coal  
Company, Beckley, West  
Virginia; owner and Chairman  
of the Board, Kinsman Lines,  
Cleveland, Ohio

Wife  
Date of Marriage  
Place of Marriage  
Wife's Employment  
Parents

May 12, 1956  
Columbus, Ohio

Henry G. and Rita Steinbrenner,  
Prince Charles Lane,  
West Lake, Ohio

Brothers  
Sisters

None

Lakewood, Ohio;

Rocky River, Ohio

Children

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TP 73-312

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Other Relatives or  
Individuals  
Residing at  
Residence  
Education

None  
Culver Military Academy,  
Culver, Indiana, graduated  
1948;  
Williams College,  
Williamstown, Massachusetts,  
received Bachelor of Arts  
Degree in 1952;  
Graduate school, Ohio State  
University, attended during  
1954, but did not complete  
requirements for MA Degree  
in Physical Education

**FEDERAL BUREAU OF INVESTIGATION**  
**Records Branch**

, 19

- ☐ Name Searching Unit, 4543 JEH-FBI Bldg.  
☐ Service Unit, 4654 JEH-FBI Bldg.  
☐ Forward to   
☐ Attention   
☐ Return to
- 385

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**Supervisor**

Room

**Ext.**

**Type of Search Requested:**

- ☐ ~~Restricted Search Not Applied~~  
☒ ~~All Reference (Subversive & Nonsubversive)~~  
☐ Subversive Search  
☐ Nonsubversive Search  
☐ Main \_\_\_\_\_ References Only
- MAP-1079

### Special Instructions:

- ☐ Restricted to Locality of \_\_\_\_\_  
☐ Exact Name Only (On the Nose)  
☐ Buildup ☒ Variations

## Subject

### Birthdate & Place

## Address

## Localities

R#

Date \_\_\_\_\_

**Searcher**  
**Initials** \_

**Prod:**

**FILE NUMBER**

**SERIAL**

FILE NUMBER  
173-19114

Diurnal 177.

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$$56 - 5007 =$$

510-4778-1

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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: SA [REDACTED] Office: Newark, New Jersey  
Date: March 8, 1979  
Field Office File #: 73-1336 Bureau File #:  
Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

## Synopsis:

Personal reference [REDACTED] interviewed  
on March 6, 1979, at Atlantic City, NJ.

-RUC-

DETAILS

On March 6, 1979, [REDACTED]  
[REDACTED]

[REDACTED] Bally Corporation, Atlantic City, New Jersey, was interviewed regarding his knowledge of GEORGE M. STEINBRENNER, III. [REDACTED] advised that in February, 1972, following his retirement from [REDACTED], he was hired by STEINBRENNER as a [REDACTED] of American Ship Building Company, Cleveland, Ohio. He stated that he moved to Tampa, Florida in approximately 1974, when STEINBRENNER moved to Florida.

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[REDACTED] advised that STEINBRENNER had related his side of the story concerning his conviction for making illegal campaign contributions and he, [REDACTED] had made this information available to the Department of Justice at the time that the investigation was conducted.

NK 73-1336

[ ] advised that during the time that he knew STEINBRENNER he was heavily involved with a number of charitable and civic organizations. He felt that STEINBRENNER gave of his time and money to these various organizations willingly and he was interested in helping various individuals and organizations. [ ] believes that STEINBRENNER's character is good including his moral turpitude. He further believes that he generally enjoys a good reputation among his peers and members of various communities in which he has resided. [ ] stated that he personally believes that STEINBRENNER is deserving of a pardon.

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## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>TAMPA Newark</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>3/8/79</b>	INVESTIGATIVE PERIOD <b>3/6/79</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III also known as, George Michael Steinbrenner</b>		REPORT MADE BY SA <span style="border: 1px solid black; padding: 0 20px;"> </span>	TYPED BY <b>kjm</b>
		CHARACTER OF CASE  <b>APACS</b>	

b6  
b7cREFERENCES: Tampa report of SA   dated 2/20/79.

-RUC-

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

3-Bureau  
1-Newark (73-1336) (ARA)

73-19114-4 REC-79

2 MAR 12 1979

Dissemination Record of Attached Report				Notations
Agency	2 cc's to Pardon Attorney Department of Justice			
Request Recd.				
Date Fwd.	APR 26 1979			
How Fwd.	GWS/jas			
By				

66 MAY 18 1979

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## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>JACKSONVILLE</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>3/12/79</b>	INVESTIGATIVE PERIOD <b>3/1/79</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III, aka SC [redacted] George Michael Steinbrenner</b>		REPORT MADE BY <b>SC [redacted]</b>	TYPED BY <b>/fla</b>
		CHARACTER OF CASE <b>APACS</b>	

## REFERENCE:

Tampa report of SA [redacted] 2/20/79

-RUC-

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## ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act and those requesting confidentiality have been so noted.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CON.VIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED <b>JWO/MB</b>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
COPIES MADE: ③ - Bureau 1 - Tampa (73-312) (Info) 1 - Jacksonville (73-364)		<b>73-1914-5</b> <b>9 MAR 15 1979</b> <b>REC-79</b>

Dissemination Record of Attached Report		Notations
Agency		
Request Recd.	2 cc's to Pardon Attorney Department of Justice	Special Inq. 
Date Fwd.		
How Fwd.	APR 26 1979	
By	<i>[Signature]</i>	

66 MAY 18 1979

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of: SC [REDACTED]

Office: JACKSONVILLE

Date: March 12, 1979

Field Office File #: JK 73-364

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

b6  
b7C

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Corporation Records, Tallahassee, Florida,  
negative regarding the American Ship Building  
Company.

-RUC-

DETAILS:EMPLOYMENT:

On March 1, 1979, [REDACTED] Clerk, Corporation  
Records Division, Secretary of State's Office, Tallahassee,  
Florida, advised no Corporate Record could be located in  
her files regarding the American Ship Building Company,  
Suite 420, 512 North Florida, Tampa, Florida.

1\*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to  
your agency; it and its contents are not to be distributed outside your agency.

FBI/DOJ

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ Airtel

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ E F T O  
☐ CLEAR

Date 3/13/79

TO: DIRECTOR, FBI  
 FROM: SAC, CINCINNATI (73-751) (RUC)  
 SUBJECT: GEORGE MICHAEL STEINBRENNER, III  
 George Michael Steinbrenner  
 APACS

Re TP rep of SA [REDACTED] 2/20/79.

ADMINISTRATIVE

The lead set forth for Cincinnati Division at Columbus, Ohio, to verify applicant's ownership of the American Ship Building Company and Kinsman Lines at the State of Ohio, Corporate Records, reflected their records contain information as to the date of corporation, amount of stock authorized and issued, the principal place of business, and statutory agent. The records do not denote stockholders and the amount of stock owned. This information may be secured at the principal place of business.

LEADCLEVELANDAT CLEVELAND, OHIO

Verify applicant's ownership of the American Ship Building Company at the Bond Court Building. Also contact Chairman of the Board, Kinsman Lines, and verify applicant's ownership of this corporation.

2-Bureau  
 1-Cleveland (Info.)  
 1-Tampa (73-312) (Info.)  
 1-Cincinnati

HNC/cc (5)

9 MAR 14 1979

62 MAY 17 1979

Approved: \_\_\_\_\_

Transmitted \_\_\_\_\_  
(Number) (Time)

Per \_\_\_\_\_



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>3/20/79</b>	INVESTIGATIVE PERIOD <b>3/5/79 - 3/8/79</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III aka George Michael Steinbrenner</b>		REPORT MADE BY <div></div>	TYPED BY <b>geg</b>
		CHARACTER OF CASE <b>APACS</b>	

b6  
b7CREFERENCETPrep of SA , dated 2/20/79.

- RUC -

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:  PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
APPROVED <div></div>						SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW
COPIES MADE:  (3) - Bureau 2 - Tampa <i>info</i> 2 - New York (73-3631)						<div>EX-106</div> <div>15 MAR 22 1979</div> <div>REC-79</div>		
Dissemination Record of Attached Report:						Notations		
Agency	2 cc's to Pardon Attorney							
Request Recd.	Department of Justice							
Date Fwd.								
How Fwd.	APR 26 1979							
By	<div></div>							

66 MAY 18 1979

A\* -  
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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

Date:

Field Office File #:

Title:

[REDACTED]  
March 20, 1979

Office:

New York, New York

b6

b7c

Bureau File #:

73-3631

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

Synopsis:

References interviewed with favorable results. Associates interviewed with favorable results. Credit check conducted, good credit rating. Arrest check conducted with negative results.

- RUC -

NY 73-3631

DETAILS:

References

On March 8, 1979, [REDACTED]

[REDACTED] New York, New York, was contacted. He advised that he has known the applicant for about six years. His initial contact with the applicant occurred when [REDACTED] was [REDACTED]. STEINBRENNER is heavily involved in many Catholic charities throughout the Archdiocese. [REDACTED] stated that he has contributed large amounts of money and immeasurable amounts of time to many charitable causes. [REDACTED] stated that STEINBRENNER has been instrumental in initiating new charitable programs involving the Yankee organization. [REDACTED] believes that STEINBRENNER is a very moral man who has a sincere desire to help people. He stated further that STEINBRENNER tries hard to be a good citizen. [REDACTED] is aware of STEINBRENNER's request and believes that the Government should react favorably to the application. [REDACTED] believes that the applicant is unquestionably loyal to the United States (US) Government.

On March 8, 1979, [REDACTED] of the Catholic Relief Services, 28 East 63rd Street, New York, New York, was interviewed. [REDACTED] stated that he has known the applicant socially for the past four years. He stated that he is not involved in any way with STEINBRENNER's charity work within the Archdiocese of New York because Catholic Relief Services is a national organization. [REDACTED] stated that STEINBRENNER is very generous with his time toward many causes. He believes that the true picture of Mr. STEINBRENNER does not come through because of the distorted media build-up surrounding everything STEINBRENNER is involved in. [REDACTED] feels that STEINBRENNER is extremely generous and warm-hearted. Purely from his four-year friendship with the applicant, [REDACTED] feels that STEINBRENNER is a good person and a good citizen. He feels that STEINBRENNER is unquestionably loyal to the US Government.

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NY 73-3631

Associates:

On March 8, 1979, [redacted] Palace Theatre Building, was contacted. [redacted] stated that he has known the applicant for about 15 years. He first met the applicant when he assisted the applicant in the production of various shows. [redacted] stated that he has spent a good deal of time both socially and professionally with the applicant, though he has not had as much contact with him since he [redacted] began spending more time in California. Professionally, [redacted] owns a small fractional share of the Yankee Baseball Club and is on the Board of Directors of STEINBRENNER's American Shipbuilding Company. [redacted] believes that STEINBRENNER is a very charitable man, not only with his money but with his time. [redacted] stated that the applicant has a "gruff exterior" but is a very kind individual. He advised that over their 15-year friendship, the applicant has done an extremely large amount of charity work. [redacted] strongly believes that the Government should react favorably to Mr. STEINBRENNER's request. He stated that Mr. STEINBRENNER is unquestionably loyal to the United States (US) Government.

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On March 8, 1979, [redacted] 645 Madison Avenue, New York, New York, was contacted. [redacted] stated that he has known the applicant for about ten years. He also stated that he is familiar with the facts behind Mr. STEINBRENNER's application. [redacted] believes that the Government should look favorably on STEINBRENNER's application. [redacted] stated that the applicant is a tremendous person with a strong character. [redacted] advised that he and the applicant had worked together on a number of charitable undertakings including CYO-Yankee Day Camp and the St. Jude Hospital Fund. Additionally, the applicant is the owner of a [redacted] franchise in Tampa. [redacted] stated that he has had an opportunity to observe the applicant on many occasions both socially and professionally and finds him to be an individual very interested in helping his fellow man. [redacted] commented that STEINBRENNER not only gives a great deal of financial backing to the various charities but also gives a great deal of his time. [redacted] stated that STEINBRENNER is completely loyal to the US Government and is a man of high ethics and moral fabric.

NY 73-3631

Residence

Hotel Carlisle  
76th and Madison  
New York, New York

On March 7, 1979, [redacted] of the Hotel Carlisle, was interviewed. He advised that the applicant had held a lease on Apartment 23B from October 1, 1973 to September 30, 1975. [redacted] further advised that STEINBRENNER now rents a room on a transient basis as necessary, a couple of times per month.

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On March 7, 1979, Mr. [redacted] of captioned hotel, was contacted. [redacted] advised that he believes the applicant stays at the hotel approximately 20 or 30 times per year with each stay varying in length.

NY 73-3631

Credit

On March 9, 1979, Special Clerk (SC) [redacted] was advised by [redacted] Clerk, Credit Information Corporation, that a search of their records revealed a good credit rating for the applicant.

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Arrest

On March 9, 1979, SC [redacted] advised a search of New York City Police Department records by [redacted] Identification Section, and [redacted] Criminal Records Section, revealed no record identifiable with the applicant.

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>ALBANY</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>3/21/79</b>	INVESTIGATIVE PERIOD <b>3/7/79</b>
TITLE OF CASE  <b>GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner</b>		REPORT MADE BY <b>SC</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>njd</b>
		CHARACTER OF CASE  <b>APACS</b>	

REFERENCE:

Tampa report of SA , 2/20/79.

- RUC -

ADMINISTRATIVE DATA:

Individual contacted was apprised of the provisions of the Privacy Act.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED <b>RIRMX</b> SPECIAL AGENT IN CHARGE COPIES MADE: 3 - Bureau 1 - Albany (73-405)	DO NOT WRITE IN SPACES BELOW <div style="border: 1px solid black; padding: 5px; margin: 5px;"> <b>73-19114-</b> </div> <div style="border: 1px solid black; padding: 5px; margin: 5px;"> <b>NOT RECORDED</b>  <b>MAR 23 1979</b> </div>
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Dissemination Record of Attached Report				Notations
Agency	2 cc's to Pardon Attorney Department of Justice			
Request Recd.				
Date Fwd.	APR 26 1979			
How Fwd.				
By	CLS/yes			Spec. Inq.

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SC [REDACTED]

Office: ALBANY

Date:

3/21/79

Field Office File #:

73-405

Bureau File #:

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

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Synopsis:

New York State Department of State, Corporation Section, Albany, New York, unable to verify STEINBRENNER's principal ownership of the New York Yankees.

- RUC -

DETAILS:

On March 7, 1979, [REDACTED] Principal Clerk, New York State Department of State, Corporation Section, Albany, New York, advised no record could be located concerning the New York Yankees Baseball Club or GEORGE MICHAEL STEINBRENNER, III as having received a Certificate of Incorporation to do business in the State of New York.

Mr. [REDACTED] advised the only listing available for the New York Yankees is that of the New York Yankees Foundation Incorporation, which is a non-profit corporation, and the New York Yankees Basketball Club.

1\*



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE TAMPA	OFFICE OF ORIGIN BUREAU	DATE 3/21/79	INVESTIGATIVE PERIOD 3/19-20/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka SA [redacted] George Michael Steinbrenner		REPORT MADE BY [redacted]	TYPED BY rmt
		CHARACTER OF CASE APACS	

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REFERENCES: Bureau letter to Tampa, 2/6/79;  
Tampa report of SA [redacted] 2/20/79.

- RUC -

## ENCLOSURES

## FOR THE BUREAU

Applicant's petition and the related character affidavits previously submitted to Tampa as enclosures to referenced Bureau letter.

ACCOMPLISHMENTS CLAIMED						<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE

3 - Bureau (Enc. 1) ENCLOSURE  
1 - Tampa (73-312)

7 MAR 26 1979

## Dissemination Record of Attached Report

Agency	2 ccs to Pardon Dept. of Justice
Request Recd.	
Date Fwd.	APR 26 1979
How Fwd.	(5)
By	66 MAY 18 1979 LS/jas

Notations

Spec. Inq.

COVER PAGE

TP 73-312

ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act and those requesting confidentiality have been so noted.

Bureau should note that the lead to interview associate [redacted] Tampa, is being held in abeyance inasmuch as Mr. [redacted] will not return from vacation outside the United States prior to the Bureau deadline in this matter; inasmuch as Mr. [redacted] in the MacFarlane, Ferguson, Allison, and Kelly Law Firm, in which [redacted], one of applicant's references, is a partner; and inasmuch as Mr. [redacted] is only one of several associates listed by applicant.

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COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of:  
Date:SA [REDACTED]  
March 21, 1979

Office: Tampa

Field Office File #: 73-312

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

## Synopsis:

[REDACTED] reference, Tampa, Florida, advised applicant is individual of outstanding character, reputation and loyalty and is a business and social leader who strongly supports educational foundations, civic movements, and community welfare programs. Neighbors consider applicant to be individual of good character and reputation. Applicant maintains satisfactory credit rating. Records of the Tampa, Florida, Police Department and Hillsborough County SO, Tampa, Florida, failed to reveal any record for applicant. Records of the Hillsborough County Traffic Division, Tampa, Florida, reveal applicant was issued traffic citation for speeding on 12/23/78; pled guilty on 1/2/79; and paid \$26.00 fine on same date.

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- RUC -

DETAILS:REFERENCES AND ASSOCIATES

The following investigation was conducted by  
Special Agent (SA) [REDACTED] at Tampa, Florida:

TP 73-312

On March 19, 1979, [redacted] Secretary, McFarlane, Ferguson, Allison, and Kelly Law Firm, 512 North Florida, advised that [redacted] was currently vacationing outside the United States and would not return to work until March 26, 1979.

On March 20, 1979, [redacted] Attorney [redacted] McFarlane, Ferguson, Allison, and Kelly Law Firm, 512 North Florida, furnished the following information:

He has been acquainted with the applicant for approximately ten years. [redacted]

Mr. [redacted] became a member of the Board of Directors of the American Ship Building Company and he continues to serve in such capacity. Mr. [redacted] association with the applicant has been both professional and social.

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Mr. [redacted] described the applicant as being an extremely dynamic individual who has been not only a business and social leader of the local community, but also of the State of Florida. He noted applicant has generously given his time, efforts and financial support to educational foundations, civic movements, and to community welfare programs including the United Fund and is recognized as an individual who helps those who cannot help themselves. Mr. [redacted] advised he knew of no one who had contributed more to the progress and welfare of Tampa, Florida, than the applicant. Mr. [redacted] noted that applicant's associates include many community leaders and numerous politicians, one of whom is former Florida Governor Reubin Askew. Mr. [redacted] stated that applicant is well educated, is a man of considerable ability, and is completely honest, trustworthy and reliable. He advised that applicant had rejuvenated the New York Yankees Baseball

TP 73-312

Club and had been very successful in the ship repair and horse racing businesses.

Mr. [ ] advised that applicant is an individual of outstanding character and reputation and is an extremely loyal American citizen.

Mr. [ ] advised that he had prepared a character affidavit in support of applicant's petition for a Presidential pardon and he added that, in his opinion, applicant is unquestionably deserving of such a pardon.

NEIGHBORHOOD

The following investigation was conducted by SA [ ] at Tampa, Florida, on March 20, 1979:

Mrs. [ ] resident, [ ], advised that she has known applicant and his family on a social basis since they had moved to their current residence, 10102 Lindelaan, approximately three to four years ago. She considers applicant and his family to be outstanding neighbors and to be individuals of good character and reputation.

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Mrs. [ ] resident, [ ], advised that she has known the applicant and his family on a social basis for approximately three years. She noted applicant and his family are very good neighbors and are individuals of good character and reputation.

Mrs. [ ] resident, [ ], advised she knows the applicant only on a limited basis but considers applicant to be very friendly and to be an individual of good character and reputation.

TP 73-312

On March 20, 1979, Mrs. [ ] resident, [ ] advised that she does not know the applicant nor his family very well, but she noted applicant and his family have a very good reputation in the neighborhood.

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The following investigation was conducted by Special Clerk (SC) [ ] at Tampa, Florida:

CREDIT

On March 15, 1979, [ ] (PROTECT IDENTITY BY REQUEST) [ ] Credit Bureau of Greater Tampa, advised that her records reveal that George M. Steinbrenner [ ] reside at 10102 Lindelaan, Tampa, Florida. He has been in their files since February, 1975. Steinbrenner lists his employment as Chairman of the Board, American Ship Building Company, and Partner-Manager of the New York Yankees Ball Club. Steinbrenner maintains a satisfactory credit rating and no delinquent payments have been noted.

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IDENTIFICATION

On March 15, 1979, [ ] (PROTECT IDENTITY BY REQUEST) [ ] Records Section, Tampa Police Department, and [ ] (PROTECT IDENTITY BY REQUEST) [ ] Identification Division, Hillsborough County Sheriff's Office, Tampa, Florida, advised they were unable to locate a record for George Michael Steinbrenner.

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On March 15, 1979, [ ] Records Clerk, Hillsborough County Traffic Division, Tampa, Florida, advised that his records reveal that George Michael Steinbrenner was issued a traffic citation for speeding on December 23, 1978. Steinbrenner pled guilty, and was found guilty on January 2, 1979. Steinbrenner paid a \$26.00 fine on the same date.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>3/22/79</b>	INVESTIGATIVE PERIOD <b>3/2-19/79</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner</b>		REPORT MADE BY <b>SA [redacted]</b>	TYPED BY <b>tmw</b>
		CHARACTER OF CASE <b>APACS</b>	

## REFERENCES

Tampa report of SA [redacted] dated 2/20/79.  
Cincinnati airtel to Bureau, 3/13/79.

-RUC-

## ADMINISTRATIVE

Buded in this matter is 3/23/79.

All persons interviewed in this matter were appraised of the Freedom of Information and Privacy Act.

As set forth in referenced report, inasmuch as the applicant is a well-known public figure and his ownerships of organizations such as the New York Yankees and the

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

③ - Bureau

1 - Tampa (73-312) (info)

1 - Cleveland (73-621)

73-19114-9 REC-79

7 MAR 26 1979

## Dissemination Record of Attached Report

Agency	2 cc's to Pardon Attorney Department of Justice
Request Recd.	
Date Fwd.	APR 26 1979
How Fwd.	5
By	66 MAY 1 1979 [signature]

Notations

Spec. Inv.

COVER PAGE

CV 73-621

American Ship Building Company are widely recognized, no leads were set forth to verify the applicant's employments through interviews with his employees. However, as set forth in enclosed report, interview of [redacted] reference of applicant, provided the names of companies in which applicant is active and holds executive positions, as [redacted] firm represents applicant in most of his business ventures and maintains the tax records of these companies. These companies and applicant's positions with them are set forth in the report under heading of "Employment", thus verifying his employment with these companies, without interviews of his employees.

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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]  
Date: 3/22/79

Office: CLEVELAND, OHIO

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b7c

Field Office File #: 73-621

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

## Synopsis:

Applicant's employment and executive position in Cleveland verified. Reference and associate recommend applicant very favorably and know of no possible derogatory information as to his conduct. Applicant has a favorable credit record and no arrest record in Cleveland, Ohio. Records of Clerk of Courts, U.S. District Court, Northern District of Ohio, disclose applicant pled guilty on 8/23/74 to violation Title 18, Section 3, 610 U.S. Code, one count of unlawful contribution to election of Government officials and on 8/30/74 was fined \$5,000 by U.S. District Court Judge LEROY J. CONTIE, JR. Applicant further pled guilty before Judge CONTIE on 8/23/74 to violation of Title 18, Section 371, U.S. Code, and on 8/30/74 was fined \$10,000.

-RUC-

DETAILS:EMPLOYMENT

On March 13, 1979, a review of the 1979 edition of Standard & Poor's Register for Directors and Executives (Volume 2) listed STEINBRENNER, GEORGE M., III, born 1930 in Rocky River, Ohio, as Chairman of the Board of the American Ship Building Company, as well as Director of Kinsman Marine Transit Company.

CV 73-621

On March 15, 1979, [redacted] who submitted an affidavit on behalf of the applicant, and was listed as a reference by applicant, was interviewed, the results of which are set forth under captioned heading References. However, in addition to information gleaned during interview of [redacted] he provided the following information as to the companies in which the applicant is active and the executive positions held by applicant as his firm, McCarthy, Lebit, Crystal, Kleinman and Gibbons, Co., LPA, Cleveland, Ohio, represents applicant in most of his business ventures and maintains the applicant's tax records for most of these companies:

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American Ship Building Company  
Chairman of the Board  
Cleveland, Ohio

S&E Shipping Corporation  
Chairman of the Board  
Cleveland, Ohio

Bay Shipping Corporation  
Chairman of the Board  
Tampa, Florida

Great Lakes Associates  
President and Chief Executive Officer  
Cleveland, Ohio

Trans-Marine Management Corporation  
Director  
Tampa, Florida

New York Yankees  
General Partner  
New York, New York

Yankee Coal Company  
Director  
Beckley, West Virginia

Kinsman Marine Transit Company  
Director  
Cleveland, Ohio

REFERENCE

On March 15, 1979, [redacted]  
[redacted] Shaker Heights, Ohio, an attorney with McCarthy, Lebit, Crystal, Kleinman and Gibbons, Co., LPA, whose offices are located in the Illuminating Building, Public Square, Cleveland, Ohio (who submitted an affidavit on behalf of applicant in connection with applicant's petition for a Presidential pardon) was interviewed and furnished the following information:

He stated, as in his affidavit, that he has personally known GEORGE M. STEINBRENNER, III, and his family in excess of ten years. He and his firm have represented STEINBRENNER in many matters including most of his business ventures and dealings, and he is personally involved with STEINBRENNER as a friend, investor and business partner in many ventures, including the New York Yankees Baseball Team and Yankee Coal Company of Beckley, West Virginia, to name two.

[redacted] advised that in addition to the applicant, he is personally familiar with his family including the applicant's wife, four children, two sisters, father and mother. He characterized the applicant as an extraordinary individual, who is extremely intelligent, generous, straightforward, honest and who is very active in his community, generously giving of his resources and time.

[redacted] stated that as for STEINBRENNER's crime of making illegal campaign contributions to the Presidential campaign of RICHARD NIXON during 1972, the lawyers who provided the applicant and the American Ship Building Company the legal opinion concerning the corporate campaign contributions should have been more thorough in their legal research and the above problem would never have occurred.

[redacted] advised he could not give enough praise to applicant, and advised that the applicant was one of the finest human beings he has ever dealt or associated with and is an honest, capable businessman of good character and reputation.

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CV 73-621

To [ ] knowledge, applicant has never been arrested and has had no trouble with the authorities since the above-mentioned illegal campaign contribution.

ASSOCIATE

On March 19, 1979, Mr. [ ] Attorney with Guren, Merritt, Sogg and Cohen, Room 650, Terminal Tower Building, Public Square, Cleveland, Ohio, who is an associate of applicant was interviewed and furnished the following information:

He stated that he first met the applicant in 1966 when the applicant founded what was called "Group 66." This group was a civic group formed by the applicant and other members of the Cleveland area business community to help move the City of Cleveland, Ohio, ahead both economically and progressively. Since that time, [ ] advised he has come to know the applicant and his family both socially and as a business partner. He stated that he is in telephonic contact with applicant at least once a week and sees him personally on the average of once a month.

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[ ] advised that the applicant and he are business partners in various business ventures including real estate, maritime ventures, race horses, the Chicago Bulls Basketball Team, and at one time partners in the New York Yankees Baseball Team before he [ ] sold his shares.

[ ] advised he would trust applicant with everything he has or owns and could not praise him enough. He advised that applicant is above board in all that he does and if not for bad advice from former attorneys, would not have been involved with making an illegal campaign contribution.

[ ] advised that applicant is one of the most moral men he knows, is an excellent family man and hardly ever drinks alcoholic beverages. [ ] stated he has never known the applicant to do anything illegal or immoral.

CV 73-621

COURT RECORDS

A review of records of the Clerk of the U.S. District Court, Northern District of Ohio, Eastern Division, Cleveland, Ohio, on March 2, 1979, under applicant's name alone disclosed the following information relating to the applicant under his name:

On August 23, 1974, an information was filed charging GEORGE M. STEINBRENNER, III, with violation of Title 18, Section 3, 610 U.S. Code, one count of unlawful contribution to election of Government officials. This information bears Docket Number CR74-372 in the files of the above court.

A further review of CR74-372 revealed that on August 23, 1974, the minutes of the proceedings were filed before Federal Judge LEROY J. CONTIE, JR. STEINBRENNER was arraigned and a plea of guilty was entered. The matter was then referred for a pre-sentence report.

On August 30, 1974, the minutes of the proceedings were filed before Judge CONTIE and Mr. STEINBRENNER was fined \$5,000.00. On that same date, a judgment and commitment were filed by Judge CONTIE, with two certified copies being sent to the U.S. Marshal; one certified copy of each being furnished the U.S. Attorney and U.S. Probation Office, and one to the Defendant's Attorney.

On September 12, 1974, applicant paid the \$5,000.00 and \$10,000.00 fines and these payments were turned over to the Treasury of the United States on September 13, 1974.

A further review of the records of the above Clerk of the U.S. District Court, Northern District of Ohio, Eastern Division, Cleveland, under applicant's name and the American Shipbuilding Company disclosed the following additional information relating to STEINBRENNER:

On April 5, 1974, a fifteen count indictment was returned charging applicant and the American Shipbuilding Company with violations of Title 18, Sections 2, 371, 810, 1001, 1503 and 1510, U.S. Code; Conspiracy, Unlawful Contribution, Obstruction of Criminal Investigation, False

CV 73-621

Statements to Federal Officers, Aiding and Abetting, and Influencing Grand Jury Witnesses. This indictment bears Docket Number CR74-174 in the files of the above court.

A review of CR74-174 revealed that on April 19, 1974, applicant appeared before the U.S. Magistrate HERBERT T. MAHER and entered a not guilty plea to the 14 count indictment. He was released on personal recognizance bond.

On August 23, 1974, applicant appeared before U.S. District Court Judge LEROY J. CONTIE, JR., Cleveland, Ohio, and pled guilty to count one of the indictment, a conspiracy count.

Guilty pleas were also entered on behalf of the American Ship Building Company to a charge that company officials conspired with applicant and to an individual charge of making illegal corporate campaign contributions; counts 1 and 7 of the indictment.. Both defendants were referred for pre-sentence report.

On August 30, 1974, Judge CONTIE dismissed counts 2, 3, 4, 5, 6, 7, 9, 12, 13, 14 and 15 and sentenced applicant to a \$10,000 fine regarding violation of Title 18, Section 371, U.S. Code. American Ship Building Company received a fine of \$10,000 for violation of Title 18, Section 371, U.S. Code and a \$10,000 fine for violation of Title 18, Section 610, U.S. Code.

#### CREDIT AND ARREST RECORDS CHECKS

On March 5, 1979, a credit report was received concerning the credit history of GEORGE MICHAEL STEINBRENNER, III, [redacted] residence 30400 Salem Avenue, Bay Village, Ohio, Credit Bureau Number 6068662.

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Applicant's Social Security Number was given as 285-28-5751, his sex was listed as male, marital status - married. His employment was shown as Chairman of the Board for the American Ship Building Company. His former employment was listed as Great Lakes Association, Inc.

CV 73-621

No derogatory information concerning the applicant was contained in this report.

On March 2, 1979, Patrolman [redacted] Cleveland Police Department, Record Room advised he could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

On March 2, 1979, Detective [redacted] Organized Crime Unit, Cleveland Police Department, advised he could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

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On March 2, 1979, [redacted] Identification Division, Cleveland Police Department, advised she could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

Airtel

4/13/79

To: SAC, Pittsburgh

From: Director, FBI (73-19114)

GEORGE MICHAEL STEINBRENNER, III, aka  
APACS  
BUDED: Past.

Rerep of SA [redacted] dated 2/20/79 at  
Tampa, Florida.

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Report containing results of investigation as  
requested in rerep not received at Bureau as of this date.  
By return airtel advise Bureau date report submitted to  
Bureau, identity of reporting Agent and reason for your  
delinquency.

*[Handwritten signature]*

REC-79

73-19114-10

EX-105

11 MAY 1 1979

GLS:jas (VI)

*[Handwritten initials]*

RETURN TO MR. STOOPS  
ROOM 3853

100 17 0 ST'W

REC-79

Assoc. Dir.  
Dep. AD Adm.  
Dep. AD Inv.  
Asst. Dir.:  
Adm. Serv.  
Crim. Inv.  
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Legal Coun.  
Plan. & Insp.  
Rec. Mgmt.  
Tech. Servs.  
Training

MAILED 15  
APR 13 1979  
FBI

66 MAY 18 1979

Mail Room



PG 73-764

DETAILS:

On March 5, 1979, [redacted] Beckley, West Virginia, Credit Bureau, Lieutenant [redacted] Beckley, West Virginia, Police Department, and [redacted] Raleigh County Jail, Beckley, West Virginia, advised Special Agent [redacted] that a review of their records was negative regarding applicant.

On March 9, 1979, at West Virginia Secretary of State Office, Corporation Division, Charleston, West Virginia, a review of the articles of incorporation by Special Agent [redacted] relating to the Yankee Coal Company revealed the company was incorporated November 8, 1978, and prepared by [redacted] of the Firm of Battaglia, Ross, Stolla, and Forlizzo, 980 Tyrone Boulevard, Saint Petersburg, Florida, 33710, which was previously named Tampa Coal Company. Additionally, [redacted] Beckley, West Virginia, was indicated as [redacted] of the corporation.

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On March 16, 1979, [redacted] Yankee Coal Company, 301 Raleigh County Bank Building, Beckley, West Virginia, advised Special Agent [redacted] that the ownership of the Yankee Coal Company is as follows:

Applicant owns 50 percent; [redacted] applicant's [redacted] owns 25 percent; [redacted] Cleveland, Ohio, owns 10 percent and [redacted] owns 15 percent.

FBI

Date: 4/23/79

Transmit the following in \_\_\_\_\_  
(Type in plaintext or code)Via AIR TEL \_\_\_\_\_  
(Precedence)

TO: DIRECTOR, FBI (73-19114)  
FROM: SAC, PITTSBURGH (73-764)  
RE: GEORGE MICHAEL STEINBRENNER, III, aka.  
APACS

ReBuairtel 4/13/79.

Case Agent to whom matter assigned advised that he dictated RUC report in captioned matter on a timely basis. It was determined that the Tel-Edisette equipment used by Resident Agents for expedite dictation, malfunctioned during the pertinent period; therefore, the equipment did not accept dictated material.

It was necessary for Case Agent to redictate results of his investigation in captioned matter. Report of SA [redacted] Pittsburgh, 4/23/79, being submitted to Bureau.

b6  
b7C

✓ 2-Bureau  
1-Pittsburgh  
NAZ/jw  
(3)

REC-79  
EX-106

73-19114-11

4 APR 25 1979

Spec. Inv.

Approved: 66 MAY 18 1979 Special Agent in Charge

Sent \_\_\_\_\_ M Per \_\_\_\_\_

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE PITTSBURGH	OFFICE OF ORIGIN BUREAU	DATE 4/23/79	INVESTIGATIVE PERIOD 3/5/79 - 3/16/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III aka, George Michael Steinbrenner		REPORT MADE BY [redacted]	TYPED BY clr
<i>ji</i> This report completes the investigation.		CHARACTER OF CASE  APACS  b6 b7C	

REFERENCE:

Report of SA [redacted] dated 2/20/79, at Tampa.

- RUC -

ADMINISTRATIVE:

All persons contacted were advised of the provisions of the Privacy Act of 1974, and none have requested confidentiality.

*PP*

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CON.VIC.	PRETRIAL DIVERSION	EUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED <i>m</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
COPIES MADE: 3 - Bureau 2 - Tampa (73-312) 1 - Pittsburgh (73-764)		23 19114-12 REC-79 15 APR 25 1979

Dissemination Record of Attached Report				Notations  Spec. Inq.
Agency	2 cc's to Pardon Attorney			
Request Recd.	Department of Justice			
Date Fwd.	APR 26 1979			
How Fwd.	GLS/yjs			
By				

66 MAY 18 1979

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATIONCopy to: **This report completes the investigation.**

Report of: [REDACTED]

Office: Pittsburgh, PA

Date: 4/23/79

Field Office File #: 73-764

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

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b7C

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

## Synopsis:

Credit and arrest check negative regarding applicant. On 3/9/79, at West Virginia, Secretary, of State Office, Corporation Division, Charleston, WV, a review of articles of incorporation relating to Yankee Coal Co., failed to reveal ownership of the company. It did indicate [REDACTED] Beckley, WV, as the [REDACTED] On 3/16/79, [REDACTED] advised that applicant owns 50 percent of Yankee Coal Co.

- RUC -

4/17/86

**UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
IDENTIFICATION DIVISION  
WASHINGTON, D. C. 20537**

**383 595 MI**

Use of the following FBI record, NUMBER \_\_\_\_\_, is REGULATED BY LAW. It is furnished FOR OFFICIAL USE ONLY and should ONLY BE USED FOR PURPOSE REQUESTED. When further explanation of arrest charge or disposition is needed, communicate directly with the agency that contributed the fingerprints.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
United States Marshal Cleveland Ohio	George Michael Steinbrenner 9819	April 19, 1974	Unlawful contributions	Received \$10,000 Fine Violation Title 18 Section 371 United States Code and received \$5,000 Fine for violation Title 18 Section 3 United States Code as result of guilty pleas on August 23, 1974. Remaining counts of indictment dismissed

**1-BU**

[illegible]

**THIS PAGE SHOULD NOT BE DISSEMINATED OUTSIDE FBI**

# UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

IDENTIFICATION DIVISION

WASHINGTON, D.C. 20537

3/8/79

SUBJECT'S CRIMINAL HISTORY

RECORDED IN NCIC CCH FILE

The following FBI record, NUMBER 383 595 M1, is furnished FOR OFFICIAL USE ONLY.

Information shown on this Identification Record represents data furnished FBI by fingerprint contributors. WHERE DISPOSITION IS NOT SHOWN OR FURTHER EXPLANATION OF CHARGE OR DISPOSITION IS DESIRED, COMMUNICATE WITH AGENCY CONTRIBUTING THOSE FINGERPRINTS.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
USM Cleveland OH	<del>George Michael Steinbrenner</del> 9819	4-19-74	unl. contributions	received. \$10,000 F vio Title 18 Sec 371 USC & received \$5,000 F for vio Title 18 Sec 3 USC as result of guilty pleas on 8-23-74. Remaining cts of indictment dism
73-19114				
73-19114				
2 cc's to Pardon Attorney Department of Justice				
APR 26 1979				
G.L.S. Ljos				
NOT RECORDED 15 MAY 23 1979				
66 JUN 21 1979				

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## FEDERAL BUREAU OF INVESTIGATION

3 Dag 3/8/79

The following FBI record, NUMBER

383,595 M1

CC- Civil Rights & Special Inquiry  
Section Div 6

**THIS PAGE SHOULD NOT BE DISSEMINATED OUTSIDE FBI**



# FEDERAL BUREAU OF INVESTIGATION

## Records/Operations Sections

3/20

1986

- ☒ Name Searching Unit, 4543, TL# 115  
☐ Service Unit, 4654, TL# 225  
☐ Special File Room, 5991, TL# 225  
☒ Forward to File Review, 5447, TL# 143  
☒ Attention 5150 233 4195  
☒ Return to 5150 233 4195

Supervisor, Room, TL#, Ext.

## Type of Search Requested: (Check One)

- ☐ Restricted Search (Active Index - 5 & 20)  
☐ Restricted Search (Active & Inactive Index - 5 & 30)  
☒ Unrestricted (Active & Inactive Index)

## Special Instructions: (Check One)

- ☒ All References (Security & Criminal)  
☐ Security Search  
☐ Criminal Search  
☐ Main \_\_\_\_\_ References Only  
☐ Exact Name Only (On the Nose)  
☐ Buildup ☒ Variations  
☐ Restricted to Locality of \_\_\_\_\_

Subject Steinbrenner, George Michael III

Birthdate &amp; Place \_\_\_\_\_

Address \_\_\_\_\_

Localities \_\_\_\_\_

R# 266 Date 4-7 Searcher Initials 570  
 Prod. 6

FILE NUMBER

SERIAL

73-19114 (we have)

73-19114 = 1, 2, 4 have

George M. Steinbrenner

56-4737

(see NP)

NP (see NP) 90-11609

(not subject)

✓ 105-197-3479

56-4778-15, 4p6

(part of investigation)

56-5007-4

George

NP (see NP) 9-65813

NP document 95-189353

Steinbrenner

105-10828-2315

156-1072-5

U.S. 4

NP (see NP) 9-54625

95-fraud in 04

APR 10 1986

(100)  
 (100)

Airtel

3/18/86

Director, FBI (73-19114)

SAC, Tampa (73-312) - Enc. (2)

GEORGE MICHAEL STEINBRENNER  
APPLICATION FOR PARDON  
AFTER COMPLETION OF SENTENCE  
INITIAL REPORT BUDED: 3/31/86  
COMPLETION REPORT BUDED: 5/19/86

Reference is made to the Pardon Attorney's letter which is enclosed with candidate's petition and related documents.

Conduct investigation in accordance with Part I, Section 73 and Part II, Section 23-6, Manual of Investigative Operations and Guidelines. Submit three copies of your report to FBIHQ organized according to subheadings under Investigative Procedure, 73-3.1, pages 385-387; MIOG.

The initial step in a Pardon investigation (after a review of the MIOG and the candidate's application) is an interview of the candidate. The interview should gather information that would amplify and/or clarify the information he submitted on the application. In addition the candidate should be asked information that would facilitate the background investigation, as detailed in MIOG Part I, Section 73-3.1(1).

During the interview of candidate, no comments should be made regarding whether or not candidate will be granted a presidential pardon. In addition, no comments should be made to third party interviewees concerning the candidate's request for executive clemency. Also, from the candidate obtain DOB's and current place of residence for all close relatives and set out leads to appropriate office to have field office indices reviewed regarding them. Arrest checks are necessary only for relatives who currently reside with candidate.

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

PA/TAL/bmp/pms

RETURN TO MS.

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ROOM 5136

8 APR 20 1987

FEB 22 1988

MAIL ROOM

RE: GEORGE MICHAEL STEINBRENER

On occasion a candidate has been unable or unwilling to submit to an interview. The FBI is not relieved of the obligation to conduct the investigation due to the candidate's uncooperativeness.

As in all background investigations document contacts with the candidate and conduct whatever investigation that can be conducted based upon information contained in the application or from other persons interviewed. In the event it is necessary to interview the candidate to resolve an unfavorable issue, FBIHQ approval must be obtained and the interview recorded on an FD-302.

The initial interview with the candidate should be conducted and reported to FBIHQ, along with a set of fingerprints (FD-165) within 15 calendar days from the date of this airtel.

The Pardon Attorney has requested that employment(s) and credit be verified for a 10-year period from the date the applicant submits the request regardless of when he/she was placed on parole or probation.

In reviewing court records, include in your report the indictment or information number and a summary of facts of the violation.

When conducting investigation at the U.S. Parole and Probation Office, request that they send a copy of the presentence parole and probation report directly to: David C. Stephenson, Pardon Attorney, 5550 Friendship Boulevard, Bethesda, Maryland 20014. So indicate in your report and list the name of the person having access to this report to whom you made the request.

Major issues developed that require FBIHQ participation for resolution should be communicated in writing but preceded by telephone discussion (FTS 324-3863).

When a field office determines the Bureau deadline cannot be met due to circumstance beyond their control, FBI Headquarters should be contacted immediately and an FD-205 submitted. An extension will be sought from the client agency by FBIHQ.

TAMPA: Previous APACS investigation conducted 2/79. Bring up to date. Interview all five character affiants.

March 18, 1986

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Sm  
Judge,

RE: GEORGE MICHAEL STEINBRENNER  
~~PRINCIPAL OWNER AND GENERAL PARTNER, NEW YORK YANKEES~~  
~~CHAIRMAN OF THE BOARD, AMERICAN SHIPBUILDING COMPANY~~  
APPLICANT FOR PARDON

g  
This is to advise that on March 14, 1986 the General Background Investigation Unit received a request from the Pardon Attorney to conduct an update background investigation concerning Mr. Steinbrenner, who has petitioned for a Presidential pardon. Mr. Steinbrenner, as Chairman of the Board and then President of American Shipbuilding, was convicted in 1974 of conspiring to make illegal corporate campaign contributions and of being an accessory after the fact.

Mr. Steinbrenner previously filed a petition for a pardon in 1979. The background investigation conducted in conjunction with that petition was favorable, however, Mr. Steinbrenner's petition was denied. (11)

This investigation has been initiated, and it is anticipated that the results of this investigation will be forwarded to the Pardon Attorney by June 2, 1986, the normal time period allotted for pardon matters. You will be advised of any pertinent information developed, and when this investigation is completed.

73-19114-15  
S APR 20 1987

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PAL/pms (10)

Macfar

RB 8

WRC

L. P. P.

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# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>TAMPA</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>4/1/86</b>	INVESTIGATIVE PERIOD <b>3/26/86</b>
TITLE OF CASE  <b>GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner</b>		REPORT MADE BY <b>SA</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>de</b>
		CHARACTER OF CASE  <b>APACS</b>	

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b7CREFERENCE: Bureau airtel to Tampa, 3/18/86.

-P-

ADMINISTRATIVE:

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

Enclosed for the Bureau is one copy of an FD-165.

Enclosed for each receiving office is a copy of an executed FD-406.

Enclosed for Cincinnati Division is a copy of an affidavit by  (Continued Cover Page C)

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED:  SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

③ - Bureau (73-19114) (Enc. 1)

3 - Tampa (73-312)

(Copy count continued Cover Page B)

<b>73-19114-16</b>  <b>8 APR 20 1987</b>	
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Dissemination Record of Attached Report				
Agency				
Request Recd.	3 cc to Bureau, Atty G.3.86			
Date Fwd.	PL/SE			
How Fwd.				
By				

Notations

6/1000

64 FEB 22 1988

A

COVER PAGE

FBI/DOJ

TP 73-312

(Continued from Cover Page A)

- 2 - Albany (Enc. 1)
- 2 - Cincinnati (Enc. 2)
- 3 - Cleveland (Enc. 2)
- 2 - Newark (Enc. 1)
- 2 - New Orleans (Enc. 2)
- 3 - New York (Enc. 3)
- 2 - Pittsburgh (Enc. 1)
- 2 - St. Louis (Enc. 1)
- 2 - WFO (Enc. 1)

B

COVER PAGE

TP 73-312

(Continued from Cover Page A)

Enclosed for the Cleveland Division is one copy of an affidavit by [REDACTED]

Enclosed for the New Orleans Division is a copy of an affidavit by [REDACTED]

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Enclosed for the New York Division is one copy each of affidavits by [REDACTED] and [REDACTED].

For the information of the Bureau, inasmuch as the applicant is a well known public figure and known to be the owner of the New York Yankees and the AMERICAN SHIP BUILDING COMPANY, the Tampa Division is not setting forth leads to verify applicant's employments through interview of his employees. His continuing associations with businesses previously verified during the 1979 background investigation are being brought up to date through reviews of State public corporation records.

In addition, in view of the fact that this is a request to bring the February 1979, background investigation up to date, a review of the court records concerning conviction is not being requested. The Cleveland Division is being requested to obtain a copy of the pre-sentence report in this matter inasmuch as a review of the former background investigation failed to reveal that this had been done.

LEADS:

Leads in this matter should be conducted in accordance with Part I, Section 73 and, Part II, Section 23-6, Manual of Investigative Operations and Guidelines.

ALBANY DIVISION

AT ALBANY, NEW YORK

Will through the corporation records, determine the continuing ownership of the New York Yankees baseball club by applicant.

CINCINNATI DIVISION

AT COLUMBUS, OHIO

Will review State corporation records to verify applicant's continued ownership of the KINSMAN LINES of Cleveland, Ohio.

TP 73-312

CLEVELAND DIVISION

AT GALLOWAY, OHIO

Will interview reference [redacted]  
at [redacted] concerning applicant.

AT CLEVELAND, OHIO

Will through the U.S. PAROLE AND PROBATION OFFICE, request a copy of the pre-sentence parole and probation report concerning applicant be sent to [redacted] Pardon Attorney, 5550 Friendship Boulevard, Bethesda, Maryland 20014, so indicate in your report and list the name of the person to whom you've made the request.

Will interview reference [redacted]  
[redacted] concerning applicant.

NEWARK DIVISION

AT TRENTON, NEW JERSEY

Will review State corporation records in an effort to verify applicant's current ownership of the AMERICAN SHIP BUILDING COMPANY of Cleveland, Ohio, and Tampa, Florida.

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NEW ORLEANS DIVISION

AT GRAMBLING, LOUISIANA

Will interview reference [redacted]  
[redacted] concerning applicant.

Will interview [redacted]  
[redacted] concerning applicant.

NY

Will review civil matter 82-CIV-0894 at U.S. District Court, Southern District of New York, concerning applicant and BRUCE POSTON, and CHEMICAL BANK.

NY

Will review civil action index number 8098/84, Supreme Court of New York, County of Bronx, concerning applicant and ED LINN, and CBS, INC.

NY

Will interview civil matter 84-0878, U.S. District Court, Western District of New York, concerning applicant and PEAVER COMPANY, and CONGRA, INC.

NY



TP 73-312

Will conduct credit and criminal checks concerning applicant.

Will attempt to verify applicant's periodic residence at the CARLISLE HOTEL, 76th and Madison, since 1979.

AT SAYVILLE, LOUISIANA

NY Will interview [redacted] concerning applicant.

PITTSBURGH DIVISION

AT CHARLSTON, WEST VIRGINIA

Will attempt to verify applicant's business interest in the YANKEE COVE COMPANY, Beckley, West Virginia.

ST. LOUIS DIVISION

AT ST. LOUIS, MISSOURI

Will verify applicant's military service with the U.S. Air Force, Air Force serial number A02248074 from 1952 thru 1954.

WASHINGTON FIELD OFFICE

Will review U.S. Tax Court docket number 27952-83, and U.S. Court of Claims number 52-83T, concerning applicant.

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TAMPA DIVISION

AT LAKE LAND, FLORIDA

Will review 2nd District Court of Appeals case number 85-1309 concerning Cleeveley, Beeler, and Jacksonville Monthly concerning applicant.

AT TAMPA, FLORIDA

Will review U.S. District Court file 83-1464-CIV-T-15 concerning ST. PHILIPS TOWING COMPANY.

Will review U.S. District Court file 81-1091-CIV-T-17 concerning HAUGHT, POSTON, EORLEZO, ET AL, and the applicant.

Will conduct credit and criminal checks concerning applicant.

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COVER PAGE

TP 73-312

Will verify applicant's residence at [redacted]

[redacted]

Will interview reference [redacted]

[redacted] concerning applicant

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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]  
Date: April 1, 1986

Office: Tampa, Florida

Field Office File #: 73-312

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka  
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER,  
COMPLETION OF SENTENCE

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Synopsis:

On March 26, 1986, applicant was interviewed at Tampa, Florida, and background information set forth.

-P-

DETAILS:

This matter is predicated on receipt of a letter dated March 12, 1986, from [REDACTED] Pardon Attorney, Washington, D.C., in which he enclosed a copy of an Application for Pardon submitted by GEORGE MICHAEL STEINBRENNER III. Mr. [REDACTED] requested that the background investigation be brought up to date from 1979.

Applicant's petition reveals that he was convicted on a plea of guilty in U.S. District Court, Northern District of Ohio, Cleveland, Ohio, for conspiring to make corporate campaign contributions in violation of Title 18, U.S. Code, Section 610, and being an accessory after the fact. Applicant was fined \$15,000.00 on August 30, 1974.

INTERVIEW OF APPLICANT:

On March 26, 1986, GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner, was interviewed at his place of business, the AMERICAN SHIP BUILDING COMPANY, 2502 Rocky Drive Boulevard, Tampa, Florida, and furnished the following information:

EMPLOYMENT HISTORY:

Applicant advised that since February 1979, he has been engaged in employment as the principal owner of the New York Yankees baseball club, New York, New York.

As the Chairman of the Board of the AMERICAN SHIP BUILDING COMPANY with offices in Cleveland, Ohio, and Tampa, Florida; associated with the KINSMAN LINES, Cleveland, Ohio; and the YANKEE COLE COMPANY, Beckley, West Virginia.

PLACES OF RESIDENCE:

Applicant has maintained a full time residence at 10102 Lindelaan, Tampa, Florida, since 1974, and periodically resides on a temporary basis in the CARLISLE HOTEL, 76th and Madison, New York, New York.

APPLICANT'S VERSION OF CIRCUMSTANCES UNDER WHICH  
CONVICTED:

Applicant advised that during 1974, he plead guilty in U.S. District Court, Cleveland, Ohio, to the charge of making illegal campaign contributions and to the charge of being an accessory after the fact. He related that the conviction stemmed from a \$25,000.00 corporate contribution from the AMERICAN SHIP BUILDING COMPANY to the presidential campaign of RICHARD NIXON during 1972. He related he made the contribution openly on behalf of the corporation and, at the time, did not realize it was in violation of the law. Applicant also made a \$75,000.00 personal contribution to the presidential campaign of RICHARD NIXON and had the financial ability to personally contribute \$25,000.00 more.

Applicant advised he has never told any of the employees of the AMERICAN SHIP BUILDING COMPANY to lie about the corporate campaign contribution nor did he imply to employees they should adapt his version of the facts concerning the contribution.

REASON PARDON DESIRED:

Applicant stated that the past conviction has been a continuing source of embarrassment to him and has adversely affected his past business and professional activities. He feels that a pardon would allow him to participate in his many business, civic, and charitable functions without the stigma of being a "convicted felon."

Although in 1979, Governor BOB GRAHAM of Florida, restored applicant's State civil rights, his 1974 felony conviction continues to be a source of humiliation and inhibits normal business with his charitable and business activities.

PRESENT MODE AND STANDARD OF LIVING:

Applicant advised he owns his own home and is in the process of buying a new home. He lives comfortably and considers himself in the upper income bracket through income derived from the AMERICAN SHIP BUILDING COMPANY, New York Yankees baseball club, YANKEE COLE COMPANY, and the KINSMAN LINES.

ASSOCIATES AND REFERENCES:

Applicant provided the following associates and references:

[redacted] Tampa, Florida,  
[redacted].

[redacted]  
Cleveland, Ohio, (restaurant owner).

[redacted] New York,  
New York, [redacted]

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[redacted] Grambling,  
Louisiana, [redacted] GRAMBLING STATE UNIVERSITY).

[redacted] Sayville, New York,  
(police officer).

[redacted] Galloway,  
Ohio, (real estate - [redacted]).

PENDING MATTERS WITH FEDERAL OR STATE GOVERNMENTS:

1. Circuit Civil, Hillsborough County, Florida, 83-19815, Division W, (opposing party, FLORIDA CITY'S PUBLISHING COMPANY).

2. District Court of Appeals, 2nd. District of Florida, 85-1309, (opposing party, Cleeveley, Beeler, and Jacksonville Monthly).

3. U.S. District Court, Tampa Division, 83-1464-CIV-T-15, (ST. PHILIPS TOWING COMPANY).

TP 73-312

4. U.S. District Court, Tampa Division, 81-1091-CIV-T-17,  
(HAUGHT, POSTON, FORLEZO).

5. U.S. District Court, Southern District of  
New York, 82-CIV-0894, (BRUCE POSTON and CHEMICAL BANK).

6. Supreme Court of New York, County of Bronx,  
index number 8098/84, (ED LINN and CBS, INC.).

7. U.S. District Court, Western District of  
New York, CIV84-0878, (PEAVEY COMPANY and CONAGRA, INC.).

8. U.S. Tax Court, docket number 27952-83,  
(Commissioner of Internal Revenue).

9. U.S. Court of Claim, 52-83T, (United States  
of America).

ANY PRIOR OR SUBSEQUENT CRIMINAL RECORD:

Applicant advised he has no criminal record  
prior or subsequent to the felony conviction in which  
he has filed a petition for pardon.

PHYSICAL DESCRIPTION:

Name:	GEORGE MICHAEL STEINBRENNER III
Alias:	George Michael Steinbrenner
Race:	Caucasian
Sex:	Male
Date of Birth:	July 4, 1930
Place of Birth:	Rocky River, Ohio
Height:	6'1"
Weight:	210 Pounds
Build:	Medium
Eyes:	Blue
Hair:	Brown
Military Service:	U.S. Air Force - 1952 thru 1954, rank First Lieutenant, Air Force serial number A02248074, Honorable Discharge with no major medals.
Social Security No.:	285-28-5751
Residence:	10102 Linelaan Tampa, Florida
Office Telephone No.:	Tampa, Florida (813/886-7130)
Employments:	Chairman of the Board, the AMERICAN SHIP BUILDING COMPANY, Cleveland, Ohio, and Tampa, Florida; Owner, the New York Yankees baseball club, New York, New York; associated with YANKEE COLE COMPANY, Beckley, West Virginia; and KINSMAN LINES, Cleveland, Ohio.

TP 73-312

Wife:

Nee:

Date of Marriage:

Place of Marriage:

Parents:

Sisters:

Children:

Relatives Residing at  
His Residence Other  
Than Immediate Family:  
Education:

[REDACTED]  
May 12, 1956

Columbus, Ohio

HENRY G. and RITA STEINBRENNER

West Lake, Ohio

[REDACTED]  
Lakewood, Ohio;

[REDACTED]  
Rocky River, Ohio

[REDACTED]  
None

CULVER MILITARY ACADEMY  
Culver, Indiana, 1948;

WILLIAMS COLLEGE

Williamstown, Massachusetts,

Bachelor of Arts degree, 1952;

Graduate School

OHIO STATE UNIVERSITY, 1954

but did not complete requirements  
for Masters degree in  
physical education.

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DEPARTMENT OF JUSTICE  
 FEDERAL BUREAU OF INVESTIGATION  
 COMMUNICATION MESSAGE FORM

108

PAGE 1 OF 2		DATE 4/3/86		CLASSIFICATION UNCLAS	PRECEDENCE PRIORITY
*F0108TPP BU CV JKDE HQ H0108 09620000YUWP 032201Z AOR**APR 86					
START HERE					
FM DIRECTOR FBI (73-312)					
TO FBI BUFFALO PRIORITY					
FBI CLEVELAND (73-621) PRIORITY					
FBI JACKSONVILLE (73-364) PRIORITY					
BT					
UNCLAS					
GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:					
5/19/86.					
FBIHQ OCIS DATA BASE INDICATE RECEIVING OFFICES MAY HAVE					
RECORDS PERTAINING TO APPLICANT, GEORGE MICHAEL STEINBRENNER					
WHO IS DESCRIBED AS BORN JULY 4, 1930 AT ROCKY RIVER, OH AND					
RESIDES 10102 LINDELAAN DRIVE, TAMPA, FLA, AND HAS SSAN: 285-					
28-5751.					
RECEIVING OFFICES DETERMINE IF HITS ARE IDENTICAL TO					
STEINBRENNER BASED UPON AVAILABLE INFORMATION. SUTEL RESULTS					
OF DATA BASE SEARCH AND FILE REVIEW BY TELETYPE SUMMARY. IF					
POSITIVE FOLLOW WITH COPIES OF PERTINENT DOCUMENTS BY AIRTEL.					
DO NOT TYPE MESSAGE BELOW THIS LINE					
APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.	
(Signature)	PMS	4/3/86	5136	1195	17

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8 APR 20 1987

64 FEB 22 1988

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FBI/DOJ

APR 10 1986



DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

PAGE 2

CONTINUATION SHEET

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DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

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PAGE 1 OF 2		CLASSIFICATION		PRECEDENCE
DATE 4/10/86		UNCLAS		PRIORITY
START HERE				
FM	FM DIRECTOR FBI (73-19114)			
TO	TO FBI CLEVELAND (73-312) PRIORITY			
	FBI ALBANY (73-405) PRIORITY			
14	FBI PITTSBURGH (73-764) PRIORITY			
	FBI NEW YORK (73-3631) PRIORITY			
12	FBI CINCINNATI PRIORITY			
	FBI NEWARK (73-1336) PRIORITY			
10	FBI SAINT LOUIS PRIORITY			
	FBI WASHINGTON FIELD PRIORITY			
8	FBI NEW ORLEANS PRIORITY			
	BT			
6	UNCLAS			
	GEORGE MICHAEL STEINBRENNER, APACS, BUDED: 5/19/86.			
4	REFERENCE TP REPORT DATED 4/1/86 TO ALL OFFICES. ALL			
	OFFICES SHOULD NOTE THAT BUDED IN CAPTIONED CASE IS 5/19/86.			
2	CV, NY, WFO SHOULD ALSO NOTE THE FOLLOWING:			
	CV - INSURE THAT INDICES CHECKS ARE CONDUCTED ON			
DO NOT TYPE MESSAGE BELOW THIS LINE				
APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
(Signature)	RAL/PMS	4/10/86	5136	4195

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COMMUNICATIONS CENTER  
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DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

PAGE

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## CONTINUATION SHEET

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APPLICANT'S PARENTS.

20 NY - NOTE P. D, E - NY LEADS ARE UNDER NO HEADING

18 {INTERVIEW [REDACTED], REVIEW OF 3 CIVIL MATTERS}.

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b7c16 WFO NOTE P4, NO. 9 OF CIVIL MATTERS. REVIEW COURT  
14 RECORDS REGARDING THIS MATTER.

BT

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Airtel

4/10/86

Director FBI (73-19114)

SAC, Tampa (73-312)

GEORGE MICHAEL STEINBRENNER

APACS

BUDED: 5/19/86

Reference TP report dated 4/1/86.

Enclosed for TP is tax waiver and Service Center list for applicant to execute. This form should be submitted to FBIHQ with report. Additionally contact applicant for complete dob's of children and residences. Insure appropriate arrest or indices checks are conducted. (TP should also note the following: cover p. D, NY leads set for NO, CV was not given lead for indices checks on parents, p. D, NY lead set for NO, p4, WFO not given lead to review U.S. Court of Claim, 52-83T. FBIHQ has contacted these offices regarding their appropriate leads. Additionally, Buded was not provided to All Offices with leads. TP should insure that in the future, all leads are set and are correctly addressed).

Enclosure

MAILED 15

APR 10 1986

FBI

73-19114-19

8 APR 20 1987

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

PAL/KAS

RETURN TO MS.

ICC Tampa folder

ROOM 5136

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MAIL ROOM

FBI/DOJ

CVO 0003 0101 1517

RR HQ

DE CV

R 11-1459 APR 86

RECEIVED  
TELETYPE UNIT

11 APR 17 20

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

FM CLEVELAND (73-621)

TO DIRECTOR (73-312) ROUTINE

BT

UNCLAS

CONFIDENTIAL - SECURITY INFORMATION

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:

MAY 19, 1986.

REFERENCE BUTEL TO BUFFALO DATED APRIL 3, 1986.

REFERENCED TELETYPE DIRECTED RECEIVING OFFICES TO DETERMINE IF OCIS DATA BASE INFORMATION REGARDING CAPTIONED INDIVIDUAL IS IDENTICAL TO INFORMATION CONTAINED IN FILES OF RECEIVING OFFICES.

CLEVELAND OCIS DATA BASE REFLECTS TWO REFERENCES: CLEVELAND MAIN

FILE ON 73-621 AND CLEVELAND REFERENCE CV 92-1320-263; CV 73-621

REFLECTS CLEVELAND INVESTIGATION CONCERNING 1979 INVESTIGATION RELATIVE TO APPLICATION FOR PARDON; APPLICATION FOR COMPUTATION OF SENTENCE, RESULTS OF WHICH WERE REPORTED TO FBIHQ. THE REFERENCE

IN CV 92-1320 REFLECTS SOURCE INFORMATION CONCERNING CAPTIONED

INDIVIDUAL. THIS LATEX INFO. WAS DEV. IN 1981.  
5 8 )-534 8, 194.-589, 2- \$3; 3) 903\$ 8, 1981.

IN ADDITION, ALTHOUGH FBIHQ DID NOT SPECIFICALLY REQUEST SAME

FBIHQ file #

73-19114-20

8 APR 20 1987

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-204  
84 FEB 22 1988

415 - cv  
web  
will send info w/ rep. on disc by Alt.

b/pl

PAGE TWO CV 73-621 UNCLAS

IN REFERENCED TELETYPE, A REVIEW OF CLEVELAND INDICES REFLECTS 19  
INDICES REFERENCES TO CAPTIONED INDIVIDUAL. CLEVELAND DIVISION AILL  
HOLD IN ABEYANCE ANY DETAILED REVIEW OF INDICATED FILES PENDING  
DIRECTION FROM FBIHQ.

CLEVELAND AT CLEVELAND, OHIO: WILL SUBMIT TO FBIHQ VIA AIRTEL,  
COPIES OF PERTINENT DOCUMENTS REFLECTED IN THE OCIS DATA BASE FILES.  
IDENTICAL WITH CAPTIONED INDIVIDUAL CITED ABOVE.;

?5

K 0007 105 2111Z

PP HQ

RE JK

P 15 2100 APR 86

FM JACKSONVILLE (73-R-2) (P)

TO DIRECTOR (73-312) PRIORITY

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON

BUDED: MAY 19, 1986

RE BUREAU TELETYPE TO BUFFALO, APRIL 3, 1986.

ON APRIL 14, 1986, A REVIEW OF JACKSONVILLE OCIS

DATA BASE INFORMATION REGARDING GEORGE MICHAEL STEINBRENNER

LOCATED SERIAL REFERENCE IN JACKSONVILLE FILE 162C-893

SERIAL 1, CAPTIONED PARI-MUTUEL HORSE RACING, OCALA,

FLORIDA; INTERSTATE GAMBLING ACTIVITIES - OTHER; OO: JK.

THIS FILE CONTAINS ONE SERIAL, A MEMO FROM SA [REDACTED]

[REDACTED] TO THE SAC, JACKSONVILLE, DATED APRIL 10, 1985

WHEREIN ON MARCH 20, 1985, [REDACTED] PROTECT, INVESTIGATOR

WITH THE FLORIDA DIVISION OF PARI-MUTUEL WAGERING, MIAMI.

APR 20 1987

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgmt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y.	

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64 FEB 22 1988

PAGE TWO JK 73-R-2 UNCLAS

FLORIDA, ADVISED AN OPINION BY THE FIRST DISTRICT COURT  
OF APPEALS IN FLORIDA HAS REVERSED AN EARLIER DECISION  
AND IS AWARDING A RACING PERMIT TO THE OCALA BREEDER

SALES COMPANY (OBSC) FOR QUARTER HORSE RACING AT OBSC

RACETRACK IN OCALA, FLORIDA. [ ] FURTHER ADVISED THAT

OBSC INTENDS TO BUILD A GRANDSTAND NEXT TO THE EXISTING

TRACK, AND AFTER RECEIVING A PERMIT FOR QUARTER HORSE

RACING, WILL RACE NOT ONLY QUARTER HORSES, BUT ALSO

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b7C

ARABIANS AND THOROUGHBREDS. [ ] STATED ONE OF THE

REASONS HE FEELS OBSC WAS INITIALLY DENIED A RACING

PERMIT IS BECAUSE GEORGE M. STEINBRENNER, OWNER OF THE

NEW YORK YANKEES AND THE TAMPA BAY DOWNS RACETRACK,

INITIALLY OBJECTED TO THE AWARDING OF ANOTHER RACING

PERMIT TO A FACILITY WHICH WOULD BE WITHIN 100 MILES

OF HIS RACETRACK. SUBSEQUENTLY, OBSC SOLD SHARES TO A

NUMBER OF INDIVIDUALS, INCLUDING GEORGE M. STEINBRENNER,

WHO THEN DROPPED HIS OBJECTIONS TO THE NEW RACETRACK.

BOYD ALSO FURNISHED A LIST OF SHAREHOLDERS IN OBSC,

INCLUDING THOSE INDIVIDUALS WHO BOUGHT SHARES IN THE LAST



PAGE THREE JK 73-R-2 UNCLAS

YEAR OR SO. IT IS NOTED AMONG THESE INDIVIDUALS IS

[REDACTED] THE ORLANDO RENEGADES FOOTBALL  
TEAM OF THE UNITED STATES FOOTBALL LEAGUE.

