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<th>To be returned</th>
<th>(for sub a)</th>
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<td>Director of</td>
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</tr>
<tr>
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<td>8/15/90</td>
<td>WE: (FOR SUB A)</td>
<td>HD: 473</td>
<td>Executed by sa</td>
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<tr>
<td>3</td>
<td>8/15/90</td>
<td>YE: (FOR SUB A)</td>
<td>Photos 3 of</td>
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<td>4</td>
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<td>YE: (FOR SUB A)</td>
<td>Photos 4 of</td>
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<td>YE: (FOR MAIN)</td>
<td>Photos/MEET of</td>
<td>Surveillance of meeting at the</td>
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<td>YE: (MAIN)</td>
<td>Photo, record, transcript of</td>
<td>Surveillance of</td>
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<td>YE: (FOR SUB A)</td>
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<td>Photos (copies of</td>
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All information contained herein is classified.

Date: 5/16/81

By: [Signature]

Classified by: SDB: JG/LG

Reclassify by: [Signature] 01-03

Classified by: SDB: JC/NC

Reclassify by: [Signature] 01-03

Declassify by: X

FBI—WASHINGTON, D.C. FIELD OFFICE

AUG 4 1990

FBI—WASHINGTON, D.C. FIELD OFFICE

177-14-94
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All information contained herein classified and filed dated 3/31/57 by speclens.
Field File No. 196A-1563 Sub A -1A -1
Serial # of Originating Document

OO and File No. 8/1/89
Date Received
From SA
(Name of Contributor)

(Address of Contributor)

By SA
(Name of Special Agent)

To Be Returned □ Yes □ No Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No

Title:

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/1/81 BY PSJC/ES

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

Photos of
Field File No. 196A-6575-64-19
Serial # of Originating Document
DO and File No. 
Date Received
From SA
By SA

To Be Returned [ ] Yes [ ] No
Receipt Given [ ] Yes [ ] No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure [ ] Yes [ ] No

Title: Marc Rich - Fugitive ETAL

Reference: (Communication Shipped and Material)

Description: [ ] Original notes re interview of

FD-473 Excerpt

by SA

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/91 BY SPSjc/3 CPS
(CDRU #01-081)
I hereby authorize [Name] and [Name], Special Agents of the Federal Bureau of Investigation, United States Department of Justice, to place a [Body Recorder] on my person for the purpose of recording any conversations with [Name of Subject(s)] and unidentified others which I may have on or about [Date].

I have given this written permission to the above-named Special Agents voluntarily and without threats or promises of any kind.

(Signature)

Witnesses:

SA FBI COMPO

SA FBI COMPO

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED DATE 3/1/81 BY [Signature]

(CDRA #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SRSC/EDS
(CDNU #01-081)

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b7F
FD-340b (Rev. 4-2-85)

Field File No. 1964-1543 Sub'A.
Serial # of Originating Document
00 and File No.
Date Received 8/10/89
From

To Be Returned □ Yes □ No Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
□ Yes □ No

Title: More Risk - Engineer
ETAL

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/1/01 BY SP50/PER

Reference: (CDR #01-081)

Description: □ Original notes re interview of

Photos of
Field File No. 1964-1563 1A-5
Serial # of Originating Document
OO and File No. NJ
Date Received 8/16/81
From (Name of Contributor)
(Address of Contributor)
By (Name of Special Agent)
To Be Returned □ Yes □ No Receipt Given □ Yes □ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No
Title: Marc Rich - Fugitive (B);
Pincus Green - Fugitive (B);
Et Al;
FBI wj MF; RICO; Income Tax Evasion;
Trading with the Enemy;
Reference: (00:NY)
(Communication Enclosing Material)
Description: □ Original notes re interview of
Photos / Negatives of Surveillance of meeting at The
ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.
WHERE SHOWN OTHERWISE.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.

DATE 3/1/01 BY SP5 JCMC
(CDRU #01-081)

3-19-01
CLASSIFIED BY SP5 JCMC
REASON: 1.5 (C)
DECLASSIFICATION ON: X_1

AUG 6 1989
JF
3-19-01
CLASSIFIED BY: SP5 JClmc
DECLASSIFY ON: AL

AUG 16 1989

ALL INFORMATION CONTAINED HERE IS UNCLASSIFIED.
DATE 3/1/01 BY: SPS5/DCS
(CDRU #01-087)

THE INFORMATION CONTAINED HEREIN IS CONFIDENTIAL WHERE SHOWN OTHERWISE.
CLASSIFIED BY JCLMC.
REASON: 15
DECLASSIFY ON: 3/19/01

ALL INFORMATION CONTAINED HERIN IS CLASSIFIED
DELETION BY DEVICES (CDRU #01-081)

AUG 16 1989

YB
CLASSIFIED BY: SFC JCLMC
REASON: 1.5
DECLASSIFY ON: X

AUG 16 1989

ALL INFORMATION CONTAINED IN THIS DOCUMENT IS CLASSIFIED.

3/19/01
(CDEU #01-084)

ALL INFORMATION CONTAINED
HEREIN IS CLASSIFIED

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHEREAVER OTHERWISE CLASSIFIED.

DATE 3/1/01 BY STSJC/ES
(CDPU #01-081)
3-19-01
CLASSIFIED BY: STSJC/ES
REASON: 15
DECL: 3/1/01

6/6/989

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ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

3/14/01 by SEPJC/ED5
(CDRU #01-081)
3-19-01
SP5 JCLMC

CLASSIFIED PERSON.
DECLASSIFY ON: ___

Aug 1 6 1988

File
ALL INFORMATION CONTAINED HEREOF IS CLASSIFIED
AND PROHIBITED FROM REVEALING WHERE SHOWED OTHER THAN
\[\text{signature}\]
DECLASSIFIED ON 3/1/01 BY SC517035
(CDRU #01-081)

DECLASSIFIED ON 3/1/01

\[\text{signature}\]

AUG 1-6 1989
AUG 16 1989

[Handwritten notes:]
- All information correct.
- Date: 3/1/81.
- CDS.
- 3-19-01.
- [Signature: SPSJC/MC]
AUG 16 1989

CD#01-081

3-19-01

SP5 JCMC
ALL INFORMATION CONTAINED HEREL IS CLASSIFIED
DATE 3/1/91 BY SPECIES
(CDRU #01-081) 3-19-01
SP5 JCMC
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(CDRU #01-081)

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Aug 16 1989

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 3/19/01 BY SPS5 JCMC
(CDRA #01-081)
3-19-01
SP5 JCMC
ALL INFORMATION CONTAINED HEREIN IS DECLASSIFIED DATE 3/1/01 BY SESTC/CS
(CDRU #01-081)

AUG 1 6 1989

3-19-01 / SP5 3CJMC

[Signature]
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 3/11/67 BY SPECIALISTS

(CDN # 01-081)

3-19-81 SPEC JCMD
ALL INFORMATION CONTAINED HERIN IS U. CLASSIFIED
DATE 3/14/81 BY 6572 PDA
(CEMA #01-081)

3-19-01 X SP5 JCMC
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SPECIES
(CDNU #01-081)
3-19-01
1
Serial # of Originating Document
CO and File No.       NY
Date Received        8/16/89
From                 (Name of Contributor)
                      (Address of Contributor)
                      (City and State)
By                   SA
                      (Name of Special Agent)

To Be Returned □ Yes □ No     Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No

Title:     Marc Rich - (Fugitive) (B);
Pincus Green - Fugitive (B);
Et Al;
FBW; ME; REC; Income Tax Evasion,
Trading With The Enemy

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

Original recording/transcript of
surveillance of

3-19-01      SP05SDC
(CBUU=01-02)
JHB:laht

[PHOTOGRAPHY SURVEILLANCE] (S) (U)

Initiated of the has observed

Two photos

Two pictures taken

two shots were taken

no photograph obtained

one shot that was shot number seven

one shot taken. that was number eight.

picture ten is again taken nine and ten

two pictures taken

six pictures taken at

next shot will be number 19. three shots taken

next shot will be 22.
shot taken
two shots taken

shot 25 taken
two shots taken

shots 28 and 29 taken
film roll changed, new roll put in.

no shot taken

three additional pictures taken and two shots

that was two shots

five

shot five taken

photo six taken

shot seven again taken.

11 and 12 taken

changing the film.
11 and 12 taken

film changed. stuck on 13.
starting roll c.
photos one and two taken
up through five was taken
photo six was taken

seven taken
shot eight is next
shot eight taken

up to twelve were taken
four shots

through number nine were taken
Field File No.  
Serial # of Originating Document  
OO and File No. 196A 1563 Sub A -1A-7  
Date Received 8/16/89  
From WFO-SDG  
(Name of Contributor)  
(Address of Contributor)  
(City and State)  
(Name of Special Agent)  
To Be Returned □ Yes ☒ No Receipt Given □ Yes ☒ No  
Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes ☒ No  
Title: ALL INFORMATION CONTAINED HEREIN IS FULLY CLASSIFIED WHERE SHOWN OTHERWISE.  
Reference: (Communication Enclosing Material)  
Description: □ Original notes re interview of  

Amilliard, log, 3-19-81  
CLASSIFIED BY: SP5 JCLMC  
REASON: C  
DECLASSED: 01/1
SURVEILLANCE LOG

DATE [Blank]
DAY OF WEEK [Blank]
WEATHER CLEAR

On [Blank], a surveillance was conducted in the vicinity of [Blank] at which time the following observations were made:

TIME INITIALS OBSERVATION

surveillance initiated at [Blank][Blank][Blank][Blank][Blank][Blank][Blank]
The closed circuit television (CCTV) was activated.
photos were taken
The CCTV was operational during this same time frame.
surveillance ended.

ALL INFORMATION CONTAINED HERIN IS DECLASSIFIED 3-19-01 (CDRU #01-081)
CLASSIFIED BY: SPR SC/NC
REASON: 15 (c)
DECLASSIFIED ON:

ALL INFORMATION CONTAINED HERIN IS DECLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.
FD-340 (Rev. 4-2-65)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

Field File No. ____________________________________________
Serial # of Originating Document ____________________________

OO and File No. 196A-1563 Sub A - 1A (8)

Date Received [8/14/89]

From WFO-506 (Name of Contributor)

(Address of Contributor)

By __________________________ (City and State)

(Name of Special Agent)

To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules
of Criminal Procedure ☐ Yes ☑ No

Title: ___________________________________________________

Reference: _____________________________________________

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Negative film photos at ________________________

[Signature]

DECLASSIFICATION AUTHORITY DERIVED FROM:

FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 06-17-2014 BY: J74320110

3-19-01

CLASSIFIED BY: SP5 JCMG
REASON: X 1
DECLASSIFICATION: X 1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3-19-01 BY: SP5 JCMG

(REFERENCE #61-019)

b6 b7c

b7d

b7e

b7f
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<td><strong>Serial # of Originating Document</strong></td>
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<tr>
<td><strong>Date Received</strong></td>
<td>Received 9/1/89</td>
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<td>SSA</td>
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<td><strong>By</strong></td>
<td>SSA</td>
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<td><strong>To Be Returned</strong></td>
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<td>(DEN #01-09)</td>
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<td><strong>Federal Rules of Criminal Procedure</strong></td>
<td>□ Yes □ No</td>
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<td><strong>Title</strong></td>
<td>W/C - Ric - fugitive</td>
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<td><strong>Reference</strong></td>
<td></td>
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<td><strong>Description</strong></td>
<td>Original notes re interview of Surveillance Photos at site</td>
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ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATED 3/12/68 BY JFCL/CODS (CIRCL #01-081)
3-19-01
CLASSIFIED BY: [Signature]
REASON: 1.5
DECLASSIFY ON: [Redacted]

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED EXCEPT AS SHOWN OTHERWISE.
SECRET

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

3-19-01
CLASSIFIED BY: SEP5 JCMC
REASON: 1.5 (C)
DECLASSIFY ON: X1

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SEP5 JCMC
(CDEU #91-081)

SECRET
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

3-19-01
CLASSIFIED BY: SP5 J. E. Mc
REASON: 
DECLASSIFY ON: X

ALL INFORMATION CONTAINED
HEREIN IS DECLASSIFIED
DATE 3/1/01 BY DEP. RECS.
(CDUR 1-01-081)
Serial # of Originating Document

Date Received: 9/1/89

From

By

To Be Returned: Yes

Receipt Given: Yes

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure: Yes

Title: Marc Rich - Fugitive; Pierre J. Greenberg, ET AL.

Reference: FBI; IMF; RICO; Income Tax Evasion, Trading with the Enemy

Description: Original notes re interview of

Photo copies of...
Universal File Case Number: 196A-1563  Sub "A"

Field Office Acquiring Evidence: LNMFO

Serial # of Originating Document

Date Received: 9/7/89

From: SA

(Address of Contributor)

By: SA

To Be Returned: No

Receipt Given: No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e)

Federal Rules of Criminal Procedure: No

Title: Trees and Fugitive

Reference:

Description: Original notes re interview of

Specimen FD-475

ALL INFORMATION CONTAINED
HERETIN IS UNCLASIFIED
DATE: 3/9/01 BY SPSC/PS
(COEU #01-08)
I hereby authorize Special Agents of the Federal Bureau of Investigation, United States Department of Justice, to place a [ ] Body Recorder [ ] Transmitter on my person for the purpose of recording any conversations with [ ] [Name of Subject(s)] which I may have on or about [ ] [Date].

I have given this written permission to the above-named Special Agents voluntarily and without threats or promises of any kind.

[Signature]

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 9/2/83
(CREU #01-081)
I hereby authorize [Name] and [Special Agents of the Federal Bureau of Investigation, United States Department of Justice] to place a [Body Recorder] on my person for the purpose of recording any conversations which I may have on or about [Date].

I have given this written permission to the above-named Special Agents voluntarily and without threats or promises of any kind.

[Signature]

SA, FBI, 9/7/89
SA, FBI, 9/7/89.
Total Deleted Page(s) = 40
Page 7 ~ Duplicate;
Page 10 ~ Duplicate;
Page 14 ~ Duplicate;
Page 18 ~ Duplicate;
Page 21 ~ Duplicate;
Page 23 ~ b6; b7C; b7E;
Page 24 ~ b7B;
Page 26 ~ Duplicate;
Page 28 ~ b6; b7C; b7E;
Page 29 ~ b7E;
Page 31 ~ Duplicate;
Page 35 ~ Duplicate;
Page 39 ~ Duplicate;
Page 44 ~ Duplicate;
Page 47 ~ Duplicate;
Page 49 ~ b7E;
Page 52 ~ Duplicate;
Page 54 ~ b7B;
Page 57 ~ Duplicate;
Page 60 ~ Duplicate;
Page 63 ~ Duplicate;
Page 66 ~ Duplicate;
Page 69 ~ Duplicate;
Page 72 ~ Duplicate;
Page 75 ~ Duplicate;
Page 80 ~ Duplicate;
Page 83 ~ Duplicate;
Page 86 ~ Duplicate;
Page 89 ~ Duplicate;
Page 93 ~ Duplicate;
Page 99 ~ Duplicate;
Page 103 ~ Duplicate;
Page 107 ~ Duplicate;
Page 111 ~ Duplicate;
Page 114 ~ Duplicate;
Page 117 ~ Duplicate;
Page 121 ~ Duplicate;
Page 124 ~ Duplicate;
Page 127 ~ Duplicate;
Page 130 ~ Duplicate;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXXXXXXX
FSA Blue Slip

Request Date: 2/27/91
Request for payment in connection with investigation entitled: [Redacted]

Authority: [Redacted]

Payments made by other offices? □ Yes □ No:

Details: [Redacted]

Approved for Disbursement: [Redacted]

Check No.: [Redacted] Date: 2/12/91

On 2/25/91, I received $[Redacted] from the [Redacted]

Field Support Account for expenditure

Signed:

Payment Date: 2/25/91

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: [Redacted]

Total: [Redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Redacted] Title: [Redacted]

For FBIHQ Use Only:

□ Approved
□ Disapproved

Classification:
Classified by:
Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
<table>
<thead>
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<th>Type</th>
<th>Description</th>
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**NOTE:** These expenditure types are subject to stringent procurement review and/or approval requirements. Expenditures should *NOT* be charged without prior notification and/or approval from FBIHQ.
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 2/8/91
FROM: SA (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OC:NY)

Attached is an FSA Blueslip requesting payment of
for payment to be made by the writer to the
The expenses were incurred for the monthly
for use by the writer in the
during the period of 1/1/91 through 1/31/91 to
in captioned matter. Cost of
during this period was An additional 1.00 is included for
the cost of a money order to pay the bill.

Attached is the invoice for for January,
1991. The customer (yellow) copy of this invoice is being
submitted as the original "white" copy will be sent to the
company with this payment.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmm
**FSA Blue Slip**

**Request Date:** 11/31/91  
**Voucher Date:** 2/27/94

**To:**  
**Entitled:** [Redacted]

**Authority:**  
**Period Covered:** 11/41 to 11/31/91

**Payments made by other offices?**  
**Yes**  
**No**

**Details:** Exhilerated insurance for cost of money order. Bill is attached.

---

**On 2/17/91, I received $**  
**Field Support Account for expenditure**  
**Signed**

**Payment Date:** 2/25/91

**Informant Payments:**  
**Witnessed by:**  
- OR -  
**Waiver Dated:**

**Cooperating Witness**

**Total:**

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

**Signed:**  
**Title:**

---

For FBIHQ Use Only:

- Approved
- Disapproved

---

**Classification:**

**Reviewed**  
**Check No.:**  
**Date:**

---

Field Office Copy

---

ALL INFORMATION CONTAINED HEREBY IS UNCLASSIFIED.
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 1/31/91
FROM: SA (C-9) b6 b7c
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of $1.00 is included for the cost of a Postal Money Order to pay the bill.

The attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this

WMM/wmn

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.

DATE 2/8/91 BY SPSCL

#01-081

FEB 1 1991

FBI—WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 11/11/91  
Voucher Date: 11/11/91

Request $ for payment in connection with investigation

Authority: SAC  
Payments made by other offices? Yes  
Details: Exculsive informant

Payment Date: 12/6/91

Informant Payments:  
Witnessed by:

Cooperating Witness:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed

For FBIHQ Use Only:

Approved
Disapproved

Field Office Copy

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 11/26/91

#01-08
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)  1/17/91
FROM: SA (C-9)  
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHelan (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Submitted are invoices for October through December, 1990. The customer (yellow) copies of the invoices are being submitted for October and December, 1990. The original "white" copy of the October bill was returned to the company in October with a payment. The December, 1990, "white" copy of the bill will be sent to the company with this payment.

ATTACHED ARE: invoices for October through December, 1990. The customer (yellow) copies of the invoices are being submitted for October and December, 1990. The original "white" copy of the October bill was returned to the company in October with a payment. The December, 1990, "white" copy of the bill will be sent to the company with this payment.

AUTHORIZED/FSA BALANCE:

SAC authority. FSA balance is [ ] After this payment, FSA balance will be [ ]
FSA Blue Slip

Request Date: 1/14/91
Voucher Date: 2/11/91

, request $ for payment

entitled: Marc Peti - Positive 81-942-7788 5-14-91 Nam viet Feb 14 1964

Payment to inform. or cooperating wit. Other Identific. Information?: Yes

Authority: FCI

Payments made by other offices? Yes No

Details: Excuses named previous

Payment $ for cost of cooperation effort is included

Field Support Account for expense

Category:

Informant Payments:
Witnessed by:

- OR -

Waiver Dated:

Cooperating Witness

SSN:

DOB:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained or justifying memorandum has been prepared and filed.

Signed:

For FBIHQ Use Only:

Classified by:

Classification:

Field Office Copy

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)  1/14/91
FROM: SA _____________________________ (C-9)  b6 b7C
SUBJECT: MARC RICH - FUGITIVE;
          PINCUS GREEN - FUGITIVE;
          ETAL;
          FBW; MF; RICO; INCOME TAX EVASION;
          TRADING WITH THE ENEMY;
          (OO:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the [ ] This amount
was incurred for a [ ] covering the period of
12/14/90 through 1/13/91.

$1.00 is included for the cost of a Postal Money
Order to pay the bill.

The [ ] is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [ ] After this [ ]
payment, FSA balance will be [ ]

WMM/wmm

[Signature]

ALL INFORMATION CONTAINED HERETIN IS UNCLASSIFIED

DATE: 2/20/91  BY: [Signature]

#01-08

JAN 14 1991

FBI WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 12/4/90
Voucher Date: 12/9/91

Entitled: [Handwritten text]

Request $\square$ for payment in connection with investigation entitled: [Handwritten text]

Authority: SAC
Period Covered: 11/1/90 to 12/31/90

Payments made by other offices? □ Yes □ No:

Details: [Handwritten text]

Approved for Disbursement

Reviewed

Check No.: Date: 12/10/91

On 12/14/90, I received $\square$ from the [Handwritten text]

Field Support Account for expend.

Payment Date: 12/27/91

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Handwritten text]
Title: [Handwritten text]

For FBIHQ Use Only:

□ Approved □ Disapproved

Classification: ____________________________
Classified by: ____________________________
Declassify on: ____________________________

Field Office Copy

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/6/86 BY SB

#01-081
MEMORANDUM

TO:       SAC, WMFO (196A-1563)(P)          12/4/90

FROM:    SA              (C-9) b6

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of $1,000 for payment to the
This amount was incurred for a
covering the period of
11/14/90 through 12/13/90.

$1.00 is included for the cost of a Postal Money
Order to pay the bill.