[REDACTED] COMMENTED THAT BASED ON THE EXPERIENCE OF THE  
FLORIDA PARI-MUTUEL WAGERING DIVISION HAS HAD WITH  
QUARTER HORSE RACING IN THE PAST, IT CAN BE ANTICIPATED THAT  
ILLEGAL DRUGS AND/OR ELECTRICAL DEVICES WILL BE USED TO  
STIMULATE THE HORSES DURING RACES. [REDACTED] DIVISION HAD A  
RECENT CRACKDOWN AT POMPANO PARK TRACK IN SOUTH FLORIDA  
WHICH RESULTED IN THE SUSPENSION OR FINING OF SIXTEEN  
HORSEMEN, INCLUDING JOCKEYS, TRAINERS, AND GROOMS.

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THE OBSC WAS INTERESTED IN GETTING A PERMIT TO HAVE  
QUARTER HORSE RACING BECAUSE OF THE FACT THAT PERMITS TO  
HAVE THOROUGHBRED RACING ALONE ARE IMPOSSIBLE TO OBTAIN;  
HOWEVER, IF THEY HAVE QUARTER HORSE RACING, THE OBSC WILL  
ALSO BE ABLE TO HAVE ARABIAN AND THOROUGHBRED RACING AT THE  
SAME FACILITY. THE ABOVE INFORMATION WAS SUBMITTED FOR  
INFORMATION OF THE FILE AND FOR POSSIBLE FUTURE INVESTIGATIVE  
ASSISTANCE.

8 8  
PAGE FOUR JK 73-R-2 UNCLAS

JACKSONVILLE OCIS DATA BASE CONTAINED NO OTHER  
REFERENCES TO GEORGE MICHAEL STEINBRENNER.

XEROX COPY OF JACKSONVILLE APRIL 10, 1985 MEMO WILL  
BE FORWARDED TO THE BUREAU BY AIRTEL AS REQUESTED.

WHERE APPROPRIATE, PRIVACY ACT (E) (3) DATA WAS  
FURNISHED TO PERSONS INTERVIEWED. EXPRESS PROMISES OF  
CONFIDENTIALITY, BOTH LIMITED AND UNLIMITED, HAVE BEEN NOTED  
WHERE GRANTED.

INVESTIGATION AT JACKSONVILLE, FLORIDA, CONDUCTED BY

IA

[REDACTED]

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BT

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RR HQ

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TELETYPE

16 APR 86 22 39

FBI

U.S. DEPT. OF JUSTICE

FM BUFFALO (73C-451) (P)

TO DIRECTOR (73-312) ROUTINE

BT

UNCLAS

General Applicant's Room 5153  
TL 298

GEORGE MICHAEL STEINBRENNER; APPLICATION FOR PARDON; BUDED  
19, 1986.

RE BUREAU TEL TO BUFFALO, APRIL 9, 1986.

A REVIEW OF BUFFALO FILE 183-838 (SERIAL 11), CAPTIONED,

[REDACTED] AKA; RICO -  
NARCOTICS; FCT; POLICE CORRUPTION; OO: BUFFALO," DISCLOSED THE  
FOLLOWING:

ON NOV. 17, 1981, [REDACTED] ADVISED THAT HE MET WITH AN  
OLD FRIEND OF HIS, JAMES LA DUCA. LA DUCA IS A SOLDIER IN THE  
BUFFALO LCN AND IS THE SON-IN-LAW OF THE LATE STEFANO MAGADDINO,  
FORMER BOSS OF THE BUFFALO LCN. SOURCE ADVISED THAT HE LEARNED  
THAT GEORGE STEINBRENNER, THE OWNER OF THE NEW YORK YANKEES, IS  
A VERY CLOSE FRIEND OF LA DUCA'S.

8 APR 20 1987

A REVIEW OF BUFFALO FILE 62D-2826 (SERIAL 2), CAPTIONED,

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copy of this to  
ASC Mike Gogley OC Section  
4/11/86.

64 FEB 22 1988

1000

b/pu

per [REDACTED]  
BU 4/22.  
source has provided  
reliable info

source  
reliability

Have we done  
a note on this  
case?

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b2  
b7D

BU 73C-451 PAGE TWO UNCLAS

"DELAWARE NORTH COMPANIES, INC., FORMERLY KNOWN AS SPORTS SYSTEMS, INC., EMPIRE CORP., BUFFALO, NEW YORK; DPC," DISCLOSED THE FOLLOWING:

ON SEPT. 21, 1981, AN ARTICLE APPEARED IN THE "BUFFALO EVENING NEWS," ENTITLED "CONFIDENCE AT DELAWARE NORTH." WITHIN THE TEXT OF THIS ARTICLE, IT WAS ANNOUNCED THAT [REDACTED] A FORMER CONGRESSMAN, WAS HIRED AS DELAWARE NORTH'S "NUMBER THREE MAN." [REDACTED] WAS IDENTIFIED AS AN ASSOCIATE OF GEORGE STEINBRENNER, THE OWNER OF THE NEW YORK YANKEES.

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BOTH OF THE ABOVE REFERENCES WERE IDENTIFIED THROUGH OCIS AND ARE IDENTICAL TO CAPTIONED SUBJECT. COPIES OF EACH SERIAL DESCRIBED ABOVE WILL BE SUBMITTED VIA AIRTEL. BUFFALO INDICES WERE NEGATIVE REGARDING SUBJECT STEINBRENNER.

BT

FBI

## TRANSMIT VIA:

- ☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 4/16/86

TO: DIRECTOR, FBI (73-312)  
 FROM: SAC, BUFFALO (73C-451) (RUC)

GEORGE MICHAEL STEINBRENNER  
 APPLICATION FOR PARDON  
 BUDED: 5/19/86

Re Director teletype to Buffalo, 4/9/86, and Buffalo teletype to Director dated 4/16/86.

Enclosed for the information of the Bureau is a copy of an insert dated 11/17/81 and a copy of a newspaper article dated 9/20/81.

As detailed in referenced Buffalo teletype to the Director, enclosed are copies of documents determined to be identical to captioned subject.

2 - BUREAU (Encs. 2)  
 1 - BUFFALO  
 JMM/jmm  
 (3)

ENCLOSURE

73-19114-23  
11 APR 22 1986Approved: 

Transmitted \_\_\_\_\_

(Number)

(Time)

Per \_\_\_\_\_

FEB 26 1988

JAMES VINCENT LA DUCA  
AR  
(Buffalo file 92A-58)

On November 17, 1981, [redacted] advised that he recently met with an old friend, Jimmy LaDuca. He stated that LaDuca is the son-in-law of Stefano Maggadino and that he claims to be retired. The asset advised, however, that he has a job at Kaufman's Bakery which is as sort of a "watchdog" for the company. He stated he has an office there but is not known to actually do any work for them. The asset advised that the story is that [redacted] who is the [redacted] got involved in a high stakes card game ten years ago with the Mob and lost the business. As a result of that, LaDuca was given the job at Kaufman's Bakery.

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b7D

Asset further advised that he also learned that LaDuca was in Florida temporarily, but he does not indicate that he was actually living there. He stated that he had tried to set his son-in-law up in a restaurant business somewhere in Florida and that it was all legal.

Asset advised that LaDuca has some jewelry he is trying to sell which he states is not stolen. Two names were suggested to him as possible buyers for such jewelry, one of which was [redacted] who has a shop in the Ellicott Square Building. LaDuca would not even consider the one individual, and he further stated that he has heard some bad reports about [redacted].

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On November 19, 1981, the asset advised that he had dinner with the subject on November 18, 1981. He advised that LaDuca states that he has not been in Florida in four years, although the asset knows he was there about two years ago. He stated that he wants to retire from his job at Kaufman's Bakery and would like to go into the hotel business. He again stated that he needs cash and is interested in selling some jewelry. He showed some pieces of jewelry which he said he wants to sell, but the asset believed he does not really want to sell the pieces he was showing but is just playing some sort of game to try to get information on who might be willing to buy jewelry. He stated that he

73-19114-23

ENCLOSURE

BU 92A-58

has a ladies gold watch with diamonds set in it and he wants at least \$1,000 but would prefer \$1,500 for it. He stated he would show this watch to the asset if he thinks he can find a buyer.

Subject had indicated in a previous meeting that he might be able to help the asset get a job with [redacted] He stated, however, on November 18, 1981, that he does not know [redacted] He mentioned, however, that he is going to have lunch with him at Chef's Restaurant on November 20, 1981, and that he will see what he can do about getting a job for the asset.

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[redacted] is the [redacted] at [redacted] [redacted] and is also a Buffalo Police Officer. [redacted] and LaDuca do not get along with each other, although the reason for this is not known.

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It is known that [redacted] is very close with the LCN and the thought had occurred to the asset that the LCN might control [redacted] business. This is only speculation on the part of the asset. Asset advised that he learned that George Steinbrenner, owner of the New York Yankees, is a very close friend of LaDuca's.

The above information was all furnished to Special Agent (SA) [redacted]

(Mount Clipping in Space Below)

# Confidence at Delaware North

By ROBERT J. SUMMERS

What do you do with a 6-foot-3 guy from Cleveland who pals around with New York Yankee owner George Steinbrenner, hits the Fort Erie racetrack on his honeymoon, and calls his friend Gov. Carey, "Hughie?"

If you're smart, you'd hire him. Especially if you're Jeremy M. Jacobs, chairman of Buffalo's Delaware North Companies Inc., the giant racetrack operator and sports concessionaire headquartered at 700 Delaware Ave.

The big guy in question is James V. Stanton, the 49-year-old ex-Congressman who was hired last week as Delaware North's new No. 3 man.

Although he has spend most of his 49 years in the Cleveland and Washington areas, Mr. Stanton jokes that maybe he and his wife were destined to come to Buffalo all along.

"We honeymooned here 21 years ago and stayed at the Statler," he said.

"I took her out to the Fort Erie racetrack ... In the fifth race, we had a winner, but we lost the ticket ... I keep telling Peggy that we're really coming back to Buffalo to find that racing ticket," he said.

This time, Mr. Stanton and his wife need a bit more room than a suite at the Statler. Their family now numbers three sons and a daughter.

MR. STANTON is no stranger to Delaware North's business of running racetracks (including nearby Buffalo Raceway and Finger Lakes), selling food and drinks at sports facilities, and various other operations.

For the past two and a half years he has been representing the Buffalo company as a member of the Washington law firm of Ragan and Mason.

(Indicate page, name of newspaper, city and state.)

G-5

BUFFALO EVENING NEWS  
BUFFALO, NEW YORK

Date: 9-20-81

Edition:

CITY

Title: PUBLIC AFFAIRS  
MATTERS

Character: 92-

or

Classification: 80-2206

Submitting Office:

BUFFALO

73-19114-23

ENCLOSURE



The Democratic voters of Ohio helped Mr. Stanton become a Washington lawyer. After three terms as a Congressman from Cleveland, he ran unsuccessfully in the 1976 Democratic primary against Sen. Howard M. Metzenbaum, losing by 4 percent of the vote.

The vote brought an end to his political career, which had begun in 1960 with his election to the Cleveland City Council where he served 10 years, including seven years as council president.

One of the highlights of his Congressional career, Mr. Stanton re-

calls, was his successful campaign to oust William M. (Fishbait) Miller from the patronage-loaded job of House doorkeeper. The new doorkeeper turned out to be Buffalo native James T. Molloy.

"Hughie Carey was a colleague of mine," Mr. Stanton said. "I said we ought to run a candidate against Fishbait Miller. I said we ought to run Jimmy Molloy. So Hughie said fine, that's a great idea, let's do it together."

"We started the campaign and about a month later Carey comes up to me and he says, 'Jim, it's your campaign for Molloy. I'm running for governor.' So Molloy became my project for the year."

**ALTHOUGH** MR. Stanton doesn't officially start what he calls his "third career" as Delaware North's executive vice president until Nov. 1, he already has made a mark on the local economy by ordering a new house to be built in Elma.

"We built our home originally in Cleveland. And when I went to the Congress we built a home. And now this is our third career and our third house. We feel it's always brought us good luck to build," he said.

Starting Nov. 1, Mr. Stanton's major project will be to help direct an expansion program at Delaware North.

"I really believe that the 1980s is a time for expansion of the company and the business. It has an opportunity to develop an expansion program consistent with the things they've done in the past," he said.

"Jerry (Jacobs) really wants to make it a major force in the business community in the United States," he said.

Mr. Stanton did not elaborate on what specific expansion plans are in store for the privately held company, which last year earned about \$15 million on total revenues of about \$600 million.

A company spokesman confirmed that expansion plans include the possible acquisition of several race tracks and management of race tracks in several foreign countries.

**MR. STANTON** said possibilities for expansion also include the sports magazine field, parking lot operations, and equipment leasing, all "related to things we know, understand, and can deal with."

"I've had an opportunity to talk to a number of club owners in the past few weeks. We're in eight major-league ball parks and we plan to aggressively go after others because we feel that's a business we know better than anybody else," he said.

The club owner Mr. Stanton knows best is Mr. Steinbrenner, "a lifelong friend" and a transplanted Cleveland native who owns the Yankees.

I represent George as his counsel. I'll dearly miss that," Mr. Stanton said.

"When I called him up and said Jerry Jacobs and (president) Don Carmichael have offered me this position with Delaware North, he said, 'Great. Take it. They're a great company and they're great people.'"

~~Mr. Stanton~~ said he is ~~satisfied~~ that Delaware North, formerly known as Sportsystems and Emprise, does not deserve the reputation it has received in some circles following its 1972 conviction on federal charges of concealing its interest in a Nevada casino.

"Nobody denies that a mistake occurred in 1966, but the simple fact of the matter is that no corporation in America can hold its head any higher than we in terms of what we've done to correct it. We think that the 1980s is going to show that that's ancient history," he said.

"That's past and I like to think that Jerry Jacobs and our management team represent the future," he added.



**ON THE MOVE** — James V. Stanton, a former Cleveland councilman, U.S. Congressman, and Washington lawyer, will join Buffalo-based Delaware North Companies Inc. as executive vice-president on Nov. 1. A "sports nut" whose interest include football, baseball and racing, Mr. Stanton becomes the third-ranking executive at the privately held company which operates food and drink concessions at stadiums and tracks.

~~SECRET~~

## FEDERAL BUREAU OF INVESTIGATION

Reporting Office: NEW YORK  
 Office of Origin: BUREAU  
 Date: 4/17/86  
 Investigative Period: 4/15/86

TITLE OF CASE  
 GEORGE MICHAEL STEINBRENNER III, AKA  
 George Michael Steinbrenner

Report Made By

Typed By  
mn

CHARACTER OF CASE  
 APACS

ALL INFORMATION CONTAINED  
 HEREIN IS UNCLASSIFIED EXCEPT  
 WHERE SHOWN OTHERWISE

DATE: 03-03-2011  
 CLASSIFIED BY uc/baw 60324  
 REASON: 1.4 (c)  
 DECLASSIFY ON: 03-03-2036

## REFERENCE

TPreport, dated 4/1/86.

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b7C

-P-

## ADMINISTRATIVE

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

A review of NYO General Indices on April 15, 1986, by Support Employees [redacted] and [redacted] reveals the following information pertaining to GEORGE STEINBRENNER.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	TALS	Pending over 1 year
	DIVERSION					<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
						Pending pros. over
						6 mos. <input type="checkbox"/> yes <input checked="" type="checkbox"/> no

APPROVED: [Signature] SPECIAL AGENT  
 IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:  
 3 - Bureau (73-19114)  
 1 - New York (73-3631)

73-19114-24

8 APR 20 1987

## Dissemination Record of Attached Report

## Notations

Agency

Request Recd. 200 TO Pardon Atty 6-3-86

Date Fwd. PL/SF

How Fwd. ~~SECRET~~

By [Signature]

-A-  
COVER PAGE

64 FEB 22 1988

~~SECRET~~

NY 73-3631

ADMINISTRATIVE (continued)

- ✓ 139-301-909, 1068 and 1070 - reflects various 1974 and 1974 newspaper articles concerning applicant's illegal campaign contributions.

183-340-113 - reflects a June 11, 1976 newspaper article concerning a April 24, 1976 testimonial dinner honoring ANTHONY SCOTTO, a capo in the CARLO GAMBINO (LCN) family. Seated on the dais were top New York and national business, political and labor leaders, including applicant who was listed as President of the AMERICAN SHIPBUILDING COMPANY and general partner and principal owner of the NEW YORK YANKEES.

b6  
b7C

9-8315 - reflects that applicant was an extortion victim in 1981.

(S) [redacted] reflects a March, 1978, undercover operation in which applicant and former SAC [redacted] FBI, were assisting FBI with providing a cover for two FBI assets.

b1

✓ 56-0-133 - reflects a 1975 newspaper article concerning applicant's illegal campaign contributions.

On April 15, 1986, a review of CIFU Indices by Support Employee [redacted] reveals no identifiable information pertaining to applicant.

On April 15, 1986, a review of ISIS Indices by Support Employee [redacted] reveals no identifiable information pertaining to applicant.

This reflects  
favorably  
upon GS

(can't report)

LEAD

NEW YORK

AT NEW YORK, NEW YORK. Investigation continuing.

Cover Page  
- B\* -

~~SECRET~~

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:  b6  
Date: April 17, 1986 b7C

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka  
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Residence verified. Reference recommends.

-P-

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS:

Residence

CARLISLE HOTEL  
76th Street and Madison Avenue  
New York, New York

On April 15, 1986, [redacted] advised that GEORGE STEINBRENNER has resided at the hotel for over ten years, however he is uncertain of the exact date applicant first began staying at the hotel. STEINBRENNER stays at the hotel on a day-to-day basis whenever he is in New York and does not rent an apartment or room on a continuous basis. Applicant usually pays between \$180 to \$215 per day for a single room.

STEINBRENNER has always been courteous to both management and staff. He has never caused a problem at the hotel and the hotel has never received any complaints about him. He always pays his bills and there has never been a problem with him owing the hotel money. STEINBRENNER is friendly and outgoing and very generous to the staff. He is not aware of any drug use or alcohol abuse by applicant.

b6  
b7C

[redacted] acknowledged that he is aware of STEINBRENNER'S past legal problems involving illegal campaign contributions. He is not aware of any other derogatory information about applicant. STEINBRENNER has never displayed any prejudices, irrational or violent behavior. He has no reason to question applicant's loyalty to the United States government.

Based upon his limited contact with applicant, he feels that STEINBRENNER is worthy of a pardon.

On April 15, 1986, [redacted] [redacted] advised that GEORGE STEINBRENNER stays at the hotel on a daily basis whenever he is in New York. [redacted] is not aware of the exact time period when STEINBRENNER first began staying at the hotel. He described applicant as a courteous and pleasant man who

NY 73-3631

is very generous to the staff. Applicant provides the staff with free baseball tickets on a limited basis.

Applicant has never caused a problem at the hotel and the hotel has never received any complaints about STEINBRENNER. Applicant has never displayed any form of irrational or violent type of behavior.

[ ] indicated he was not aware of applicant's past legal problems nor has he ever heard of anything of a derogatory nature about him. He has no knowledge of drug use by applicant or if he has ever abused alcohol.

STEINBRENNER has never displayed any form of prejudices and he has no reason to question applicant's loyalty to the United States government.

As the [ ] he comes in contact with applicant often and at all times applicant has been easy to get along with and very courteous to him.

b6  
b7C

Based upon his knowledge of applicant, he believes STEINBRENNER is worthy of a pardon.

Reference

On April 15, 1986, [ ] New York, New York, telephone number [ ] advised that he met applicant about 15 years ago when he gave an invocation at a NEW YORK YANKEE welcome home dinner. He has continued to maintain a social friendship with GEORGE STEINBRENNER since that time. [ ] considers STEINBRENNER as having an excellent reputation in the community and described him as a very generous man who contributes large amounts of money to various charities. Applicant is respectful, charming, never out of order and his conduct has always been perfect.



NY 73-3631

[ ] indicated that applicant's various friends and associates consists of politicians, attorneys, judges and various civic members and all are of the highest quality and character. He is not aware of any derogatory information, although he is aware of STEINBRENNER's prior felony conviction for illegal campaign contributions. His knowledge of this comes from primarily newspaper accounts. He has no knowledge of any drug use by applicant. STEINBRENNER is a social drinker, but he has never observed applicant abuse alcohol. Applicant has never displayed any form of prejudices, irrational or violent behavior. He has no reason to question applicant's loyalty to the United States government.

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b7C

[ ] has never had any personal financial dealings with applicant. STEINBRENNER is the principal owner of the NEW YORK YANKEES and he is very possessive about that ownership.

Because of his involvement in the professional baseball club, STEINBRENNER has demonstrated that he is very tolerant of people.

Based upon his knowledge of GEORGE STEINBRENNER, he feels applicant is more than worthy of a pardon.

## FEDERAL BUREAU OF INVESTIGATION

Reporting Office: NEW YORK  
 Office of Origin: BUREAU  
 Date: 4/23/86  
 Investigative Period: 4/16/86 - 4/22/86

TITLE OF CASE  
 GEORGE MICHAEL STEINBRENNER III, AKA  
 George Michael Steinbrenner

Report Made By

Typed By  
mn

CHARACTER OF CASE  
 APACS

## REFERENCE

NYreport, dated 4/17/86.

b6  
b7C

-P-

## ADMINISTRATIVE

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited have been noted where granted.

A review of NYO Elsur Indices on April 16, 1986, by Support Employee [redacted] reveals no identifiable information pertaining to GEORGE STEINBRENNER.

ACCOMPLISHMENTS CLAIMED - [ ] NONE						ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES	TALS	Pending over 1 year [ ] yes [ ] no
							Pending pros. over 6 mos. [ ] yes [ ] no

APPROVED: [Signature] SPECIAL AGENT  
 IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:  
 3 - Bureau (73-19114)  
 1 - New York (73-3631)

73-19114-25

8 APR 20 1987

## Dissemination Record of Attached Report

## Notations

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	2cc to Pardon Atty 6.3.86	PL/SF		

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 PL  
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64 FEB 22 1988

-A\*-  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:   
Date: April 23, 1986

b6  
b7C

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka  
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Reference recommends.

-P-

NY 73-3631

DETAILS:

Reference

On April 22, 1986, [REDACTED] PATROLMEN'S BENEVOLENT ASSOCIATION, 250 Broadway, New York, New York, advised that he has been a Police Officer with NEW YORK CITY POLICE DEPARTMENT for the past 27 years. He met GEORGE STEINBRENNER approximately five years ago, after a New York Police Officer was killed in the line of duty. Applicant heard that the slain officer and his family have been NEW YORK YANKEE fans. STEINBRENNER contacted him to discuss the possibility of setting up a fund that provides college scholarships to children of police officers and fire fighters who are killed in the line of duty. STEINBRENNER was able to obtain commitments from 100 businessmen to contribute \$1,000 each year to the SILVER SHIELD FOUNDATION. In addition, STEINBRENNER pledged all of the proceeds of one Yankee game a year to the Foundation.

[REDACTED] has continued to maintain a social friendship with applicant and they often meet for lunch. STEINBRENNER has a very good reputation among his friends and associates. He is a tough businessman, but is also a kind and compassionate man. STEINBRENNER is fair minded, straight forward and a decent individual.

STEINBRENNERS motivation for setting up the Foundation is purely for self satisfaction. STEINBRENNER feels he has a moral obligation to the people of New York since he derives a living from them. His involvement in the Foundation is a sincere gesture on his part and STEINBRENNER is not looking for any form of publicity. He always stays in the background.

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b7C

Applicant described as a family man whose integrity is unimpeachable. [REDACTED] was unaware of STEINBRENNER's felony conviction for illegal campaign contributions until the fall of 1985. At that time, a friend of the applicant's approached him and asked if he would be willing to provide a character

NY 73-3631

recommendation for applicant. [ ] views STEINBRENNER as a victim of circumstances and believes applicant probably did not realize at the time he made the political contribution, he was violating any law. He feels that STEINBRENNER should not be viewed as a felon and described the incident as a minor wrong. His knowledge of STEINBRENNER's past does not effect his opinion of him as an honorable individual. [ ] feels STEINBRENNER deserves to have his name cleared and that he has done more than enough to vindicate himself.

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b7c

[ ] is not aware of any other derogatory information about STEINBRENNER and has no reason to ever question his loyalty towards the United States government. Applicant has never displayed any form of prejudices, irrational or violent type of behavior. He is not aware of any questionable financial dealings, drug use or alcohol abuse by applicant.

STEINBRENNER is seeking a pardon only to clear his name and reputation and not to further any monetary gains. He believes that applicant is worthy and deserving of pardon.

FORMS.TEXT HAS 1 DOCUMENT

INBOX.4 (#7052)

TEXT: VZCZCNY0136

RR HQ NK

DE NY #0136 1122220

ZNY UUUUU

R 222115Z APR 86

FM FBI NEW YORK (73-3631) (P) (A-4)

TO DIRECTOR FBI (73-19114) ROUTINE

FBI NEWARK ROUTINE

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RECEIVED  
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23 APR 86 12  
FEDERAL BUREAU  
OF INVESTIGATION

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

A REVIEW OF CIVIL MATTER 82-CIV-0894 AT USDC, SDNY, CONCERNING APPLICANT, BRUCE POSTON AND CHEMICAL BANK, REVEALS THE DOCUMENTS HAVE BEEN TRANSFERRED TO FEDERAL ARCHIVES AND RECORDS CENTER, GSA, BUILDING 22, MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, TELEPHONE NUMBER (201) 823-7242.

IN ORDERR FOR (FRAC) TO LOCATE THE RECORDS, THE FOLLOWING INFORMATION MUST BE PROVIDED.

8 APR 20 1987

copy NY  
copy NK - will  
get file & have  
agent Resina lead  
NY (if to HQ)  
6/ps

64 FEB 22 1988

PAGE TWO DE NY 0136 UNCLAS

DOCKET NUMBER 82-CIV-84, ACCESSION NUMBER 2185142, FRC NUMBER  
618936 AND 618937.

NEWARK AT BAYONNE, NEW JERSEY. WILL REVIEW ABOVE DESCRIBED  
RECORDS AND FORWARD RESULTS TO THE BUREAU.

BT

#0136

NNNN

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## FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEW YORK <i>SM</i>	Office of Origin BUREAU	Date 4/24/86	Investigative Period 4/24/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		Report Made By <div style="border: 1px solid black; width: 150px; height: 20px;"></div>	Typed By cb
		CHARACTER OF CASE APACS	

REFERENCE

New York report, dated 4/23/86.

-P-

ADMINISTRATIVE

All individuals interviewed were advised of the provisions of the Privacy Act of 1974, and any individual desiring confidentiality is so noted.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	RECOVERIES	Pending over 1 year
	DIVERSION					<input type="checkbox"/> yes <input type="checkbox"/> no
						Pending pros. over
						6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no

APPROVED *John L. Hogan* SPECIAL AGENT IN CHARGE

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Copies Made:  
2 - Bureau (73-19114)  
1 - New York (73-3631)

73-19114-27

8 APR 20 1987

## Dissemination Record of Attached Report

Agency				
Request Recd.	2cc to	Parson	Atty	6-3-86
Date Fwd.	PL/SI			
How Fwd.				
By				

## Notations

*4/28  
per  
WNY records w/ negative re. Steinbrenner  
6/PAI*

64 FEB 22 1988

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COVER PAGE



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:

b6  
b7C

Office: New York, New York

Date: April 24, 1986

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Civil matter 84-0878, United States District Court,  
Western District of New York, not verified.

-P-

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NY 73-3631

DETAILS:

Miscellaneous

UNITED STATES DISTRICT COURT  
Western District of New York  
New York, New York

On April 24, 1986, a review of civil matter 84-0878 concerning applicant, PEAVEY COMPANY, and CONGRA, INCORPORATED, by Investigative Assistant [redacted] reveals that the civil index has no record of the applicant, CONGRA, INCORPORATED, or PEAVEY COMPANY.

b6  
b7C

Civil matter 84-0878 pertains to MGM/UA ENTERTAINMENT COMPANY, VIDEO INVADERS, INCORPORATED, and ROBERT and HELEN ZUPRICK.

FBI

## TRANSMIT VIA:

- ☐ Teletype  
☐ Facsimile  
☐ AIRTEL

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 4/29/86

SM TO: DIRECTOR, FBI (73-19114)  
FROM: SAC, TAMPA (73-312) (SQ. 3) (P) PL

GEORGE MICHAEL STEINBRENNER, III, aka  
George Michael Steinbrenner;  
APACS  
BUDED: 5/19/86

Re Bureau airtel to Tampa 4/10/86, no copies  
for Indianapolis or Richmond.

On 4/24/86, the following information was obtained  
concerning applicant's children:

2-Bureau  
2-Indianapolis  
2-Richmond  
2-Tampa  
DWM:kmd\*  
(8)

10 MAY 2 1986

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per *[Signature]*

64 FEB 22 1988

TP 73-312

LEADS:

INDIANAPOLIS DIVISION

AT INDIANAPOLIS, INDIANA.

Will conduct indices check on [REDACTED]

RICHMOND DIVISION

AT RICHMOND, VIRGINIA.

Will conduct indices check on [REDACTED]

b6  
b7C

TAMPA DIVISION

AT TAMPA, FLORIDA.

Will conduct indices checks on [REDACTED]

AND [REDACTED]

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW ORLEANS	OFFICE OF ORIGIN BUREAU	DATE 5/1/86	INVESTIGATIVE PERIOD 4/30/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka, GEORGE MICHAEL STEINBRENNER		REPORT MADE BY SA [REDACTED] b6 b7C	TYPED BY drs
		CHARACTER OF CASE APACS	

REFERENCE:

Tampa report dated 3/26/86.

- RUC -

ADMINISTRATIVE:

Persons interviewed were advised of the provisions of the Privacy Act and none requested confidentiality.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS.	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

COPIES MADE:

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(3) - Bureau (73-19114)  
1 - New Orleans (73-912)

8 APR 20 1987

Dissemination Record of Attached Report				
Agency				
Request Recd.	2cc TO	Parsons	Atty	6.3.86
Date Fwd.	PL/SF			
How Fwd.				
By				

Notations

PL 9664

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64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

b6

Office: NEW ORLEANS

Date:

5/1/86

b7C

Field Office File #:

NO 73-912

Bureau File #:

73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III, AKA,  
George Michael Steinbrenner

Character:

APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

Synopsis:

Reference of applicant contacted and has known  
applicant approximately ten years. Knows  
applicant to be of excellent character and  
recommends highly for pardon.

RUC

DETAILS:

NO 73-912

JEB:drs

1

REFERENCE

The following investigation was conducted by  
SA [ ] as follows:

On 4/30/86, [ ]  
Grambling State University, Grambling, LA, advised that he  
has known GEORGE STEINBRENNER since 1976. Since that time  
the [ ] family and the STEINBRENNER's have become close  
social and personal friends who have shared each others  
company 4-5 times each year on both a personal and business  
level.

[ ] has always found STEINBRENNER to be a person  
who was very interested in people in general and underprivileged  
in particular. STEINBRENNER has aided countless underprivileged  
youths to obtain a better education and higher standard of  
living. STEINBRENNER is best described as a person genuinely  
deep into Americanism and the finest man who [ ] ever met.  
STEINBRENNER is known as a person who will go to great lengths  
to help other people. STEINBRENNER is a person who genuinely  
loves people and [ ] feels that STEINBRENNER would never  
again run afoul of the law. He strongly recommends STEINBRENNER  
for a complete pardon.

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RR HQ

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R 22 2240Z APR 86

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TELETYPE UNIT

29 APR 86 03 04

FM NEW ORLEANS (73-19114) ROUTINE  
FEDERAL BUREAU  
OF INVESTIGATION

TO DIRECTOR (73-19114) ROUTINE

NEW YORK ROUTINE

TAMPA (73-312) ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA, GEORGE MICHAEL STEINBRENNER.

RE TAMPA REPORT OF SA [REDACTED]

DATED APRIL 1, 1986.

REVIEW OF REFERENCED REPORT BY NEW ORLEANS REVEALED THAT LEADS  
SET OUT FOR NEW ORLEANS DIVISION WERE INADVERTENTLY ASSIGNED AND  
SHOULD BE SET OUT FOR NEW YORK DIVISION. IT IS NOTED THAT THERE IS  
NO SAYVILLE, LOUISIANA.

b6  
b7c

NEW ORLEANS AT GRAMBLING, LOUISIANA: INTERVIEW OF [REDACTED]

[REDACTED] WILL BE COVERED AND REPORTED BY NEW ORLEANS.

BT

73-19114-30

8 APR 20 1987

64 FEB 22 1988

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6/18/87



## FEDERAL BUREAU OF INVESTIGATION

Reporting Office  
NEW YORK  
*SM*Office of Origin  
BUREAUDate  
5/2/86Investigative Period  
4/29/86TITLE OF CASE  
GEORGE MICHAEL STEINBRENNER, III, aka  
George Michael Steinbrenner  
*gk*Report Made By  
Typed By  
mnCHARACTER OF CASE  
APACS

## REFERENCE

NYreport, dated 4/24/86.

-RUC-

## ADMINISTRATIVE

All individuals interviewed were advised of the provisions of the Privacy Act of 1974, and only those desiring such confidentiality are so noted.

*(initials)*

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE						ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		Pending over 1 year <input type="checkbox"/> yes <input type="checkbox"/> no Pending pros. over 6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no
APPROVED <i>[Signature]</i>						SPECIAL AGENT IN CHARGE	
Copies Made: 2 - Bureau (73-19114) 1 - New York (73-3631)						DO NOT WRITE IN SPACES BELOW  73-19114-31  8 APR 20 1987	

## Dissemination Record of Attached Report

Agency				
Request Recd.	200 TO	Pardon Atty	6.3.86	
Date Fwd.	PL/SF			
How Fwd.				
By				

## Notations

*1st case  
5/12/86  
creat. cum 18-  
6/1/86*

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b7C

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64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:   
Date: May 2, 1986

b6  
b7C

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Civil matter 8098/84, Supreme Court, County of New York,  
not verified.

-RUC-

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NY 73-3631

DETAILS:

The following investigation was conducted by  
Investigative Assistant [REDACTED]

Supreme Court of New York  
County of Bronx  
New York, New York

b6  
b7C

On April 29, 1986, [REDACTED] Clerk, Civil Matters,  
advised that Index Number 8098/84 is not a correct index number.

On April 29, 1986, a search of 1983, 1984 and 1985  
calender Docket Indexes for corporations and individuals was  
conducted with negative results.

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/5/86</b>	INVESTIGATIVE PERIOD <b>4/28 - 5/2/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III, aka., George Michael Steinbrenner</b>		REPORT MADE BY <b>SA [redacted]</b>	TYPED BY <b>sak</b>
		CHARACTER OF CASE <b>APACS</b>	

## REFERENCE

Tampa Report to Bureau, 4/1/86; Bureau teletype to Buffalo, 4/3/86;  
and Cleveland teletype to Bureau, 4/11/86.

- RUC -

b6  
b7C

## ADMINISTRATIVE

On 4/28/86, Supervising U.S. Probation Officer [redacted]  
666 Euclid Avenue, Cleveland, Ohio, advised the Probation Department  
of U.S. District Court, Northern District of Ohio, will furnish  
requested Presentence Investigation Report regarding the applicant  
to the Pardon Attorney, Bethesda, Maryland.

On 5/2/86, a check of Cleveland Indices was negative regarding  
applicant's immediate family (father, HENRY G. STEINBRENNER (deceased);  
[redacted], all  
of whom reside in greater Cleveland.

APPROVED

COPIES MADE:

- 3 - Bureau (73-19114)  
2 - Tampa (73-312)  
1 - Cleveland (73-621)

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

73-19114-32

8 APR 20 1987

## Dissemination Record of Attached Report

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	2cc to Pardon Atty 6-3-86	PL/SP		

Notations

6/1/86

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COVER PAGE

26 FEB 19 1988

FBI/DOJ

CV 73-621

For the information of the Bureau and Tampa, a review of Cleveland Indices regarding the applicant reflect numerous references. A review of Cleveland Indices from March, 1979, the date of the initial APACS investigation, reflects the following pertinent references:

A reference to the applicant in CV 92-1320, Bufile 92-9485, [redacted] ANTIRACKETEERING; OO: CLEVELAND), reflects that on 2/6/81, [redacted] stated "GEORGE STEINBRENNER, owner of Florida Downs Racetrack, recently employed [redacted] [redacted] was involved in the training of horses at that track. Source advised that some problem developed whereby [redacted] for his services and therefore discussed the problem with [redacted] allegedly intervened on behalf of [redacted] and resolved the problem. As a consequence, [redacted] and STEINBRENNER allegedly got along rather well and are allegedly in the preliminary stages of some business activity unknown to the source. Source was informed of this information by a close associate of [redacted] It is to be noted that [redacted] is a Cleveland Family LCN member.

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THE ABOVE INFORMATION IS SINGULAR IN NATURE AND MUST NOT BE DISSEMINATED OUTSIDE THE BUREAU IN ORDER TO PROTECT THE IDENTITY OF THE SOURCE.

A second reference relating to the applicant appears in CV 183A-817, Tampa file 183A-782, [redacted] LNU; [redacted] RICO; OO: TAMPA). In CV 183A-817-2, [redacted] advised on 2/25/81, that [redacted] is operating the Transportation Unlimited Company owned by [redacted] remains as a top figure in that company, however.

b2  
b6  
b7C  
b7D

"Source indicated that [redacted] [redacted] is an independent horse trainer and was employed by GEORGE STEINBRENNER to train horses personally owned by him. STEINBRENNER's horses race at the Tampa Bay Downs Racetrack.

"STEINBRENNER was allegedly the owner of this track".

On 3/3/81, [redacted] advised [redacted] (Phonetic) owns approximately four horses that race at Tampa Bay Downs Racetrack. Recently one of his horses mysteriously died during a race. [redacted] was present at the time this occurred, and allegedly assaulted the attending vet, who [redacted] felt was derelict in attending the horse. [redacted] later learned that the vet was not licensed and directed hostile complaints toward the racetrack. According to the

b2  
b6  
b7C  
b7D

CV 73-621

source, STEINBRENNER sent "muscle" to [ ] to shut him up. [ ] allegedly severely beat up the individual sent by STEINBRENNER, and he has since been barred from the track.

b6  
b7C  
b7D

"Source further advised that [ ] is the [ ] (Phonetic) who was a jockey at a Cleveland racetrack approximately five years ago. At that time, [ ] relocated in Miami, Florida. [ ] also resided in Cleveland before relocating in Tampa, Florida, several years again".

PORIONS OF THE INFORMATION CONTAINED HEREIN ARE SINGULAR IN NATURE AND COULD JEOPARDIZE THE CONFIDENTIALITY OF THIS SOURCE. THEREFORE, IF DISSEMINATION IS DEEMED NECESSARY, THIS INFORMATION SHOULD NOT BE ATTRIBUTED TO A [ ] INFORMANT.

Regarding this same case, on 4/20/81, Cleveland advised SAC, Tampa that frequent contacts with [ ] has failed to generate additional information concerning captioned matter.

b2  
b7D

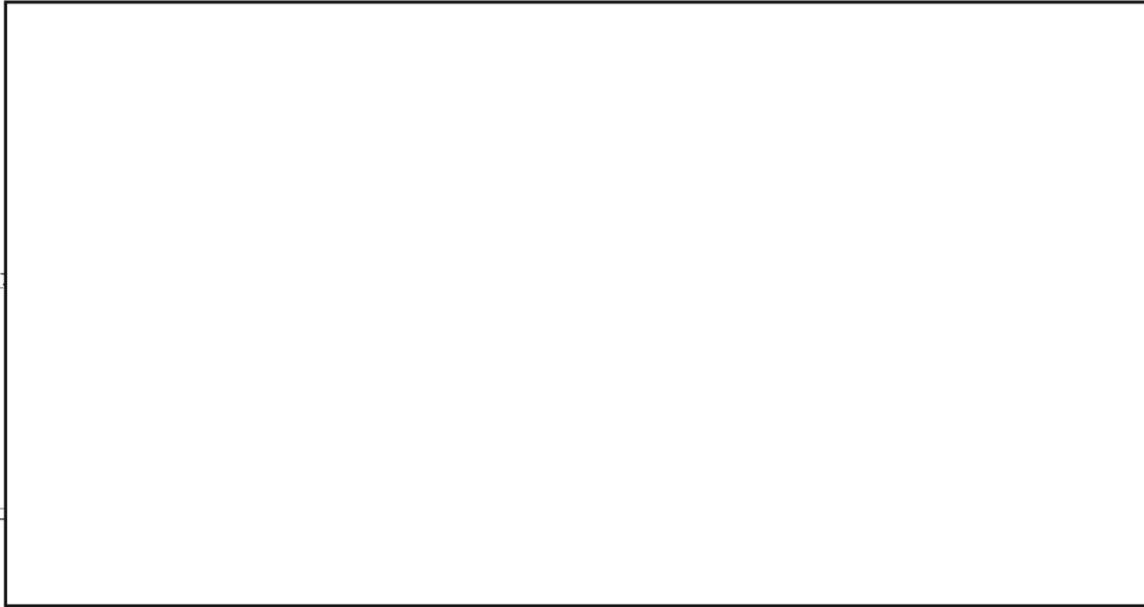
20x ✓  
References are made to the applicant in CV 49A-993, Bufile 49-22922, (FRANK J. BATTISTI, Chief Federal Judge, Northern District of Ohio; et al; NBA-BRIBERY; OO: CLEVELAND). Reference to the applicant in this file reflects information from cooperative witness [ ] to the effect that GEORGE STEINBRENNER had contributed \$5,000 to the CARTER campaign. The context of this reference was with regard to a series of individuals who [ ] indicated had made political campaign contributions, some of which were legal, others of which were purportedly illegal. Nothing in this file reflects that the contribution from STEINBRENNER was illegal.

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✓  
Later references in this case, CV 49A-993-755, reflect the following information in a memo dated 5/9/84: "Federal Grand Jury proceedings pertaining to [ ]

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CV 73-621



b3  
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b7C

~~A file reference to the applicant appears in CV~~  
183A-136-537-A, a communication from SAC, Miami, 156A-82,  
(UNSUBS, ATLANTIC COLD STORAGE, aka., ACS, 18770 NORTHEAST  
6TH AVENUE, NORTH MIAMI BEACH, FLORIDA, ERISA; OO: MIAMI).  
In this communication the pertinent information concerning  
the applicant is as follows: "... (a Miami) source said...  
[redacted] deceased Teamster  
Leader FRANK FITZSIMMONS) and GEORGE STEINBRENNER of the  
New York Yankees are going to be having dinner in Tampa  
on 6/6/85, the source did not know where this dinner was  
to take place, but it was not at the Tarpon Woods Country  
Club. [redacted] had to pay them \$300,000 to get them out  
of trucking in Tarpon Woods. Further details of this are  
unknown".

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In order to furnish the Bureau with a proper  
context for the above information, a copy of the above  
communication is enclosed for the Bureau.

In a follow-up communication of 6/13/85, regarding  
the above case, Miami advised that the source mentioned  
above stated on 6/10 and 6/12/85, that [redacted] had displayed  
a change of temperament in a conversation two days later,  
6/7/85. Miami indicated that "This posture taken by [redacted]  
on 6/7/85, was during a period of sobriety and [redacted] has  
concluded [redacted] conversation on 6/5/85, was precipitated  
by too much alcohol, depression and a tendency to boast  
to anyone who will listen..."

CV 73-621

In a following communication dated 7/2/85, Miami advised of having polygraphed the witness. Miami advised that preliminary indications indicate the source has "no first hand knowledge of bribes or kickbacks to or from union officials nor does he appear to have any first hand knowledge of any illegal activities involving the sales or issuances of insurance policies. Although this enhances the credibility and veracity of the confidential witness, it has done nothing to provide Miami with any first hand predication supporting allegations of illegal activities by union officers".

The above information is being furnished to the Bureau for the purpose of fully advising the Bureau as to references appearing within the files of FBI Cleveland since the initial APACS investigation. Clarification regarding this information has been furnished to the Bureau where available. Any further information or leads sought by the Bureau concerning this matter is being left to the discretion of the Bureau.

With regard to the above, the Bureau should consider whether any use or dissemination of this information would in any way jeopardize investigations in Tampa and Miami, Florida. Further, sensitive source information from Cleveland, Miami, and Tampa Divisions require the utmost discretion in its handling.

ENCLOSURES

Enclosed for FBIHQ and Tampa Division are copies of FD-302, concerning GEORGE M. STEINBRENNER, III, 5/14/84; and Miami Teletype to the Director dated 6/7/85.

no cv info. from  
no TP/MM info.

take care  
pages  
any info.  
concerning  
possible sharing  
info. w/ the -



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]

Office: CLEVELAND

Date:

Field Office File #: 73-621

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III.

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

On 5/1/86, reference [REDACTED] Cleveland, Ohio, was interviewed and favorably recommended the applicant for consideration for pardon based upon the applicant's clean record since the 1974 Election Law conviction and the applicant's long history of charitable and positive civic involvement. U.S. Probation Officer advised presentence report will be sent to Pardon Attorney.

- RUC -

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b7cDETAILS:

On May 1, 1986, reference [REDACTED] was interviewed at the Public Square Branch of the Pewter Mug, Cleveland, Ohio. [REDACTED] advised that he has known the applicant for approximately 30 years. [REDACTED] advised that his contact with the applicant dates to their mutual involvement with a civic group established in Cleveland, Ohio, termed the "Group 66". [REDACTED] advised that this group of businessmen attempted to improve civic conditions within the city of Cleveland through their involvement and input. [REDACTED] advised that he has known the applicant since that time and has known him to be a man of the highest reputation and character and considers the applicant to be a very charitable individual especially with regard to young people. [REDACTED] advised that he knows nothing whatsoever of a derogatory nature concerning the applicant, with the exception of the 1974 Election Law conviction. [REDACTED] advised that he has never discussed this conviction nor the applicant's attitude toward this. [REDACTED] advised that it was his impression that the applicant was deeply hurt and embarrassed by this conviction.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[ ] advised that has also known the applicant from the applicant's connection as owner of American Ship Building and also of Kinsman Lines. [ ] advised that the Kinsman Lines is now defunct and/or merged with American Ship Building. In addition, [ ] advised that American Ship Building has relocated in total from the Cleveland-Lorain, Ohio area and has consolidated its activities in Tampa, Florida. [ ] advised that since American Ship Building has relocated from the Cleveland, Ohio area, that the applicant's trips to the Cleveland area are limited to those concerning visits to the applicant's family as well as to a close associate of the applicant Mr. [ ] [ ] an attorney who also represents the applicant. [ ] advised, however, that whenever the applicant is in town that they frequently meet, have dinner, or attend a ball game together. In addition, [ ] advised that it was his clear impression that the applicant continues his strong civic and charitable attitude and commitment to society.

[ ] advised that he personally has been the [ ] and knew of no "labor trouble" between the applicant and his company American Ship Building with the Long Shoremen or other Unions. [ ] advised that it was his understanding that tariffs concerning traffic on Lake Erie has driven much business away from this area, and is likely responsible for the applicant's relocation to the Tampa, Florida area.

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[ ] cited again numerous examples of the charitable nature of the applicant as previously set forth in an affidavit supplied by [ ] to the Pardon Attorney and indicated that these examples aptly reflected the applicant's generous nature. [ ] advised that he composed the substance of this matter and that it was typed on his behalf by the law firm of DANIEL R. MC CARTHY Cleveland, Ohio.

[ ] advised that he also was very familiar with the applicant's family. [ ] advised that the applicant's mother and the applicant's two sisters reside in the Cleveland area and are fine people. [ ] advised that the applicant's father was the founder of American Ship Building and died last year. [ ] advised that the applicant's father was likewise a fine individual.

[ ] advised that based on everything that he knew of the applicant he strongly recommended that the applicant be given consideration for pardon.

CV-73-621

On April 28, 1986, [redacted]  
[redacted] 666 Euclid Avenue, Cleveland, Ohio, advised the  
Probation Department of U.S. District Court, Northern District of  
Ohio, will furnish requested Presentence Investigation Report re-  
garding the applicant to the Pardon Attorney, Bethesda, Maryland.

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73-1114-32  
ENCLOSURE

## FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 5/18/84

GEORGE M. STEINBRENNER, III, Chairman of the Board of Directors, the American Ship Building Company (AMSHIP), was interviewed at the Bay Harbor Inn, 7700 Courtney-Campbell Causeway, Room 600, in the presence of [redacted]

[redacted] Department of Justice, Office of Public Integrity, at which time STEINBRENNER provided the following information:

STEINBRENNER advised that he took over AMSHIP in 1968 as Chairman of the Board and President of the corporation. STEINBRENNER stated that he switched to just Chairman of the Board during the 1973 time period.

STEINBRENNER stated that he has known Federal Judge FRANK J. BATTISTI for 12 to 14 years and talks to him two to three times a year. STEINBRENNER stated he considers BATTISTI a good friend. STEINBRENNER stated however that he does not recall how he met BATTISTI.

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STEINBRENNER stated that he met [redacted] FRANK BATTISTI one or two times, however STEINBRENNER would not know him if he saw him and does not consider [redacted] a friend. STEINBRENNER further stated he met [redacted] and did not see him more than two times. STEINBRENNER knew [redacted] when [redacted] was in college.

STEINBRENNER stated that he does not recall meeting [redacted] and does not know [redacted]

STEINBRENNER stated he has known [redacted] for 12 to 15 years. STEINBRENNER stated that [redacted] was a regular at the Theatrical Restaurant and was always handing out pens containing the name [redacted] Harry Rock and Company (HRC).

STEINBRENNER stated he knows [redacted] who is a close friend for approximately 12 or 13 years or a little more. STEINBRENNER stated that [redacted] is currently on the Board of Directors of AMSHIP and prior to that position [redacted] firm did tax work for AMSHIP. STEINBRENNER

Investigation on 5/14/84 at Tampa, Florida File # Cleveland  
49A-993 -770  
by SA [redacted] dgc Date dictated 5/14/84

stated that [ ] has been a [ ] for AMSHIP and [ ] for at least 10 years. STEINBRENNER stated [ ] did not hold any other positions with the company other than [ ] prior to him becoming elected to the Board of Directors.

STEINBRENNER stated that [ ] in his position of [ ] would be in a position to know about stock splits prior to their declaration to the public. STEINBRENNER stated that anyone in house would know about pending stock splits, in that it would be discussed months in advance. STEINBRENNER stated that a stock split or stock dividend could be discussed a quarter in advanced or a half year in advance. STEINBRENNER stated that the board of directors is usually advised about a quarter in advance about a possible stock dividend and are informed not to trade in AMSHIP stock. STEINBRENNER stated that the board of directors vote on any stock splits and if the vote is favorable information is released that day.

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STEINBRENNER advised that he does not recall [ ] interceding on the behalf of HRC to sell scrap to HRC. STEINBRENNER stated that he was talked to by several people regarding HRC prior to 1980. STEINBRENNER advised those people could have been [ ] as well as Judge BATTISTI. STEINBRENNER stated Judge BATTISTI may have mentioned HRC because they were pretty good friends. STEINBRENNER stated he believes he first met [ ] was with Judge BATTISTI in Florida, around the early 1970's. STEINBRENNER stated that the judge could have mentioned [ ] STEINBRENNER explained that during 1973 or 1974 [ ] for approximately five years and that STEINBRENNER had nothing to do with the everyday operation. STEINBRENNER stated that to the best of his knowledge, Judge BATTISTI did not say that if HRC got scrap from AMSHIP, [ ] would benefit. STEINBRENNER stated that in the early 1970's he told [ ] that he (STEINBRENNER) would do his best to get [ ] in the bidding process. STEINBRENNER stated that he talked to [ ] AMSHIP employee, Lorain, Ohio, and advised [ ] to give [ ] a chance to bid on the scrap. STEINBRENNER stated that this occurred around the mid-1970's. STEINBRENNER stated that he does not recall if any similar incidents happened around 1980, although it could have happened once. STEINBRENNER stated that he does not recall ever telling

Continuation of FD-302 of GEORGE M. STEINBRENNER, On 5/14/84, Page 3

anyone that AMSHIP to give a contract to a particular company or individual.

STEINBRENNER stated that he was not aware that [ ] was receiving commissions from HRC on scrap transactions between HRC and AMSHIP. STEINBRENNER was exhibited documents obtained from HRC showing [ ] receiving commissions on certain transactions between HRC and AMSHIP. STEINBRENNER stated he was not aware that [ ] was receiving the commissions and did not know why [ ] was receiving the commissions.

STEINBRENNER advised that to the best his knowledge there was only one case involving himself where Federal Court in Cleveland which occurred in 1972 or 1973. According to STEINBRENNER, it pertained to a political contribution case and the matter was in front of a Federal Judge, name unrecalled. STEINBRENNER stated however it was not in front of BATTISTI. STEINBRENNER stated to the best of his knowledge there was never a case concerning himself or AMSHIP in Federal Court before BATTISTI.

STEINBRENNER stated that BATTISTI was always interested in his BATTISTI'S family and talking about various members of the family, including [ ] and his wife [ ] STEINBRENNER stated that he did not recall if BATTISTI ever asked him for favors. STEINBRENNER stated he believed that [ ] had [ ] with the Lake Carriers Group and at that time knew [ ] but was not aware that this [ ] was related to [ ] until a later time. STEINBRENNER stated that he did not recall if any loans were made by him personally or by AMSHIP to BATTISTI or to the BATTISTI family. STEINBRENNER stated that he may have talked to BATTISTI within the last 12 months.

STEINBRENNER stated that when [ ] attempted to buy the Chicago White Sox he received a number of calls from individuals in support of [ ] STEINBRENNER stated that he did not recall receiving a telephone call from BATTISTI. However if Judge BATTISTI mentioned a civil suit by [ ] against the Baseball Commissioner's Office he would have recalled that conversation.

STEINBRENNER stated that he knows [ ]

in passing but does not know [ ] STEINBRENNER was asked if [ ] law firm ever represented AMSHIP at which time STEINBRENNER stated he could not answer that question because he did not know.

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STEINBRENNER stated that his companys are not currently affiliated with any teamsters union, however during 1968 to 1970, the firm owned a transport carrier company which may have been represented by the teamsters union.

STEINBRENNER stated that he never provided advanced information on stock splits or stock dividends to anyone outside the company. STEINBRENNER stated he does not recall ever talking specifically with BATTISTI concerning AMSHIP stock, other than general conversation. STEINBRENNER stated he does not recall if he was aware that the BATTISTI'S were stock holders in AMSHIP. STEINBRENNER stated that BATTISTI may have mentioned buying the stock but he does not recall.

STEINBRENNER stated that [ ] mentioned that the FBI was looking into scrap deals between AMSHIP and HRC. STEINBRENNER stated that he did not question [ ] in detail because AMSHIP has not done anything wrong. STEINBRENNER stated that [ ] mentioning of the FBI was a passing comment. STEINBRENNER stated that he did not recall [ ] subpoenaed by the Federal Grand Jury.

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STEINBRENNER stated that [ ] suggested that [ ] be nominated for a position on the Board of Directors. STEINBRENNER stated that [ ] was elected to the Board of Directors and is currently on the Board of Directors. STEINBRENNER stated that [ ] was doing [ ] for the corporation prior to his election to the board.

STEINBRENNER stated that he does not know if [ ] called AMSHIP people in Lorain on behalf of HRC. STEINBRENNER further stated that he has not talked to [ ] of HRC in a couple of years.

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STEINBRENNER stated he believed that in 1978 AMSHIP was awarded a large contract from National Steel as well as Interlake Steamship Company. STEINBRENNER stated the contracts were major contracts and AMSHIP experienced good earnings regarding the contracts.



CV 49A-993

Continuation of FD-302 of GEORGE M. STEINBRENNER . On 5/14/84 . Page 5

STEINBRENNER advised that [ ] did not introduce him to [ ] in that he knew [ ] prior to meeting [ ] According to STEINBRENNER, [ ] was not responsible in AMSHIP selling scrap to Harry Rock and Company.

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MM0030 1590127Z

PP HQ DE CV CG TP

DE MM

P 0070127Z JUN 85

FM MIAMI (156A-82) (PC-1) (P)

TO DIRECTOR PRIORITY

(ATTENTION: [REDACTED] UNIT CHIEF ORGANIZED CRIME AND LABOR

RACKETEERING SECTION)

DETROIT (156A-57) PRIORITY

CLEVELAND (FOR INFORMATION) PRIORITY

CHICAGO (FOR INFORMATION) PRIORITY

TAMPA (FOR INFORMATION) ROUTINE

BT

UNCLAS

UNSUBS, ATLANTIC COLD STORAGE, AKA ACS 18770 NORTHEAST 6TH AVENUE,

NORTH MIAMI BEACH, FLORIDA, ERISA 00: MIAMI

RE TELCALL TO [REDACTED] FBIHQ, JUNE 7, 1985.

RE TELCALL TO [REDACTED] DETROIT JUNE 7, 1985.

[REDACTED] (PROTECT IDENTITY) WAS DEBRIEFED BY MIAMI AGENTS  
DURING THE EARLY MORNING HOURS OF JUNE 6, 1985, AFTER CONCLUDING A  
LENGTHY MEETING BETWEEN HIMSELF AND [REDACTED]

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INDEX

183A-136-537-A

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JUN 7 1985	
FBI - CLEVELAND	

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OF-CP vll

Noted  
6-7-85  
Jct

PAGE TWO MM 156A-82 UNCLAS

OF FORMER INTERNATIONAL BROTHERHOOD OF TEAMSTERS PRESIDENT FRANK  
FITZSIMMONS (DECEASED), AT [REDACTED]

[REDACTED]

[REDACTED] (PROTECT) ADVISED HE HAD BEEN TELEPHONICALLY CONTACTED  
BY [REDACTED] WHO WAS STAYING IN FORT LAUDERDALE FOR A FEW DAYS.

[REDACTED] STATED HE HAD BEEN SEPARATED FROM HIS WIFE FOR TEN DAYS  
AND WAS GOING TO BE GETTING A DIVORCE. [REDACTED] THOUGHT IT WAS  
UNUSUAL THAT [REDACTED] WOULD CONTACT HIM SINCE HE AND [REDACTED]  
ARE NOT CLOSE. [REDACTED] SAID HE RECALLED SPEAKING WITH [REDACTED]  
ONLY TWICE WITHIN THE PAST FOUR YEARS, HOWEVER AGREED TO MEET HIM  
THAT NIGHT, JUNE 5, 1985, WHICH HE THEN DID.

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[REDACTED] CONVEYED THE FOLLOWING INFORMATION FROM CONVERSATION  
WITH [REDACTED] HEREINAFTER REFERRED TO AS "THE SOURCE".

HE [REDACTED] HAS BEEN INDICTED ON THREE COUNTS, THE FIRST  
INVOLVING PAYMENTS FROM AN INDIVIDUAL NAMED [REDACTED] THAT WENT  
TO [REDACTED] AND WERE DISGUISED AS A LOAN AND A "NOTE"  
EVIDENCING THE LOANS. THE SECOND COUNT INVOLVED \$11,000.00 OR  
\$12,000.00 ALSO PAID TO [REDACTED] WHILE HIS WAS IN JAIL ON A PREVIOUS  
CONVICTION FOR WHICH HE HAD PLEADED GUILTY. THE THIRD COUNT WAS A

CONSPIRACY COUNT.

[ ] FEELS HIS LATEST INDICTMENT IS ALL PETTY NONSENSE AND FELT THERE WERE MUCH LARGER AMOUNTS STOLEN FROM THE COMPANY INCLUDING ABOUT THIRTY MILLION DOLLARS WHICH WAS "SWINDLED OUT OF THE COMPANY" BY OTHERS.

[ ] SAID [ ] WAS ALSO INDICTED AND HE IS BEING REPRESENTED BY [ ] A "BIG MOB ATTORNEY" WHO WAS A FORMER PARTNER OF AN ATTORNEY NAMED [ ] (PHONETIC).

[ ] TOLD THE SOURCE HE WOULD NEVER PLEAD GUILTY AGAIN.

ON JUNE 17, 1985, [ ] IS GOING TO GO SOMEWHERE (LOCATION UNKNOWN) TO CONFRONT TWO INDIVIDUALS NAMED [ ] (PHONETIC) AND

[ ] (PHONETIC) WHO ARE PRINCIPALS IN A TRUCKING FIRM KNOWN AS

[ ] BELIEVED TO BE LOCATED IN EITHER CHICAGO OR DETROIT. [ ] CLAIMED TO HAVE "HELPED PUT TOGETHER"

THIS TRUCKING COMPANY (FURTHER DETAILS UNKNOWN) AND STATED HE WAS SUPPOSED TO HAVE A 15 PERCENT (FIFTEEN PERCENT) OWNERSHIP INTEREST IN (X) PIERCE TRUCKING (X) BASED ON AN ORAL UNDERSTANDING AGREEMENT BETWEEN HIMSELF AND [ ]

ACCORDING TO [ ] CAME TO HIM AND

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CONVINCED HIM IT WOULD BE IN HIS BEST INTERESTS TO PLEAD GUILTY ON THE FIRST SET OF INDICTMENTS FOR WHICH HE SUBSEQUENTLY WENT TO JAIL ON OR ABOUT MARCH 20, 1982.

[ ] DID PLEAD GUILTY, IN PART BECAUSE OF PROMISES AND REPRESENTATIONS MADE TO HIM BY [ ] THAT THEY WOULD TAKE CARE OF HIM FINANCIALLY AND EVEN SHOWED HIM AMENDED COPIES OR CODICILS OF WILLS WHEREBY THEY [ ] WERE LEAVING CERTAIN ASSETS TO [ ] SHOULD THEY DIE PRIOR TO HIS RELEASE FROM PRISON. [ ] ALSO URGED HIM TO PLEAD GUILTY SO THAT "THEY" (PRESUMABLY REFERRING TO THE GOVERNMENT) WOULD NOT FIND OUT ABOUT PROPERTY OWNED BY PIERCE TRUCKING IN LA COSTA, (SAN DIEGO), FLORIDA AND AN INTEREST OR ARRANGEMENT PIERCE HAS WITH GOODYEAR RUBBER COMPANY.

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[ ] IS NOW ANGRY BECAUSE HE HAD BEEN DUPED BY [ ] INTO BELIEVING HE WOULD BE FINANCIALLY SECURE AND THEY HAVE NOW GONE BACK ON THEIR WORD. THE COMPANY, ACCORDING TO [ ] IS NOW WORTH 34 MILLION DOLLARS AND [ ] WANTS A PART OF IT. HE SAID "THEIR WIVES ARE ALL RUNNING AROUND IN JEWELS AND ME AND MY WIFE GOT NOTHING," ACCORDING TO THE SOURCE. [ ] SAID HE IS GOING TO ISSUE THEM "AN ULTIMATUM OR ELSE" AND SPOKE OF KILLING

THEM, ACCORDING TO THE SOURCE. THE BUREAU SHOULD NOTE AS OF DATE OF THIS COMMUNICATION, THE SOURCE WAS NOT ABLE TO DETERMINE WHERE [REDACTED] WILL BE ON JUNE 17, THE DATE THE SOURCE SAID HE WAS GOING TO CONFRONT THEM.

THE SOURCE ADVISED [REDACTED] HAD BOUGHT A HOME IN THE TARPON WOODS AREA NEAR TAMPA.

[REDACTED] ALSO SAID THAT [REDACTED] AND [REDACTED] ARE SUING (OR GOING TO SUE) [REDACTED] AND THE MICHIGAN CONFERENCE OVER SOME INSURANCE BUSINESS WHICH APPARENTLY DID NOT TURN OUT AS THEY HAD ANTICIPATED. NO FURTHER DETAILS ARE KNOWN HOWEVER THESE THREE ARE APPARENTLY ANGRY WITH [REDACTED]

ABOUT THREE WEEKS AGO [REDACTED] CAME TO SEE [REDACTED] AND TOLD HIM HE HAD GOTTEN "SUCKED INTO SOMETHIN" THAT REALLY WAS NOT HIS FAULT [REDACTED] THEN BEGAN RANTING ABOUT [REDACTED] WHO HE DOES NOT LIKE AND FEELS [REDACTED] HAS GOTTEN TOO CLOSE TO [REDACTED] AND [REDACTED] ALSO SAID [REDACTED] HAS BEEN DOING A LOT OF BUSINESS WITH [REDACTED] THE SOUTHERN CONFERENCE TEAMSTERS.

[REDACTED] THEN WENT ON TO TALK ABOUT THE NARCOTICS INVOLVEMENT OF [REDACTED] (X) (PHONETIC) [REDACTED]

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PAGE SIX MM 156A-82 UNCLAS

[REDACTED] FLORIDA, IN THE TAMPA  
DIVISION. [REDACTED] HAS APPARENTLY MADE AND SUBSEQUENTLY LOST  
MASSIVE AMOUNTS OF MONEY IN DRUG TRANSACTIONS. HE NOW IS FACING  
SOME LEGAL PROBLEMS AS A RESULT OF THESE NARCOTIC TRANSACTIONS AND  
IS LOOKING FOR "ONE LAST BIG SCORE" BEFORE HE HAS TO GO TO JAIL.  
ACCORDING TO THE SOURCE, [REDACTED] IS APPARENTLY TRYING TO PUT  
TOGETHER A "DEAL" FOR [REDACTED] FOR \$300,000 POUNDS (150 TONS)  
OF MARIJUANA. [REDACTED] TOLD [REDACTED] THAT MONEY FOR THE DEAL  
WAS "NO PROBLEM". THE SOURCE SAID AN INDIVIDUAL BY THE NAME OF  
[REDACTED] (PHONETIC) MAY BE INVOLVED IN THIS NARCOTICS  
DEAL. [REDACTED] AND (X)GEORGE  
STEINBRENNER (X) OF THE NEW YORK YANKEES WERE GOING TO BE HAVING  
DINNER IN TAMPA ON JUNE 6, 1985, THE SOURCE DID NOT KNOW WHERE THIS  
DINNER WAS TO TAKE PLACE BUT IT WAS NOT AT THE TARPON WOODS COUNTRY  
CLUB. [REDACTED] HAD TO PAY THEM \$300,00.00 TO GET THEM OUT OF  
TRUCKING IN TARPON WOODS. FURTHER DETAILS OF THIS ARE UNKNOWN.  
[REDACTED] THEN WENT ON TO STATE THAT AFTER HE GOT OUT OF JAIL  
HE WAS LOOKING FOR FINANCING AND HIS BUDDY [REDACTED] PROVIDED HIM  
WITH MONEY TO START A LIMOSINE SERVICE. THE SOURCE BELIEVED THIS  
INDIVIDUAL IS THE ATTORNEY GENERAL FOR THE STATE OF MICHIGAN. (IN

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SUBSEQUENT CONVERSATIONS WITH THE DETROIT DIVISION ON JUNE 7, 1985, IT WAS LEARNED THAT [REDACTED] HAS A FRIEND NAMED [REDACTED] WHO IS NOT IDENTICAL WITH THE ATTORNEY GENERAL.

IN A LATER CONVERSATION WITH THE SOURCE ON JUNE 7, 1985, THE SOURCE ADVISED THAT HE WAS THE ONE WHO THOUGHT THE [REDACTED] REFERRED TO BY [REDACTED] WAS THE MICHIGAN A.G. BUT [REDACTED] NEVER USED THE TERM "ATTORNEY GENERAL" WHEN REFERRING TO HIM.

[REDACTED] TOLD THE SOURCE HE WAS LEAVING FOR TARPON WOODS, FLORIDA, AND WOULD BE THERE A WEEK TO TEN DAYS (OR UNTIL ABOUT JUNE 15, 1985) THE SOURCE DOES NOT KNOW WHERE [REDACTED] IS THEN GOING.

[REDACTED] FURTHER STATED THAT HE DOES NOT TRUST [REDACTED] AND FEELS HE HAS A LOT OF POLITICAL PULL IN AND AROUND DETROIT. HE ALSO SAID, "I KNOW WHERE [REDACTED] COMES FROM "AN APPARENT REFERENCE TO HIS LCN CONNECTIONS.

THE 302 TO CLEVELAND WILL FOLLOW. SOURCE WILL MEET WITH [REDACTED] JUNE 7, 1985, IN AN ATTEMPT TO DETERMINE LOCATION OF THE [REDACTED] MEETING AND TO OBTAIN MORE INFORMATION REGARDING THE PROPOSED [REDACTED] DRUG DEAL.

MIAMI WILL CONDUCT POLYGRAPH OF SOURCE ON JUNE 20, 1985, AND

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PAGE EIGHT MM 156A-92 UNCLAS

WILL KEEP FBIHQ AND DETROIT APPRISED OF ANY DEVELOPMENTS.

BT

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/6/86

*SM*  
*GRIT*  
*5/1*  
TO : DIRECTOR, FBI (73-19114)  
FROM : ADIC, NEW YORK (73-3631) ( ) (A-4)

SUBJECT: GEORGE MICHAEL STEINBRENNER;  
APACS;  
BUDED: 5/19/86;  
(OO:NY)

ReFBIHQtelcal to New York, dated 4/22/86 and New York  
report, dated 4/17/86.

Enclosed for the Bureau is a copy of a news article,  
dated 6/11/86 entitled "N.Y., U.S. Dignitaries Honor FBI named  
Mafia leader".

LEAD

NEW YORK

NEW YORK, AT NEW YORK. Will report results of civil  
action 8098/84 when made available. *(10)*

*iced*  
② - Bureau (Encls. 1)  
1 - New York

RLB:cls  
(4)

*7/14*  
64 FEB 22 1988

*ENCLOSURE*

*Rec'd  
State by  
Federal Express  
5/7/86*

*73-19114-33*

8 APR 20 1987

*6/pal*

(Mount Clipping in Space Below)

# N.Y., U.S. Dignitaries Honor FBI Named MAFIA Leader

By GORDON DILLON

U. S. Senator Jacob Javits, the senior Republican member of the Senate committee investigating organized crime, was listed as a guest at a testimonial dinner honoring a man the FBI claims is a prominent member of the Mafia.

However, Don Zimmerman, and aide to Senator Javits, said the New York senior senator's name was listed in error.

Zimmerman said, "He had an invitation and sent his regrets." He added, "I assume his schedule didn't permit his attending." When asked why Javits' name should have been listed, Zimmerman replied, "Well, all I can tell you is that he wasn't there. I guess they could say Abraham Lincoln was there."

Error or not Javits' name led what could be called a political-hit-parade of top New York and national political leaders paying homage to the La Cosa Nostra leader.

Lending their names, indirectly and innocently, were: George Meany, President of the AFL-CIO; Speaker of the House of Representatives, Carl Albert; House Democratic Majority Leader, Congressman Thomas P. "Tip" O'Neil, Jr.; former Vice-President and now U. S. Senator, Hubert H. Humphrey and Congressman Lindy Boggs.

The guest of honor was Anthony Scotto, identified by the FBI as a Capodecina in the LCN family headed by Carlo Gambino, Scotto presides over Brooklyn Local 1814 of the International Longshoreman's Association, formerly headed by the late Albert Anastasia.

The tribute to Scotto was held on April 24th at the Americana Hotel in New York City, but was not reported by the local New York news media, although the dinner was an open affair.

Seated on the dais were top New York and national business, political and labor leaders.

Among those addressing the audience and praising Scotto were: Thomas W. Gleason, President of the International Longshoreman's Association AFL-CIO; New York City Mayor Abraham Beame; New York Governor Hugh L. Carey...and former U. S. Ambassador to the United Nations, Daniel

(Indicate page, name of newspaper, city and state.)

AL VIRGINIAN OBSERVER  
Norfolk, Virginia

Date: Jun 11, 1976

Edition:

Author:

Editor:

Title:

Character:

or

Classification:

Submitting Office: Norfolk

☐ Being Investigated

ENCLOSURE

183-346-113

Patrick Moynihan.

Scotto is probably the most powerful influence in New York politics.

Beame and Carey attended the meeting to pay respect, and Moynihan, who hopes to run as the Democratic nominee for the U.S. Senate seat now held by conservative Republican Senator James Buckley, attended to curry favor and support.

Scotto is a marked contrast with the typical image depicted by old George Raft and Edward G. Robinson movies.

Scotto is a college educated, erudite man who speaks softly and grammatically correct. He dresses conservatively. Always a gentleman, he is the epitome of courtesy.

Just why Gleason participated in the testimonial is not known. Scotto earned Gleason's eternal enmity when he tried to depose Gleason as ILA president eight years ago.

However, forces led by the late David D. Alston, ILA Vice-President from Norfolk, rallied behind Gleason and re-elected him as president.

A brief biographical sketch of Scotto's involvement in labor and political affairs handed out at the event outlines his influence and provides the answer to the question raised by famous New York detective Frank Serpico of "Why" Mayor John Lindsay would not correct the massive corruption Serpico uncovered.

Lindsay owed his political life to Scotto, who brilliantly formed the "Independent" party to support Lindsay in a three-way race after he lost his party's nomination in the 1966 Republican Primary.

Lindsay then appointed Scotto to the New York City Council on Port Development and Promotion. Lindsay reappointed him again in 1970 and Beame followed suit in 1974. Without Scotto's support — Beame could have lost the election.

New York Governor Hugh Carey also owes his political life to Scotto's support.

Scotto headed the "Labor Committee to Elect Hugh L. Carey — Governor." Scotto's "Carey" committee decided to become permanent and after the election changed its name and is now known as the "New York State Labor Committee for Political Action", of which he is co-chairman.

The FBI-named MAFIA member is also the chairman of the advisory board of the "N. Y. State AFL-CIO's Committee on Political Education."

His dual spheres of influence make him probably the most powerful political leader in the Big Apple and the Empire State.

Last year, Carey appointed Scotto as a member of the New York State Economic Development Board where he also serves as chairman of its Transportation Committee and as a member of its executive committee.

At the same time, perhaps in an effort to get him "out of his hair," Gleason appointed Scotto the post of Legislative Director of the 500,000 member union with headquarters and influence in the nation's capitol.

Scotto is paid separate salaries as president of Local 1814 and as an ILA international vice-president. He serves as president of the AFL-CIO's Port Council of Greater New York and Vicinity, affiliated by some 160 unions representing over 400,000 members.

What Scotto lost in defeat to Gleason he appears to have gained in power and influence, as Senator Javits can attest.

Javits, Carey, Lindsay and Beame are in the mainstream of New York politics and should have known about the 1969 FBI report. Perhaps this was why Javits did not attend.

In any event, one year after the FBI report, the New York State Joint Legislative Committee on Organized Crime summoned Scotto and asked him to deny the allegations made by the FBI. He refused to answer the FBI charges, pleading the Fifth and Fourteenth amendments of the U.S. Constitution.

The FBI report and legislative hearing were a matter of public record, something the leaders of the bankrupt city and state and its congressional representatives must have known.

Two years later, in 1972, President Richard M. Nixon asked for and received Scotto's support for his reelection bid. The two

were pictured together in a campaign photo.

Outside of Javits, who is the top Republican member of the permanent Subcommittee on Investigations of the Government Operation Committee, Gleason, Beame, Carey and Moynihan — other dais dignitaries listed include such big business, political and labor names as: Karl E. Bakke, Chairman of the Federal Maritime Commission;

Isadore Becker, Chairman of the Board of Schenley Industries;

Robert J. Blackwell, U. S. Assistant Secretary of Commerce;

Sol C. Chaikan, President of the ILGWU AFL-CIO;

Arthur Cooperman, Chairman of the N. Y. Workmen's Compensation Board;

Thomas Cuite, majority leader of the New York City Council;

Joseph G. Kordsmeir, Vice President of the Hyatt Corporation;

Sam Kovenetsky, Labor Advisor to Governor Carey;

Sebastian Leone, President of the Borough of Brooklyn; George Steinbrenner, President of the American Shipbuilding Company and general partner and principal owner of the New York Yankees;

Stanley Steingut, Speaker of New York's lower House;

William J. Usery, U.S. Secretary of Labor;

John E. Zuccotti, New York City First Deputy Mayor.

Members of the U. S. House of Representatives listed at the head table at the testimonial were:

Congressman Mario Biaggi;

Congressman John Murphy;

Congressman Frederick W. Richmond;

Congressman Leo C. Zeferetti.

Like the other participants, the U. S. solons represented both the Republican and Democratic political faiths.

Scotto was chosen as a man to be honored by "The New Direction, Inc." of Whitney, Pennsylvania. Its executive director, David James Hemmecoling, said the purpose of the group is to raise funds for college scholarships for "youths who are serious about their future and who need help." However, all help was confined to students seeking higher education in the "greater Pittsburg area" — of Pennsylvania.

The "New Direction" letterhead was included in the testimonial brochure honoring Scotto and listed as "Honorary General Chairman" George Meany, head of the AFL-CIO. "National Sponsors" included House Speaker Albert from Oklahoma, O'Niell from Massachusetts, Humphrey from Minnesota, former aide to President Lyndon Johnson, Jack Valenti, who now lives in California, and Congresswoman Boggs, who represents New Orleans.

Listed directly under national names like Meany, Humphrey and Albert as the opener to honor Scotto, were such FBI-named Mafia personalities as Anthony Anastasio and Anthony Pimpinella, who served on the "Dinner Committee" to sell tickets honoring Scotto.

Scotto's LCN "Gambino" family is headed by the aging Carlo Gambino, who assumed control after the barbershop murder of Albert Anastasia.

According to informed sources, the Gambino "family" is one of the cleanest mobs. It follows the late Frank Costello's advise and refrains from prostitution and drugs.

Its major activities are legalized gambling and loan-sharking.

But according to a series of stories by ace reporter — Tom Renner of Newsday, the Gambino family is one of nine LCN units illegal aliens from Sicily across the Canadian border into the United States. Some were slipped in from South America and Mexico. Renner quoted federal authorities as saying the purpose of the racket was three-fold;

1) The mob needed new enforcers or hit men to quell rebellion from the young turks within their own ranks — and they needed the new faces to replenish their limited supply of traveling assassins.

5

2) The overwhelming majority of those smuggled across the borders wound up as cheap labor for mob-supplied pizza parlors. The new workers became virtual slaves, working 72 hours a week for \$65.00. Should they complain, they were turned in to Immigration authorities and deported.

3) All of the aliens had to pay a minimum fee of \$500 each plus 25 per cent of their savings. Renner estimates the new source to the mobs' coffers to be \$10,000,000 a year.

The Gambino family is also involved in legitimate real estate developments on the east coast — principally in New Jersey and Florida. It was definitely that Moynihan, Beame, and Carey were at the testimonial. None could be reached for comment.

However, in a recent television interview on CBS Moynihan said, "If you ask if I'm a man who can always sense that something wrong is going on around him, no, I'm not."





SCOTTO



JAVITS



MOYNIHAN

FBI

## TRANSMIT VIA:

Teletype  
Facsimile  
X Airtel

## PRECEDENCE:

Immediate  
Priority  
Routine

## CLASSIFICATION:

TOP SECRET  
SECRET  
CONFIDENTIAL  
UNCLAS EFTO  
UNCLAS

Date 5/7/86

To: DIRECTOR, FBI

From: SAC, JACKSONVILLE (73-R-2) (RUC)

Subject: GEORGE MICHAEL STEINBRENNER  
APPLICATION FOR PARDON  
AFTER COMPLETION OF SENTENCE  
BUDED: 5/19/86

Re Bureau teletype to Jacksonville, 4/3/86 and  
Jacksonville teletype to Bureau, 4/14/86.

Enclosed for the Bureau is a xerox copy of Jacksonville  
memo dated 4/10/85, JK 162C-893.

No further investigation being conducted by  
Jacksonville.

- ② - Bureau (Encl. 1)  
2 - Jacksonville  
(1-73-R-2)  
(1-73-364)

CTF/jar  
(4)

8 APR 20 1987

26 FEB 19 1988

ENCLOSURE



73-19114-34

# Memorandum



To : SAC, JACKSONVILLE (162C-NEW) (P)

Date 4/10/85

From : SA [redacted] b6  
b7C

Subject : PARI-MUTUEL HORSE RACING  
OCALA, FLORIDA;  
INTERSTATE GAMBLING ACTIVITIES - OTHER  
OO: JK

On 3/20/85, [redacted] Investigator with the Florida Division of Pari-Mutuel Wagering, Miami, Florida, advised an opinion by the First District Court of Appeals in Florida has reversed an earlier decision and is awarding a racing permit to the Ocala Breeders Sales Company (OBSC) for quarterhorse racing at the OBSC racetrack in Ocala, Florida.

[redacted] further advised the OBSC intends to build a grandstand next to the existing track, and after receiving their permit for quarterhorse racing, will race not only quarterhorses, but also Arabians and thoroughbreds.

[redacted] stated one of the reasons he feels OBSC was initially denied a racing permit is because GEORGE M. STEINBREINER, owner of the New York Yankees and the Tampa Bay Downs Racetrack, initially objected to the awarding of another racing permit to a facility which would be within 100 miles of his race track. Subsequently, OBSC sold shares to a number of individuals, including GEORGE M. STEINBREINER, who then dropped his objections to the new racetrack. [redacted] also furnished a list of shareholders in OBSC, including those individuals who bought shares in the last year or so. It is noted among these individuals is [redacted] Orlando Renegades football team of the United States Football League.

b6  
b7C

[redacted] commented that based on the experience the Florida Pari-Mutuel Wagering Division has had with quarterhorse racing in the past, it can be anticipated that illegal drugs and/or electrical devices will be used to stimulate the horses during races. [redacted] division

MRK/adm  
(2) *adm*

*y, b*  
REVIEWED FOR INDEX

JK 162C-NEW

had a recent crackdown at the Pompano Park track in south Florida which resulted in the suspension or fining of 16 horsemen, including jockeys, trainers and grooms.

The OBSC was interested in getting a permit to have quarterhorse racing because of the fact that permits to have thoroughbred racing alone are impossible to obtain; however, if they have quarterhorse racing, the OBSC will also be able to have Arabian and thoroughbred racing at the same facility.

The above information is being submitted for the information of the file and for possible future investigative assistance.

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEWARK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/8/86</b>	INVESTIGATIVE PERIOD <b>5/7/86</b>
TITLE OF CASE  <b>GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner</b>		REPORT MADE BY <b>SA</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>pfs</b>
		CHARACTER OF CASE  <b>APACS</b>	

REFERENCE: Tampa report of SA  4/1/86.

- RUC -

## ADMINISTRATIVE

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Expressed promises of confidentiality, both limited and unlimited, have been noted where granted.

b6  
b7C

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <i>[Signature]</i>						SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW
COPIES MADE:  3-Bureau (73-19114)  1-Newark (73-1336) (TRA)						<div style="border: 2px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;">           73-19114-35            5/13/86            tel call to            - 6000 Post Office            to NY 4130            to HHO         </div>		
Dissemination Record of Attached Report						Notations		
Agency						<i>6/1/86</i>		
Request Recd.	3cc 78 Boston Atty 6.3.86							
Date Fwd.	PC/SP							
How Fwd.								
By	JH							

**64 FEB 22 1988**

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: SA [REDACTED] Office: Newark, New Jersey  
Date: May 8, 1986  
Field Office File #: 73-1336 Bureau File #: 73-19114  
Title: GEORGE MICHAEL STEINBRENNER, III

b6  
b7C

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

## Synopsis:

THE AMERICAN SHIP BUILDING COMPANY, INC., is authorized to do business in the State of New Jersey. Last annual report dated 11/14/83, set forth listing of corporate officers.

- RUC -

DETAILS:RECORD REVIEW

On May 7, 1986, Corporate Records, Secretary of State, State of New Jersey (NJ), Trenton, NJ, revealed that THE AMERICAN SHIP BUILDING COMPANY, 2502 Rocky Point Road, Suite 800, Tampa, Florida, is authorized to do business in the State of NJ. The last annual report filed on November 14, 1983, listed the following officers:

1401-8010-00

THE AMERICAN SHIP BUILDING COMPANY  
2502 ROCKY POINT ROAD, SUITE 800  
TAMPA, FLORIDA 33607

NAME	ADDRESS	TITLE (IF AN OFFICER)
George M. Steinbrenner III	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Chairman
Edward C. Forbes	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Vice Chairman
H. Allen Fernstrom	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Executive Vice President & Chief Operating Officer
George A. Chandler	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	President & Chief Executive Officer
Colonel Leonard Henry	19 East 72nd Street New York, NY 10016	
Daniel R. McCarthy	McCarthy, Lebit, Crystal, Kleinman & Haiman Co., L.P.A. 900 Illuminating Building Cleveland, OH 44113	
William E. Minshall	Larkin, McCarthy, Noel, Falk & Minshall 1301 Pennsylvania Avenue, N.W. Suite 905 Washington, D.C. 20036	
James M. Nederlander	Nederlander Theatre Corporation c/o The Palace Theatre 1564 Broadway New York, NY 10036	
Louis Slater	LRF Slater Companies, Inc. Roosevelt Plaza Two West Northfield Road Livingston, NJ 07039	
Arnold I. Sobel	Henry Crown Company 300 West Washington Street Chicago, IL 60606	
Thomas M. Thompson	Glen View Club Golf, IL 60029	
A. Bronson Thayer	Lykes Brothers P.O. Box 1690 Tampa, Florida 33602	

FILED  
NOV 14 1983

JANE BURGIO  
Secretary of State





UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: SA [REDACTED]  
Date: MAY 8, 1986

Office: CINCINNATI

Field Office File #: CI 73-751

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III  
aka: George Michael SteinbrennerCharacter: APPLICATION FOR PARDON  
AFTER COMPLETION OF SENTENCE

## Synopsis:

On April 29, 1986, [REDACTED] was interviewed concerning the applicant. He believes the applicant to be of the highest character and finest reputation.

b6  
b7C

- RUC -

DETAILS:

On April 29, 1986, [REDACTED] was contacted at his office [REDACTED] Columbus, Ohio. Mr. [REDACTED] advised that he has known Mr. STEINBRENNER since Mr. STEINBRENNER came into baseball in the mid-1970s. He advised that his primary relationship with Mr. STEINBRENNER and been the capacity of [REDACTED] Mr. [REDACTED] advised that he considers Mr. STEINBRENNER to be a man of unquestionable integrity and to be an extremely honest person. Mr. [REDACTED] related that he has the highest respect for Mr. STEINBRENNER and that he has complete trust in anything that STEINBRENNER would undertake. He stated that he considers Mr. STEINBRENNER to be a true American and would be extremely loyal to the United States of America. Mr. [REDACTED] closed that he would, without any reservations, recommend Mr. STEINBRENNER for anything that he was seeking at this time.

- 1\* -

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/9/86

*Sm*  
TO : DIRECTOR, FBI (73-19114)

FROM : SAC, RICHMOND (73-R-6) (RUC)

*gfr*  
SUBJECT: GEORGE MICHAEL STEINBRENNER III, aka  
George Michael Steinbrenner  
APACS  
BUDED: 5/19/86

Re Tampa airtel to the Bureau dated 4/29/86.

On 5/6/86, the indices of the Richmond Division were  
searched for the name [REDACTED] with negative  
results.

b6  
b7C

*iced*  
2-Bureau  
2-Tampa (73-312) (Sq. 3)  
1-Richmond  
SHB/lrm  
(5)

*73-19114-37*  
MAY 12 1986

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*64*  
FEB 22 1988

*Spec*  
MAY 12 1986

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>PITTSBURGH</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/9/86</b>	INVESTIGATIVE PERIOD <b>5/8/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner;</b>		REPORT MADE BY <b>SA [REDACTED]</b>	TYPED BY <b>jh</b>
		CHARACTER OF CASE  <b>APACS</b>	

b6  
b7cREFERENCE:

Reference Tampa's report of SA [REDACTED] dated 4/1/86; Pittsburgh's report of SA [REDACTED] dated 4/23/79.

-RUC-

PL

(11)



ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

 APPROVED *Robert D. Hampton / RAN* SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

## COPIES MADE:

- ③ - Bureau (73-19114)
- 2 - Tampa (73-312)
- 1 - Pittsburgh (73-764)

73-19114-38

8 APR 20 1987

Dissemination Record of Attached Report				
Agency				
Request Recd.	2cc to	Pardon Atty	6.3.86	
Date Fwd.	PL/SF			
How Fwd.				
By				

Notations

6/1/86

64 FEB 22 1988

 A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office:

PITTSBURGH

b6  
b7C

Date:

May 9, 1986

Field Office File #: 73-764

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III, aka  
George Michael Steinbrenner;

Character: APACS

Synopsis:

The Yankee Coal Company dissolved June 11, 1982.

-RUC-

Details:

On May 8, 1986, [REDACTED] West Virginia Secretary of State's Office, Corporate Division, Charleston, West Virginia, advised that Yankee Coal Company was dissolved on June 11, 1982 by decree of the Kanawha County Circuit Court for failure to pay tax.

135/01T/MS

DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

b6  
b7C

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PAGE 1 OF 1		DATE 5/15/86		CLASSIFICATION UNCLAS		PRIORITY IMMEDIATE	
<p>FM DIRECTOR, FBI (73-19114)</p> <p>TO FBI CINCINNATI (73-751) IMMEDIATE</p> <p>BT</p> <p>UNCLAS</p> <p>GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED: 5/19/86.</p> <p>REFERENCE TP REPORT 4/1/86, CI REPORT 5/8/86.</p> <p>REFERENCE REPORT FAILED TO INCLUDE A CHECK OF STATE CORPORATION RECORDS REGARDING KINSMAN LINES.</p> <p>CI: CHECK STATE CORPORATION RECORDS AT COLUMBUS, OHIO.</p> <p>SUBMIT SUPPLEMENTAL REPORT BY COB 5/23/86.</p> <p>BT</p>							
<p>73 19114-39</p> <p>8 APR 20 1987</p>							
5/15/86		5136		3863			

RETURN TO MISS [REDACTED] ROOM 5136

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b7C

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS CENTER

MAY 15 1986

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

64 FEB 22 1988

64 FEB 22 1989

Transmit attached by Facsimile - UNCLAS

15 MAY 86 17 26 H

TO: DIRECTOR, WASHINGTON OFFICE OF INVESTIGATION

FROM: NEWARK NJ

SUBJECT: GEORGE MICHAEL STEINBRENNER III

APACS

ENCLOSURE

PRIORITY

Precedence

Date: 5/15/86

Time: Transmitted -

Initials -

☐ Fingerprint Photo

☐ Fingerprint Record

☐ Map

☐ Newspaper clipping

☐ Photograph

☐ Artists Conception

☐ Other

TELETYPE

Special handling instructions: PLEASE CALL

ON EXTENTION 3963 ROOM 5136

b6  
b7C

Approved: *[Signature]*

FBI/DOJ

8 APR 20 1987

73-19114-40

6/pw

1cc

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

General Applicant & Spn. Room 5153  
TL-233

FORMS.TEXT HAS 1 DOCUMENT

OUTBOX,1 (#1295)

TEXT:

NKO0008 1201957

RR NY

DE NK

R 301957Z APR 86

FM: NEWARK (73-1336) (P) (C-7)

ADIC, NEW YORK (73-3631) (P) (A-4) (ROUTINE)

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

INVESTIGATION AT FEDERAL ARCHIVES AND RECORDS CENTER, GSA,  
MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, DETERMINED THAT

CIVIL MATTER 82-CIV-0894 IS CURRENTLY CHARGED OUT TO A MS.

[REDACTED] SOUTHERN DISTRICT OF NEW YORK, TELEPHONE [REDACTED]  
[REDACTED]

b6

b7C

NEW YORK AT NEW YORK: CONTACT [REDACTED]

AND REVIEW FILE 83-CIV-0894 AND REPORT RESULTS.

BT

--&gt;

ENCLOSURE

73-19114-40  
SERIALIZED  
73-1336-6  
2B



# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>ALBANY</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/16/86</b>	INVESTIGATIVE PERIOD <b>5/16/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner</b>		REPORT MADE BY <b>IA</b> [redacted]	TYPED BY <b>seh</b>
		CHARACTER OF CASE  <b>APACS</b>	

**REFERENCE:**

Report of SA [redacted] dated 4/1/86, at Tampa.

-RUC-

b6  
b7C**ADMINISTRATIVE:**

The individual contacted was apprised of the provisions of the Privacy Act.

For the information of the Bureau, Albany's General, CFR, and ELSUR indices were negative regarding applicant.

General indices searched on 4/18/86 by [redacted]  
CFR indices searched on 4/18/86 by [redacted] ELSUR indices searched on 4/23/86 by [redacted]

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

② - Bureau (73-19114)

1 - Albany (73-405)

73-19114-41  
8 APR 20 1987

Dissemination Record of Attached Report					Notations
Agency	Request Recd.	Date Fwd.	How Fwd.	By	
					6/20/86 E

64 FEB 22 1986

COVER PAGE

FBI/DOJ

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: IA [REDACTED]  
Date: May 16, 1986

Office: ALBANY

b6  
b7C

Field Office File #: AL 73-405

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER

Character: APPLICATION FOR PARDON AFTER,  
COMPLETION OF SENTENCE

## Synopsis:

Records at the New York State Department of State reveal STEINBRENNER is a general partner in the New York Yankees Partnership, a foreign limited partnership organized under the laws of the State of Ohio.

-RUC-

DETAILS

On May 16, 1986, a search conducted at the New York State Department of State, Corporation Unit, Albany, New York, revealed an affidavit signed by GEORGE M. STEINBRENNER, III on February 3, 1986, which states that STEINBRENNER is a general partner in the New York Yankees Partnership, a foreign limited partnership organized under the laws of the State of Ohio. An authenticated copy of the Certificate of Limited Partnership and all Amendments thereto are annexed to this affidavit.

FORMS.TEXT HAS 1 DOCUMENT

INBOX.5 (#7283)

TEXT:

IPO0012 1360138Z

PP HQ

DA IP

P 160138Z MAY 86

FM INDIANAPOLIS (73-632) (RUC)

TO DIRECTOR (PRIORITY)

BT

UNCLAS

ATTN: DAPLI UNIT, ROOM 5136

GEORGE MICHAEL STEINBRENNER III, AKA., GEORGE MICHAEL

STEINBRENNER; APACS; BUDED: 5/19/86.

RE TAMPA AIRTEL TO BUREAU DATED 4/29/86.

ON MAY 5, 1986, [REDACTED] INDIANAPOLIS DIVISION,

SEARCHED OFFICE INDICES AND ADVISED NO IDENTIFIABLE

INFORMATION AVAILABLE.

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS

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DIVISION, SEARCHED CONFIDENTIAL INDICES AND ADVISED NO

IDENTIFIABLE INFORMATION.

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS DIVISION,

SEARCHED ELSUR FILES AND ADVISED NO IDENTIFIABLE INFORMATION

AVAILABLE.

8 APR 20 1987

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PAGE TWO (IP 73-632) UNCLAS

MCN0012

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS DIVISION,

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SEARCHED ISIS AND ADVISED NO IDENTIFIABLE INFORMATION  
AVAILABLE.

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## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ST. LOUIS	OFFICE OF ORIGIN BUREAU	DATE 5/19/86	INVESTIGATIVE PERIOD 4/11-5/16/86
TITLE OF CASE GEORGE MICHAELS <sup>D</sup> STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY IA [REDACTED]	TYPED BY add
		CHARACTER OF CASE  APACS	

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REFERENCE: Tampa report of SA [REDACTED] to Bureau,  
April 1, 1986.

-RUC-

## ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

St. Louis general indices were negative for STEINBRENNER.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CON VIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

③ - BUREAU (73-19114)  
1 - ST. LOUIS (73-M)

73-19114-43

23 MAY 21 1986

Dissemination Record of Attached Report				
Agency				
Request Recd.	300 TO Bureau Atty, 6-3-86			
Date Fwd.				
How Fwd.				
By				

Notations

SPIC. INQ.

A\*

COVER PAGE

FBI/DOJ

64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of:

IA [REDACTED]

Office: ST. LOUIS

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b7c

Date:

May 19, 1986

Field Office File #:

SL 73-M

Bureau File #:

73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

STEINBRENNER served honorably in the AIR FORCE and  
AIR FORCE RESERVE.

-RUC-

DETAILS: AT ST. LOUIS, MISSOURIMilitary Service

A review on May 16, 1986 of the records at the FEDERAL RECORDS CENTER, Military Branch, indicated GEORGE MICHAEL STEINBRENNER, III, serial number A0-22-48-074, was appointed as second lieutenant in the AIR FORCE RESERVE on May 21, 1952 after completing the RESERVE Officer's Training Corps Program at WILLIAMS COLLEGE, Williamstown, Massachusetts. He entered on active duty on September 20, 1952 at Bay Village, Ohio. He was honorably released from active duty on September 24, 1954 as a first lieutenant (highest rank attained), at Lackbourne Air Force Base, Ohio, and was transferred to the RESERVE. He served in the RESERVE from May 21 to September 19, 1952, and from September 25, 1954 to February 11, 1966, when he was honorably discharged.

He had no foreign service, and his character and efficiency ratings ranged from Satisfactory to Outstanding. There was no record of court-martial or absence without official leave.

He was not granted a security clearance.

His date and place of birth were shown as July 4, 1930, at Rocky River, Ohio. 1\*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

# FEDERAL BUREAU OF INVESTIGATION

*Sym*

REPORTING OFFICE <b>TAMPA</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/19/86</b>	INVESTIGATIVE PERIOD <b>3/27/86 - 5/12/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1em;"></span>	TYPED BY de <span style="float: right;">b6 b7C</span>
		CHARACTER OF CASE <b>APACS</b>	

REFERENCE: Bureau airtel to Tampa, 4/10/86.

ENCLOSURE:

TO THE BUREAU:

Enclosed for the Bureau is one copy of a Tax Waiver and Service Center list executed by the applicant on 4/23/86. It is noted that applicant's tax returns for 1979 and 1980 were submitted to the Cleveland, Ohio, Service Center, and his 1981 through 1985 taxes were submitted to the Atlanta, Georgia, Service Center.

ADMINISTRATIVE:

Where appropriate, Privacy Act (e)(3) data was furnished  
(Continued Cover Page B)

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

COPIES MADE:

3 - Bureau (73-19114) (Enc. 1)  
1 - Tampa (73-312)

**ENCLOSURE ATTACHED**

DO NOT WRITE IN SPACES BELOW

73-19114-44

8 APR 20 1987

HL 5/27/86  
CW, Jue  
6/2/86

Dissemination Record of Attached Report					Notations
Agency					
Request Recd.	200 to Pardon Atty 6.3.86				
Date Fwd.	4/5/86				
How Fwd.					
By					

26 FEB 19 1988

TP 73-312

(ADMINISTRATIVE CONTINUED)

to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

It is noted that a review of Tampa indices reveals TP 183-0-163 is a memorandum of a telephone call received on 2/22/84, from [redacted] which was rambling and incoherent in nature, stated she feared her life was being threatened by "organized crime" in Tampa. Miss [redacted] related an automobile accident incident involving one [redacted] associated with the Wrestling Association in Tampa, and her belief that he intended to harm her. The clerical employee taking the call noted that Miss [redacted] apparently needed "medical or psychological" help.

In Tampa file 12-0-2238, [redacted] called the Tampa Office on 3/13/84, and in a rambling and incoherent manner, related the names of numerous individuals, including the applicant, who she believed were "laundering drug money" through their businesses.

In view of the fact that [redacted] appears to be emotionally disturbed and no corroborating evidence was obtained to indicate the applicant did anything wrong, it is being left to the discretion of the Bureau as to whether this information should be furnished to the Office of the Pardon Attorney.

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On April 29, 1986, a review of the general indices of the Tampa Office of the FEDERAL BUREAU OF INVESTIGATION revealed no record identifiable with the following:



On March 27, 1986, a review of the general indices of the Tampa Division of the FEDERAL BUREAU OF INVESTIGATION revealed no references to applicant in which he was the subject of a criminal investigation.

B\*

COVER PAGE



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]  
Date: May 19, 1986

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Office: Tampa, Florida

Field Office File #: 73-312

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka  
George Michael Steinbrenner

Character: APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

Synopsis: Applicant's neighbors described him as an excellent neighbor.  
Reference stated applicant is a brilliant individual  
who is generous and true to his friends. Applicant has  
an excellent credit rating and has no criminal record  
in Tampa, Florida. Civil court matters concerning applicant's  
corporations reviewed.

-RUC-

DETAILS:

TP 73-312

NEIGHBORHOOD:

The following investigation was conducted by  
Special Agent [REDACTED]

10102 Lindelaan  
Tampa, Florida  
1979 - Present

The following residents in the area of 10102 Lindelaan, Tampa, Florida, were contacted and each advised that ~~the applicant has resided at that address since before~~ 1979. The neighbors, though none claimed a close social relationship with applicant, stated applicant is an excellent neighbor and has not created any problems for the neighborhood.

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April 30, 1986, [REDACTED]

April 30, 1986, [REDACTED]

April 30, 1986, [REDACTED]

TP 73-312

REFERENCE:

The following investigation was conducted by  
Special Agent [ ] and [ ]  
on May 6, 1986:

[ ]  
Tampa Tribune  
Tampa, Florida

[ ] was contacted at his place of employment  
and advised as follows with regard to applicant:

He has known applicant since about 1974 when  
he met the applicant at the horse track in Tampa, Florida.  
Applicant was in Florida attempting to locate a ship  
building business for purchase and eventually purchase  
the AMERICAN SHIP BUILDING COMPANY. During the past  
twelve years, he has come to know the applicant professionally,  
socially, and worked with him in business.

[ ]

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Mr. [ ] described applicant as a "brilliant guy"  
who is generous and true to his friends. He recognized  
that applicant is human and has some human shortcomings  
but is an individual of the highest character and reputation  
and has been a loyal American citizen. He is a very  
good friend of law enforcement, having established a  
fund for the wives and orphans of police and firemen  
killed in the line of duty.

Sometime ago, applicant's law firm in Washington, D.C.,  
contacted Mr. [ ] concerning the possibility of furnishing  
a character affidavit on behalf of applicant for his  
Application for Pardon on Completion of Sentence, and  
he agreed to do so. He feels that applicant is certainly  
worthy of such a pardon and has suffered a great deal  
of embarrassment over a number of years with regard to  
his conviction. Mr. [ ] sent information to the law  
firm concerning his relationship with the applicant and  
later received a formal typed affidavit which contained  
the information. He reviewed the affidavit and changed  
it because it was not in his writing style and subsequently  
approved the affidavit which he signed. Mr. [ ] would  
recommend the applicant for a pardon.

TP 73-312

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The following investigation was conducted at Tampa, Florida, by IA [REDACTED]

CREDIT:

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On April 7, 1986, [REDACTED] Supervisor, Credit Records, Credit Bureau of Greater Tampa, Tampa, Florida, provided the following information concerning GEORGE MICHAEL STEINBRENNER, 10102 Lindelaan, Tampa, Florida.

A review of records reveals STEINBRENNER has had accounts with the following businesses which show zero balance at the present time:

SEARS ROEBUCK, J. C. PENNEY, NEIMAN-MARCUS, LORD & TAYLOR, BELK LINDSEY, TAMPA, ROBINSON, BONWIT TELLER, WOLF BROTHERS, MACYS DEPARTMENT STORE, and SAKS FIFTH AVE.

Further review of records reveal following accounts outstanding:

MAAS BROTHERS, account opened August, 1971, high balance, \$10,000. Current balance \$481.00. BURDINES, account opened August, 1977, high balance not furnished, current balance \$315. SAKS FIFTH AVE, account opened, November 1967, high balance \$3165, with current balance \$293.00.

All accounts both with zero balance and outstanding balance are rated (1) (pays within thirty days as agreed.)

TP 73-312

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The following investigation was conducted at Tampa, Florida, by IA [REDACTED]

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IDENTIFICATION:

On April 7, 1986, [REDACTED] (protect identity by request) [REDACTED] Identification Division, Hillsborough County Sheriff's Office, and [REDACTED] (protect identity by request) [REDACTED] Tampa Police Department, Tampa, Florida, advised that a review of their records was negative regarding GEORGE MICHAEL STEINBRENNER, [REDACTED]

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On April 8, 1986, [REDACTED] Clerk, Records Division, Hillsborough County Traffic Court, Tampa, Florida, advised that a review of her records revealed no traffic citation for GEORGE MICHAEL STEINBRENNER.

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TP/ 73-312

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MISCELLANEOUS:

The following investigation was conducted by IA [ ]  
[ ] at Tampa, Florida.

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PENDING MATTERS WITH FEDERAL OR STATE GOVERNMENTS:

On April 24, 1986, U.S. District Court, Tampa Division, Case 81-1091-CIV-T-17 captioned GEORGE M. STEINBRENNER, III et al. v. WARRENT R. HAUGHT, et al. was reviewed and the following information was noted. Case characterized as a massive fraud case in which GEORGE M. STEINBRENNER and others are plaintiffs in connection with oil and gas leases entered into with WARREN R. HAUGHT and others. On April 18, 1986, an order was issued that case is set for summary jury trial on June 30 and July 1, 1986.

On April 24, 1986, Hillsborough County Court, Civil Division, Tampa, Florida, Case # 83-9815 captioned GEORGE M. STEINBRENNER III, et al, FLORIDA CITY MAGAZINES, INC, PRIME COMMUNICATIONS, INC. and PARK L. BEELER, v. FLORIDA CITIES PUBLISHING CO; TONY FRYE; GULF PUBLISHING INC.; ROBERT J. MUELLER. Civil action complaint was filed July 13, 1983 by Plaintiffs. Twelve charges were filed in connection with purchase of SARASOTA MAGAZINE. The main charge was that the Defendants knowingly conspired to extort from Plaintiffs purchase price of SARASOT MAGAZINE \$104,000 when fact existed that SARASOTA MAGAZINE at no time had a value that would remotely approach \$104,000. Defendants denied claims against them and filed countersuit August 10, 1983. Settlement was reached between Plaintiffs and Defendants on February 18, 1986. Terms were to remain confidential. Settlement requested payment from Plaintiffs with fourteen days of settlement date. A portion of the settlement payable to GEORGE MC LAIN, Esquire, attorney for GULF PUBLISHING, INC., ROBERT MUELLER, SR., and ROBERT MUELLER, JR.

TP 73-312

The following investigation was conducted by  
Special Agent [REDACTED]

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The original Court of Appeals case number 85-1309 was obtained from the file clerk, Second District Court of Appeals, State of Florida, Lakeland, Florida, on May 12, 1986. The title of the case was "JOHN R. CLEEVELEY vs. FLORIDA CITY MAGAZINE, INC. (FCMI)," as CLEEVELEY was appealing a summary judgment entered against him on June 7, 1985, in favor of FCMI. The judgment against CLEEVELEY was in the total amount of \$304,810.52 and was also rendered against PARK C. BEELER.

The law suit from which the appeal stemmed was originally filed on October 12, 1984, by FCMI as a result of a failure to make payments on a purchase agreement dated September 21, 1983. The purchase agreement was entered into by JACKSONVILLE MONTHLY CORPORATION (JMI) which agreed to purchase assets of FCMI, including the "Jacksonville Monthly Magazine." As part of that agreement, a promissory note for \$280,000.00 was executed by JMI and personally guaranteed by CLEEVELEY and BEELER. Also as part of that agreement, a purchase money security interest was given in certain assets of JMI as additional security. FCMI failed to file the required financing statement until October 1984, and as a result, another creditor was able to seize the assets of JMI which were listed on the purchase money security interest. The promissory note executed by JMI therefore became unsecured, and FCMI sued CLEEVELEY and BEELER for the amounts due under the purchase agreement based upon CLEEVELEY's and BEELER's personal guarantees.

The Lower Court found in favor of FCMI, and the appeal was filed by CLEEVELEY arguing that he should not be held liable on the personal guarantee that FCMI violated the personal guarantee agreement by failing to perfect their security interest as required. The Appeals Court remanded the case for further proceedings to determine what the judgment amount would have been against CLEEVELEY if the secured property had not been lost due to FCMI's failure to perfect their security interest. The Appeals Court then directed the Lower Court to reduce CLEEVELEY's liability on the personal guarantee by the amount of that security.

GEORGE M. STEINBRENNER was cited in the appeal filed on two occasions. A deposition taken of CLEEVELEY on January 28, 1985, revealed he was contacted by STEINBRENNER and STEINBRENNER's attorney in the fall of 1984 in regard to the sale of the "Jacksonville Monthly Magazine." Also,

TP 73-312

on April 19, 1985, STEINBRENNER filed a document in the court proceedings setting forth the fact that he was the President of FCMI, and therefore, authorized to execute agreements on the behalf of FCMI.

A review of the appeal file did not reveal any appeals being taken by any of the other parties against whom judgments were entered as a result of the law suit. It is further noted that the original law suit contained two counts with the first count being the law suit against CLEEVELY, BEELER, and JMI which was the basis of the appeal, and the second account being against ~~BEELER, JMI, and NORTH COAST, INC.~~, in regard to their failure to pay a promissory note which they entered into for the purchase of "Orlando Monthly Magazine" and "Central Florida Monthly Magazine" from FCMI.



On May 5, 1986, Case #83-1464-CIV-T-15 captioned GREATER GULF TOWING & BARGE CO; SHELDON B. GUREN; GEORGE M. STEIN BRENNER III, and THE GREAT LAKES TOWING COMPANY v. ST. PHILIP TOWING & TRANSPORTATION, etal. Suit filed by GREATER GULF TOWING & BARGE COMPANY, etal against ST. PHILIP TOWING INC. ETAL charging them that they received 93 % of Marine assistance towing of deep water vessels in the Tampa Bay. They charged artificially low prices and degraded equipment used by GREATER GULF TOWING & BARGE CO. so that they would not be afforded business of the vessels coming into the Tampa Bay. GEORGE M. STEIN BRENNER III is a stock holder in the GREATER GULF TOWING & BARGE CO.

ENCLOSURE

73-19114-44

Dear Director:

In connection with my pending petition for a Presidential Pardon relating to my tax violation, you are requested and authorized to furnish to Mr.  Pardon Attorney, United States Department of Justice, tax information in your files relating to my income tax returns for the year(s) 1979 through the present. To the best of my recollection, the return(s) were filed at the following location(s):

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Very truly yours,

*George M. [Signature]* 4/23/86  
Signature of taxpayer Date signed

10102 LINDEMAN  
Street Address

TAMPA FLORIDA 33618  
City State Zip Code

285-28-5751  
Social Security Number

(See attached lists of Service Center and districts serviced. Pardon Attorney's Office will address request to appropriate Service Center Director.)

*Sm*

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WASHINGTON FIELD	OFFICE OF ORIGIN BUREAU	DATE 5/21/86	INVESTIGATIVE PERIOD 5/6/86 - 5/19/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY kam
		CHARACTER OF CASE APACS      b6 b7C	

*gls*

REFERENCE: Tampa Report of 4/1/86.

- P\* -

ADMINISTRATIVE: BUDED: 5/19/86

This case will be RUC'd upon the completion of WFO indices searches and file review.

- SEC check (R/S sent 5/22)

*(4)*

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

COPIES MADE:

SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

3-Bureau (73-19114)  
1-WFO (73-2064)

73-19114-45

8 APR 20 1987

Dissemination Record of Attached Report				
Agency				
Request Recd.	2cc to Pardon City 6-3-86			
Date Fwd.	PL/SF			
How Fwd.				
By				

Notations

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COVER PAGE

FBI/DOJ

64 *49* FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]  
Date: 5/21/86

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b7C

Office: WASHINGTON, D.C.

Field Office File #: 73-2064

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Civil cases — currently pending at THE UNITED STATES TAX COURT and THE UNITED STATES CLAIMS COURT were reviewed and found to concern the over and/or under payment of Federal Income Taxes. Both cases are still pending.

- p\* -

WFO 73-2064

GBM:rlw

U.S. TAX COURT  
COURT FILE ROOM  
400 2ND STREET, N.W.  
WASHINGTON, D.C.

On May 6, 1986, IA [ ] reviewed civil action number 27952-83 located at the above court concerning GEORGE MICHAEL STEINBRENNER, III. The following pertinent information was obtained:

Internal Revenue Service (IRS) sent a notice of deficiency form (increase) to GEORGE MICHAEL STEINBRENNER [ ] on June 30, 1983 concerning the listed tax years:

12/31/75	8,199.77
12/31/76	526,585.95
12/31/77	1,084,934.78
12/31/78	851,026.02
12/31/79	470,386.79

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A petition was filed by STEINBRENNER on September 28, 1983 denying the allegations of the IRS. Trial date was noted as February 18, 1986, Federal Building, Room 2975, 1240 E. 9th Street, Cleveland, Ohio. Terms of settlement was filed on September 24, 1986 by both parties. Case pending (see attached enclosure).

Attorney for petitioner [ ] of McCARTHY, LEBIT, CRYSTAL, KLEINMAN and HAIMAN, CO., L.P.A., 900 Illuminating Building, 55 Public Square, Cleveland, Ohio, telephone number 216-696-1422.

Attorney for respondent [ ] Office of Chief Counsel, IRS, One Cleveland Center, Suite 810, 1375 E. 9th Street, Cleveland, Ohio, telephone numbers 216-522-3380/ FTS 942-3380.

U.S. TAX COURT  
HARRISBURG

83 SEP 28

UNITED STATES TAX COURT

UNITED STATES  
TAX COURT

FILED  
SEP 28 1983  
FILED

ADV.	ca
RECEIVED	
SERIALIZED	
FILED	
CAL.	
STAT.	
S.T. JUDGE	
FILES	

GEORGE M. STEINBRENNER and  
JOAN Z. STEINBRENNER

Petitioners,

v.

DOCKET NO. 27552-83

COMMISSIONER OF INTERNAL REVENUE

Respondent.

PETITION

The Petitioners hereby petition for a redetermination of the deficiencies set forth by the Commissioner of Internal Revenue in his Notice of Deficiency dated June 30, 1983, and as the basis of their case allege as follows:

1. The Petitioners are married individuals residing at 10102 Lindelaan, Tampa, Florida 33618. The returns for the periods herein involved are individual returns filed with the office of the Internal Revenue Service at Cincinnati, Ohio, and Chamblee, Georgia.
2. The Notice of Deficiency, a copy of which is attached and marked Exhibit "A" was mailed to the Petitioners on June 30, 1983, and was issued by the office of the Internal Revenue Service at Jacksonville, Florida.

SERVED OCT-3 1983

3. The deficiencies, as determined by the Commissioner, are in income taxes for the calendar years 1975 through 1979 as set forth below:

<u>Year</u>	<u>Deficiency</u>
1975	\$ 8,199.77
1976	526,585.95
1977	1,084,934.78
1978	851,026.02
1979	470,386.79

The entire amounts of any deficiencies resulting from adjustments to the New York Yankee Partnership, Switzer Venture, the 1975 net operating loss carry-forward, Yankee Coal Company and investment tax credit are disputed.

4. The determination of tax set forth in said Notice of Deficiency is based upon the following errors:

(a) The Commissioner erred in increasing Petitioners' distributive share of income or loss for the New York Yankees Limited Partnership in the amounts of \$772,126.70, \$796,849.82, \$1,208,813.72, \$1,317,091.72, \$1,881,461.37 for the calendar years 1975 through 1979, respectively.

(b) The Commissioner erred in disallowing Petitioners' distributive share of income or loss for the Switzer Venture Limited Partnership in the amount of \$222,360.00 and \$111,000.00, for the calendar years 1977 and 1978, respectively.

(c) The Commissioner erred in increasing Petitioners' income by the amount of \$352,615.00 for the calendar year 1976, the amount of the net operating loss carry-forward from the calendar year 1975, as claimed by the Petitioners.



(d) The Commissioner erred in increasing Petitioners' distributive share of income or loss for the Yankee Coal Company in the amount of \$134,841.00 for the calendar year, 1978.

(e) The Commissioner erred in its computation of allowable investment tax credit for the calendar years 1976 through 1979.

(f) The Commissioner erred in its computation of minimum tax for the calendar years 1975 through 1979.

5. The facts upon which the Petitioners rely, as the basis of their case, are as follows:

(a)(1) Petitioner, George M. Steinbrenner, has, since February 22, 1973, been the General Partner of the New York Yankees Limited Partnership (hereinafter referred to as "the Partnership").

(a)(2) The Partnership timely filed its United States Partnership return of income for the calendar years 1975 through 1979, reflecting net income or loss in the respective amounts of (\$3,713,177.00), (\$69,395.00), (\$8,190.00), \$98,942.00, (\$977,153.00).

(a)(3) In arriving at the above net income or loss, the Partnership claimed amortization deductions of \$2,863,736.00, \$2,772,608.00, \$3,276,285.00, \$2,630,567.00, \$3,652,691.00 for the calendar years 1975 through 1979, respectively.

(a)(4) The amortization deductions claimed by the Partnership were proper deductions pursuant to Section

167 of the Internal Revenue Code and the regulations thereunder, as the assets for which amortization deductions were claimed (i.e., player contracts and legal fees), have a fixed and ascertainable value and a limited useful life, the duration of which can be ascertained with reasonable accuracy.

(a)(5) On its return the for the calendar years 1976 through 1979, respectively, the Partnership claimed deductions for legal fees in the respective amounts of \$223,000.00, \$39,014.48, \$21,360.00 and \$17,673.00, which have been disallowed as expenses by the Internal Revenue Service.

(a)(6) The deductions so claimed for legal fees are ordinary and necessary business expenses and are properly deductible, pursuant to Section 162 of the Internal Revenue Code.

(a)(7) On its returns for the calendar years 1976 through 1979, respectively, the Partnership claimed deductions for miscellaneous expenses in the amounts of \$11,375.00, \$51,739.28, \$72,897.84 and \$164,954.10, said deductions have been disallowed by the Internal Revenue Service.

(a)(8) Each of the above deductions are ordinary and necessary business expenses, properly deductible under Section 162 of the Internal Revenue Code.

(b)(1) Petitioner, George M. Steinbrenner, became a limited partner in the limited partnership of Switzer Venture, hereinafter referred to as Switzer, on or about December, 1977.

(b)(2) Petitioner was advised that Switzer timely filed a United States Partnership Tax Return for the calendar years 1977 and 1978 and the pro rata distributive share of the losses to the Petitioner was \$222,360.00 and \$111,000.00 for the calendar years 1977 and 1978, respectively.

(b)(3) Petitioner participated in this venture as a trade business and held its limited partnership interest for the production of income.

(b)(4) The losses claimed by Petitioners for 1977 and 1978 were properly deductible.

(c)(1) The Petitioner did occur a net operating loss for the taxable year 1975 after taking into consideration adjustments required by the Internal Revenue Code, and is entitled to a net operating loss carry-forward deduction in the taxable year of 1976.

(d)(1) The Petitioner became a shareholder in a small business corporation known as Yankee Coal Company on or about April, 1978. Petitioner claimed on the calendar year 1978 tax return, its distributive loss that was reported on the Form 1120S United States Small Business Corporation tax return for the Yankee Coal Company for the period ending December 31, 1978.

(d)(2) The Internal Revenue Service after examination issued a report of examination on the Field Agent level (thirty-day letter) which was incorporated in the attached Notice of Deficiency.

(d)(3) Yankee Coal Company administratively appealed those findings to the Appellate Division of Internal

Revenue Service and a final report was issued by the Louisville, Kentucky office. The Petitioners agree to the adjustments set forth in the Appellate Division Report.

(e)(1) Adjustments to investment tax credit claimed by the above-mentioned partnerships and small business corporations have been and will be readjusted for the periods 1975 through 1979 through the respective adjustments to the partnership and small business corporation tax returns as finally determined.

(f)(1) Minimum tax computed will be adjusted due to the consequential changes to other items in dispute in the attached Notice of Deficiency.

WHEREFORE, the Petitioners pray that the Court will hear this proceeding and:

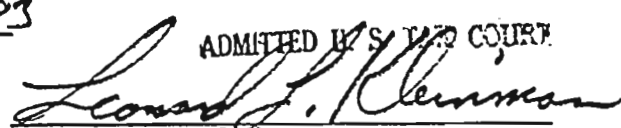
1. Determine that the Commissioner erred as alleged in the assignment of errors set forth in paragraph 4 herein above;

2. Find there are no deficiencies in income taxes with regard to the amounts which are in dispute for the calendar years 1975 through 1979; and,

3. Give such other and further relief as this Honorable Court may deem fit and proper.

Dated: September 26, 1983

ADMITTED BY SUPREME COURT

  
Leonard L. Kleinman  
For MCCARTHY, LEBIT, CRYSTAL,  
KLEINMAN & HAIMAN CO., L.P.A.  
900 Illuminating Building  
55 Public Square  
Cleveland, Ohio 44113  
Telephone: (216) 696-1422

ORIGINAL

UNITED STATES TAX COURT

GEORGE M. STEINBRENNER and  
JOAN Z. STEINBRENNER,

Petitioners,

v.

COMMISSIONER OF INTERNAL REVENUE,

Respondent.

Docket No. 27952-83

STIPULATION OF SETTLED ISSUES

With respect to adjustments in respondent's notice of deficiency, the parties stipulate to the following terms of settlement:

ADM.
RECORDED
<i>WCH</i>
SERVICE
CAL.
STAT.
S.T. JUDGE
FILES

1. Adjustments to income 1.d. 1) and 2), 1.e., 1.f., 1.g., 1.h., 1.i., and 1.j., as set forth on the attached Form 4549-B (a page of the statutory notice of deficiency dated June 30, 1983, issued to the petitioners), for the tax years ending December 31, 1975, December 31, 1976, and December 31, 1977, are correct.

2. Adjustments to income 1.c., 1.d., 1.f., 1.g., and 1.h., as set forth on the attached Form 4549-B (a page of the statutory notice of deficiency issued on June 30, 1983 to the petitioners), for the tax years ending December 31, 1978, and December 31, 1979, are correct.

3. Adjustment to income 1.b. as set forth on the two attached Forms 4549-B is changed with respect to the tax year

ending December 31, 1977, to \$162,360.00. With respect to the tax years ending December 31, 1978, and December 31, 1979, adjustment to income l.b. is changed to \$79,000.00 and (\$2,000.00), respectively.

4. Adjustment to income l.e. as set forth on the attached Form 4549-B with respect to the tax year ending December 31, 1978, is changed to \$46,890.00.

5. The petitioners are entitled to the following distributive share of investment credit property from Yankee Coal Company:

<u>Useful Life of Property</u>	<u>Investment in Property Qualified for Investment Credit</u>
New Property:	
3 - 5 years	\$ 14,300.00
5 - 7 years	67,487.00
7 or more years	777,004.00
Used Property:	
7 or more years	\$ 50,000.00

6. Adjustment to income l.a. reflected on the attached Forms 4549-B for the years ending December 31, 1975, December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be redetermined by utilizing the basis for depreciable and/or amortizable assets agreed upon in the settlement of McCarthy v. United States, Civil Action No. C78-1480 (USDC ND Ohio ED), hereafter referred to as The Controlling Case.

7. Adjustment to income l.a. as set forth on the attached Forms 4549-B for the taxable years ending December 31, 1975,

December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be determined by taking into account the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in The Controlling Case dealing with the amortization of legal and accounting fees. The plaintiffs in The Controlling Case have appealed a Memorandum of Opinion concerning this issue filed on May 15, 1985, in the United States District Court for the Northern District of Ohio, Eastern Division.

8. Adjustment to income l.a. as set forth on the attached Forms 4549-B for the tax years ending December 31, 1975, December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be determined by taking into account the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in The Controlling Case dealing with the amortization of broadcasting contracts. The plaintiffs in The Controlling Case have appealed a Memorandum of Opinion concerning this issue filed on October 8, 1985, in the United States District Court for the Northern District of Ohio, Eastern Division.

9. Adjustment to income l.a. as set forth on the Forms 4549-B for the tax years ending December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, are conceded by the petitioners to the extent that such adjustment to income

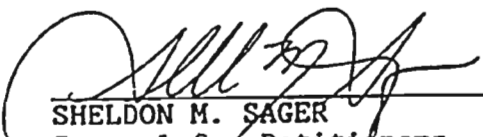
Docket No. 27952-83

- 4 -

relates to deductions claimed by the New York Yankees Partnership for miscellaneous expenses-gifts, miscellaneous expenses-other, and the legal fees deduction to the extent such expenses are not at issue in The Controlling Case.

The parties agree to this Stipulation of Settlement.


2/24/86  
Date

  
SHELDON M. SAGER  
Counsel for Petitioners  
900 Illuminating Building  
55 Public Square  
Cleveland, Ohio 44113  
Tel. No. 216-696-1422

FRED T. GOLDBERG, JR.  
Chief Counsel  
Internal Revenue Service

2/24/86  
Date

By:

  
JACK E. PRESTRUD  
Assistant District Counsel  
Internal Revenue Service  
Suite 810 One Cleveland Center  
1375 East Ninth Street  
Cleveland, Ohio 44114  
Tel. No. 216-522-3380  
FTS 942-3380



RECEIVED  
UNITED STATES TAX COURT

GEORGE M. STEINBRENNER and  
JOAN Z. STEINBRENNER,

'86 APR 23 P2:55

Petitioners,

v.

COMMISSIONER OF INTERNAL REVENUE,

Respondent.

Docket No. 27952-83

SUPPLEMENTAL STIPULATION OF SETTLED ISSUES

With respect to adjustments in the respondent's notice of deficiency (Exhibit C), the parties stipulate to the following terms of settlement:

ADM.	
RECORDED	
<i>W</i>	
SERVICE	
CAS.	
IS. E. F. D. T.	
<i>Williams</i>	
FILES	

10. The determination in Exhibit C that the base period amount for each of the years 1973, 1974, 1975 and 1976 is \$3,200.00 for purposes of the computation of the 1977 tax liability by the use of income averaging is correct.

11. There is no minimum tax due with respect to the 1975 year.

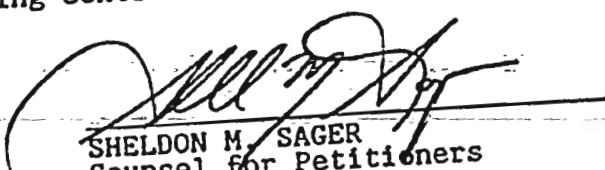
12. All of the issues in this case have been settled or will be resolved by the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in McCarthy v. United States, Civil Action No. C78-1480 (USDC ND

Docket No. 27952-83

- 2 -

Ohio ED) with respect to the amortization of legal and accounting fees and broadcasting contracts.

4/21/86  
Date

  
SHELDON M. SAGER  
Counsel for Petitioners  
900 Illuminating Building  
55 Public Square  
Cleveland, Ohio 44113  
Tel. No. 216-696-1422

JEAN OWENS  
Acting Chief Counsel  
Internal Revenue Service

4/21/86  
Date

By: Carol A. Szczepanik  
CAROL A. SZCZEPANIK  
Attorney  
Internal Revenue Service  
Suite 810 One Cleveland Center  
1375 East Ninth Street  
Cleveland, Ohio 44114  
Tel. No. 216-522-3380  
FTS 942-3380

WFO 73-2064  
SEK:kam

U.S. CLAIMS COURT  
Clerk of the Court  
717 Madison Place  
Washington, D.C.

On May 19, 1986, Special Agent [redacted] reviewed the Civil File, #52-83T, filed in the U.S. CLAIMS COURT on February 7, 1983, by GEORGE M. STEINBRENNER and JOAN Z. STEINBRENNER, plaintiffs, against THE UNITED STATES, defendant. STEINBRENNER's suit is a five count claim for tax refunds in the calendar years 1970, 1971, 1972, 1977, and 1978, in which each year represents one count. The suit arose under the Internal Revenue laws of the United States for the refund of taxes assessed against and collected from the plaintiffs. In response to the suit, the INTERNAL REVENUE SERVICE denies that the taxes were illegally assessed or collected. Both parties acknowledged that the outcome of this action is directly related to the disallowance of certain amortization deductions claimed by the NEW YORK YANKEES limited partnership, of which plaintiff GEORGE STEINBRENNER is the General Partner. Litigation has been pending regarding the allowance or disallowance of this amortization in the UNITED STATES DISTRICT COURT, Northern District of Ohio, case entitled, MC CARTHY v. THE UNITED STATES, civil action C78-1480. The CLAIMS COURT case was in suspension from the time of its filing until November 26, 1985, when both parties stipulated to counts four and five of the suit being transferred to the UNITED STATES TAX COURT, docket number 27952-83 in view of the loss of jurisdiction by the CLAIMS COURT in accordance with Section 7422(e) of the Internal Revenue Code of 1954 (26 USC).

b6  
b7C

Status reports filed by both parties in January of 1986 recognize the plaintiff's offer to compromise of November 14, 1985, as amended November 21, 1985. The Tax Division of the DEPARTMENT OF JUSTICE requested the views of the INTERNAL REVENUE SERVICE (IRS) concerning this offer to compromise. The Tax Division also requested that if IRS accepted the compromise offer, it recompute the tax for the years at suit. The status reports, however, recognize that any recomputation of the tax for these years depends on the result of the previously mentioned UNITED STATES TAX COURT case. Therefore, action on the CLAIMS COURT case is pending that outcome.

147/10055/mj

DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

b6  
b7C

1005

PAGE 1 OF 1		DATE 5/27/86		CLASSIFICATION UNCLAS	PRECEDENCE IMMEDIATE
START HERE					
FM DIRECTOR FBI (73-19114)					
TO FBI TAMPA (73-312) IMMEDIATE					
GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED: PAST					
{5/19/86}.					
REFERENCE TP REPORT DATED 5/19/86.					
REFERENCED REPORT FAILED TO INCLUDE A REVIEW OF U.S.					
DISTRICT COURT FILE 83-1464-CIV-T-15 CONCERNING ST. PHILIPS					
TOWING COMPANY.					
TP: REVIEW COURT FILE. SUBMIT INSERT BY COB 6/2/86.					
BT					
DO NOT TYPE MESSAGE BELOW THIS LINE					
APPROVED BY BAR/AV	DRAFTED BY PAL/KAS	DATE 5/27/86	ROOM 5136	TELE EXT. 3863	

DO NOT TYPE PAST THIS LINE

RETURN TO MS.

ROOM 5136

b6  
b7C

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS CENTER

224 Penn  
MAY 27 1986

64 FEB 22 1988

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <i>SM</i> WASHINGTON FIELD	OFFICE OF ORIGIN BUREAU	DATE 5/28/86	INVESTIGATIVE PERIOD 5/23-5/27/86
TITLE OF CASE  GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY amw
		CHARACTER OF CASE APACS	

*concerning*  
*PM*

b6  
b7cREFERENCE: FBIHQ Routing Slip dated 5/22/86.

(P\*)

PL

ADMINISTRATIVE: BUDED: 5/19/86

This case will be RUC upon completion of WFO indices searches file reviews.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	UG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <i>C/S</i>						SPECIAL AGENT IN CHARGE		
COPIES MADE:						DO NOT WRITE IN SPACES BELOW		
3-Bureau (73-19114) 1-WFO (73-2064)						73-19114-157		
						APR 20 1987		
Dissemination Record of Attached Report						Notations		
Agency						<i>b1/PM</i>		
Request Recd.	2cc to	Random	Atty	6-3-86				
Date Fwd.	6/5/86							
How Fwd.								
By								

64 FEB 22 1988

COVER PAGE

FBI/DOJ

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: WASHINGTON, D.C.

Date:

5/28/86

Field Office File #:

73-2064

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

SEC files contain no new unfavorable information.

(P\*)

DETAILS: - AT WASHINGTON, D.C.

[REDACTED]  
SECURITIES AND EXCHANGE COMMISSION (SEC)  
Enforcement Branch  
Washington, D.C.

Files of the Enforcement Branch of the SECURITIES AND EXCHANGE COMMISSION reveal that in 1974, STEINBRENNER was sued civilly by the SEC. In that suit, they obtained a permanent injunction against STEINBRENNER repeating any illegal political contributions, as he had done in the Nixon Campaign, and for which he was convicted in Federal Court. Enforcement Branch files also contain a record of a complaint by a former employee who sued STEINBRENNER civilly in approximately 1983. The allegation, is not specifically stated, concerned insider trading. The Enforcement Branch investigated the allegations of this former employee and found that he had contacted every possible Federal agency regarding the same complaints. However, the SEC found nothing to substantiate his allegations and so notified STEINBRENNER. The Enforcement Branch files contain no other references to STEINBRENNER.

WFO 73-2064  
PA:act

1

Securities and Exchange Commission (SEC)  
Division of Enforcement  
Washington, D.C.

On May 23, 1986, [REDACTED] Division of Enforcement,  
furnished IA [REDACTED] the following information:

b6  
b7C

GEORGE M. STEINBRENNER and AMERICAN SHIP BUILDING  
COMPANY - SEC got permanent injunction by STEINBRENNER's consent  
against STEINBRENNER and AMERICAN SHIP BUILDING COMPANY in 1974  
regarding illegal political contributions. For more details, BOB  
RYAN of the SEC should be contacted at 272-2923

KINGSMAN LINES - No Record

YANKEE COAL COMPANY - No Record

2X

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>5/28/86</b>	INVESTIGATIVE PERIOD <b>5/27/86 - 5/28/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner</b>		REPORT MADE BY <div style="border: 1px solid black; width: 150px; height: 15px;"></div>	TYPED BY <div style="border: 1px solid black; width: 150px; height: 15px;"></div>
		CHARACTER OF CASE <b>APACS</b>	

b6  
b7CREFERENCE

Bureau routing slip to New York, dated 5/16/86.

-RUC-

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED: <i>John L. Hogan</i> COPIES MADE: <i>1</i>	DO NOT WRITE IN SPACES BELOW <b>73-17114-48</b> <b>8 APR 20 1987</b>
---	--

Dissemination Record of Attached Report					Notations <i>6/1/86</i>
Agency					
Request Recd.	<i>2 cc to Pardon Atty</i>	<i>6-3-86</i>			
Date Fwd.	<i>PL/SF</i>				
How Fwd.					
By					

64 FEB 22 1988

-A\*-  
COVER PAGE

FBI/DOJ



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

Date:

5/28/86

Office: New York, New York

b6  
b7c

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

Arrest check conducted at New York City Police Department proved negative regarding GEORGE MICHAEL STEINBRENNER, III. Credit rating satisfactory.

-RUC-

NY 73-3631

DETAILS:

ARREST

On May 27, 1986, Investigative Assistant [ ] caused a search of the records of the New York City Police Department (NYCPD) by Sergeant [ ] Identification Section and Principal Administrative Associate [ ] Criminal Records Section. No criminal record identifiable with GEORGE MICHAEL STEINBRENNER, III could be located.

b6  
b7C

CREDIT

On May 27, 1986, Investigative Assistant [ ] caused the records of the Credit Bureau Inc., 708 Third Avenue, New York, New York to be checked regarding GEORGE MICHAEL STEINBRENNER, III. Records reflect a satisfactory credit rating.

Airtel

5/29/86

Sm  
Director, FBI (73-19114)

SAC, New York (73-3631)

GEORGE MICHAEL STEINBRENNER III  
APACS  
BUDED: PAST (5/19/86)

5/29  
Reference attached NY report dated 5/22/86 by IA

The writer of referenced report is requested to review NK teletype dated 4/30/86. NY was to review file and report results. Photocopies of dockets will not be accepted, unless specifically requested by FBIHQ. Additionally, copies of teletypes and FD-448 are for FBI use, not for forwarding to the Pardon Attorney (See last 2 pages of report).

NY: review civil matters and report appropriately.  
Submit report by COB 6/2/86.