The 

is

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is
After this
payment, FSA balance will be

WMM/wmm
FSA Blue Slip

Request Date: 10/25/90

Voucher Date: 11/29/90

Payment to Informant or Cooperating Witness for Narcotics Information?: □ Yes □ No

Authority: SAC, WMFO

Payments made by other offices? □ Yes □ No

Details: Payment made for __________ on undercover activity.

On 11/14/90, I received $________ from the WMFO

Field Support Account for expenditure

Signed:

Payment Date: 10/29/90

Expenditure

Type

Amount

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: __________

Cooperating Witness

True Name:

SSN:

DOB:

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Approved □

Classification:

Classified by:

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED. DATED 12/26/90.

Date 01-08.
Memorandum

To: SAC, WMFO
From: SA
Subject: PAYMENT

Date: 10/31/90

Attached for FSA is the original bill, plus one photocopy, reflecting the [redacted] on an undercover used by writer. The bank stamp showing payment of [redacted] can be found on the reverse side of the statement. Reimbursement is therefore requested in the amount of [redacted] which was paid by writer on 10/29/90.

There is currently [redacted] in the RICH account.

ALL INFORMATION CONTAINED KEEPER IS UNCLASSIFIED DATE 2/16/61 BY SPEC: LAC

I-FSA
RAH/rah

1-FSA
RAH/rah
FD-536 (Rev. 2-24-87)

Classification: ______________

Item No: 496

Request Date: 2-27-90
Voucher Date: 11-20-90

On 11/14/90, I received $1,000 from the SAC, WMFO

Field Support Account for expenditure

Signed: ________________

Payment Date: 10-29-90

Payment to Informant or Cooperating Witness for Narcotics Information? : ☐ Yes ☐ No

Authority: SAC, WMFO
Period Covered: 10/1/90 to 10/1/92

Payments made by other offices? ☐ Yes ☐ No

Details: Payment made for ______ on undercover ______ Original bill, receipt, and memo attached.

Approved for Disbursement

Reviewed

Check No.: ________________ Date: 11/20/90

Expenditure

Category: Informant Payments: Witnessed by:

- OR -

Waiver Dated: ________________

Cooperating Witness

True Name: ________________
SSN: ________________
DOB: ________________

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: ________________
Title: ________________

Field Office Copy

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 26/6/91 8:57:57 PM
Memorandum

To: SAC, WMFO

From: SA

Subject: PAYMENT

Date: 10/31/90

Attached for FSA is the original bill, plus one photocopy, reflecting the on an undercover used by writer. The stamp showing payment of can be found on the reverse side of the statement. Reimbursement is therefore requested in the amount of which was paid by writer on 10/29/90.

There is currently in the RICH account.

1-FSA
RAH/rah
FSA Blue Slip

Request Date: 10-24-90
Voucher Date: 11-8-90

Entitled: Fugitive 

Field Support Account for expenditure
Cooperating Witness:

Informant Payments:
Witnessed by:
- OR -
Waiver Dated:

Hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: ____________________________
Title: ____________________________

For FBIHQ Use Only:

□ Approved
□ Disapproved

Field Office Comments:

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 10/24/90
FROM: SA (C-9)
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of ________ for payment to ________ on undercover ________ which is for use in captioned matter. Attached is an invoice documenting the expense. $1.00 is included for cost of money order.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is ________ After this payment, FSA balance will be ________

WM/FM

APPROVED

2/13/90

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/26/91 BY SDJS
This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you submit the Payee and Purchaser information on the money order in the space provided. No claim for improper payment is permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.
FD-536 (Rev. 2-24-87)

Classification: 

FSA Blue Slip

Item No: 370

Request Date: 10-24-90

Voucher Date: 11-8-90

Account No: ____________________________________________

request $ ______ for payment in connection with investigation

entitled: ____________________________

Payment to Informant Copies/Witness for/receipts Information? : □ Yes □ No

Authority: SAC

Period Covered: 9-14-90 to 10-13-90

Payments made by other offices? □ Yes □ No

Details: Expenses incurred for ____________________________

On 11-17-90, I received $ ______ from the ________________

Field Support Account for expend ____________

Payment Date: 10-25-90

Signed ____________________________

Informant Payments:
Witnessed by:
- OR -
Waiver Dated: ____________

Cooperative Witness

True Name: ____________________________

SNU: ____________________________

DOB: ____________

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed ____________________________

Title: ____________________________

For FBIHQ Use Only: ____________________________

Classification: ____________________________

Classified by: ____________________________

Declassify on: ____________________________

Field Office Copy

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 2/16/10 BY SB5

#01-081
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 10/24/90

FROM: SA __________ (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of $1.00 for payment to the
This amount was incurred for a
covering the period of 9/14/90 through 10/13/90.

$1.00 is included for the cost of a Postal Money Order to pay the bill.

The attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm
CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

42780046803

This receipt is your evidence of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchase Information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.
FSA Blue Slip

Request Date: 10/23/90
Voucher Date: 11/23/90

Approved for Disbursement

Field Support Account for expenses

On 11/17/90 I received $11,519.00 from the 1/24/90

Payment Date: 11/17/90

Informant Payments: Witnessed by:
- OR -
Waiver Dated: 1/1

Cooperating Witness

True Name: _______________________
SSN: ____________________________
DOS: ____________________________

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a Justifying Memorandum has been prepared and filed.

Signed: ___________________________

For FBI HQ Use Only:

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/3/91

#81-081
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 10/23/90
FROM: SA (C-9) b6 b7C
SUBJECT: MARC RICH - FUGITIVE:
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of [blank] for payment to be made by the writer to the [blank]. The expenses were incurred for the monthly [blank], for use by the writer in the [blank] during the period of 6/1/90 through 8/31/90 to [blank] concerning developments in cautioned matter. Cost of [blank] during this period was [blank]. An additional 1.00 is included for the cost of a money order to pay the bill.

Attached are original invoices for [blank] for June and July, 1990. The customer (yellow) copy of the invoice is submitted for August, 1990 as the original of the bill must be sent with payment. Also enclosed is a receipt for [blank] for one month's payment.

AUTHORITY/FSA BALANCE:

SAC authority, FSA balance is [blank] After this payment, FSA balance will be [blank]

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 10/24/90
OCT 24 1990
FBI - WASH. METRO FIELD OFFICE

# 01-081
Request Date: 1/1/90

Voucher Date: 11/23/90

Field Support Account for expenditure:

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Expenditure

True Name:

SSN:

DOB:

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt:

Signed:

For FBIHQ Use Only:

Approved

Disapproved

Classification:

Classified by:

Classify on:

Field Office Copy

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE: 01/09/90

# 01-081
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 10/26/90
FROM: SA (C-9) b6 b7c
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the This amount was incurred for a
in the name of covering the period of
10/14/90 through 11/13/90.

$1.00 is included for the cost of a Postal Money
Order to pay the bill.

The is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmnn
FSA Blue Slip

Request Date: 8/31/90
Voucher Date: 10/11/90

Field Support Account for expense

Payment Date: 9/26/90

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Title: FSA

Classification: Unclassified

Signature:
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 8/31/90

FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blusslip requesting payment of
for payment to the This amount
was incurred for a covering the period of

8/14/90 through 9/13/90.

$1.00 is included for the cost of a Postal Money
Order to pay the bill.

The is attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 2/4/90 BY: [Signature]

#01-081
CUSTOMER'S RECEIPT

DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

4253398872
00926 273102

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser Information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 2/1/01 BY: ___/___/___
#01-081
FD-53 (Rev. 2-24-87)  

**FSA Blue Slip**

**Request Date:** 8/19/90  
**Voucher Date:** 8/31/90

**Authority:** SAC  
**Period Covered:** 5/31/90 to 7/19/90

**Field Support Account for expenses:**  
**Signed:**

**Payment Date:** 8/28/90

**Informant Payments:**  
**Witnessed by:**

- OR -

**Waiver Dated:**

**Cooperating Witness:**

**True Name:**

**SSN:**

**DOB:**

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

**Signed:**

**For FBIHQ Use Only:**

- **Approved**
- **Disapproved**

**Classification:**

**Classified by:**

**Declassify on:**

**Field Office Copy**

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED, DATED 6/23/90.
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 8/8/90

FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the
this amount was incurred for a
covering the
period of 5/31/90 through 7/10/90.

On 7/13/90, the writer's residence was moved to
which required the
remains the same, the new
The attached bill dated 7/14/90 documents charges of
for cost and service during the period of 7/14/90 through 8/13/90.

$1.00 is included for the cost of a Postal Money
Order to pay the bill.

The attached are

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is  . After this payment, FSA balance will be

WMM/wmm

AUG 11 1990
All information contained herein is unclassified.

CUSTOMER'S RECEIPT
DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

CUSTOMER RECEIPT

This receipt is your guarantee of 100% refund if your money order is lost or stolen, provided you fill in the Pay To and Purchaser information on the money order in the space provided. No claim for improper payment permitted 2 years after payment.

If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

ALL INFORMATION CONTAINED
IN THIS RECEIPT IS PROTECTED
BY LAWCOPYRIGHT 1978.
I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a listing in the memorandum has been prepared and filed.

Title: ____________________________

Field Support Account for expansion of 7/11/77

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Total: $75.90

Employer:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:

Field Office Copy

For FBIHQ Use Only:

Approved: [ ]

Disapproved: [ ]

Payment: [ ]

Disbursement: [ ]

Payment Date: 6/20/70

Informant Payments:

Witnessed by: ________________________

Field Support Account for expansion of 7/11/77

Approved for Disbursement:
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 6/25/90
FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAI;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(C: NY)

Attached is an FSA Blueslip requesting payment of
for payment to the . The expenses
were incurred for a

fugitives during the period of 4/10/90 through
7/9/90. $1.00 is included for the cost of a Postal Money Order
to pay the bill.

The and a
postal money order receipt are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is . After this
payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/81 BY
F01-81
FSA Blue Slip

Request Date: 5/10/90

Voucher Date: 10/11/91

to

entitled: [Redacted]

Program No.: 1964.15

Authority: SAC

Payments made by other offices? Yes

Details: [Redacted]

Approved for Disbursement:

On 5/18/90, I received ______ from the [Redacted]

Field Support Account for expenditure

Signed:

Payment Date: 6/8/91

Informant Payments:

Witnessed by:

- OR -

Waiver Dated:

Cooperating Witness:

True Name:

SSN:

DOB:

Total:

I hereby certify that funds were expended as described above par attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

Classification:

Classified by:

Declassification:

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 5/10/90

FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; ME; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
[ ] for payment to the [ ] The expenses
[ ] were incurred for a
[ ]

[ ]
during the period of 3/10/89 through
4/9/90. $1.00 is included for the cost of a Postal Money Order
to pay the bill.

The [ ] are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [ ] After this
payment, FSA balance will be [ ]

WMM/wmm

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/16/81 BY SPF
#01-08

MAY 13 1991

FBI—WASH. METRO FIELD OFFICE
**FSA Blue Slip**

**Request Date:** 5/15/90

**Voucher Date:** 5/11/91

**Authority:** 54C

**Payments made by other offices?** Yes

**Details:** Expenses for 5/15/90

**Category:**

**Informant Payments:**

**Witnessed by:**

**Waiver Dated:**

**Cooperating Witness:**

**Total:**

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

**Signed:**

**Title:**

**For FBIHQ Use Only:**

- Approved
- Disapproved

**Classification:** 196A

- Approved by:
- Declassified on:

---

Field Office Copy

JA RETURNED 30d ON 5/31/90

#01-081
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P)  5/10/90
FROM: SAL-1 (C-9)  b6 b7C
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to be made by the writer to the
The expenses were incurred for the monthly
for use by the writer in the
during the period of 2/1/90 through 4/30/90 to
concerning developments in captioned matter. Cost of
during this period was An additional 1.00 is included for
the cost of a money order to pay the bill.

Attached are original invoices for for
March and April, 1990. The customer (yellow) copy of the invoice
is submitted for May, 1990 as the original of the bill must be
sent with payment.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/4/90 BY MARG
FSA Blue Slip

Request Date: 4/16/90
Voucher Date: 4/19/90

Authority: FSA

Payments made by other offices? □ Yes □ No

Details: Field Support Account for expense

Field Support Account for expense

On 4/17/90, I received $ from the 112450

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: Title:

Field Office Copy

FBIHQ Use Only:

Classification:
Classified by:
Declassify on:

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE: 2/14/91 BY 01-01-91
#01-08
MEMORANDUM

TO:          SAC, WMFO (196A-1563)(P)  4/6/90

FROM:       SA _______ (C-9) b6

SUBJECT:    MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the The expenses
were incurred for a

the fugitives during the period of 2/10/90 through
3/9/90. $1.00 is included for the cost of a Postal Money Order
to pay the bill.

The are

attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/WMR

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/3/90

APR 0 (50)
FSA Blue Slip

Voucher Date: 3/21/90

Request Date: 3/19/90

Entitled: Field Support-Account for expend

Authority: SAC

Period Covered: 2/1/90 to 3/19/90

Detail: Payment made to witness for cost of investigation of $1,000 is cleared

Approved for Disbursement:

Check No.: Date: 3/13/90

On 3/15/90, I received $1.00 from the

Field Support-Account for expend

Signed:

Payment Date: 3/16/90

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness

True Name: 

SSN: 

DOB: 

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: Title: 

For FBIHQ Use Only:

Classification: 

Approved

Disapproved

Declassify on:

Field Office Copy

ALL INFORMATION CONTAINED THEREIN IS UNCLASSIFIED
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 3/9/90

FROM: SAL-I (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the The expenses
were incurred for a

the fugitives during the period of 2/10/90 through
3/9/90. $1.00 is included for the cost of a Postal Money Order
to pay the bill.

The are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wnun

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE: 2/24/91 BY: S.P.

#01-087

MAR 9 1990

FBI - WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 2/12/90

Voucher Date: 3/1/90

Approved for Disbursement:

Check No.: 
Date: 2/16/90

Payment Date: 2/24/90

Field Support Account for expenses:

Signed:

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:
Title: F.S.P.

For FBIHQ Use Only:

- Disapproved

Classification: 
Classified by: 
Declassification on: 

Field Office Copy
MEMORANDUM

TO: SAC, WMFO.(196A-1563) (P) 2/12/90
FROM: SA [C-9]
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO;NY)

Attached is an FSA Blueslip requesting payment of
for payment to be made by the writer to the
The expenses were incurred for the monthly
for use by the writer in the
during the period of 11/1/89 through 1/31/90 to
concerning developments in captioned matter. Cost of
during this period was An additional 1.00 is included for
the cost of a money order to pay the bill.

Attached are original invoices for for
November and December 1989. The customer (yellow) copy of the
invoice is submitted for January, 1990 as the original of the
bill must be sent with payment.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be 

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/14/90 BY S. S. Leach
FEB 13 1990
FBI -- WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 2/18/90
Voucher Date: 3/1/90

Entitled: "Mark Rice, Bogun "ETAL" Files, MT? RICO, Inc."

Payment made by other offices? □ Yes, □ No:
Details: Expenses incurred for use of informants. Accordingly, an additional $100 is charged for cost of money order. Bill is attached.

Approved for Disbursement:

On 2/18/90 I received $ from the Field Support Account for expenditure.

Field Support Account for expenditure:

Payment Date: 2/24/90

Informant Payments:
Witnessed by:

Type: [ ]
Amount: $

Category: [ ]

Total: $

Cooperating Witness:

True Name: [ ]

SSN: [ ]

DOB: [ ]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained a justifying memorandum has been prepared and filed.

Signed: [ ]

Title: [ ]

For FBI HQ Use Only:

□ Approved
□ Disapproved

Classification: [ ]
Classified by: [ ]
Declassify on: [ ]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/18/90
#01-081
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 2/12/90
FROM: SA (C-9)  
SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ETAL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO: NY)

Attached is an FSA Blueslip requesting payment of [redacted] for payment to the [redacted] The expenses were incurred for a [redacted] the fugitives during the period of 1/10/89 through 2/9/90. $1.00 is included for the cost of a Postal Money Order to pay the bill.

The [redacted] are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [redacted] After this payment, FSA balance will be [redacted]

WMM/wmm
FD-536 (Rev. 2-24-87)

Classification: 196A

**FSA Blue Slip**

**Request Date:** 1/21/90

**Voucher Date:**

- **Authority:**
  - Payments made by other offices? D Yes
  - **Item No.:**
  - **Voucher Date:**
  - **Period Covered:** 1/21/89 to 1/9/90

**Details:** 
- Expenditure incurred for use of a
  - Information Concerning Investigation:
  - 1.00 additional is claimed for cost of money order. Bill is attached.

**Cooperating Witness**

- **True Name:**
- **SSN:**
- **DOB:**

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

**Signed:** ____________________________ **Title:** ____________________________

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For FBIHQ Use Only:

- **Classification:** ____________________________
- **Classified by:** ____________________________
- **Declassify on:** ____________________________

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**FBIHQ Copy**
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 1/2/90

FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBI; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the [ ] The expenses
were incurred for a [ ]

of the fugitives during the period of 12/10/89 through
1/9/90. $1.00 is included for the cost of a Postal Money Order

The [ ] are

attached.

AUTHORITY/PSA BALANCE:

SAC authority, FSA balance is [ ] After this

payment, FSA balance will be [ ]

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/6/81 BY SPS/SM

#81-081

JAN - 2 (E.)

FBI - WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 11/30/89

Voucher Date: 12/6/89

Voucher #:


Expenditure: Witnessed by:

Waiver Date:

Details: Excessive insurance

Key Case Agent

Payment Date: 11/9/89

On 12/6/89 I received $ from the

Field Support Account for expense

Signed:

Informant Payments:

Witnessed by:

- OR -

Total:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title: FSA/WMFO

Field Office Copy

All information contained herein is unclassified.

Date: 12/1989

Classification: 196A

Approved by:

Disapproved

Declassify on:

For FBIHQ Use Only:

Approved

Classified by:

Disapproved

Declassify on:
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 11/30/89
FROM: SA (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHelan (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an FSA Blueslip requesting payment of
for payment to be made by the writer to the
The expenses were incurred for the monthly
for use by the writer in the
during the period of 8/1/89 through 10/31/89 to
concerning developments in captioned matter. Cost of
during this period was An additional 1.00 is included for
the cost of a money order to pay the bill.

Attached are invoices for during the above
period and a money order receipt.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmm
FSA Blue Slip

Request Date: 11/27/89

Voucher Date: 12/18/89

classification: 

Payment in connection with investigation:
Entitled: Marc Rich, Longtime Fugitive, RICO Trial

Extradition Hearing with Pre-Trial

Payment to Inmate or Cooperating Witness: ____________

Authorization: ____________

Period Covered: ____________

Payments made by other offices? Yes No

Details: Expenses incurred for

Information Concerning Mark Rich's Murder

Bill No.

On 12/16/89, I received $ ________ from the

Field Support Account for expen

Signed:

Payment Date: 12/16/89

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: ____________

Cooperating Witness:

True Name: ____________

SSN: ____________

DOB: ____________

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: ____________

Title: ____________

For FBIHQ Use Only:

Approved  Disapproved

Classification: ____________

Classified by: ____________

Declassify on: ____________

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 11/27/89
FROM: SA (C-9)
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the ____________________________ The expenses
were incurred for a ____________________________

The expenses of the fugitives during the period of 11/10/89 through
12/9/89. $1.00 is included for the cost of a Postal Money Order
to pay the bill.

The ____________________________ are
attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is ____________________________ After this
payment, FSA balance will be ____________________________

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1/2/90 BY SPS
#01-081
FSA Blue Slip

Request Date: 10/20/89

Voucher Date: 11/2/89

_ request $ _ for payment in connection with investigation

entitled: Marc Rich - Relevant (B) TANG, FBI, N.Y. RICO

Authority: SAC

Period Covered: 9/10/89 to 11/9/89

Payments made by other offices? □ Yes □ No :

Details: Excerpts included for use of A

Informant, concerning this

matter. All DO is claimed to cover cost of records, bills are attached

Field Support Account for expenditure

On 11/1/89, I received $ _ from the WIFER

Field Office Copy

Field Support Account for expenditure

Signed

Payment Date: 10/3/89

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: _ _ _ _

Cooperating Witness

True Name: ____________________________

SSN: ____________________________

DOB: _ _ _ _ _

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: ____________________________

Title: ____________________________

For FBIHQ Use Only:

□ Approved

□ Disapproved

Classification: ____________________________

Classified by: ____________________________

Declassification: ____________________________

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 2/14/89 BY SCPA Florida

#01-08)
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 10/20/89

FROM: SA (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ETAL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of
for payment to the expenses were incurred for a

the fugitives during the period of 9/10/89 through 11/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill.

The are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wrn
FSA Blue Slip

Request Date: 9/1/89
Voucher Date: 10/1/89

Request $ for payment in connection with investigation of Marc Rich/Pincus Green-Fugitives; FBW; MF; INCOME TAX; File No. 196A-1563
Authority: SAC, WMFO
Period Covered: 8/24/89 to 12/28/93
Payments made by other offices? □ Yes □ No
Details: Reimbursement for [Details], which will be used in an undercover capacity for the above-captioned case.

On 10/2/89, I received $ from the WMFO
Field Support Account for expenditure in connection with above matter
Signed:

Payment Date: 8/2/89

Informant Payments:
Witnessed by:

[Signature]

Cooperating Witness:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Signature]

For FBIHQ Use Only:

□ Approved
□ Disapproved

Classification: 
Classified by: 
Declassify on: 

Field Office Copy

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/4/81 BY [Signature]

#01-0 81
To: SAC, WMFO (196A-1563 SUB Y)  
From: SA (C-8)  
Subject: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FBW; MF; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY  

Attached is a FSA Blueslip requesting payment of _______ to SA _______ for reimbursement for an _______. The _______ has been used by SA _______ in an undercover capacity for the above-stated case and will be used in the future on ILLWIND related investigations.

Dollar amount in RICH, et al, case funds at time of request:

Amount requested: 
Amount remaining: 

Receipt for expense is attached.
FSA Blue Slip

Request Date: 8/18/89  
Voucher Date: 10/6/89

to

entitled: Marc Rico (Fugitive) ET AL FBII. MF; RICO: Insolvency Function Trading With The Enemy  
File No: 196A-7563

Authority: BAC/CNCl ( )
Period Coverage: 8/14/89 to 10/16/89

Payments made by other offices? [ ] Yes [ ] No

Details: Operational Expense incurred

On 9/20/89, received $ from the Field Support Account for expenses

Sign

Payment Date: 8/16/89

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: __________

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: __________________________

Title: FSA/WM FD

Field Office Copy

For FBIHQ Use Only:

[ ] Approved
[ ] Disapproved

Classification: __________
Classified by: __________
Declassification Date: __________

ALL INFORMATION CONTAINED IN THIS MEMO IS UNCLASSIFIED

Date 2/24/89

Signature

Page 045

Item No: 196A
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)  8/21/89
FROM: SA (C-9)  b6 b7c
SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ARNOLD PHELAN (DECEASED); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY)

Attached is an FSA Blueslip requesting for operational expenses incurred by an (SAs) on surveillance at the which occurred The agents observed a

Surveillance was conducted

The following expenses were incurred concerning this matter:

3-WMFO
WMM/ms

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/14/81 BY
#01-881

FBI - WASH. METRO FIELD OFFICE
AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [________] After this [________]

payment, FSA balance will be [________]
Memorandum

To: SAC, WMFO (196A-1563) (P)
From: SSA (C-9)

Subject: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
PBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

The purpose of this memorandum is to address expenditures incurred during a physical surveillance on relative to captioned matter. This is an extremely sensitive investigation.

For administrative purposes, the recent information has been handled under captioned matter; however, if it continues to develop, a separate case will be opened.

The surveillance referred to above took place at

Due to the sensitive nature of the investigation, this information is contained in the substantive case file which is maintained by SSA and can be provided on a need to know basis.

Adequate coverage of this meeting required physical surveillance and

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED.

DEB:mt

(3)
Due to the

It is realized that

however, in this instance it was necessary. In anticipation of the usual circumstances surrounding this matter, it was discussed with ASAC prior to the meeting. At that time, approval was obtained.
FSA Blue Slip

Request Date: 8.25.89

Voucher Date: 9.5.89

Classification: 196A

Item No: 3783

Field Support Account for expenditures in connection with above matter.

Signed:

Paid on 9.11.89 received $ from the

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed:

Title:

For FBIHQ Use Only:

☐ Approved

☐ Disapproved

Field Office Copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 2/6/89

CLASSIFIED
REcejTBy: FBI

DECLASSIFIED
DECLASSIFY ON:

Field Support Account for expenditures in connection with above matter.

Signed:
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P)  8/25/89
FROM: SA (C-9) b6
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

8/25/89
FSA Blueslip requesting payment of
attached.

The expenses were incurred for
the fugitives during the period of 8/10/89 through 9/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill.

The attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/26/81 DJS/PD

SERIALIZED FRED

FBI - WASH. METRO FIELD OFFICE

1989
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 8/25/89
FROM: SA (C-9) b6 b7c
SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHelan (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of
the expenses incurred for 
the fugitives during the period of 8/10/89 through 9/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill.

The are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wrm
FSA Blue Slip

Request Date: 8/25/89

Voucher Date: 9/5/89

Approval for Disbursement: [ ]

Field Support Account for expenditure: [ ]

Payment Date: 8/24/89

Informant Payments:
Witnessed by:

- OR -
Waiver Dated: [ ]
Cooperating Witness: [ ]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [ ]
Title: [ ]

For FBIHQ Use Only:

[ ] Approved
[ ] Disapproved

Classification: [ ]
Classified by: [ ]
Declassification: [ ]

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89
FROM: SA (C-9) b6 b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of [redacted] for payment made by the writer on 8/24/89 to the [redacted] for an undercover [redacted] is a receipt for payment of [redacted]

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is [redacted] After this [redacted]

payment, FSA balance will be [redacted]

WMM/wmm

ALL INFORMATION CONTAINED KEREN IS UNCLASSIFIED
DATE 2/4/89 BY: SP

FBI WA SH METRO FIELD OFFICE
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89
FROM: SA (C-9) b6 b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of for payment made by the writer on 8/24/89 to the for an undercover is a receipt for payment of for the writer to be used in captioned matter. Attached is a receipt for payment of

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm
**FSA Blue Slip**

**Request Date:** 8/2/89  
**Voucher Date:** 9/5/89

**To:** [Name]  
**Entitled:** [Name] vs. [Name]

**Authority:** [Approval]

**Payments made by other offices?** □ Yes □ No

**Details:** [Expense Description]

**Field Support Account for expenditure:** [Account]

**Approved for Disbursement:** [Signature]

**Check No.:** [Number]  
**Date:** 8/29/89

**On:** 9/1/89  
**Received:** $[Amount]  
**From:** [Name]

**Category:** [Category]

**Informant Payments:**

**Witnessed by:** [Name]

**OR**

**Waiver Dated:** [Date]

**Cooperating Witness:** [Name]

**SSN:** [Number]

**DOB:** [Date]

**Expenditure**

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Category]</td>
<td>[Amount]</td>
</tr>
</tbody>
</table>

**Total:** [Total]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

**Signed:** [Signature]  
**Title:** [Title]

**For FBIHQ Use Only:**

□ Approved  
□ Disapproved

**Classification:** [Classification]  
**Classified by:** [Signature]  
**Declassify on:** [Date]

Field Office Copy

---

**Classification:** [Classification]  
**Document #:** [Number]  
**Date:** 2/12/88  
**By:** [Signature]
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89
FROM: SA (C-9) (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(OO:NY)

Attached is an invoice for during the above period.

ATTACHMENT REQUEST:

Attached is an invoice for during the above period.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 2/26/91
FILED

FBI - WASH. METRO FIELD OFFICE

1/23/89

#01-081
MEMORANDUM

TO: SAC, WMFO (196A-1563) (P) 8/25/89

FROM: SA (C-9) b6 b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of
for payment to be made by the writer to the
The expenses were incurred for the monthly
for use by the writer in the
during the period of 7/1/89 through 7/31/89 to

during this period was An additional 1.00 is included for
the cost of a money order to pay the bill.

Attached is an invoice for during the above
period.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this
payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12/4/89 BY SP

401-081
FSA Blue Slip

Request Date: 7/18/89
Voucher Date: 8/12/89

Request $____ for payment
in connection with investigation
entitled: More City - Presence (SEC EOP: ME/ Reco)

Filed Contra: 7/11/89 to 8/19/89

Authority: SARC

Payments made by other offices? Yes ☐ No ☐

Details: Expenses incurred for use of a

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: __/__/89

Cooperating Witness

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: ____________________________
Title: FSA/WMFO

All Information Contained Herein Is Unclassified
MEMORANDUM

TO: SAC, WMFO (196A-1563)(P) 

FROM: SA (C-9) 

SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ARNOLD PHELAN (DECEASED); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO:NY)

7/18/89

Attached is an FSA Blueslip requesting payment of $1.00 to the writer for a payment made by the writer to the expenses incurred for RICH and PHELAN and their associates during the period of 7/10/89 through 8/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill. The and a postal money order receipt documenting and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED DATE 2/26/89 BY SFA

#01-681

FILED 7/9/1989

FBI — WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 7/16/89
Voucher Date: 8/3/89

Entitled: MARC RICH-FRED ETAL. FED. CRIM. SER. NO. 1827-1569.

Authority: SAC

Field Support Account for expenditure:
Signed:

Payment Date: 7/18/89

Informant Payments: Witnessed by:

Cooperating Witness:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: Title: FSA/WMFD

For FBIHQ Use Only:

Classification: Classified by: Declassify on:

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563) 7/18/89
FROM: SA (C-9) b6 b7C

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHelan (DECEASED);
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of for payments made by the writer to the The expenses were incurred for the monthly for use by the writer in the during the period of 5/1/89 through 6/30/89 to concerning developments in captioned matter. Cost of during this period was An additional .75 is included for a money order which were purchased by the writer for payment of the bills.

Attached are invoices for during the period of 5/1/89 through 6/30/89. Also attached is the money order receipt documenting payment of these bills.

AUTHORITY/FSA BALANCE:
SAC authority. FSA balance is After this payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/24/89 BY SG

FILED

FBI - WASH. METRO FIELD OFFICE
FD-536 (Rev. 2-24-87)

Classification: 196

FSA Blue Slip

Request Date: 6/24/89
Voucher Date: 7/7/89

entitled: [Redacted]

Authority: [Redacted]

Payments made by other offices? □ Yes □ No:

Details: [Redacted]

Approved for Disbursement:

Reviewed

Check No.: Date: 7/3/89

On 7/7/89 received $ from the [Redacted]

Payment Date: 6/22/89

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: [Redacted]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Redacted]

Title: FSA/JUMFO

For FBIHQ Use Only:

Classification: __________

Classified by: __________

Declasify on: __________
MEMORANDUM

TO: SAC, WMFO (196A-1563)  
FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ARNOLD PHELAN; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO:NY)

Attached is an FSA Blueslip requesting payment of _______ to the writer for a payment made by the writer to the ____________ The expenses were incurred for ___ ________. RICH and PHELAN during the period of 5/10/89 through 7/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill. A receipt documenting _______ and a postal money order receipt and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is _______. After this payment, FSA balance will be _______.

WMM/wmm

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 6/26/89 BY [Signature]

#01-081

[Stamp: FBI - WASHINGTON D.C. FIELD OFFICE]
MEMORANDUM

TO: SAC, WMFO (196A-1563) 6/26/89

FROM: SA____________________________________________________ (C-9)

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of _______ to the writer for a payment made by the writer to the_______. The expenses were incurred for _______.

RICH and PHELAN during the period of 5/10/89 through 7/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill.

And a postal money order receipt documenting _______ and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is _______.

After this payment, FSA balance will be _______.

WMM/wmm

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 200601 BY SUTHERLAND
#01-087

SEARCHED INDOC
SCANNED PJC
INITIALled 12/31/1989
FSA Blue Slip

Request Date: 6/19/89

Entitled: Mark A. - Fugitive STAL j PLOJ MEJ POO

Payment in Information or Co-Operative Witness Information? ☐ Yes ☐ No

Authority: FRA

Perid Covered: 5/1/89 to 5/30/89

Payments made by other offices? ☐ Yes ☐ No

Details: Cost of

Undersigned which is used in this case 5/91 is

Field Support Account for expenditure

Signed:

Payment Date: 5/19/89

Informant Payments:

Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness

True Name: 
SSN: 
DOB:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: 
Title: FSA/WMD

Field Office Copy

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/12/81 BY SPS
MEMORANDUM

TO: SAC, WMFO (196A-1563) 6/9/89

FROM: SA (C-9) b6

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(00:NY)

Attached is an FSA Blueslip requesting payment of to the writer for a payment made by the writer to the for the writer's undercover. The expenses were incurred for the captioned matter. $.89 is included for the cost of a Postal Money Order to pay the bill.

The bill documenting the and the postal money order receipt documenting and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is

After this payment, FSA balance will be

WMM/wmm

ALL INFORMATION CONTAINED
MATERIAL IS UNCLASSIFIED
DATE 2/26/81 BY 125
#01-081

SEARCHED INDIANIZED
SERIALIZED FILED
**FSA Blue Slip**

**Request Date:** 6/7/89  
**Voucher Date:** 6/22/89

**to:** [Name or organization]  
entitled: [Description of request]

**Authority:** SAC

**Request Date:** 6/7/89, request $0.00 for payment in connection with investigation

**Field Support Account for expenses:** [Account number or identifier]

**Approved for Disbursement:** [Signature]

**Payment Date:** 5/4/89

**Informant Payments:**

Witnessed by: [Name]

Waiver Dated: [Date]

**Total:** [Amount]

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Signature]  
Title: [Position or title]

For FBIHQ Use Only:

- [ ] Approved
- [ ] Disapproved

Field Office Copy

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/24/91 BY SEE (CL)

[Stamp: 01-081]
MEMORANDUM

TO: SAC, WMFO (196A-1563) 6/9/89

FROM: SA (C-9) b6 b7c

SUBJECT: MARC RICH - FUGITIVE;
PINCUS GREEN - FUGITIVE;
ARNOLD PHELAN;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY;
(CO:NY)

Attached is an FSA Blueslip requesting payment of to the writer for a payment made by the writer to the . The expenses were incurred for a

RICH and PHELAN during the period of 3/10/89 through 5/9/89. $.89 is included for the cost of a Postal Money Order to pay the bill.

and a postal money order receipt documenting and payment are attached.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is

After this payment, FSA balance will be

WMM/wmm
Request Date: 6/28/89
Voucher Date: 6/27/89

Field Support Account for expenditure:

Payment Date: 3/08/90

Informant Payments:
Witnessed by:

- OR -

Waiver Dated: 1/1

Cooperating Witness:

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: [Signature]
Title: [Title]

For FBIHQ Use Only:

- □ Approved
  - □ Disapproved

Classification: [Classification]
Classified by: [Name]
Declassification: [Date]

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563) 6/20/89

FROM: [Redacted] (C-9)

SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ARNOLD PHELAN; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (Gen: NY)

Attached is an FSA Blueslip requesting payment of for payments made by the writer to the The expenses were incurred for the monthly for use by the writer in the during the period of 1/1/89 through 4/30/89 to concerning developments in captioned matter. Cost of during this period was An additional $1.89 is included for two money orders which were purchased by the writer for payment of the bills.

Attached are invoices for during the period of 1/1/89 through 4/30/89. Also attached are money order receipts documenting payment of these bills.

AUTHORITY/FSA BALANCE:

SAC authority. FSA balance is After this payment, FSA balance will be

WMH/wmm

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/16/81 BY [Redacted]
#01-081

SEARCHED [REDacted] RECALLED [REDacted] FILED [REDacted]

JUN 5 0 1989
FBI - WASH. METRO FIELD OFFICE
FSA Blue Slip

Request Date: 5/12/89
Voucher Date: 5/18/89

Field Support Account for expenses incurred for:

$1,000 is being claimed to cover the cost of a postal money order.

I hereby certify that funds were expended as described above per attached receipt(s) and/or certification(s). If no receipt was obtained, a justifying memorandum has been prepared and filed.

Signed: 
Title: 

For FBIHQ Use Only:

☐ Approved
☐ Disapproved

Field Office Copy
MEMORANDUM

TO: SAC, WMFO (196A-1563) 5/2/89
FROM: SA (C-9)
SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ARNOLD PHELAN; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO:NY)

Attached is an FSA Blueslip requesting payment of $1.00 to the writer for a payment made by the writer to the writer for a payment made by the writer to the [illegible] The expenses were incurred for RICH and PHELAN during the period of 1/10/89 through 3/9/89. $1.00 is included for the cost of a Postal Money Order to pay the bill. A postal money order receipt documenting and a postal money order and payment are attached.

AUTHORITY/FSA BALANCE:
SAC authority FSA balance is After this payment, FSA balance will be

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATA 7/26/81 BY SSPK
100-081
Total Deleted Page(s) = 11
Page 25 - Referral/Direct;
Page 26 - Referral/Consult;
Page 27 - Referral/Consult;
Page 28 - Referral/Consult;
Page 29 - Referral/Consult;
Page 30 - Referral/Consult;
Page 31 - Referral/Consult;
Page 72 - Referral/Consult;
Page 73 - Referral/Consult;
Page 101 - Referral/Consult;
Page 102 - Referral/Consult;

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X For this Page X
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VIZCZCNY0135

P HQ NH WFO
DE NY #0135 2112245
ZNR EEEEE
R 112156Z JAN 85
FM FBI NEW YORK (196A-1774) (C-1)
TO DIRECTOR FBI (196-2848) PRIORITY
ATTN: SUPERVISOR FINANCIAL CRIMES UNIT
ATTN: DIVISION 6
FBI NEW HAVEN PRIORITY
ATTN: SPECIAL AGENT

FBI WASHINGTON FIELD PRIORITY
ATTN: 

BT
UNCLASSIFIED SECTION 1 OF 3

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MAIL FRAUD;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; DO: NEW YORK

REFERENCE NEW YORK TELETYPED TO DIRECTOR, DATED DECEMBER 12,
1984, AND NUMEROUS TELEPHONE CALLS BETWEEN NEW YORK, LEGAT, BONN,
A SENSITIVE AND RELIABLE SOURCE, WHO IS A THE FOLLOWING IS A SUMMARY OF EVENTS AS PROVIDED BY SOURCE.  

SOURCE ADVISED
THE FOLLOWING IS A SUMMARY
ADMINISTRATIVE

☐ SOURCE IS ☐ SOURCE'S IDENTITY MUST BE PROTECTED. SOURCE AND FAMILY WILL BE IN PHYSICAL JEOPARDY IF SOURCE'S IDENTITY IS DISCLOSED. ☐ IF THE INFORMATION PROVIDED BY

[Redacted]

IN REFERENCED TELETYPE, NEW YORK NOTED RESULTS OF EFFORTS TO VERIFY INFORMATION PROVIDED BY _____ IN SUMMARY, NEW YORK DETERMINED THE BASIC INFORMATION PROVIDED BY _____ WAS ACCURATE ALTHOUGH _____ BASED ON ABOVE, NEW YORK STILL BELIEVES THIS ASSESSMENT IS ACCURATE. ☒

[Redacted]

BASED ON _____ SOURCE IS ☒ VERY OPTIMISTIC THAT
BOTH NEW YORK AND SOURCE ARE VERY CONCERNED ABOUT
OFFICE HAS TAKEN ADDITIONAL ADMINISTRATIVE STEPS TO PROTECT SOURCE'S
IDENTITY. NEW YORK REQUESTS FBIHQ TAKE SIMILAR PRECAUTIONS. THESE
PRECAUTIONS SHOULD INCLUDE,

REQUEST OF THE BUREAU

BT

#8136
VZCZC...NY0137
PP HQ WH WFO
DE NY #0137 2112245
ZNR CECEZ
R 11215Z JAN 85

FM FBI NEW YORK (196A-1774) (C-1)
TO DIRECTOR FBI (196-2842) PRIORITY
FBI NEW HAVEN PRIORITY
FBI WASHINGTON FIELD PRIORITY
BT

UNCLAS EFFECT TO SECTION 3 OF 3

FBINQ IS REQUESTED TO CONDUCT INDICTS CHECKS TO FURTHER
IDENTITY FNU KUCHNICH (PHONETIC), WHO [ ], WAS A FORMER
SECRETARY OF COMMERCE IN THE CARTER ADMINISTRATION.

FBINQ IS REQUESTED TO DISSEminate CONTENTS OF THIS
COMMUNICATION TO LEGATS, BGEN AND BERN FOR INFORMATION.

LEGAT, BGEN IS REQUESTED TO CONDUCT DISCRETE INQUIRIES
CONCERNING [ ]
IT IS NOTED PREVIOUS COMMUNICATIONS HAVE BEEN SENT TO LEGAT, BONN, UNDER THE "SECRET" CLASSIFICATION. SINCE THIS CLASSIFICATION CAUSED SERIOUS PROBLEMS FOR LEGAT IN DISSEMINATING "NEW YORK WILL PREPARE FUTURE COMMUNICATIONS IN SUCH A WAY TO ALLOW MOST, IF NOT ALL INFORMATION, TO BE DISSEMINATED. SINCE PROTECTION OF SOURCE'S IDENTITY IS PARAMOUNT, LEGAT BONN IS REQUESTED TO USE CAUTION WHEN DISSEMINATING INFORMATION FROM SOURCE.

WASHINGTON FIELD, AT WASHINGTON, D.C. WASHINGTON FIELD OFFICE IS REQUESTED TO CONDUCT DISCREET CHECKS AT UNITED STATES DEPARTMENT OF STATE TO DETERMINE IF MARC RICH, DOB DECEMBER 18, 1934, AND P.O.B. AT ANTWERP, BELGIUM AND PINCUS GREEN, DOB AND P.O.B. AT NEW YORK, HAVE VAILD DIPLOMATIC UNITED STATES PASSPORTS.

BT
#0137
INBOX.4 (#1679)

TO: NY @ SAMNET-EMH, WF @ SAMNET-EMH

FROM: HQTX @ SAMNET-EMH

SUBJECT: 077/ROUTINE

DATE: 18 JAN 85 00:50:40 EST

CC:

TEXT: VZCZCCHQ0077

RR NY NM WF

DE HQ #0077 0171805

ZR UUUU

R 1746222 JAN 85

FM DIRECTOR, FBI

TO FBI NEW YORK (196A-1774)(C-1) ROUTINE

FBI NEW HAVEN (ATTN: SA __________ ROUTINE

FBI WASHINGTON FIELD OFFICE (ATTN: SA __________ ROUTINE

BT

UNCLAS

MARC RICH-FUGITIVE;

PINCUS.GREENE-FUGITIVE;

ET AL;

FBW; MAIL FRAUD; ET AL

CO: NY

RE: NEW YORK TELETYPE TO DIRECTOR DATED 1/11/85.