Enclosure *delivered*

b6  
b7C

73-19114-49  
8 APR 20 1987

Exec AD Adm. RAH/2  
Exec AD Inv. RAH/2  
Exec AD LES RAH/2  
Asst. Dir.:

1 cc New York Folder

Adm. Servs. RAH/2  
Crim. Inv. RAH/2  
Ident. RAH/2  
Insp. RAH/2  
Intell. RAH/2  
Lab. RAH/2  
Legal Coun. RAH/2  
Off. Cong. & Public Affs. RAH/2  
Rec. Mgnt. RAH/2  
Tech. Servs. RAH/2  
Training RAH/2  
Telephone Rm. RAH/2  
Director's Sec'y RAH/2

RETURN TO MISS  ROOM 5136

64 FEB 22 1988

pas  
NEW YORK copy delivered by Express Mail

MAIL ROOM ☐

FBI/DOJ

# Memorandum



DATE: 6-3-86

TO: ☐ OFFICE OF THE DEPUTY ATTORNEY GENERAL  
ATTN: MS. ☐  
MAIN JUSTICE, ROOM 4311

☐ OFFICE OF THE DEPUTY ATTORNEY GENERAL  
ATTN: MRS. ☐  
MAIN JUSTICE, ROOM 4110

☐ OFFICE OF INTELLIGENCE POLICY & REVIEW  
ATTN: MS. ☐  
MAIN JUSTICE, ROOM 6325

☐ EXECUTIVE OFFICE FOR U.S. ATTORNEYS  
ATTN: MR. ☐  
MAIN JUSTICE, ROOM 1619

b6  
b7C

☒ THE-PARDON ATTORNEY  
ATTN: MR. ☐  
PPB BUILDING

☐ OFFICE OF SECURITY PROGRAMS  
ATTN: MR. ☐  
MAIN JUSTICE, ROOM 6525

☐ ADMIN. OFFICE OF U.S. COURTS  
811 VERMONT AVE., NW, ROOM 647A  
WASHINGTON, D.C.  
ATTN: MS. ☐  
OFFICE OF THE DIRECTOR

☐ DEPARTMENT OF ENERGY  
OFFICE OF SAFEGUARDS & SECURITY  
GERMANTOWN, MD  
ATTN: ☐

FROM: ☐ CHIEF  
BACKGROUND INVESTIGATION UNIT  
FBI HEADQUARTERS, ROOM 5136

pn/sf

SUBJECT: GEORGE MICHAEL STEINBRENNER, III

STATUS OF INVESTIGATION: ☒ INCOMPLETE ☐ COMPLETE

COMMENTS: OUTSTANDING: CINCINNATI- review of state corporation records

NEW YORK- review of civil suit

TAMPA- additional record checks

FBI record checks

## FEDERAL BUREAU OF INVESTIGATION

Reporting Office  
NEW YORKOffice of Origin  
FBIHQDate  
6/9/86Investigative Period  
5/20/86 - 5/22/86TITLE OF CASE  
GEORGE MICHAEL STEINBRENNER, III  
aka, APACS;  
BUDED: PAST (5/19/86)

Report Made By

IA

Typed By  
lxgb6  
b7c

## CHARACTER OF CASE

APPLICATION FOR PARDON AFTER COMPLETION  
OF SENTENCE (APACS)

## REFERENCE

NKTEL to New York, dated 4/30/86.  
Bureauairtel to New York, dated 5/29/86.

-RUC-

## ADMINISTRATIVE

Investigation at Southern District of New York.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT- TALS	CASE HAS BEEN: Pending over 1 year <input type="checkbox"/> yes <input type="checkbox"/> no Pending pros. over 6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS		

APPROVED

SPECIAL AGENT

Signed on original - Retention Charge

DO NOT WRITE IN SPACES BELOW

Copies Made:

8 - Bureau (73-19114) lead

1 - New York (73-3631) Ice forwarded to

New York office

6/16/86

jpc

8 APR 20 1987

## Dissemination Record of Attached Report

## Notations

Agency

Request Recd.

Date Fwd.

How Fwd.

By

53 FEB 26 1988

COVER PAGE

-A\*-

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: IA   
Date: June 9, 1986

Office: New York, New York

b6  
b7c

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York.

-RUC-

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matters referred to in referenced airtel were reviewed in the Southern District of New York on May 22, 1986, by IA [ ] and reflect the following:

b6  
b7c

The plaintiffs, the DAVID M. WINFIELD FOUNDATION, brought a civil action against subject pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

Judge WARD ordered as follows: That STEINBRENNER shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which STEINBRENNER contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. STEINBRENNER will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$3000,000.00 to the Foundation by December 12, 1982, etc. Except as modified by this agreement, the Foundation and STEINBRENNER reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

FBI

TRANSMIT VIA: *pc*

- ☐ Teletype  
☐ Facsimile  
☐ AIRTEL

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 6/10/86~~CONFIDENTIAL~~

TO: DIRECTOR, FBI (73-19114)

FROM: SAC, TAMPA (73-312) (RUC)

SUBJECT: GEORGE MICHAEL STEINBRENNER III, aka  
 George Michael Steinbrenner  
 APACS  
 (OO:BUREAU)

This communication is classified "~~Confidential~~" in its entirety.

Re report of SA [redacted] at Tampa, 5/19/86, and phone call between SA [redacted] FBIHQ and SSA [redacted] Tampa, 6/9/86.

Enclosed for the Bureau is the original and three copies of an LHM concerning captioned matter.

During referenced phone call, SA [redacted] requested that an LHM be furnished to the Bureau concerning the assistance given by the applicant to the FBI in the Foreign Counterintelligence field.

For the information of the Bureau, applicant assisted in Tampa File [redacted] Bufile 105-308737 and Tampa File 105-5837, Bufile 105-318703.

In view of the fact that no additional investigation remains, this matter is considered RUC at Tampa.

- ② - Bureau (Encs. 4) *recd*  
 1 - Tampa *sent to Attorney 7/2/86*  
 DWM/bam  
 (3) *sent back 7/2/86*

~~CONFIDENTIAL~~

Classified by 11653  
 Declassify on: OADR

Approved: *[Signature]*

Transmitted

(Number)

1\*

Per

(Time)

12 JUN 12 1986

64 FEB 22 1988

DECLASSIFIED BY uc/baw 60324  
 ON 02-24-2011



FEDERAL GOVERNMENT

June 10, 1986

GEORGE MICHAEL STEINBRENNER III  
APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

RECORD CHECK

A review of FBI files disclosed the following eight references to Mr. Steinbrenner in addition to the investigation conducted in 1973 which resulted in his conviction for Election Laws violation:

1) In October, 1973, an investigation was initiated upon the request of the Watergate Special Prosecution Force (WSPF) to determine if individual campaign contributions attributed to George Steinbrenner and employees of American Shipbuilding (AMSHIP) were, in fact, corporate contributions. Investigation revealed that the contributions in question were returned by the candidate to those individuals, and the case was closed.

2) During the course of a 1975 Election Laws investigation into an aviation company, information was developed indicating that, in 1972, AMSHIP was billed by that company for chartered aircraft used by a Senator. Investigation was initiated at the request of the WSPF to determine if the Senator was using the aircraft for campaign purposes, thereby resulting in AMSHIP making illegal campaign contributions. It was determined that, for the flights in question, the Senator was attending speaking engagements and was not involved in an active campaign at the time that AMSHIP paid for his travel. The investigation was closed.

ENCLOSURE

It should be noted that both of these matters were provided to the Watergate Special Prosecutor for a prosecutive opinion. By a memorandum dated August 18, 1976, the WSPF advised that their office had closed these cases, and there is no indication that any prosecutive action resulted.

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

Original and jcc to the Pardon Attorney Attorney by courier on 7/27/80  
PAD/pms/Kas (3)  
8 FEB 26 1988  
MAIL ROOM ☐

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-24-2011 BY uc/baw 60324

Re: GEORGE MICHAEL STEINBRENNER

3) George Steinbrenner was one of several individuals named in 1984 by a cooperating witness regarding individuals who made political campaign contributions, some of which were legal, others that were not. The cooperating witness advised that George Steinbrenner contributed \$5000 to the Carter campaign. There was no indication that this contribution was illegal and no further action was taken.

4) During the course of an investigation initiated in 1983 into possible illegal activities by individuals within the Federal Court system in the Northern District of Ohio, information was developed in 1984 indicating that relatives of a Federal Judge, with whom Mr. Steinbrenner is acquainted, made substantial capital gains in the buying and selling of AMSHIP stock. Their accountant, who received an appointment from the Judge, is also on AMSHIP's Board of Directors, including AMSHIP's audit and compensations stock option committee. Additionally, allegations were made that the nephew of the Judge received commissions from a company, owned by a friend of Mr. Steinbrenner's, in connection with that company's business transactions with AMSHIP. Interviews of individuals of AMSHIP revealed they were instructed by top management in Tampa to show favorable consideration to that company. Mr. Steinbrenner was interviewed in May, 1984 regarding these matters and advised the following: The Board of Directors is notified in advance of pending stock dividends, but they are instructed not to trade in AMSHIP stock. He further advised that he personally has never provided advance information on stocks to anyone outside the company. Mr. Steinbrenner also advised that he has never told company officials to give a contract to a particular company, but has asked them to give companies a chance to bid on contracts. The file contained no other pertinent information regarding Mr. Steinbrenner.

5) A June 11, 1976 newspaper article revealed that George Steinbrenner was one of several prominent individuals seated on the dais at a testimonial dinner honoring Anthony Scotto. Scotto is a member of one of the organized crime families in New York City. The file contained no additional information concerning Mr. Steinbrenner.

The following information was provided by two separate confidential sources of the FBI.

Re: GEORGE MICHAEL STEINBRENNER

6) In November, 1981, [redacted] who has provided both reliable and unreliable information in the past, advised that George Steinbrenner is alleged to be a close friend of [redacted] is a member of the Buffalo organized crime family. This allegation has not been substantiated through active investigation.

7) In February, 1981, [redacted] advised that George Steinbrenner is alleged to be in the preliminary stage of some unknown business activity with [redacted] [redacted] is a member of the Cleveland organized crime family.

b2  
b6  
b7C  
b7D

8) In March, 1981, that same source also advised that George Steinbrenner sent "muscle" to "shut up" an individual whose horse mysteriously died while racing at the Tampa Bay Downs Racetrack. The individual had been voicing hostile complaints to the racetrack because it was learned that the attending vet was not licensed. Subsequent contacts with the source have failed to generate any additional information concerning this matter.

With respect to information provided by [redacted] [redacted] caution should be exercised in the use of this information in view of its singular nature. Disclosure of this information could reveal the identity of this confidential source. [redacted] has furnished reliable information in the past which has been corroborated through independent investigation. However, the information provided by [redacted] concerning Mr. Steinbrenner has not been substantiated through active investigation.



U.S. Department of Justice

Federal Bureau of Investigation

~~CONFIDENTIAL~~

In Reply, Please Refer to  
File No.

Tampa, Florida  
June 10, 1986

GEORGE MICHAEL STEINBRENNER III,  
ALSO KNOWN AS,  
GEORGE MICHAEL STEINBRENNER

This communication is classified ~~"Confidential"~~ in its entirety.

This matter was predicated upon receipt of a letter from U. S. Pardon Attorney, [redacted] dated March 12, 1986, which enclosed a Petition for Pardon After Completion of Sentence and a memorandum in support of the petition of GEORGE M. STEINBRENNER III for a pardon.

b6  
b7C

The aforementioned "memorandum in support of the petition of GEORGE MICHAEL STEINBRENNER III for a pardon" stated in part as follows:

"Support of United States National Security Activities"

"As the DOJ is aware, in May, 1983, Mr. STEINBRENNER was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information."

The records of the Tampa, Florida, office of the Federal Bureau of Investigation revealed that between the period of 1978 and 1983, GEORGE MICHAEL STEINBRENNER III assisted, through his corporations, in connection with two national security matters.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

DECLASSIFIED BY uc/baw 60324  
ON 02-24-2011

~~CONFIDENTIAL~~

73-19114-52  
ENCLOSURE

0111

 DEPARTMENT OF JUSTICE  
 FEDERAL BUREAU OF INVESTIGATION  
 COMMUNICATION MESSAGE FORM

*OK/PA/Edid*  
*ShurB*

PAGE 1 OF 1		DATE 6/12/86		CLASSIFICATION UNCLAS		PRECEDENCE IMMEDIATE	
163/10113							
START HERE							
FM DIRECTOR FBI (73-19114)							
TO FBI CINCINNATI (73-751) IMMEDIATE							
FBI NEW YORK (73-3631) IMMEDIATE							
BT							
UNCLAS							
GEORGE MICHAEL STEINBRENNER III, APACS, BUDED: PAST							
(5/19/86).							
CI REFERENCE BUTEL 5/15/86, NY REFERENCE BUAIRTEL							
5/29/86.							
CI - SUBMIT REPORT CONTAINING RESULTS OF CHECK OF STATE							
CORPORATION RECORDS RE KINSMAN LINES.							
NY - RESUBMIT NY REPORT DATED 5/22/86 RE CIVIL MATTERS.							
REPORTS SHOULD BE SUBMITTED BY FEDERAL EXPRESS TO REACH							
FBIHQ NO LATER THAN 6/17/86.							
BT							
DO NOT TYPE MESSAGE BELOW THIS LINE							
APPROVED BY <i>BAE/AN</i>		DRAFTED BY <i>PAE/KAS</i>		DATE 6/12/86		ROOM 5136	
				TELE EXT. 3863		53	

 LCC CINCINNATI FOLDER  
 LCC NEW YORK FOLDER

RETURN TO MS.

ROOM 5136

8 APR 20 1987

b6  
b7C
 FEDERAL BUREAU OF INVESTIGATION  
 COMMUNICATIONS CENTER

 214628  
 JUN 12 1986

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

64 FEB 22 1988

Airtel

6/16/86

*sm*  
Director, FBI (73-19114)

SAC, New York (73-3631)

Attention: SSA [redacted]

*36*  
GEORGE MICHAEL STEINBRENNER III  
APPLICATION FOR PARDON  
AFTER COMPLETION OF SENTENCE  
BUDED: PAST (5/19/86)

Attached is NY report dated 6/9/86 by IA [redacted]  
(It should be noted that this report, originally dated 5/22/86  
was returned to NY by Buairtel 5/29/86.)

b6  
b7C

NY should note changes, and correct report. Resubmit  
report by COB 6/23/86. Any questions should be directed to PSS  
[redacted] Ext. 4195.

Enclosure

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_

Asst. Dir.:

Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
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Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_

Legal Coun. \_\_\_\_\_ 1 - New York Folder

Off. Cong. &  
Public Affs. \_\_\_\_\_

Rec. Mgnt. \_\_\_\_\_

Tech. Servs. \_\_\_\_\_

Training \_\_\_\_\_

Telephone Rm. \_\_\_\_\_

Director's Sec'y \_\_\_\_\_

RETURN TO MS [redacted] ROOM 5136

73-19114-54

8 APR 20 1987

64 FEB 22 1988

MAIL ROOM ☐ New York copy delivered by courier.

FBI/DOJ

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ Airtel

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 6/17/86

TO: DIRECTOR, FBI (73-2064) *(RUC)*  
 FROM: SAC, WASHINGTON FIELD OFFICE (73-19114)

GEORGE MICHAEL STEINBRENNER, III  
 APACS  
 BUDED: 5/19/86

Re WFO report of 5/28/86.

WFO indices reveal no derogatory information, identifiable with the applicant except that pertaining to his connection in Bufile 56-4737 and related information cited on page 20 of WFO report dated 3/27/86, Bufile 58-9240, captioned   et al, Bribery-COI. <sup>78</sup>

2-Bureau *led*  
 1-WFO

SFK:clm  
 (3)

*called  
 6/19/86  
 OK pa*

*(copy sent to  
 interview re: 1 spec. contr.  
 no add'l info. 40 spec. person)*

*73-19114-55*

8 APR 20 1987

*6/10/87*

Approved: *C/SFK* Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
 (Number) (Time)

*64*  
 64 FEB 22 1988

b6  
 b7c

FORMS.TEXT HAS 1 DOCUMENT

INBOX.23 (#4382)

TEXT:

CI0019 1680011Z

RR HQ BA

DE CI

R 160011Z JUN 86

FM CINCINNATI (73-751) (RUC)

TO DIRECTOR (73-19114) ROUTINE

BALTIMORE ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED PAST

(MAY 19, 1986)

RE BUREAU TELETYPE, DATED JUNE 12, 1986.

ON JUNE 16, 1986, [REDACTED] RECORDS, SECRETARY

b6  
b7C

OF STATE, OHIO, CORPORATE SECTION, COLUMBUS, OHIO,

ADVISED THAT KINSMAN LINES IS LICENSED UNDER CHARTER

NUMBER 571-092, TO DO BUSINESS IN THE STATE OF OHIO.

SHE ADVISED THAT THIS CORPORATION IS A COMPANY OUT APR 20 1987

OF THE STATE OF DELAWARE AND THAT IN ORDER TO OBTAIN

THE INCORPORATIONS AND OFFICERS THAT THE STATE OF

DELAWARE WOULD HAVE TO BE CHECKED.

BALTIMORE: AT WILMINGTON, DELAWARE: WILL REVIEW

64 FEB 22 1988

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7/2  
tel com  
web

7/8  
web

RECEIVED  
TELETYPE UNIT

17 JUN 86 08 47

FEDERAL BUREAU OF INVESTIGATION

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	



PAGE TWO, CI 73-751

CORPORATION RECORDS RE KINSMAN LINES.

CINCINNATI: AT COLUMBUS, OHIO: WILL FORWARD

RUC REPORT.

BT

-->

PL

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>FBIHQ</b>	DATE <b>6/20/86</b>	INVESTIGATIVE PERIOD <b>5/20 -22/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III</b> <i>Sm</i> <b>BUDED: PAST (5/19/86)</b>		REPORT MADE BY <b>IA</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>WM</b> <span style="float: right;">b6 b7C</span>
		CHARACTER OF CASE <b>APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE (APACS)</b>	

REFERENCE

NKTEL to New York, dated 4/30/86.  
 Bureauairtel to New York, dated 5/29/86.  
 Bureauairtel to New York, dated 6/16/86.

RUC

ADMINISTRATIVE

Investigation at Southern District of New York.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

*John L. Hogan / Rm*SPECIAL AGENT  
IN CHARGE

COPIES MADE:

③ - Bureau (73-19114)

1 - New York (73-3631)

DO NOT WRITE IN SPACES BELOW

**73-19114-57****8 APR 20 1987**

## Dissemination Record of Attached Report

Agency					
Request Recd.					
Date Fwd.					
How Fwd.	2CC'S TO PARDON ATTORNEY DEPARTMENT OF JUSTICE			7/2/86	
By				PAL/FR	

Notations

**64 FEB 22 1988**

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: IA

Date:

6/20/86

Office: NEW YORK, NEW YORK

b6  
b7C

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York,  
reflecting the nature of the civil action; the Judge's order;  
including penalty imposed; and the concluding agreement.

NY73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matter, 83-CIV-7273 and 82-CIV-3381, Southern District of New York (SDNY) were reviewed by IA [redacted] on May 22, 1986, and reflect the following:

b6  
b7C

On 5/25/82, the plaintiffs, the David M. Winfield Foundation, brought a civil action against George Michael Steinbrenner III pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

On 9/13/82, Judge Ward ordered as follows: That Steinbrenner shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which Steinbrenner contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. Steinbrenner will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$300,000.00 to the Foundation by December 12, etc. Except as modified by this agreement, the Foundation and Steinbrenner reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

*Sm*  
FEDERAL GOVERNMENT

July 2, 1986

*gsk*  
GEORGE MICHAEL STEINBRENNER III  
APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

RECORD CHECK

On June 16, 1986, [ ] Records, Secretary Of State, Ohio, Corporate Section, Columbus, Ohio, advised that Kinsman Lines, Incorporated is licensed under charter number 571-092, to do business in the state of Ohio. She advised that this corporation is organized under the Statutes of the State of Delaware.

b6  
b7C

73-19114-58

8 APR 20 1987

NOTE: Information obtained from CI teletype dated 6/17/86.

Exec AD Adm. \_\_\_\_\_ Original and lcc to APACS by courier on \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_

Asst. Dir.: PAL/Kas (3)

Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgmt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☐

64 FEB 22 1988

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency, it and its contents are not to be distributed outside your agency.

~~SECRET~~

FORMS.TEXT HAS 1 DOCUMENT

INBOX.3 (#12084)

TEXT:

TP0007 1900506

PP HQ

DE TP

P 092032Z JUL 86

FM TAMPA (73-312) (SQ. 6) (P)

TO DIRECTOR (73-19114) PRIORITY

BT

~~SECRET~~

ATTENTION: CRIMINAL INVESTIGATIVE DIVISION, BACKGROUND  
INVESTIGATIONS UNIT

GEORGE MICHAEL STEINBRENNER III, AKA GEORGE MICHAEL STEINBRENNER,

APACS; OO: BUREAU

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Mr. Holmes	
Miss Gandy	

CI-1  
4203  
# 243

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

RE TAMPA AIRTEL, JUNE 10, 1986, WITH ATTACHED LHM AND  
BUTELCAL, JULY 9, 1986.

THE REFERENCED TAMPA AIRTEL WITH ATTACHED LHM INDICATED  
THAT THE RECORDS OF THE TAMPA, FLORIDA, OFFICE OF THE FEDERAL  
BUREAU OF INVESTIGATION REVEALED THAT BETWEEN THE PERIOD

1978-1983, GEORGE MICHAEL STEINBRENNER III ASSISTED, [REDACTED]

[REDACTED] IN CONNECTIN WITH TWO NATIONAL SECURITY MATTERS.

THE REFERENCED BUTELCAL, JULY 9, 1986, REQUESTED ADDITIONAL  
IN-DEPTH INFORMATION RE STEINBRENNER'S COOPERATIONS WITH THE FBI.

8 APR 20 1987

cc - CI-1

[REDACTED]

~~SECRET~~

DATE: 02-24-2011  
CLASSIFIED BY uc/baw 60324  
REASON: 1.4 (c)  
DECLASSIFY ON: 02-24-2036

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

64 FEB 22 1988

PAGE TWO TP 73-312 ~~SECRET~~

THE FOLLOWING IS PROVIDED:

(S)

b1

"FORTUNATELY THROUGH PERSONAL AND CONFIDENTIAL CONTACT BY

SA [REDACTED] WITH [REDACTED] (PROTECT

IDENTIFY BY REQUEST [REDACTED]

[REDACTED] LATTER MOST COOPERATIVE AND [REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED] UNKNOWN AT THIS TIME".

ON JULY 9, 1986, INTERVIEW WITH FORMER TAMPA FCI/TERRORISM

SUPERVISOR [REDACTED] REVEALED THAT AT THE TIME

PAGE THREE TP 73-312 ~~SECRET~~

(S)

b1

~~C BY G-3; BT QADR~~

BT



# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>BALTIMORE</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>7/10/86</b>	INVESTIGATIVE PERIOD <b>7/1/86</b>
TITLE OF CASE <b>GEORGE MICHAEL STEINBRENNER, III</b> <div style="text-align: right;">b6 b7C</div>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>cbb</b>
		CHARACTER OF CASE <b>APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE</b>	

REFERENCE:

Cincinnati teletype to the Bureau and Baltimore, dated 6/16/86.

-RUC-

ADMINISTRATIVE:

Information contained in the files of the Secretary of State, State of Delaware, Division of Corporation, is considered to be public record and can freely be disseminated.

Review of Baltimore indices negative regarding individual, with the exception of one reference to GEORGE STEINBRENNER (NFD), in Baltimore file 172-73-6, who is described as being the owner of a racehorse.

APPROVED <div style="text-align: center; font-size: 1.5em;"><i>JVC</i></div>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW		
COPIES MADE: <div style="border: 1px solid black; padding: 2px;"> <b>②</b> - Bureau (73-19114)  <b>1</b> - Baltimore (73-8413) (SQ 29)         </div>		73-19114-60		
		8 APR 20 1987		
Dissemination Record of Attached Report		Notations  <div style="font-size: 1.5em; text-align: center;"> <i>6/10/87</i>     <i>P2</i>  <i>9664</i> </div>		
Agency				
Request Recd.				
Date Fwd.	<i>See p. to Pardon Attorney</i>			
How Fwd.	<i>7/10/86</i>			
By				

64 FEB 22 1988

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
Federal Bureau of Investigationb6  
b7c

## Copy to:

Report of:

SA [REDACTED]

Office:

BALTIMORE

Date:

7/10/86

Bureau File #:

73-19114

Field Office File #:

BA 73-841

Title:

GEORGE MICHAEL STEINBRENNER, III

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Character:

Synopsis:

Review of records maintained by the State of Delaware regarding KINSMAN LINES, INC., determined that GEORGE M. STEINBRENNER, III, is listed as the Director of that corporation.

-RUC-

DETAILS:

## FEDERAL BUREAU OF INVESTIGATION

7/10/86

Date of transcription

[redacted] Office of the Secretary of State, State of Delaware, Division of Corporations, provided the following information regarding KINSMAN LINES, INC., from the records maintained by her office:

On March 6, 1981, the State of Delaware received the Certificate of Incorporation of KINSMAN LINES, INC. That document reflects that the corporation's nature of business is "to undertake and transact all types of business relating to the ownership and operation of vessels transporting grains and other property on the Great Lakes, as a water contract carrier and to engage in any other lawful act or activity for which a corporation may be organized under the general corporation law of Delaware". The corporation's authorized stock was 2,000 shares of common stock, with a par value of \$1.00 per share.

The most recent document in the Delaware State Corporate File of KINSMAN LINES, INC., is the 1984 Annual Franchise Tax Report, which was filed on March 1, 1985. That document reflects that the principal place of business of KINSMAN LINES, INC., is 20325 Center Ridge Road, Rocky River, Ohio, 44116. All 2,000 shares of the corporation's stock have been issued; however, no shareholders were identified. The corporation's total gross assets were listed as \$3,162,546.00, as of December 31, 1984.

The director of KINSMAN LINES, INC., was identified as GEORGE M. STEINBRENNER, III, of 20325 Center Ridge Road, Rocky River, Ohio. The officers of KINSMAN LINES, INC., were identified as JOSEPH J. DAVIS - President; HENRY G. STEINBRENNER, III - Vice President; DANIEL R. MCCARTHY - Secretary; FRED DUDE - Treasurer; other officer LINDA E. PERKINS.

The terms of the above identified officers and director of KINSMAN LINES, INC., were to expire on June 24, 1985, which was to be the next annual meeting of the corporation's stockholders.

Investigation on 7/1/86 at Dover, Delaware File # BA 73-841  
by SA [redacted] cbb Date dictated 7/2/86

~~SECRET~~

FEDERAL GOVERNMENT

July 21, 1986

GEORGE MICHAEL STEINBRENNER III,  
ALSO KNOWN AS,  
GEORGE MICHAEL STEINBRENNER

This communication is classified "~~Secret~~" in its entirety.

This matter was predicated upon receipt of a letter from U.S. Pardon Attorney, [redacted] dated March 12, 1986, which enclosed a Petition for Pardon After Completion of Sentence and a memorandum in support of the petition of George M. Steinbrenner III for a pardon.

b6  
b7C

The aforementioned "memorandum in support of the petition of George Michael Steinbrenner III for a pardon" stated in part as follows:

"Support of United States National Security Activities"

"As the DOJ is aware, in May, 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information."

The records of the Tampa, Florida, office of the Federal Bureau of Investigation revealed that between the period of 1978 and 1983, George Michael Steinbrenner III assisted, [redacted] in connection with two national security matters. Specifically, Mr. Steinbrenner obtained [redacted]

b7E

[redacted] In addition, Mr. Steinbrenner provided [redacted] a National Security matter.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

DECLASSIFIED BY uc/baw 603224  
ON 02-24-2011

Exec AD Adm.  
Exec AD Inv.  
Exec AD LES  
Asst. Dir.:  
Adm. Servs.  
Crim. Inv.  
Ident.  
Insp.  
Intell.  
Lab.  
Legal Coun.  
Off. Cong. & Public Affs.  
Rec. Mgnt.  
Tech. Servs.  
Training  
Telephone Rm.  
Director's Sec'y

Classified by: GS-3  
Declassify on: OADR

~~SECRET~~

Original and lcc sent on 7/21/86.  
FBI (2)

64 FEB 22 1988

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency, it and its contents are not to be distributed outside your agency.

## Memorandum



Exec AD Adm. \_\_\_\_\_  
 Exec AD Inv. \_\_\_\_\_  
 Exec AD LES \_\_\_\_\_  
 Asst. Dir.:  
 Adm. Servs. \_\_\_\_\_  
 Crim. Inv. \_\_\_\_\_  
 Ident. \_\_\_\_\_  
 Insp. \_\_\_\_\_  
 Intell. \_\_\_\_\_  
 Lab. \_\_\_\_\_  
 Legal Coun. \_\_\_\_\_  
 Off. Cong. & Public Affs. \_\_\_\_\_  
 Rec. Mgnt. \_\_\_\_\_  
 Tech. Servs. \_\_\_\_\_  
 Training \_\_\_\_\_  
 Telephone Rm. \_\_\_\_\_  
 Director's Sec'y \_\_\_\_\_

To : Bob A. Hicks Section Chief

Date 7/22  
7/24/86

Civil Rights and Special Inquiry

From : [redacted] Unit Chief

by [redacted], PSS

Subject : General Background Investigation Unit

George Michael Stuenkel III  
APACS

Buded 5/19

Bufile 73-19114

Clients initiating communication is dated \_\_\_\_\_.

This memorandum reflects;

☐ a partial transmission of information to the client agency;

☐ a closing and transmittal of information to the client agency without any previous transmissions; or

☒ a closing and final transmittal of information to the client agency in which partial transmittals have occurred

\* previously transmitted reports are favorable.

Communications transmitted are listed: Those previously transmitted are starred

LHM report dated <u>7/24</u>	* NY rept. <u>4/17</u>	* NY rept. <u>4/23</u>
NY report dated <u>6/20</u>	* NY report dated <u>5/28</u>	* AL rept. <u>5/18</u>
LHM report dated <u>6/10</u>	* WFO report dated <u>5/28</u>	* TP rept. <u>5/19</u>
TP LHM report dated <u>6/10</u>	* WFO report dated <u>5/21</u>	* TP rept. <u>5/19</u>
BA report dated <u>7/10</u>	* SL report dated <u>5/19</u>	* CI rept. <u>5/18</u>
	* NY rept. <u>4/24</u>	* NK rept. <u>5/18</u>
		* CV rept <u>5/15</u>
		* NY rept. <u>5/12</u>
		* NO rept. <u>5/11</u>
		* TP rept. <u>4/11</u>

Because this investigation is ☐ sensitive and/or ☒ developed information that may reflect unfavorably upon the individual investigated, those individuals whose names are checked are asked to review and indicate they approve dissemination by initialing.

☒ Supervisory Special Agent [redacted]

☒ Unit Chief [redacted]

☐ Assistant Section Chief

☐ Section Chief

APR 20 1987

64 FEB 22 1988

FEDERAL GOVERNMENT

Mr. Paul E. Coffey, Deputy Chief  
Organized Crime and  
Racketeering Section  
Criminal Division

October 19, 1987

Michael D. Wilson, Chief  
Organized Crime Section  
Criminal Investigative Division

GEORGE MICHAEL STEINBRENNER  
APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

In reference to your memorandum dated October 5, 1987,  
citing paragraphs 6, 7 and 8 of an FBI record check dated  
June 10, 1987, no additional information is available concerning  
the allegations stated in those paragraphs.

APPROVED:

Adm. Servs.

Laboratory

Crim. Inv.

Legal Coun.

Off. of Cong. & Public Affs.

Director

Ident.

Rec. Mgnt.

Exec. AD-Adm.

Inspection

Tech. Servs.

Exec. AD-Inv.

Intell.

Training

Exec. AD-LES

73-19114-64

b6  
b7C

17 NOV 18 1987

Exec AD Adm. \_\_\_\_\_

Exec AD Inv. \_\_\_\_\_

Exec AD LES \_\_\_\_\_

Asst. Dir.:

Adm. Servs. 1 - Mr. \_\_\_\_\_

Crim. Inv. 1 - Mr. \_\_\_\_\_

Ident. 1 - Mr. \_\_\_\_\_

Insp. 1 - Mr. \_\_\_\_\_

Intell. \_\_\_\_\_

Lab. \_\_\_\_\_

Legal Coun. \_\_\_\_\_

Off. Cong. & Public Affs. \_\_\_\_\_

Rec. Mgnt. \_\_\_\_\_

Tech. Servs. \_\_\_\_\_

Training \_\_\_\_\_

Telephone Rm. \_\_\_\_\_

Director's Sec'y \_\_\_\_\_

MAIL ROOM

22 OCT 13 1988

FBI/DOJ

1 - Mr. [redacted]

AIRTEL

5/19/88

Director FBI (73-19114)

ADIC, New York

Attn: [redacted]

GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY  
BUDED: 6/1/88

Enclosed for New York is a copy of a statement submitted in support of a petition of pardon by GEORGE STEINBRENNER. The statement sets forth instances he allegedly assisted the FBI in sensitive investigations.

b6  
b7C

A review of FBIHQ files failed to determine whether the statements made on behalf of STEINBRENNER are accurate.

New York should review its files and submit a letterhead memorandum concerning items #1 and #3 of the statement. In particular, indicate the degree of assistance that STEINBRENNER provided the FBI in those investigations.

Submit results by 6/1/88, attention CI-1A.

Enclosure

MLS:den (4)

MAY 20 1988

MAILED 29

73-19114-65

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Off. Liaison & Int. Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☒

JUN 24 1988

66

MAR 21 1989

Airtel to ADIC, New York  
Re: GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY

NOTE:

GEORGE M. STEINBRENNER submitted a petition for pardon. In support of the petition he attached a statement in which he cited instances in which he provided assistance in sensitive investigations. A review of files failed to corroborate the accuracy of STEINBRENNER's assistance.

New York has been provided a copy of STEINBRENNER's statement and been instructed to advise if STEINBRENNER did in fact assist the FBI in two sensitive investigations.

This matter has a BUDED of 6/1/88.

*mm*  
*Joe*  
*Dsh*



PIERSON, BALL & DOWD

ATTORNEYS AT LAW

1200 18TH STREET, N. W.

WASHINGTON, D. C. 20036

(202) 331-8566

CABLE ADDRESS "PIERBALL"

TELEX NO. 64711

OKLAHOMA OFFICE  
FIRST OKLAHOMA TOWER, SUITE 1310  
210 W. PARK AVENUE  
OKLAHOMA CITY, OKLA. 73102  
(405) 235-7686

December 14, 1987

PARDON ATTORNEY

87 DEC 14 PM 2:53

RECEIVED  
DEPT. OF JUSTICE

HAND DELIVERED

[REDACTED] Esquire

Pardon Attorney  
U.S. Department of Justice  
5550 Friendship Boulevard  
Chevy Chase, MD 20815

Re: Petition for Pardon of George M. Steinbrenner

Dear Mr. [REDACTED]

Enclosed please find the statement prepared at your request which details the assistance provided by Mr. Steinbrenner to the FBI on certain highly confidential national security and criminal justice matters. The statement is provided to you for the sole purpose of reviewing the merits of Mr. Steinbrenner's petition for pardon. You have assured us that the information will be considered highly confidential and utilized only for the Department's internal deliberations regarding Mr. Steinbrenner's petition.

b6  
b7C

Finally, we very much appreciate your willingness to meet with Senator Saxbe and myself recently to discuss these most important matters.

Best regards.

Sincerely yours,

PIERSON, BALL & DOWD

[REDACTED]

TTR:gp

Enclosure

The following is an example of the assistance furnished by Mr. Steinbrenner to the FBI over an 11 year period (1976 - 1987):

1. In this instance George Steinbrenner at the FBI's request and to further the FBI's goal in a national security matter, [REDACTED]

[REDACTED]

b7E

Elaborating on #1, in late 1976 or early 1977, George Steinbrenner was contacted by FBI Special Agent [REDACTED] who asked for George's assistance. It was explained at that time the matter was of vital interest to the FBI.

b6  
b7C  
b7E

It developed the FBI was extremely [REDACTED]

[REDACTED] (spelling may be incorrect) who was

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

The FBI requested that the George Steinbrenner and have

George, without hesitation, told

Agent [REDACTED] he would assist in any way possible. He complied with the FBI's request and there were times during the three year period when calls were

received for the undercover Agent at Mr. Steinbrenner's office.

[REDACTED]

Mr. Steinbrenner, at the undercover Agent's request, [REDACTED]

[REDACTED]

[REDACTED] The FBI reportedly made substantial gains in the case through Mr.

Steinbrenner's all-out assistance.

b6  
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2. At the same time Mr. Steinbrenner was furnishing assistance in item #1, the

[REDACTED]

[REDACTED] contacted Mr. Steinbrenner in 1978 seeking additional help

in another national security matter. They advised this was of the utmost

importance and it involved [REDACTED] The Agents reportedly

were handling one of the [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] were not fully known.

[redacted]

[redacted] Mr. Steinbrenner, again

without hesitation, [redacted]

[redacted] Mr. Steinbrenner is Chairman, [redacted]

b7D  
b7E

[redacted]  
[redacted] according to the Agents, was

[redacted]  
[redacted]

The cooperation afforded by Mr. Steinbrenner must have been extremely sensitive and of great significance. It is pointed out that Mr.

Steinbrenner is now involved in an oil and gas civil suit in which one of

his former employees, [redacted] is a defendant. [redacted] was Mr.

Steinbrenner's [redacted] and was aware of a portion of the FBI's

b6  
b7C  
b7D

request since Mr. Steinbrenner was not always in town and at times the

Agents had to contact [redacted] The

Justice Department in Washington has seen fit during the taking of [redacted]

deposition and a number of other depositions in the oil and gas case to

send U. S. Departmental Attorney [redacted] in Washington to be

present at the depositions.

Mr. Steinbrenner knows that he placed the lives of his family and himself in jeopardy through being involved in a terrorist matter. He knows he made the right decision because the Agents stated this information was very valuable to the United States.

3. During a three year period (1981 to 1983) Mr. Steinbrenner again materially assisted the FBI in another national security matter. Mr. Steinbrenner was advised in 1981 that assistance was needed by a New York FBI Agent, [redacted]

[redacted] and other Agents in another undercover operation involving a

[redacted]

The undercover Agent assignment was [redacted]

[redacted]

b6  
b7C  
b7E

Through Mr. Steinbrenner and the Yankee Stadium Security Chief, undercover

Agent [redacted] was able to [redacted]

[redacted]

[redacted] Agent [redacted]

Undercover Agent [redacted]

[redacted]

[redacted] was  
[redacted] The Agent [redacted] testified  
at [redacted] where [redacted]  
[redacted] Prior to discovering [redacted]  
[redacted] Newspaper  
clippings in [redacted]  
the [redacted] trial (phonetic). A copy of the sentencing which appeared in  
the Tampa paper is attached.

b6  
b7C  
b7E

The undercover Agent allegedly said that the successful outcome of their investigation could not have been accomplished without the outstanding cooperation and the "get involved" approach of Mr. Steinbrenner.

4. In 1986 - 1987, the FBI and the New York City Police Department were planning to conduct a gambling raid primarily aimed at bookies and number men. They had about 400 arrest warrants and planned on making the arrests in a one day swoop. The top management of the FBI New York office called Mr. Steinbrenner asking if they could use Yankee Stadium as a round-up point to take the arrested individuals for processing.

Not considering the ramification and the possible retaliation by the arrested individuals, Mr. Steinbrenner again readily cooperated and made available the full facilities of Yankee Stadium for the Agents and NYCPD. This was during the winter months and several days prior to the round-up the FBI selected an alternate site due to the weather conditions.

5. There are numerous instances wherein Mr. Steinbrenner has been invited by the Department of Justice, FBI and local law enforcement agencies to speak before their respective law enforcement groups. Again on numerous occasions, as you are aware, he accepted the commitment.

JAN 03 1990

January 3, 1990

SSP

CLASS \_\_\_\_\_  
SRC'D \_\_\_\_\_  
SER \_\_\_\_\_  
REC \_\_\_\_\_

GEORGE MICHAEL STEINBRENNER, III

Captioned individual, who you advised was born on July 4, 1930, in Rock River, Ohio, was the subject of an Election Laws investigation by the FBI in 1973. This investigation resulted in Steinbrenner pleading guilty in August, 1974, to violation of Title 18, U. S. Code, Sections 3, 610 and 371 (unlawful contributions to election of Government officials and conspiracy to commit offense or to defraud United States). He was fined a total of \$15,000 on the above violations.

56-4737

In 1979 and 1986, Steinbrenner was the subject of application for Pardon After Completion of Sentence investigations by the FBI. His 1979 request for a Presidential pardon was denied. However, his 1986 request was approved and on January 18, 1989, he received a full and unconditional pardon by the President of the United States. Attached is a summary memorandum with additional information contained in our central files regarding Steinbrenner.

73-19114

The files of the FBI Identification Division reveal Arrest Record Number 383595M1 for George Michael Steinbrenner, a copy of which is attached. Appropriate computer data bases reveal no additional pertinent information regarding him.

Enclosures (2)

ENCLOSURE

1 - Mr. [redacted] Room 4371  
1 - Mr. [redacted]  
1 - Mrs. [redacted]  
73-19114

BJL:efk (5) *efk*

NOTE: Per request from [redacted]  
at the White House (Access).

b6  
b7C

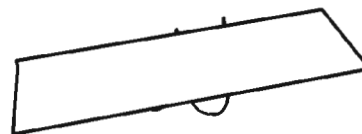
Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Off. Liaison & Int. Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☐

DELIVERED TO LONDON  
DATE 1-3-90 *tyo*

*bw/c 73-19114-66*

*3/9*





THE WHITE HOUSE  
WASHINGTON

438  
4  
DEC 20 1989

DEC 20 1989

December 19, 1989

(Date)

TO: FBI, LIAISON  
FROM:  b6  
SUBJECT: FBI Investigations b7C

Subject's Name STEINBRENNER, GEORGE MICHAEL, III  
Date of Birth 7/4/30 Place of Birth Rock River, OH  
Present Address 2502 Rock Point Rd., Tampa, FL 33607

We request: Copy of Previous Report

X Name Check NY

Expanded Name Check

Full Field Investigation

Limited Update

Other

The person named above is being considered for:

White House Staff Position

Presidential Appointment

X ACCESS

Attachments:

SF 86

SF 87, Fingerprint Card

SF 86, Supplement

In response to your request  
there are attached  
to the report

Remarks/Special Instructions:

73-19114-66  
PLEASE DO NEXIS CHECK ALSO

ENCLOSURE

Prospective members of the Corporate Advisory Committee  
of the Barbara Bush Foundation for Family Literacy

NEED BY FRIDAY December 22, 1989

GEORGE M. STEINBRENNER, III

Mr. Steinbrenner is the principal owner of the New York Yankees baseball team and Chairman of the American Ship Building Company. Born on July 4, 1930 in Rocky River, Ohio, he was an Air Force Officer from 1952-1954. Mr. Steinbrenner's address is 2502 Rocky Point Road, Tampa, Florida 33607.

Federal Bureau of Investigation  
Records/Operations Sections

FR UTD \_\_\_\_\_, 19\_\_\_\_

- ☐ Name Searching Unit, 4989, TL# 121  
☐ Service Unit, 4654, TL# 225  
☐ Special File Room, 5991, TL# 122  
☒ Forward to File Review, 5447, TL# 143  
☐ Attention \_\_\_\_\_  
☐ Return to \_\_\_\_\_

17 refs

b6  
b7C

Supervisor, Room, TL# , Ext.

## Scope of Search: (Check One)

- ☐ Automated Data Base - 5 & 20  
☐ Automated Data Base - 5 & 30  
☒ Unrestricted (ADB & Inactive Index)

DEC 20 1989

## Type of Search Requested:

- ☒ All References (Security & Criminal)  
☐ Security Search  
☐ Criminal Search  
☐ Main \_\_\_\_\_

References Only

## Special Instructions:

- ☐ Exact Name Only (On the Nose)  
☐ Buildup ☐ Variations  
☐ Restricted to Locality of \_\_\_\_\_

Subject  
AKA(s)

Steinbrenner, George Michael

Birthdate &amp; Place \_\_\_\_\_

SSAN \_\_\_\_\_

Localities \_\_\_\_\_

R#  
Prod.

Date

12/20

Searcher Initials

438

(12)

File Number

Serial

Ident

ADB

Inactive

Date of  
Ref M/Y

73-19114

T

190-64473

190-64115-1pl

-2pl

-4pl

George

95-189353

9-65813

105-10828-2315

156-10725

9-51625

DEC 22 1989

RSM  
(9)

Numerous Reference  
Search Slip

FR UTD

Subject

R#

Prod.

Steinbrenner, George Michael  
7 Date 12/20 Searcher Initials 738  
7

DEC 20

Ident

ADB

Inactive

Date of

Ref M/Y

File Number

Serial

George M.

56-4737

190-11609

197-3479

56-4778-1

-4p6

56-5007-4

190-29505-416p1

# Memorandum



To :

Date 6/22/88

Administrative Office of the  
U.S. Courts  
Attn: Mr. [redacted]  
811 Vermont Avenue, Room 723

Office of Vice President  
Attn: Mr. [redacted]  
Executive Office Bldg.  
Room 423

U.S. Marshall's Service  
Attn: Ms. [redacted]  
One Tysons Corner Center  
Room 201, McLean, Virginia

Office of Personnel Management  
Attn: Mr. [redacted]  
Office of Federal Investigations  
1900 E Street, Northwest

The White House  
Attn: Mr. [redacted]  
[redacted]

U.S. Department of State  
Attn: Mr. [redacted]  
2201 C Street, N.W., Room 2422

Office of Deputy Attorney  
General  
Attn: Mrs. [redacted]  
Main Justice, Room 4110

Office of Associate Attorney  
General  
Attn: Ms. [redacted]  
Main Justice, Room 4311

Office of Security Programs  
Attn: Mr. [redacted]  
Main Justice, Room 6525

Executive Office for U.S. Attys.  
Attn: Ms. [redacted]  
Patrick Henry Bldg.

Executive Office for  
U.S. Attorneys  
Attn: Mr. [redacted]  
Main Justice, Room 1619

X Office of the Pardon Attorney  
Attn: Mr. [redacted]  
PPB Bldg., Chevy Chase, Maryland

Executive Office for  
U.S. Trustees  
Attn: Mr. [redacted]  
HOLC Bldg., Room 812

Office for Professional  
Responsibility  
Attn: Mr. [redacted]  
Main Justice, Room 4306

Office of Intelligence Policy  
And Review  
Attn: Ms. [redacted]  
Main Justice, Room 6325

From Paul F. Nolan, Chief, Background Investigation Unit, FBIHQ, Room 4589

PFN/wld

Subject: GEORGE MICHAEL STEINBRENNER

Status of Investigation: Incomplete

Comments:

73-19114  
X Complete

## Memorandum



Exec AD Adm. \_\_\_\_\_  
 Exec AD Inv. \_\_\_\_\_  
 Exec AD LES \_\_\_\_\_  
 Asst. Dir.:  
 Adm. Servs. \_\_\_\_\_  
 Crim. Inv. \_\_\_\_\_  
 Ident. \_\_\_\_\_  
 Insp. \_\_\_\_\_  
 Intell. \_\_\_\_\_  
 Lab. \_\_\_\_\_  
 Legal Coun. \_\_\_\_\_  
 Off. Cong. & Public Affs. \_\_\_\_\_  
 Rec. Mgnt. \_\_\_\_\_  
 Tech. Servs. \_\_\_\_\_  
 Training \_\_\_\_\_  
 Telephone Rm. \_\_\_\_\_  
 Director's Sec'y \_\_\_\_\_

To : Joseph J. Jackson Section Chief  
Civil Rights and Special Inquiry

Date 6/28/88

From : \_\_\_\_\_ Unit Chief  
 by \_\_\_\_\_ PSS  
 Subject : General Background Investigation Unit

George Michall Steinbrenner  
Background Investigation  
Office of the Pardon Attorney  
 Buded 6-20-88  
 Bufile 73-19114

Clients initiating communication is dated 4/19/88.

This memorandum reflects;

☐ a partial transmission of information to the client agency;

☒ a closing and transmittal of information to the client agency without any previous transmissions; or

b6  
b7C

☐ a closing and final transmittal of information to the client agency in which partial transmittals have occurred

Communications transmitted are listed. Those previously transmitted are starred:

<u>HQ</u>	<u>LAM</u>	report dated <u>6/27/88</u>		report dated _____
		report dated _____		report dated _____
		report dated _____		report dated _____
		report dated _____		report dated _____

Because this investigation is ☒ sensitive and/or ☐ developed information that may reflect unfavorably upon the individual investigated, those individuals whose names are checked are asked to review and indicate they approve dissemination by initialing

☒ Supervisory Special Agent \_\_\_\_\_

☐ Unit Chief \_\_\_\_\_

☐ Assistant Section Chief \_\_\_\_\_

☐ Section Chief \_\_\_\_\_

73-19114

June 27, 1988

GEORGE MICHAEL STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF THE PARDON ATTORNEY

In response to the December 14, 1987 statement provided to the Pardon Attorney's Office by Attorney [redacted] on behalf of applicant, George M. Steinbrenner, the following is set forth detailing Steinbrenner's assistance to the Federal Bureau of Investigation (FBI):

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b7E

1) Pertaining to a sensitive security matter, it was determined that Mr. Steinbrenner was instrumental in providing

[redacted] Mr. Steinbrenner is Chairman of the Board. The file indicates that Mr. Steinbrenner assisted by offering

Another instance was in 1983, when Mr. Steinbrenner provided valuable assistance to the FBI [redacted]

Files disclosed that the support Mr. Steinbrenner provided enhanced the credibility of the UCA to the point the UCA was trusted implicitly by the subject of the investigation.

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Off. Liaison & Int. Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

Original to PAO by courier

6/27/88

MKS/jg (2)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

MAIL ROOM

6/28/88

George Michael Steinbrenner

During the course of the investigation,  
Mr. Steinbrenner also provided the FBI [redacted]

b7E

2) During 1978, Mr. Steinbrenner personally [redacted]

[redacted]  
information to the FBI.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

3) In January 1987, the FBI and the New York City Police Department (NYCPD) executed over 500 gambling raids against a major organized crime gambling syndicate in New York City. In planning the logistics for this operation, Mr. George Steinbrenner was asked to use the facilities at Yankee Stadium for the staging of this operation. Mr. Steinbrenner agreed to allow that facility to be utilized but another site was chosen as it was more adaptable for the purpose intended.

Based on a review of FBI files as set forth above, the FBI supports the contention that George Steinbrenner has provided the FBI with valuable assistance in matters of investigative importance.



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

~~SECRET~~

DATE: JUNE 1, 1988

TO: DIRECTOR, FBI (73-19114)  
(ATTN: INTD, CI-1A)  
FROM: ADIC, NEW YORK (73-3631) (I-2) (RUC)  
SUBJECT: GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY

This document is classified "~~Secret~~" in its entirety.

Reference FBIHQ airtel to New York, dated May 19, 1988.

Enclosed for FBIHQ is the original and six (6) copies  
of an LHM, dated May 31, 1988, captioned as above.

DECLASSIFIED BY uc/baw 60324  
ON 02-24-2011

~~SECRET~~

~~Classified by G-3  
Declassify on OADR~~

(2) - FBIHQ (Encls. 6)  
1 - New York (73-3631)  
(3)  
TJO:to

⊗  
390

6/98



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

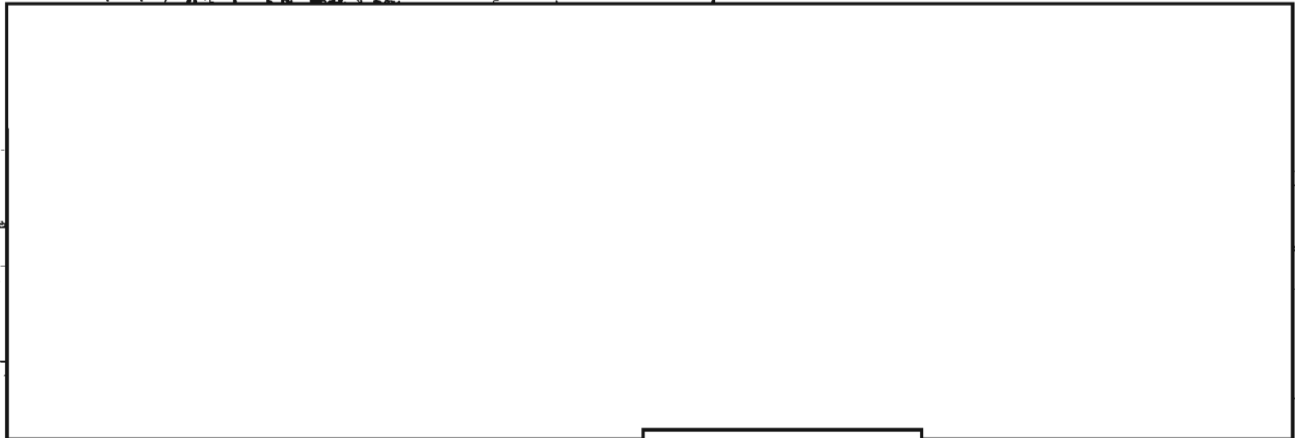
New York, New York  
May 31, 1988

~~SECRET~~

GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY

This communication is classified "~~SECRET~~" in its  
entirety.

The New York Office of the Federal Bureau of  
Investigation (FBI) has reviewed the cases in which petitioner,  
George Steinbrenner has provided assistance to the FBI in the  
past, and has determined the following:



(S)

b1

During the course of the [redacted] investigation.  
Mr. Steinbrenner also provided the FBI [redacted]

b7E



ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

DATE: 02-24-2011  
CLASSIFIED BY uc/baw 60324  
REASON: 1.4 (c)  
DECLASSIFY ON: 02-24-2036

~~SECRET~~

Classified by G-3  
Declassify on OADR

This document contains neither recommendations nor conclusions of  
the Federal Bureau of Investigation (FBI). It is the property of  
the FBI and is loaned to your agency; it and its contents are not  
to be distributed outside your agency.



Bicentennial of the United States Constitution (1787-1987)

~~SECRET~~

The second instance in which Mr. Steinbrenner assisted the FBI was in an investigation titled, [REDACTED] (UNDERCOVER OPERATION), OO:NY," an undercover operation which ultimately lead to the arrest, prosecution and conviction (in a [REDACTED])

b1

(S)

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b7C

(S) [REDACTED] Based upon an extensive debriefing of the UCA in the case, it is the finding of the FBI that Mr. Steinbrenner provided invaluable support to the operation, specifically in [REDACTED]

b1

According to the UCA, when Mr. Steinbrenner learned that the FBI was in need of assistance, he instructed the Chief of Security for the New York Yankees that the FBI was to have any and all help that it requested in furtherance of its investigation. The UCA added that in his opinion, the bona fides [REDACTED]

b6  
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b7E

[REDACTED] The UCA emphasized [REDACTED] directly under the purview of George Steinbrenner.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

A review of the New York Office files bears out the contention made by George Steinbrenner in his petition for pardon that he has provided the FBI with extremely valuable assistance on both of the occasions cited.

~~SECRET~~

~~SECRET~~

June 15, 1988

GEORGE MICHAEL STEINBRENNER  
APPLICATION FOR PARDON AFTER COMPLETION  
OF SENTENCE

This communication is classified ~~SECRET~~ in its entirety.

During 1978, Mr. Steinbrenner was requested by the Federal Bureau of Investigation (FBI) to assist the FBI in

b7E  
b7D

information to the FBI.

~~SECRET~~

Classified by G-3  
Declassify on: OADR

DECLASSIFIED BY uc/baw 60324  
ON 02-24-2011

Exec AD Adm. 1 - Mr. [redacted]  
Exec AD Inv. (Attn: Mr. [redacted])  
Exec AD LES 1 - Mr. [redacted]  
Asst. Dir.: 1 - Mr. [redacted]  
Adm. Servs. 1 - 73A-19114  
Crim. Inv. 1 - 199-4888  
Ident. 1 -  
Insp. SAE/vib (8)  
Intell. [redacted]  
Lab. [redacted]  
Legal Coun. [redacted]  
Off. Cong. & Public Affs. [redacted]  
Rec. Mgnt. [redacted]  
Tech. Servs. [redacted]  
Training [redacted]  
Off. Liaison & Int. Affs. [redacted]  
Telephone Rm. [redacted]  
Director's Sec'y MAIL ROOM [redacted]

b6  
b7C

~~SECRET~~



U.S. Department of Justice

Federal Bureau of Investigation

~~SECRET~~

Washington, D.C. 20535

June 14, 1988

GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY

This entire communication is classified "~~Secret~~"

The New York Office of the Federal Bureau of Investigation (FBI) has reviewed the cases in which petitioner, George Steinbrenner has provided assistance to the FBI in the past, and has determined the following:

(S)

b1

During the course of the investigation,  
Mr. Steinbrenner also provided the FBI

b7E

DATE: 03-07-2011  
CLASSIFIED BY uc/baw 60324  
REASON: 1.4 (c)  
DECLASSIFY ON: 03-07-2036

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

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recommendations nor conclusions of  
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the FBI and is loaned to your agency;  
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distributed outside your agency nor  
duplicated within your agency.

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Classified by: G-3  
Declassify on: QADR

~~SECRET~~

~~SECRET~~

RE: GEORGE M. STEINBRENNER

The second instance in which Mr. Steinbrenner assisted the FBI was in an investigation concerning an undercover operation which ultimately lead to the arrest, prosecution and conviction [REDACTED]

Based upon an extensive debriefing of the UCA, it is the finding of the FBI that Mr. Steinbrenner provided invaluable support to the operation, specifically in helping to establish [REDACTED]

b6  
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b7E

According to the UCA, when Mr. Steinbrenner learned that the FBI was in need of assistance, he instructed the Chief of Security for the New York Yankees that the FBI was to have any and all help that it requested in furtherance of its investigation. The UCA added that in his opinion, the bona fides [REDACTED]

[REDACTED] The UCA emphasized [REDACTED] directly under the purview of George Steinbrenner.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

A review of the New York Office files bears out the contention made by George Steinbrenner in his petition for pardon that he has provided the FBI with extremely valuable assistance on both of the occasions cited.

~~SECRET~~

~~SECRET~~

# Memorandum



DATE: 7/12/86

TO: ☐ OFFICE OF THE ASSOCIATE ATTORNEY GENERAL  
ATTN: MS. [REDACTED]  
MAIN JUSTICE, ROOM 4311

☐ OFFICE OF INTELLIGENCE POLICY & REVIEW  
ATTN: MS. [REDACTED]  
MAIN JUSTICE, ROOM 6325

☒ THE PARDON ATTORNEY  
ATTN: MR. [REDACTED]  
PPB BUILDING

☐ ADMIN. OFFICE OF U.S. COURTS.  
811 VERMONT AVE, NW, ROOM 647A  
WASHINGTON, DC  
ATTN: MS. [REDACTED]  
OFFICE OF THE DIRECTOR

☐ OFFICE OF ASSOC. ATTORNEY GENERAL  
ATTN: MRS. [REDACTED]  
MAIN JUSTICE, ROOM 4110

☐ EXECUTIVE OFFICE FOR U.S. ATTORNEYS  
ATTN: MR. [REDACTED]  
MAIN JUSTICE, ROOM 1619

☐ OFFICE OF SECURITY PROGRAMS  
ATTN: MR. [REDACTED]  
MAIN JUSTICE, ROOM 6525

☐ DEPARTMENT OF ENERGY  
OFFICE OF SAFEGUARDS & SECURITY  
GERMANTOWN, MD.  
ATTN: [REDACTED]

b6  
b7C

FROM: PAUL F. NOLAN, CHIEF  
PFN/FR BACKGROUND-INVESTIGATION UNIT  
FBI HEADQUARTERS, ROOM 5136

SUBJECT: GEORGE MICHAEL STEINBRENNER

STATUS OF INVESTIGATION:

☐ INCOMPLETE

☒ COMPLETE

COMMENTS:



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

New York, New York  
June 8, 1988

George Steinbrenner  
Expungement Matter

In January, 1987 the FBI and the New York City Police Department (NYCPD) executed over 500 gambling raids against a major organized crime gambling syndicate in New York City. In planning the logistics for this operation Mr. George Steinbrenner was asked to use the facilities at Yankee Stadium for the staging of this operation. Mr. Steinbrenner agreed to allow that facility to be utilized but another site was chosen as it was more adaptable for the purpose intended.

This document contains neither recommendations nor conclusions of the Federal Bureau of Investigation (FBI). It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.



UNCLASSIFIED

April 20, 1988

Mr. [REDACTED]

RE: REQUEST FOR PARDON  
GEORGE MICHAEL STEINBRENNER, III

On April 19, 1988, we received a request from [REDACTED] Pardon Attorney, to verify information submitted by Steinbrenner's counsel, [REDACTED] which states that Steinbrenner provided valuable assistance to the Federal Bureau of Investigation (FBI) over an 11-year period (1976 to 1987).

We previously prepared a memorandum on July 21, 1986, pertaining to Mr. Steinbrenner's, "Support of the United States National Security Activities." Based on the new information provided by Mr. Steinbrenner, through his counsel, the Pardon Attorney is requesting that we reassess Mr. Steinbrenner's assistance to the FBI.

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b7C

In 1979, the FBI completed a background investigation on Steinbrenner in response to his petition for pardon, which was subsequently denied in 1981. Likewise, Steinbrenner was reinvestigated in 1986 upon his second pardon request, which has not been adjudicated to date.

You will be kept apprised of this matter as it progresses.

- [REDACTED]
- 1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]  
1 - Mr. [REDACTED]

MKS:tlp (9)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 204

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# Memorandum

100 - 35



## Subject

George Michael Steinbrenner  
FBI No. 383 595 MI  
Applicant for pardon

## Date

March 12, 1986

FEDERAL GOVERNMENT

## To

Director, FBI  
Att'n: Paul F. Nolan, Chief  
Background Investigation  
Unit  
Room 5136, J.E.H. Bldg.

## From

David C. Stephenson  
Pardon Attorney

Enclosed is a copy of a new pardon application filed by subject, whose earlier petition was investigated by your Bureau in 1979. The closing report of that inquiry was made by [REDACTED] of your Pittsburgh office, and was dated April 23, 1979.

b6

I would appreciate your authorizing a supplemental investigation to bring the inquiry up-to-date and furnishing two copies of the report to this office when the investigation has been completed.

Please note that we have attached five character affidavits. It is requested that all character affiants be interviewed.

DE-79

73-17114-13

1-ENCLOSURE

64 FEB 22 1988

p2 9664

# PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

DEC 26 AM 10 29

8603140

Petitioner shall typewrite or print the answers in ink or ballpoint pen. Each question must be answered fully, truthfully, and accurately. If the space for any answer is insufficient, petitioner may complete his answer on an attachment. Submission of false information is punishable by imprisonment of up to five years and a fine of not more than \$10,000. 18 U.S.C. 1001.

## THE PRESIDENT OF THE UNITED STATES:

The undersigned petitioner prays that he be granted a pardon and in support thereof states as follows:

### PRELIMINARY INFORMATION

1. State full name: George Michael Steinbrenner III  
First Name Last  
 Address: [REDACTED] Tampa Florida 38618  
No. Street City State Zip Code  
 Telephone number (include area code): (W) 813/886-7130 (H) [REDACTED]  
 Social Security No. [REDACTED] Date of Birth July 4, 1930  
 Are you a United States citizen? Yes If not, state nationality and give alien registration number: George M. Steinbrenner III

If you have ever been known by any other name, state in full every other name by which you have been known, including names under which you were convicted, the reason therefor, and the inclusive dates so known, and, if married woman, give maiden name:

None

Marital status: Married

For each marriage give the following: Name of spouse, date and place of marriage, and if applicable, date and place of divorce:

List your children by name and age: [REDACTED]

If not supporting family, explain:

### OFFENSE(S) FOR WHICH PARDON IS SOUGHT

2. Petitioner was convicted in the United States District Court for the Northern  
(Eastern, Western, etc.)  
 District of Ohio Cleveland on a plea of  
(State) (City)  
Guilty of the crime of conspiracy to make corporate campaign con-  
(Guilty, not guilty, etc.) (Describe specifically) tributions in violation of and was  
 sentenced on August 30 19 74 to N/A the fact for  
(length of sentence) N/A and/or to pay a fine of \$15,000 Petitioner was  
43 years of age when the crime was committed

73-1974-13



(2)

If conviction was appealed, complete the following paragraph:

3. Petitioner appealed to the United States Court of Appeals, where the judgment was affirmed on \_\_\_\_\_, 19 \_\_\_\_\_. An appeal \_\_\_\_\_ taken to the Supreme Court. The Supreme Court \_\_\_\_\_ a petition for writ of certiorari on \_\_\_\_\_, 19 \_\_\_\_\_. If \_\_\_\_\_ (granted, denied) certiorari was granted, the judgment was affirmed on \_\_\_\_\_, 19 \_\_\_\_\_. If case was published, give citation(s): \_\_\_\_\_
4. Petitioner began service of his sentence on \_\_\_\_\_, 19 \_\_\_\_\_; was released on \_\_\_\_\_, 19 \_\_\_\_\_ from \_\_\_\_\_ and was finally discharged by expiration of sentence on \_\_\_\_\_, 19 \_\_\_\_\_. The fine \_\_\_\_\_ (has, has not) been paid in full. If not, explain why: \_\_\_\_\_

5. Give a detailed account of the offense including dates (or time span) of the offense, names of codefendants and, where applicable, the amount of money involved and whether restitution has been made. You are expected to describe the factual basis of your offense completely and accurately and not rely on code or name reference only. If your conviction resulted from a plea agreement, describe fully the extent of your total involvement in the criminal transaction as alleged by the prosecution not limiting yourself to the conviction itself.

See Memorandum in Support of the Petition for Pardon

#### RESIDENCES

6. List every residence you have had within the last 10 years. Begin with present and go back.

From	To	Number and Street	City	State
1975	Present	[REDACTED]	Tampa	Florida

b6

## EMPLOYMENT

7. Name and address of employer: American Shipbuilding Company - 2502 Rocky Point Road, Tampa, Florida 33607  
 Type of business: Shipbuilding Your position: Chairman of the Board  
 Dates of employment: From August 18, 1967 to present.

## LIST BELOW ALL PRIOR EMPLOYMENTS FOR THE LAST 10 YEARS

Name and address of employer: New York Yankees, Yankee Stadium, Bronx, New York  
 Type of business: Baseball Your Position: Principal Owner & General Partner  
 Dates of employment: From 1972 to Present

Name and address of employer: \_\_\_\_\_

Type of business: \_\_\_\_\_ Your position: \_\_\_\_\_

Dates of employment: From \_\_\_\_\_ to \_\_\_\_\_

Have you ever been discharged from employment for any reason? No (yes/no). Have you ever resigned after being informed that your employer intended to discharge you for any reason? No (yes/no). If you answered yes to either question, explain fully: \_\_\_\_\_

## PRIOR AND SUBSEQUENT CRIMINAL RECORD

8. Have you ever been arrested, taken into custody, held for investigation or questioning, or charged by any law enforcement authority, whether Federal, state, local or foreign, either as a juvenile or adult? (yes/no). For each incident list date, nature of offense charged, law enforcement authority involved, location and disposition (Any omission will be construed as falsification):

None other than noted in question #2

## CIVIL AND FINANCIAL INFORMATION

9. Are you in default in any way, in the performance or discharge of any debt or obligation imposed upon you? No (yes/no). Within the last 10 years, has any lien (including Federal or state tax liens) been filed against you, or have you been a party to any lawsuits or bankruptcy proceedings? Yes (yes/no). Do you have any pending matters with the Federal or state governments? Yes (yes/no). If you answered yes to any question, explain fully:

I am involved in numerous business ventures. With some, I actively participate in their management; with most, however, I do not. Some of these business ventures have been involved in adversary proceedings. All the adversary proceedings are civil proceedings. In some of these proceedings, I was named as a party. I am also currently undergoing an Internal Revenue Service audit. A complete list of all current adversary proceedings in which I have been named is attached.

(4)

### MILITARY RECORD

10. Have you ever served in the armed forces of the United States: Yes (yes/no). If so, state nature of your separation(s) from the service: Honorable (if other than honorable, specify type thereof and circumstances surrounding your release(s):

List inclusive dates of service: 1952-1954

- b6 Serial number(s): [REDACTED] Branch(es) of service: U.S. Air Force While serving in the armed forces, were any charges or complaints ever made or filed against you, or were you the recipient of non-judicial punishment, or the defendant in any court-martial? No (yes/no). If yes, state fully the nature of the charge, relevant facts, disposition of the proceedings, the date thereof, and the name and address of the authority in possession of the records thereof. (If you were convicted of an offense by court-martial, complete, with appropriate modifications, paragraph 2 also):

### REASONS FOR PARDON

11. State briefly your reasons for seeking a pardon (You are not expected to reargue your case, assert innocence or otherwise attack the validity of your conviction.):

See Memorandum in Support of the Petition for Pardon

12.