FOR INFORMATION OF RECEIVING OFFICES, FBI HQ GENERAL INDICES WERE SEARCHED WITH NEGATIVE RESULTS REGARDING

IN BUREAU FILE 185-875, CAPTIONED UNSUBS; MEMBERS OF THE NATIONAL SOCIALIST CONGRESS, AKA ET AL; PFO-CRIMINAL; ET AL; OR;
PHILIP KLUTZNICK WAS ONE OF 12 VICTIMS NAMED AS TARGETS OF A BOMBING PLOT. AFTER EXTENSIVE INVESTIGATION, SUBSTANTIATION OF THE THREATS TO KILL THE VICTIMS WAS NOT DISCOVERED AND CLEVELAND CLOSED THEIR INVESTIGATION ON 7/5/77.

BUREAU FILE 77-8236, CAPTIONED PHILIP M. KLUTZNICK; SPI, BEGINS WITH BACKGROUND CHECKS ON KLUTZNICK FOR A POSITION AS ATTORNEY, DEPARTMENT OF JUSTICE IN 1935 AND CONCLUDES WITH UPDATING OF BACKGROUND IN 1957 WHEN KLUTZNICK WAS BEING CONSIDERED FOR A PRESIDENTIAL APPOINTMENT WITH THE STATE DEPARTMENT. AN ADDITIONAL COMMUNICATION IN THIS FILE INDICATES THAT IN MID-1974, KLUTZNICK WAS [REDACTED] THIS INFORMATION WAS REPORTED TO THE CHICAGO OFFICE BY [REDACTED].

INFORMATION CONTAINED IN THE COMMUNICATION INDICATED ANYTHING [REDACTED].

FILE 161-55 CAPTIONED PHILIP KLUTZNICK; SPI, BEGAN IN 12/60 TO COMPLETE BACKGROUND CHECKS FOR UNKNOWN POSITION IN KENNEDY ADMINISTRATION. THIS FILE ALSO CONTAINS THE BACKGROUND INVESTIGATION OF PHILIP MORRIS KLUTZNICK WHICH CONCLUDED IN 12/79 AND WAS CONDUCTED PRIOR TO HIS BEING CONFIRMED ON 12/21/79.
THE FOLLOWING BACKGROUND IS PROVIDED FOR INFORMATION.


#0077
FM: WASHINGTON FIELD OFFICE (196A-1563) (P) (CI-6)
TO: DIRECTOR, FBI (196-2848) PRIORITY
ATTENTION: SUPERVISOR
ADIC NEW YORK (196A-1774) (C-1) PRIORITY
FBI, NEW HAVEN PRIORITY
ATTENTION: SA
BT
UNCLASSIFIED
MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MAIL
FRAUD RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
(00:NEW YORK)
RE NEW YORK TEL TO THE BUREAU DATED 1/11/85.
ON 1/30/85, SA DETERMINED THE FOLLOWING
PASSPORT INFORMATION: (1) MARC RICHARD, BORN 12/18/34, BELGIUM,
HAS HAD THE FOLLOWING PASSPORTS: TOURIST PASSPORT 8071028
ISSUED 1/11/83 AT NEW YORK, VALID FOR TRAVEL TO SOUTH AFRICA AND
ISRAEL ONLY. PASSPORT EXPIRES 1/10/93;
TOURIST PASSPORT Z3045402, ISSUED 3/2/82 AT LISBON, PORTUGAL, PASSPORT EXPIRES

Washington Field Office

JAT: glr
(2)
PAGE TWO DE WF #0031 UNCLASSIFIED


IT IS TO BE NOTED THAT PASSPORT SERVICES, DEPARTMENT OF STATE IS DELINQUENT IN THE FILING OF PASSPORT APPLICATIONS FOR NEWLY ISSUED PASSPORTS, AND IT IS POSSIBLE THAT ADDITIONAL PASSPORTS HAVE BEEN ISSUED TO THE ABOVE. ALSO OF NOTE IS THE FACT THAT ALL OFFICIAL AND DIPLOMATIC PASSPORTS ARE ISSUED AT WASHINGTON, D.C. AND SA [WAS UNABLE TO LOCATE ANY OFFICIAL OR DIPLOMATIC PASSPORTS AS BEING ISSUED TO EITHER

Approved: __________________ Transmitted __________________ Per __________________

(Number) (Time)
PAGE THREE DE WF #0031 UNCLASS

SUBJECT THROUGH ESTABLISHED CONTACTS. ALL OFFICIAL AND DIPLOMATIC
PASSPORTS MUST HAVE AN OFFICIAL LETTER FROM AN APPROPRIATE
GOVERNMENT AGENCY BEFORE A PASSPORT IS ISSUED. WFO DETERMINED
THAT SOME OF THE ORIGINAL PASSPORT APPLICATIONS FOR SUBJECTS
ARE BEING MAINTAINED BY THE PASSPORT SERVICES, LEGAL DIVISION.
WFO WILL FURNISH MICROFILMED COPIES OF THE ABOVE NEW YORK UNDER
SEPARATE COVER.

BT

#0031

NNNN

Approved: Transmitted Per

(Number) (Time)
TO:     DIRECTOR, FBI (196-2848)
        (ATTN: SUPERVISOR)

FROM:  SAC, WASHINGTON FIELD (196A-1563) (RUC)

MARC RICH - FUGITIVE:
PINCUS GREEN - FUGITIVE:
ET AL:
FBW: MAIL FRAUD; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;

OO: NY

Re WFO tel to the Bureau dated 1/31/85.

Enclosed for New York are the following:

(1) One microfilmed copy of passport record D071028,
    issued 1/11/83 in the name of MARC RICH, born 12/18/34.

(2) One microfilmed copy of passport record Z3045402
    issued 3/3/82 in the name of MARC RICH, born 12/18/34.

(3) One microfilmed copy of passport record A2683983
    issued 9/19/80 in the name of MARC RICH, born 12/18/34.

(4) One microfilmed copy of passport record Z3617833
    issued 4/16/80 in the name of MARC RICH, born 12/18/34.

(5) One microfilmed copy of passport record
    issued in the name of PINCUS GREEN.

(6) One microfilmed copy of passport record
    issued in the name of PINCUS GREEN, born.

(7) One microfilmed copy of passport record
    issued in the name of PINCUS GREEN, born.

2 - Bureau
2 - New York (196A-1774) (C-1) (enc. 8)

1 - WFO

JAT/jat

(5)
One microfilmed copy of passport record issued in the name of PINCUS GREEN, born...

(9) One copy of GUIDELINE REGARDING PASSPORT DENTAL/REVOCATION.

New York can be following the enclosed Guideline have subjects included into the WORLD WIDE LOOKOUT SYSTEM, of Passport Services which will deny the issuance of any new passports and provide an avenue to revoke subjects' current passports.

In that no leads remain WFO is RUC'ing this matter.
FORMS TEXT HAS 1 DOCUMENT NEXT DOCUMENT (#2223) MOVED FROM INBOX 1 TO DESK 1

TEXT: VZCZCHQ00044
PP HQ NY WMFO LON
DE BER #0186 1030845
ZNR UUUUU
P 120940Z APR 88
FM LEGAT BERN (196A-234) (P)
TO DIRECTOR (196-2848) PRIORITY
ATTN: CID, SSAS
FBI NEW YORK (12A-1470) AND (196A-1774) PRIORITY
ATTN: SAS BGRMA AND
FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) AND
(193A-868) PRIORITY
ATTN: LEGAT LONDON PRIORITY
BT
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL:
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; 00:
NEW YORK.

DRUGS/IDTO; 00; NEW YORK.


REFERENCED WMFO TELETYPING SET FORTH INFORMATION FROM A

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATED 2-23-81 BY SPESCTcNE

196-1563-9
SECRET
183-868

APR 17 1988
SECRET

PAGE TWO DE BER 0186 UNCLAS.

SINGULAR AND SENSITIVE SOURCE CONCERNING

FOR INFORMATION OF WMFO, (PHONETIC) MENTIONED

ON PAGE TWO, SECTION TWO, OF REFERENCED WMFO TELETEYPE IS

UNDoubtedly

DATE OF BIRTH

RESIDING AT

(THIS ADDRESS IS A

INVESTIGATION BY

DETERMINED THAT

FURTHER, INVESTIGATION BY

DETERMINED THAT

REPORTED THAT

196-1S63-9

NEW

AFH

1 COPY 868

AFH

SECRET
IT IS NOTED MARC RICH RESIDES AND DOES BUSINESS IN ZUG.

BY TELETYPING DATED MARCH 1, 1988, NEW YORK (NY FILE NO.
12A-1470) REPORTED THAT PEN-REGISTER COVERAGE ON

DATES NOT INDICATED. (S)

IT SHOULD ALSO BE NOTED THAT A COPY OF THE

AS FURNISHED BY

THE ABOVE INFORMATION TENDS TO CONFIRM THE INFORMATION FROM
SOURCE AND IT APPEARS, BASED ON THE SOURCE'S INFORMATION,

OF PARTICULAR INTEREST IS THE COMMENT ON PAGE THREE, SECTION
TWO, OF REFERENCED WMFO TELETYPING, NAMELY THAT

AS NOTED ABOVE.
INFORMATION, LEAG, BERN BELOVES

LEGAT WOULD APPRECIATE WHOPO'S COMMENTS AND THOSE OF NEW YORK

CONSIDERATIONS SHOULD PERHAPPS BE GIVEN TO LOCATING

LEGAT, BERN BELIEVES THAT THE INFORMATION SET FORTH IN

THE SENSITIVE NATURE OF THE SOURCE, LEGAT

WHO IS ABLE TO SUITABLY PARAPHRASE AND SUBSTITUTE THE INFORMATION, LEGAT, BERN BELIEVES

CONSIDERATIONS SHOULD PERHAPS BE GIVEN TO LOCATING

AND COULD WELL BE THE BASIS FOR A

INVESTIGATION OF

INTERVIEWING

IF NOT ALREADY DONE, SERIOUS

NEXT TEXT

SECRET
TO DIRECTOR, FBI

PRIORITY

DIV. 6

ADMIC NEW YORK (12A-1470) (ATTN: SA)

BOMRA

(196A-1774) (ATTN: SA)

NYO, SQUAD C-1

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT)

BT

UNCLASSIFIED (SECTION ONE OF TWO)

"CHANGED INTEROFFICE"

DRUGS/IDTO (OO: NY) (NY 12A-1470) (WF 183A-868)

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW;

MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

(TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR

CLASSIFIED BY: SIGS TC 16W
REASON: 1.5 (CD)
RECLASSIFICATION: X 1.6

APR 4 7:8 1988

196A-1563-P

LOF 193A-868
LEGATS BERN AND LONDON THE CODE NAME [REDACTED] OF NEW YORK CASE FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHILAN DBA E.F. HUTTON CORPORATION; NARCOTICS - MONEY LAUNDERING; 00:NEW YORK." CAPTION WAS CHANGED BY NY TELETYPE DATED 3/8/88.


FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED WMFO TELETYPE NOTED SUBJECT ARNOLD H. PHILAN RESIGNED AS EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC) ON 1/17/88. TELETYPE ALSO NOTED [SOURCE INFORMATION INDICATES [REDACTED] on 3/31/88]
DURING THE PERIOD OF [REDACTED], A VERY RELIABLE AND SENSITIVE SOURCE PROVIDED INFORMATION CONCERNING [REDACTED]. THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY.
TRANSMIT VIA: TELETYPE

PRECEDENCE: D IMMEDIATE

CLASSIFICATION: D TOP SECRET

FD·36 (Rev. 8-29-85)

TOP SECRET

SECRET

CONFIDENTIAL

UNCLASSIFIED

FM FBI WASHINGTON METROPOLITAN FIELD (WF196A-1563) (183A-868) (P)

TO DIRECTOR, FBI

PRIORITY

(ATTN: SSAS 

DIV. 6)

ADIC NEW YORK (12A-1470) (P) (ATTN: SA 

DONRA) 

(196A-1774) (P) (ATTN: SA 

NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT 

BT)

UNCLASSIFIED (SECTION TWO OF TWO)

"CHANGED INTEROFFICE"

DRUGS/IDTO (OO:NY) (NY 12A-1470) (WF 183A-868) MARC

RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY) (NY

196A-1774) (WF 196A-1563)

2-WMPO

WMM:1563/2

WMM/1563/2

Date 4/1/88

000.2

Approved: Transmitted Per

(Number) (Time)

196A-1563-1C
SOURCE PROVIDING THE ABOVE INFORMATION IS
MUCH OF THE ABOVE INFORMATION
WAS OBTAINED BY SOURCE FROM
BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS
BERN AND LONDON FOR INFORMATION.

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT,
LONDON TO IDENTIFY [ ]
ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE NYO.
UNCLASSIFIED F T O (SECTION ONE OF TWO)

"CHANGED INTEROFFICE"

DRUGS/IDO (00:NY) (NY 12A-1470) (WF 183A-868)

MARC RICH - FUGITIVE (B): PINCUS GREEN - FUGITIVE (B): FBW;
MR: RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
(00:NY) (NY 196A-1774) (WF 196A-1563)

TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR
LEGATS BERN AND LONDON THE CODE NAME [ ] OF NEW YORK CASE
FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHELAN DBA E.F.
HUTTON CORPORATION; NARCOTICS - MONEY LAUNDERING; OO: NEW
YORK." CAPTION WAS CHANGED BY NY TELETYPED DATED 3/8/88.

RE: WMFO TELETYPED, 1/19/88: NUMEROUS TELCALLS BETWEEN
WMFO AND NEW YORK DURING PERIOD OF 1/19/88 THROUGH 3/31/88
AND MEETING AT FBIHQ BETWEEN WMFO SSA [ ] AND

FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED
WMFO TELETYPED NOTED SUBJECT ARNOLD H. PHELAN RESIGNED AS
EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC)
ON 1/17/88. TELETYPED ALSO NOTED

SOURCE INFORMATION INDICATES [ ]
DURING THE PERIOD OF A VERY RELIABLE AND SENSITIVE SOURCE PROVIDED INFORMATION CONCERNING THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY.
TO DIRECTOR, FBI

PRIORITY

(ATTN: SSAS

DIV. 6)

ADIC NEW YORK (12A-1470) (ATTN: SA BMRA)

(196A-1774) (ATTN: SA NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT

BT

UNCLAS E F T O (SECTION TWO OF TWO)

"CHANGED INTEROFFICE"

DRUGS/IDTO (CO: NY) (NY 12A-1470) (WF 163A-868) MARC

RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (CO: NY) (NY
196A-1774) (WF 196A-1563)
IT IS TO BE NOTED THAT IN
PROVIDING THE ABOVE INFORMATION IS SOURCE PROVIDING THE ABOVE INFORMATION IS MUCH OF THE ABOVE INFORMATION WAS OBTAINED BY SOURCE FROM
SOURCE WILL CONTINUE TO

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS

BERN AND LONDON FOR INFORMATION.

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT,

LONDON TO IDENTIFY

RESULTS OF SHOULD BE SENT TO NEW YORK.

ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE

NYO.

BT

#0001
INBOX 12 (#1563)
TO: CPT @ EMH1, WMFO @ EMH1
FROM: HUTX @ EMH1
SUBJECT: 103/0044 PRIORITY
DATE: 12 APR 88 17:16:28 GMT

TEXT: VIZCZCH00044
PP HQ NY WMFO LON
DE EER #0186 1030845
ZNR UUUUU
P 120940Z APR 88
FM LEGAT BERN (196A-234) (F)
TO DIRECTOR (196-2648) PRIORITY
ATTN: CID, SSAS
FBI NEW YORK (12A-1470) AND (196A-1774) PRIORITY
ATTN: SAS BGMR; AND
FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) AND
(196A-1566) PRIORITY
LEGAT LONDON PRIORITY
BT
UNCLAS
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; SO:
NEW YORK.

DRUGS/IDTO; CO: NEW YORK.


REFERENCED WMFO TELETYPE SET FORTH INFORMATION FROM A

CLASSIFIED BY 3-19-88
REASON: 15 (CJ)
DECLAS: ON: XAX

196A-1563-11

-Signed-
RESIDING AT THIS ADDRESS IS UNDOUBTEDLY DETERMINED THAT ON PAGE TWO, SECTION TWO, OF REFERENCED DETERMINED THAT REPORTED THAT FOR INFORMATION OF NFO, THE INVESTIGATION BY FURTHER INVESTIGATION BY UNDoubtedly RESIDING AT THIS ADDRESS IS A REFERENCE: WHAT IS PHONETIC: MENTIONED WHAT IS (PHONETIC) REFERENCE: WHAT IS PHONETIC: REFERENCE: WHAT IS
IT IS NOTED MARC RICH RESIDES AND DOES BUSINESS IN ZUG.

By Teletype dated March 1, 1988, New York (NY File BQ 12A-1470) reported that Pen Register Coverage on

It should also be noted that a copy of the

The above information tends to confirm the information from

Source and it appears, based on the source's information,

Of particular interest is the comment on page three, section

two, of referenced WMFC Teletype, namely that

As noted above,
IF NOT ALREADY DONE, SERIOUS CONSIDERATIONS SHOULD PERCHANCE BE GIVEN TO LOCATING AND INTERVIEWING

LEGAT, BERN BELIEVES THAT THE INFORMATION SET FORTH IN REFERENCED WMFO TELETYPING WOULD BE OF GREAT INTEREST TO AND COULD WELL BE THE BASIS FOR A INVESTIGATION OF

BECAUSE OF THE SENSITIVE NATURE OF THE SOURCE, LEGAT IS NOT DISSEMINATING ANY OF THIS INFORMATION UNTIL AUTHORIZED. HOWEVER, IF WMFO IS ABLE TO SUITABLY PARAPHRASE AND/OR INDEPENDENTLY SUBSTANTIATE THE INFORMATION, LEGAT, BERN BELIEVES WE ARE NOT FAR FROM HAVING ENOUGH INFORMATION TO INITIATE AN INTENSIVE INVESTIGATION OF THE LATTER PARTICULARLY IF ANY INFORMATION EXISTS THAT

LEGAT WOULD APPRECIATE WMFO'S COMMENTS AND THOSE OF NEW YORK AND FBI AS WELL.

BT #3044

END OF DOCUMENT
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 07-16-2016
BY E32W383B3

INDEX HAS 6 DOCUMENTS
FORMS.TEXT HAS 1 DOCUMENT
INDEX1 (#3439)

TEXT: VZCICNYO313
PP HQ WMFD
DE NY #0313 1112136
ZNY VUUULU
R 202055Z APR 68
FM FBI NEW YORK (BG 12A-1470) (P) (C-13)
TO DIRECTOR FBI PRIORITY

ATTN: SUPERVISORY SPECIAL AGENTS (SSAS)
ATTN: AND (EUROPEAN/ASIAN DRUG TRAFFICKERS
ATTN: UNIT)

LEGAL ATTACHE BERN (196A-234) PRIORITY
LEGAL ATTACHE LONDON PRIORITY

ATTN: ASST. LEGAT
FBI WASHINGTON FIELD (196A-1563) PRIORITY

BT
UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FEW;
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NY(BQ).

DRUGS/IDTO; CO: NY(BQ).

RE WFO TELETYPES DATED APRIL 4, 1988, APRIL 15, 1988; LEGAT
BERN TELETYPE TO BUREAU DATED APRIL 12, 1988 AND TELECALLS BETWEEN

196A-1563-12

Apr 20 9:17 pm 1988

b6 b7C
FOR THE INFORMATION OF RECEIVING OFFICES, A VERY RELIABLE AND SENSITIVE SOURCE ADVISED RECEIVING OFFICES ARE REMINDED THAT THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE AND IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY. WITH RESPECT TO LEGAT BERN'S REQUEST FOR RELEASING INFORMATION IN REFERENCED WMFO TELETYPE TO BOTH NYO AND WMFO.
CONCUR THAT THE INFORMATION SHOULD NOT BE RELEASED AT THIS TIME.(S) (U)

WMFO IS NOT ABLE TO SUITABLY PARAPHRASE OR INDEPENDENTLY SUBSTANTIATE THE INFORMATION ENOUGH TO PROTECT THE SOURCE AT THIS TIME. NYO AND WMFO CONSTANTLY EVALUATING THE SITUATION AND WILL ADVISE LEGAT BERN WHEN AND IF INFORMATION CAN BE RELEASED.(S) (U)

FURTHER, NYO AND WMFO CONCUR THAT AN INTERVIEW AT THIS TIME WOULD BE PREMATURE. ALTHOUGH IN REFERENCED WMFO TELETYPE SOURCE ADVISED (S) (U)

FOR THE INFORMATION OF RECEIVING OFFICES, CONTINUED PEN REGISTER COVERAGE.

NYO UNSURE ON ABOVE AS TO WHETHER IF (S) (U)

HAVE NOT ALREADY BEEN IDENTIFIED BY LEGAT, LONDON, LONDON IS REQUESTED TO ASCERTAIN (S) (U)

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND
UNCLASSIFIED

DRUGS/IDTO; (CO; NY) (NY 12A-1470) (WF 183A-868)

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FEB;

MF; RICO; INCOME TAX EVASION; TRADING WITH THE

ENEMY; (CO; NY) (NY 196A-1774) (WF 196A-1563)
RE WMFO TELETYPE, 4/1/83 AND WMFO TELCALLS TO NEW YORK, 4/4 AND 4/7/83.

REFERENCE WMFO TELETYPE NOTED

SOURCE INFORMATION REFLECTS

DURING THE PERIOD OF A VERY RELIABLE AND SENSITIVE SOURCE PROVIDED INFORMATION

THIS INFORMATION MUST BE CONSIDERED SINGULAR IN NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY. [SOMETHING CROSSED OUT] IN REGARD TO THE
THE ABOVE IS FURNISHED FOR INFORMATION OF RECEIVING OFFICES.

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS

MUCH OF THE ABOVE INFORMATION WAS OBTAINED BY SOURCE

SOURCE TO
EUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND LONDON FOR INFORMATION.

ST

*0063

NNNN
ATTENTION: FBIHQ, SSA DIVISION 6
ATTENTION: LEGAT, LONDON, ASSISTANT LEGAT
ATTENTION: NEW YORK, SA BQMRA (196A-1774)
ATTENTION: NEW YORK, SA SQUAD C-1

DRUGS - IDTO: NEW YORK

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;
RICF INCOME TAX EVASION; TRADING WITH THE ENEMY; NEW YORK (NY
196A-1774) (WF 196A-1563)
RE WMFO TELETYPE TO THE BUREAU DATED MAY 27, 1988; AND
TELCALL BETWEEN WMFO SA NY SA
MAY 29, 1988, AND ASSISTANT LEGAT LONDON, ON MAY 31,

FOR INFORMATION OF LEGAT, BERN, REFERENCED TELETYPE NOTED

REFERENCED TELETYPE CONTAINED
NO INFORMATION CONCERNING FEDERAL FUGITIVES MARC RICH AND PINCUS GREEN. (S) (U)

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF
A VERY RELIABLE AND SENSITIVE
SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS

(S) (U)
The information was obtained by improper handling of information, and source and family will be in physical danger and Bureau investigations will be in jeopardy. Source providing the above information is administrative. Much of the information is source's identity. Source and family will be in physical danger and Bureau investigations will be in jeopardy.
UNCLASSIFIED

SUBJECT: DRUGS - IDTO; 00; NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; 00; NEW YORK (NY 196A-1774) (WF 196A-1563).


FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF A VERY RELIABLE AND SENSITIVE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS
UNKNOWN. (S) (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS (S) (U)

MUCH OF THE INFORMATION WAS OBTAINED BY (S) (U)

SOURCE

IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE’S IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND BUREAU INVESTIGATIONS WILL BE IN JEOPARDY.

BT

#0011
INBOX.3 (#2643) ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN

TEXT:
VZCZCHQOC7
00 NY WMFO
DE HQ #0037 1901998
ZNY EEEE
0 061631Z JUL 89
FM DIRECTOR FBI
TO FBI NEW YORK (12A-1470) (C-7) IMMEDIATE
PERSONAL ATTN: SSA AND SA
FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) IMMEDIATE
PERSONAL ATTN: SA
LEGAL ATTACHE LONDON IMMEDIATE
LEGAL ATTACHE BERN (INFO) IMMEDIATE

UNCLASSIFIED

DRUG MATTER: 00: NEW YORK.

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) FBW; MF:

RICO: (NY 196A-1774 00: NEW YORK.

REW MFOTEL TO FBIHQ DATED JULY 1, 1988, AND LEGAT LONDON
LETYPE TO FBIHQ DATED JULY 5, 1988.

FBIHQ AUTHORITY IS GRANTED FOR

FOR SSA SA NEW YORK; SA

27-01
CLASSIFIED BY: SDJCTNF
REASON: 1.6
DECLASSIFICATION: X 1/6
01-081
SECRET

LEGAT, LONDON. THE PURPOSE OF THIS

WILL ENSURE APPROPRIATE NOTIFICATION TO THE STATE DEPARTMENT,
AMERICAN EMBASSY LONDON, AS WELL AS THE

#0037
SECRET

VZCZCH00076
RR HG NY WAFD
DE BER #0309 1751326

INR LUUU

R 231420Z JUN 88

FM LEGAT BERN (196A-234) (F)

TO DIRECTOR (196-2845) ROUTINE

ATTN: CID, WCC SECTION, FCC

FBI NEW YORK (196A-1974) ROUTINE

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW: MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO;

NEW YORK.


ON JUNE 22, 1988, LEGAT MET WITH SOURCE

DESCRIBED IN REFERENCED BERN TELETYPE.

SOURCE HAS NOT BEEN ABLE TO CONCENTRATE MUCH

EFFORT BUT DID REPORT THE FOLLOWING: (S) (U)

SOURCE RECENTLY

2-27-81

CLASSIFIED BY: SUBJECT

REASON: 15 (CJD)

DECLASSIFY ON: X/1/6

196A-1563-16

SECRET

Jun 24  2:23 AM  "AB"
LEGAT IS NOT AWARE AS TO WHETHER THE INFORMATION THAT

SIGNIFICANT OR PREVIOUSLY KNOWN. LEGAT NOTES INFORMATION.

RECEIVED FROM SOURCE THAT

(WMFO TELETYPE DATED APRIL 4, 1988). THE SETTING FORTH OF LEADS TO HAVE LEGAT, PARIS CONFIRM

ISSUANCE OF IS BEING LEFT TO

DISCRETION OF NEW YORK. SOURCE

BERN MAINTAINING CONTACT WITH SOURCE

BT

#0076

NNNN
SECRET
FOR THE INFORMATION OF THE BUREAU AND RECEIVING OFFICES ON JUNE 30, 1968, ARNOLD PHELAN, SUBJECT IN CAPTIONED MATTER, DEPARTED FROM NEW YORK ENROUTE TO LONDON, ENGLAND. PHELAN DEPARTED ON TWA FLIGHT 702 AT 0:50 AM AND WAS NOT ACCOMPANIED BY ANY FAMILY RELATIVE. THE FLIGHT IS SCHEDULED TO ARRIVE AT 8:40 AM (LONDON TIME). PHELAN WAS OBSERVED WEARING A BLUE SPORT COAT, GREY SLACKS AND WEARING SUN GLASSES. PHELAN HAD TWO ITEMS OF CARRY-ON LUGGAGE AND BOOKED THROUGH TWO SUITCASES AND A SET OF SKIS. THE LUGGAGE IS MATCHING SOFT LUGGAGE BLACK IN COLOR, WITH BROWN TRIM. THE SKIS WERE WRAPPED IN BROWN PAPER.

INVESTIGATION AT NEW YORK HAS DETERMINED THAT PHELAN IS SCHEDULED TO RETURN TO NEW YORK ON AUGUST 20, 1968 ON FLIGHT 709.

AS RECEIVING OFFICES ARE AWARE, THIS IS A VERY RELIABLE AND SENSITIVE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS.
SECRET

SOURCE

SOURCE HAS INDICATED THAT NEW YORK BELIEVES

ADMINISTRATIVE

SOURCE PROVIDING THE ABOVE INFORMATION IS SUCH THAT THE INFORMATION WAS OBTAINED BY SOURCE

IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY AND SOURCE'S FAMILY WILL BE IN PHYSICAL DANGER

LEADS

SECRET
LEGAT, LONDON AT LONDON, ENGLAND - THROUGH APPROPRIATE CONTACTS
ATTEMPT TO DEVELOP WHERE PHelan WILL BE RESIDING DURING HIS STAY IN
LONDON.

NEWARK AT NEWARK, NEW JERSEY - NEWARK IS REQUESTED TO MAINTAIN
PEN REGISTER COVERAGE
WHEN PEN REGISTER IS DUE TO EXPIRE. THIS MAY BE CRITICAL IN
THEREAFTER, THERE SHOULD BE NO FURTHER NEED TO MAINTAIN PEN
REGISTER COVERAGE. (S)(S)

WASHINGTON METROPOLITAN FIELD OFFICE AT WASHINGTON, D.C. - WMFQ
IS REQUESTED TO MAINTAIN CONTACT WITH SOURCE IN AN EFFORT TO
BT

S(7)
DECLASSIFICATION AUTHORITY DERIVED FROM FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE: 07-18-2016

DECLASSE CATIO AUTHORITY DERIVED FROM FBI AUTOMATIC DECLASSIFICATION GUIDE

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (C)
TO DIRECTOR FBI/PRIORITY/
FBI NEW YORK (12A-1470)/PRIORITY/
LEGAT LONDON/IMMEDIATE/
INFO LEGAT BERN/PRIORITY/
BT
UNCLASS E T O
CITE: //3920/

PASS: FBHQ, SSA DIVISION 6; NEW YORK, SA
SQUAD C-7 (12A-1470); NEW YORK, SA SQUAD
C-1 (196A-1774) LEGAT LONDON, ASSISTANT LEGAT

SUBJECT: DRUGS - IDTO: 00; NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

SECRET
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK (NY 196A-1774) (WF. 196A-1563).


FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF A VERY RELIABLE AND SENSITIVE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING TO SOURCE)
ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [X] (U)

MUCH OF THE INFORMATION WAS OBTAINED BY [ ]

SOURCE [X]

IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND BUREAU INVESTIGATIONS WILL BE IN JEOPARDY.

REFERENCED NEW YORK TELETYPE REQUESTED LEGAT LONDON EXPLORE THE FEASIBILITY OF A [ ]

DURING [ ]

REFERENCED JUNE 30, 1982. TELECALL WITH LEGAT LONDON, ASSISTANT LEGAT [ ]

WOULD BE AVAILABLE FOR DISCUSSIONS DURING THE WEEK OF [ ]

NOTED BECAUSE OF SCHEDULE CONFLICTS, ALL OF THE [ ]

WOULD NOT AGAIN BE AVAILABLE UNTIL [ ] ADVISED A PROPOSAL REGARDING DISCUSSIONS WITH [ ] WOULD BE SENT [X]
IN RESPONSE TO LEGAT LONDON'S REQUEST, WMFO SA [ ] AND NEW YORK SA [ ] WOULD BE AVAILABLE [ ] DURING THE WEEK OF [ ].
REVMFOTEL 7/1/88.

WILL BE AVAILABLE TO REVIEW AND ASSESS NEW YORK'S JOINT UC PROPOSAL INTO MONEY LAUNDERING USING THE RESOURCES OF CAPTIONED INVESTIGATION. LEGAT LONDON VIEWS THIS MATTER AS AN EXCEPTIONAL OPPORTUNITY TO COORDINATE AND DEVELOP A

DECLASSIFICATION AUTHORITY DERIVED FROM FBI AUTOMATIC DECLASSIFICATION GUIDE DATE 07-18-2016 BY: C32W35B301
JOINT UCO IS AVAILABLE THE WEEK OF

FBIHQ IS REQUESTED TO PROVIDE REQUIRED AUTHORITY
OF NEW YORK CASE AGENT SA

BT
#0083

NNNN
INBOX.3 (#2643)

TEXT:

VZCZC4Q00037
0O NY WMFO
DF #:O 90037 1901938
ZNY EEEEE
0 0816312 JU1 88
FM DIRECTOR FBI

TO FBI NEW YORK (12A-1470)(C-7) IMMEDIATE

PERSONAL ATTN: SSA AND SA

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) IMMEDIATE

PERSONAL ATTN: SA

LEGAL ATTACHE LONDON IMMEDIATE

LEGAL ATTACHE BERN (INFO) IMMEDIATE

BY

UNCLASS TO

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]
PAGE TWO DE HG 0037 UNCLAS EF TO
WMFO; AND SSA DRUG SECTION, FBI HQ, TO

LEGAT, LONDON. THE PURPOSE OF THIS

WITH LEGAT, LONDON. LEGAT, LONDON
WILL ENSURE APPROPRIATE NOTIFICATION TO THE STATE DEPARTMENT,
AMERICAN EMBASSY LONDON, AS WELL AS THE

BT
#0037

NNNN
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN.

VZCZCW0045
PP HQ NY BER LON
DE WM #0045 2742057
ZNR UUUUU
P 302052Z SEPT88
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE
TO DIRECTOR FBI/PRIORITY/
FBI ADIC NEW YORK (12A-1470) (P) (196A-1774) (P)
INFO FBI LEGAT, BERN
FBI LEGAT, LONDON
BT
UNCLAS
SECTION ONE OF TWO
UNCLAS
CITE: //3920/
PASS: FBIHQ, SSAS
NEW YORK, SA SQUAD C-7; NEW YORK, SA
SQUAD C-1; LEGAT, LONDON, ASSISTANT LEGAT
DIVISION 6;
SUBJECT: DRUGS - IDTO; 00: NEW YORK (12A-1470);

cc-
PAGE TWO OF WM 008 JUNE 88

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO; NEW YORK
(196A-1774).

RE WMFQ TELETYPE TO DIRECTOR DATED JUNE 30, 1988;

FBIHQ, LEGAT, LONDON, NEW YORK, WMFQ

AND NUMEROUS TELCALLS BETWEEN WMFQ, NEW YORK AND LEGAT, LONDON,

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

A VERY RELIABLE AND
SENSITIVE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

IN REFERENCED TELETYPE,

(SECRET)
VZCZCWM0046
PP HQ NY BER LON
DE WM #0046 2742102
ZNR UUUUU
P 3020521 SEPT88
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE
TO DIRECTOR FBI/PRIORITY/
FBI ADIC NEW YORK (12A-1470) (P) (196A-1774) (P)
INFO FBI LEGAT, BERN
FBI LEGAT, LONDON
BT
UNCLAS
UNCLAS
SECTION TWO OF TWO
CITE: //3920//
PASS: FBIMQ, SSAS
NEW YORK, SA
SQUAD C-7; NEW YORK, SA
SQUAD C-1; LEGAT, LONDON, ASSISTANT LEGAT

SUBJECT: DRUGS - IDTO; GO: NEW YORK (12A-1470);
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MF;
PAGE TWO DE WM 0046 UNCLAS

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK
(196A-1774).

SOURCE LEARNED

(5)

(5)
Because of the extreme sensitivity of source [Redacted] no action should be taken by Legat, Bern, until advised to do so by the New York Division after discussions with source case agent. [Redacted].

WMFD suggests New York Office and FBIHQ consider the possibility of a UCSA [Redacted]. Source case agent will be available for discussions regarding this matter during the week of September 26, 1988. [Redacted]
Bureau is requested to transmit the above to Legats Bern and London for information.

Additional leads being left to the discretion of the New York Office.

BT

#0046
INBOX.2 (#4526)

TEXT: VZCZCF00%05
PP HQ WMFO BER LON ROM
DE FO #0905 2812338
INR UUUUU
F 072238Z OCT 88
FM NEW YORK (245A-2) (P) (C-7)
TO DIRECTOR (PRIORITY)

ATTN: SSA EUROPEAN ASIAN DRUG
ATTN: TRAFFICKERS UNIT

WMFO
ATTN: SSA C-8

BERN
ATTN: LEGAT

LONDON
ATTN: ASST LEGAT

ROME
ATTN: ASST LEGAT

ET
UNCLAS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-28-81 BY Sp5 JCTAC

GENUS CATTAILS: NARCOTICS CONSPIRACY; OCDETF; 00:NY,

DRUG - ITDO; 00:NY (NY 12A-1470)

Write Copy to SSA
for Source File
PAGE TWO DE FO 0903 UNCLAS

RENTEL TO FBIHQ, SEPT 29, 1988: DERMAT TO FBIHQ, JUNE 2, 1988; NYTEL TO FBI, MAR 1, 1988; UFOTEL TO FBIHQ, SEPT 12, 1988.

FOR THE INFO OF FBIHQ AND RECEIVING OFFICES ON SEPT 29, 1988 MR ____________________________ Appeared at the US Attorney's Office (USAO), SDNY, NY, NY, ON BEHALF OF HIS CLIENT, ____________________________ As a matter of background, ____________________________ W/ME: DOB ____________________________

Furthermore, ____________________________ On or about ____________________________

173A - 86 8

To Copy to IA

Signature

[Signature]
<table>
<thead>
<tr>
<th>MR.</th>
<th>ACTING ON BEHALF OF</th>
<th>ADVISED AUSA</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>THAT HIS CLIENT</td>
</tr>
</tbody>
</table>

**AUSA** CONTACTED FBI

ACCORDING TO STATED

183A-848

**Link Copy to SA**
**First Source File**
Depending upon the extent of the proper FBI NYO will determine future investigative strategy in both the Genus-Cattails investigation and __________ investigation.
ANY LEADS ARE BEING LEFT TO THE DISCRETION OF NEW YORK.
BUREAU IS REQUESTED TO DISSEMINATE CONTENT OF TELETYPE TO LEGAT BERN AND LONDON.

INFORMATION COPIES ARE BEING SENT TO LEGAT BERN AND LONDON. LEGAT BERN SHOULD NOT DISSEMINATE CONTENTS OF TELETYPE TO [BLANK] UNTIL ADVISED TO DO SO BY NEW YORK.

ADMINISTRATIVE:

THE ABOVE INFORMATION WAS FURNISHED BY [BLANK] INFORMATION FROM THIS SOURCE MUST BE TREATED AS SINGULAR INFORMATION. IMPROPER HANDLING OF INFORMATION WILL RESULT IN DISCLOSURE OF SOURCE'S IDENTITY. IF SOURCE'S IDENTITY IS DISCLOSED, SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER [BLANK] WILL BE IN JEOPARDY.

ST

#0003

ANNN
FROM: SAC, WMFO (196A-1563) (C) (C-5)

TO: DIRECTOR, FBI (ATTN: SSAS DIV. 6)
ADIC NEW YORK (12A-1470) (P) (ATTN: SA SQUAD C-7)
(196A-1774) (P) (ATTN SA SQUAD C-1)

LEGAT, BERN (INFORMATION)
LEGAT, LONDON (ATTN: ASSISTANT LEGAT)

DRUGS - IDTO; OO: NY (NY 12A-1470)

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
( OO: NY) (NY 196A-1774)

RE WMFO TELETYPE TO DIRECTOR, 6/30/88;

FBHQ, LEGAT-LONDON,
NEW YORK, WMFO
AND NUMEROUS TELCALLS BETWEEN
WMFO, NEW YORK AND LEGAT, LONDON, 7/21/88 THROUGH 9/9/88.

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF
A VERY RELIABLE AND SENSITIVE
SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

WF 196A-1563
1-WF 183A-866
1-SA
WMF/
ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS:

INFORMATION WAS OBTAINED BY SOURCE BECAUSE OF THE EXTREME SENSITIVITY OF SOURCE.

NO ACTION SHOULD BE TAKEN BY LEGATS BERN, UNTIL ADVISED TO DO SO BY THE NEW YORK DIVISION AFTER DISCUSSIONS WITH CASE AGENT.

WMFC SUGGESTS NYO AND FBII HQ CONSIDER THE POSSIBILITY OF A UCUSA SOURCE CASE AGENT WILL BE AVAILABLE FOR DISCUSSIONS REGARDING THIS MATTER DURING WEEK OF 9/26/88. SOURCE WILL CONTINUE TO BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS BERN AND LONDON FOR INFORMATION. ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE NYO.
Transmit attached by Facsimile

To: ADIC, New York (124-1470) (C-7) (P)
From: SAC, WMFO (1949-1563) (C-5)

Subject: Drugs - INTO, 00, NY

Date 9/30/88 3:02 PM

Special handling Instructions:

Hand carry to SA meeting 10/3/88.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED.

Approved: 6/68

2-28-01 BY: SP5 JCTNE

FBI/DOJ
UNCLASSIFIED

FROM: FBI HQ, SSA
TO: FBI HQ, SSA
SUBJECT: DRUGS IDTO; OC: NEW YORK.

FOR INFORMATION OF RECEIVING OFFICES,
A VERY SENSITIVE AND RELIABLE SOURCE

CLASSIFIED BY: SPECJCTNE
REASON: 1.5
DECLASSIFICATION DATE: 07-18-1989

DEPARTMENT OF JUSTICE
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE: 07-18-1989
BY: CS2N35931

VZCZCWSMO008
PP NO: NY LEGAT BERN LONDON
DE WM #0008 0171730
ZNR UUUUU
P 171716Z JAN 89
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)
TO DIRECTOR FBI/PRIORITY/
ADIC, NEW YORK/PRIORITY/
LEGAT, BERN/PRIORITY/
LEGAT, LONDON/PRIORITY/
BT
UNCLAS
CITE: \3920//
PASS: FBIHQ. SSA DIVISION 6. NEW YORK, SA
SQUAD C-7. LONDON, ASSISTANT LEGAT

196A-563-22

2-28-01

DECLASSIFIED
REASON: X 1.5
DECLASSIFICATION DATE: 07-18-1989
AS DISCUSSED DURING THE SOURCE CASE AGENT HAS NO OBJECTIONS, HOWEVER, BECAUSE OF THE SOURCE'S VALUE TO THE FBI.
IT IS IMPERATIVE SOURCES' IDENTITY BE PROTECTED AND THAT SOURCE
SOURCE CASE AGENT FOR THE SAFETY AND PROTECTION OF SOURCE. IT IS NOTED SOURCE WILL NOT
BE AT THE REQUEST AND BENEFIT OF THE FBI AND SOURCE'S
THE FBI. (S) (U)

FBI NEW YORK IS REQUESTED TO ADVISE RECEIVING OFFICES OF NEW YORK'S RECOMMENDATION CONCERNING SOURCE'S (S) (U)

LEGAT BERN IS REQUESTED TO ADVISE RECEIVING OFFICES OF BERN'S RECOMMENDATION CONCERNING SOURCES' LEGAT BERN SHOULD ADDRESS PROPOSED SPECIFICALLY, LEGAT BERN SHOULD ADVISE IF MUST BE TOLD OF THE SOURCE'S IDENTITY OF SOURCE.