### CERTIFICATION AND PERSONAL OATH

I hereby certify that all answers to the above questions and all statements contained herein are true, and I understand that any misstatements of material facts contained in this petition will cause adverse action on my application for Executive clemency in addition to any other penalties provided by law.

In petitioning the President of the United States for pardon, I do solemnly swear that I will be law-abiding and will support and defend the Constitution of the United States against all enemies, foreign and domestic, and that I take this obligation freely and without any mental reservation whatsoever, So Help Me God.

RECEIVED  
DEPT. OF JUSTICE

6 MAR 7 4 8 : 42

PARDON ATTORNEY

Respectfully,

[Signature]  
(Signature of petitioner)

Subscribed and sworn to before me this 18 day of January, 19 86

[Signature]  
Notary Public

Notary Public: State of Texas  
My Commission Expires 12-31-88

GEORGE M. STEINBRENNER, III

PENDING LEGAL ACTIONS

JANUARY 28, 1986

(ANSWER TO QUESTION 9)

OPPOSING PARTY

COURT & CASE NUMBER

Florida Cities Publishing Co.

Circuit Civil,  
Hillsborough  
County, Florida  
83-9815 Division  
"W"

Claevely, Beeler & Jacksonville  
Monthly

District Court of  
Appeal  
Second District of  
Florida  
85-1309

St. Philips Towing Co.

U.S. District Court  
Tampa Division  
83-1464-Civ-T-15

Haught, Poston, Forlezo, et al.

U.S. District Court  
Tampa Division  
81-1091-Civ-T-17

Bruce Poston and Chemical Bank

U.S. District Court  
Southern District of  
New York  
82-Civ-0894

Ed Linn and CBS, Inc.

Supreme Court of  
New York  
County of Bronx  
Index No. 8098/84

Peavey Company and ConAgra, Inc.

U.S. District Court  
Western District of  
New York  
Civ 84-0878

Commissioner of Internal Revenue

U.S. Tax Court  
Docket No. 27952-83

United States of America

U.S. Court of Claim  
52-83T

UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

George Michael Steinbremer III  
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Tom McIlven, residing at [REDACTED] Tampa, Florida  
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Sports Editor of the Tampa Tribune  
certify that I have personally known the petitioner for 12 years. Except as otherwise indicated below,  
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's  
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public  
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

Notary Public.

AFFIDAVIT OF TOM MCEWEN

I, Tom McEwen, hereby state as follows: This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

1. My name is Tom McEwen and I reside at [REDACTED]

[REDACTED] Tampa, Florida.

2. I am the Sports Editor of the Tampa Tribune.

3. I have known George since he came to Tampa to buy the Tampa Shipyard in 1974. I consider George a close friend.

4. I have seen George in all capacities, in all moods and in all circumstances. I have dealt with George on business, professional and social levels as well as having maintained a close friendship. Our families are also close

[REDACTED]

George is an experienced and tough businessman but he is also fair and accomodating.

6. As a sports editor I have also dealt with George professionally, covering his activities with the Yankees, the Tampa horsetrack, which he bought soon after coming here, and his numerous other sports involvements. In his dealings with the press, George has always been largely available and aware of the value of news. Although George, himself, often provides much to write about, so far as I know, he has never exploited the press.

7. I feel I know all sides of George. I know that he can, at times, be autocratic and firm and he is not without a temper. I also know that he is an absolute sap for a sad story: children, family, sports and the American flag move him. Unlike many other men, George backs up his emotion with action. George's charitable contributions are vast and he has many times gone the extra mile to help out a worthy cause.

8. For example, a few years ago Lou Piniella, a former Yankee player and manager who is from Tampa, retired. Lou had, in a way, got his start in baseball from the Boys Clubs of Tampa. Well, George threw a big fund raiser banquet for Lou and had many of the Yankee players attend. Fifty-five thousand dollars was raised with which the Boys Club used to build a much needed office center and recreation complex.

9. And when the Super Bowl was played here in Tampa several years ago, George threw his full support behind the NFL

Alumni Club. At his own expense he brought the Marine Drum and Bugle Corp. and other entertainment to the NFL Alumni Dinner which was able to raise \$400,000 for charitable causes nationally and in this area e.g. USO, Childrens Home.

10. Another example of George's never ending compassion and generosity occurred just last month. George and I attended the J.C. Penney mixed team golf tournament near here, visiting with the men and women pros, many of whom he had hosted at Yankee Stadium. I re-introduced him to Chi Chi Rodriguez and told him of a Rodriguez program underway in our area in which abused children are day-cared by his foundation.

The children spend their days working on a golf course that the City of Clearwater donated to the foundation. Moreover, another course is being built and will serve as a learning place for the abused kids. About 20 were following Chi Chi at the time. George immediately said he wanted to help. He promised that when the Yankees come to the area next March to play the Toronto Blue Jays he would host the kids, give them Yankee keepsakes and give the foundation his share of the game receipts--about \$20,000.

11. George also has a great romance with high school and college sports and has done much to help them out. George is one of the few major league owners that brings his ballclub to play at different universities during preseason. By doing so, George has helped many universities raise enough money to



purchase lights for the stadium that they otherwise would not be able to do.

12. George has also been very supportive of local causes. Here, in Tampa, George formed an organization that provides funds for the widows and orphans of Tampa police and firemen killed in the line of duty.

13. Moreover, George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affects of drugs, on poverty and the way to help eliminate it. He dwells on achievement and Yankee pride. He is a forceful speaker and widely sought. For example, he recently spoke to the Notre Dame football squad. Although the day he spoke was the day after the coach was fired, he fired the team up with his speech.

14. I know of George's prior felony conviction and his suspension from baseball for two years as a result of the conviction. I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal friendship, that relief of the pain and suffering of the felony would mean more to him than anything else. I can also say that since he came into my world, to Tampa, he has been a mighty contributor to that which

is good and wholesome. George Steinbrenner is a fine man, fine father, fine citizen. In my judgment a pardon not only is deserved but also would be most judicious. If he had time to serve, he has served it.

Signed under the pains and penalties of perjury.

*Tom McEwen*  
Tom McEwen

Subscribed and sworn to before me this 28<sup>th</sup> day  
of January, 1986.

*Harry Whitcomb*  
Notary Public

My commission expires:  
Notary Public, State of Florida  
My Commission Expires July 12, 1989

UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

George Michael Steinbrenner III  
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Albert W. Bernstein, residing at [REDACTED] Cleveland, Ohio  
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Restaurant Owner  
certify that I have personally known the petitioner for 30 years. Except as otherwise indicated below,  
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's  
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public  
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT.

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

\_\_\_\_\_  
(Signature of Affiant)

\_\_\_\_\_  
Notary Public.

## AFFIDAVIT OF ALBERT W. BERNSTEIN

I, Albert W. Bernstein, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

1. My name is Albert W. Bernstein and I reside at [REDACTED]

[REDACTED] Cleveland, Ohio 44102.

2. I am in the business of restaurants. I own a chain of restaurants called the Pewter Mug.

3. George Steinbrenner is a very close, personal friend of mine and has been for a long time. I have known George for 30 odd years.

4. I first met and became friendly with George years ago when we were both young men in Cleveland. The Mayor at that time had selected a number of choice, promising, young men to form a group that would assist the city. I was one of those selected. George was selected to be chairman. The group, which was called Group 66, was formed to help raise money for and promote the city. Under George's tutelage Group 66 did a lot of good for the city. It was a real loss for the City of Cleveland when George left and moved to Tampa and New York.

5. Even after George moved away from Cleveland we remained close friends. We keep in touch quite often and we are able to visit each other through his trips to Cleveland and my occasional trips to Tampa. [REDACTED]

7. George and I have never grown apart and we have maintained a close personal relationship. Anytime one of us has a big family event, the other is there. [REDACTED]

b6

8. George has done so many tremendous things that this would be a very long affidavit if I were to relate them all. Many of these, however, no one knows about because George is not concerned with publicizing them.

9. As an example, one day about 12 to 14 years ago George came to me and said "You know Al, everyone is always talking about how we should do something for the bad kids, but no one ever says we should do something for the kids that stay good." And with that, George decided to fly the entire Bay Village High School Basketball Team to New York City so that they could attend the N.I.T. playoff games. He flew the entire team and their coaches to and from New York, paid for their hotel and even treated them to a Broadway show.

10. And as another example, during the time when George was living in Cleveland he found out that the horses used by the Cleveland Police Department were getting old. So he just went out and bought 6 - 7 horses for the Cleveland Police Department without making a big deal out of it. As George was

not concerned with publicizing the event, not many people ever knew.

11. George is extremely generous and thoughtful. In addition to his vast civic and charitable contributions, George's generosity is ample on a personal, individual level.

I can cite an example that occurred just last month. Many years ago when the Cleveland Indians were in their prime - about 1948 to 1954 - they had an outstanding, big strong pitcher named Mike Garcia. Garcia was something. He weighed about 250 lbs, had arms like tree trunks and could throw a fast ball like no one else. After a number of years Garcia retired, tried his luck with a dry goods business and fell upon hard times. Garcia then became very ill and today he is, basically, on his last legs. Last night, here in Cleveland, there was a big benefit affair to help Mike Garcia. George very much wanted to be there but could not because he had to speak at the Notre Dame Football banquet in South Bend. Most of the big Cleveland businessmen were there and everyone contributed to the cause. The majority of us gave around \$100, but not

George. George sent in a check for \$8,000. It was incredible. Here is a guy who moved away from the Cleveland area over 12 years ago, owns a competitor major league baseball team but still cared enough to send \$8,000 to help a former Cleveland Indian ball player. There is not a more thoughtful or generous guy in the world.

12. George is also a brilliant public speaker and gives quite a few speeches around the country. Most often George

receives a healthy remuneration for his speaking efforts. Every time George receives such remuneration, he donates the money to the Boys and Girls Clubs or to some other charitable group in the city in which he speaks.

13. Another example of his generosity and integrity concerns the money that George received for those commercials with Billy Martin. All of George's share was donated to various Boys and Girls Clubs.

14. George is also the type of man who inspires trust. George is sincere. People have no qualms about trusting George. I remember when he decided to buy his father's business. Because of his young age and lack of major credit history, he was unsuccessful in borrowing money from banks in Cleveland. So he went to New York City to visit an old ~~classmate who was a vice-president of a bank.~~ Sure enough, after George talked with his friend and told him of his plans, he came back with enough money to buy his father's business.

15. Although I am aware of George's felony conviction in the mid 1970's, I really do not know many of the details. George took the conviction hard and did not discuss it much. I do know, however, that George has not had any trouble with any public or private authority since then.

16. George is a wonderful and generous man. There is not another guy like him in the world. He fully deserves the pardon he seeks.

Signed under the pains and penalties of perjury,

  
Albert W. Bernstein

Subscribed and sworn to before me this 16 day of  
January, 1986.

  
Notary Public

My commission expires:

DONALD E. BERNARD, Notary  
NOTARY PUBLIC - STATE OF OHIO  
My commission has no expiration date  
Section 147.02 B.C.



UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

b6

George Michael Steinhilber, III  
(print or type name of petitioner)

*In support of the application of the above petitioner to the President of the United States for pardon.*

I, Bishop Edwin Broderick residing at [REDACTED] New York, New York 10021  
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Bishop

certify that I have personally known the petitioner for 15 years. Except as otherwise indicated below, he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's reputation, conduct and activities, including whether he has been arrested or had any other trouble with public authorities and has been steadily employed, is as follows:

SHE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

Signature of affiant

Notary Public.

O O

AFFIDAVIT OF BISHOP EDWIN B. BRODERICK

I, Bishop Edwin B. Broderick, hereby state as follows:  
This Affidavit is submitted in support of George Steinbrenner's  
Petition for pardon submitted to the President of the United  
States.

b6

1. My name is Bishop Edwin B. Broderick and I reside at  
[REDACTED] New York, New York 10021.

2. I have known George Steinbrenner for over fifteen  
years.

3. I first met George at a New York Yankee welcome home  
dinner where I gave the invocation. George came up to me  
afterwards and told me how much he had liked what I said. I  
told him that I had been a crazy Yankee fan since growing up in  
the South Bronx. Since that dinner George and I have been  
friends.

~~4. My relationship with George has been primarily~~  
social. I speak to him fairly often; talking to him on the  
phone or going out to dinner.

5. I have a high opinion of George. George is a standup  
guy. He is frank and honest. ~~I know his friends and like them~~  
also. I find George completely socially acceptable.

6. I know that George is very charitable. George is  
generous to those causes which he considers worthwhile. He has  
been very generous to our church. I can always count on him to  
buy a ticket or seat for a benefit. George is also very good  
at having kids admitted to ball games and at taking on projects  
to help the disadvantaged.

7. I also know another side of George of which he is rarely credited. I know that George has a true sense of compassion that is deeper than any generous contribution. For example, when Roger Marris died several weeks ago, George felt that something should be done in New York to honor Roger's memory. Not only did he organize a special memorial service for the people of New York, [REDACTED]

b6

8. I know of George's prior felony conviction for illegal campaign contributions. I fully believe, however, that in the ensuing eleven years George has paid his penance. He has atoned for his actions. He has served society well.

9. I know George person to person. George is a good person. He is an honest guy. He is worthy of the pardon which he seeks.

Signed under the pains and penalties of perjury.

*Edwin B. Broderick*  
Bishop Edwin B. Broderick

Subscribed and sworn before me this 27<sup>th</sup> day of JANUARY, 1986.

*James E. Thom*  
Notary Public

My Commission expires

JAMES E. THOM  
Notary Public, State of New York  
No. 33-0315200  
Qualified in Queens County  
Certificate Filed in Suffolk, Queens,  
Kings, Bronx & New York Counties  
Commission Expires March 30, 1986

AFFIDAVIT OF EDDIE G. ROBINSON

I, Eddie G. Robinson, hereby state as follows:

This affidavit is submitted in support of George Steinbrenner's petition for pardon submitted to the President of the United States.

1. My name is Eddie G. Robinson and I reside at [REDACTED]

b6 [REDACTED] Grambling, Louisiana.

2. My profession is sports. I am the Athletic Director and head football coach of Grambling State University and have been since 1941.

3. I have known George since 1976. A mutual goal --helping the youth of America--got us together. Since 1969, Grambling State University and Morgan University have played a benefit football game in Yankee Stadium in order to raise money for a worthwhile project called The Storefront Academy. The Storefront Academy was an innovative project to help juvenile delinquents finish school. The game was sponsored by the Urban League and others and each year we were able to fill Yankee Stadium, thereby providing substantial funds for the project.

In 1976, however, we were told that the Urban League could no longer sponsor the game. We did not know what to do. We very much wanted to continue helping the project--as well as provide a unique experience for our students--but the project was just too big for Grambling and Morgan to take on alone.

4. In desperation, I called Howard Cosell and told him of our problem. When he heard that our goal was helping American

youth, he said "Eddie, with George Steinbrenner you might just have your man." Well, I placed a call to Yankee Stadium and was able to set up an appointment with George to discuss the matter.

5. Right from the start, I was impressed with the genuineness of George Steinbrenner. When we first met, I said "Nice to meet you, Mr. Steinbrenner," to which he instantly replied "My friends call me George."

6. I was most impressed with George's obvious deep commitment to helping the American youth. George's commitment does not stay buried in good intentions. George is a man of action; a man who wants to see accomplishments. I remember the first meeting we had to organize the following year's game. Representatives from Grambling State University, Morgan University and the Urban League were all there. I remember right at the beginning George turned to the Urban League folks and said "I am concerned with the money that will go to the Urban League. I want to make sure the money will get to the kids. I want to see results."

7. George was able to see those results. Because the game was allowed to continue in Yankee Stadium, a lot of kids graduated high school who otherwise would never have made it.

8. I want to make clear that George's commitment to helping the American youth consists of much more than signing a check. Yes, his financial contributions are vast and are very

much appreciated. But it is his personal interest that dominates the commitment. George goes out and becomes personally involved in helping the kids. He often travels around the country in order to talk with the kids. And, boy, can he talk. George is a tremendous speaker and is really able to reach the kids, to move them and to inspire them.

9. George's commitment to helping underprivileged youth--both minority and white--is evident in almost everything he does. For example, every year George brings the Yankees to New Orleans to play an exhibition game. One of his primary reasons for doing this is to allow the youngsters of Louisiana to come see the New York Yankees play. And every year George makes sure that underprivileged youths work as batboys for the game.

10. More than know of George's achievements, I know George as a friend. Since I first met him in 1976, I have come to know George and to be his friend.

11. George is a true friend. When I won my 300th college game, George was the first to call to congratulate me. When Grambling had "Eddie Robinson Day", George was there. And when George brought the Yankees to New Orleans to play an exhibition game, he invited me down to spend three days with him. George is someone I can talk to and confide in.

12. I really do not know much about George's prior felony conviction; but, I do know George. I have feasted with him,

drank with him, argued with him and have had long soul-searching discussions with him. I know the man and in my book, the man is the best there is. The man is a solid American. The man is an inspiration. The man loves people. The man is a fine man.

Signed under the pains and penalties of perjury.

*Eddie G. Robinson*

Eddie G. Robinson

SWORN to and SUBSCRIBED before me by Eddie G. Robinson  
this 23 day of Feb., 1986.

*[Signature]*  
Notary Public

My Commission Expires: life term

AFFIDAVIT OF PHIL CARUSO

I, Phil Caruso, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is Phil Caruso and I reside at [REDACTED] Sayville, New York.

2. I am a policeman by profession. I have been with the New York Police Department for 27 years.

3. Currently, I am also the president of the Patrolman's Benevolent Association (PBA). The PBA acts, essentially, as the police officer's union. It has 20,000 active members and 15,000 retirees. The office of president is an elected office. I have been serving as president for a little over five years.

4. I first met George Steinbrenner about five years ago when he founded the Silver Shield Foundation. The Silver Shield Foundation is a fund that provides college scholarships to children of police officers killed in the line of duty.

5. How the foundation was started, I think, truly describes the real George Steinbrenner. About five or six years ago there was a cop killed in the line of duty. The officer and his family had been big Yankee fans. They had followed the Yankees with almost religious devotion. The PBA approached George Steinbrenner to ask if the family could come



to Yankee Stadium, see a game and meet him. George said he would be happy to have the family as his guest and when the family came to the game, he gave them the red carpet treatment. After meeting the family, however, George was very taken with the children and their plight. He decided that he wanted to do more than just entertain them. So, that very night, George made a promise to help out the families of slain officers.

6. George Steinbrenner made good on his promise. He not only founded and set up the Silver Shield Foundation but also went out and obtained commitments from 100 businessmen to contribute \$1,000 a year to the Foundation. Moreover, he himself pledged all of the proceeds of one Yankees game a year to the Foundation, which is a pretty hefty amount. With such strong support, the Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance.

7. Since the start of the Foundation, I have had an ongoing relationship with George. I feel that I know the other side of George. Sure, I have heard that George is a tough guy to work with. But there is definitely a compassionate and caring side to George.

8. I have been a cop for a long time. I have been trained to "size people up" and, over the years, have had to do so on a regular basis as part of my job. I think that I am a

pretty good judge of character. After 27 years, I know when someone is "full of it" and when someone is true. With George I have no doubts. I have a very high regard for George. George is a straight-shooter. He is honest and candid. His integrity is unimpeachable.

9. I really do not have any personal knowledge of George's prior felony conviction. I do, however, know that there is no element of criminality to George's character. George is genuinely decent person. He is a true pillar of our community. He is most definitely worthy and deserving of a pardon.

Signed under the pains and penalties of perjury.

  
Phil Caruso

Subscribed and sworn to before me this 23 day of

  
January 1986

  
Notary Public

My commission expires:

3/30/87

RICHARD HARTMAN  
Notary Public, State of New York  
No. 30-169620  
Qualified in Nassau County  
Commission Expires March 30, 1987

AFFIDAVIT OF JOHN W. GALBREATH

I, John Wilmer Galbreath, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is John Wilmer Galbreath and I reside at [REDACTED] Galloway, Ohio.

2. My primary business is real estate. I have been in the real estate business since the 1920s.

3. I also have a great interest in sports. I have owned the Pittsburgh Pirates baseball team since 1944.

4. I have known George since he came into baseball, through his purchase of the New York Yankees, in the mid 1970s. My primary relationship with George has been in the capacity of baseball team owner.

~~5. Since I have known him, George has been tops in every~~  
way. In all my associations with George, I have never had occasion to question his integrity.

6. I see George several times a year at Major League Baseball owner's meetings and at other baseball events.

7. In all his dealing with me, and as far as I know with other team owners, George has been upright and straight-forward. George is not the type of man who beats around the bush or minces words. He is honest in stating what he wants and how he feels on any particular matter. For this, George has earned my respect and, I am confident, the respect of the other owners.

8. Recently, George was selected by the baseball team owners to serve on the Expansion Committee. The Expansion Committee has the important responsibility of determining whether baseball should expand and the locations of future baseball teams. Members of the Expansion Committee are selected on the basis of their knowledge of and commitment to baseball as well as their awareness of what is in the best interest of the present owners. By their selection, team owners have indicated the complete trust that they place in these individuals. There is no doubt that George is worthy of such trust and of being selected for this most important task.

9. Another major interest of mine is thoroughbred racing. I have been the winner of the Kentucky Derby.

10. I know that George also has an interest in thoroughbred racing and is involved in several ventures.

11. In thoroughbred racing circles, I have never heard noncomplimentary remarks about George.

12. As a Major League baseball team owner I am aware that George, in November of 1974, was placed on the Ineligible List for a period of two years by the Commissioner with respect to the management of the New York Yankees. I know that the Commissioner's action was in response to charges, to which George plead guilty, of illegal corporate campaign contributions. The period of suspension long since over, George is


again very active in running his baseball club. To the best of my knowledge George has not had any trouble with any public authority since that time.

13. As long as I have known him, George has been a gentleman in every way and I feel that he deserves the pardon which he seeks.

Signed under the pains and penalties of perjury,

  
John W. Galbreath

Subscribed and sworn before me this 24<sup>th</sup> day of January, 1986.

  
Notary Public

BETHANY POWELL CARRES  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES OCT-21-1989

My Commission expires October 21, 1989

MEMORANDUM IN SUPPORT OF THE PETITION  
OF GEORGE M. STEINBRENNER, III FOR A PARDON

George M. Steinbrenner, III, through the undersigned counsel, submits this memorandum in support of the accompanying Petition for Pardon After Completion of Sentence.

I. INTRODUCTION

On August 23, 1974, George Steinbrenner, Chairman of the Board and then-President of the American Ship Building Company, pled guilty in the United States District Court for the Northern District of Ohio to the felony of conspiracy to make corporate campaign contributions in violation of 18 U.S.C. § 610. 1/

~~1/ 18 U.S.C. § 610, which was repealed by the Federal Election Campaign Act of 1976 (Pub. L. 94-283) provided, in relevant part, that:~~

~~It is unlawful for any national bank, or any corporation organized by authority of any law of Congress, to make a contribution or expenditure in connection with any election to any political office, or in connection with any primary election or political convention or caucus held to select candidates for any political office, or for any corporation whatever, or any labor organization to make a contribution or expenditure in connection with any election at which Presidential and Vice Presidential electors or a Senator or Representative in, or a Delegate or Resident Commissioner to Congress are to be voted for, in or connection with any primary election or political convention or caucus held to select candidates for any of the foregoing offices, or for any candidate, political committee, or other person to accept or receive any contribution prohibited by this section.~~

The 1976 Act includes an equivalent provision, codified at 2 U.S.C. § 441b.



Mr. Steinbrenner also pled guilty to the misdemeanor charge of being an accessory after the fact to violations of section 610 committed by the company and two of its officers. On August 30, 1974, Mr. Steinbrenner was fined a total of \$15,000 for the two offenses. No imprisonment or probation was imposed.

Eleven years have passed since Mr. Steinbrenner's conviction. During that time, Mr. Steinbrenner has demonstrated by his conduct that he is fully rehabilitated and has atoned for his wrongdoing. Since 1974, Mr. Steinbrenner has continued his lifelong practice of devoting a major part of his time and effort toward a vast array of civic and charitable services.

Mr. Steinbrenner, moreover, from the beginning has assumed responsibility for the violation of the election law without making excuses for his conduct, and has made clear that he was remorseful for his wrongdoing.

Mr. Steinbrenner's multitudinous contributions to his community prompted Governor Bob Graham of the State of Florida and his Cabinet to grant Mr. Steinbrenner a pardon restoring his state civil rights in December 1979. See Attachment 1.

Neither that state pardon nor Mr. Steinbrenner's philanthropy, however, have -- or can -- eliminate the adverse impact the felony conviction continues to have on him and his family. A pardon by the President of the United States would eliminate many barriers to his participation in business, charitable and civic affairs and the full exercise of his civil rights, as

well as the social stigma of being branded as a felon. Mr. Steinbrenner has suffered long enough; he is deserving of such a reprieve.

## II. THE PREVIOUS PARDON REQUEST

Mr. Steinbrenner previously filed a petition request with the Pardon Attorney on January 17, 1979. That request was denied on January 15, 1981, presumably because the requisite five year post-conviction time period which must precede the filing of a pardon request had just barely passed, perhaps leaving some doubt as to Mr. Steinbrenner's long-term rehabilitation.

Another six years have passed since the filing of that petition, during which time Mr. Steinbrenner has confirmed his commitment to the laws of this country and the needs of his community. In order to focus on Mr. Steinbrenner's continued good conduct and because the Pardon Attorney has the previous request available to him, this memorandum will not repeat in detail the information and arguments presented on Mr. Steinbrenner's behalf in 1979. Rather, it will primarily focus on his activities since that time.

## III. MR. STEINBRENNER'S CONTRIBUTIONS TO AND RECOGNITION BY THE COMMUNITY

### A. Recent Civic and Charitable Efforts

Mr. Steinbrenner has engaged in as active a participation in philanthropic and charitable activities as his felony



conviction permits. These activities have been centered in Florida, New York and Ohio. His contributions to these communities have largely centered on education, athletics, youth and law enforcement. As discussed below, however, his activities have run the gamut from assisting the FBI in national security matters to helping a 14-month old baby in need of a liver transplant.

1. Support of Education

Mr. Steinbrenner strongly believes that higher education is essential for the future success of our nation, and has donated generously to further that belief. He is a significant benefactor of Florida State University, Jacksonville University, University of Florida, University of South Florida, University of Tampa, University of Central Florida, Saint Leo College and Purdue University. He serves on the Foundation Boards of Florida State University, University of South Florida and Grambling State University and is a member of the Board of Trustees of Saint Leo College, Iona University and Grambling State University. He also supports his alma maters, Williams College in Massachusetts, Ohio State University and Culver Military Academy in Indiana, where he serves as a director.

Mr. Steinbrenner's contributions have not been limited to support of educational institutions. He also directly supports the underprivileged so that they may obtain higher education:

- ° He has personally funded the college education of approximately 75 under-privileged youths.
- ° Starting in 1981, he has sponsored the college education of four children of a New York policeman killed in the line of duty.
- ° The same year, he founded the Silver Shield Foundation, which finances the college education of the children of slain New York police and firemen through the proceeds of selected New York Yankees games and money raised each year from 100 businessmen in New York.
- ° In 1981, he founded a counterpart organization in Tampa, Florida, the Gold Shield Foundation, which provides college scholarships to the children of slain policemen and firemen through donations from Tampa businessmen.

These commitments are not without impact. As Phil Caruso, President of the Patrolman's Benevolent Association, noted: "With such strong support, the [Silver Shield] Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance." (Affidavit of Phil Caruso, p. 2).

Mr. Steinbrenner is also deeply involved in arts and music education. For example, he is the mainstay behind the University of South Florida Music Festival, bringing in top-flight musicians each year who perform without pay for the benefit of the school's fine arts programs. Indeed, Mr. Steinbrenner has brought more funds to the fine arts at secondary and collegiate institutions than he has to the athletic programs discussed below.

2. Support of Amateur Athletics

It goes without saying that Mr. Steinbrenner, who is perhaps best known as the general manager and principal owner of the New York Yankees, has an avid interest in sports. It is less well known that he is a staunch supporter of amateur athletics, predicated on the belief that athletics help our youth develop both physically and emotionally by teaching them the virtues of hard work, loyalty and discipline. For example:

- ° Mr. Steinbrenner, through the Yankees, contributed \$150,000 to the "Save Amateur Sports Program" in New York.
- ° He has donated \$500,000=\$750,000 in free admissions to the New York Yankee games to support local New York recreation programs.
- ° He has contributed generously to Boys Clubs all around the country.
- ° He has provided lights for the baseball fields at all of Florida's major universities.
- ° He serves on the Executive Committee of the U.S. Olympic Committee and is on the Board of Trustees of the U.S. Olympic Foundation.

Mr. Steinbrenner is also a benefactor of the New York City Little League players, the New York Police Athletic League, and New York Sandlot Baseball. In addition, he has financed a number of sports-related activities, such as paying the transportation and lodging costs for University of South Florida's cheerleaders and student band so that they could attend the Sunbelt Conference basketball tournament in Alabama and

bringing the baseball teams of West Point, Annapolis and the Air Force Academy (beginning in 1986) to Florida for spring training at the Yankee's minor league camp in Ft. Lauderdale.

3. Support of United States National Security Activities

As the DOJ is aware, in May 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information.

Suffice it to say, however, that this type of behind-the-scenes cooperation is illustrative of Mr. Steinbrenner's fierce patriotism. As Tom McEwen, a Tampa Tribune Sports Editor, stated: "George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affect of drugs, on poverty and the way to help eliminate it." (Affidavit of Tom McEwen, p. 4).

4. Other Civic and Charitable Activities

Mr. Steinbrenner has generously helped people in need in a number of other respects as well. To quote Bishop Broderick: "I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at

having kids admitted to ball games and at taking on projects to help the disadvantaged." (Affidavit of Bishop Broderick, p.

1) To name a few of his personal charitable undertakings:

- ° Mr. Steinbrenner financed a series of cranial operations for a 7-year old Long Island girl.
- ° He publicized the plight of a 14-month old boy in need of a liver transplant in an effort to have a donor come forward.
- ° He was a major sponsor of a fund-raising drive for survivors of the 61 Americans killed in the Soviet downing of Korean Air Lines flight 007.
- ° He is a major donor in the effort to refurbish the Statue of Liberty.

Mr. Steinbrenner also makes regular donations to the Special Olympics, the Prevent Blindness Foundation, the Catholic Youth Organization, the Bronx Mission Society, the Fresh Air Fund, and United States Savings Bonds.

5. Honors and Awards Received by Mr. Steinbrenner

Mr. Steinbrenner has received a number of awards in recognition of his civic and charitable contributions. For example, in 1983, he was honored as the "Florida Industrialist of the Year." That same year, he received a "Distinguished Citizen Award" from the National Football Foundation and Hall of Fame. He has also received an honorary doctorate from

Grambling State University. In addition, Mr. Steinbrenner was Chairman for the 1985 Coast Guard Foundation Dinner which broke all records for money raised, gave the commencement speech at the Air Force Academy's annual Activities Award Banquet, and was a guest speaker to FBI Academy graduates.

B. Consistency With Mr. Steinbrenner's Pre-Conviction Activities

Mr. Steinbrenner has not delved into civic and charitable activities merely to compensate for his felony conviction. To the contrary, he consistently has been involved in such projects all of his life. One only has to read the affidavit of Albert Bernstein, a life long friend, to see that Mr. Steinberg is not a newcomer to helping worthwhile causes and those in need. "George has done so many tremendous things that this would be very long affidavit if I were to relate them all." (Affidavit of Albert W. Bernstein, p. 2).

Many of his civic and charitable activities prior to 1979 are detailed in the memorandum filed in support of Mr. Steinbrenner's first pardon petition (Mem. at 5-6), and will not be repeated here. Suffice it to say that his works were so substantial that they resulted in his appointment to the Board of Regents of Ohio (where he previously resided), and the receipt of such awards as the 1969 Golden Plate Award for "extraordinary leadership and service to fellowman" from the American Academy of Achievement and Cleveland's 1972 "Man of the Year" award.



In sum, Mr. Steinbrenner both before and after his conviction has been a compassionate and generous community leader --- a fact which he has done little to publicize and is rarely mentioned in the portrayals of this man on the sports pages of American newspapers.

IV. THE NATURE OF THE OFFENSE AND MR. STEINBRENNER'S  
ADMISSION OF WRONGDOING

The nature of the offense committed by Mr. Steinbrenner and his response to his indictment are consonant with issuance of a pardon. Unlike such crimes as homicide, larceny or offenses involving violence or organized crime, the offense of effecting corporate campaign contributions does not involve moral turpitude and is not otherwise "inherently" wrong; it is malum prohibition, not malum in se. Cf. 28 C.F.R. § 1.2 (requiring persons who were convicted of crimes of a "serious nature" to wait seven years before filing a petition for pardon rather than the normal five years.)

Mr. Steinbrenner, moreover, fully assumed responsibility for the contributions deemed to have been made by the American Ship Building Company. In pleading guilty to the charge, he expressed his remorse for his actions and his desire to once again become the law-abiding citizen he had proved himself to be in the past. When he was asked by the Court if he had any statement to make prior to the imposition of sentence, Mr. Steinbrenner stated:

Your Honor, for the last year I have come through possibly the most difficult period of my life. It has been one of agony and suffering for the family and my children and my company and myself, and for many who are friends.

As the Chief Executive Officer and the leader of a company, when good things happen to the company, I realize I get the credit. By the same token, when mistakes are made, I am responsible for those mistakes and must bear the full responsibility for them.

I realize the law has been violated, and I have done it, and I had the chance to perhaps perform differently as a leader of my company, and I can't argue those facts.

I am concerned for my men and employees and their families who have suffered, and if my leadership has failed them, I am sorry for that.

Basically I have tried to spend the better part of my life in the best ways I knew how, trying to do good for others, and I can't say whether I have been successful or not, but no matter what the verdict, I will try to continue to try to preserve the system under which I am going to be sentenced and to do everything I can to continue to help others wherever I am. That is all. 2/

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2/ Transcript of sentencing before the Honorable Leroy J. Contie, Jr., Judge, United States District Court for the Northern District of Ohio in United States v. Steinbrenner, pp. 22-23 (August 30, 1974). Mr. Steinbrenner has continued to publicly acknowledge his wrongdoing and express his regret for his actions. For example, in response to a question from a reporter as to how it felt to be "the only convicted felon among baseball's ownership," Mr. Steinbrenner responded, "It's part of what you have to bear. Nobody is without a dent in their armor. It's part of my life. I have to live with it...." Washington Post, October 23, 1981, at D-1.



The resulting sentence imposed by the District Court evidences a recognition that Mr. Steinbrenner was well on his way toward rehabilitation. Although the Court could have imposed a prison sentence of up to three years for the two counts, the Court imposed only a fine. Surely, if Mr. Steinbrenner had been perceived to have engaged in moral turpitude or flouted the law in any way, a greater punishment would have been imposed.

Like the Court's determination to forego imposition of a prison sentence, the grant of a pardon to Mr. Steinbrenner would not undermine the prohibition against corporate campaign contributions. Rather, it would serve to recognize the value to society of complete rehabilitation, and illustrate the role that mercy properly plays in our criminal justice system.

V. CONTINUING ADVERSE EFFECT OF THE CONVICTION ON MR. STEINBRENNER'S RIGHTS AND INTERESTS

Although Mr. Steinbrenner was not imprisoned as a result of the commission of a felony offense, the restrictions imposed on him in response to his conviction were in many ways just as severe. Most importantly, Mr. Steinbrenner suffered the loss of his civil rights. <sup>3/</sup> In addition, Mr. Steinbrenner has been, in significant measure, prevented from conducting his

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<sup>3/</sup> See e.g., Fla. Stat. § 94.005 (denying the right to vote to felons).

businesses and participating in civic and charitable affairs. In 1974, for example, then Commissioner of Baseball Bowie Kuhn prohibited Mr. Steinbrenner from "managing or advising in the management of the affairs of the New York Yankees and engaging in any association whatsoever with any Major League Club or its personnel" for a period of two years. See Attachment 2 hereto, at p. 1. 4/ It scarcely needs argument that for a man who is the general partner and principal owner of the Yankees and an ardent admirer of the sport, this suspension from professional baseball was harsh punishment indeed.

There has been some improvement in Mr. Steinbrenner's position since 1974. Notably, Governor Bob Graham of Florida's 1979 pardon restored his state civil rights. 5/ Notwithstanding Governor Graham's action and the passage of time, however, Mr. Steinbrenner's 1974 felony conviction continues to be a source of personal humiliation and a barrier to the normal conduct of his family and business interests.

4/ The sole exception to this prohibition was for "extraordinary or unusual matters" affecting the Yankees, and even then, prior approval of the Commissioner was required. See ibid.

5/ Mr. Steinbrenner also had the support of Governor Graham's Cabinet. Under Florida law, the Governor can grant a pardon only after receiving the approval of three members of the Cabinet. Governor Graham granted Mr. Steinbrenner the full pardon (save for the authority to possess firearms) which, under the governing Rules of Executive Clemency, he was permitted to grant for a federal offense -- the restoration of Florida civil rights. See Rules of Executive Clemency of Florida § 5.F(2). The Rules of Executive Clemency of Florida authorize the issuance of a "full pardon" only for an offense under Florida law. See id. at § 5.A.

A stigma still attaches to Mr. Steinbrenner as a result of his conviction. One need only peruse the newspaper articles concerning Mr. Steinbrenner to confirm this. These articles frequently describe him as a "convicted felon" or the "only convicted felon among baseball's ownership." See e.g., Sports Illustrated, June 7, 1982; The Washington Post, October 23, 1981; and U.S. Edition, July 28, 1975.

Disabilities have been imposed on Mr. Steinbrenner's business activities as well. Just this past summer, for example, Minnesota racing officials denied him an owner's license at Canterbury Downs, the state's first parimutal thoroughbred racetrack, because of his felony conviction. 6/ The harm suffered by Mr. Steinbrenner is far more than economic, it is a symbolic slap in the face for a man who has tried so hard to overcome his conviction and be a good citizen.

Mr. Steinbrenner's civic and charitable activities similarly have been circumscribed because of the felony conviction. Recently, for example, he was asked to serve as a member of the Florida State Board of Regents. Although he wished to accept this position, after discussion with trusted friends and community leaders, he declined the nomination because of the

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6/ See Minn. Stat. § 240.06(d)(2) (precluding the issuance of horseracing licenses to felons). Mr. Steinbrenner is the owner of a number of thoroughbred racing horses. Because Oklahoma has a comparable provision in its laws, Mr. Steinbrenner also declined to join a family horse-racing venture in that state.

adverse publicity to the Florida Educational System that would likely ensue were he to accept.

Mr. Steinbrenner has gone to extraordinary lengths to atone for his wrongdoing. Nonetheless, he continues to be haunted by his past. As Tom McEwen, noted:

"I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal relationship, that relief of the pain and suffering of the felony would mean more to him than anything else."

(Affidavit of Tom McEwen, p. 4).

A grant of Executive Clemency by the President is warranted to mitigate the continuing harsh consequences suffered by Mr. Steinbrenner.

VI. DEFERENCE TO THE PARDON BY THE GOVERNOR OF FLORIDA

As a matter of comity, the decision by the Governor of Florida to restore Mr. Steinbrenner's civil rights in the State of Florida ought to be given great weight in determining whether to grant this parallel federal pardon request. Like the President's Article II pardon power, the grant of Executive Clemency by the Governor of Florida is an "act of grace" proceeding from the power entrusted the Governor by the Florida Constitution. Rules of Executive Clemency of Florida § 1; see Florida Constitution of 1968, Article IV, § 8(a). Florida Governor Bob Graham, based on his review of the circumstances relevant to


Mr. Steinbrenner's conviction and his rehabilitative activities, determined that Mr. Steinbrenner was deserving of a reprieve. The act of grace commenced by the Governor should be completed by the President, by the grant of a full federal pardon.

VII. CONCLUSION

We respectfully request that the Pardon Attorney and the Attorney General recommend to the President that he grant the petition of George M. Steinbrenner for a pardon. A pardon would remove the stigma of a criminal conviction, restore to Mr. Steinbrenner his federal civil rights, and alleviate the continuing harm to his community and business interests. It would also serve to recognize Mr. Steinbrenner's many positive accomplishments and contributions to civic, charitable and educational affairs.

Respectfully submitted,

PIERSON, BALL & DOWD

  
William B. Saxbe  
T. Timothy Ryan, Jr.

1200 18th Street, N.W.  
Suite 1000  
Washington, D.C. 20036

Attorneys for Petitioner  
George M. Steinbrenner, III

U.S. Department of Justice

## Classified Document Receipt

When filling out this form, please press firmly  
with ballpoint pen.

## ACCOUNTABILITY

FROM: (Name) David C. Stephenson, Pardon Atty. (Organization and Address) OPA, Rm. 490, PPB, CH.Ch. Md.TO: (Name) William S. Sessions, Dir. FBI (Organization and Address) FBI, Rm. 7176, JEH Bldg.

SIGNATURE (of Recipient) &amp; DATE: \_\_\_\_\_

If sent outside DOJ, Return this copy to: \_\_\_\_\_

## DOCUMENT IDENTITY

SUBJECT & DESCRIPTION: Memorandum, FBI reports and letter dated December 14, 1987.RE: George Michael Steinbrenner, IIIDate of Document: April 12, 1988

No. of pages: \_\_\_\_\_

Copy \_\_\_\_\_ of \_\_\_\_\_

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INSTRUCTIONS ON BACK OF LAST PAGE

FORM DOJ-34  
JUN. 81



Memorandum

Mr. Nolan



Subject

George Michael Steinbrenner, III  
F.B.I. No. 383 595 MI

Date

JAN 18 1989

To

Director  
Federal Bureau of Investigation

From

David C. Stephenson  
Pardon Attorney

On the above date, the President granted subject a full and unconditional pardon after completion of sentence.

Offense:

Conspiracy to make illegal  
corporate political campaign  
contributions and misdemeanor  
accessory after fact, 18 U.S.C.  
§§ 371 and 3.

Sentence:

August 30, 1974, Northern Ohio,  
\$15,000. Fine.

13-19114

4/10/89

# Memorandum



Subject

George Michael Steinbrenner  
F.B.I. No. 383 595 MI  
Applicant for pardon

Date

June 10, 1988

To

William S. Sessions, Director  
Federal Bureau of Investigation

From

*JSQ*  
David C. Stephenson  
Pardon Attorney

Attached is a copy of previous correspondence with you, requesting information required to process subject's application for Executive clemency.

It would be appreciated if we could have your response as soon as possible.

EXP. PROC.

JUN 30 1988

Exec AD Adm.	_____
Exec AD Inv.	_____
Exec AD LES	_____
Asst. Dir.:	_____
Adm. Servs.	_____
Crim. Inv.	_____
Ident.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Legal Coun.	_____
Off. Cong. & Public Affs.	_____
Rec. Mgnt.	_____
Tech. Servs.	_____
Training	_____
Off. Liaison & Int. Affs.	_____
Telephone Rm.	_____
Director's Sec'y	_____



George Michael Steinbrenner  
F.B.I. No. 383 595 MI  
Applicant for pardon

June 10, 1988

William S. Sessions, Director  
Federal Bureau of Investigation

David C. Stephenson  
Pardon Attorney

Attached is a copy of previous correspondence with you requesting information required to process subject's application for Executive clemency.

It would be appreciated if we could have your response as soon as possible.

George Michael Steinbrenner  
F.B.I. No. 383 595 MI  
Applicant for pardon

April 12, 1988

William S. Sessions, Director  
Federal Bureau of Investigation

David C. Stephenson  
Pardon Attorney

We are considering a pardon petition filed by subject, whose earlier petition was denied in 1981. The previous and current petitions were investigated by the Bureau's Background Investigation Unit in 1979 and 1986, respectively.

In accordance with well-established procedures relating to Executive clemency (see United States Attorneys' Manual 1-3.108 -- Office of the Pardon Attorney), I am required to prepare for the consideration of the Associate Attorney General a proposed report to be submitted by him to the President recommending that subject's petition be either granted or denied. It is my responsibility to obtain the views of concerned officials before submitting such a report. Enclosed for your review are copies of the petition and the closing reports of the background inquiries, as well as a copy of a letter from subject's counsel, T. Timothy Ryan, Jr., dated December 14, 1987.

The enclosure to Mr. Ryan's letter details assistance which subject states that he furnished the Bureau over an 11-year period (1976 to 1987). To facilitate our consideration of this case, we would appreciate your verifying this information. We ordinarily do not ask the Bureau to make recommendations in pardon matters. However, since you are in a unique position to evaluate the significance of subject's assistance, as well as the probative value of the contents of the Bureau's reports of June 10 and July 21, 1986, any views which you may wish to express concerning the propriety of according subject favorable pardon consideration as a reward for his service to the Government also would be welcome.

I would point out that the ground on which a pardon is usually granted is in large measure the demonstrated good conduct of the petitioner for a significant period of time after conviction and completion of sentence. All relevant factors, including the

~~SECRET~~

recentness and seriousness of the offense for which pardon is sought, the petitioner's prior and subsequent criminal record, if any, his reputation in the community and the extent of his community service, charitable or other meritorious activities, are carefully reviewed to determine whether he has become and is likely to continue to be a law-abiding, responsible and productive member of society.

Your cooperation in this matter is appreciated. If I may be of further assistance, please call me on FTS 492-5910. While obviously containing sensitive information, the attachment to Mr. Ryan's letter of December 14, 1987 is not classified.

PLEASE RETURN ATTACHMENTS.

PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

8603140

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Petitioner shall typewrite or print the answers in ink or ballpoint pen. Each question must be answered fully, truthfully, and accurately. If the space for any answer is insufficient, petitioner may complete his answer on an attachment. Submission of false information is punishable by imprisonment of up to five years and a fine of not more than \$10,000: 18 U.S.C. 1001.

THE PRESIDENT OF THE UNITED STATES:

The undersigned petitioner prays that he be granted a pardon and in support thereof states as follows:

PRELIMINARY INFORMATION

1. State full name: George Michael Steinbrenner III  
First Middle Last  
 Address: [REDACTED] Tampa Florida 33618  
No. Street City State Zip Code  
 Telephone number (include area code): (H) 813/886-7130 (H) [REDACTED]  
 Social Security No. [REDACTED] Date of Birth: July 4, 1930  
 Are you a United States citizen? Yes If not, state nationality and give alien registration number: (yes/no)

If you have ever been known by any other name, state in full every other name by which you have been known, including name under which you were convicted, the reason therefor, and the inclusive dates so known, and, if married woman, give maiden name:

None

Marital status: Married

For each marriage give the following: Name of spouse, date and place of marriage, and if applicable, date and place of divorce:

List your children by name and age: [REDACTED]

If not supporting family, explain: [REDACTED]

OFFENSE(S) FOR WHICH PARDON IS SOUGHT

2. Petitioner was convicted in the United States District Court for the Northern  
(Eastern, Western, etc.)  
 District of Ohio at Cleveland on a plea of Guilty  
(State) (City) (Guilty, not guilty, etc.)  
 of the crime of conspiring to make corporate campaign contributions in violation of and was  
(Describe specifically) 18 USC §610 and being an accessory after the fact for  
 sentenced on August 30 19 74 to N/A [REDACTED]  
(length of sentence) N/A and/or to pay a fine of \$15,000 Petitioner was  
43 years of age when the crime was committed.



(3)

### EMPLOYMENT

7. Name and address of employer: American Shipbuilding Company - 2502 Rocky Point Road, Tampa, Florida 33607  
Type of business: Shipbuilding Your position: Chairman of the Board  
Dates of employment: From August 18, 1967 to present.

### LIST BELOW ALL PRIOR EMPLOYMENTS FOR THE LAST 10 YEARS

Name and address of employer: New York Yankees, Yankee Stadium, Bronx, New York  
Type of business: Baseball Your position: Principal Owner & General Partner  
Dates of employment: From 1972 to Present

Name and address of employer: \_\_\_\_\_  
Type of business: \_\_\_\_\_ Your position: \_\_\_\_\_  
Dates of employment: From \_\_\_\_\_ to \_\_\_\_\_

Have you ever been discharged from employment for any reason? No (yes/no). Have you ever resigned after being informed that your employer intended to discharge you for any reason? No (yes/no). If you answered yes to either question, explain fully:

### PRIOR AND SUBSEQUENT CRIMINAL RECORD

8. Have you ever been arrested, taken into custody, held for investigation or questioning, or charged by any law enforcement authority, whether Federal, state, local or foreign, either as a juvenile or adult? (yes/no). For each incident list date, nature of offense charged, law enforcement authority involved, location and disposition (Any omission will be construed as falsification):

None other than noted in question #2

### CIVIL AND FINANCIAL INFORMATION

9. Are you in default in any way in the performance or discharge of any debt or obligation imposed upon you? No (yes/no). Within the last 10 years, has any lien (including Federal or state tax liens) been filed against you, or have you been a party to any lawsuits or bankruptcy proceedings? Yes (yes/no). Do you have any pending matters with the Federal or state governments? Yes (yes/no). If you answered yes to any question, explain fully:

I am involved in numerous business ventures. With some, I actively participate in their management; with most, however, I do not. Some of these business ventures have been involved in adversary proceedings. All the adversary proceedings are civil proceedings. In some of these proceedings, I was named as a party. I am also currently undergoing an Internal Revenue Service audit. A complete list of all current adversary proceedings in which I have been named is attached.



(4)

**MILITARY RECORD**

10. Have you ever served in the armed forces of the United States: Yes (yes/no). If so, state nature of your separation(s) from the service: Honorable [If other than honorable, specify type thereof and circumstances surrounding your release(s):

b6

List inclusive dates of service: 1952-1954

Serial number(s): [REDACTED] Branch(es) of service: U.S. Air Force. While serving in the armed forces, were any charges or complaints ever made or filed against you, or were you the recipient of non-judicial punishment, or the defendant in any court-martial? No (yes/no). If yes, state fully the nature of the charge, relevant facts, disposition of the proceedings, the date thereof, and the name and address of the authority in possession of the records thereof. (If you were convicted of an offense by court-martial, complete, with appropriate modifications, paragraph 2 also):

**REASONS FOR PARDON**

11. State briefly your reasons for seeking a pardon (You are not expected to reargue your case, assert innocence or otherwise attack the validity of your conviction.):

See Memorandum in Support of the Petition for Pardon

**CERTIFICATION AND PERSONAL OATH**

I hereby certify that all answers to the above questions and all statements contained herein are true, and I understand that any misstatements of material facts contained in this petition will cause adverse action on my application for Executive clemency in addition to any other penalties provided by law.

In petitioning the President of the United States for pardon, I do solemnly swear that I will be law-abiding and will support and defend the Constitution of the United States against all enemies, foreign and domestic, and that I take this obligation freely and without any mental reservation whatsoever, So Help Me God.

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DEPT. OF JUSTICE

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PARDON & CLEMENCY

Respectfully,

[Signature]  
(signature of petitioner)

Subscribed and sworn to before me this 28 day of January, 1986

[Signature]  
Notary Public

Notary Public: [Signature] at [Address]  
My Commission Expires [Date]

GEORGE M. STEINBRENNER, III

PENDING LEGAL ACTIONS

JANUARY 28, 1986

(ANSWER TO QUESTION 9)

OPPOSING PARTY

COURT & CASE NUMBER

Florida Cities Publishing Co.

Circuit Civil,  
Hillsborough  
County, Florida  
83-9815 Division:  
"W"

Cleeveley, Beeler & Jacksonville  
Monthly

District Court of  
Appeal  
Second District of  
Florida  
85-1309

St. Philips Towing Co.

U.S. District Court  
Tampa Division  
83-1464-Civ-T-15

Haught, Poston, Forlezo, et al.

U.S. District Court  
Tampa Division  
81-1091-Civ-T-17

Bruce Poston and Chemical Bank

U.S. District Court  
Southern District of  
New York  
82-Civ-0894

Ed Linn and CBS, Inc.

Supreme Court of  
New York  
County of Bronx  
Index No. 8098/84

Peavey Company and ConAgra, Inc.

U.S. District Court  
Western District of  
New York  
Civ 84-0878

Commissioner of Internal Revenue

U.S. Tax Court  
Docket No. 27952-83

United States of America

U.S. Court of Claim  
52-83T



UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

George Michael Steinhilber, III  
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

b6

I, Tom McEwen, residing at [REDACTED] Tampa, Florida  
(print or type name of affiant) City State Zip Code

whose occupation is Sports Editor of the Tampa Tribune  
certify that I have personally known the petitioner for 12 years. Except as otherwise indicated below,  
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's  
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public  
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

(signature of affiant)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

Notary Public.

**AFFIDAVIT OF TOM MCEWEN**

I, Tom McEwen, hereby state as follows: This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is Tom McEwen and I reside at [REDACTED] Tampa, Florida.

2. I am the Sports Editor of the Tampa Tribune.

3. I have known George since he came to Tampa to buy the Tampa Shipyard in 1974. I consider George a close friend.

4. I have seen George in all capacities, in all moods and in all circumstances. I have dealt with George on business, professional and social levels as well as having maintained a close friendship. Our families are also close

b6

[REDACTED] George is an experienced and tough businessman but he is also fair and accomodating.

6. As a sports editor I have also dealt with George professionally, covering his activities with the Yankees, the Tampa horsetrack, which he bought soon after coming here, and his numerous other sports involvements. In his dealings with the press, George has always been largely available and aware of the value of news. Although George, himself, often provides much to write about, so far as I know, he has never exploited the press.

7. I feel I know all sides of George. I know that he can, at times, be autocratic and firm and he is not without a temper. I also know that he is an absolute sap for a sad story: children, family, sports and the American flag move him. Unlike many other men, George backs up his emotion with action. George's charitable contributions are vast and he has many times gone the extra mile to help out a worthy cause.

8. For example, a few years ago Lou Piniella, a former Yankee player and manager who is from Tampa, retired. Lou had, in a way, got his start in baseball from the Boys Clubs of Tampa. Well, George threw a big fund raiser banquet for Lou and had many of the Yankee players attend. Fifty-five thousand dollars was raised with which the Boys Club used to build a much needed office center and recreation complex.

9. And when the Super Bowl was played here in Tampa several years ago, George threw his full support behind the NFL

Alumni Club. At his own expense he brought the Marine Drum and Bugle Corp. and other entertainment to the NFL Alumni Dinner which was able to raise \$400,000 for charitable causes nationally and in this area e.g. USO, Childrens Home.

10. Another example of George's never ending compassion and generosity occurred just last month. George and I attended the J.C. Penney mixed team golf tournament near here, visiting with the men and women pros, many of whom he had hosted at Yankee Stadium. I re-introduced him to Chi Chi Rodriguez and told him of a Rodriguez program underway in our area in which abused children are day-cared by his foundation. The children spend their days working on a golf course that the City of Clearwater donated to the foundation. Moreover, another course is being built and will serve as a learning place for the abused kids. About 20 were following Chi Chi at the time. George immediately said he wanted to help. He promised that when the Yankees come to the area next March to play the Toronto Blue Jays he would host the kids, give them Yankee keepsakes and give the foundation his share of the game receipts--about \$20,000.

11. George also has a great romance with high school and college sports and has done much to help them out. George is one of the few major league owners that brings his ballclub to play at different universities during preseason. By doing so, George has helped many universities raise enough money to

purchase lights for the stadium that they otherwise would not be able to do.

12. George has also been very supportive of local causes. Here, in Tampa, George formed an organization that provides funds for the widows and orphans of Tampa police and firemen killed in the line of duty.

13. Moreover, George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity; on the affects of drugs, on poverty and the way to help eliminate it.

He dwells on achievement and Yankee pride. He is a forceful speaker and widely sought. For example, he recently spoke to the Notre Dame football squad. Although the day he spoke was the day after the coach was fired, he fired the team up with his speech.

14. I know of George's prior felony conviction and his suspension from baseball for two years as a result of the conviction. I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal friendship, that relief of the pain and suffering of the felony would mean more to him than anything else. I can also say that since he came into my world, to Tampa, he has been a mighty contributor to that which

is good and wholesome. George Steinbrenner is a fine man, fine father, fine citizen. In my judgment a pardon not only is deserved but also would be most judicious. If he had time to serve, he has served it.

Signed under the pains and penalties of perjury.

  
Tom McEwen

Subscribed and sworn to before me this 28<sup>th</sup> day  
of January, 1986.

  
Notary Public

My commission expires:  
Notary Public, State of Florida  
My Commission Expires May 18, 1989  
NOTARY PUBLIC STATE OF FLORIDA

UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

b6

George Michael Sciesbrenner III  
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

Albert W. Bernstein residing at [REDACTED] Cleveland, Ohio  
(print or type name of affiant) St. City State Zip Code

whose occupation is Restaurant Owner  
certify that I have personally known the petitioner for 30 years. Except as otherwise indicated below,  
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's  
reputation, conduct and activities, including whether he has been arrested or had any other troubles with public  
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

(Signature of affiant)

Subscribed and sworn to before me this 11 day of August 1967

Notary Public,

**AFFIDAVIT OF ALBERT W. BERNSTEIN**

I, Albert W. Bernstein, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is Albert W. Bernstein and I reside at [REDACTED]  
[REDACTED] Cleveland, Ohio 44102.

2. I am in the business of restaurants. I own a chain of restaurants called the Pewter Mug.

3. George Steinbrenner is a very close, personal friend of mine and has been for a long time. I have known George for 30 odd years.

4. I first met and became friendly with George years ago when we were both young men in Cleveland. The Mayor at that time had selected a number of choice, promising, young men to form a group that would assist the city. I was one of those selected. George was selected to be chairman. The group, which was called Group 66, was formed to help raise money for and promote the city. Under George's tutelage Group 66 did a lot of good for the city. It was a real loss for the City of Cleveland when George left and moved to Tampa and New York.

5. Even after George moved away from Cleveland we remained close friends. We keep in touch quite often and we are able to visit each other through his trips to Cleveland and my occasional trips to Tampa. [REDACTED]  
[REDACTED]

b6



7. George and I have never grown apart and we have maintained a close personal relationship. Anytime one of us has a big family event, the other is there.

b6

8. George has done so many tremendous things that this would be a very long affidavit if I were to relate them all. Many of these, however, no one knows about because George is not concerned with publicizing them.

9. As an example, one day about 12 to 14 years ago George came to me and said "You know Al, everyone is always talking about how we should do something for the bad kids, but no one ever says we should do something for the kids that stay good." And with that, George decided to fly the entire Bay Village High School Basketball Team to New York City so that they could attend the N.I.T. playoff games. He flew the entire team and their coaches to and from New York, paid for their hotel and even treated them to a Broadway show.

10. And as another example, during the time when George was living in Cleveland he found out that the horses used by the Cleveland Police Department were getting old. So he just went out and bought 6 - 7 horses for the Cleveland Police Department without making a big deal out of it. As George was

not concerned with publicizing the event, not many people ever knew.

11. George is extremely generous and thoughtful. In addition to his vast civic and charitable contributions, George's generosity is ample on a personal, individual level.

I can cite an example that occurred just last month. Many years ago when the Cleveland Indians were in their prime - about 1948 to 1954 - they had an outstanding, big strong pitcher named Mike Garcia. Garcia was something. He weighed about 250 lbs, had arms like tree trunks and could throw a fast ball like no one else. After a number of years Garcia retired, tried his luck with a dry goods business and fell upon hard times. Garcia then became very ill and today he is, basically, on his last legs. Last night, here in Cleveland, there was a big benefit affair to help Mike Garcia. George very much wanted to be there but could not because he had to speak at the Notre Dame Football banquet in South Bend. Most of the big Cleveland businessmen were there and everyone contributed to the cause. The majority of us gave around \$100, but not George. George sent in a check for \$8,000. It was incredible. Here is a guy who moved away from the Cleveland area over 12 years ago, owns a competitor major league baseball team but still cared enough to send \$8,000 to help a former Cleveland Indian ball player. There is not a more thoughtful or generous guy in the world.

12. George is also a brilliant public speaker and gives quite a few speeches around the country. Most often George

receives a healthy remuneration for his speaking efforts. Every time George receives such remuneration, he donates the money to the Boys and Girls Clubs or to some other charitable group in the city in which he speaks.

13. Another example of his generosity and integrity concerns the money that George received for those commercials with Billy Martin. All of George's share was donated to various Boys and Girls Clubs.

14. George is also the type of man who inspires trust. George is sincere. People have no qualms about trusting George. I remember when he decided to buy his father's business. Because of his young age and lack of major credit history, he was unsuccessful in borrowing money from banks in Cleveland. So he went to New York City to visit an old classmate who was a vice-president of a bank. Sure enough, after George talked with his friend and told him of his plans, he came back with enough money to buy his father's business.

15. Although I am aware of George's felony conviction in the mid-1970's, I really do not know many of the details. George took the conviction hard and did not discuss it much. I do know, however, that George has not had any trouble with any public or private authority since then.

16. George is a wonderful and generous man. There is not another guy like him in the world. He fully deserves the pardon he seeks.

Signed under the pains and penalties of perjury,

  
Albert W. Bernstein

Subscribed and sworn to before me this 16 day of  
January, 1986.

  
Notary Public

My commission expires:

DANIEL R. McCLARY, Attorney  
NOTARY PUBLIC - STATE OF OHIO  
My commission has no expiration date.  
Section 147.03 R.C.

UNITED STATES  
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT  
ON BEHALF OF

George Michael Spainbrumer, III  
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

b6

Bishop Edwin Broderick residing at [REDACTED] New York, New York 10021  
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Bishop  
certify that I have personally known the petitioner for 15 years. Except as otherwise indicated below,  
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's  
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public  
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Signature of affiant

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

Notary Public.

AFFIDAVIT OF BISHOP EDWIN B. BRODERICK

I, Bishop Edwin B. Broderick, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for pardon submitted to the President of the United States.

b6 1. My name is Bishop Edwin B. Broderick and I reside at [REDACTED] New York, New York 10021.

2. I have known George Steinbrenner for over fifteen years.

3. I first met George at a New York Yankee welcome home dinner where I gave the invocation. George came up to me afterwards and told me how much he had liked what I said. I told him that I had been a crazy Yankee fan since growing up in the South Bronx. Since that dinner George and I have been friends.

4. My relationship with George has been primarily social. I speak to him fairly often; talking to him on the phone or going out to dinner.

5. I have a high opinion of George. George is a standup guy. He is frank and honest. I know his friends and like them also. I find George completely socially acceptable.


6. I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at having kids admitted to ball games and at taking on projects to help the disadvantaged.

7. I also know another side of George of which he is rarely credited. I know that George has a true sense of compassion that is deeper than any generous contribution. For example, when Roger Marris died several weeks ago, George felt that something should be done in New York to honor Roger's memory. Not only did he organize a special memorial service for the people of New York, [REDACTED]

8. I know of George's prior felony conviction for illegal campaign contributions. I fully believe, however, that in the ensuing eleven years George has paid his penance. He has atoned for his actions. He has served society well.

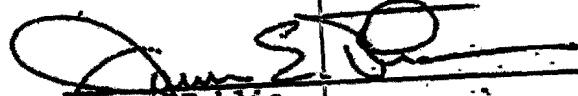
9. I know George person to person. George is a good person. He is an honest guy. He is worthy of the pardon which he seeks.

Signed under the pains and penalties of perjury.

  
Bishop Edwin B. Broderick

Subscribed and sworn before me this 24<sup>th</sup> day of JANUARY, 1986.

My Commission expires

  
Notary Public  
JAMES E. THOM  
Notary Public, State of New York  
No. 52018200  
Qualified in Queens County  
Certificate Filed in Suffolk, Queens,  
Kings, Bronx & New York Counties  
Commission Expires March 30, 1986

AFFIDAVIT OF EDDIE G. ROBINSON

I, Eddie G. Robinson, hereby state as follows:

This affidavit is submitted in support of George Steinbrenner's petition for pardon submitted to the President of the United States.

1. My name is Eddie G. Robinson and I reside at [REDACTED]  
b6 [REDACTED] Grambling, Louisiana.

2. My profession is sports. I am the Athletic Director and head football coach of Grambling State University and have been since 1941.

3. I have known George since 1976. A mutual goal --helping the youth of America--got us together. Since 1969, Grambling State University and Morgan University have played a benefit football game in Yankee Stadium in order to raise money for a worthwhile project called The Storefront Academy. The Storefront Academy was an innovative project to help juvenile delinquents finish school. The game was sponsored by the Urban League and others and each year we were able to fill Yankee Stadium, thereby providing substantial funds for the project.

In 1976, however, we were told that the Urban League could no longer sponsor the game. We did not know what to do. We very much wanted to continue helping the project--as well as provide a unique experience for our students--but the project was just too big for Grambling and Morgan to take on alone.

4. In desperation, I called Howard Cosell and told him of our problem. When he heard that our goal was helping American



youth, he said "Eddie, with George Steinbrenner you might just have your man." Well, I placed a call to Yankee Stadium and was able to set up an appointment with George to discuss the matter.

5. Right from the start, I was impressed with the genuineness of George Steinbrenner. When we first met, I said "Nice to meet you, Mr. Steinbrenner," to which he instantly replied "My friends call me George."

6. I was most impressed with George's obvious deep commitment to helping the American youth. George's commitment does not stay buried in good intentions. George is a man of action; a man who wants to see accomplishments. I remember the first meeting we had to organize the following year's game.

Representatives from Grambling State University, Morgan University and the Urban League were all there. I remember right at the beginning George turned to the Urban League folks and said "I am concerned with the money that will go to the Urban League. I want to make sure the money will get to the kids. I want to see results."

7. George was able to see those results. Because the game was allowed to continue in Yankee Stadium, a lot of kids graduated high school who otherwise would never have made it.

8. I want to make clear that George's commitment to helping the American youth consists of much more than signing a check. Yes, his financial contributions are vast and are very

much appreciated. But it is his personal interest that dominates the commitment. George goes out and becomes personally involved in helping the kids. He often travels around the country in order to talk with the kids. And, boy, can he talk. George is a tremendous speaker and is really able to reach the kids, to move them and to inspire them.

9. George's commitment to helping underprivileged youth--both minority and white--is evident in almost everything he does. For example, every year George brings the Yankees to New Orleans to play an exhibition game. One of his primary reasons for doing this is to allow the youngsters of Louisiana to come see the New York Yankees play. And every year George makes sure that underprivileged youths work as batboys for the game.

10. More than know of George's achievements, I know George as a friend. Since I first met him in 1976, I have come to know George and to be his friend.

11. George is a true friend. When I won my 300th college game, George was the first to call to congratulate me. When Grambling had "Eddie Robinson Day", George was there. And when George brought the Yankees to New Orleans to play an exhibition game, he invited me down to spend three days with him. George is someone I can talk to and confide in.

12. I really do not know much about George's prior felony conviction; but, I do know George. I have feasted with him,

drank with him, argued with him and have had long soul-searching discussions with him. I know the man and in my book, the man is the best there is. The man is a solid American. The man is an inspiration. The man loves people. The man is a fine man.

Signed under the pains and penalties of perjury.

*Eddie G. Robinson*  
Eddie G. Robinson

SWORN to and SUBSCRIBED before me by Eddie G. Robinson  
this 23 day of Feb., 1986.

*[Signature]*  
Notary Public

My Commission Expires: life-time

**AFFIDAVIT OF PHIL CARUSO**

I, Phil Caruso, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is Phil Caruso and I reside at [REDACTED] Sayville, New York.

2. I am a policeman by profession. I have been with the New York Police Department for 27 years.

3. Currently, I am also the president of the Patrolman's Benevolent Association (PBA). The PBA acts, essentially, as the police officer's union. It has 20,000 active members and 15,000 retirees. The office of president is an elected office. I have been serving as president for a little over five years.

4. I first met George Steinbrenner about five years ago when he founded the Silver Shield Foundation. The Silver Shield Foundation is a fund that provides college scholarships to children of police officers killed in the line of duty.

5. How the foundation was started, I think, truly describes the real George Steinbrenner. About five or six years ago there was a cop killed in the line of duty. The officer and his family had been big Yankee fans. They had followed the Yankees with almost religious devotion. The PBA approached George Steinbrenner to ask if the family could come

to Yankee Stadium, see a game and meet him. George said he would be happy to have the family as his guest and when the family came to the game, he gave them the red carpet treatment. After meeting the family, however, George was very taken with the children and their plight. He decided that he wanted to do more than just entertain them. So, that very night, George made a promise to help out the families of slain officers.