IT IS NOTED THAT SOURCE HAS THE FBI AND SOURCE'S IDENTITY HAS NOT BEEN DISCLOSED TO REQUIRE SOURCE'S IDENTITY, SOURCE WILL BE INSTRUCTED (S) (U)
LEGAT LONDON IS REQUESTED TO ADVISE RECEIVING OFFICES OF AN
OBJECTS SOURCE (S) [U]

BUREAU IS REQUESTED TO MAKE FINAL DECISION CONCERNING

SOURCE. IT IS NOTED

SOURCE

ADMINISTRATIVE; SOURCE PROVIDING THE ABOVE INFORMATION IS

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER FROM IS SOURCE'S IDENTITY IS DISCLOSED.

BT

#0008

NNNN
UNCLASSIFIED

VZCICWM0009
PP HQ NY LEGAT BERN LONDON
DE WM #009 0171740
ZR UUUU
P 171717Z JAN 89
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)
TO DIRECTOR FBI/PRIORITY/
ADIC, NEW YORK/PRIORITY/
LEGAT, BERN/PRIORITY/
LEGAT, LONDON/PRIORITY/
BT
UNCLASSIFIED
CITE: //3920//
PASS: FBIHQ, SSA SQUARE C-7, LONDON, ASSISTANT LEGAT

SUBJECT: DRUGS-IDOT; 00: NEW YORK.
RE WMFO TELETYPE, DECEMBER 9, 1988 AND TELCALLS BETWEEN WMFO
FOR INFORMATION OF RECEIVING OFFICES, A VERY SENSITIVE AND
RELIABLE SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

THE

FOLLOWING IS A SUMMARY OF INFORMATION PROVIDED BY SOURCE DURING

THIS PERIOD.
ADMINISTRATIVE SOURCE PROVIDING THE ABOVE INFORMATION IS

#0009

NNNNN
The following information was provided by Special Agent to Federal Bureau of Investigation (FBI), Washington Field Office, on Source learned

ADMINISTRATIVE:

The above information was telephonically provided to SA NYO, on and followed up by teletype.

CLASSIFIED BY: Sp5. JCTNF
REQUEST: (C)
DECLASSIFY ON: X1
The following information was provided by [redacted] to Special Agent [redacted] at the Federal Bureau of Investigation (FBI), Washington Field Office, on [redacted]. Source learned [redacted].

Source learned that [redacted].

ADMINISTRATIVE:

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on [redacted] and confirmed by teletype.
"ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE"

UNCLASSIFIED

CITE: //5200//

PASS:

NARCOTICS SECTION, CID;

SQUAD C-7, NEW YORK DIVISION;

SQUAD C-8, WMFO DIVISION

SUBJECT: DRUGS - IDTO; (CO: NEW YORK).

RE: WMFO TELETYPED DATED 1/17/89 CAPTIONED AS ABOVE.

LEGALAT LONDON RECOMMENDS THAT [ ] SOURCE

CLASSIFIED BY: SUBJECT (D J D)
REASON: 1.5
DECLASSIFICATION: X 1112
LONDON RECOMMENDS THAT THE SOURCE

LONDON FURTHER RECOMMENDS THAT SOURCE

CONSIDERATION SHOULD BE GIVEN TO SOURCE'S SPECIAL AGENT

BT

#0071
TEAT: VZCZCBSEROSZ
PP: HG NY WF LON
DE: BER 00032 0231313
ZNR: YUUU
P: 230200Z JAN 39
FM: LEGAT BERN (12A-39) (P)
TO: DIRECTOR FBI/PRIORITY/
FBI NEW YORK/PRIORITY/
FBI WMFO/PRIORITY/
LEGAT, LONDON/PRIORITY/
ST
UNCLAS SECTION ONE OF TWO.
CITE: //3550//
PASS: CID, DRUG SECTION, SFA
SUBJECT: DRUGS-IDTO; OD: NEW YORK.
REFERENCE TWO TELS FROM WMFO DATED JANUARY 17, 1989.
REFERENCED WMFO TELETYPE REQUEST LEGAT, BERN'S,
RECOMMENDATIONS CONCERNING
SOURCE

SET FORTH BELOW ARE LEGAT'S
COMMENTS AND RECOMMENDATION.
THUS, LEGALLY AND TECHNICALLY,

AN EXTREME RISK IF ANYTHING SHOULD GO WRONG WHILE SOURCE
FOR INFORMATION OF RECIPIENTS, BERN'S FILE IN THIS MATTER WAS OPENED ON APRIL 12, 1988, AT WHICH TIME IT WAS SEPARATED FROM THE "GENUS-CATTAILS" INVESTIGATION TO BE HANDLED AS A SEPARATE MATTER. HAVE BEEN ADVISED ONLY OF THE FOLLOWING INFORMATION BY LEGAT, BERN: (S) (U)

1. IN JULY, 1987, WE ADVISED THAT WE ARE

(BASED ON LONDON TELETYPING CAPTIONED LONDON FILE) (S) (U)

2. IN JULY, 1988, LEGAT ADVISED

BOTH CAPTIONED (S) (U)
3. On August 29, 1988, LEGAT requested that no response has been received to this request to date, in spite of a follow-up in November, 1988. As is apparent from the above, LEGAT has provided minimal information to response has not been overwhelming. There is no indication that have taken any particular interest in the point is, therefore, that LEGAT believes the chance of source is not aware of any independent investigation of. The bottom line is then that, yes, just.
I

 undeclared of source's

 behalf of the FBI. It is Legat's experience that would
 not agree to approving source's

 advised of source's identity and

 as a practical matter, Legat has absolutely no reason to
 believe there is any great degree of risk in

 and/or source during

 however, as pointed out above,

 (S) [U]

 legat bern's recommendations are as follows: (S) [U]

 1. Legat would not recommend source's

 2. Given sensitivity of the source, Legat would not

 recommend advising of source's identity

 (as noted, both the

 would have to be advised and Legat feels the risk of compromising

 source's identity is simply too great.) (S) [U]

 3. Based on the above two recommendations, Legat, Bern, can
AS NOTED ABOVE AND IN PREVIOUS COMMUNICATIONS, TO LEGAT'S KNOWLEDGE, REGARD TO THIS MATTER, PRIMARILY BECAUSE THE REASON IS ESSENTIALLY THE SENSITIVITY OF THE SOURCE. LEGAT FULLY APPRECIATES THE SENSITIVITY OF THE SOURCE AND THE RESTRICTIONS ET 

INBOX.8 (#3205)
SUBJECT: DRUGS-IDTO; 00: NEW YORK.

THAT THEREFORE APPLY, HOWEVER, LEGAT BELIEVES THAT IT WOULD BE
VERY MUCH TO OUR ADVANTAGE IN PURSUING THIS INVESTIGATION THAT AT
SOME POINT IN THE YEAR FUTURE [ ] BE ADVISED OF ANY AND ALL
INFORMATION THAT IS CONSISTENT WITH SOURCE'S SECURITY.
THERE ARE NUMEROUS POSSIBILITIES TO OBTAIN EXTENSIVE INFORMATION.
PAGE TWO DE B EV 0033 UNCLAS

LEGAT REQUESTS THAT RECIPIENTS KEEP THIS IN MIND

AND ENDEAVOR INTO THIS INVESTIGATION AS SOON AS POSSIBLE. (SY) [U]

#00614

NNNV
FORMS TEXT HAS 1 DOCUMENT
NEXT DOCUMENT (#785) MOVED FROM INBOX.1 TO DESK.27

TEXT:
VIZCCH20013
RR HG NY AF LCN
DE BER 0033 0231703
ZNR 55550
R 280900Z JAN 89
FM LEGAT BERY (12A-89)(RUC)
TO DIRECTOR FBI/Routine/
FBI New York/Routine/
FBI WHQ/Routine/
LEGAT London/Routine/
3T

UNCLASS
CITE: //3550//
PASS: CID, Drug Section, SSA

SUBJECT: , Drugs-IDTQ; 001 NEW YORK.

REPORTED THE FOLLOWING CONCERNING:
IS DESCRIBED AS follows:

19674-1563
ON JANUARY 27, 1989, LEGAT PlACED A TELCALL TO

AT WHICH TIME LEGAT HUNG UP, THUS CONFIRMING

AS NO LEADS ARE CURRENTLY OUTSTANDING AT BERN, THIS MATTER
BEING CONSIDERED RUC.

BT

#0053

NNNN
DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDELINE
DATE 07-18-2016  BY: C32W35891

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDELINE
DATE 07-18-2016  BY: C32W35891

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN OTHERWISE.

NEXT TEXT
FORMS.TEXT HAS 1 DOCUMENT
NEXT DOCUMENT (#1550) MOVED FROM INBOX.1 TO DESK.1

TEXT:
VZCZCH00213
PP WMFO NY BER LON
DE HQ #0213 0320111
ZNY EEEE
P 012122Z FEB 89
FM DIRECTOR FBI
TO FBI WMFO (196A-1563) PRIORITY
PERSONAL ATTN: SAC
FBI NEW YORK (12A-1470) PRIORITY
PERSONAL ATTN: SAC
LEGAL ATTACHE BERN (12A-39) PRIORITY
LEGAL ATTACHE LONDON (196A-417) PRIORITY
BT
UNCLASSIFIED

[ ] DRUG MATTER; IDTO: DD; NEW YORK; b7E

REWMMFOTEL TO FBIHQ DATED JANUARY 17, 1989; LONTEL TO
FBIHQ DATED JANUARY 20, 1989 AND BERTEL TO FBIHQ DATED JANUARY

FBIHQ AUTHORITY IS GRANTED FOR SOURCE AND CASE AGENT

FORTH IN REFERENCED TELETEYPE DATED JANUARY 17, 1989. SOURCE IS
TO BE SPECIFICALLY INSTRUCTED THAT NO CRIMINAL ACTIVITY CAN BE ENGAGED IN AND IT SHOULD BE NOTED THAT INFORMATION DERIVED THROUGH LEGAT LONDON BY THE SOURCE OR SA

FOR INFORMATION OF THOSE IN RECEIPT OF THIS COMMUNICATION, THE SOURCE AND SA AT THIS STAGE OF THE INVESTIGATION AND BASED UPON OUR POSITION REGARDING THIS CASE, THE POSITION OF LEGAT BERN. LEGAT LONDON. LEGAT LONDON WILL ENSURE APPROPRIATE NOTIFICATION TO THE STATE DEPARTMENT, AMERICAN EMBASSY,

BT

#0213
VZCIWM0038
PP HQ NY LONDON
DE WM #0038 0452132
ZNR UUUU
P 1421232 FEB 89
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (F)
TO DIRECTOR FBI/PRIORITY/
ADIC NEW YORK (12A-1470)/PRIORITY/
'LEGAT LONDON (196A-417)/PRIORITY/
BT
UNCLASSIFIED
CITE: //3920//
PASS: FBIHQ, SSA DIVISION 6; NEW YORK, SA
SQUAD C-7; LONDON, ASSISTANT LEGAL
SUBJECT: DRUG MATTER; IDTO; GO: NEW YORK.

RE WMFO TEL TO FBIHQ, JANUARY 17, 1989; LONDON TEL TO FBIHQ,
JANUARY 20, 1989; BUREAU TEL TO WMFO, FEBRUARY 1, 1989; AND
VARIOUS WMFO TELCALs TO NEW YORK AND LONDON, JANUARY 30 -
FEBRUARY 9, 1989.

FOR INFORMATION OF RECEIVING OFFICES, ON
<table>
<thead>
<tr>
<th>Very Sensitive and Reliable Source That</th>
<th></th>
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<tbody>
<tr>
<td>Requested Source</td>
<td></td>
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<td>Source Advised</td>
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Page Two DM 0038 UNCLASS

Very Sensitive and Reliable Source That

Requested Source

Source Advised

SECRET
PAGE THREE DE WM 0038 UNCLASSIFIED

SOURCE PROVIDING THE ABOVE INFORMATION IS [BLANK] SOURCE AND [BLANK]

FAMILY WILL BE IN PHYSICAL DANGER FROM [BLANK] SOURCE'S IDENTITY IS DISCLOSED.

WMFO WILL KEEP RECEIVING OFFICES ADVISED OF DEVELOPMENTS.

BT

#0038

NNNN
The following information was provided by [redacted] to Special Agent [redacted], Federal Bureau of Investigation (FBI), Washington Field Office, on 12/30/88:

Source learned [redacted]

ADMINISTRATIVE:

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 1/3/89 and confirmed by teletype.
WF196A-1563

The following information was provided by Special Agent to Federal Bureau of Investigation (FBI), Washington Field Office, on 1/23/89:

Source learned

ADMINISTRATIVE:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to SA Squad C-7, NYO, on 1/24/89.
WF196A-1563

The following information was provided by Special Agent Federal Bureau of Investigation (FBI), Washington Field Office, on 1/22/89:

The above information was telephonically provided to SA Squad C-7, NYO, on 1/23/89.
WF196A-1563

The following information was provided by a Special Agent of the Federal Bureau of Investigation (FBI), Washington Field Office, on 1/20/89:

Source learned

ADMINISTRATIVE:

The above information was telephonically provided to the Squad C-7, NYC, on 1/23/89.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.
The following information was provided by Special Agent, Federal Bureau of Investigation (FBI), Washington Field Office, on 1/16/89:

Source identified

The above information was telephonically provided to Squad C-7, NYO, on 1/16/89.
The following information was provided by [redacted] Special Agent at the Federal Bureau of Investigation (FBI), Washington Field Office, on 1/14/89:

Source learned [redacted]

ADMINISTRATIVE:

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 1/16/89.
The following information was provided by
Special Agent [redacted] Federal Bureau of Investigation (FBI),
Washington Field Office, on 1/5/89:

Source learned [redacted]

The above information was telephonically provided to
SA [redacted] Squad C-7, NYO, on 1/5/89.
The following information was provided by Special Agent [redacted] Federal Bureau of Investigation (FBI), Washington Field Office, on 1/5/89:

Source learned [redacted]

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 1/5/89 and confirmed by teletype.

Attached is [redacted] mentioned by source.
The following information was provided by Special Agent Federal Bureau of Investigation (FBI), Washington Field Office, on 2/21/89:

Source learned

ADMINISTRATIVE:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to Squad C-7, NYO, on 2/21/89.
The following information was provided by Special Agent Federal Bureau of Investigation (FBI), Washington Field Office, on 2/22/89:

Source learned

ADMINISTRATIVE:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to SA Squad C-7, NYO, on 2/23/89.
The following information was provided by Special Agent [redacted] to Federal Bureau of Investigation (FBI), Washington Field Office, on 2/23/89:

Source advised

Administrative:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to SA [redacted] Squad C-7, NYO, on 2/23/89.
WP196A-1563

The following information was provided by [Redacted] to Special Agent [Redacted], Federal Bureau of Investigation (FBI), Washington Field Office, and New York FBI Special Agents [Redacted] on 2/24/89:

Source advised

[Redacted]

ADMINISTRATIVE:

The above information should be considered as SINGULAR INFORMATION and treated with care.

The above information was telephonically provided to SA [Redacted] Squad C-7, NYO, on 2/28/89.

[Redacted] 01-08

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

SECRET
The following information was provided by [redacted] to [redacted] Special Agent Federal Bureau of Investigation (FBI), Washington Field Office, on 2/25/89:

Source advised [redacted]

Administrative:

The above information was telephonically provided to [redacted] Squad C-7, NYO, on 2/28/89.
The following information was provided by to Special Agent Federal Bureau of Investigation (FBI), Washington Field Office, on 3/9/89:

Source advised

ADMINISTRATIVE:

The above information was telephonically provided to Squad C-7, NYO, on 3/10/89.
The following information was provided by [redacted] to [redacted] Federal Bureau of Investigation (FBI), Washington Field Office, on 3/15/89:

[Blank]

Source learned

[Blank]

ADMINISTRATIVE:

[Blank]

The above information was telephonically provided to SA [redacted] Squad C-7, NYO.
WF196A-1563

The following information was provided by [redacted] to Special Agent [redacted] of the Federal Bureau of Investigation (FBI), Washington Field Office, on 3/17/89:

Source learned [redacted]

ADMINISTRATIVE:

The above information was telephonically provided to SA [redacted] Squad C-7, NYO.
The following information was provided by [Redacted] to Special Agent [Redacted], Federal Bureau of Investigation (FBI), Washington Field Office, on 3/19/89:

Source learned [Redacted]

The above information was telephonically provided to Special Agent [Redacted], Squad C-7, NYO, on 3/28/89.
The following information was provided by Special Agent, Federal Bureau of Investigation (FBI), Washington Field Office, on 3/24/89:

Source learned:

The above information was telephonically provided to SA Squad C-7, NYO, on 3/28/89.
The following information was provided by Special Agent to Federal Bureau of Investigation (FBI), Washington Metropolitan Field Office, on 3/27/89:

Source learned

ADMINISTRATIVE:

The above INFORMATION should be considered as SINGULAR in nature. Improper handling will disclose source's identity and will jeopardize DISCLOSED, SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER.
WF 196A-1563

The following information was provided by Special Agent Federal Bureau of Investigation (FBI), Washington Metropolitan Field Office, on 3/31/89:

[Blank space for source learned]
SUBJECT: DRUGS-IDTO: CO: NEW YORK.

RE WMFO TELETYPING, 2/14/89, AND TELCALLS BETWEEN NEW YORK AND WMFO DURING PERIOD OF 2/89-5/89.

FOR INFORMATION OF RECEIVING OFFICES, THE FOLLOWING IS A SUMMARY OF INFORMATION PROVIDED BY A VERY RELIABLE AND SENSITIVE SOURCE.
ADMINISTRATIVE: (✓) (U)

SOURCE PROVIDING THE ABOVE INFORMATION IS (✓) (U)

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S IDENTITY IS DISCLOSED.

SOURCE AND WMFD ARE CONCERNED THAT
THE SOURCE. SOURCE HAS

SOURCE ADVISED IT

DECISION CONCERNING CONTACT OF THE IS

BEING LEFT TO THE DISCRETION OF NEW YORK AND FBIHQ. [S]

THE ABOVE IS FURNISHED FOR INFORMATION TO RECEIVING OFFICES.

BT

#0016

NNNN
VZCZCWMD0027
PP HQ NY LONDON
DE WM #0027 1871716
ZNY EEEEEE
P' 071706Z JUL 89
FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (P)
TO DIRECTOR FBI/PRIORITY/
ADIC NEW YORK (12A-1470)/PRIORITY/
LEGAT LONDON (196A-417)/PRIORITY/
BT
UNCLASS F T O
CITE: //3920//
PASS: FBIHQ: SSA DIVISION 6: NEW YORK: 3A SQUAD C-7; LONDON: ASSISTANT LEGAL ATTACHE

SUBJECT: DRUGS-IDTO; 00: NY.
FOR INFORMATION OF RECEIVING OFFICES, THE FOLLOWING IS A

ALL INFORMATION CONTAINED WITHIN IS UNCLASSIFIED EXCEPT WHERE SHOWN CLASSIFIED BY: [REASON: 15 (C) ]
DECLASSIFY ON: [X] [JUL 0, 1999]
PAGE TWO DE WM 0027 UNCLAS E F T O

SUMMARY OF INFORMATION PROVIDED BY A VERY RELIABLE AND SENSITIVE SOURCE CONCERNING

THE INFORMATION WAS DEVELOPED BY SOURCE AND IS BEING TREATED AS "SINGULAR" INFORMATION.

REFERENCED TELETYPE NOTED

b6 b7c b7d b7f

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b6 b7c b7d b7f

b6 b7c b7d b7f
ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER FROM

IF SOURCE'S IDENTITY IS DISCLOSED.

ALTHOUGH SOURCE'S

WMFQ BELIEVES THAT IN VIEW OF

INFORMATION PROVIDED TO SOURCE

PREVIOUSLY CONSIDERED "SINGULAR" SHOULD BE RE-EXAMINED FOR

POSSIBLE USE IN FURTHERING THE INVESTIGATION. (2) (U)

LEADS ARE BEING LEFT TO THE DISCRETION OF NEW YORK.

BT

#0027
UNCLASS

SUBJECT: DRUGS-IDTC: OO; NEW YORK.

RE: TELCAL OF WMFO 43AC TO SDNY ASA JANUARY 2, 1990; AND NEW YORK TELETYPE TO DIRECTOR AND NEW YORK, JULY 5, 1989.

FOR INFORMATION OF BUREAU AND NEW YORK, DURING PERIOD OF A VERY SENSITIVE AND RELIABLE SOURCE DEVELOPED THE FOLLOWING INFORMATION FROM

CLASSIFIED BY: Sp5 JCTAF
REASON: 14 (C)
DECLASSIFY ON: X
SOURCE IN THE PAST: (U)
IF THE INFORMATION CAME FROM OTHER SOURCES, THE CREDIBILITY OF INFORMATION FURNISHED TO SOURCE IN THIS MATTER IS UNKNOWN. AS A PRECAUTION, WMFO ASAC TELEPHONICALLY PROVIDED THE ABOVE INFORMATION TO AUSA SDNY, THE "PIZZA CONNECTION" CASE.
THE ABOVE IS PROVIDED TO NEW YORK FOR WHATEVER ACTION DEEMED APPROPRIATE.

ADMINISTRATIVE.

SOURCE PROVIDING ABOVE INFORMATION IS [REDACTED]. INFORMATION FROM SOURCE MUST BE HANDLED IN A DISCRETE MANNER. IMPROPER HANDLING OF INFORMATION MAY DISCLOSE SOURCE'S IDENTITY WHICH WOULD PLACE SOURCE AND FAMILY IN PHYSICAL DANGER AND JEOPARDIZE ONGOING FBI INVESTIGATIONS.

BT

#0055

NNNN
/READ
0126 MRI 02067
PP FBI WMFO
DE FBI WMFO #0049 004220
IN FIEEE
P 042147Z JAN 90
PM FBI WMFO (196A-1563) (P) (C-9)
TO DIRECTOR FBI/PRIORITY/
LEGAT ROME/PRIORITY/
FBI NEW YORK/PRIORITY/
FBI NEW HAVEN/PRIORITY/
BT
UNCLASS E F T O
CITE: //3920//
PASS: FBIHQ, SSA DIVISION SIX, NEW YORK, SA
SQUAD C-7, NEW HAVEN SSA
BRIDGEPORT RA:
SUBJECT: IDTO; 00: NEW YORK
RE: WMFO Teletype, TELCALLS OF WMFO SA 196A-1563-53
TO FBIHQ SSA and NEW YORK
JANUARY 3, 1990; AND WMFO TELCALLS TO NEW HAVEN
2/28/91
CLASSIFIED BY: SP5 PETTY
REASON: 1.5 (C)
DECLASSIFY ON: X
SECRET
AND NEW YORK, JANUARY 4, 1990.

FOR INFORMATION OF FBIHQ AND RECEIVING OFFICES, ON WMFO CASE AGENTS MET WITH A VERY RELIABLE AND SENSITIVE CONCERNING

SOURCE PROVIDED THE FOLLOWING SINGULAR INFORMATION:

WHEN QUESTIONED BY

SECRET
SOURCE COULD NOT PROVIDE ANY ADDITIONAL INFORMATION.

WHITE WOLF NOTES AUSA SDNY, (FORMER SA), THE "GENUS CATTAILS" (PIZZA CONNECTION) CASE AND WAS ASSISTED BY DEPARTMENT OF JUSTICE ATTORNEY WHO IS CURRENTLY ASSIGNED LEGAT ROME AND FORMER AUSA IN THE NEW
THE ABOVE IS FURNISHED FOR INFORMATION.

SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S IDENTITY IS DISCLOSED.

SOURCE PROVIDING ABOVE INFORMATION IS ADMINISTRATIVE.

SOURCE WILL ATTEMPT TO TELEPHONICALLY CONTACT THE ABOVE INFORMATION.

ALL HAVE BEEN TELEPHONICALLY ADVISED OF THE ABOVE.

SOURCE HAS BEEN UNABLE TO VERIFY.

THE ABOVE IS FURNISHED FOR INFORMATION.

WERE THE KEY FBI AGENTS INVOLVED IN THE CASE, WITH THE EXCEPTION OF NEW YORK CITY AREA, FBI HQ SSA AND NEW HAVEN SSA.

NEW YORK SSA'S
SECRET

/READ
0035 MRI 01496
PP RUHFB ROM FBINH FBIMF0
DE FBINY #0044 .0520051
ZNR UUUU
P. 2022512 FEB 90
FM FBI NEW YORK (12A-1470) (P)
TO DIRECTOR FBI/PRIORITY/
LEGAT ROME/PRIORITY/
FBI NEW HAVEN/PRIORITY/
FBI WMFO (12A-1563)/PRIORITY/
BY
UNCLAS
CITE: 1//3540//
PASS: HQ FOR SSA EADTU, ROOM 3015; NH FOR SSA
BRIDGEPORT; WMFO FOR SA

SUBJECT: DRUGS--IDTO; OO:NY.

RE: WMFO TEL TO FBIHQ, DATED JULY 6, 1989; WMFOAT TO FBIHQ, DATED
OCT 23, 1989; WMFOTELS TO FBIHQ, DATED JAN 3, 1990 AND JAN 4, 1990;
AND TELCALS BETWEEN SA AND NYO, AND SA

More info from Sen.
Same legal issues to get added info

All info contained herein is unclassified except where shown otherwise.

CLASSIFIED BY: SPJC/18W
REASON: 15 (c)
DECLASS ON: X 3/21/01 01-081

All information contained herein is unclassified Date 2/28/01 by SPJC/INF

SECRET Feb 29 7:55 PM 90

b6 b7c
IN VIEW OF IN THIS INVESTIGATION AND THE EXTREME SENSITIVITY OF THE WHO HAS PROVIDED INFORMATION IN THIS INVESTIGATION, NYO IS CLOSING THE SUBSTANTIVE PORTION OF THIS MATTER (S) (U) HOWEVER, NYO IN ORDER TO RESOLVE, AS BEST IT CAN, RECENT INFORMATION THAT THE SOURCE PROVIDED, THE NYO FEELS THE SOURCE AS THE BUREAU IS AWARE, DUE TO THE OBVIOUS SERIOUSNESS AND SENSITIVITY OF THESE AFOREMENTIONED THIS MATTER SHOULD RECEIVE PRIORITY ATTENTION (S) (U) WMFO IS REQUESTED TO RECONTACT AND DETERMINE IF SOURCE HAS DEVELOPED ANY ADDITIONAL INFORMATION WMFO SHOULD BE ALERT TO THE FACT THAT THE INDIVIDUALS REFERENCED IN THE TELETYPES NAMELY PROSECUTED IN THE "PIZZA CONNECTION" CASE. FURTHERMORE,
AFTER THE RECONTACT WITH THE SOURCE, WMPO IS REQUESTED TO CONTACT LEGAT BERN, BASED UPON INFORMATION PROVIDED BY SOURCE, TO ATTEMPT TO

(5)
TO: DIRECTOR, FBI

FROM: ADIC, NEW YORK (12A-1470) (C) (C-7)

SUBJECT: DRUG-IDTO CO:NY

ReNYtel to BU, dated 2/20/90: telcal between SA NYO, and SA on 2/12/91.

As no further investigation remains in this matter, captioned case being placed in closed status.

If source were to develop any additional information advise NYO.

ALL INFORMATION CONTAINED HEREIN IS UNTILIFIED.

DATE 2/28/91 BY SSPJCINF

CDRU# 01-081

PTL; ng (6)

GENERAL INDICES:

Approved: [ ] Automated Search [ ] Manual Search

WT: [ ] Pos [ ] Neg AX: [ ] Pos [ ] Neg

CIRCULAR:

[ ] Pos [ ] Neg AX: [ ] Pos [ ] Neg
FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 36
Page 112 - Referral/Consult;
Page 113 - Referral/Consult;
Page 114 - Referral/Consult;
Page 115 - Referral/Consult;
Page 116 - Referral/Consult;
Page 145 - b6; b7C; b7D;
Page 183 - b6; b7C;
Page 184 - b6; b7C;
Page 188 - b6; b7C;
Page 195 - b3;
Page 196 - b3;
Page 197 - b3;
Page 198 - b3;
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Page 217 - b3;
Page 218 - b3;
Page 219 - b3;
Page 220 - b3;
Page 221 - b3;
Page 222 - b3;
Page 223 - b3;

Xxxxxxxxxxxxxxxxxxxxxxxxx
X Deleted Page(s)  X
X No Duplication Fee  X
X For this Page  X

Xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx
FM HOUSTON (196B-351)
TO NEW YORK ROUTINE
B1
U.S. GOVERNMENT
FRAUD BY WIRE
ENERGY RELATED.

FOR THE INFORMATION OF NEW YORK, HOUSTON DIVISION HAS BEEN
INTERVIEWING
(PROTECT IDENTITY) AND
(PROTECT IDENTITY),

RECEIVED MULTIPLE ENVELOPES
DO NOT BLOCK STAMP.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED.

DATE: 8/30/61 BY: SCOTT/ERS.
U.S. DEPARTMENT OF JUSTICE, FRAUD SECTION ATTORNEY
WASHINGTON, D.C., FTS NUMBER 784-7023.
SUBSEQUENT
HE
HAS ADVISED THAT
MAY BE A VIOLATION
OF MAIL FRAUD AND FALSE STATEMENT (1861) STATUTES.
NEW YORK AT NEW YORK. INTERVIEW

WILL BE PRESENT TO ADVISE THIS
INVESTIGATION AND ATTEMPT TO DETERMINE WHETHER OR NOT
HARC, NICK. NEW YORK IS REQUESTED TO MAKE CONTACT
HE HAS TENTATIVELY MADE ARRANGEMENTS FOR THE INTERVIEW ON

7/15/81 Called
Awaiting return call
Title and Character of Case

MARC RICH
ET AL
FBW-ER

Date Property Acquired | Source From Which Property Acquired
-----------------------|------------------------------------
3/4/83                 | FBI - HOUSTON OFFICE

Location of Property or Bulky Exhibit | Reason for Retention of Property and Efforts Made to Dispose of Same
VAULT SHELF             | EVIDENCE PENDING CONCLUSION OF CASE

To Be Returned | See Serial | Agent Submitting Property or Exhibit | Agent Assigned Case
□ Yes  □ No        | *          | SA                                  | SA                  | M-1      | b6 | b7C

□ Yes  □ No | Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

ONE CELLOPHANE ENVELOPE CONTAINING:

MISCELLANEOUS RECORDS (see airtâl for complete description)

*airtâl dated 3/2/83 Reg number 063 171 447

ALL INFORMATION CONTAINED
HRINE 19 UNCLASSIFIED
DATE: 3/1/83 BY STAFF

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # ____________________________

Signature of Two Special Agents
Verifying and Sealing Bag Contents

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

Field.File # ____________________________

OO:  NY 196B-1774-1B1 M-1

ORIGINAL (FILE COPY)
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<td>8</td>
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<td>5 color photos each of Standing Mug Shot</td>
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Do Not Enter Anymore 1A's

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED

DATE 3/14/81 BY SIGNS/EDS

(CPDU #01-681)

See 1A Vol 1
The below described property is a Fed Grand Jury Subpoena.

On 11/8/81

SA 60
Field File No.: NY 196 B-172 K-1A
00 and File No.: NY 196 B-172 K-1A
Date Received: 12-28-81
From:
By
(NAME OF SPECIAL AGENT)
To Be Returned ☑ No
Receipt Given ☑ No
☐ Yes  Grand Jury Material Disseminate Only
☐ No  Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description: Original

Note ofDetermination

ALL INFORMATION CONTAINED HEREBY IS UNCLASSIFIED
DATE 240/01/81 BY: PATRICK (CIRLH #01-081)
Field File No. 00 and File No. NY-196-1774-193
Date Received 6/7/82
From
(Address of Contributor)
By
(Name of Special Agent)
To Be Returned No Yes Receipt Given No Yes
Grand Jury Material - Disseminate Only Pursuant to Rules 6(a), Federal Rules of Criminal Procedure
Description:
5 - Black and White Photos Standing
5 - Black and White Photos Mug Shot
All information contained herein is unclassified.
Date 3/22/82 by Specleds
(CRER # 61-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SPEC/REDS
(CDRU #01-081)
NY 1968-1971-1A3

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/20/01

(CDRU#01-081)
ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/20/01 BY PSIC/CDS
(CDRU # 01-081)
ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 2/20/01 BY SPECICS/EDS
(CDRU #01-081)
Field File No.: N9-196-1774-104
Date Received: 6/29/62
From:

NAME OF CONTRIBUTOR

By:

To Be Returned [ ] Yes [ ] No
Receipt Given [ ] Yes [ ] No

Description: Original Notes of Interview

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATED 4/21/61 BY STFC/NS
(CDR11#-01-081)
Field File No.: NY 196-1774-79
File No.: NY.196-1774-79
Date Received: 6/7/82

From
(NAME OF CONTRIBUTOR)

ADDRESS OF CONTRIBUTOR

By

To Be Returned

Yes

No

Receipt Given

Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rules
6(e), Federal Rules of Criminal Procedure

Description:

Three illustrated case samples for Handwriting
Exemplars obtained from

[Signature]
Field File No. 00 and File No. NY 196-1774-120
Date Received: 6/7/82
From: 
By: 

To Be Returned: [ ] Yes [X] No
Receipt Given: [X] Yes [ ] No


Description:

Notes of Interview of Handwriting Examiner:

1. Ten 3x5 cards:
2. Nine sheets of yellow legal paper.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED 
DATE 2/21/01 BY SPTC/EDS 
(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/01/01 BY STS/JC/EDS.
(CDRU #01-081)
6-12
6/7/82
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY JPSRC/US
(CDRU-#01-081) 6-82
6-7-82
ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSIC/EDS
(CDRU-#01-081)
6/7/82
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE: 2/1/01 BY JS/JC/LDS
(CEBU # A1=81)
6/7/84
ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSJC/EDS
(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/81 BY SPECIFIED
(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED

DATE 2/21/01 BY SPICED / PDS

(CDRU #01-081)

6/7/82
6/7/82

H/W Samples
10 Signatures 3x5 Cards
3 pages of yellow legal pad, 3 times each
Total 9 sheets

Home address
Business address
DOB
Observations

White/Male

To office for photos
Field File No. NY 196-1774418
00 and File No.

Date Received 6/17/82

From
(NAME OF CONTRIBUTOR)

(AADDRESS OF CONTRIBUTOR):

By

To Be Returned □ Yes □ No □ Yes □ No Receipt Given □ Yes □ No

Grand Jury Material—Disseminate Only Pursuant to Rules 6(b), Federal Rules of Criminal Procedure

Description:
5 color photos with
of standing
and mug shot

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/11/01 BY 3/31/03
(CDRU #01-001)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/01/01 BY EPS/SC/EDS
(CDRU #01-081)
ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/21/01 BY SPECIFIED
(CDRU # 01-081)
196-1774-198

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 8PSJC/EDS
(CDRU #01-081)
196-1974-1A8

ALL INFORMATION CONTAINED
HEREIN UNCLASSIFIED
DATE 2/21/01 BY SPECIFIC/EDS
(CDRU #01-081)
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED.

Date: 2/21/01.

By: Speaker/POC.

(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY ESPTE/EDS
(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/01/01 BY STSC/KDS
(CDRU #01-081)
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 2/21/01
CE

(CDRN #01-081)
UNCLAS

Marc Rich, DBA Mark Rich and Company;... FBW-Energy b6 b7c

For the information of Houston, a meeting was held on...

The following persons were in attendance at this meeting:

Department of Justice Attorney
Special Agent FBI, NYO; and Attorneys

NY, Telephone

The aforementioned attorneys would not permit to answer any questions and therefore no interview was conducted. DOJ attorney advised and his attorneys what the DOJ was interested in concerning activity while...

NEW YORK 196-1774

Supv. M-12

SMN: tb (2)

Approved: Transmitted

NO 2 20/61

U.S. GOVERNMENT PRINTING OFFICE: 1960-325-775/402

ref: NEW 71
EMPLOYED BY MARC RICH. THE ATTORNEYS EXPLAINED THAT THEY WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS MARC RICH. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN DIRECT CONTACT WITH DOJ ATTORNEY IN THIS MATTER.

AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE HAS BEEN PLACED IN AN RUC'D STATUS.

BT
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY STS/C/ENS
(CORE #01-081)

For the information of Houston, a meeting was held on
at Titan Resources, 515 Madison Avenue, New
York, NY. The following persons were in attendance at this
meeting:

Department of Justice Attorney

Special Agent FBI, N.Y.O. and

Attorneys

By telephone, the

Attendees' legal advisors would not permit

members of the meeting to answer

any questions, and therefore no interview was conducted. Mr.

Attorney advised the attendees that

Mr. Rich was interested in continuing activity while

[Signature]
NAPOLI BAIANO RIGOBIO. THE ATTORNEYS EXPLAINED THAT THEY
WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT
IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS
NAPOLI BAIANO. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN
DIRECT CONTACT WITH CO-ATTORNEY
IN THIS MATTER.

AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE
HAS BEEN PENDED IN AS-RIGOBIO STATED.
To: ADIC, New York (1968-1774)  
From: SA
Subject: MARC RICH, DBA MARC RICH AND COMPANY; F&N - ENERGY RELATED

Date 10/20/81

AUSA SDNY

Telephone orally advised that after meeting with DOJ Attorney it was determined that captured matters would be prosecuted by the SDNY. AUSA requested that the matter be presented at the meeting on and DOJ Attorney to reissue this matter.

Recommend that this matter be reopened as an office of origin M. case to the writer.
advised that his residence is at
TO: SAC, NEW YORK (196B-1774)
(ATTN: SA [SQUAD M1])

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
Marc Rich and Company;
FBW - ENERGY RELATED
(00: HO)

Re NY teletype to HO, 7/20/81, and SA
New York, telcall to SA Houston,
10/21/81.

Enclosed for New York are the following.

1. Two copies of FD-302 of
   (Cover copy to AUSA 10/20/81)
2. Documents provided
   (Furnished to AUSA 10/20/81)

In referenced telephone conversation it was determined

For the information of New York, Houston has met with

Their cooperation

is based on

1. New York (Encs. 3) b6
2. Houston

MMW/rbp
(4)

All information contained
INFORMATIONS UNCLASSIFIED
DATED 4/11/81 by SPIEGEL
(CDRU #01-081)

1. WBD-1774-5

Approved: 
Passed

Transmitted
(Per)

U. S. G

FBI

DATE: 10/21/81
HO 196B-881

that captioned matter may best be considered for prosecution in New York. Houston has retained copies of the enclosed copied and enclosed for New York. Houston will continue to debrief
X AirTel

10/21/81

TO: SAC, NEW YORK (196B-1774)
   (ATTN: SA SQUAD M1)

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
Marc Rich and Company;

FBW - ENERGY RELATED
(00: HO)

Re NY teletype to HO, 7/20/81, and SA
New York, teleall to SA Houston,
10/21/81.

Enclosed for New York are the following.

1. Two copies of PD-302 of

2. Documents provided by

In referenced telephone conversation it was determined
that will meet with SA
Departmental Attorney and a representative of
the U.S. Attorney's Office, New York, on in New York
City. This meeting will concern captioned matter.

For the information of New York. Houston has met with
several times since regarding
several different investigative matters. Their cooperation
is based on Departmental
Attorney Recently, Attorney has indicated

2 - New York (Encs.3)
2 - Houston

MMW/rbp
(4)
ADIC, QUANTICO
(ATTN: SA)

ADIC, NEW YORK (196B-1774) (F) (M-1)

MARC RICH
dba Marc Rich and Company;
FUEL-ENERGY RELATED
(CO: NY)

10/28/81.

Retelcall from SA _________ to SA ________

It is requested that a NY Times Search be performed
regarding:

1) MARC RICH

2) Marc Rich and Company, International
   280 Park Avenue
   New York, New York
PRICE FRAUD LAID TO TULSA OILMAN

Overcharges Are Estimated at $2 Billion to $4 Billion on Violations of Controls

By ROBERT D. HERSHEY, Jr.
Special to The New York Times

WASHINGTON, Oct. 29 — In one of the biggest fraud cases ever brought by the United States Government, a Federal grand jury today indicted a Tulsa, Okla., businessman on charges that he had violated price controls on oil that brought him hundreds of millions of dollars and resulted in consumer overcharges estimated at $2 billion to $4 billion.

Robert B. Sutton, owner of BPM Ltd. and the Scurry Oil Company, is charged in the 17-count criminal indictment with falsely certifying that more than 240 million barrels of crude oil qualified for higher prices than it did under the price-control program, thereby making illegal profits ranging from $50 to $4 billion.

The indictment was returned by a Federal grand jury in Tulsa and was announced here by Attorney General William French Smith.

Among the charges, mostly involving mail and wire fraud and racketeering, was that Mr. Sutton enlisted Carlos Marcello of New Orleans, a convicted racketeer, to intimidate witnesses in the Government's investigation.

Vesco Case Recalled

One Government lawyer involved in the case said the magnitude of the charges rivaled those against Robert Vesco, a fugitive wanted in connection with the 1972 collapse of Investors Overseas Service Inc., a mutual fund, for which he is accused of looting of $224 million. The lawyer added that, despite numerous civil suits charging oil price violations, some of them brought against the multinational giants, "I don't know of any other oil cases that involve as many barrels."

Mr. Sutton was said to be prepared to surrender to authorities on Monday, and it is expected he will be released on $10,000 bond provided he surrenders his passport. He did not return a telephone call placed to what was believed to be his home in Breaux Bridge, La.

The Government charges that the fraud was carried out from June 1976 through February 1981. The price controls system was lifted by President Reagan on Jan. 28, but some parts of the program continued in effect through March.

Racketeering Charged

According to the indictment, Mr. Sutton engaged in a "pattern of racketeering" in which he bought price-controlled "old" oil that he then fraudulently resold to some 40 unidentified other companies as "new" oil or as "stripper" oil, coming from a well with an average daily production of less than 10 barrels.

BPM and Scurry, both of which Mr. Sutton served as chief operating officer, often added large fictitious "gathering and handling" charges to the price. This enabled their customers to profit as well by charging a price for the old oil far in excess of the permitted price.

Mr. Sutton's companies also bought new oil that was subsequently fraudulently resold as stripper.

According to the Department of Energy, old oil averaged $2 a barrel during the 1976-81 period, while new oil was allowed to sell for an average of about $12. Stripper oil was exempt from the controls and ranged from $13 to $38 a barrel, the world market price.

Over all, BPM and Scurry illegally earned between $221 million, if the average profit was $50 a barrel, and as much as $629 million if Mr. Sutton's companies earned $4 per barrel traded, according to the grand jury's figures. The size was magnified as Mr. Sutton's oil continued through the marketing chain to consumers.