6. George Steinbrenner made good on his promise. He not only founded and set up the Silver Shield Foundation but also went out and obtained commitments from 100 businessmen to contribute \$1,000 a year to the Foundation. Moreover, he himself pledged all of the proceeds of one Yankees game a year to the Foundation, which is a pretty hefty amount. With such strong support, the Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance.

7. Since the start of the Foundation, I have had an ongoing relationship with George. I feel that I know the other side of George. Sure, I have heard that George is a tough guy to work with. But there is definitely a compassionate and caring side to George.

8. I have been a cop for a long time. I have been trained to "size people up" and, over the years, have had to do so on a regular basis as part of my job. I think that I am a

pretty good judge of character. After 27 years, I know when someone is "full of it" and when someone is true. With George I have no doubts. I have a very high regard for George. George is a straight-shooter. He is honest and candid. His integrity is unimpeachable.

9. I really do not have any personal knowledge of George's prior felony conviction. I do, however, know that there is no element of criminality to George's character. George is genuinely decent person. He is a true pillar of our community. He is most definitely worthy and deserving of a pardon.

~~Signed under the pains and penalties of perjury.~~

  
Phil Caruso

Subscribed and sworn to before me this 23 day of January, 1986.

  
Notary Public

My commission expires:

3/30/87

RICHARD HARTMAN  
Notary Public, State of New York  
No. 30-169623  
Qualified in Nassau County  
Commission Expires March 30, 1987

AFFIDAVIT OF JOHN W. GALBREATH

I, John Wilmer Galbreath, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is John Wilmer Galbreath and I reside at [REDACTED] Galloway, Ohio.

2. My primary business is real estate. I have been in the real estate business since the 1920s.

3. I also have a great interest in sports. I have owned the Pittsburgh Pirates baseball team since 1944.

4. I have known George since he came into baseball, through his purchase of the New York Yankees, in the mid 1970s. My primary relationship with George has been in the capacity of baseball team owner.

5. Since I have known him, George has been tops in every way. In all my associations with George, I have never had occasion to question his integrity.

6. I see George several times a year at Major League Baseball owner's meetings and at other baseball events.

7. In all his dealing with me, and as far as I know with other team owners, George has been upright and straightforward. George is not the type of man who beats around the bush or minces words. He is honest in stating what he wants and how he feels on any particular matter. For this, George has earned my respect and, I am confident, the respect of the other owners.

8. Recently, George was selected by the baseball team owners to serve on the Expansion Committee. The Expansion Committee has the important responsibility of determining whether baseball should expand and the locations of future baseball teams. Members of the Expansion Committee are selected on the basis of their knowledge of and commitment to baseball as well as their awareness of what is in the best interest of the present owners. By their selection, team owners have indicated the complete trust that they place in these individuals. There is no doubt that George is worthy of such trust and of being selected for this most important task.

9. Another major interest of mine is thoroughbred racing. I have been the winner of the Kentucky Derby.

10. I know that George also has an interest in thoroughbred racing and is involved in several ventures.

11. In thoroughbred racing circles, I have never heard noncomplimentary remarks about George.

12. As a Major League baseball team owner I am aware that George, in November of 1974, was placed on the Ineligible List for a period of two years by the Commissioner with respect to the management of the New York Yankees. I know that the Commissioner's action was in response to charges, to which George plead guilty, of illegal corporate campaign contributions. The period of suspension long since over, George is



again very active in running his baseball club. To the best of my knowledge George has not had any trouble with any public authority since that time.

13. As long as I have known him, George has been a gentleman in every way and I feel that he deserves the pardon which he seeks.

Signed under the pains and penalties of perjury,

  
John W. Galbreath

Subscribed and sworn before me this 21<sup>st</sup> day of January, 1986.

  
Notary Public

BETHANY POWELL CARRES  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES OCT-21-1989

My Commission expires October 21, 1989

MEMORANDUM IN SUPPORT OF THE PETITION  
OF GEORGE M. STEINBRENNER, III FOR A PARDON

George M. Steinbrenner, III, through the undersigned counsel, submits this memorandum in support of the accompanying Petition for Pardon After Completion of Sentence.

**I. INTRODUCTION**

On August 23, 1974, George Steinbrenner, Chairman of the Board and then-President of the American Ship Building Company, pled guilty in the United States District Court for the Northern District of Ohio to the felony of conspiracy to make corporate campaign contributions in violation of 18 U.S.C. § 610. 1/

1/ 18 U.S.C. § 610, which was repealed by the Federal Election Campaign Act of 1976 (Pub. L. 94-283) provided, in relevant part, that:

~~It is unlawful for any national bank, or any corporation organized by authority of any law of Congress, to make a contribution or expenditure in connection with any election to any political office, or in connection with any primary election or political convention or caucus held to select candidates for any political office, or for any corporation, whatever, or any labor organization to make a contribution or expenditure in connection with any election at which Presidential and Vice Presidential electors or a Senator or Representative in, or a Delegate or Resident Commissioner to Congress are to be voted for, in or connection with any primary election or political convention or caucus held to select candidates for any of the foregoing offices, or for any candidate, political committee, or other person to accept or receive any contribution prohibited by this section.~~

The 1976 Act includes an equivalent provision, codified at 2 U.S.C. § 441b.

Mr. Steinbrenner also pled guilty to the misdemeanor charge of being an accessory after the fact to violations of section 610 committed by the company and two of its officers. On August 30, 1974, Mr. Steinbrenner was fined a total of \$15,000 for the two offenses. No imprisonment or probation was imposed.

Eleven years have passed since Mr. Steinbrenner's conviction. During that time, Mr. Steinbrenner has demonstrated by his conduct that he is fully rehabilitated and has atoned for his wrongdoing. Since 1974, Mr. Steinbrenner has continued his lifelong practice of devoting a major part of his time and effort toward a vast array of civic and charitable services.

Mr. Steinbrenner, moreover, from the beginning has assumed responsibility for the violation of the election law without making excuses for his conduct, and has made clear that he was remorseful for his wrongdoing.

Mr. Steinbrenner's multitudinous contributions to his community prompted Governor Bob Graham of the State of Florida and his Cabinet to grant Mr. Steinbrenner a pardon restoring his state civil rights in December 1979. See Attachment 1.

Neither that state pardon nor Mr. Steinbrenner's philanthropy, however, have -- or can -- eliminate the adverse impact the felony conviction continues to have on him and his family. A pardon by the President of the United States would eliminate many barriers to his participation in business, charitable and civic affairs and the full exercise of his civil rights, as

well as the social stigma of being branded as a felon. Mr. Steinbrenner has suffered long enough; he is deserving of such a reprieve.

## II. THE PREVIOUS PARDON REQUEST

Mr. Steinbrenner previously filed a petition request with the Pardon Attorney on January 17, 1979. That request was denied on January 15, 1981, presumably because the requisite five year post-conviction time period which must precede the filing of a pardon request had just barely passed, perhaps leaving some doubt as to Mr. Steinbrenner's long-term rehabilitation.

Another six years have passed since the filing of that petition, during which time Mr. Steinbrenner has confirmed his commitment to the laws of this country and the needs of his community. In order to focus on Mr. Steinbrenner's continued good conduct and because the Pardon Attorney has the previous request available to him, this memorandum will not repeat in detail the information and arguments presented on Mr. Steinbrenner's behalf in 1979. Rather, it will primarily focus on his activities since that time.

## III. MR. STEINBRENNER'S CONTRIBUTIONS TO AND RECOGNITION BY THE COMMUNITY

### A. Recent Civic and Charitable Efforts

Mr. Steinbrenner has engaged in as active a participation in philanthropic and charitable activities as his felony

conviction permits. These activities have been centered in Florida, New York and Ohio. His contributions to these communities have largely centered on education, athletics, youth and law enforcement. As discussed below, however, his activities have run the gamut from assisting the FBI in national security matters to helping a 14-month old baby in need of a liver transplant.

1. Support of Education

Mr. Steinbrenner strongly believes that higher education is essential for the future success of our nation, and has donated generously to further that belief. He is a significant benefactor of Florida State University, Jacksonville University, University of Florida, University of South Florida, University of Tampa, University of Central Florida, Saint Leo College and Purdue University. He serves on the Foundation Boards of Florida State University, University of South Florida and Grambling State University and is a member of the Board of Trustees of Saint Leo College, Tona University and Grambling State University. He also supports his alma maters, Williams College in Massachusetts, Ohio State University and Culver Military Academy in Indiana, where he serves as a director.

Mr. Steinbrenner's contributions have not been limited to support of educational institutions. He also directly supports the underprivileged so that they may obtain higher education:

- ° He has personally funded the college education of approximately 75 under-privileged youths.
- ° Starting in 1981, he has sponsored the college education of four children of a New York policeman killed in the line of duty.
- ° The same year, he founded the Silver Shield Foundation, which finances the college education of the children of slain New York police and firemen through the proceeds of selected New York Yankees games and money raised each year from 100 businessmen in New York.
- ° In 1981, he founded a counterpart organization in Tampa, Florida, the Gold Shield Foundation, which provides college scholarships to the children of slain policemen and firemen through donations from Tampa businessmen.

These commitments are not without impact. As Phil Caruso, President of the Patrolman's Benevolent Association, noted:

"With such strong support, the [Silver Shield] Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance." (Affidavit of Phil Caruso, p. 2).

Mr. Steinbrenner is also deeply involved in arts and music education. For example, he is the mainstay behind the University of South Florida Music Festival, bringing in top-flight musicians each year who perform without pay for the benefit of the school's fine arts programs. Indeed, Mr. Steinbrenner has brought more funds to the fine arts at secondary and collegiate institutions than he has to the athletic programs discussed below.

## 2. Support of Amateur Athletics

It goes without saying that Mr. Steinbrenner, who is perhaps best known as the general manager and principal owner of the New York Yankees, has an avid interest in sports. It is less well known that he is a staunch supporter of amateur athletics, predicated on the belief that athletics help our youth develop both physically and emotionally by teaching them the virtues of hard work, loyalty and discipline. For example:

- ° Mr. Steinbrenner, through the Yankees, contributed \$150,000 to the "Save Amateur Sports Program" in New York.
- ° He has donated \$500,000-\$750,000 in free admissions to the New York Yankee games to support local New York recreation programs.
- ° He has contributed generously to Boys Clubs all around the country.
- ° He has provided lights for the baseball fields at all of Florida's major universities.
- ° He serves on the Executive Committee of the U.S. Olympic Committee and is on the Board of Trustees of the U.S. Olympic Foundation.

Mr. Steinbrenner is also a benefactor of the New York City Little League players, the New York Police Athletic League, and New York Sandlot Baseball. In addition, he has financed a number of sports-related activities, such as paying the transportation and lodging costs for University of South Florida's cheerleaders and student band so that they could attend the Sunbelt Conference basketball tournament in Alabama and

bringing the baseball teams of West Point, Annapolis and the Air Force Academy (beginning in 1986) to Florida for spring training at the Yankee's minor league camp in Ft. Lauderdale.

3. Support of United States National Security Activities

As the DOJ is aware, in May 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information.

Suffice it to say, however, that this type of behind-the-scenes cooperation is illustrative of Mr. Steinbrenner's fierce patriotism. As Tom McEwen, a Tampa Tribune Sports Editor, stated: "George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affect of drugs, on poverty and the way to help eliminate it." (Affidavit of Tom McEwen, p. 4).

4. Other Civic and Charitable Activities

Mr. Steinbrenner has generously helped people in need in a number of other respects as well. To quote Bishop Broderick: "I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at



Having kids admitted to ball games and at taking on projects to help the disadvantaged." (Affidavit of Bishop Broderick, p.

1) To name a few of his personal charitable undertakings:

- ° Mr. Steinbrenner financed a series of cranial operations for a 7-year old Long Island girl.
- ° He publicized the plight of a 14-month old boy in need of a liver transplant in an effort to have a donor come forward.
- ° He was a major sponsor of a fund-raising drive for survivors of the 61 Americans killed in the Soviet downing of Korean Air Lines flight 007.
- ° He is a major donor in the effort to refurbish the Statue of Liberty.

Mr. Steinbrenner also makes regular donations to the Special Olympics, the Prevent Blindness Foundation, the Catholic Youth Organization, the Bronx Mission Society, the Fresh Air Fund, and United States Savings Bonds.

5. Honors and Awards Received by Mr. Steinbrenner

Mr. Steinbrenner has received a number of awards in recognition of his civic and charitable contributions. For example, in 1983, he was honored as the "Florida Industrialist of the Year." That same year, he received a "Distinguished Citizen Award" from the National Football Foundation and Hall of Fame. He has also received an honorary doctorate from

Grambling State University. In addition, Mr. Steinbrenner was Chairman for the 1985 Coast Guard Foundation Dinner which broke all records for money raised, gave the commencement speech at the Air Force Academy's annual Activities Award Banquest, and was a guest speaker to FBI Academy graduates.

**B. Consistency With Mr. Steinbrenner's Pre-Conviction Activities**

Mr. Steinbrenner has not delved into civic and charitable activities merely to compensate for his felony conviction. To the contrary, he consistently has been involved in such projects all of his life. One only has to read the affidavit of Albert Bernstein, a life long friend, to see that Mr. Steinberg is not a newcomer to helping worthwhile causes and those in need. "George has done so many tremendous things that this would be very long affidavit if I were to relate them all."

(Affidavit of Albert W. Barnstein, p. 2).

Many of his civic and charitable activities prior to 1979 are detailed in the memorandum filed in support of Mr. Steinbrenner's first pardon petition (Mem. at 5-6), and will not be repeated here. Suffice it to say that his works were so substantial that they resulted in his appointment to the Board of Regents of Ohio (where he previously resided), and the receipt of such awards as the 1969 Golden Plate Award for "extraordinary leadership and service to fellowman" from the American Academy of Achievement and Cleveland's 1972 "Man of the Year" award.

In sum, Mr. Steinbrenner both before and after his conviction has been a compassionate and generous community leader -- a fact which he has done little to publicize and is rarely mentioned in the portrayals of this man on the sports pages of American newspapers.

**IV. THE NATURE OF THE OFFENSE AND MR. STEINBRENNER'S  
ADMISSION OF WRONGDOING**

The nature of the offense committed by Mr. Steinbrenner and his response to his indictment are consonant with issuance of a pardon. Unlike such crimes as homicide, larceny or offenses involving violence or organized crime, the offense of affecting corporate campaign contributions does not involve moral turpitude and is not otherwise "inherently" wrong; it is malum prohibitum, not malum in se. Cf. 28 C.F.R. § 1.2 (requiring persons who were convicted of crimes of a "serious nature" to wait seven years before filing a petition for pardon rather than the normal five years.)

Mr. Steinbrenner, moreover, fully assumed responsibility for the contributions deemed to have been made by the American Ship Building Company. In pleading guilty to the charge, he expressed his remorse for his actions and his desire to once again become the law-abiding citizen he had proved himself to be in the past. When he was asked by the Court if he had any statement to make prior to the imposition of sentence, Mr. Steinbrenner stated:

Your Honor, for the last year I have come through possibly the most difficult period of my life. It has been one of agony and suffering for the family and my children and my company and myself, and for many who are friends.

As the Chief Executive Officer and the leader of a company, when good things happen to the company, I realize I get the credit. By the same token, when mistakes are made, I am responsible for those mistakes and must bear the full responsibility for them.

I realize the law has been violated, and I have done it, and I had the chance to perhaps perform differently as a leader of my company, and I can't argue those facts.

I am concerned for my men and employees and their families who have suffered, and if my leadership has failed them, I am sorry for that.

Basically I have tried to spend the better part of my life in the best ways I knew how, trying to do good for others, and I can't say whether I have been successful or not, but no matter what the verdict, I will try to continue to try to preserve the system under which I am going to be sentenced and to do everything I can to continue to help others wherever I am. That is all. 2/

- 2/ Transcript of sentencing before the Honorable Leroy J. Contie, Jr., Judge, United States District Court for the Northern District of Ohio in United States v. Steinbrenner, pp. 22-23 (August 30, 1974). Mr. Steinbrenner has continued to publicly acknowledge his wrongdoing and express his regret for his actions. For example, in response to a question from a reporter as to how it felt to be "the only convicted felon among baseball's ownership," Mr. Steinbrenner responded, "It's part of what you have to bear. Nobody is without a dent in their armor. It's part of my life. I have to live with it...." Washington Post, October 23, 1981, at D-1.

The resulting sentence imposed by the District Court evidences a recognition that Mr. Steinbrenner was well on his way toward rehabilitation. Although the Court could have imposed a prison sentence of up to three years for the two counts, the Court imposed only a fine. Surely, if Mr. Steinbrenner had been perceived to have engaged in moral turpitude or flouted the law in any way, a greater punishment would have been imposed.

Like the Court's determination to forego imposition of a prison sentence, the grant of a pardon to Mr. Steinbrenner would not undermine the prohibition against corporate campaign contributions. Rather, it would serve to recognize the value to society of complete rehabilitation, and illustrate the role that mercy properly plays in our criminal justice system.

V. CONTINUING ADVERSE EFFECT OF THE CONVICTION ON MR. STEINBRENNER'S RIGHTS AND INTERESTS

Although Mr. Steinbrenner was not imprisoned as a result of the commission of a felony offense, the restrictions imposed on him in response to his conviction were in many ways just as severe. Most importantly, Mr. Steinbrenner suffered the loss of his civil rights. <sup>3/</sup> In addition, Mr. Steinbrenner has been, in significant measure, prevented from conducting his

---

<sup>3/</sup> See e.g., Fla. Stat. § 94.005 (denying the right to vote to felons).

businesses and participating in civic and charitable affairs. In 1974, for example, then Commissioner of Baseball Bowie Kuhn prohibited Mr. Steinbrenner from "managing or advising in the management of the affairs of the New York Yankees and engaging in any association whatsoever with any Major League Club or its personnel" for a period of two years. See Attachment 2 hereto, at p. 1. 4/ It scarcely needs argument that for a man who is the general partner and principal owner of the Yankees and an ardent admirer of the sport, this suspension from professional baseball was harsh punishment indeed.

There has been some improvement in Mr. Steinbrenner's position since 1974. Notably, Governor Bob Graham of Florida's 1979 pardon restored his state civil rights. 5/ Notwithstanding Governor Graham's action and the passage of time, however, Mr. Steinbrenner's 1974 felony conviction continues to be a source of personal humiliation and a barrier to the normal pursuit of his community and business interests.

- 4/ The sole exception to this prohibition was for "extraordinary or unusual matters" affecting the Yankees, and even then, prior approval of the Commissioner was required. See ibid.
- 5/ Mr. Steinbrenner also had the support of Governor Graham's Cabinet. Under Florida law, the Governor can grant a pardon only after receiving the approval of three members of the Cabinet. Governor Graham granted Mr. Steinbrenner the full pardon (save for the authority to possess firearms) which, under the governing Rules of Executive Clemency, he was permitted to grant for a federal offense -- the restoration of Florida civil rights. See Rules of Executive Clemency of Florida § 5.F(2). The Rules of Executive Clemency of Florida authorize the issuance of a "full pardon" only for an offense under Florida law. See id. at § 5.A.

A stigma still attaches to Mr. Steinbrenner as a result of his conviction. One need only peruse the newspaper articles concerning Mr. Steinbrenner to confirm this. These articles frequently describe him as a "convicted felon" or the "only convicted felon among baseball's ownership." See a.g., Sports Illustrated, June 7, 1982; The Washington Post, October 23, 1981; and U.S. Edition, July 28, 1975.

Disabilities have been imposed on Mr. Steinbrenner's business activities as well. Just this past summer, for example, Minnesota racing officials denied him an owner's license at Canterbury Downs, the state's first parimutal thoroughbred racetrack, because of his felony conviction. <sup>6/</sup> The harm suffered by Mr. Steinbrenner is far more than economic; it is a symbolic slap in the face for a man who has tried so hard to overcome his conviction and be a good citizen.

Mr. Steinbrenner's civic and charitable activities similarly have been circumscribed because of the felony conviction. Recently, for example, he was asked to serve as a member of the Florida State Board of Regents. Although he wished to accept this position, after discussion with trusted friends and community leaders, he declined the nomination because of the

<sup>6/</sup> See Minn. Stat. § 240.06(d)(2) (precluding the issuance of horseracing licenses to felons). Mr. Steinbrenner is the owner of a number of thoroughbred racing horses. Because Oklahoma has a comparable provision in its laws, Mr. Steinbrenner also declined to join a family horse-racing venture in that state.

adverse publicity to the Florida Educational System that would likely ensue were he to accept.

Mr. Steinbrenner has gone to extraordinary lengths to atone for his wrongdoing. Nonetheless, he continues to be haunted by his past. As Tom McEwen, noted:

"I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal relationship, that relief of the pain and suffering of the felony would mean more to him than anything else."

(Affidavit of Tom McEwen, p. 4).

~~A grant of Executive Clemency by the President is warranted to mitigate the continuing harsh consequences suffered by Mr. Steinbrenner.~~

~~VI. DEFERENCE TO THE PARDON BY THE GOVERNOR OF FLORIDA~~

As a matter of comity, the decision by the Governor of Florida to restore Mr. Steinbrenner's civil rights in the State of Florida ought to be given great weight in determining whether to grant this parallel federal pardon request. ~~Like the President's Article II pardon power, the grant of Executive Clemency by the Governor of Florida is an "act of grace" proceeding from the power entrusted the Governor by the Florida Constitution. Rules of Executive Clemency of Florida § 1; see Florida Constitution of 1968, Article IV, § 8(a). Florida Governor Bob Graham, based on his review of the circumstances relevant to~~




Mr. Steinbrenner's conviction and his rehabilitative activities, determined that Mr. Steinbrenner was deserving of a reprieve. The act of grace commenced by the Governor should be completed by the President, by the grant of a full federal pardon.

VII. CONCLUSION

We respectfully request that the Pardon Attorney and the Attorney General recommend to the President that he grant the petition of George M. Steinbrenner for a pardon. A pardon would remove the stigma of a criminal conviction, restore to Mr. Steinbrenner his federal civil rights, and alleviate the continuing harm to his community and business interests. It would also serve to recognize Mr. Steinbrenner's many positive accomplishments and contributions to civic, charitable and educational affairs.

Respectfully submitted,

PIERSON, BALL & DOWD

  
William B. Sarbe  
T. Timothy Ryan, Jr.

1200 18th Street, N.W.  
Suite 1000  
Washington, D.C. 20036

Attorneys for Petitioner  
George M. Steinbrenner, III

# Memorandum

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Mr. Holmes	
Miss Gandy	

Subject

George Michael Steinbrenner  
F.B.I. No. 383 595 MI  
Applicant for pardon

Date

April 12, 1988

To William S. Sessions, Director  
Federal Bureau of Investigation

From David C. Stephenson  
Pardon Attorney

We are considering a pardon petition filed by subject, whose earlier petition was denied in 1981. The previous and current petitions were investigated by the Bureau's Background Investigation Unit in 1979 and 1986, respectively.

In accordance with well-established procedures relating to Executive clemency (see United States Attorneys' Manual 1-3.108 -- Office of the Pardon Attorney), I am required to prepare for the consideration of the Associate Attorney General a proposed report to be submitted by him to the President recommending that subject's petition be either granted or denied. It is my responsibility to obtain the views of concerned officials before submitting such a report. Enclosed for your review are copies of the petition and the closing reports of the background inquiries, as well as a copy of a letter from subject's counsel, T. Timothy Ryan, Jr., dated December 14, 1987.

The enclosure to Mr. Ryan's letter details assistance which subject states that he furnished the Bureau over an 11-year period (1976 to 1987). To facilitate our consideration of this case, we would appreciate your verifying this information. We ordinarily do not ask the Bureau to make recommendations in pardon matters. However, since you are in a unique position to evaluate the significance of subject's assistance, as well as the probative value of the contents of the Bureau's reports of June 10 and July 21, 1986, any views which you may wish to express concerning the propriety of according subject favorable pardon consideration as a reward for his service to the Government also would be welcome.

I would point out that the ground on which a pardon is usually granted is in large measure the demonstrated good conduct of the petitioner for a significant period of time after conviction and completion of sentence. All relevant factors, including the

~~SECRET~~

recentness and seriousness of the offense for which pardon is sought, the petitioner's prior and subsequent criminal record, if any, his reputation in the community and the extent of his community service, charitable or other meritorious activities, are carefully reviewed to determine whether he has become and is likely to continue to be a law-abiding, responsible and productive member of society.

Your cooperation in this matter is appreciated. If I may be of further assistance, please call me on FRS 492-5910. While obviously containing sensitive information, the attachment to Mr. Ryan's letter of December 14, 1987 is not classified.

PLEASE RETURN ATTACHMENTS.

George Michael Steinbrenner  
F.B.I. No. 383 595 MI  
Applicant for pardon

April 12, 1988.

William S. Sessions, Director  
Federal Bureau of Investigation

David C. Stephenson  
Pardon Attorney

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PLEASE RETURN ATTACHMENTS.

AMERICAN SHIP BUILDING COMPANY  
 (Title) GEORGE M. STEINBRENNER  
 (File No.) 56-311\*

Date Filed		Disposition
9/18/73	1. Subpoenas Issued for [REDACTED]	Returned to WSPD 9/20/73
	[REDACTED]	b3 (c11)
9/18/73	2. [REDACTED]	b3
	[REDACTED]	(c11)
9/18/73	3. [REDACTED]	b3 b6 b7C
	[REDACTED]	(c11)
9/24/73	4. [REDACTED]	b3 b6 b7C
	[REDACTED]	(c11)
9/24/73	5. [REDACTED]	b3
	[REDACTED]	

56-311-1A

SEARCHED	INDEXED
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SEP 18 1973	
FBI-WASHINGTON FIELD OFFICE	

*[Signature]*

File No. 56-311-1A'

Date Received September 17, 1973

From Clarendon Shire  
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

By

b6  
b7C

To Be Returned ☐ Yes  
☐ No

Receipt given ☐ Yes  
☐ No

Description:

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for

Rtd to  
WSPO - 9-20-73  
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b3





FD-340 REV. (6-24-65)

File No. 56-311-1A3

Date Received September 17, 1973

From \_\_\_\_\_  
(NAME OF CONTRIBUTOR)

\_\_\_\_\_  
(ADDRESS OF CONTRIBUTOR)

\_\_\_\_\_  
(CITY AND STATE)

By \_\_\_\_\_  
(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes  
☐ No

Receipt given ☐ Yes  
☐ No

Description:

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FD-340 REV. (6-24-65)

File No. 56-311-1A4

Date Received Sept 17 1973

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(NAME OF CONTRIBUTOR)

\_\_\_\_\_  
(ADDRESS OF CONTRIBUTOR)

\_\_\_\_\_  
(CITY AND STATE)

By \_\_\_\_\_  
(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes  
☐ No

Receipt given ☐ Yes  
☐ No

Description:

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56-311-1A4

56-311-1A5

File No. 56-311-1A5

Date Received September 17, 1973

From \_\_\_\_\_

(NAME OF CONTRIBUTOR)

\_\_\_\_\_  
(ADDRESS OF CONTRIBUTOR)

\_\_\_\_\_  
(CITY AND STATE)

By \_\_\_\_\_

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes  
☐ No

Receipt given ☐ Yes  
☐ No

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<input type="checkbox"/> Tokyo

RE:

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS

Date 8/28/73

☒ For information ☐ Retention optional ☐ For appropriate action ☐ Surep, by \_\_\_\_\_

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_.

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# Secret Nixon Donation By Shipbuilder Probed

By James R. Polk  
Star-News Staff Writer

The Watergate grand jury is probing a secret \$100,000 Nixon campaign donation made by officials of a Cleveland ship company while the firm was trying — without success — to win payment of a \$5 million overrun on a government contract.

Court records show the FBI has asked to question George M. Steinbrenner III, chairman of the American Ship Building Co. A top Democratic fund-raiser in Senate and House races, he is also a new owner of the

New York Yankees baseball club.

Watergate prosecutors say eight of his firm's employees each got a company bonus on the same day they made Nixon contributions. The grand jury is investigating whether these corporate funds were used illegally to finance their portion of the donation.

STEINBRENNER has acknowledged the \$100,000 delivery, which he said included \$75,000 of his own money. But he denies any wrongdoing, saying he only wanted access to the White

House on civic projects to benefit Cleveland — and says he found himself largely ignored.

"I was told it would be a good-size donation, but all of a sudden, it was a peanut. I got taken. I went in with my eyes open, but I got taken," he said.

The money was given in the Nixon campaign's secret fund-raising drive last year at a time when Steinbrenner's firm was seeking a government payment of \$5.4 million for its overrun in the construction costs of

See SHIP, A-6

DATE 9-6-73

PAGE A-1

THE WASHINGTON POST

✓ WASHINGTON STAR-NEWS

THE NEW YORK TIMES

56-311-14

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**Continued From Page A-1**  
an oceanographic survey ship, the Researcher.

As Secretary of Commerce, Maurice H. Stans ruled on the claim — and turned it down flatly — in one of his final acts before leaving office to become Nixon campaign finance chairman.

Not long afterwards, Steinbrenner pledged the \$100,000 sum in a meeting with fund-raiser Herbert W. Kalmbach, the President's personal attorney. Whether

the ship money was mentioned is in dispute.

KALMBACH has said he knew nothing about the contract or the claim. Steinbrenner, who at first denied he gave any money, told The Star-News in a later interview:

"He said something like, 'I know you've had a problem and it's a very difficult one.' He never once told me if I gave, he could help. But he did refer to it."

At any rate, American Ship Building apparently

got nothing from the government — and wound up paying it money. Steinbrenner said his firm's appeal on the claim to Stans' replacement as secretary of Commerce, Peter G. Peterson, failed.

Records show the overrun claim was not formally reopened, and the company, in a settlement, eventually agreed to pay \$230,000 for late delivery of the ship.

Steinbrenner said he made the donation to Nixon because he backed Nixon's

policies in foreign affairs, not because of the ship overrun.

"THERE WAS no thought in my mind connected to the Researcher — my God, may I be struck down if there was," he said.

The Cleveland case burst into the open when the eight American Ship employees went into a federal court hearing to ask for a delay after being subpoenaed to appear before the special grand jury yesterday.

Thomas F. McBride, heading the campaign money probe for Watergate prosecutor Archibald Cox's task force, said the eight men were "reimbursed by corporate bonuses" at the same time they made the donations.

U.S. District Judge John J. Sirica gave the witness a two-week delay to find their own attorneys, instead of a company lawyer. The defense motion said some of the men might want to seek immunity from prosecution.

**THE EMPLOYEES'** checks totaling \$25,000 were put in a packet with Steinbrenner's checks for \$75,000 and were flown to Washington on April 6, 1972, the final day before the new campaign disclosure law took effect.

Most of this extra group of checks, for \$3,000 or \$3,500 each, came from top officials of the American Ship firm, but at least one giver lacked any outward signs of wealth to finance his donation.

Daniel A. Kissel, treasurer for the company's fleet of Great Lakes cargo ships, wrote a \$3,500 check on his bank account to the Nixon campaign's "Active Volunteers for Improved Government." But he declined to answer how he could afford it.

When interviewed by The Star-News in his modest home in Avon, Ohio, earlier this year, Kissel was asked whether he got a company bonus to finance the donation. He said, "I don't want to answer that."

A CHECK with a suburban real estate agent showed Kissel's neighborhood was one of relatively low home prices — the house across the street selling a year earlier for \$22,000.

Kissel, like the other seven officials named in the subpoenas, worked at the firm's shipyard in Lorain, Ohio, west of Cleveland. Various company officials said the donations grew out of a coffee group there.

Steinbrenner said they put up the \$25,000 last year after he mentioned his Nixon support and said, "Well, I'll match you guys 3-to-1."

The Watergate task force is understood to have subpoenaed company records just before the Labor Day weekend to uncover the bonuses.

Steinbrenner, in a Star-News interview this summer, said his firm did give bonuses to its officials, but not for political purposes.

"Never once have we said: 'Here's a bonus. Give it politically,'" he said.

**FEDERAL LAW** forbids campaign donations by corporations. For years, there has been talk in political circles of companies giving bonuses to top employees to cover their campaign donations.

The Watergate grand jury apparently began its inquiry after the White House donor list, found in the possession of Nixon secretary Rose Mary Woods, named Steinbrenner as a \$100,000 giver.

Steinbrenner, 43, is a Cleveland heir who was once a backfield coach in Big Ten football. Within the last few years he expanded a small family fleet of Great Lakes vessels into the fast-growing American Ship Building Co.

He is an investor in such top Broadway musicals as "Applause" and "Seesaw," dabbles in horse racing, and has been part-owner of the Chicago Bulls pro basketball team.

**STEINBRENNER** headed a \$10 million syndicate of friends and fellow businessmen who bought the New York Yankees from CBS at the start of this year.

He is a familiar name in Washington Democratic money affairs, particularly in backing lawmakers on committees handling ship issues. In 1970, 1971, and again this year, Steinbrenner has been either chairman or co-chairman of the annual Democratic congressional fund-raising dinner.

In acknowledging the Nixon donation, he said he was disenchanted with campaign money matters in both parties and said, "I've never gotten a damn thing out of it. It's the biggest waste of time and money I've ever had."

He said his firm does not handle any more government work.

**AMERICAN Ship Building** includes the shipyard at Lorain, the Great Lakes cargo fleet known as Kinsman Marine, and other operations in Cincinnati, Nashville and Tampa.

The Justice Department filed an antitrust suit against American Ship last August — four months after the donation — to block purchase of Litton Industries' seven bulk cargo ships on the Great Lakes. The government charged this would give the firm too much control in inland shipping.

An out-of-court settlement was reached within a month. American Ship was allowed to keep only four of the vessels, to be used as replacements for other ships. But one government trial brief said Steinbrenner's firm would be able to dominate grain-hauling on the Great Lakes.

Counsel for the company in the Justice Department settlement was President Nixon's old firm of Mudge, Rose, Guthrie and Alexander. But Steinbrenner has personal ties to the firm.

He and Thomas W. Evans, a Mudge, Rose partner, were college classmates. Evans became a member of the board of American Ship Building last summer. He is also one of Steinbrenner's partners in the New York Yankees purchase.

**EVANS WEARS** one more hat: he was deputy finance chairman of the Nixon campaign last year.

Two other top-ranking officials of that finance drive and Steinbrenner all agree that it was Evans who introduced the Democratic giver to Kalmbach. Evans was not reached for comment.

The date of the Kalmbach meeting is not known. Steinbrenner, when first asked about a secret donation almost a year ago, confirmed the meeting, but said he gave nothing — he said most of his time with Kalmbach was spent in talking about football.

Steinbrenner acknowledged the \$100,000 after the figure was obtained later from campaign sources. The money is known to have arrived on the final day, April 6, and that is the date shown on copies of the Kissel check and another employee's \$3,500 donation.

Stans had refused the American Ship Building claim for \$5.4 million on Feb. 11, 1972, just four days before leaving office to become finance committee chairman. Steinbrenner said the firm asked Peterson for reconsideration and again was refused.

The approach to Peterson was not reflected in the Commerce Department's Maritime Administration records inspected by The Star-News. But it is known the ship dispute remained open until a settlement reached on May 2, 1972.

**IN THAT** agreement, American Ship gave up \$208,000 for late delivery of the Researcher vessel and \$22,000 for other construction penalties. The govern-

ment excused more than half of the 99-week delay. Otherwise, the penalties could have been twice as high.

Steinbrenner argued the \$5 million overrun on the contract was the fault of the government for not informing his firm of technical complexities encountered in building two previous research ships. But government experts recommended the claim be turned down, and Stans did so.

The defense motion yesterday for the delay in the other donors' grand jury appearance said the firm's board chairman, who is Steinbrenner, is cooperating with the FBI in making himself available for questioning.

It said the FBI had interviewed the eight employees, who then were subpoenaed over Labor Day weekend to testify.

The eight, identified as donors by McBride during the quick court hearing, include American Ship's executive vice president, Gordon Stafford, its secretary, Robert E. Bartolme, and its treasurer, Stanley J. Lepkowski.

**THE OTHERS** are Kissel; Ian R. Cushman, former head of Kinsman Marine; Matthew E. Clark Jr.; Robert L. Dibble, and Roy F. (Chief) Walker, once a pro football bonus player who failed in two tryouts with the Baltimore Colts.

Walker, now 33 and security director of the Lorain shipyard, gave \$3,500 to "Supporters of Good Government," as shown on a copy of his check. In a Star-News interview earlier this year, he denied using a company bonus for the donation, but said he did turn over his check to Bartolme as part of a group to be sent to Washington.

Bartolme, interviewed at the time in Lorain, also denied any company involvement in the donations. Bartolme said he and his wife had given \$3,000 from personal funds. He said, "We wanted to give what we could, and that was about as much as we could afford."

In acknowledging later that he had encouraged donations by his company officials, Steinbrenner said, "I don't see anything wrong with it, as long as you don't go to a guy and said, 'You either give or be fired.'"

The eight company witnesses are now scheduled to go before the grand jury Sept. 19. Steinbrenner has not been subpoenaed so far.

Routing Slip  
0-7 (Rev. 4-28-72)

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RE: AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION  
WATERGATE UNIT)

Date 9/17/73

☐ For information ☐ Retention optional ☒ For appropriate action ☐ Surep, by \_\_\_\_\_  
☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.  
☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_

Remarks:

RE CV AIRTEL TO BUREAU 9/7/73

ALL RECORDS REQUESTED HAVE BEEN OBTAINED AND  
ENCLOSURES SHOULD BE RETURNED TO THE  
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56-311-18

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RE: AMERICAN SHIP BUILDING  
COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS (ACCOUNTING AND FRAUD SECTION  
WATERGATE UNIT)

Date 9/17/73

☒ For information ☐ optional ☒ For appropriate action ☐ Surep, by \_\_\_\_\_

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_

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## Two Officials Get Immunity

A-6  
At least two officials of American Ship Building Co. in Cleveland have been granted immunity from prosecution in their testimony before a Watergate grand jury looking into a \$100,000 Nixon campaign donation.

The witnesses given immunity are Robert E. Bartolme, secretary of the firm, and Stanley J. Lepkowski, treasurer. Both appeared at length before the grand jury Wednesday.

The Watergate panel is investigating whether company funds were used illegally to help finance the donation last year.

The special Watergate prosecutor Archibald Cox's office has said eight employees got company bonuses reimbursing them for the \$25,000 they gave in a package that also included \$75,000 from George M. Steinbrenner III, the New York Yankees chief owner who is head of American Ship Building.

DATE 9-21-73

PAGE A-6

☐ THE WASHINGTON POST

☒ WASHINGTON STAR-NEWS

☐ THE NEW YORK TIMES

56-311-20

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FEDERAL BUREAU OF INVESTIGATION  
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UNITED STATES GOVERNMENT

# Memorandum

TO : SAC (56-310)

P

DATE: 10/30/73

FROM : SA [REDACTED]

b6  
b7C

SUBJECT: AMERICAN SHIPBUILDING Co  
GEORGE M. STEINBRENNER

CAMPAIGN CONTRIBUTIONS  
ELECTION LAWS  
OO:WFO

The following listed cases are actively being investigated by the Watergate Prosecution Force headed by Departmental Attorney [REDACTED] and [REDACTED]

b6  
b7C

[REDACTED] The writer is in weekly contact with these individuals and has received assurances that as each case reaches a stage whereby the Prosecution will go forward or the case will be closed by that Office, the writer will be so informed.

It is therefore recommended that the following cases be posted on authority of the SAC:

56-311

56-312

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56-311-26

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FBI - WASH. FIELD OFFICE		

[REDACTED]

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5010-108

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

December 26, 1973

BY COURIER SERVICE

AMERICAN SHIP BUILDING COMPANY  
CONTRIBUTIONS TO "DINNER WITH  
DAN COMMITTEE"  
ELECTION LAWS

Enclosed are two copies of a memorandum dated December 20, 1973, received from the Washington, D. C., FBI Office.

This concludes the investigation requested in this matter. The Special Prosecution Force is requested to advise only if additional investigation is desired.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Enclosures (2)

56-311 - 30

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Date 12/26/73

RE:

AMERICAN SHIP BUILDING COMPANY

☒ For information      Retention ☐ optional      For appropriate ☐ action      ☐ Surep, by \_\_\_\_\_

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_.

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Urfile 56-311

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WATERGATE SPECIAL PROSECUTION FORCE  
United States Department of Justice  
1425 K Street, N.W.  
Washington, D.C. 20005

February 21, 1974

[redacted] Esquire  
[redacted]  
Washington, D.C.

Re: American Shipbuilding Company contributions  
to "Dinner With Dan Committee"

Dear Mr. [redacted]:

In the course of the investigation by a federal Grand Jury in the District of Columbia into possible violations of 18 U.S.C. Sec. 610 (political contributions by corporations) and the Federal Election Campaign Act of 1971, we have requested the Federal Bureau of Investigation to obtain copies of two checks issued by the "Dinner With Dan Committee": one check drawn to the order of Rainbow Pacific Travel and Tours, Inc., in the amount of \$501.78, and the other check drawn to the order of Union Enterprises in the amount of \$1,000. Both checks are dated August 31, 1973. Mr. [redacted]

[redacted] has advised the Bureau that he will voluntarily make available copies of the above checks, but he requested that you, as attorney for a successor committee of the "Dinner With Dan Committee," be advised of this request.

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By copy of this letter both Mr. [redacted] and the Bureau are advised that it is important to our investigation to obtain copies of the above checks. Agents of the Bureau will contact Mr. [redacted] to obtain copies of the checks.

Sincerely,

[redacted]  
Assistant Special Prosecutor

cc: [redacted]

Hawaii State Dept. of Education  
Honolulu, Hawaii

✓ [redacted] Special Agent  
Federal Bureau of Investigation  
Washington, D.C.

56-311-33

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2/25/74

TO: SAC, Honolulu (56-21)

FROM: Director, FBI (56-4778)

AMERICAN SHIP BUILDING COMPANY  
CONTRIBUTIONS TO "DINNER WITH DAN  
COMMITTEE"  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

Enclosed are two copies and for WFO one copy of  
a letter dated 2/21/74 to [redacted] Washington,  
D. C., from [redacted] Assistant Special Prosecutor.

[redacted]  
[redacted] Hawaii State Department of Education, Honolulu,  
is to be contacted promptly and the two items described in  
the enclosed letter should be promptly obtained and for-  
warded to the Bureau for transmittal to the Special Prose-  
cution Force.

Enclosures (2)

12 WFO (enc) (info)

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b7c

*Ans*

*LB*

56-21-34  
*cc*  
[redacted] *C*

Washington Field Office, Room 506  
Old Post Office Building  
Washington, D.C. 20535  
March 29, 1974

Watergate Special Prosecutor  
1425 K Street, N.W.  
Washington, D.C.

Attention: Mr. [REDACTED]

Dear Sir:

Re: Campaign Contributions  
Election Law Matters

Pursuant to a conversation had between Special Agent [REDACTED] of the Washington Field Office and [REDACTED] on March 19, 1974, this will confirm Special Agent [REDACTED] request to advise the Washington Field Office of the Federal Bureau of Investigation, whether or not additional cases have been closed by your office since January 1974.

Your assistance in this matter is appreciated.

Very truly yours,

John J. Mc Dermott  
Special Agent in Charge

2 -Addressee

55-WFO

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WFO 46-9998

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NR 009 CV CODE

6:53 PM URGENT 4-5-74 SLO

TO DIRECTOR 56-4737  
WASHINGTON FIELD  
FROM CLEVELAND 56-83

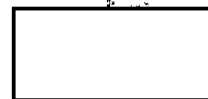
AMERICAN SHIP BUILDING COMPANY, GEORGE M. STEINBRENNER. ELECTION  
LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT). OO: WFO

RE CLEVELAND TELCALL TO BUREAU APRIL 5, 1974.

ON APRIL 5, 1974, THE FEDERAL GRAND JURY AT CLEVELAND, OHIO  
RETURNED A 15 COUNT INDICTMENT SIGNED BY LEON JAMORSKI, SPECIAL  
PROSECUTOR, CHARGING STEINBRENNER AND AMERICAN SHIP BUILDING  
COMPANY WITH ONE OR MORE VIOLATIONS OF TITLE 18, USC, SECTIONS  
2 (AIDING AND ABETTING); 371 (CONSPIRACY); 617 (ELECTION LAWS -  
POLITICAL CONTRIBUTIONS BY CORPORATIONS); 1591 (FURNISHING FALSE  
INFO TO SPECIAL AGENTS OF THE FBI); 1503 & 1510 (OBSTRUCTION OF  
JUSTICE STATUTES).

END PAGE ONE

MR J 7 00 1974



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b7c

56-311-36

7:00 PM  
WFO



CV 56-334

PAGE TWO

IN CONNECTION WITH THE ABOVE CHARGE OF 1971, THE INDICTMENT  
CITES STEINBERGER AS KNOWINGLY AIDING, ABETTING, COUNSELLING,  
ETC. SEVERAL AMSHIP OFFICERS INTERVIEWED BY CLEVELAND AGENTS  
DURING AUGUST OF 1973 IN FURNISHING FALSE INFORMATION TO THOSE  
AGENTS.

NAMED AS UNINDICTED CO-CONSPIRATORS IN THE INDICTMENT  
ARE AMSHIP OFFICERS, ROBERT E. RAFTLOME AND [REDACTED].

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CLEVELAND WILL SUREP AND KEEP BUREAU ADVISED OF ALL  
DEVELOPMENTS.

END

WMC WF FBI FOR ONE CLR AND TKS

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WFO</b>	DATE <b>4/8/74</b>	INVESTIGATIVE PERIOD <b>4/5/74</b>
TITLE OF CASE <b>AMERICAN SHIPBUILDING COMPANY GEORGE M. STEINBRENNER</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span>	TYPED BY <b>cab</b>
		CHARACTER OF CASE <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

REFERENCE

Cleveland teletype to the Bureau, 4/5/74.

-P-

LEADCLEVELAND:AT CLEVELAND, OHIO

Will follow and report prosecution.

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b7c

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES			
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
APPROVED <i>FLP</i>						SPECIAL AGENT IN CHARGE	
COPIES MADE:						DO NOT WRITE IN SPACES BELOW	
4 - Bureau (56-4737)							
② - WFO							
1 - Cleveland (56-83)							
Dissemination Record of Attached Report						Notations	
Agency						<div style="border: 1px solid black; padding: 5px;">           56-311-37            SEARCHED <i>dy</i> INDEXED <i>dy</i>            SERIALIZED <i>dy</i> FILED <i>dy</i>  <b>APR 15 1974</b>            FEDERAL BUREAU OF INVESTIGATION            CLEVELAND OFFICE         </div>	
Request Recd.							
Date Fwd.							
How Fwd.							
By							

A\*  
COVER PAGE

CV 56-83

ADMINISTRATIVE

In view of the numerous charges appearing in the 15 count indictment of STEINBRENNER and his firm the American Shipbuilding Company and the complexity of those charges, the indictment as obtained from the Office of the Clerk of Courts, United States District Court, Cleveland, Ohio, is being set forth in its entirety.

B\*  
COVER PAGE

## American Shipbuilding Co. Case

# Executive Pleads Guilty on Gift

Associated Press

An official of American Ship Building Co. pleaded guilty yesterday to being an accessory after the fact in an effort to cover up an illegal corporate campaign contribution to President Nixon's 1972 re-election effort.

John H. Melcher Jr., executive vice president and general counsel of the company, was released on personal recognizance pending completion of a presentence report.

U.S. District Judge George L. Hart Jr. advised Melcher that the maximum penalty for the misdemeanor charge is one year in jail and a \$5,000 fine.

George M. Steinbrenner III, chairman of the board and chief executive officer of American Ship Building, was indicted recently in connection with the illegal \$25,000 contribution.

Steinbrenner is scheduled for arraignment in Cleveland on Friday.

The charge to which Melcher pleaded guilty alleged that he assisted Steinbrenner

in an effort to cover up the illegal contribution by giving a false explanation to American Ship Building employees which they then gave to the FBI.

It also alleged that Melcher gave a false explanation of the

contribution to an assistant special prosecutor.

It was understood that Melcher has agreed to cooperate with the investigation.

The charge alleged that in April 1972 Steinbrenner,

owner of the New York Yankees baseball team, approved a \$25,000 contribution from corporate funds to President Nixon's re-election committee. Federal law prohibits contributions from corporate funds.

Washington Post  
4/19/74

56-311-39

9

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SERIALIZED	FILED
APR 22 1974	
FBI-WASHINGTON FIELD OFFICE	

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F B I

Transmit in \_\_\_\_\_ Via Airtel \_\_\_\_\_  
(Type in plaintext or code) (Priority)

TO: SAC, WFO

4/19/74

(Date)

FROM: Director, FBI (56-4737)

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION -  
WATERGATE UNIT)  
OO: WFO

Rerep of SA [ ] dated 4/8/74 at Cleveland.

"The Washington Post" issue of 4/19/74 contained an  
article reporting that [ ]

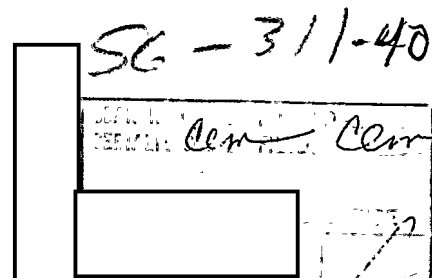
[ ] American Ship Building Company, pled  
guilty in U. S. District Court, Washington, D. C., 4/18/74,  
concerning an illegal campaign contribution of \$25,000.  
Speculation is offered in this article that he will appear  
as a Government witness against Steinbrenner.

If not already done, WFO should ascertain the details  
of the charge and plea in this matter and follow prosecution  
of [ ].

1- Cleveland (56-83) (info)

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Routing Slip  
0-7 (Rev. 4-28-72)

(Copies to ☐ es Checked)

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RE:

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
EL

Date 5/7/74

*searched 3 ways*

☒ For information    ☐ Retention optional    ☐ For appropriate action    ☐ Surep, by \_\_\_\_\_

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_

Remarks:

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*56-311-\**  
*56-347\**

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SEARCHED <i>CK</i>	INDEXED <i>CK</i>
SERIALIZED <i>CK</i>	FILED <i>CK</i>
MAY 9 1974	
FBI-WASHINGTON FIELD OFFICE	

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# Jaworski Opposes Effort by Owner Of Yankees to Have Charge Dropped

By William Claiborne  
Washington Post Staff Writer

Watergate Special Prosecutor Leon Jaworski asked a federal judge yesterday to deny a request by George M. Steinbrenner III, majority owner of the New York Yankees, to drop charges against him in connection with an elaborate kickback scheme for raising campaign contributions.

Steinbrenner, chairman of American Shipbuilding Co., was indicted by a federal grand jury in Cleveland April 5 on a variety of conspiracy and obstruction of justice

charges connected to illegal campaign contributions to President Nixon and several congressmen.

Steinbrenner is accused of authorizing fake bonuses of his shipbuilding company employees, who in turn gave the money to campaign committees for Mr. Nixon and the congressmen.

Attorneys for Steinbrenner had filed motions asking for dismissal of the charges on a variety of grounds, including prejudicial pretrial publicity and unconstitutionality.

Steinbrenner had also filed motions under discovery of evidence rules asking the special prosecutor to turn over presidential tape recordings and documents relating to the campaign fund-raising practices of former Commerce Secretary Maurice H. Stans and Herbert W. Kalmbach, Mr. Nixon's former attorney. Both were active in re-election fund-raising.

Jaworski called Steinbrenner's request for the tapes "a classic example of a fishing expedition," and said the tapes are irrelevant to the charges.

The defendant's request should be recognized for what

it is, a bald attempt to inject wholly unrelated issues which are currently in vogue into an essentially routine criminal prosecution of a private individual and corporation," Jaworski said in a memorandum filed with the court.

As for the issue of pre-trial publicity, Jaworski argued, "In other cases far more directly in the glare of the Watergate publicity defendants have moved for dismissal or ... for continuance. In each case, the trial judge denied the motion ..."

The 15-count indictment accuses Steinbrenner of attempting to obstruct two grand jury probes by destroying and falsifying records and ordering company officers to lie to FBI agents and to grand juries in Cleveland and Washington, D.C.

*Steinbrenner  
Shipbuilding  
file*

DATE 6-18-74

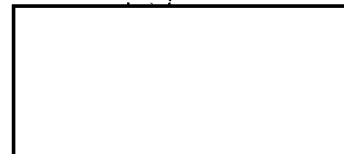
PAGE A-10

✓ THE WASHINGTON POST

WASHINGTON STAR-NEWS

THE NEW YORK TIMES

62-311-51  
JUN 19 1974



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UNITED STATES GOVERNMENT

# Memorandum

TO : SAC, (56-311) P \*

DATE: 6/28/74

FROM : SA [REDACTED]

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SUBJECT: AMSHIP  
GEORGE M. STEINBRENNER  
EL  
CONSPIRACY; FAG; OOJ  
OO:WFO

Re Buairtel to Cleveland, 6/10/74.

This case is Pending trial in Cleveland and all investigation has been completed.

It is therefore recommended this matter be placed in Pending Inactive status.

AJL/ajl  
(1)

*make Pending Inactive*

56-311-51

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 28 1974	
FBI - WASH. FIELD OFFICE	



5010-108

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan



## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>CLEVELAND</b>	OFFICE OF ORIGIN <b>WASHINGTON FIELD</b>	DATE <b>9/6/74</b>	INVESTIGATIVE PERIOD <b>8/23-30/74</b>
TITLE OF CASE  <b>AMERICAN SHIP BUILDING COMPANY GEORGE M. STEINDRENNER</b>		REPORT MADE BY  <b>SA [REDACTED]</b>	TYPED BY  <b>mak</b>
		CHARACTER OF CASE  <b>ELECTION LAWS (ACCOUNTING AND FRAUD SECTION - WATERGATE UNIT)</b>	

References

Cleveland teletypes 8/24/74 and 8/30/74.

- RUC -

ENCLOSUREb6  
b7cTO BUREAU

One Disposition Sheet.

ACCOMPLISHMENTS CLAIMED				<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	FUG.	FINES	SAVINGS	RECOVERIES		
<b>3-CV</b>		<b>\$35,000-CV</b>				PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
COPIES MADE:  4 - Bureau (56-4737) (Encl. 1) 2 - WFO (56-311) 1 - Cleveland (56-83)  <i>WFO - Pending</i> <i>WFO - [unclear]</i>		56-311-62 [REDACTED] [REDACTED] [REDACTED] [REDACTED]

Dissemination Record of Attached Report				Notations
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

Washington, D.C. 20535  
June 28, 1976

INVESTIGATIVE MATTERS PREVIOUSLY  
REFERRED TO THE OFFICE OF THE  
WATERGATE SPECIAL PROSECUTOR  
CAMPAIGN CONTRIBUTIONS  
ELECTION LAWS

The cases set forth below had previously been referred by the Washington Field Office (WFO) of the Federal Bureau of Investigation (FBI) to the Office of the Watergate Special Prosecutor for review in May, 1974. It is requested that the results of the Special Prosecutor's Office review of each of these investigative matters be made available to the FBI in order that each case may be either closed or reopened for further active investigation.

1. Northwest Orient Airlines  
Watergate Special Matters  
Election Laws
2. [REDACTED]  
Campaign Contributions  
Election Laws
3. American Shipbuilding Company  
George M. Steinbrenner  
Election Laws
4. [REDACTED] Brothers  
Campaign Contributions  
Election Laws
5. [REDACTED]  
Campaign Contributions  
Election Laws

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

6 - Bureau  
50 - WFO (copy count attached) ✓  
RJR:dml  
(56) *dml*

56-311-63

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Searched	<i>[initials]</i>
Serialized	<i>[initials]</i>
Indexed	<i>[initials]</i>
Filed	<i>[initials]</i>

WFO 56-308

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WFO 56-308

6. Northwest Bank  
[redacted]  
Campaign Contributions  
Election Laws
7. [redacted] Incorporated  
[redacted]  
Campaign Contributions  
Election Laws
8. L-T-V Corporation  
Campaign Contributions  
Election Laws
9. Cities Service Company  
Campaign Contributions  
Election Laws
10. Exxon Corporation  
Standard Oil Company of California  
Campaign Contributions  
Election Laws
11. [redacted]  
Information Concerning  
Campaign Contributions  
Election Laws
12. [redacted] Hanzar Company  
[redacted]  
Election Laws
13. INCOM, Incorporated  
[redacted]  
Election Laws
14. Atchison, Topeka and  
Sante Fe Railroad  
Campaign Contributions
15. Graniteville Company  
[redacted]  
Election Laws

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16. [REDACTED]  
Information Concerning
17. Marathon Oil Company  
Campaign Contributions  
Election Laws
18. Avon Steamship Company, Incorporated  
Campaign Contributions  
Election Laws
19. American Shipbuilding Company  
Contributions to "Dinner with  
Dan Committee"  
Election Laws
20. Hispanic Finance Committee  
Campaign Contributions  
Election Laws; Bribery
21. [REDACTED]  
Election Laws; Bribery
22. Springs Mills, Incorporated  
[REDACTED]  
Campaign Contributions  
Election Laws
23. Apparel Industries Committee  
[REDACTED]  
Campaign Contributions
24. Citizens for Jackson  
Campaign Committee  
Election Laws
25. Audio Intelligence Devices  
Leo Goodwin Foundation  
Campaign Contributions

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WFO 56-308

26. Texas Instruments, Incorporated  
Campaign Contributions  
Election Laws
27. United Airlines (UAL)  
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Campaign Contributions  
Election Laws
28. Honeywell, Incorporated  
Campaign Contributions  
Election Laws
29. Lakewell Industrial Park, Incorporated  
[redacted]  
Campaign Contributions  
Election Laws
30. Teledyne Ryan Aeronautical Company  
Campaign Contributions  
Election Laws
31. National Cable Television Association, Incorporated (NCTA)  
Campaign Contributions  
Election Laws
32. CRC Corporation  
Campaign Contributions  
Election Laws
33. [redacted] also known as [redacted]  
Campaign Contributions  
Election Laws
34. Kerr - McGee Corporation  
Campaign Contributions  
Election Laws
35. [redacted]  
Warner Communications, Incorporated  
Campaign Contributions  
Election Laws

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WFO 56-308

36. Engstrom - Hicks Insurance Agency  
Election Laws
37. Continental Airlines  
Campaign Contributions  
Election Laws
38. [REDACTED]  
Campaign Contributions  
Election Laws
39. [REDACTED]  
Election Laws; Conspiracy
40. Executive Jet Aviation, Incorporated  
Campaign Contributions  
Election Laws
41. [REDACTED]  
Campaign Contributions  
Election Laws
42. Ashland Oil Corporation  
Campaign Contributions  
Election Laws
43. Theodore M. Risenhoover  
U.S. Congressman  
Second Congressional District of Oklahoma  
Election Laws
44. McDonnell Douglas Aircraft Corporation  
Campaign Contributions  
Election Laws
45. C. Brewer and Company, Limited  
Honolulu, Hawaii  
Election Laws
46. Federation of Experienced Americans, Independent Older Americans  
Fraud Against the Government  
Conspiracy

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WFO 56-308

- 47. Unknown Subjects  
Alleged Misuse of the Internal Revenue Service  
Fraud Against the Government
- 48.  b6  
Conflict of Interest b7C
- 49.   
Miscellaneous Information Concerning
- 50. Unknown Subjects;  
Missing and Altered White House Tapes and Documents  
Perjury; Obstruction of Justice; Destruction of Government  
Property.



DIRECTOR, FBI

6/28/76

SAC, WFO (56-308)

INVESTIGATIVE MATTERS  
PREVIOUSLY REFERRED TO THE  
OFFICE OF THE WATERGATE  
SPECIAL PROSECUTOR;  
CAMPAIGN CONTRIBUTIONS  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION  
WATERGATE UNIT)

Enclosed for FBIHQ are the original and five (5) copies of  
an LHM dated and captioned as above for dissemination to the Watergate  
Special Prosecutor's Office (WSP0).

This LHM sets forth fifty (50) cases which had previously been  
submitted for review to WSP0 by WFO in May, 1974. Concurrently these  
cases have been carried by WFO in a pending inactive - unassigned status  
while awaiting results of the WSP0 review. To date the results of  
this review have not been received by WFO.

WFO is anxious to be advised of the WSP0 opinion on each case  
set forth in order that each case may be either closed or reopened for  
further investigation.

2 Bureau  
50- WFO (copy count attached) ✓  
RJR:dml  
(52) *done*

*B*

56-312 64  
Searched \_\_\_\_\_  
Serialized *Ben*  
Indexed *Ben*  
Filed *Ben*

WFO 56-308

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1 - 74-290

1b\*

UNITED STATES GOVERNMENT

# Memorandum

TO :SAC, WFO

DATE: 9/15/76

FROM :SUPERVISOR [REDACTED]

SUBJECT: INVESTIGATIVE MATTERS  
PREVIOUSLY REFERRED TO THE  
OFFICE OF THE WATERGATE  
SPECIAL PROSECUTOR;  
CAMPAIGN CONTRIBUTIONS  
ELECTION LAWS

Re WFO letter to the Bureau dated 6/28/76, and  
Bureau airtel to WFO dated 8/31/76.

Copies of referenced airtel being maintained in  
file 56-308 and 56-0.

Referenced airtel indicated that cases as listed  
on this memo have been closed by the  
Watergate Special Prosecution Force with the exception of  
the following:

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The following investigative matters have been  
transferred to the Internal Revenue Service:

1. 56-311
2. 56-314
3. 56-318
4. 56-333

FILE STRIPPED

Date: 9/17/76

Initials: RH

The following investigative matters have been  
transferred to the Frauds Section of the Department of Justice:

1. 56-319
2. 56-397

With the exception of the two cases that are still  
open for further investigation, that is, case entitled [REDACTED]

[REDACTED] 56-462 and [REDACTED] 62-11500.



49-WFO

(1-56-308)

(1-56-309)

(Copy Count continued on Page 2)

CTB:dtl  
5010-110 (49) dtl

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

SEARCHED	INDEXED
SERIALIZED	FILED
FBI-WASHINGTON FIELD OFFICE	

Copy Count Continued

(1-56-313)	(1-56-441)
(1-56-321)	(1-56-450)
(1-56-323)	(1-56-465)
(1-56-329)	(1-56-468)
(1-56-330)	(1-56-478)
(1-56-331)	(1-56-482)
(1-56-332)	(1-56-503)
(1-56-340)	(1-46-10056)
(1-56-341)	(1-58-1413)
(1-56-345)	(1-56-315)
(1-56-352)	(1-56-347)
(1-56-353)	(1-56-361)
(1-56-355)	(1-56-461)
(1-56-358)	(1-56-490)
(1-56-362)	(1-46-10103)
(1-56-376)	(1-74-290)
(1-56-382)	(1-56-311)
(1-56-389)	(1-56-314)
(1-56-391)	(1-56-318)
(1-56-393)	(1-56-333)
(1-56-404)	(1-56-319)
(1-56-424)	(1-56-397)
(1-56-428)	(1-56-462)
	(1-62-10580)

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Accordingly, each of the listed cases which are pending inactive is being closed by WFO.

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription May 14, 1974

Mr. [redacted] was contacted regarding the irregularity at the State Primary Election that occurred at the Presbyterian Church, 18th and Delaware Streets, Indianapolis, Indiana on May 7, 1974, involving elections in the 7th Ward, 6th Precinct, Marion County, Indiana.

Mr. [redacted] advised that on May 7, 1974, at approximately 11:00 AM while acting as a Democratic Precinct Committeeman for the 7th Ward, 6th Precinct during the State Primary Election being held at the Presbyterian Church located at 18th and Delaware Streets, Indianapolis, Indiana, an incident occurred that Mr. [redacted] felt was an election law violation. Mr. [redacted] stated at the aforementioned church there were three precincts being represented, his and two others, one being the 6th Ward, 2nd Precinct and the other not recalled by him. Each precinct had their duly elected officials who were coordinating the proceedings.

b6  
b7c

Mr. [redacted] stated at approximately 11:00 AM he was advised several of his workers were not at their posts whereupon Mr. [redacted] went outside the building to see where they might be. Once outside [redacted] encountered a Mr. [redacted] or [redacted] who was an official Republican approved [redacted] for the 7th Ward, 6th Precinct. Mr. [redacted] stated the function of [redacted] was to insure that the voting was carried out in orderly fashion. Mr. [redacted] asked this individual if he knew what happened to his two workers whereupon [redacted] responded, "Your asking too many questions about them, you better shut up." About this time a Negro male who was observed to be wearing a tag which indicated he was an officially appointed [redacted] the Republican Marion County Election Board stated, "We don't just go by election commission rules, we handle things our own way and you can be taken away from here and something done to you."

Mr. [redacted] said he went back into the voting spaces where he met Mr. [redacted] for both parties, and advised him of the situation. Mr. [redacted] then went to the spaces where [redacted] was working. Mr. [redacted] subsequently returned and stated [redacted] claimed that Mr. [redacted] was causing trouble for the Republican Party.

Interviewed on 5/10/74 at Indianapolis, Indiana File # 56-511-46  
100-45-280-4  
by SA [redacted] :slr Date dictated 5/14/74

b6  
b7c

IP 33-

Mr. [ ] stated inasmuch as he was threatened with bodily harm and considering [ ] For the 45th District that this constituted an election law violation.

b6  
b7C

Mr. [ ] is described as a white male, age 60, 5'11", 190 pounds, black graying unruly hair, [ ]

Director, FBI (56-4737)

8/5/74

SAC, Indianapolis (56-281) (RUC)

AMERICAN SHIP BUILDING COMPANY  
GEORGE M. STEINBRENNER  
ELECTION LAWS  
(ACCOUNTING AND FRAUD SECTION  
WATERGATE UNIT)

OO - WFO

ReBuairtel to CV, 7/19/74.

Enclosed to Bureau are two copies of an LHM.

Enclosed for Cleveland and Washington Field is  
one copy each of above LHM.

- 2 - Bureau (Enc. 2)
- 1 - Cleveland (56-83) (Enc. 1)
- 1 - Washington Field (Enc. 1)
- 1 - Indianapolis

RJS:cdl/fjm-  
(5)

FILE STRIPPED  
8-7-74

b6  
b7C

56-311-47

UNITED STATES GOVERNMENT

## Memorandum

CC6

TO : Sac, WFO

DATE: 1-7-78

FROM : 1: Sac, Indianapolis

SUBJECT:

American Shipbuilding Co.  
(Title)  
George M. Steinbrener

☒ RUC☐ File Destruction ProgramEnclosed are 1 items.

These items are forwarded your office since:

☐ All logical investigation completed in this Division☒ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

1 302

Enc. 1

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.

56-311-68

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 10 1978	
FBI - WFO	



## Memorandum



To : SAC: WFO (56-311)

Date 4-25-88

From : SAC: Cleveland

☐ RUC

Subject :

*get/mak*

American Ship Building Co.  
(Title)  
George M. Steinhilber

☒ File Destruction Program

Enclosed are \_\_\_\_\_ items.

These items are forwarded your office since:

☐ All logical investigation completed in this Division☒ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

Enc.

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.

56-311-69  
CB CB

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 134

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(Title)

(File No.) 73-621-1A<sup>s</sup>

[illegible]

RECEIVED \_\_\_\_\_  
 MAY 13 1986  
 [Signature]

Field File No. 73-621-1A<sup>①</sup>

Serial # of Originating Document \_\_\_\_\_

OO and File No. \_\_\_\_\_

Date Received 5-12-86

From  b6  
b7c  
(Name of Contributor)

\_\_\_\_\_  
(Address of Contributor)

\_\_\_\_\_  
(City and State)

By \_\_\_\_\_  
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No    Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules  
of Criminal Procedure ☐ Yes ☐ No

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

FD-406 Authority TO Release  
info.

Field File No. CW 73-621-1A<sup>②</sup>

Serial # of Originating Document \_\_\_\_\_

OO and File No. \_\_\_\_\_

Date Received 5/1/86

From \_\_\_\_\_

(Name of Contributor)

\_\_\_\_\_

(Address of Contributor)

Cleveland Ohio

(City and State)

By \_\_\_\_\_

To Be Returned ☐ Yes ☐ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

b6  
b7C

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

\_\_\_\_\_

5/1/86

Father in Ship Bldg  
died last year

when he visits Mother  
Talks to her frequently  
at ball games  
W.C. W. NY  
Spring training

30 yrs

Thru Group 66

3 times in Canadian  
\$14,000 → \$38,000

Mother 2 sisters in Canada

[Redacted]

★ Known with derogatory  
Everything is known his posture  
Very Charitable especially with young people

Exaggeration

Opportunity for forming these opinions

esp. sports

Release of Credit + Arrest for where employed

b6  
b7C

Check indices on close relatives

Asked if confidentiality requested - No

[Redacted]

Who prepared affidavit?

[Redacted]

did

[Redacted]

offices not  
[Redacted]  
since last year

American Ship Building

within last years  
Now closed in Lorain

Kinsman Lines New Bedford

Moved it to Tampa  
Now Consolidated

Chicago  
Nashville

[Redacted]

Other areas associated?

What does X know re violation?

Any geology for SP + Both

New Bedford  
Discovered



UNITED STATES GOVERNMENT

# Memorandum

TO : SAC, CLEVELAND (73-621)(P)

DATE: 3/5/79

FROM : SC

SUBJECT: GEORGE MICHAEL STEINBRENNER, III  
APACS

Re Tampa report of SA  dated  
2/20/79.

On 3/2/79, records of the US Clerk of Courts,  
US District Court, Northern District of Ohio, Eastern  
Division were reviewed by the writer and a copy of docket  
sheet CR 74-372 pertaining to STEINBRENNER was obtained.

On 3/5/79, records of the Credit Bureau of  
Cleveland, 666 Euclid Avenue, Cleveland, Ohio, were  
reviewed and the attached information was obtained.

b6  
b7C

:slk  
(2)



5010-110

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

73-621-2

SEARCHED	INDEXED
SERIALIZED	FILED
MAR - 1979	
AND	

*[Handwritten signature]*

CR74-372  
JUDGE CONTIE


CUYAHOGA COUNTY

[illegible]

STATISTICAL RECORD	COSTS	DATE	NAME OR RECEIPT NO.	REC.	DISB.
J.S. 2 mailed	Clerk	9-12-74	B. M. Kleinman	5,000.00	
J.S. 3 mailed AUG 31 1974	Marshal	9-13-74	Trans. of U.S.		5,000.00
Violation Unlawful Contribution to election of Government Officials	Dr. bet fee				
Title 18					
Sec. Sections 3, 610 U. S. C.					
1 count Information					

[illegible]

*red line*  
N/6068662

B-CLV #60686620, NAME2 #6068663 STEINBRENNER, GEORGE MICHAEL 3, 

#03# 30400 SALEM AV, BAY VILLAGE S00/58 44140, 0

B07/30 M/MAR/06/SSN285-28-5751

03EMP AMER SHIP BLDG, V07/73 H00/67 CHAIRMAN OF BD *employment*

02FE1 GRT LKS ASSOC INC, - *former mply*

02FA1 25004 BRUCE, BAY VILLAGE - *former add*

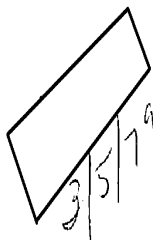
01FA2 21015 WESTWAY, - *former address*

END ID

b6

b7C

*no line  
update*

  
3/5/79

UNITED STATES GOVERNMENT

# Memorandum

TO : SAC, CLEVELAND (73-621) (P)  
(ATTN: SA [REDACTED])

DATE: 3/7/79

FROM : SC [REDACTED]

SUBJECT: GEORGE MICHAEL STEINBRENNER, III  
APACS

Re report of SA [REDACTED] dated 2/20/79 at  
Tampa, Florida.

On 3/2/79 Patrolman [REDACTED] Cleveland Police  
Department, Record Room advised he could locate no record  
identifiable with GEORGE MICHAEL STEINBRENNER, III.

On 3/2/79 Detective [REDACTED] Organized Crime  
Unit, Cleveland Police Department advised he could locate  
no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

On 3/2/79 [REDACTED] Identification Division,  
Cleveland Police Department advised she could locate no  
record identifiable with GEORGE MICHAEL STEINBRENNER, III.

b6  
b7C



5010-110

BAW/bw  
(2)

73-621-3

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 7 1979	
FBI CLEVELAND	

[REDACTED]

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

X

3/13/79

TO: DIRECTOR, FBI  
FROM: SAC, CINCINNATI (73-751) (RUC)  
SUBJECT: GEORGE MICHAEL STEINBRENNER, III,  
George Michael Steinbrenner  
APACS

Re TP rep of SA [REDACTED] 2/20/79.

ADMINISTRATIVE

The lead set forth for Cincinnati Division at Columbus, Ohio, to verify applicant's ownership of the American Ship Building Company and Kinsman Lines at the State of Ohio, Corporate Records, reflected their records contain information as to the date of corporation, amount of stock authorized and issued, the principal place of business, and statutory agent. The records do not denote stockholders and the amount of stock owned. This information may be secured at the principal place of business.

LEAD

CLEVELAND

AT CLEVELAND, OHIO

Verify applicant's ownership of the American Ship Building Company at the Bond Court Building. Also contact Chairman of the Board, Kinsman Lines, and verify applicant's ownership of this corporation.

2-Bureau  
1-Cleveland (Info.)  
1-Tampa (73-312) (Info.)  
1-Cincinnati

HMC/cc (5)

73-621-4

SEARCHED  
SERIALIZED  
INDEXED  
FILED

MAR 13 1979

[REDACTED]

b6  
b7c

CRIMINAL DOCKET  
UNITED STATES DISTRICT COURT

CR 74-164  
JUDGE CONTIE.

D. C. Form No. 100 Rev.

6

TITLE OF CASE		ATTORNEYS
THE UNITED STATES		For U. S.:
vs.	Frederick M. Coleman 4392	
(1) George M. Steinbrenner III		
(2) The American Shipbuilding Company		
		For Defendant:
		E. B. Williams
		Robert J. Rotatori
		Investment Plaza
		Cleveland, Ohio

STATISTICAL RECORD	COSTS	DATE	NAME OR RECEIPT NO.	REC.	DISB.
J.S. 2 mailed 4/30/74	Clerk	9-12-74	Am. Ship Bldg	20,000.00	
		9-13-74	Treas. of U.S.		2,000.00
J.S. 3 mailed AUG 31 1974	Marshal	9-12-74	G.M. Steinbrenner	10,000.00	
		9-13-74	Treas. of U.S.		10,000.00
Violation Conspiracy;	Docket fee				
Unlawful contribution; Obstruction of criminal investigation;					
Title 18 False statements to federal officers, aiding & abetting; Influencing Grand Jury witnesses.					
Sec. 2, 371, 610, 1001, 1503, 1510					
(15 counts)					

DATE	PROCEEDINGS
4/5/74	Indictment filed.
4/8/74	Praecipe filed. Summons issued. (Defts. to appear on 4/19/74 at 9:00 A.M.)
4-12-74	Summons returned and filed. Served Both Defts. 4-10-74.
4-19-74	Minutes of Proceedings filed. Maher, Mag. Recording Both Defendants arraigned, pleas of Not Guilty entered, Bond Personal Amount \$ S/C May 22, 1974 at 12:00 NOON
4-19-74	Bail Reform Act form executed & filed.
4-30-74	Stipulation and Order that the Defendants may have until May 24, 1974 in which to file any pre-trial motions and the United States shall respond twenty days after the filing of defendant's motions filed.
	Contie, J. Copies certified to both counsel 4-30-74.
5-24-74	Motion of the Defendant to Dismiss filed.
5-24-74	Motion of the Defendants to Dismiss the Indictment or for other Relief on the Grounds of Pre-Indictment Publicity filed.
5-24-74	Motion to Suppress by the Defendants filed.
5-24-74	Motion of the Defendants for Discovery filed.
5-24-74	Motion of the Defendants for Bill of Particulars filed.
5-24-74	Memorandum of the Defendants in support of Pre-Trial Motions filed.
5-24-74	Exhibits to Memorandum in support of Pre-Trial Motions filed.
5-24-74	Proof of Service re-Above Pleadings Copies mailed 5-24-74 filed.

# CR74-174

DATE	JUDGE CONTIE PROCEEDINGS
6-19-74	Memorandum in Opposition to Defendant's Motion to dismiss the Indictment Suppress Evidence for reasons relating to the authority of the Watergate Special Prosecutor filed.
6-19-74	Memorandum of the Government in Opposition to Defendants' Motion to Dismiss Count 1 on the basis that a Corporation allegedly cannot conspire solely its officers filed.
6-19-74	Memorandum of the Government in Opposition to defendants' Motion to Dismiss for the grounds that the conspiracy charged allegedly violates Wharton's filed.
6-19-74	Memorandum of the Government in opposition to defendants' Motion to Dismiss 1-7 on the basis of the alleged Unconstitutionality of Section 610 filed.
6-19-74	Memorandum in Opposition to Defendants' Motion to dismiss Cts. 8 and 9 on basis of the alleged Failure to State an Offense under 18 U. S. C. Sec. filed.
6-19-74	Memorandum of the Government in opposition to defendants' motion to dismiss Cts. 10 & 11 on the basis of the alleged Failure to State an offense under 18 U. S. C. Section 1001 filed.
6-19-74	Response of the Government to Defendants' Motions relating to Preindictment Publicity filed.
6-19-74	Response of the Government to Defendants' Motion for Discovery filed.
6-19-74	Memorandum of the Government in Support of Government's Response to Defendant's Motion for Discovery filed.
6-19-74	Memorandum of the Government in response to motion for Bill of Particulars attached Bill of Particulars filed.
6-19-74	Certificate of Service re- the above 10 Pleadings June 13, 1974 filed.
6-24-74	Memorandum in Opposition to Government's Response to Defendants Motion for Discovery filed. Copies mailed 6-24-74.
7-5-74	Supplemental Response of the Government to Defendant's Motion for Discovery filed. Copies mailed 7-3-74.
7-5-74	Supplemental Submission of the Government concerning Bill of Particulars Copies mailed 7-3-74.
7/30/74	Memo. (suppl) of defts. in support of pretrial motions filed. Copy delivered 7/26/74
8-23-74	Minutes of Proceedings filed. Contie, J. Pavolino, R. (Re-Both Defts. re-Deft. Steinbrenner III plea of not guilty withdrawn plea of Guilty entered to Ct. 1 as to Deft. American Shipbuilding Corp. plea of not Guilty withdrawn plea of Guilty entered to Cts I and VII. Both defts referred for pre-sent report.
8-30-74	Minutes of Proceedings filed. Contie, J. Thompson, R. Cts. 2,3,4,5,6,7,9, 12,13,14 & 15 to be dismissed Sentence re-Ct. 1 \$10,000.00 re-Deft. Steinbrenner III \$10,000.00 Ct. 7 Fined \$10,000.00 Total Fine \$20,000.00
8-30-74	Judgment and Commitment filed. Contie, J. Two certified copies to U. S. M. one certified copy each to U. S. Atty, U. S. Prob. and Traxler for service on deft. 8-30-74 Steinbrenner
8-30-74	Judgment and Commitment filed. Contie, J. Two Certified copies to U. S. M. one certified copy each to U. S. Atty, U. S. Prob. and Traxler for service on deft. American Shipbuilding
9-1-74	Order filed. Contie, J. (All Pending Motions are now moot after plea etc. Copies mailed 9-2-74.
9-10-74	Transcript of Proceedings before the Hon. Leroy J. Contie, Jr. on Friday August 23, 1974 filed. Pavolino, R.

VZCZCH000108

PP BU CV JK

DE HQ #0108 0052000

ZNR UUUUU

P 032201Z APR 86

FM DIRECTOR FBI (73-312)

TO FBI BUFFALO PRIORITY

FBI CLEVELAND (73-621) PRIORITY

FBI JACKSONVILLE (73-364) PRIORITY

BT

UNCLAS ✓ ✓

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:

5/19/86.

FBIHQ OCIS DATA BASE INDICATE RECEIVING OFFICES MAY HAVE RECORDS PERTAINING TO APPLICANT, GEORGE MICHAEL STEINBRENNER WHO IS DESCRIBED AS BORN JULY 4, 1930 AT ROCKY RIVER, OH AND RESIDES 10102 LINDELAAN DRIVE, TAMPA, FLA, AND HAS SSAN: 285-28-5751.

RECEIVING OFFICES DETERMINE IF HITS ARE IDENTICAL TO STEINBRENNER BASED UPON AVAILABLE INFORMATION. SUTEL RESULTS OF DATA BASE SEARCH AND FILE REVIEW BY TELETYPE SUMMARY. IF POSITIVE FOLLOW WITH COPIES OF PERTINENT DOCUMENTS BY AIRTEL.

BT

#0108

Noted  
AUTOMATED SEARCH  
DATE 4-6-86  
Lcl

pk callor  
see me

73-621-7

SEARCHED	INDEXED
SERIALIZED	FILED
APR 06 1986	
FBI - CLEVELAND	

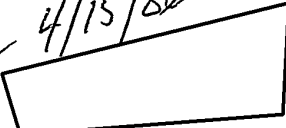
RF

b6  
b7c

NNNN



4/12 CLE

*Kam*  
*Ad 7A*  
*4/15/86*  
*J*  *ARW*

73-621-8

SEARCHED <i>70</i>	INDEXED
SERIALIZED <i>Kam</i>	FILED <i>183</i>
APR 10 1985	
FBI - CLEVELAND	

*J*

b6  
b7C

Rec'd ON Rotor 4/15/86. *Kam*

FBI

## TRANSMIT VIA:

- ☒ Teletype  
☐ Facsimile  
☐ \_\_\_\_\_

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☒ UNCLAS

Date 4/11/86

FM CLEVELAND (73-621)

TO DIRECTOR (73-312) ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:

MAY 19, 1986.

REFERENCE BUTEL TO BUFFALO DATED APRIL 3, 1986.

REFERENCED TELETYPE DIRECTED RECEIVING OFFICES TO DETERMINE IF OCIS DATA BASE INFORMATION REGARDING CAPTIONED INDIVIDUAL IS IDENTICAL TO INFORMATION CONTAINED IN FILES OF RECEIVING OFFICES. CLEVELAND OCIS DATA BASE REFLECTS TWO REFERENCES: CLEVELAND MAIN FILE ON 73-621 AND CLEVELAND REFERENCE CV 92-1320-263. CV 73-621 REFLECTS CLEVELAND INVESTIGATION CONCERNING 1979 INVESTIGATION RELATIVE TO APPLICATION FOR PARDON; APPLICATION FOR COMPUTATION OF SENTENCE, RESULTS OF WHICH WERE REPORTED TO FBIHQ. THE REFERENCE IN CV 92-1320 REFLECTS SOURCE INFORMATION CONCERNING CAPTIONED INDIVIDUAL. THIS LATER INFORMATION WAS DEVELOPED IN 1981.

IN ADDITION, ALTHOUGH FBIHQ DID NOT SPECIFICALLY REQUEST SAME

ARM:MAK

(1) *mak*

Searched \_\_\_\_\_

Serialized \_\_\_\_\_

Indexed \_\_\_\_\_

Filed \_\_\_\_\_

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per *[Signature]*b6  
b7C

73-621-9

FBI

## TRANSMIT VIA:

- ☒ Teletype  
☐ Facsimile  
☐ \_\_\_\_\_

## PRECEDENCE:

- ☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

- ☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date \_\_\_\_\_

PAGE TWO CV 73-621 UNCLAS

IN REFERENCED TELETYPE, A REVIEW OF CLEVELAND INDICES REFLECTS 19 INDICES REFERENCES TO CAPTIONED INDIVIDUAL. CLEVELAND DIVISION WILL HOLD IN ABEYANCE ANY DETAILED REVIEW OF INDICATED FILES PENDING DIRECTION FROM FBIHQ.

CLEVELAND AT CLEVELAND, OHIO: WILL SUBMIT TO FBIHQ VIA AIRTEL, COPIES OF PERTINENT DOCUMENTS REFLECTED IN THE OCIS DATA BASE FILES IDENTICAL WITH CAPTIONED INDIVIDUAL CITED ABOVE.

BT

#

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
(Number) (Time)

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OHIO  
PROBATION DEPARTMENT

666 Euclid Avenue, Room 606  
Cleveland, Ohio 44114

April 28, 1986

Mr. [REDACTED]  
Pardon Attorney  
5550 Friendship Boulevard  
Bethesda, Maryland 20014

Re: STEINBRENNER, George M., III

Dear Mr. [REDACTED]:

As requested by the local Federal Bureau of Investigation Office, we are forwarding a copy of the Presentence Investigation prepared on the above-named subject.

Sincerely yours,

[REDACTED]

DL:jbz

Encs.

cc: Mr. [REDACTED]

b6  
b7C

CV 73-621-

# MEMORANDUM OF CALL

Previous editions usable

TO: */*



YOU WERE CALLED BY—



YOU WERE VISITED BY—

OF



PLEASE PHONE



(Enter area code  
if necessary)

*W.C.C.*



WILL CALL AGAIN



IS WAITING TO SEE YOU



RETURNED YOUR CALL



WISHES AN APPOINTMENT

MESSAGE

*Til*

b6  
b7C

RECEIVED BY

DATE

TIME

C O V E R

FAX

S H E E T

To: SSA [REDACTED]  
Fax #: 601/360-7550  
Subject: GEORGE MICHAEL STEINBRENNER (CV 73-621)  
Date: January 12, 1998  
Pages: 8, including this cover sheet.

COMMENTS:

PLEASE HAND CARRY TO SSA [REDACTED]

RE TELCALL BETWEEN SSA [REDACTED] JACKSON DIVISION AND  
SECRETARY [REDACTED] CLEVELAND DIVISION 1/12/98.

I HAVE THE FILE AT MY DESK IF ANY MORE INFORMATION IS NEEDED.

b6  
b7c

*Thx  
Good follow-up.*

[REDACTED]

[REDACTED]

From the desk of...

SECRETARY  
FEDERAL BUREAU OF INVESTIGATION  
1240 EAST 9TH STREET, SUITE 3005  
CLEVELAND, OHIO 44199

216/622-6661  
Fax: 216/622-6754

73-621-13

SEARCHED	INDEXED
SERIAL	FILED
JAN 14 1998	
CLEVELAND	

MEMORANDUM

OF CALL

b6  
b7C

OF (Organization)

*Jackson, Miss.*

☐

PLEASE PHONE



(Enter area code,  
if necessary)

☐

DSN

☐

WILL CALL AGAIN

☐

IS WAITING TO SEE YOU

☐

RETURNED YOUR CALL

☐

WISHES AN APPOINTMENT

MESSAGE

*George  
Michael  
Steinbrenner*

*73-624  
old  
case*

RECEIVED BY

DATE

NSN 7540-00-634-4018  
50363-112

OPTIONAL FORM  
General Service

01/09/98

List Summary Response

UNI050M6

16:04:45

Page 1 of 3

Type X, x, or / to view Full Response, then press Enter.

Seq	Type	Name	Case ID	M/R
1		STEINBRENNER, GEORGE, MICHAEL	HQ 73-19114	M
2		STEINBRENNER, GEORGE, MICHAEL	AL 73-405	M
3		STEINBRENNER, GEORGE, MICHAEL	BF 73-C451	M
4		STEINBRENNER, GEORGE, MICHAEL	CV 73-621	M
5		STEINBRENNER, GEORGE, MICHAEL	NY 73-3631	M
6		STEINBRENNER, GEORGE, MICHAEL	NY 73-R27	M
7		STEINBRENNER, GEORGE, MICHAEL	NY 73-3631	M
8		STEINBRENNER, GEORGE, MICHAEL	BA 73-841	M
9		STEINBRENNER, GEORGE, MICHAEL	WF 73-2064	M
10		STEINBRENNER, GEORGE, MICHAEL	HQ 190-64473	M

Command . . > ..... +  
F1=Help F3=Exit F4=Prompt F8=Fwd F11=NextPg F12=Cancel

# Just in case he calls  
back, case is closed



73-621

60 Fax  
360-  
7550

~~ITC Test~~

b6  
b7C



01/09/98

View Full Response

UNI040M2

16:05:01

Page 1 of 3

Press desired program function key or Enter.

Name : STEINBRENNER, GEORGE, MICHAEL

DDN : 111

Name No . . . : 1      Rec No : 1

Race . . . . : U      Sex . : M

Case ID . . . : CV 73-621

Character . . : BIOPA

Main/Ref . . : M

Serial . . . . :

Document Date :

Event Date. . :

DOB . . . . . : 07/04/1930

POB . . . . . : ROCKY RIVER OH

ID Info . . . : SOC 285-28-5751

Locality. . . : OH    FL    NY

Command . . > ..... +

F1=Help F3=Exit F4=Prompt F11=NextPg F12=Cancel F14=AddAKA

01/09/98

View Full Response

UNI040M9

16:05:18

Page 3 of 3

Press desired program function key or Enter.

Name : STEINBRENNER, GEORGE, MICHAEL

Case ID . : CV 73-621

Contributing Office . : CV

Class Level . . . . . : SN

SCI . . . . . :

FD-501 . . . . . :

Rule 6(e) . . . . . :

Authority . . . . . :

Duration . . . . . :

Handling Caveats. . . :

See SAC . . . . . :

Creation Source . . . : FOIMS

Added On . . . . . : 04/15/86 00:00:00 By CV11018

Modified On . . . . . :

Command . . > ..... +

F1=Help F3=Exit F4=Prompt F10=PreviousPg F12=Cancel F14=AddAKA

01/09/98

View Full Response

UNI040M2

16:05:44

Page 1 of 3

Press desired program function key or Enter.

Name : STEINBRENNER, GEORGE, MICHAEL

DDN : 111

Name No . . . : 1      Rec No : 1

Race . . . . : U      Sex . : M

Case ID . . . : CV 73-621

Character . . : BIOPA

Main/Ref . . : M

Serial . . . . :

Document Date :

Event Date. . :

DOB . . . . . : 07/04/1930

POB . . . . . : ROCKY RIVER OH

ID Info . . . : SOC 285-28-5751

Locality. . . : OH    FL    NY

Command . . > ..... +

F1=Help F3=Exit F4=Prompt F11=NextPg F12=Cancel F14=AddAKA

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 67

Page 5 ~ Duplicate

Page 8 ~ Duplicate

Page 9 ~ Duplicate

Page 10 ~ Duplicate

Page 11 ~ Duplicate

Page 12 ~ Duplicate

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Page 92 ~ Duplicate

Page 93 ~ Duplicate

Page 94 ~ Duplicate

Page 95 ~ Duplicate

Page 96 ~ Duplicate

Page 97 ~ Duplicate

Page 98 ~ Referral/Consult

Page 99 ~ Referral/Consult

Page 100 ~ Referral/Consult

Page 101 ~ Referral/Consult

Page 102 ~ Referral/Consult

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Page 160 ~ Duplicate

RECEIVED  
TELETYPE UNIT

17 JUL 91 22 14z

0266 MRI 01823

PP RUCNFB FBITP

DE FBIJK #0002 1981842

ZNR UUUUU

P 171840Z JUL 91

FM FBI JACKSONVILLE (206A-JK-35443) (P)

TO DIRECTOR FBI/PRIORITY/

FBI TAMPA/PRIORITY/

BT

UNCLAS

b6 per FBI  
b7C

CITE: //3330//

PASS: GOVERNMENTAL FRAUD UNIT, WHITE COLLAR CRIME SECTION,  
ROOM 3865, TL NUMBER 231.

SUBJECT: GEORGE STEINBRENNER; [REDACTED] U.S.

NAVY; [REDACTED] U.S. NAVY; [REDACTED]

[REDACTED] FAG-DOD (U.S. NAVY); BRIBERY; MAIL FRAUD;

b6 per NCIS  
b7C

OO: JACKSONVILLE.

REFERENCE JACKSONVILLE TELCAL OF SSA [REDACTED] TO

UNIT CHIEF [REDACTED] GOVERNMENTAL FRAUD UNIT, FBIHQ, ON

b6 per FBI  
b7C

JULY 15, 1991, AND TELCALL OF SSA [REDACTED] JACKSONVILLE, TO SSA

206-4925-1

1-3865  
(JKFOF)

380

Asst. Dir.:	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Serv.	
Crim.	
Ident.	
Info. Syst.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affs.	
Off. of EEO	
Off. of Liaison & Int. Affs.	
Off. of Records Mgmt.	
Off. of Security	

See Mr. [REDACTED]  
pls. Adv.

[Handwritten signature]

PAGE TWO DE FBIJK 0002 UNCLAS

[REDACTED] TAMPA DIVISION, ON JULY 17, 1991.

b6 per FBI  
b7C

REQUEST OF THE BUREAU:

FBIHQ IS REQUESTED TO PROVIDE THE JACKSONVILLE DIVISION WITH A POLICY DETERMINATION AS TO DISCLOSURE WITHIN THE U.S. NAVY AND DEPARTMENT OF DEFENSE (DOD) OF INVESTIGATION CONDUCTED IN CAPTIONED MATTER.

ON JULY 12, 1991, SUPERVISORY SPECIAL AGENT (SSA) [REDACTED]

b6 per FBI  
b7C

[REDACTED] JACKSONVILLE DIVISION, MET WITH SSA [REDACTED] AND

SA [REDACTED] FRAUD UNIT, NAVAL INVESTIGATIVE SERVICE (NIS), JACKSONVILLE, FLORIDA. DURING THIS MEETING, SSA [REDACTED] PROVIDED SSA [REDACTED] WITH A COPY OF AN ANONYMOUS LETTER WHICH WAS RECEIVED BY NIS HEADQUARTERS (NISHQ) IN WASHINGTON, D.C. THE POSTMARK ON THE ENVELOPE INDICATED THAT THIS LETTER WAS MAILED FROM SOUTHERN BALTIMORE, MARYLAND. ALLEGATIONS CONTAINED IN THIS LETTER ARE SUMMARIZED AS FOLLOWS:

b6 per NCIS  
b7C

1. GEORGE STEINBRENNER, OWNER OF AMERICAN SHIP BUILDING COMPANY (ASC), TAMPA, FLORIDA, PAID A \$100,000 BRIBE TO THE U.S. NAVY (USN) COMMANDER FOR CONTRACTS DIRECTORATE, [REDACTED] [REDACTED] IN EXCHANGE FOR ASC RECEIVING A \$58 MILLION CONTRACT TO BUILD SIX NAVY TRANSPORT VESSELS KNOWN AS T-AGOS-23'S. EVENTUAL VALUE OF THIS CONTRACT COULD EXCEED \$100

b6 per NCIS  
b7C

PAGE THREE DE FBIJK 0002 UNCLAS

MILLION.

2. THE \$100,000 PAID TO [REDACTED] WAS TO BE DIVIDED EQUALLY BETWEEN [REDACTED] AND USN [REDACTED] [REDACTED] COMMANDER OF NAVAL SEA SYSTEMS COMMAND, WHICH IS RESPONSIBLE FOR THE AWARDING OF ALL USN CONTRACTS.

b6 per NCIS  
b7C

3. FORMER USN [REDACTED] (RETIRED), A CLOSE PERSONAL FRIEND AND FORMER CLASSMATE OF [REDACTED] WAS ALSO OFFERED THE POSITION OF CHIEF EXECUTIVE OFFICER (CEO) OF ASC IN TAMPA, FLORIDA, IN EXCHANGE FOR ASC RECEIVING THE CONTRACT.

4. [REDACTED] PROGRAM MANAGER, NAVAL SEA SYSTEMS COMMAND, OPPOSED THE AWARDING OF THE CONTRACT TO ASC DUE TO THEIR LACK OF FINANCIAL CAPABILITY, PRIOR POOR PERFORMANCE AND LACK OF QUALITY ASSURANCE CONTROL TO PERFORM THE CONTRACT. ALLEGEDLY, [REDACTED] WAS RECENTLY FORCED TO RETIRE AS A RESULT OF THIS SITUATION.

b6 per NCIS  
b7C

ON JULY 12, 1991, A COPY OF THIS ANONYMOUS LETTER WAS FAXED TO THE MANAGING ASSISTANT UNITED STATES ATTORNEY (MAUSA) [REDACTED] MIDDLE DISTRICT OF FLORIDA (MDF), JACKSONVILLE, FLORIDA, AND A CONFERENCE CALL WAS HELD

b6 per FBI  
b7C



PAGE FOUR DE FBIJK 0002 UNCLAS

CONCERNING THE ABOVE. AFTER A REVIEW OF THE ANONYMOUS LETTER  
AND DISCUSSIONS WITH SSA [ ] AND SSA [ ] MAUSA

b6 per FBI  
b7C

[ ] ADVISED THAT AN INVESTIGATION SHOULD BE INITIATED  
CONCERNING THE ALLEGATIONS, AND IF THE ABOVE ALLEGATIONS ARE  
SUBSTANTIATED, PROSECUTION UNDER THE FOLLOWING STATUTES WOULD  
BE PURSUED:

b6 per NCIS  
b7C

1. HOBBS ACT-EXTORTION OF GOVERNMENT OFFICIALS;
2. MC NALLY AMENDMENTS TO THE MAIL FRAUD STATUTE, 18  
U.S. CODE, SECTION 1341;
3. CONSPIRACY, 18 U.S. CODE, SECTION 371;
4. FRAUD BY WIRE, 18 U.S. CODE, SECTION 1343;
5. BRIBERY, 18 U.S. CODE, SECTION 201; AND
6. CONFLICT OF INTEREST, 18 U.S. CODE, SECTION 208.

MAUSA [ ] ADVISED THAT PROSECUTIVE VENUE COULD LIE  
IN JACKSONVILLE, FLORIDA, FOR SOME, IF NOT ALL, OF THE ABOVE  
VIOLATIONS, INASMUCH AS ALL NAVY CONTRACTS IN THIS REGION  
(INCLUDES TAMPA, ORLANDO AND JACKSONVILLE, FLORIDA) ARE  
ADMINISTERED BY THE USN IN JACKSONVILLE, FLORIDA.

b6 per FBI  
b7C

MAUSA [ ] ADVISED THAT THERE MAY POSSIBLY BE A  
PROBLEM CONCERNING PROSECUTION IN THE MIDDLE DISTRICT OF  
FLORIDA (MDF) DUE TO A PERSONAL FRIENDSHIP THAT EXISTS BETWEEN

b6 per FBI  
b7C

PAGE FIVE DE FBIJK 0002 UNCLAS

THE UNITED STATES ATTORNEY, ROBERT GENZMAN, AND GEORGE STEINBRENNER. MAUSA [ ] ADVISED THAT HE WOULD CONTACT GENZMAN, ADVISE HIM OF THE ABOVE INFORMATION AND RECONTACT SSA [ ] WITH GENZMAN'S DECISION.

b6 per FBI  
b7C

ON JULY 15, 1991, MAUSA [ ] ADVISED SSA [ ] THAT U.S. ATTORNEY GENZMAN WILL RECUSE HIS OFFICE IN THE MDF (INCLUDES JACKSONVILLE) FROM PROSECUTION IN THIS MATTER, AND HAD INSTRUCTED MAUSA [ ] TO FORWARD A LETTER TO THE DEPARTMENT OF JUSTICE, WASHINGTON, D.C., REQUESTING THEIR ASSISTANCE. GENZMAN'S DECISION WAS DUE TO THE FACT THAT STEINBRENNER WAS RECENTLY A VICTIM/WITNESS IN AN EXTORTION TRIAL PROSECUTED BY GENZMAN'S OFFICE, AND THERE WERE UNFOUNDED ALLEGATIONS IN THE MEDIA THAT THE FBI AND U.S. ATTORNEY'S OFFICE HAD PURSUED INVESTIGATION AND PROSECUTION IN THIS MATTER BASED SOLELY ON PERSONAL RELATIONSHIPS WHICH EXISTED BETWEEN STEINBRENNER AND MEMBERS OF THE U.S. ATTORNEY'S OFFICE AND FBI IN TAMPA, FLORIDA. MAUSA [ ] EMPHASIZED THAT NOTWITHSTANDING THE TOTAL FALSITY OF THESE ALLEGATIONS, U.S. ATTORNEY GENZMAN DID NOT DESIRE ANY APPEARANCE OF IMPROPRIETY OR CONFLICT OF INTEREST ON THE PART OF HIS OFFICE.

b6 per FBI  
b7C

b6 per FBI  
b7C

PAGE SIX DE FBIJK 0002 UNCLAS

DUE TO THE HIGH LEVEL OF NAVY OFFICIALS INVOLVED IN THE ALLEGATIONS, AS WELL AS THE NUMEROUS PERSONAL AND POLITICAL CONTACTS OF GEORGE STEINBRENNER, SSA [REDACTED] NIS, HAS REQUESTED THAT THE FBI PROVIDE NIS WITH INSTRUCTIONS NOT TO RELEASE ANY INFORMATION OUTSIDE OF NIS CONCERNING THE INVESTIGATION. SSA [REDACTED] SAID THAT HE WAS, IN EFFECT, REQUESTING THAT THE FBI PUT A "MUZZLE" ON NIS, TO PREVENT INFORMATION BEING PASSED WITHIN THE USN AND DOD CONCERNING THE INVESTIGATION.

b6 per NCIS  
b7C

BT

#0002

NNNN

## AUTHORITY TO RELEASE INFORMATION

## TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my employment, military, credit or educational records including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records; medical records, and credit records. I hereby direct you to release such information upon request of the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the Federal Bureau of Investigation to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, hospital, or other repository of medical records, credit bureau, lending institution, consumer reporting agency, or retail business establishment including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. I am furnishing my Social Security Account Number on a voluntary basis with the understanding such is not required by Federal statute or regulation. I have been advised the FBI will utilize this number only to facilitate the location of employment, military, credit, and educational records concerning me in connection with this application. Should there be any question as to the validity of this release, you may contact me as indicated below.

Full Name:

George M. Feinbrunn III  
(Signature)

Full Name:

George M. STEINBOCK III  
(Typed or Printed Name)

Social Security Account Number:

285-28-5751Parent or Guardian:  
(If required)

Date:

February 19, 1979

Current Address:

10102 Lundalaan  
Tampa - Florida

Telephone Number:

(813) 223-9411

Witness:

  
(Special Agent)  
Federal Bureau of Investigationb6  
b7c

Date \_\_\_\_\_

**Social Security Account #**

### Aliases

**Address**

**Birth Date**

**Birthplace**

<b>Race</b>	
-------------	--

Sex	Age	Height	Weight	Temp	Pulse	Respiration	Blood Pressure	Heart	Lungs	Stomach	Intestines	Genitals	Neurology	Other
Male	25	175	160	98.6	72	18	120/80	Normal	Clear	Normal	Normal	Normal	Normal	Normal
Female	30	160	120	98.4	68	16	110/70	Normal	Clear	Normal	Normal	Normal	Normal	Normal

☐ Male☐ Female☐ Exact Spelling☐ All References

☐ Main Subversive Case Files Only

☐ Subversive References Only

☐ Main Criminal Case Files Only

☐ Criminal References Only

☐ Main Subversive (If no Main, list all Subversive References)

☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict to Locality of

File &amp; Serial Number

Remarks

File &amp; Serial Number

Remarks

George M.	Steinbrener
-----------	-------------

see att - full search

George Steinbrenner

see att - full search

Requested by

Squad

### Extension

File No.

Searched by

ms

3-2-79

(date)

Consolidated by

(date)

Reviewed by

(dōtē)

### File Review Symbols

**1 - Identical**

? - Not identifiable

NI - Not identical

U - Unavailable reference

FBI/DOJ

~~SECRET~~

STEINBRENNER, 3RD  
DAVE M.

11/73

139-301-909

STEINBRENNER, GEORGE M. 8/74 139-301-1068  
III

Chairman of the American  
Shipbuilding Com and the  
principal owner of the NY Yankees

STEINBRENNER, GEORGE 9/74  
M.

56-0-133

was controlling inter est in the  
New York Yankees  
pled guilty to making illegal corporate  
campaign contr. to Pres Nixon and  
members of Congress from both parties.

STEINBRENNER, GEORGE M. 4/78  
(MR)

VIO

(S)

(S)

b1

OWNER OF THE NEW YORK YANKEES

STEINBRENNER, GEORGE (VIC) 8/72 9-6032\*

STEINBRENNER, GEORGE III 9/74 139-301-1070

VON/VII

principal owner of the  
New York Yankees

EXEMPTED FROM AUTOMATIC  
DECLASSIFICATION  
AUTHORITY DERIVED FROM:  
FBI AUTOMATIC DECLASSIFICATION GUIDE  
EXEMPTION CODE 25X(1)  
DATE 02-14-2011

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

~~SECRET~~

## AUTHORITY TO RELEASE INFORMATION

## TO WHOM IT MAY CONCERN:

I hereby authorize any Special Agent or other authorized representative of the Federal Bureau of Investigation bearing this release, or copy thereof, within one year of its date, to obtain any information in your files pertaining to my CPA/State Bar records (including any grievance records), employment, military, educational records (including, but not limited to, academic, achievement, attendance, athletic, personal history, and disciplinary records), medical records, credit records, (including credit card and payment device numbers), and law enforcement records (including, but not limited to, any record of charge, prosecution or conviction for criminal or civil offenses). I hereby direct you to release such information upon request to the bearer. This release is executed with full knowledge and understanding that the information is for the official use of the Federal Bureau of Investigation. Consent is granted for the Federal Bureau of Investigation to furnish such information, as is described above, to third parties in the course of fulfilling its official responsibilities. I hereby release you, as the custodian of such records, and any school, college, university, or other educational institution, hospital, or other repository of medical records, credit bureau, lending institution, consumer reporting agency, retail business establishment, law enforcement agency, or criminal justice agency, including its officers, employees, or related personnel, both individually and collectively, from any and all liability for damages of whatever kind, which may at any time result to me, my heirs, family or associates because of compliance with this authorization and request to release information, or any attempt to comply with it. I am furnishing my Social Security Account Number on a voluntary basis with the understanding such is not required by Federal statute or regulation. I have been advised the FBI will utilize this number only to facilitate the location of employment, military, credit, and educational records concerning me in connection with this application. Should there be any question as to the validity of this release, you may contact me as indicated below.

Full Name:

George Michael Steinbrenner III  
(Signature)

(Include maiden &amp; any other previously-used name)

Full Name:

GEORGE MICHAEL STEINBRENNER III  
(Typed or Printed)

(Include maiden &amp; any other previously-used name)

Social Security Account Number:

285-28 5751

Parent or Guardian:  
(If required)

Date:

3/26/86

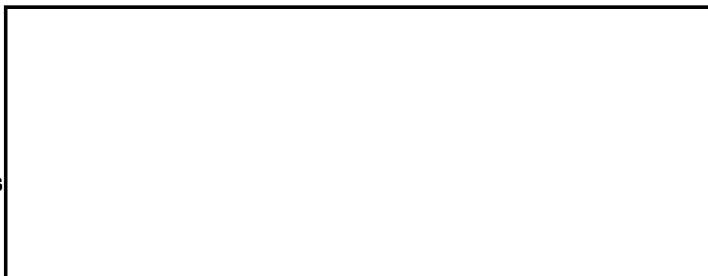
Current Address:

10102 LINDELAAN DR  
Tampa, FL

Telephone Number:

CPA/Bar Membership(s) STATE

Witness



b6  
b7C

INBOX.7 (#1227)

TO: AL @ SAMNET-EMH, NK @ SAMNET-EMH, NY @ SAMNET-EMH,  
PG @ SAMNET-EMH, SL @ SAMNET-EMH, WF @ SAMNET-EMH

FROM: HQTX @ SAMNET-EMH

SUBJECT: 100/0092 PRIORITY

DATE: 14 APR 86 13:02:46 EST

CC:

TEXT:  
VZCZCHQ0092

PP AL PG NY NK SL WF CV CI NO

DE HQ #0092 1032339

ZNR UUUUU

P 102110Z APR 86

FM DIRECTOR FBI (73-19114)

TO FBI CLEVELAND (73-312) PRIORITY

FBI ALBANY (73-405) PRIORITY

FBI PITTSBURGH (73-764) PRIORITY

FBI NEW YORK (73-3631) PRIORITY

FBI CINCINNATI PRIORITY

FBI NEWARK (73-1336) PRIORITY

FBI SAINT LOUIS PRIORITY

FBI WASHINGTON FIELD PRIORITY

FBI NEW ORLEANS PRIORITY

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, APACS, BUDED: 5/19/86.

REFERENCE TP REPORT DATED 4/1/86 TO ALL OFFICES. ALL  
OFFICES SHOULD NOTE THAT BUDED IN CAPTIONED CASE IS 5/19/86.

CV, NY, WFO SHOULD ALSO NOTE THE FOLLOWING:

73-3631-44

SEARCHED.....	INDEXED.....
SERIALIZED.....	FILED.....
APR 14 1986	
FBI - NEW YORK	

b6  
b7C



PAGE TWO DE HQ 0092 UNCLAS

CV - INSURE THAT INDICES CHECKS ARE CONDUCTED ON  
APPLICANT'S PARENTS.

NY - NOTE P. D, E - NY LEADS ARE UNDER NO HEADING  
(INTERVIEW [REDACTED] REVIEW OF 3 CIVIL MATTERS).<sup>b6</sup><sub>b7c</sub>

WFO NOTE P4, NO. 9 OF CIVIL MATTERS. REVIEW COURT  
RECORDS REGARDING THIS MATTER.

BT

#0092

NNNN

BUDED

THE ATTACHED IS APPLICANT MAIL. DO NOT HOLD.

Please search the applicant/appointee and all others herein who live or work in the New York Division.

CIFU

DATE

BY WHOM

*Neg.*

APR 15 1986

--

b6  
b7C

All indices will be assumed negative unless otherwise indicated.

MON APR 14 1986

RETURN TO THE APPLICANT SQUAD

73-3631-6

SEARCHED	INDEXED
SERIALIZED	FILED
APR 16 1986	
FBI NEW YORK	

APR 14 1 42 PM '86

(4)

26

BUDED

THE ATTACHED IS APPLICANT MAIL\*\*\*\*\* DO NOT HOLD.  
Please search the applicant/appointee and all others  
herein who live or work in the New York Division.

✓ ELSUR

DATE

4/15/86

BY WHOM

--

b6  
b7C

All indices will be assumed negative unless otherwise  
indicated.

RETURN TO APPLICANT SQUAD

MON APR 14 1986

MON APR 14 1986

73-3631-7

SEARCHED	INDEXED
SERIALIZED	FILED
APR 16 1986	
FBI N.Y.	

4/14

4/1

FILE REVIEW UNIT

4/15

b6  
b7C

BUDED\*\*\*

Completed By

[Redacted]

ON 4/15/86

The attached is Indices Search Slip for applicant cases.

Please review files pertaining to the applicant/appointee and all others shown herein who live or work in the New York area.

AGENTS INITIAL & DATE

'MON APR 14 1986

PLEASE NOTE\*\*

DO NOT DESTROY, MAINTAIN AS A SEPARATE SERIAL

AUTOMATED SEARCH  
DATE

APR 14 1986

[Redacted]

b6  
b7C

73-3634-8

SEARCHED	INDEXED
SERIALIZED	FILED
APR 17 1986	
FBI NEW YORK	

~~SECRET~~

TO: OFFICE SERVICES MANAGER

Subject

*George Michael Steinbrenner*

Date

Social Security Account #

Aliases

Address

Birth Date

*7/4/30*  
*15*  
*45*

Birthplace

Race

Sex

☐ Male  
☐ Female☐ Exact Spelling☐ All References☐ Main Security Case Files Only☐ Security References Only☐ Main Criminal Case Files Only☐ Criminal References Only☐ Main Security (If no Main, list all Security References)☐ Main Criminal (If no Main, list all Criminal References)☐ Restrict Locality of

File &amp; Serial Number

Remarks

File &amp; Serial Number

Remarks

*U 73-3631\***3/29**UNABLE TO LOCATE FILE**George Steinbrenner*  
*9-8315\***3/81**HE IS WITH THE NY YANKEE ORGANIZATION. HE RECEIVED A NUTLET (see ATT.)**183-340-113**3/84**HE IS PRINCIPAL OWNER OF THE NY YANKEES (see ATT.)**I A-140**3/84**HE IS PRINCIPAL OWNER OF THE NY YANKEE AND PRES. OF AMERICAN SHIPBUILDING (see ATT.)**George M. Steinbrenner*

(S) b1

*5/84**HE IS OWNER OF THE NY YANKEES (see ATT.)**HE IS PRINCIPAL OWNER OF THE N.Y. YANKEES (see ATT.)**Hope first of howy send*EXEMPTED FROM AUTOMATIC  
DECLASSIFICATION

AUTHORITY DERIVED FROM:

FBI AUTOMATIC DECLASSIFICATION GUIDE

EXEMPTION CODE 25X(1)

DATE 02-14-2011

Requested by

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

Squad

Exte

☐ General Indices:

Searched by

Date

☐ Confidential Indices:

Searched by

Date

☐ ELSUR Indices:

Searched by

Date

☐ ISIS:

Searched by

Date

☐ OCIS:

Searched by

Date

☐ IIS:

Searched by

Date

Consolidated by

Reviewed by

*AKH*

Date

*APR 15 1986*

Date

~~SECRET~~

File Review Symbols

I - Identical  
NI - Not identical? - Not identifiable  
U - Unavailable reference*P1*

TO: OFFICE SERVICES MANAGER		Date
Subject	b6 b7C	Social Security Account #
Aliases		

Address	Birth Date	Birthplace	Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
---------	------------	------------	------	---

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Exact Spelling                | <input type="checkbox"/> Main Criminal Case Files Only                            | <input type="checkbox"/> Restrict Locality of |
| <input type="checkbox"/> All References                | <input type="checkbox"/> Criminal References Only                                 |   |
| <input type="checkbox"/> Main Security Case Files Only | <input type="checkbox"/> Main Security (If no Main, list all Security References) |   |
| <input type="checkbox"/> Security References Only      | <input type="checkbox"/> Main Criminal (If no Main, list all Criminal References) |   |

File & Serial Number	Remarks	File & Serial Number	Remarks
? 183-801-M-F1	6/1	Name mentioned but no	
-A-321	6/24	Ident info was given	
-260-	6/1	Name mentioned but no ident info given	
-281	6/28		
-282	6/1	Name NOT MENTIONED TN SERIAL	
-301	6/24		
-311	6/28		
-V-1	6/28	Name mentioned but no	
183-3066-PI-27	10/15	Ident info given in file	
manuscript		assigned to [redacted] C-6	
see attch			

Requested by	Squad	Extension	File No.
<input type="checkbox"/> General Indices: Searched by _____ Date _____	<input type="checkbox"/> ISIS: Searched by _____ Date _____		
<input type="checkbox"/> Confidential Indices: Searched by _____ Date _____	<input type="checkbox"/> OCIS: Searched by _____ Date _____		
<input type="checkbox"/> ELSUR Indices: Searched by _____ Date _____	<input type="checkbox"/> IIS: Searched by _____ Date _____		

Consolidated by	Date
Reviewed by <b>AKH</b>	<b>APR 15 1986</b>
	Date
File Review Symbols	
I - Identical	? - Not identifiable
NI - Not identical	U - Unavailable reference

P92

Date

TO: OFFICE SERVICES MANAGER

Subject

Social Security Account #

Aliases

Address

Birth Date

Birthplace

Race

Sex

☐ Male  
☐ Female

☐ Exact Spelling

☐ All References

☐ Main Security Case Files Only

☐ Security References Only

☐ Main Criminal Case Files Only

☐ Criminal References Only

☐ Main Security (If no Main, list all Security References)

☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict Locality of

File & Serial Number

Remarks

File & Serial Number

Remarks

? 183-801-260

6/78

Name mentioned in serial  
but no ident info given

? 183-801-281

6/78

Name mentioned in serial  
but no ident info given

Requested by

Squad

Extension

File No.

☐ General Indices:

Searched by

Date

☐ Confidential Indices:

Searched by

Date

☐ ELSUR Indices:

Searched by

Date

☐ ISIS:

Searched by

Date

☐ OCIS:

Searched by

Date

☐ IIS:

Searched by

Date

Consolidated by

Date

Reviewed by

AKH

APR 15 1986

Date

File Review Symbols

I - Identical  
NI - Not identical

? - Not identifiable  
U - Unavailable reference

P2B

TO: OFFICE SERVICES MANAGER		Date
Subject	b6 b7C	Social Security Account #
Aliases		

Address	Birth Date	Birthplace	Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
---------	------------	------------	------	---

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Exact Spelling                | <input type="checkbox"/> Main Criminal Case Files Only                            | <input type="checkbox"/> Restrict Locality of |
| <input type="checkbox"/> All References                | <input type="checkbox"/> Criminal References Only                                 |   |
| <input type="checkbox"/> Main Security Case Files Only | <input type="checkbox"/> Main Security (If no Main, list all Security References) |   |
| <input type="checkbox"/> Security References Only      | <input type="checkbox"/> Main Criminal (If no Main, list all Criminal References) |   |

File & Serial Number	Remarks	File & Serial Number	Remarks
? 92-2903-699 A.2 12/72	Res.		SMITH TOWN, NY
? - 3063-132 P. 1 & 2	4/65		According to NY T-3
			Worked
? 183-801-S. 1V-1K 5/75			He is
			He was present at a meeting at
			The Homestead Restaurant in Great Neck, NY.
dest 91-860-451 2/56			
- 565 P. 1, 2, 3			
- 579 P. 1			
			b6 b7C
dest 7-67435-76 4/73			10/78
dest 26-1, 820-1 12/40			7/16/68
? 92-2247-61-88 9/74	BY DOB		Res.
			Drive, Commack, NY Occ.
? 87-75991-72 8/75	FBI#		

Requested by	Squad	Extension	File No.
<input type="checkbox"/> General Indices:	<input type="checkbox"/> ISIS:		
Searched by	Searched by		
Date	Date		
<input type="checkbox"/> Confidential Indices:	<input type="checkbox"/> OCIS:		
Searched by	Searched by		
Date	Date		
<input type="checkbox"/> ELSUR Indices:	<input type="checkbox"/> IIS:		
Searched by	Searched by		
Date	Date		

Consolidated by

Reviewed by

AKH

Date  
APR 15 1986

Date

File Review Symbols

I - Identical  
NI - Not identical  
? - Not identifiable  
U - Unavailable reference

P3



TO: OFFICE SERVICES MANAGER	Date
Subject	Social Security Account #

Aliases

Address	Birth Date	Birthplace	Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female
---------	------------	------------	------	---

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Exact Spelling                | <input type="checkbox"/> Main Criminal Case Files Only                            | <input type="checkbox"/> Restrict Locality of |
| <input type="checkbox"/> All References                | <input type="checkbox"/> Criminal References Only                                 |   |
| <input type="checkbox"/> Main Security Case Files Only | <input type="checkbox"/> Main Security (If no Main, list all Security References) |   |
| <input type="checkbox"/> Security References Only      | <input type="checkbox"/> Main Criminal (If no Main, list all Criminal References) |   |

marks	File & Serial Number	Remarks
1? 7-326-1854 p. 43 - 2559 p. 21		
✓ 87-7899-120 4/77		
? 92-1965-1221 p. 3 2/68	Res. [redacted] BROOKLYN, NY HE APPLIED FOR A LOAN TO BUY A 1968 BUICK 2dr	
bests 7-65709A 10/71	10/78	
best 26-6878 p. 11	5/4/66	
best 66-3710A 4/5d	Carwood died 7/14/50	b6 b7C
[redacted]		

Requested by: MO OPHAL mid names 5/1/5	Res: [redacted] SCHNEIDER
Squad NY	Extension File No.

<input type="checkbox"/> General Indices: Searched by _____ Date _____	<input type="checkbox"/> ISIS: Searched by _____ Date _____
<input type="checkbox"/> Confidential Indices: Searched by _____ Date _____	<input type="checkbox"/> OCIS: Searched by _____ Date _____
<input type="checkbox"/> ELSUR Indices: Searched by _____ Date _____	<input type="checkbox"/> IIS: Searched by _____ Date _____

Consolidated by

Reviewed by AKH	Date APR 15 1986
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File Review Symbols  
I - Identical ? - Not identifiable  
NI - Not identical U - Unavailable reference

P4

Date

Security Account #

b6  
b7c

Address

Birth Date

Birthplace

Race

Sex  
☐ Male  
☐ Female

- ☐ Exact Spelling  
☐ All References  
☐ Main Security Case Files Only  
☐ Security References Only

- ☐ Main Criminal Case Files Only  
☐ Criminal References Only  
☐ Main Security (If no Main, list all Security References)  
☐ Main Criminal (If no Main, list all Criminal References)

☐ Restrict Locality of

File & Serial Number

Remarks

File & Serial Number

Remarks

b6  
b7c

*7-13453-2050 1/55-2083 } 2/77*  
*BT 183-365-10 p.1 3/76*  
*NO. NC 1902 of 6. 12/29/77*  
*could not have been a business rep from local 14, because he is in the*

Requested by

Squad

Extension

File No.

☐ General Indices:

Searched by

Date

☐ Confidential Indices:

Searched by

Date

☐ ELSUR Indices:

Searched by

Date

☐ ISIS:

Searched by

Date

☐ OCIS:

Searched by

Date

☐ IIS:

Searched by

Date

Consolidated by

Reviewed by

*AKH*

Date

*APR 15 1986*

Date

File Review Symbols

I - Identical  
NI - Not identical

? - Not identifiable  
U - Unavailable reference

*P5*

TO: OFFICE SERVICES MANAGER  
Date  
Subject: George Michael Steinbrenner  
Aliases: ATL  
Social Security Account #

Address Birth Date Birthplace Race Sex  
☐ Male  
☐ Female

- ☐ Exact Spelling ☐ Main Criminal Case Files Only ☐ Restrict Locality of  
☐ All References ☐ Criminal References Only  
☐ Main Security Case Files Only ☐ Main Security (If no Main, list all Security References)  
☐ Security References Only ☐ Main Criminal (If no Main, list all Criminal References)

File & Serial Number	Remarks	File & Serial Number	Remarks
<u>George M. Steinbrenner</u>			
<u>ISL-0-133</u>	<u>9/74</u>	<u>40 IS PRINCIPAL OWNER OF THE NY. YANKEES</u>	
<u>I 139-301-1010</u>	<u>8/74</u>	<u>ALSO CHIEF EXECUTIVE OFFICER OF</u>	
		<u>THE AMERICAN SHIP BUILDING CO. (SEE ATT.)</u>	
<u>I 139-301-909</u>	<u>11/73</u>	<u>HE IS PRINCIPAL OWNER OF THE NEW YORK</u>	
		<u>YANKEES ALSO EXECUTIVE OFFICER OF</u>	
<u>George Steinbrenner</u>		<u>THE AMERICAN SHIP BUILDING CO. (SEE ATT.)</u>	
<u>ISL-0-222</u>	<u>8/73</u>	<u>HE WAS CHIEF EXECUTIVE OFFICER OF</u>	
		<u>THE AMERICAN SHIP BUILDING CO. HE</u>	
		<u>WAS INDICTED FOR ILLEGAL CAMPAIGN FUNDS</u>	
		<u>(SEE ATT.)</u>	
<u>I 139-301-1070</u>	<u>9/74</u>	<u>HE IS PRINCIPAL OWNER OF THE NY.</u>	
		<u>YANKEES HE WAS ARRESTED FOR MAKING</u>	
<u>Number sent out to law enforcement</u>	<u>J</u>	<u>ILLEGAL CAMPAIGN CONTRIBUTION</u>	
		<u>TO PRES. NIXON'S CAMPAIGN (SEE ATT.)</u>	

Requested by	Squad	Extension	File No.
<input type="checkbox"/> General Indices:	<input type="checkbox"/> ISIS:		
Searched by _____ Date _____	Searched by _____ Date _____		
<input type="checkbox"/> Confidential Indices:	<input type="checkbox"/> OCIS:		
Searched by _____ Date _____	Searched by _____ Date _____		
<input type="checkbox"/> ELSUR Indices:	<input type="checkbox"/> IIS:		
Searched by _____ Date _____	Searched by _____ Date _____		

Consolidated by

Reviewed by AKH Date APR 15 1986

File Review Symbols  
I - Identical ? - Not identifiable  
NI - Not identical U - Unavailable reference

P6

BUDED

THE ATTACHED IS APPLICANT MAIL\*\*\*\*\* DO NOT HOLD.

Please search the applicant/appointee and all others  
herein who live or work in the New York Division.

b6  
b7C

ISIS

*POO*

DATE

4-15-86

BY WHOM

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All indices will be assumed negative unless otherwise  
indicated.

RETURN TO APPLICANT SQUAD

MON APR 14 1986

73-3631-9

SEARCHED	INDEXED
SERIALIZED	FILED
APR 17 1986	
FBI N.Y.	

LST NAME.

FIRST-NAME.

MIDDLE-NAME

DATA-FILE.

[Redacted]

MNU

[Redacted]

b6  
b7C

DECLASSIFIED BY uc/baw 60324  
ON 02-14-2011

~~CONFIDENTIAL~~ - INTELLIGENCE INFORMATION - DO NOT DISSEMINATE

☆ U.S. GOVERNMENT PRINTING OFFICE: 1986-491-519-43426

~~CONFIDENTIAL~~ - INTELLIGENCE INFORMATION - DO NOT DISSEMINATE

Date 4-15-86

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage\* ☐ Motor Vehicle ☒ Other Civil MATTERS  
☐ Driver's License

To SC Buded -  
Return to A-4 b6 b7C File number 73-Steinbrenner  
Name and address of subject, applicant, or employee, and spouse

GEORGE MICHAEL Steinbrenner III

Addresses

Residence \_\_\_\_\_  
Business \_\_\_\_\_  
Former \_\_\_\_\_

\* Date and place of marriage  
(if applicable)

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
Birth date <u>7/4/30</u>	Birthplace					
Arrest Number	Fingerprint classification			Criminal specialty		
Specific information desired						Social Security Number

Results of check

U.S. DISTRICT COURT  
S.D.N.Y. 82-CIV-0894  
(Bruce Poston and Chemical Bank)

73-3631-91

SEARCHED	INDEXED
SERIALIZED	FILED
APR 22 1986	
FBI N.Y.	

## NOTICE

The court documents you wish to review have been transferred to  
Federal Archives and Records Center, GSA  
Building 22, Military Ocean Terminal  
Bayonne, New Jersey 07002

In order to avoid delays in obtaining documents for review, you should notify the Center at least four working hours in advance of your estimated arrival. The telephone numbers are: (201) 823-7242, 7243, 7244. So that the FRAC can locate the records, you will need to furnish the following information which must be obtain from the court of record.

CASE TITLE: _____	DOCKET NO.: <u>82 civ 894</u>
ACCESSION NO.: <u>21 85 142</u>	FRC NO.: <u>618936</u>
ACCESSION NO.: <u>21 85 142</u>	FRC NO.: <u>618937</u>
ACCESSION NO.: _____	FRC NO.: _____
YOUR NAME: _____	PHONE NO.: _____

(where you can be reached or a message  
left between 8:00AM - 4:30PM)

### DIRECTIONS: BY AUTOMOBILE

#### FROM NEW JERSEY

The Military Ocean Terminal (MOT) is about 2 miles from New Jersey Turnpike Exit 14A - Bayonne. On leaving exit, take Avenue E to 32nd Street, turn left and follow signs to the MOT

FROM MANHATTAN VIA HOLLAND OR LINCOLN TUNNELS, OR GEORGE WASHINGTON BRIDGE  
Follow signs to New Jersey Turnpike. Take Turnpike to exit 14A-Bayonne. Take Avenue E to 32nd Street, turn left, and follow signs to the MOT.

FROM BROOKLYN VIA VERRAZANO BRIDGE  
Follow signs to New Jersey. Take Staten Island Expressway to Bayonne Bridge exit, follow Willowbrook Expressway and cross Bayonne Bridge. Proceed along Kennedy Boulevard to 32nd Street, turn right and continue to Avenue E, cross bridge, turn left, and follow signs to the MOT.

#### PUBLIC TRANSPORTATION BY BUS

From Newark, N.J., take a bus from Penn Station to Exchange Place in Jersey City. At Exchange Place, take a bus from 32nd Street and Avenue C, Bayonne, then follow the signs to the MOT.

From Jersey City, N.J., take a bus from Journal Square bus terminal to 32nd Street and Kennedy Boulevard, Bayonne. Or take a bus from Exchange Place to 32nd Street and Avenue C, Bayonne. Follow signs to the MOT.

From New York City, at Port Authority Bus Terminal, 41st Street and 8th Avenue, take a bus to 32nd Street and Kennedy Boulevard, Bayonne. Then cross Kennedy Boulevard or Avenue C, walk East on 32nd Street to the MOT.

#### PUBLIC TRANSPORTATION BY TRAIN

Take a PATH train to Journal Square in Jersey City. At Journal Square bus terminal, take a bus to 32nd Street and Kennedy Boulevard, Bayonne. Cross Kennedy Boulevard, walk East on 32nd Street to the MOT.

#### REPRODUCTIONS

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N00 013 113 0026

RR NY

DE NO

R 22 2240Z APR 86

FM NEW ORLEANS (73-912) (P)  
TO DIRECTOR (73-19114) ROUTINE  
NEW YORK ROUTINE  
TAMPA (73 312) ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA, GEORGE MICHAEL STEINBRENNER.

RE TAMPA REPORT OF SA [REDACTED] DATED APRIL 1, 1986.

REVIEW OF REFERENCED REPORT BY NEW ORLEANS REVEALED THAT LEADS  
SET OUT FOR NEW ORLEANS DIVISION WERE INADVERTENTLY ASSIGNED AND  
SHOULD BE SET OUT FOR NEW YORK DIVISION. IT IS NOTED THAT THERE IS  
NO SAYVILLE, LOUISIANA.

NEW ORLEANS AT GRAMBLING, LOUISIANA: INTERVIEW OF [REDACTED]

[REDACTED] WILL BE COVERED AND REPORTED BY NEW ORLEANS.

BT

AC

73-3631-12

SEARCHED	INDEXED
SERIALIZED	FILED
1986	
[REDACTED]	

b6  
b7C

FBI

TELETYPE

ROUTINE

UNCLAS

4/22/86

10.3

ROUTINE  
NEW YORK (73-3631) (P) (A-4)  
ROUTINE  
DIRECTOR (73-19114) ()  
NEWARK () ()  
BT  
UNCLAS

~~CSM  
CLOSE ON THIS~~  
4 5 6  
0054  
~~DESTROY~~

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

A REVIEW OF CIVIL MATTER 82-CIV-0894 AT USDC, SDNY, CONCERNING APPLICANT, BRUCE POSTON AND CHEMICAL BANK, REVEALS THE DOCUMENTS HAVE BEEN TRANSFERRED TO FEDERAL ARCHIVES AND RECORDS CENTER, GSA, BUILDING 22, MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, TELEPHONE NUMBER (201) 823-7242.

IN ORDERR FOR (FRAC) TO LOCATE THE RECORDS, THE FOLLOWING INFORMATION MUST BE PROVIDED.

1 - New York  
1 - Supervisor A-4  
RLB:mn022V3  
(2)

73-3631-13

SEARCHED	INDEXED
SERIALIZED	FILED
APR 23 1986	

b6  
b7c

Approved: JRH Transmitted 112/136 Per \_\_\_\_\_

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: CB

DOCKET NUMBER 82-CIV-84, ACCESSION NUMBER 2185142, FRC NUMBER 618936 AND 618937:

NEWARK AT BAYONNE, NEW JERSEY. WILL REVIEW ABOVE DESCRIBED RECORDS AND FORWARD RESULTS TO THE BUREAU.

Date

4/15/86

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage\* ☐ Motor Vehicle ☒ Other ☐ Driver's License

CIVIL MATTER

To

SC

Buded

-

Return to

b6  
b7C

File number

73 Steinbrenner

Name and

ant, or employee, and spouse

Steinbrenner, George Michael

Addresses

Residence

Business

Former

\* Date and place of marriage  
(if applicable)

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	Hair	Eyes
Birth date	7/4/30					
Birthplace						
Arrest Number	Fingerprint classification			Criminal specialty		
Specific information desired					Social Security Number	

Results of check

U.S. District Court  
Western District of New York  
CIV 84-0878  
(Peavey Company and Congra

4/24/86 CV 0878-1984 is unrelated  
TO SUBJECT.

CIVIL INDEX HAS NO  
RECORD OF STEINBRENNER;  
OR PEAHEY COMPANY; OR  
CONGRA.

SEARCHED	INDEXED
SERIALIZED	FILED
APR 24 1986	
FBI - NEW YORK	

73-3631

EDNY

DIST.	OFF.	DOCKET YR. NUMBER	FILING DATE MO DAY YEAR	J	N/S	O	D PTT/DEF	R 23	\$ DEMAND	JUDGE/ MAG. NO.	COUNTY	JURY DEM.	DOCKET YR. NUMBER
207	CV	84 0878	08 20 84	1					Nearest \$1,000	0726	88888		84 0878
PLAINTIFFS				GLASSER, I									
MGM/UA ENTERTAINMENT CO., ET.AL				OTHER ZUPNICK, ROBERT, ET.AL									
MGM/UA ENTERTAINMENT CO., PARAMOUNT PICTURES CORPORATION TWENTIETH CENTURY FOX FILM CO. WALT DISNEY PRODUCTIONS, UNIVERSAL CITY STUDIOS, INC. WARNER BROS., INC.				ROBERT ZUPNICK, HELEN ZUPNICK & VIDEO INVADERS, INC.									
CAUSE													
(CITE THE U.S. CIVIL STATUTE UNDER WHICH THE CASE IS FILED AND WRITE A BRIEF STATEMENT OF CAUSE)													
28 USC 1338(a): COPYRIGHT INFRINGEMENT.													
ATTORNEYS													
FOR PLAINTIFF: SARGOY, STEIN & HANFT 105 Madison Avenue New York, N.Y. 10016 (212) 889-1420							FOR DEFTS: JOSHUA ROSENBERG 149 Madison Avenue New York, N.Y. 10016 (212) 889-5610						
<input type="checkbox"/> CHECK HERE IF CASE WAS FILED IN FORMA PAUPERIS		FILING FEES PAID						STATISTICAL CARDS					
		DATE		RECEIPT NUMBER		C.D. NUMBER		CARD		DATE MAILED			
								JS-5					
								JS-6					

GLASSER, J. CV 84-0868

MGM UA vs. ZUPNICK

SCHEINDLIN, M.

DATE	NR.	PROCEEDINGS	
1984			
3/1	1	Complaint filed; summons issued.	EC
6/5	2	By Glasser, J., Order To Show Cause ret. 6/8/84 @ 4:30 PM, Why an Order should not be entered dismissing the action for lack of prosecution (order dtd 5/24/84). Parties informed.	EC
6/11	3	Letter dtd 6/6/84 from Harvey Shapiro to Glasser, J., confirming that OTSC re dismissal has been adjourned to 6/21/84.	EC
6/29	4	Defts' ANSWER Filed.	EC
*6/28	—	Before Glasser, J., Case called for an Order To Show Cause why this case should not be dismissed for lack of prosecution. Counsel for both sides present. Discovery to be concluded by 9/14/84. Pre-trial conference before Judge Glasser on 10/18/84 @ 4:30 PM. Pre-trial scheduling Order signed and given to parties. Answer of deft handed up to the Court. Pre-trial motions to be filed on or before 10/18/84. Case referred to Magistrate Chrein for discovery.	EC
7/3	5	By Glasser, J., Pre-trial Scheduling Order dtd 6/28/84 filed. See above for details. Parties informed.	EC
7-2	6	BY GLASSER, Order of referral of case to Mag CHREIN filed: CM.	dc
7/11	7	Letter dtd 6/20/84 from Joshua Rosenberg to Glasser, J., confirming that the conference scheduled for 6/21/84 is adjourned to 6/28/84 @ 4:30 PM.	EC
7-24	8	BY CHREIN, Order that status conf will be held on 8-9-84 at 9:30 A.M. filed. CM.	dc
1985			
2-11	9	By Glasser, J., Order of Discontinuance dated 2/11/85 that parties having informed this Court that case has been settled, it is ordered that action is discontinued.	eg
3-22	10	Pltff's Notice of Motion returnable 4/5/85 for an Order vacating the 2/11/85 Order of Discontinuance.	eg
3-22	11	Memo of Law in support of pltff's motion, filed.	eg
4-5	—	Before Glasser, J., Case called. Counsel for all sides present. Motion argued. Motion granted.	eg
4-8	12	By Glasser, J., Stip & Agreement for settlement & entry of judgment & Order, filed.	eg
4-8	13	By Glasser, J., Judgment & Decree dated 4/5/85 that defts are permanently enjoined from infringing any & all of the copyrights of any of the pltffs, etc.; that pltffs recover the sum of \$500 against the defts.	eg

Date

4/15/86

rec'd  
4/21/86

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage\* ☐ Motor Vehicle ☒ Other ☐ Driver's License

Civil MATTERS

To

SC

Buded

—

Return

Who?

File number

73-Steinbrenner

Name

ect, applicant, or employee, and spouse

GEORGE MICHAEL Steinbrenner III

Addresses

Residence

Business

Former

\* Date and place of marriage  
(if applicable)

73-3637-17

Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Age	Height	Weight	SEARCHED INDEXED SERIALIZED FILED MAY 2 1986 FBI NEW YORK Criminal Specialty
Birth date 7/4/30	Birthplace				
Arrest Number	Fingerprint classification				

b6  
b7C

Specific information desired

Social Security Number

Results of check

Supreme Court of N.Y.  
County of Bronx 8098/84  
(Ed Linn and CBS INC)

4/29/86

NOT correct  
index #.

advised

Clerk,  
Civil matters.

on 4/29/86, a search of '83, '84, '85 of Calendar Pocket indexes  
for corporations & individuals was conducted with  
negative results.

IA

N.R.R.A.

(Use reverse side, if necessary)

FBI/DOJ

Routing Slip  
0-7 (Rev. 5-28-82)

(Copies to Offices Checked)

TO: SAC,

<input type="checkbox"/> Albany	<input type="checkbox"/> Houston
<input type="checkbox"/> Albuquerque	<input type="checkbox"/> Indianapolis
<input type="checkbox"/> Alexandria	<input type="checkbox"/> Jackson
<input type="checkbox"/> Anchorage	<input type="checkbox"/> Jacksonville
<input type="checkbox"/> Atlanta	<input type="checkbox"/> Kansas City
<input type="checkbox"/> Baltimore	<input type="checkbox"/> Knoxville
<input type="checkbox"/> Birmingham	<input type="checkbox"/> Las Vegas
<input type="checkbox"/> Boston	<input type="checkbox"/> Little Rock
<input type="checkbox"/> Buffalo	<input type="checkbox"/> Los Angeles
<input type="checkbox"/> Butte	<input type="checkbox"/> Louisville
<input type="checkbox"/> Charlotte	<input type="checkbox"/> Memphis
<input type="checkbox"/> Chicago	<input type="checkbox"/> Miami
<input type="checkbox"/> Cincinnati	<input type="checkbox"/> Milwaukee
<input type="checkbox"/> Cleveland	<input type="checkbox"/> Minneapolis
<input type="checkbox"/> Columbia	<input type="checkbox"/> Mobile
<input type="checkbox"/> Dallas	<input type="checkbox"/> Newark
<input type="checkbox"/> Denver	<input type="checkbox"/> New Haven
<input type="checkbox"/> Detroit	<input type="checkbox"/> New Orleans
<input type="checkbox"/> El Paso	<input checked="" type="checkbox"/> New York City
<input type="checkbox"/> Honolulu	<input type="checkbox"/> Norfolk
<input type="checkbox"/> ASAC, New Rochelle (MRA)	
<input type="checkbox"/> ASAC, Brooklyn-Queens (MRA)	

TO: LEGAT,

<input type="checkbox"/> Bern
<input type="checkbox"/> Bogota
<input type="checkbox"/> Bonn
<input type="checkbox"/> Canberra
<input type="checkbox"/> Hong Kong
<input type="checkbox"/> London
<input type="checkbox"/> Mexico City
<input type="checkbox"/> Montevideo
<input type="checkbox"/> Ottawa
<input type="checkbox"/> Panama City
<input type="checkbox"/> Paris
<input type="checkbox"/> Rome
<input type="checkbox"/> Tokyo

5/16/86

Date

RE: George Michael Steinbrenner

APACS

Buad

5/19/86

ATTN: SA

☐ For information    ☐ Retention optional    ☐ For appropriate action    ☐ Surep, by \_\_\_\_\_

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA \_\_\_\_\_ dated \_\_\_\_\_

Remarks:

[Redacted] Attached is a copy of NK teletype of 4/30/86. NK said they would retransmit to NY (A copy was facsimiled to me.). This and credit & arrest checks are all that's out for NY. My completion date is 5/29 - could we possibly have it in by 7/3-3/31- then? Thanks.

Enc.

Bufile

Urfile

NY 73-3631

21

[Redacted]
MAY 22 1986
[Redacted]
SRK FBI/DO

WEL



MAY 16 1986 03:13 FBI-NEWARK-N.J.

P.2

FORMS.TEXT HAS 1 DOCUMENT

OUTBOX.1 (#1295)

TEXT:

NK00008 1201957

RR NY

DE NK

R 301957Z APR 86

FM: NEWARK (73-1336) (P) (C-7)

ADIC, NEW YORK (73-3631) (P) (A-4) (ROUTINE)

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

INVESTIGATION AT FEDERAL ARCHIVES AND RECORDS CENTER, GSA,  
MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, DETERMINED THAT  
CIVIL MATTER 82-CIV-0894 IS CURRENTLY CHARGED OUT TO A MS.

[REDACTED] SOUTHERN DISTRICT OF NEW YORK, TELEPHONE [REDACTED]  
[REDACTED]

b6  
b7C

NEW YORK AT NEW YORK: CONTACT [REDACTED]

AND REVIEW FILE 83-CIV-0894 AND REPORT RESULTS.

BT

SERIALIZED *R* FILED *f*

73-1336-6

CBI NEW YORK, 708 THIRD AVE, NEW YORK, NEW YORK 10017

TO 404VF00090,H FBI  
STEINBRENNER  
10102 LINDELAAN DR TAMPA FL 33618

X06 05/23/86  
FN 12-0716949-04-200

STEINBRENNER, GEORGE, M, 3.  
10102, LINDELAAN, DR, TAMPA, FL, 33618 .  
2502, ROCKY POINTE, RD, TAMPA, FL, 33607, DAT RPTD 12/85 .  
3727, SW 95TH, AV, OCALA, FL, 32637 .  
BORN 07/04/30, SSS-285-18-5751

FILE SINCE 12/20/74

\*INQS-

FBI	404VF90	05/23/86	C&S BK	728BB4079	03/27/86					
EQUIFAXSVC	728ZB15	12/09/85	MERCHANTS	402ZB163	04/26/85					
* FIRM/ID CODE	RPTD	OPND	H/C	TRM	BAL	F/D	CS	MR	ECOA	ACCOUNT NUMBER
NEIMAN-M	*906DC656	04/86	07/69	7799	653		R1	34	J	4-06770696012
MAAS BROS	*906DC52	04/86	08/71	10K	250	1485	R1	42	S	5949318
BELK LINDS	*447DC541	05/86	04/70	384		0	R1	99	I	7402024725
BURDINES	*402DC304	04/86	09/76	2610		0	01	42	J	70311641
	*401CG1236	05/86		1362		0	R1	16	J	43572510
30(00)60(01)90(00)	04/85-R3									DLA 04/85
SAKS	*906CG118	04/86	11/67	3165		0	R1	48	I	66930421
LORD & TAY	*906DC151	04/86	09/73	5052		0	R1	48	U	78402433
BONWIT TEL	*404CG94	03/86	01/65	3047		0	R1	43	I	14675145
ROBINSON'S	*906DC86	02/86	02/75	946		0	R1	42	J	76195341
SEARS	*906DC29	02/86	12/69	2037		0	R1	53	U	8625-7593034768090
J C PENNEY	*906DC185	01/86	01/62	1135		0	R1	32	U	21-1687463958020
MACYS	*906DC177	05/85	04/82	0		0	R1	32	I	14-34642838F
BARNT VISA	*826DN36	06/84	05/83	1287	532		R1	13	J	312670169502
J C PENNEY	*906DC193	02/81	01/62	179		0	I1	07	U	1687463958030
J C PENNEY	*906DC185	07/83	01/62	1135	15	249	R1	12	U	-1687463958020

\*PUBLIC RECORDS AND OTHER INFORMATION

PAGE 1

CBI NEW YORK, 708 THIRD AVE, NEW YORK, NEW YORK 10017

TO 404VF00090,H FBI  
STEINBRENNER  
10102 LINDELAAN DR TAMPA FL 33618

FN 12-0716949-04-200

11/83 SECURED LOAN 858VC15,FZ,CASE NO- B1185P1720 MID.FLA.

73-363/22

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 28 1986	
FBI - NEW YORK	

## COMMON LANGUAGE FOR CONSUMER CREDIT

### TERMS OF SALE

Open Account (30 days or 90 days) \_\_\_\_\_ **O**  
 Revolving or Option (Open-end a/c) \_\_\_\_\_ **R**  
 Installment (fixed number of payments) \_\_\_\_\_ **I**

### USUAL MANNER OF PAYMENT

#### TYPE ACCOUNT

	O	R	I
Too new to rate; approved but not used	0	0	0
Pays (or paid) within 30 days of billing; pays accounts as agreed	1	1	1
Pays (or paid) in more than 30 days, but not more than 60 days, or not more than one payment past due	2	2	2
Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due	3	3	3
Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	4	4	4
Pays (or paid) in more than 120 days	5	5	5
Making regular payments, is under Wage-Earner Plan or similar arrangement	7	7	7
Repossession	8	8	8
Bad Debt; Placed for collection, skip	9	9	9

Form 250R-1-86 USA

### KIND OF BUSINESS CLASSIFICATION

Code      Kind of Business

A	Automotive
B	Banks
C	Clothing
D	Department and Variety
F	Finance
G	Groceries
H	Home Furnishings
I	Insurance
J	Jewelry and Cameras
K	Contractors
L	Lumber, Building Materials, Hardware
M	Medical and Related Health
N	National Credit Card Companies and Air Lines
O	Oil Companies
P	Personal Services Other Than Medical
Q	Mail Order Houses
R	Real Estate and Public Accommodations
S	Sporting Goods
T	Farm and Garden Supplies
U	Utilities and Fuel
V	Government
W	Wholesale
X	Advertising
Y	Collection Services
Z	Miscellaneous

## COMMON LANGUAGE FOR CONSUMER CREDIT

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 Revolving or Option (Open-end a/c) \_\_\_\_\_ **R**  
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Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	4	4	4
Pays (or paid) in more than 120 days	5	5	5
Making regular payments, is under Wage-Earner Plan or similar arrangement	7	7	7
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Form 250R-1-86 USA

### KIND OF BUSINESS CLASSIFICATION

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S	Sporting Goods
T	Farm and Garden Supplies
U	Utilities and Fuel
V	Government
W	Wholesale
X	Advertising
Y	Collection Services
Z	Miscellaneous

Date

5/23/86

☐ Birth

☒ Credit

☐ Criminal

☐ Death

☐ INS

☐ Marriage \*

☐ Motor Vehicle

☐ Other

☐ Driver's License

To

Buded

Return to

IA'S

File number

73-3631

Name and aliases of subject, applicant, or employee, and spouse

GEORGE MICHAEL STEINBRENNER <sup>TII</sup>

Addresses

Residence

10102 LINDELAN, TAMPA, FLA

Business

Former

\* Date and place of marriage  
(if applicable)

Race

Sex

☐ Male  
☐ Female

Age

Height

Weight

Hair

Eyes

Birth date

7/4/30

Birthplace

Arrest Number

Fingerprint classification

Criminal specialty

Specific information desired

Social Security Number

Results of check

27 MAY 1986

b6  
b7C

NY-32



## U.S. Department of Justice

## Federal Bureau of Investigation

In Reply, Please Refer to  
File No.IA'S  
73-3631

SECTION

DATE

5/23/86

Honorable Benjamin Ward  
Police Commissioner  
New York City Police Department  
1 Police Plaza  
New York, New York 10038

Dear Commissioner:

In connection with an official investigation being conducted by this office, it is requested that you furnished the criminal record of: (last name followed by given name and middle name)

Name: STEINBRENNER, GEORGE MICHAEL III

Also Known As: \_\_\_\_\_

Address: \_\_\_\_\_

Race: \_\_\_\_\_

Sex: MDate of Birth: 7/4/30

Birthplace: \_\_\_\_\_

Height: \_\_\_\_\_

Weight: \_\_\_\_\_

Hair: \_\_\_\_\_

Eyes: \_\_\_\_\_

Scars, Tattoos, or Marks: \_\_\_\_\_

Social Security Number: \_\_\_\_\_

Arrest number or previous arrest: \_\_\_\_\_

Photograph desired: \_\_\_\_\_

NO CRIMINAL RECORD  
BY INFORMATION GIVEN  
IDENT. SECT. N.Y.C.P.D.

Searched by \_\_\_\_\_

73-3631-23  
SEARCHED 1 INDEXED 1  
SERIALIZED 1 FILED 1

MAY 28 1986

FBI - NEW YORK

Very truly yours,

John L. Hogan  
JOHN L. HOGAN  
Assistant Director in Charge

## FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEW YORK	Office of Origin FBIHQ	Date 5/22/86	Investigative Period 5/20/86 - 5/22/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III aka, APACS; BUDED: PAST (5/19/86)		Report Made By IA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> b6 b7C	Typed By lxg
		CHARACTER OF CASE APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE (APACS)	

REFERENCE

NKTEL to New York, dated 4/30/86.

-RUC-

ADMINISTRATIVE

Investigation at Southern District of New York

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	TALS	Pending over 1 year
	DIVERSION					<input type="checkbox"/> yes <input type="checkbox"/> no
						Pending pros. over
						6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no

APPROVED SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:  
3 - Bureau (Enc. 3)  
① - New York (73-3631)  
R27

## Dissemination Record of Attached Report

Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

## Notations

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 23 1986	
FBI NEW YORK	

COVER PAGE

-A\*-

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: IA   
Date: May 22, 1986

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: >

b6  
b7C

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York.

-RUC-

NY 73-3631

ADMINISTRATIVE

The writer contacted [redacted] Southern District of New York (SDNY), [redacted] on May 20, 1986. [redacted] advises that the correct case numbers referring to STEINBRENNER are 83-CIV-7273; and 82-CIV-3381. 7273 was closed by Judge WARD in 1984; and 3381 was closed by Judge WARD in 1982. Photocopies of both dockets are enclosed; as is referenced Newark teletype to New York dated April 30, 1986.

b6  
b7C



P.1

Transmit attached by Facsimile - **UNCLAS**

Precedence PRIORITY

To: NEW YORK OFFICE

Date: 5/15/86

From: NEWARK OFFICE

Time: Transmitted -

Subject: GEORGE MICHAEL STEINBRENNER III  
APACS

Initials -

☐ Fingerprint Photo   ☐ Fingerprint Record   ☐ Map   ☐ Newspaper clipping   ☐ Photograph  
☐ Artists Conception   ☒ Other TELETYPE

Special handling instructions: HAND CARRY TO SUPERVISOR . (73 DESK)

Approved: 

FBI/DOJ

MAY 16 '86 00:08 FBI-NEWARK-N.J.

ST.	FF.	DOCKET YR.	DOCKET NUMBER	FILING DATE MO DAY YEAR			J	N/S	O	D PTF DEF		R 23	S DEMAND	JUDGE/ MA NO.	COUNTY	JURY DEM.	DOCKET YR. NUMBL
208	1	83	7273	10	4	83	4	190	1	4	4		Nearest \$1,000 2,325.000	J 0059 M	88888	P	83 7273

PLAINTIFFS  
THE DAVID M. WINFIELD FOUNDATION

DEFENDANTS  
STEINBRENNER, GEORGE, M.

WARD-J.

### CAUSE

(CITE THE U.S. CIVIL STATUTE UNDER WHICH THE CASE  
IS FILED AND WRITE A BRIEF STATEMENT OF CAUSE)  
Breach of Contract 28 U.S.C. §1332 (a),.

dc

### ATTORNEYS

Weil, Gotshal & Manges  
767 Fifth Ave.  
New York, New York, 10153  
212 310-8000

<input type="checkbox"/> CHECK HERE CASE WAS LED IN ORMA UPERIS	OCT 4 1983		FILING FEES PAID		STATISTICAL CARDS	
			RECEIPT NUMBER		CARD DATE MAILED	
			23894		JS-5	
					JS-6	

NR.

## PROCEEDINGS

- 3 1 Fld. Compl't. Issued Summons and Notice purs. to 28 USC 636 (c),.
- 83 2 FLD. NOTICE OF ASSIGNMENT TO JUDGE WARD. notified parties.
- 3 3 Fld. summons & affdvt of service of S & C...Served;  
George M. Steinbrenner by; Mel Southard, VP on; 10-4-83  
and by mail
- 3 4 Fld. pl'tffs. notice of deposition and request for production of documents of deft  
on 11-14-83.
- 83 5 Fld. defts. notice of motion & affdvt for an order to stay the action and compel  
arbitration. Ret. 11-9-83.
- 3 6 Fld. defts. memo of law in support of motion for stay of action and to compel  
arbitration.
- 1 7 Fld. Stip & Order that the return date of defts motion to compel arbitration and  
stay the proceedings from 11-9-83, to 12-14-83. Pltff shall hand deliver his opposition  
papers to defts. attys no later than 12-6-83 and defts shall hand deliver his reply  
papers no later than 12-14-83. WARD, J. mm
- 3 8 Fld. Stip & Order that the return date for defts. motion to compel arbitration is  
adjourned to 1-13-84, pl'tffs. papers in opposition to the motion shall be delivered  
no later than 1-6-84 and defts reply papers shall be hand delivered by 1-13-84. WARD, J.
- 13 9 Filed Stit & order that the return date fro defts motion to compel arbitration  
is adj to 2-13-84 pl'tffs papers in opposition to the motion shall be delivered  
no later than 2-3-84 and defts papers in reply shall be hand delivered by 2-10-84  
...Ward, J.
- PRE TRIAL CONFERENCE HELD BY WARD, J.
- 10 Fld. EX PARTE ORDER that the deft appear for a deposition on 1-26-84, defts. motion  
for a stay of this action is returnable 2-13-84, etc. WARD, J.
- 11 Fld. ORDER TO SHOW CAUSE...to deft why an order should not be made purs. to Rule  
37(b) and (d) FRCP...WARD, J. Issued 1-27-84. Ret. 1-30-84.
- 12 Fld. affdvt of service of OSC...Served;  
Sace, Bacon & Bolan, Esq. by; Jose Bonilla & by; 1-27-84
- ORAL ARGUMENT BEGUN AND CONCLUDED ON PLTFFS. MOTION PURS. TO RULE 37(b)(d).
- 13 Fld. defts affdvt of T.A. Bolan.
- Fld. Memo-Endorsed on doc. #11...motion granted insofar as pl'tff seeks its reasonable  
expenses including attys fees in connection with the conference of 1-12-84, and the  
within motion and otherwise denied w/o prej to a further application in the event  
deft fails to appear for his deposition or in the alternative to serve and file an  
affdvt as directed by the Court. Settle Order on notice. WARD, J. mm
- 14 Fld. pl'tffs. affdvt of J.S. Klein in support of the proposed order sub-  
mitted on 2-2-84.
- 15 Fld. Stip & Order that the return date for defts motion is adjourned  
to 3-2-84, etc.. WARD, J.
- 16 Fld. pl'tffs. affdvt of R.J. Erra in opposition to defts. motion to stay this action

CIVIL DOCKET CONTINUATION SHEET

FPI-MAR-7-14-80-70M-4398

PLAINTIFF

DEFENDANT

DAVID M. WINFIELD FOUNDATION

STEINBRENNER

83 civ 7273 RJW  
DOCKET NO. \_\_\_\_\_

PAGE \_\_\_\_ OF \_\_\_\_ PAGES

DATE	NR.	PROCEEDINGS
-27-84	17	Fld. plttfs. memo of law in opposition to defts motion to stay the action.
-2-84	18	Fld. defts affdvt of F.L. Tiffenberg in opposition to plttfs. request for reasonable attys fee.
-5-84	19	Fld. ORDER that the deposition of David M. winfield be conducted on 3-8-84, etc...WARD, J. mn
-27-84	20	Fld. defts reply affdvt of R.M. Cohn in support of defts motion purs. to 9 U.S.C. 3.
-27-84	21	Fld. defts reply memo of law in support of motion to stay.
-27-84	22	Fld. transcript of record of proceedings dtd. <u>1-30-84</u> .
11-84	23	Fld. plttfs affdvt of James W. Quinn in response to defts reply papers in support of motion to stay this action.
11-84	24	Fld. plttfs affdvt of J.S. Klein in support of proposed order.
-2-84	25	Fld. Stip & order that purs. to the order of Judge Ward, dtd 1-30-84, the reasonable expenses including attys fees relating to the deposition of the debt are \$4,166.20. WARD, J.
-17-84	26	Fld. Stip & Order that this action shall be settled upon the following terms and conditions as indicated....WARD, J.
-20-84	--	Fld. Memo-endorsed on doc. #5...motion denied as moot. WARD, J.
-2-81	27	Filed transcript of record of proceedings dated <u>7-23-84</u>

DIST.	OFF.	DOCKET YR. NUMBER	FILING DATE			N/S	O	D		R 23	\$ DEMAND	JUDGE/ CLERK NO.	COUNTY	JURY DEM.	DOCKET YR. NUM.	
			MO	DAY	YEAR			PTF	DEF						YR.	NUM.
208	01	82 3381	5	25	82	4	190	1	3	4	Nearest \$1,000	J 0859 M	88888	P	82	3381

PLAINTIFFS

DEFENDANTS

Judge Ward

THE DAVID M. WINFIELD FOUNDATION

STEINBRENNER, GEORGE M.

CAUSE

(CITE THE U.S. CIVIL STATUTE UNDER WHICH THE CASE  
IS FILED AND WRITE A BRIEF STATEMENT OF CAUSE)

g1

28 USC 1332

Action for failure to make payments purs. to written agreement with charitable foundation.

ATTORNEYS

GERALD & LAWRENCE BLUMBERG  
One Rockefeller Plaza  
New York, NY 10020  
246-6950

SAXE, BACON & BOLAN, P.C.  
39 East 68th Streer  
New York, N.Y. 10021  
(212) 472-1400

☐ CHECK  
HERE  
IF CASE WAS  
FILED IN  
FORMA  
PAUPERIS

FILING FEES PAID

DATE

RECEIPT NUMBER

C.D. NUMBER

MAY 25 1982

613 21

STATISTICAL CARDS

CARD DATE MAIL

JS-5

JS-6

NR.	PROCEEDINGS	Judge Ward
82 Civ. 3381		
-82 1	Filed complaint; issued summons and notice purs. to 28 USC 636(c).	
12 2	Filed Summons with Marshals return" George M Steinbrenner	oo36865 6-1-82
12 3.	Filed plttf's notice of taking deposition of George Steinbrenner on 6-28-82.	
82 4.	Filed Stip. & Order....That the time for the deft. to answer the complaint is extended till 7-9-82. & that the deposition of Deft. is postponed till 7-22-82....etc...Ward, J.	
2 5	Fld. ANSWER & COUNTERCLAIM of deft.	SB&B
82 6	Fld. plttf. reply to defts. counterclaims	
32 --	Oral Argument begun & concluded on defts. motion to stay discovery judge's decision, motion of in accordance with oral decision rendered this date, Ward	
32 7.	Fld. ORDER TO SHOW CAUSE...why an order of protection should not be made and entered purs. to FRCP 26(c), etc. RET. 7-21-82	
32 --	Fld. Memo-Endorsed on doc. #7...the deposition of deft. scheduled for 7-22-82 is adjourned to 7-26-82...so ordered, Ward, J.	
2 8	Fld. deft. notice of motion for summary judgment. Ret. 8-5-82	
2 9	Fld. defts. memo of law in support of defts. motion for summary judgment.	
1 10	Fld. Stip & Order that the defts. motion for summary judgment ret. 8-5-82 is hereby adjourne- to 9-7-82, So Ordered, Ward, J.	
2 --	PRE-TRIAL CONFERENCE HELD BY WARD, J.	
12 11	Fld. Stip & Order that the defts. motion for summary judgment is hereby adjourned to 9-22-82, further that the examination of deft. G. M. Steinbrenner is hereby adjourned to 9-7-82. So Ordered, Ward, J.	
12	Fld. deft. notice to take deposition of plttf. on 9-10-82	
13	Fld. Stip & Order of Settlement & Dismissal...Ordered that Steinbrenner shall be entitled to nominate a member to the foundation's Board of Directors, etc. The Foundation agrees to use all funds which Steinbrenner contributes or causes to be contributed to it solely for the Foundation programs in the area of health, nutrition, et, for the benefit of the children residing in the New York City Metro area, etc. Steinbrenner will contribute \$145,000 in cash to the Foundation by 9-14-82, also \$300,000 to the Foundation by 12-13-82, etc. Except as modified by this agreement the Foundation & Steinbrenner reaffirm the validity of the Memo of Understanding executed by them & dtd. 12-14-80...the complt and all counterclaims shall be dismissed with prej. So Ordered, WARD, J.	
2 --	Fld. Memo-Endorsed on doc. #8...motion denied as moot- So ordered, Ward, J. mm	
14	7-21-82	
15	8-13-82	

TRANSMIT VIA: Airtel

CLASSIFICATION: \_\_\_\_\_

DATE: 5/29/86FROM: Director, FBI (73-19114)TO: SAC, New York (73-3631)

GEORGE MICHAEL STEINBRENNER III  
 APACS  
 BUDED: PAST (5/19/86)

Reference attached NY report dated 5/22/86 by IA

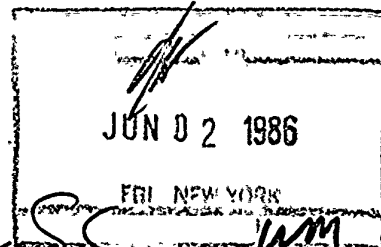
The writer of referenced report is requested to review NK teletype dated 4/30/86. NY was to review file and report results. Photocopies of dockets will not be accepted, unless specifically requested by FBIHQ. Additionally, copies of teletypes and FD 448 are for FBI use, not for forwarding to the Pardon Attorney (See last 2 pages of report).

NY: review civil matters and report appropriately.  
Submit report by COB 6/2/86.

Enclosure

b6  
 b7C

OPEN (OR REOPEN) CASE  
 OFFICE \_\_\_\_\_  
 DATE 6-2-86 BY 73-3631  
 SUPERVISOR \_\_\_\_\_  
 FILED \_\_\_\_\_



## FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEW YORK	Office of Origin FBIHQ	Date 6/9/86	Investigative Period 5/20/86 - 5/22/86
------------------------------	---------------------------	----------------	---

TITLE OF CASE  
GEORGE MICHAEL STEINBRENNER, III  
aka, APACS;  
BUDED: PAST (5/19/86)

Report Made By

IA

b6  
b7CTyped By  
lxg

## CHARACTER OF CASE

APPLICATION FOR PARDON AFTER COMPLETION  
OF SENTENCE (APACS)REFERENCE

NKTEL to New York, dated 4/30/86.  
Bureauairtel to New York, dated 5/29/86.

-RUC-

ADMINISTRATIVE

Investigation at Southern District of New York.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	TALS	Pending over 1 year
	DIVERSION					<input type="checkbox"/> yes <input type="checkbox"/> no
						Pending pros. over
						6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no

APPROVED \_\_\_\_\_ SPECIAL AGENT  
IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:

3 - Bureau (73-19114)  
① - New York (73-3631)

OSM

CLOSE ON FILE

4

5

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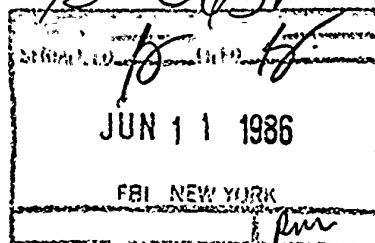
DESTROY

(DATE)

## Dissemination Record of Attached Report

Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

## Notations



COVER PAGE

-A\*-



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: IA   
Date: June 9, 1986

Office: New York, New York

b6  
b7C

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York.

-RUC-

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matters referred to in referenced airtel were reviewed in the Southern District of New York on May 22, 1986, by IA [redacted] and reflect the following:

b6  
b7C

The plaintiffs, the DAVID M. WINFIELD FOUNDATION, brought a civil action against subject pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

Judge WARD ordered as follows: That STEINBRENNER shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which STEINBRENNER contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. STEINBRENNER will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$3000,000.00 to the Foundation by December 12, 1982, etc. Except as modified by this agreement, the Foundation and STEINBRENNER reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

*al*  
*5/6/86*  
*6/11/86*

TRANSMIT VIA: Airtel

CLASSIFICATION: \_\_\_\_\_

DATE: 6/16/86

FROM: Director, FBI (73-19114)

TO: SAC, New York (73-3631)  
Attention: SSA [redacted]

GEORGE MICHAEL STEINBRENNER III  
APPLICATION FOR PARDON  
AFTER COMPLETION OF SENTENCE  
BUDED: PAST (5/19/86)

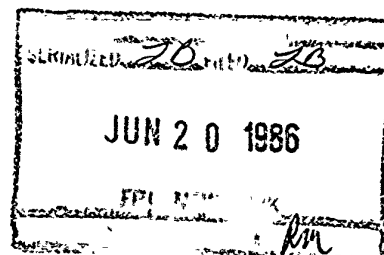
b6  
b7C

Attached is NY report dated 6/9/86 by IA [redacted]  
(It should be noted that this report, originally dated 5/22/86  
was returned to NY by Buairtel 5/29/86.)

NY should note changes, and correct report. Resubmit  
report by COB 6/23/86. Any questions should be directed to PSS  
[redacted] Ext. [redacted]

Enclosure

*73-19114* NOS  
*73-3631-28*



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: IA [REDACTED]  
Date: June 9, 1986

Office: New York, New York

b6  
b7c

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York.

-RUC-

Insufficient  
A synopsis  
should provide  
reader with  
A summary of  
information  
contained within  
report.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matter referred to in referenced airtel were reviewed in the Southern District of New York on May 22, 1986, by IA [ ] and reflect the following:

*what civil matter,  
number please*

*how many matters?  
if more than 100  
provide details  
Administrative  
should not  
be in  
report*

b6  
b7C

DATE

The plaintiffs, the DAVID M. WINFIELD FOUNDATION, brought a civil action against subject pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

*list name of subject*

DATE

Judge WARD ordered as follows: That STEINBRENNER shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which STEINBRENNER contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. STEINBRENNER will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$3000,000.00 to the Foundation by December 12, 1982, etc. Except as modified by this agreement, the Foundation and STEINBRENNER reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

*hundred  
thousand  
or 3 million*

INBOX.9 (#2511)

TO: CI @ EMH2, NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 163/1011 IMMEDIATE

DATE: 12 JUN 86 21:45:06 GMT

CC:

TEXT:  
VZCZCH00011

OO CI NY

DE HQ #1011 1632238

ZNR UUUUU

O 122005Z JUN 86

FM DIRECTOR FBI (73-19114)

TO FBI CINCINNATI (73-751) IMMEDIATE

FBI NEW YORK (73-3631) IMMEDIATE.

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, APACS, BUDED: PAST  
(5/19/86).

CI REFERENCE BUTEL 5/15/86, NY REFERENCE BUAIRTEL  
5/29/86.

CI - SUBMIT REPORT CONTAINING RESULTS OF CHECK OF STATE  
CORPORATION RECORDS RE KINSMAN LINES.

NY - RESUBMIT NY REPORT DATED 5/22/86 RE CIVIL MATTERS.

REPORTS SHOULD BE SUBMITTED BY FEDERAL EXPRESS TO REACH  
FBIHQ NO LATER THAN 6/17/86.

BT

#1011

cl 7/15/86  
5/6/86

Noted

73-3631-29

RMH	RMH
H-4	
	CM

b6  
b7C

TRANSMIT VIA: AIRTEL

CLASSIFICATION: \_\_\_\_\_

DATE: 5/19/88

FROM: Director FBI (73-19114)

TO: ☒ ADIC, New York  
Attn: 

GEORGE M. STEINBRENNER  
BACKGROUND INVESTIGATION  
OFFICE OF PARDON ATTORNEY  
BUDED: 6/1/88

Enclosed for New York is a copy of a statement submitted in support of a petition of pardon by GEORGE STEINBRENNER. The statement sets forth instances he allegedly assisted the FBI in sensitive investigations.

A review of FBIHQ files failed to determine whether the statements made on behalf of STEINBRENNER are accurate.

New York should review its files and submit a letterhead memorandum concerning items #1 and #3 of the statement. In particular, indicate the degree of assistance that STEINBRENNER provided the FBI in those investigations.

Submit results by 6/1/88, attention CI-1A.

Enclosure

b6  
b7C

OPEN (REOPEN)	CASE 73
ORIGIN FBIHQ	DATE 5-26-88
SUPV RSK	FILE 1-2

*I-2*

*pls handle L*

*See me first please*

*AGH*

SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>
SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
MAY 26 1988	
NEW YORK	

*OPA* →

73-3631-32

FBI/DOJ

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 154

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U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION  
WASHINGTON, D.C. 20590

DEC 12 1973

IN REPLY REFER TO:  
HPR-20

Honorable Clarence M. Kelley  
Director, Federal Bureau of  
Investigation  
Washington, D.C. 20535

Attention: Latent Fingerprint Section  
Identification Division

Dear Mr. Kelley: FEDERAL AID HIGHWAY PROGRAM

In our letter dated December 5, 1973, we submitted certain documents for examination by the FBI Laboratory--Document Examination Section. These documents were mailed to us by an anonymous source and the identification of this source would materially benefit an investigation we are conducting of possible fraud against the Government relating to the Federal-aid highway program in Ohio.

We recently received another letter from this anonymous source containing two documents:

1. An undated letter addressed to Mr. Staats.
2. An 8-page letter concerning political contributions.

The envelope was opened by Mr. N. T. Tiemann, Federal Highway Administrator, Federal Highway Administration; however, based on prior instructions neither he nor anyone else handled the two documents.

We respectfully request that an examination be made of the two enclosed documents for latent fingerprints that can be lifted and compared with any identification record on file or that can be compared with fingerprint records of any future suspects developed in our investigation. We have no suspects at the moment.

We appreciate your cooperation in this matter.

Sincerely yours,

7 DEC 20 1973

W C Thornton

W. C. Thornton  
Director of Program Review  
and Investigations

Enclosure

95-189353

SEVEN

1/10/74

COPY AND SPECIMENS RETAINED IN LAB

Index 100 Files

George Steinbrener  
Possible fraud in Ohio - Federal  
aid Highway Program  
Superior Court

731217036

B



U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION  
WASHINGTON, D.C. 20590

DEC 12 1973

IN REPLY REFER TO:  
HPR-20

Honorable Clarence M. Kelley  
Director, Federal Bureau of  
Investigation  
Washington, D.C. 20535

*George Steinbrenner  
Possible Fraud in Ohio - Federal  
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Signature Exam.*

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Sincerely yours,

W. C. Thornton  
W. C. Thornton  
Director of Program Review  
and Investigations

*and  
1-15-74  
CCO*

Enclosure

95-189353

*Index Files  
A-82629  
1-2-74*

Dear Mr. Staats:

G. A. O. is much concerned with honesty in reporting contributions to Federal candidates. Some of the taxpayers in Ohio doubt it. Watergate is like an ice cream social as compared to the administration of Gov. John Gilligan of Ohio. They have shaken down more employees and people doing business with state government than has been done anywhere else in America.

The graft is sophisticated; the kickbacks are extortion; the payoffs are a way of life. It is everywhere.

The architects are Gilligan, Dr. Sirik, and four crooked directors, plus a few lawyers who handle graft as fees.

Your organization is supposed to have given Ohio Democrat Headquarters a clean bill of health. If they are clean, then the Watergate boys deserve a medal.

We don't want to get fired or we would sign our names, which would mean automatic exit for us.

Disgusted Democrats, who are  
sick of graft !!!

The Ohio Democratic State Executive Committee filed with the Secretary of State on September 10, 1973, a report of receipt of political contributions for the first eight months of calendar year 1973. The report is full of inaccuracies, omissions, misstatements, and deliberate attempts to mislead anyone reviewing the report. The report is in affidavit form and has been sworn to as being correct by Allan F. Cohn.

There are several areas which should be explored relative to some of these alleged contributors, their contributions and their businesses. The following are involved:

1. Some occupations are questionable.
2. Some amounts are questionable.
3. A question as to whether the contribution listed is actually that of the contributor or if he is just reporting funds in his name which were given to him by others.
4. Whether funds were contributed by an individual in excess of \$100. but were reported in unitemized contributions (limited to \$100. or less).
5. Whether contributors do business with the state and if so how much.
6. Whether the contributor is a state employee and required to contribute.

Some of the individuals who should be questioned include:

1.  contributed \$400. Was this his money? Does he have contracts with the Highway and Natural Resources Departments? Is the payment of contribution a prerequisite to doing business?

b6 per USDOT  
b7C

2. [ ] listed as a consultant. Contributed \$250.00. Was this his money? [ ] received on a non-negotiated personal service contract in 1972, \$3,276 from the State Department of Urban Affairs. b6 per USDOT b7C  
Was the contribution to be made from [ ] funds and was it for a percentage of what the state paid [ ] also was paid \$3,360. by the Development Department in 1972.
3. [ ] contributed \$1,000.00 He got into R. E. Lowe & Associates \$172,520. in 1972 in personal service, non-negotiated contracts with the Dept. of Highway Safety. It should be determined if the contribution was his money or from others, as he was an officer of the Ohio Private Employment Service Assn. which is interested in legislation. It is believed all the money he received was for temporary help furnished the state which is unethical. Was the \$1,000. all of the contribution? Who paid it? Was it for doing business with the state and if so who required it.?
4. Shelly Berman- Graphics. Is this a corporation? No occupation shown. Contributed \$800.00. Whose money? How much business with the state? Records show he received \$14,595. from Economic and Development Dept. in August, 1973; \$21,196 in July ; \$13,515 in May, 1973 from Commerce Dept; \$1,170. from Welfare Dept. in March, 1973; \$15,470. from Economic and Development Dept. in Feb. 1973. Was his contribution required to do state business? Who made the arrangement?



5. [redacted] contributed \$2,000.00. Whose money?  
Was it required to get contracts? He has several contracts  
with the Ohio Dept. of Transportation, including over  
\$75,000. in non-negotiated contracts in 1973.

6. [redacted] Contributed \$300.00. Had a \$3,250. non-  
negotiated contract with Economic and Community Development  
Dept. in May, 1973. Was the contribution his own money?  
Did he pay it to get the contract? Who arranged it -- if so?  
Had he made prior contributions or was this just one time,  
when he got the contract?

b6 per USDOT  
b7C

7. [redacted] \$1,500.; [redacted] \$250.;  
[redacted] \$1,000.; [redacted] \$200.; [redacted]  
\$200.; [redacted] \$200.; [redacted] \$250.;  
[redacted] \$175.; [redacted] \$200.; [redacted]  
\$250.; [redacted] \$500.; [redacted] \$200.;  
[redacted] \$125.; [redacted] \$2,000.; [redacted]  
\$200.; [redacted] \$125.; [redacted] \$500.;  
[redacted] \$250.; [redacted] \$1,000.; [redacted]  
\$500.; [redacted] \$200.; [redacted] \$200.;  
[redacted] \$200.; [redacted] \$200.; [redacted]  
\$250.; [redacted] \$125.; [redacted] \$1,300.;  
[redacted] \$500.; [redacted] \$500.; [redacted]  
\$500.; [redacted] \$500.; [redacted] \$250.; [redacted]  
[redacted] \$250.; [redacted] \$250.; [redacted] \$250.;  
[redacted] \$200.; [redacted] \$120.00.

All of the above are state employees and have many other employees under their  
supervision and control. They should be questioned as to whose money they con-  
tributed. Was it collected from others? Did it include sums received from other

state employees, but reported in these names? If any other employees funds are in these amounts, do they receive federal funds as part of their salaries?

8. The Automobile License Bureau of Heath, Ohio contributed \$200.00. Is this money for driver licenses and auto tag kickback? Was the money from a single contributor or many? Who were they?

9. [ ] lists himself as a business executive and contributed \$1,100.00. He is in reality a pinball operator who has games of skill in liquor establishments and is paying for the privilege. Is the contribution his own money? Is he being required to pay? Who took his money?

10. [ ] contributed \$1,000.00. His Company AMS, Inc. had to pay a \$17,000. attorney fee to get a contract. It should be determined if the \$1,000. he paid was his money or funds from other sources. Why did he contribute -- to get contracts which he would not have gotten otherwise? He can testify about the extortion of the \$17,000.00.

11. The following, with the amounts contributed, are doing business with the state departments shown:

a.	[ ]	\$400. - Highway & Natural Resources	
b.	[ ]	500. - " " " "	b6 per USDOT
c.	[ ]	\$1,000. - Highway	b7C
d.	[ ]	\$1,000. "	
e.	[ ]	\$200. - "	
f.	[ ]	\$300. - "	
g.	[ ]	\$400. - Liquor	
h.	[ ]	\$200. - Highway	
i.	Club Carry Out,	\$200. - Liquor	
j.	[ ]	\$200. - "	

k. [redacted] \$200. - Liquor  
 l. [redacted] \$300. - Transportation  
 m. [redacted] \$200. - Liquor  
 n. [redacted] \$500. - Highway Right of Way,  
 Auto Dealer, Registrar.  
 o. H. R. Halas & Assoc. , \$400. - Highway & Natural Resources  
 p. [redacted] \$300. - Highway employee  
 q. [redacted] \$200. - Highway & Natural Resources  
 r. [redacted] \$200. - Liquor  
 s. [redacted] \$200. - "  
 t. [redacted] \$500. - Transportation  
 u. [redacted] 200. - "  
 v. Western Girl, Inc., \$400. - Highway Safety (Got \$52,456 from  
 Highway Safety in 1972).  
 w. [redacted] \$300. - Transportation & Natural Resources  
 x. [redacted] \$200. - " " " "  
 y. [redacted] \$1,000 - Transportation b6 per USDOT  
 z. [redacted] \$300. - Liquor b7C  
 aa. [redacted] \$300. - "  
 bb. [redacted] \$200. - Chamber of Commerce  
 cc. Midwest Appraisal, \$500. - Transportation & Natural Resources  
 dd. [redacted] \$200. - " " " "  
 ee. [redacted] \$300. - " " " "  
 ff. Office Enterprises Ltd. - \$1,000. Lessee from SERS.  
 gg. E. S. Preston & Associates -\$2,000. - Transportation  
 hh. [redacted] \$250. - "  
 ii. W. E. Quicksall & Assoc. -\$1,000. "  
 jj. [redacted] - \$1,000. "  
 kk. [redacted] \$200. - Liquor (trucking)  
 ll. Simpson & Mastriani, \$1,000. - Transportation (contracta)

mm.	[redacted]	\$2,000. -	Transportation (Contracts)
nn.	[redacted]	\$1,000. -	" "
oo.	[redacted]	200. -	" "
pp.	Village Beverage	200. -	Liquor
qq.	[redacted]	200. -	Transportation (Employee)
rr.	[redacted]	350. -	" "
ss.	[redacted]	\$1,200.	" "

It should be determined who solicited the money. If they had to pay to get state business and if the funds were the personal funds of contributors or paid by them for other persons.

12. The following doctors are contributors. Are the funds given in order to get business from the state? Who solicited the funds? Must they pay to get state business? Are the funds contributed those of the contributor or of others?

a.	[redacted]	\$200.
b.	[redacted]	200.
c.	[redacted]	\$1,300
d.	[redacted]	\$200.
e.	[redacted]	\$200.
f.	[redacted]	\$1,100.
g.	[redacted]	\$103.
h.	[redacted]	\$1,100.
i.	[redacted]	\$1,100

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b7C

13. [redacted] is employed in the Regulating and Licensing Boards. He contributed \$1,000. Whose money is this? Did he collect it from other employees? If so why aren't they listed? Is he hiding the names of the contributors?

14. It is believed [redacted] Dept. of Commerce, collected \$1,000. from ticket sales and it is not reported anywhere. Also several

Department of Commerce employees paid \$100. for tickets and they are not listed. [ ] Supervisor of Credit Unions refused to solicit for \$100. tickets from Credit Unions even though ordered to do so by [ ] [ ] Dept. Division of Commerce. [ ] was ordering [ ] to solicit from agencies he had regulatory powers over and this is illegal.

15. [ ] contributed \$1,000. and lists himself as "stockbroker". He is in reality a supplier of materials to the Transportation Dept. Was the contribution for state contracts? Who solicited it? Was it his own money? Would he lose contracts if he didn't pay?

16. [ ] contributed \$2,000. He is manager of Task Force Employment Agency, which got \$81,662. for parttime help furnished the Dept. of Highway Safety in 1972. In July 1973 he got 10,153 for the same thing. Was the contribution required to get state business? Had Brett contributed in the past? Was the money his own contribution or a collection from others? Other private employment agencies have had contracts. It should be checked to see if they had to contribute. This is extortion.

17. [ ] contributed \$1,000. He is affiliated with Acceleration Corp. Was this his money? Had he contributed before? Did Acceleration do any business with the Division of Securities?

18. [ ] and [ ] gave \$1,000. and \$200. respectively. Was this their money? Did they contribute to get state business? Who took their contributions? Who solicited them? Did they contribute before? Were they told to contribute in order to get the contracts?

19. Patrick Service Station contributed \$447.00. Whom money? Are individual contributors hidden? What was the money for?

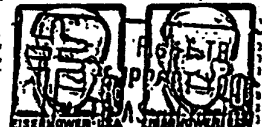
20. [ ] contributed \$1,000. He is a concessionaire for the state fair. Was the money to do business? Were the funds his? Did he have to pay to get the contract?

21. [ ] contributed \$2,000.00. He is building and leasing to state departments! Is his contribution to permit him to get contracts? Is it his money? Who solicited the contributions?

22. [ ] contributed \$1,000; [ ] contributed \$700.00. Both are blacktop dealers with state contracts. Did they pay to get the contracts? Was it their own money? Who solicited them? Would they have gotten the contracts without contributing?

23. [ ] contributed \$1,000.00. He got a securities violation suit dismissed after the Gilligan's came in. He has been appointed to a \$2,000. a year job on the State Lake Lands Adm. Was his contribution for favors? Who solicited it? Was it his money?

These are only a few of many contributors. All are either doing business with the state or employees. Irregular reporting of occupations, addresses, etc. are constant throughout the report. The questions raised in paragraph two herein apply equally to all.



Hon. Norbert T. Tiemann, Administrator  
Federal Highway Administration  
Nassif Building  
400 7th Street SW  
Washington, D. C. 20591

PERSONAL AND CONFIDENTIAL

95-189353 Q7 NV



U.S. DEPARTMENT OF TRANSPORTATION  
FEDERAL HIGHWAY ADMINISTRATION  
WASHINGTON, D.C. 20590

DEC 5 1973

IN REPLY REFER TO:

HPR-20

Re Possible fraud in Ohio Federal-aid  
highway program  
Typewriter Exam

Honorable Clarence M. Kelley  
Director, Federal Bureau of  
Investigation  
Washington, D.C. 20535

Attention: FBI Laboratory--Document  
Examination Section

731207DTT

Dear Mr. Kelley:

This office is initiating an investigation concerning possible fraud and  
related violations in regard to the Federal-aid highway program in the  
State of Ohio.

In connection with our investigation we have received the following  
documents from an anonymous correspondent:

1. Undated letter headed DEPARTMENT OF TRANSPORTATION.
2. Undated letter beginning "George Steinbrenner gave Gov Gilligan. . ."
3. Undated letter beginning "The biggest racket. . ."
4. Large brown manila envelope postmarked November 3, 1973.
5. White envelope postmarked November 18, 1973.
6. White envelope postmarked November 20, 1973.

It is respectfully requested that an examination be made of Exhibits 1  
and 2 to determine if the letters were prepared on the same typewriter.

It is also requested that letter Exhibit 3 be compared to envelope  
Exhibits 4, 5 and 6 to ascertain if the same typewriter prepared the  
letter and the envelopes.

This office has a positive interest in identifying the anonymous correspondent  
in this matter. Therefore, we would appreciate any information available to  
assist us in identifying: (a.) The typewriter used to type the Exhibits and  
(b.) the paper and envelopes used by the correspondent, particularly the

"ENCLOSURE ATTACHED"

EX-112

MCT-36

DEC 6 1973

photographed

ENCLOSURE

Subject  
LTS:mcw

12-26-73

SP-5

EXP. PROC.  
DEC 6 1973  
#31



name of any supplier in the Columbus, Ohio, area, as we have determined the number 430 in each postmark indicates the letters passed through the U.S. Postal Service Distribution Center in Columbus.

Please be assured this office is grateful for your cooperation in this matter.

Sincerely yours,

*G. O. Seini for*  
④ W. C. Thornton  
⑤ Director of Program Review  
and Investigations

6 Enclosures

## DEPARTMENT OF TRANSPORTATION

The most corrupt Highway or Transportation department in the United States has got to be the present one in Ohio. They have an in depth collection system by state employees on state time.

They "strong arm" all state and Federal employees with the threat of loss of position, loss of promotion opportunities, or transfer, if they fail to contribute, State employees are forced to contribute in order to get positions, to keep them or to get higher positions, all in violation of Civil Service laws. This is extortion. Part of the funds paying these employees comes from federal funds, which makes this practice even more corrupt.

The chief collector in the Transportation Department is John J. Fallon, carried on the payroll as Assistant to the Director for Administrative Affairs. (all state departments have a similar collector whose sole duty at state expense is to collect money for Gilligan).

The administration is so brazen about this practice that they have even put this list in convenient form so that all departments are aware of who the collectors are in other departments.

There is reason to believe that not all the money reaches any political fund, and that some of it is being kept by the collectors or higher ups. However, it is not being reported for tax purposes. This increases the net worth of these individuals and shows up also in household furnishings, automobiles and other increases in living standards, etc.

The funds are being solicited from employees under both state and federal Civil Service, which is a violation of the law.

There has never been such a push for money in the history of Ohio. If you check some of the employees you will find the system is working. This is the Gilligan system.

Fallon has his assistants in each division who also collect money and their names and the amounts they collect and from whom should be disclosed. They sell tickets and collect cash. They take very few checks, except from trusted contributors. The cash sometimes does not reach its fund, but is directed to the personal use of the collector or higher up, Jack Fallon or Dr. Sirak.

In the Transportation Department, there are different collectors for various levels and locations. These are shown circled in red on "A" attached. The attached directory "B" further shows known collectors at lower levels. These names are also circled in red.

The chief money man in the Gilligan administration is Dr. Howard Sirak, Fallon gives the big cash to Sirak. Whatever he collects, Gilligan controls. Sirak handles all large contributions. Much of this money is hidden for private use and the money and expenses are not reported. Sirak works with Fallon in the Transportation Department.

There is a statewide system of collectors in the Transportation Department, as pointed out before, and it applies to all contractors, consultants, appraisers, suppliers, and any and all other individuals or companies who want state business. (see Exhibit "C"). It makes no difference that federal funds are involved. They must contribute to And the collectors don't accept checks--cash with no receipts is the procedure

Suppliers are told to raise their bids 3% as that must come off the top for the Gilligan officials. See example of "D" attached. To make matters worse, the contractor, appraiser, consultant or supplier is hit from several levels, state, district and county highway employees, who are collectors. Everyone along the way has his hand in the pocket of the appraiser, supplier, contractor or consultant. The money is always cash, except for din ner tickets. Contractors, appraisers, consultants and suppliers, outside of state employees, are the bulk of the contributors to fund raising ticket events as can be seen from the Democrat committee report filed September 10, 1973, with the Secretary of State. Many of these people deal with the Transportation Department. They are told if they don't buy tickets, they don't get state business. The report indicates that Gilligan owns lock, stock and barrel the Ohio Democrat Headquarters, and that for the first eight months of 1973 alone \$817,690.22 was strong armed into Democrat Campaign Funds from employees and people doing business with the State. This report also is a "cover up" to deceive the unknowledgeable reader. For instance, [redacted] is listed as a "stockbroker". In reality he sells road supplies to the Transportation Department through the firm of Baldwin-Sours.

Some of the collectors and their associates have received expensive gifts from people doing business with the State. This is income for services rendered, but goes unreported.

Not all the money goes into the political funds.

These practices should be investigated.

On attached list "E" shows Prequalified Contractors. Those marked

b6 per USDOT  
b7C

with a red dot are the ones who get state business and who have had to contribute to Gilligan to get it. It is believed that much of the cash collected is not turned over to Gilligan and never reported. A complete audit of such areas will reveal this.

Another way to extort additional funds from suppliers of materials to the Transportation Department has been devised. Purchasing is now done in the districts under the Division Engineer. Purchase Orders are still issued from the Central Office, but the District makes them up and sends them in for recommendation, so both levels get their hands in the pocket of the businessman before he gets any business.

Director Richley of the Transportation Department gets payoffs from two main sources--two consulting engineering firms. They are E. S. Preston of Columbus, Ohio, and Dalton, Dalton and Little of Cleveland, Ohio. These contracts provide for no bids. It is a straight percentage deal of the amount of business they get. Some of it is kickback from federal funds. E. S. Preston has a racket at the Transportation Center on Route 33 north of Marysville, Ohio.

The surveys being run by Dalton, Dalton and Little are costing the state twice as much as they should.

Richley has received financial help from [redacted] of Youngstown, Ohio. Richley wants to run for public office and he is accepting cash on his own.

A newspaper article, attached as "F", in the Columbus Dispatch on Sept. 30, 1973, really pointed up the whole area of kickbacks, contribution corruption, and cronyism. Another article, attached as "G", shows the extent of such corruption in the Department of Transportation and other areas.

There are 14,000 employees in the Transportation Department expected to average contributions of \$100.00 each per year.

George Steinbrenner gave Gov Gilligan \$25,000. in cash. No taxes were paid. Steinbrenner's American Shipbuilding Co. has a jet at Executive Jet at Port Columbus at Gilligan's disposal for political and personal meetings all over the U.S. Executive Jet bills American Shipbuilding for Gilligan's use. This is fraud. It is income tax evasion by Gilligan.

Henry Eckert, assistant Supt of Insurance for Ohio, is on Steinbrenner's payroll. He handles Steinbrenner's Marine Insurance. He keeps the premiums down by a political arm.

Gilligan and Dr. Sirak can be made to tell whom they receive money from. How much? What for?

Here is a list of other people who operated like Steinbrenner. This money was collected and no taxes paid. The corporations paid phoney expense accounts, bonuses, or rigged dividends. These people gave cash to Gilligan and Sirak in 1970-71-72 and 73. They paid no taxes.

Steinbrenner, American Shipbuilding, Cleveland	\$25,000.
[REDACTED] builder, Cleveland	\$15,000.
Dalton, Dalton, Little Consulting Engr, Cleveland	\$50,000.
[REDACTED] owns 3 race tracks, Cleveland Shopping Center Developer (This money can be skimmed from the unclaimed tickets at the track)	\$150,000.

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b7C

[redacted]  
Highway Consultant Engineer  
Columbus, 5% to 10% to Richley  
or Jack Fallon

\$25,000

[redacted]  
Oil Lobbyist, Columbus,  
Collected from Oil Companies

\$10,000

[redacted] Consulting  
Engineer, Columbus,  
5% to Jack Fallon

\$10,000

[redacted] Columbus,  
Renter, Does business  
with the State

\$ 5,000

[redacted]  
Columbus Highway Supplier

\$ 5,000

[redacted]  
Consulting Engineer, Columbus  
5% each month to Jack Fallon

" \$25,000

Gurin, Ginsburg, Merritt.  
Cleveland lawyer. Put an office  
in Columbus for payoff in legal  
fees.

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b7C

\$100,000

[redacted]  
Contractors, Columbus

\$17,000

[redacted] Columbus Builder  
Collected rent from the state of  
Ohio since Gilligan took office.  
\$1,300,000 each year. Pays 25¢ to  
50¢ per sq.ft. to [redacted]  
Columbus lawyer or to Dr. Sirak or  
Meeker. He makes heavy contributions  
in cash.

\$25,000

Continental Office Supply  
1070 Morse Rd.  
They had a verbal contract from  
Gilligan and Sirak to supply all  
office furniture to the State of  
Ohio. Especially the new State  
Office Building. The Department  
of Purchase will bear this out.  
Ohio has purchased \$3,000,000  
worth of new and used furniture  
from Continental.

\$100,000



3

The biggest racket going on in Ohio is in the Transportation Department, and is the oldest game of all. That game is "Kiting" federal highway funds. The highway department is charging work to the Federal Government that the Federal Government is not responsible for. The federal funds thus secured are paying for projects in the state, counties and cities in which the federal highway programs are not supposed to participate. On some of the 50% - 50% participation projects of federal-state construction, the preliminary work is charged to 70% - 30% projects or 90% - 10% projects, and thus the Federal Bureau of Roads is being defrauded of federal funds.

We in the Department know these are illegal transactions, but we do not want to get fired. They swear us to secrecy. We know of the shakedown of contractors, suppliers, consultants, etc. going on under your noses with nothing happening to stop it. You had better move in before there is a taxpayer's suit in Federal Court to protect the federal funds. Then you face will be red. The suit may be filed July 1, 1974.

Since it is common knowledge that the State Transportation Dept. is illegally "Kiting" federal funds the department is starting a secret investigation of its own this week. This is for a cover up and to make some person a fall guy. The Highway people in Ohio do not want the Federal Highway funds looked at too closely yet. They want to get their house in order.

For example, the Columbus Olentangy Freeway Federal-State project is paying for State Route 161 repairs etc.

In Cleveland presently the state is negotiating to purchase 13 acres from the City on the I-90 project west in Westlake. This is part of the City's filtration plant. The 13 acres does not disturb the filtration plant. The 13 acres is appraised at \$260,000. This could be high. The state is also going to purchase from friends 16 acres from Rock-West Developing Co. for the price of

\$820,000 and turn the 16 acres over to the city. The city of Cleveland will never build on this. The property will be sold later. The state is thus defrauding \$560,000 of Federal matching funds on I-90.

Who is making the deal?

Who really owns the property?

Who gets the kickback?

In the highway districts of Cleveland, Youngstown, Toledo, Cincinnati, Akron, Canton, Columbus, Dayton etc. there is a payoff or kickback on every transaction.

Property owners are told by the highway people what lawyers to employ. The lawyers kickback. Everyone kicksback. In some cases the highway dept. employs the same lawyers to represent the state. Every right-of-way purchase has a handle on it. Property in the right-of-way area is a racket.

In the Steubenville area they ransack the property and sell the fixtures inside the houses, when right-of-way land is acquired. This goes on other places. Part of the purchase price is federal funds. Some state employees make \$50,000 a year selling fixtures and house parts.

In the U.S.30 Southern By-pass at Akron, illegal and irregular payments are being charged to federally funded projects.

In Cincinnati (Across Town Highway) highway federal funds are finding their way into poverty programs. This is fraud and it goes on in other cities too. The Toledo Eastern By-Pass is a target too, for illegal use of federal highway funds.

In Youngstown, [redacted] was Gilligan's largest contributor. He owns Richley, Transportation Director. Every project should be looked into in the Youngstown area. This is Richley's back yard. Anything and everything goes for payoffs. Attorney Flask there is a relative of Richley. Watch Richley's relatives. Their hands are long and sticky.

b6 per USDOT  
b7C

All of the limited accesses where there are interchanges on interstate routes are being surrounded by commercial or shopping centers placed there. These developers all give payoffs. Local and state officials and developers are all involved. They are relocating people. They get kickbacks from real estate people. You can get a list by the requests they make to the Federal Bureau of Roads.

Ohio Highway people let persons live in houses that have been taken by the state for State-Federal projects. They pay no rent, but kickback to the state highway personnel. They pay no taxes.

Payoffs are not always at the top. It's all up and down and has to be the worst kickback-payoff-fraud committing highway department in the nation.

Most of this extortion activity comes from new people employed in the last three years under Gilligan. They believe that money must pass hands to do business politically. The professional staff is mostly honest. But the new top dogs are just plain-thieves.

The only way to root this out is to put in a large investigating force and talk to some of the professionals or old timers at home. They will unload the truth. They hate this corrupt procedure.

Richley is owned by [redacted] is supposed to have given Gilligan and Sirak in person, \$150,000 in cash, which has never been reported. [redacted] named Richley as Director. In Clermont County, Richley wants to spend state funds for a shopping center for DeBartolo. Federal funds will find their way into this.

Check where shopping centers or Commercial buildings have been placed by cutting in limited access. In this last year the highway agency is preparing to cut into the limited access for shopping centers and commercial building. This is strictly a payoff. The big payoff will be handled before they leave office next year, if somebody doesn't do something about it to stop it.

Contractors have no choice. Every purchaser, supplier, contractor, consultant, office equipment company, you name it-- the hungry extortionist, is busy at work. To date they have been untouched.

We good employees cannot stand it much longer. You should investigate and talk to the people doing business with the state.

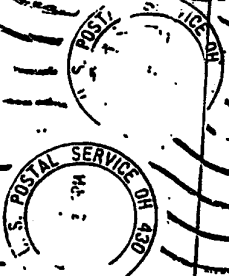
All things considered, the present Gilligan Administration has collected over \$6 million in the past three years through just highway kickbacks. No taxes are paid on any of this. Without a doubt the Gilligan administration has more tax dodgers than all the rest of the State of Ohio. They are wealthy with hidden payoffs.

There is open fraud. The state is not getting all the materials it is buying.

State employees being paid in part with federal funds are being shaken down. There is out in the open shake-down of state employees on State-Federal projects by other state workers. They have over one hundred state employees collecting from state workers or people doing business with the state, and on state time.

Somebody better do something now before they cover up the fraud and bury the graft!

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AF*

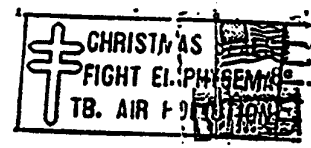
Hon. Norbert T. Tiemann Administrator  
Federal Highway Administration  
Nassif Building  
400 7th Street, S.W.  
Washington, D. C. 20591



PERSONAL

Hon. Norbert T. Tiemann, Administrator  
Federal Highway Administration  
Department of Transportation  
Nassif Building, Rm. 4218  
400 7th Street SW  
Washington, D. C. 20591

D-731207071 Q5 NV



*Personal*

Hon Norbert T. Tiemann, Administrator  
Federal Highway Administration  
Department of Transportation  
Nassif Building , Room 4218  
400 7th Street, SW  
Washington .D.C 20591

P 731207071 Q6 NV

# Memorandum



FEDERAL GOVERNMENT

Subject

George Michael Steinbrenner

Date

October 5, 1987

PEC:sfh

To

Michael D. Wilson, Chief  
Organized Crime Section  
Criminal Investigative Division  
Federal Bureau of Investigation

From

PEC  
Paul E. Coffey, Deputy  
Chief, Organized Crime  
and Racketeering Section  
Criminal Division

Per our discussion of September 23, 1987, attached is an FBI record check regarding George STEINBRENNER's parole application. The Public Integrity Section has asked me, on behalf of the Organized Crime Section, to indicate whether any additional information is available concerning allegations contained in paragraphs 6, 7, or 8 in the attached record check. Please advise.

Attachment

cc: Gerald E. McDowell, Chief  
Public Integrity Section

W/1  
W/1

① [Redacted] b6 per FBI  
b7C  
② [Redacted]  
③ [Redacted]  
Prepare response pl.  
73-19114-63  
17 NOV 18 1987

Memo to OCRS, DOJ  
10/19/87  
WPC:gm  
W/1  
1- ENCLOSURE

22 OCT 13 1988





U.S. Department of Justice

Federal Bureau of Investigation

Washington, D.C. 20535

June 10, 1986

GEORGE MICHAEL STEINBRENNER  
APPLICATION FOR PARDON AFTER  
COMPLETION OF SENTENCE

RECORD CHECK

A review of FBI files disclosed the following eight references to Mr. Steinbrenner in addition to the investigation conducted in 1973 which resulted in his conviction for Election Laws violation:

1) In October, 1973, an investigation was initiated upon the request of the Watergate Special Prosecution Force (WSPF) to determine if individual campaign contributions attributed to George Steinbrenner and employees of American Shipbuilding (AMSHIP) were, in fact, corporate contributions. Investigation revealed that the contributions in question were returned by the candidate to those individuals, and the case was closed. +

2) During the course of a 1975 Election Laws investigation into an aviation company, information was developed indicating that, in 1972, AMSHIP was billed by that company for chartered aircraft used by a Senator. Investigation was initiated at the request of the WSPF to determine if the Senator was using the aircraft for campaign purposes, thereby resulting in AMSHIP making illegal campaign contributions. It was determined that, for the flights in question, the Senator was attending speaking engagements and was not involved in an active campaign at the time that AMSHIP paid for his travel. The investigation was closed. +

It should be noted that both of these matters were provided to the Watergate Special Prosecutor for a prosecutive opinion. By a memorandum dated August 18, 1976, the WSPF advised that their office had closed these cases, and there is no indication that any prosecutive action resulted.

73-19114-63  
ENCLOSURE

Re: GEORGE MICHAEL STEINBRENNER

3) George Steinbrenner was one of several individuals named in 1984 by a cooperating witness regarding individuals who made political campaign contributions, some of which were legal, others that were not. The cooperating witness advised that George Steinbrenner contributed \$5000 to the Carter campaign. There was no indication that this contribution was illegal and no further action was taken.

4) During the course of an investigation initiated in 1983 into possible illegal activities by individuals within the Federal Court system in the Northern District of Ohio, information was developed in 1984 indicating that relatives of a Federal Judge, with whom Mr. Steinbrenner is acquainted, made substantial capital gains in the buying and selling of AMSHIP stock. Their accountant, who received an appointment from the Judge, is also on AMSHIP's Board of Directors, including AMSHIP's audit and compensations stock option committee. Additionally, allegations were made that the nephew of the Judge received commissions from a company, owned by a friend of Mr. Steinbrenner's, in connection with that company's business transactions with AMSHIP. Interviews of individuals of AMSHIP revealed they were instructed by top management in Tampa to show favorable consideration to that company. Mr. Steinbrenner was interviewed in May, 1984 regarding these matters and advised the following: The Board of Directors is notified in advance of pending stock dividends, but they are instructed not to trade in AMSHIP stock. He further advised that he personally has never provided advance information on stocks to anyone outside the company. Mr. Steinbrenner also advised that he has never told company officials to give a contract to a particular company, but has asked them to give companies a chance to bid on contracts. The file contained no other pertinent information regarding Mr. Steinbrenner.

5) A June 11, 1976 newspaper article revealed that George Steinbrenner was one of several prominent individuals seated on the dais at a testimonial dinner honoring Anthony Scotto. Scotto is a member of one of the organized crime families in New York City. The file contained no additional information concerning Mr. Steinbrenner.

The following information was provided by two separate confidential sources of the FBI.

Re: GEORGE MICHAEL STEINBRENNER

6) In November, 1981, [redacted] who has provided both reliable and unreliable information in the past, advised that George Steinbrenner is alleged to be a close friend of [redacted] is a member of the Buffalo organized crime family. This allegation has not been substantiated through active investigation.

7) In February, 1981, [redacted] advised that George Steinbrenner is alleged to be in the preliminary stage of some unknown business activity with [redacted] is a member of the Cleveland organized crime family.

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b7D

8) In March, 1981, that same source also advised that George Steinbrenner sent "muscle" to "shut up" an individual whose horse mysteriously died while racing at the Tampa Bay Downs Racetrack. The individual had been voicing hostile complaints to the racetrack because it was learned that the attending vet was not licensed. Subsequent contacts with the source have failed to generate any additional information concerning this matter.

With respect to information provided by [redacted] [redacted] caution should be exercised in the use of this information in view of its singular nature. Disclosure of this information could reveal the identity of this confidential source. [redacted] has furnished reliable information in the past which has been corroborated through independent investigation. However, the information provided by [redacted] Mr. Steinbrenner has not been substantiated through active investigation.