Mr. Sutton, the indictment charges, did not file required reports with the Department of Energy, attempted to destroy company records to conceal the fraud from investigators and conspired to provide Mr. Marcello with the names and addresses of two witnesses who "had information which Sutton did not wish Federal investigators to possess."

The now-defunct price control and allocation system had as a main purpose the equalization of crude oil costs to refiners. Those that had access to old oil in quantities above the average were required to make cash payments to other refineries that had access mainly to expensive new or stripper oil. All crude oil costs, including entitlement payments, could be passed on to consumers.
FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

DALLAS (196B-1291) ROUTINE

HOUSTON (196B-1291) ROUTINE

BT

UNCLASSIFIED

CHANGED TO MARC RICH, DBA MARC RICH AND COMPANY; FBW-ENERGY RELATED, CO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED FOR PROSECUTION IN SDNY BY DOJ ATTORNEY DUE TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN THIS DISTRICT. AUSA SDNY, AGREED TO DESIGNATION IN MEETING WITH DOJ ATTORNEY DOJ AWARE OF ALL EVENTS,

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATED 2/12/81 BY 2PS/1005

NEW YORK 1-SUPERVISOR M-1 (CDR #01-081)

GIL: jm (2) 60

Approved: JTH/13

Transmitted (Number) (Time) Per 11
ESSENCE OF CASE IN THE FIRST STAGE INVOLVES

NEW YORK. THE SECOND STAGE

ALL NECESSARY FOR APPROPRIATE PROSECUTION

NEW YORK CASE

AGENT AND AUSA, SDNY, AGREE THAT IMMEDIATE ISOLATION AND PRESENTATION PURSUANT TO GRAND JURY SUBPOENA OF BE ACCOMPLISHED. WITNESSES HAVE STATED THAT
NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL

THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL AND AUTO RENTAL CONCURRENT WITH TRIP.

BT

Approved:  
Transmitted  
(Number) (Time)  
Per  

NY09213040050Z
RR HQ DL HQ
DE NY 043
R 302100Z OCT 8
FM NEW YORK (1968-1774) (P) (M-1)
TO DIRECTOR ROUTINE
DALLAS (1968-1291) ROUTINE
HOUSTON (1968-881) ROUTINE
BT
UNCLAS

CHANGED TO MARC RICH, DBA MARC RICH AND COMPANY; ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 10/21/81 BY SPECIALISTS (CDRU #01-081)

FBW-ENERGY RELATED, OO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED FOR PROSECUTION IN SDNY BY DOJ ATTORNEY DUE TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN THIS DISTRICT. AUSA SDNY, AGREED TO DESIGNATION IN MEETING WITH DOJ ATTORNEY AWARE OF ALL EVENTS, INCLUDING DISCUSSIONS WITH COOPERATING WITNESSES.
PAGE TWO UNCLAS

(Protec identity)

AND

(Protec identity)

These witnesses appeared in New York for debriefing in captioned matter.

Essence of case in the first stage involves New York. The second stage

All necessary for appropriate prosecution

Witnesses will

New York case

Agent and USA, SDNY, agree that immediate isolation and presentation pursuant to grand jury subpoena will be accomplished. Witnesses have stated that
MUST BE REVIEWED IN ORDER TO

FURTHER, AUTHORITIES MUST BE NOTIFIED IN ADVANCE OF

WITNESSES FOR REVIEW.

NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL

to TO CONDUCT SUPERVISED

AND ORGANIZED REVIEW WITH WITNESSES ON

SAC, DALLAS, AND SAC, NEW YORK, CONCUR

THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL

AND AUTO RENTAL CONCURRENT WITH TRIP.

BT
TO FBI NEW YORK (ROUTINE)
FBI DALLAS (196B-1291) (ROUTINE)
FBI HOUSTON (196B-881) (ROUTINE)

BT

UNCLAS

MARC RICH, DBA MARC RICH AND COMPANY; FBW - ENERGY RELATED, OO: NEW YORK.

TRAVEL REQUESTED BY NEW YORK IN REFERENCED COMMUNICATION IS APPROVED. USE OF A RENTAL VEHICLE DURING THE TRIP IS AUTHORIZED, HOWEVER, ITS USE MUST BE JUSTIFIED ON THE EMPLOYEE'S VOUCHER.

BT

#0116
Partner in Fox Deal Identified

JUL 29, 1981

LOS ANGELES, July 23—A Netherlands Antilles company headed by Marc Rich, as oil trader and financier, has been identified yesterday as the silent partner of Marvin Davis, the Denver oil millionnaire, in the 20th Century-Fox Films Corporation.

The minutes from a May 11 Fox directors' assembly held yesterday indicate that Mr. Davis has authorized his representatives at the Fox purchase of Fox & Roberts, a corporation based in the Netherlands. Rich is identified as a subsidiary of a Netherlands corporation "which is one of the most important oil companies in the world," according to the principles of the firm. Mr. Rich, a former chairman, is an executive of the Phillips Brothers Corporation, a major American trading company that markets more than 150 different commodities and last year posted revenue of $3.5 billion.

Following an internal dispute, Mr. Rich left Phillips Brothers to set up his own firm, Marc Rich & Company, in which Mr. Davis, a former Phillips Brothers trader, and he departed with Mr. Rich.

Mr. Rich started the spot oil trading unit of Phillips Brothers. He reportedly left Phillips Brothers because of a salary dispute. After the 1973 Arab oil embargo, he began trading in oil.

Chevron Pact On Oil Prices

SAN FRANCISCO (AP) — An $18.5 billion settlement, the second-largest in a $40 billion oil pricing complaint, has been signed between Chevron U.S.A. Inc. and the Department of Energy, both sides said today.

In agreeing to make restitution, Chevron said the pact would cover all outstanding regulatory issues.

Included in the settlement is an agreement by Chevron to pay $33 million of crude oil in the Government's strategic oil reserve. Only the department's $100 billion agreement with Amoco, a unit of the Standard Oil Company (Indiana), was left.

The disclosure of Mr. Davis's partnership with Mr. Rich is likely to add to the political fallout from the settlement. The disclosure has caused the Government officials to denounce it as "unfair and illegal."
The oil market has been volatile, with prices rising due to supply disruptions. A recent transaction involving the purchase of oil from a tanker was deferred due to uncertainties in the market. The oil was sold to a large international company for a price significantly higher than the spot market rate, indicating a bullish outlook for the future.

Nontimes material by permission.

Minutes from Twentieth Century-Fox Film Corp. Director's meeting indicate that oil millionaire Marvin Davis's silent partner in a $22 million purchase of oil is Netherlands Antilles company, Richco, which is a subsidiary of Netherlands Trading firm, Headed by oil trader Mervin Price, famous Green and Alex Nakic - profile of rich and details of the oil transaction.

RICH, MARG & CO
RICH, MARG & CO

About 300 international profitiers are beneficiaries of soaring oil price increases that occur daily on spot market; spot market, sometimes called Rotterdam market because most of world's spot oil goes through Rotterdam, is market in which trader with shipload of oil under no contract can connect with rich buyer; spot price for oil surges to whatever market will bear when supplies fall short of demand; desperate customers recently paid $25 per barrel of oil, though OPEC price remains at $15.54 per barrel; oil cargoes have been sold.

Four or five times while tanker was still out to sea, and each subsequent owner has pocketed high profits; profitiers have been offered deals on as much as half of Indonesia's total production which local politicians have locked up; spot trading is done by small oil companies such as Essex Oil, Nedol and Vanol, consulting firms Marc Rich & Co do some top secret business on oil spot trading (H)

MARC RICH & CO receives a $46.7 million defense depot contract for Fuel (S)

*** END OF DISPLAY ***
Memorandum

To: ADIC, NY (1968-1774) (P)

Date: 11/6/81

From: SA

Subject: MARC RICH, ET AL

Results of NY Times Search

FBW(b)

OO: NY
FM NEW YORK (196B-1774) (P) (M-1)
TO DIRECTOR ROUTINE
BT
UNCLAS

MARC RICH, DBA MARC RICH AND COMPANY; FBW-ENERGY RELATED, OO: NEW YORK.

REBUREAUTEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERNS,-captioned matter involves fraudulent oil transactions in the United States with movement of profits by Marc Rich and Company to foreign companies believed owned by Marc Rich. The foreign companies identified to date are Rescor, Inc., Panama, Zeughausgasse 2, 6300 Zug, Geneva, Switzerland, and Marc Rich and Company, AG, 3 Gottthardstrasse, Post Office Box 1135, 6301 Zug, Switzerland.

Legat, Berns, requested to ascertain whether companies named are registered to operate in Switzerland and if so, obtain any pertinent details regarding companies, such as

NEW YORK

SUPERVISOR #M-1

GJL:mr
(2)
PAGE TWO UNCLAS

PRINCIPALS, FINANCIAL DATA, ETC.

BUREAU REQUESTED TO TRANSMIT ABOVE TO LEGAT, BERNS.

BT
NY0238 3110116Z
RR HQ
DE NY 036
R 062300Z NOV 81
FM NEW YORK (1963-1774) (P) (M-1)
TO DIRECTOR ROUTINE
BT
UNCLAS
MARC RICH, DBA MARC RICH AND COMPANY; FBW-ENERGY RELATED, 00: NEW YORK.

REBUREAUITEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERN, CAPTIONED MATTER INVOLVES FRAUDULENT OIL TRANSACTIONS IN THE UNITED STATES WITH MOVEMENT OF PROFITS BY MARC RICH AND COMPANY TO FOREIGN COMPANIES BELIEVED OWNED BY MARC RICH. THE FOREIGN COMPANIES IDENTIFIED TO DATE ARE RESCOR, INC., PANAMA, ZEUHHAUSGASSE Z, 6300 ZUG, GENEVA, SWITZERLAND, AND MARC RICH AND COMPANY, AG, 3 GOTTHARDSTRASSE, POST OFFICE BOX 1135, 6301 ZUG, SWITZERLAND.

LEGAT, BERN, REQUESTED TO ASCERTAIN WHETHER COMPANIES NAMED ARE REGISTERED TO OPERATE IN SWITZERLAND AND IF SO, OBTAIN ANY PERTINENT DETAILS REGARDING COMPANIES, SUCH AS
PAGE TWO  UNCLASSIFIED

PRINCIPALS, FINANCIAL DATA, ETC.

BUREAU REQUESTED TO TRANSMIT ABOVE TO LEGAT, BERNs.

BT
RE: NYTIB SEARCH


If we can be of any further assistance, please do not hesitate to contact us.

Enc. B0

Unit Chief, [Signature]
FBI Academy Library
Quantico, Virginia 22135

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/3/81 BY OSPS C/IDPS

FBI/DOJ
FBI

TRANSMIT VIA:

☑ Teletype
☐ Facsimile
☐ Immediate
☐ Priority
☑ Routine

PRECEDENCE:

☑ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLASSIFIED
☐ UNCLASSIFIED

CLASSIFICATION:

12/11/81

Date

FM

NEW YORK (1963-1774) (P) (1-1)

DIRECTOR (1963-2843) ROUTINE

HOUSTON (1963-1772) (INFO)

PT

UNCLASSIFIED

MARC RICH; DBA MARC RICH AND COMPANY; FBW-ENERGY

RELATED, CO: NEW YORK.

REBUTELETEYPF TO HOUSTON, DECEMBER 4, 1981, ENTITLED

ET AL, FBW-ENERGY RELATED, CO: HOUSTON AND BUTFLType

TO NEW YORK, NOVEMBER 2, 1981.

NYO REQUESTS THAT FBIHQ FOPWARD THROUGH

1.

2. NYO INVESTIGATION INVOLVES SUBJECTS IN A

Approved:  

Transmitted (Number) (Time) Per 3
UNCLASSIFIED

D.W. FEDERAL AGENCIES

DIRECTOR (1952-1974) (I)

HOUSTON (1961-1965) (INFO)

1.

NEW YORK (1952-1974) (P) (M-1)

2.

DIRECTOR (1952-1974) READING

HOUSTON (1961-1965) (INFO)

NEW YORK, OCTOBER 4, 1961, SUBMITTED

SUBJECT: FERGUSON, ROBERT, OFFICE OF FERGUSON, ROBERT, HOUSTON AND NEW YORK

TO NEW YORK, NOVEMBER 2, 1961.

NEW YORK REQUESTS THAT BEING FORWARD TO OFFICE OF ENFORCEMENT

OPERATIONS (OEO) TO BUREAU OF PRISONS (BOP) FURLough request based

ON THE BELOW DETAILS AS PREPARED IN REFERENCE TELETYPE TO HOUSTON:

1. TXAS?

2. NYC INVESTIGATION INVOLVES

ALL INFORMATION CONTAINED
HEREBIN IS UNCLASSIFIED
DATE 2/21/61 BY SPECIAL
(CORR #01-061)
4. NEW YORK CASE AGENT DOES NOT CONTEMPLATE INVESTIGATIVE
ACTIVITY WHICH WOULD REQUIRE SECURITY MEASURES.

5. REQUEST
TO REMAIN IN
FROM
IN THAT CITY.
TO ARRIVE IN NEW YORK, BY AM
WHERE REVIEW OF LOCATED THERE WILL PROVIDE
WITH TO BE FURNISHED. TO LEAVE
NEW YORK PM. THESE PLANS BASED ON
6. WILL BE CALLED AS WITNESSES AND WILL BE NEEDED FOR OTHER INVESTIGATIVE PURPOSES IN THE FUTURE.

7. PRESENTLY THERE APPEARS NO NEED FOR EITHER TO BE RELOCATED IN THE FUTURE.

3. ATTORNEY FOR
FEDERAL BUREAU OF INVESTIGATION

Date of transcription
12/11/81

provided the below described

1.

2.

3.

4.

5.

6.

7.

8.

9.

See IA #1

Interviewed on 11/8/81 at

File # NY 1968-1774-16

Date Dictated 11/13/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 3/31/01 BY: PRT/EDS

(CDPE #01-081)
FEDERAL BUREAU OF INVESTIGATION

provided the following information:

Date of transcription 12/28/81

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/21/81 BY JPSIC/EDS
(CDR #01-081)

Investigation on 12/28/81 at New York, New York File # NY 196B-1774/7

by SA ras Date dictated 12/28/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
FEDERAL BUREAU OF INVESTIGATION

1. Texas, provided the following information:

Date of transcription: 12/28/81

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/31/81 BY SPEC/EDS
(CDRU #61-081)

Investigation on 12/28/81: New York, New York

by SA /ras

Date dictated: 12/28/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR (196B-2848) ROUTINE

BT

UNCLASS

MARC RICH; ET AL, FBW-ENERGY RELATED, CC: NEW YORK.


FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED IN WASHINGTON, D.C., ON BETWEEN AUSA SDNY, DOJ ATTORNEY

PURPOSE OF MEETING IS TO REGARDING CAPTIONED MATTER. AUSA HAS REQUESTED THAT NEW YORK CASE AGENT BE PRESENT AT THIS MEETING.

SAC, NEW YORK, CONCURS WITH NECESSITY OF TRAVEL. BUREAU APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON, D.C., ON WITH RETURN SAME DAY.

BT

# NEW YORK
1-SUPERVISOR M-1

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED. DATED 1/21/82 BY D.B. (CDEU #01-081)

Approved: HA-1745

Transmitted (Number) Per Yd 1
DOUGLAS

HAGG RICK; ET AL, FED-ENERGY RELATED, OCT. NEW YORK.


FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED IN WASHINGTON, D.C., ON BETWEEN AUSA

, CSOY, SOS ATTORNEY

PURPOSE OF MEETING IS TO

REGARDING

CAPTURED MATTER. AUSA HAS REQUESTED THAT NEW YORK CASE

AGENT BE PRESENT AT THIS MEETING.

AND, NEW YORK, CONDAYS WITH Necessity OF TRAVEL. BUREAU

APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON, D.C., ON WITH RETURN SAME DAY.

ALL INFORMATION CONTAINED IN HEREIN IS UNCLASSIFIED DATE 1/21/81 BY SPECIES

(CDRU #01-08)

196-1774-19

SEARCHED INDEXED SERIALIZED FILED

JAN 22 1982

FBI-NEW YORK
Memorandum

To: ADIC, NY (196 - 1774) (p)  
From: SA  

Subject: MARC RICH;  
ET AL  
RE:  
60: NY  

On 12/82, I met with the Deputy Director of the SDNY, Washington, D.C., to discuss a presentation regarding an investigation involving MARC RICH and his companies. The results of the meeting were that another possible way for changing of oil certification may have been perpetuated by RICH and his companies. The Deputy Director stated that all their records would be available to the Bureau upon appropriate request.
Memorandum

To: ADIC, NY (16-1774) (P)  Date: 1/25/82

From: SA

Subject: MARC RICH

Marc Rich & Co., Inc. is located at 280 Park Ave, NY, NY with telephone number 867-3300. (Per Telephone Directory).

Marc Rich lives at 625 Park Ave, NY with telephone number 734-0997. At this time, positive identifying information has not been obtained to assume that 280 Park Ave individual is in fact owner of Marc Rich & Co.

Y & B sent to Baltimore; credit checks sent to BQ.

All information contained herein is unclassified.

Date 1/21/81 by SPD/EDS
(CDEU 01-081)

1968-1774-20
Record Request
PD-128 (Rev. 1-30-75)

Date 1/25/82

To Radio Room
Return M-1 b6 b7c File number 196-774

Name and aliases of subject, applicant, or employee, and spouse
MARC RICH

Address
Residence 625 PARK AVE, NY, NY
Business 280 PARK AVE, NY, NY

* Date and place of marriage (if applicable)

Race W Sex X Male

Age 35 Height

Weight

Hair

Eyes

Birth Date XX/XX/1947 Birthplace

Arrest Number

Fingerprint classification

Criminal speciality

Specific information desired

Social Security Number

Results of check

1/25/81

ALL INFORMATION CONTAINED HERIN IS TO BE CLASSIFIED
DATE 2/11/81 BY SPEC/RES
(CDEU #01-091)

(Use reverse side, if necessary)
Memorandum

To: SAC, NY (196-1774) (P)  
From: SA 
Date: 1/25/82

Subject: MARC RICH's ET AL.
FBW
00: NY

For Info On File, Indeces negative on Pincus Green and...

Indeces on MARC RICH show reference to 100-169963-11 which atereviewed showed file to be non-violent.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/21/01 BY SPXLF
(CDRU # 01-081)
Record Request
FD-125 (Rev. 1-30-75)

Date: 4/25/82

To: 

Return to: (M-1) b6 b7c

Name and aliases of subject, applicant, or employee, and spouse

MARC RICH

Address:
Residence: 625 PARK AVE, N.Y., N.Y.
Business: 280 PARK AVE, N.Y., N.Y.

Date and place of marriage

Race: W Sex: Female

Social Security Number

Results of check

[Handwritten注释]

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED

(CDR # 101-081)

(JAN 26, 1982)

(Use reverse side, if necessary)
Trans Union Credit Information Co.
New York Division
330 West 34th Street * New York, N.Y. 10001
212/239-2000

If you open an account with this individual, please complete the following, detach, and return to the above address.

<table>
<thead>
<tr>
<th>EGA DESIGNATOR</th>
<th>SUBSCRIBER CODE NO.</th>
<th>HIGH CREDIT</th>
<th>TYPE ACCOUNT</th>
<th>TERMS</th>
<th>AMOUNT</th>
<th>DATE OPENED</th>
<th>ACCOUNT NO.</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

For (P) 2NY1116

IN FILE SINCE 3/31

RICH, MARC

CURRENT ADDRESS

625 PARK AVE., NEW YORK NY. 10000

PRESENT EMPLOYER AND ADDRESS

MARC RICH &

230 PARK AVE., NEW YORK NY.

BIRTH DATE

NUMBER OF DEPENDENTS INCLUDING SPOUSE

PRESENT EMPLOYER AND ADDRESS

SPouse's EMPLOYER AND ADDRESS

SOCIAL SECURITY NUMBER

SPouse's NAME

b6

b7c

MKT AREA

SPouse SOCIAL SECURITY NO.

17 NY

CLOCK # POSITION DATE VERIFIED SINCE INCOME BASIS

3/31R

FROM TO

CLOCK # POSITION DATE VERIFIED SINCE INCOME BASIS

CLOCK # POSITION DATE VERIFIED SINCE INCOME BASIS

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

HEREIN 8/10/10

APR 205

(CEDU # 801-601)
<table>
<thead>
<tr>
<th>TERMS OF SALE</th>
<th>KINDS OF BUSINESS CLASSIFICATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open Account (30 days or 90 days)</td>
<td>Code</td>
</tr>
<tr>
<td>Revolving or Option (Open-end)</td>
<td>Kind of Business</td>
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<tr>
<td>Instalment (fixed number of payments)</td>
<td>Automotive</td>
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<tr>
<td>Mortgage (fixed number of payments)</td>
<td>Banks</td>
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<tr>
<td>Check credit (line of credit)</td>
<td>Clothing</td>
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<td></td>
<td>Department and Variety</td>
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<td></td>
<td>Finance</td>
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<tr>
<td></td>
<td>Groceries</td>
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<td></td>
<td>Home Furnishings</td>
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<tr>
<td></td>
<td>Insurance</td>
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<td>Contractors</td>
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<td>Medical and Related Health</td>
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</tr>
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<td></td>
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<td></td>
<td>Real Estate and Public Accommodations</td>
</tr>
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<td></td>
<td>Sporting Goods</td>
</tr>
<tr>
<td></td>
<td>Farm and Garden, Supplies</td>
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<tr>
<td></td>
<td>Utility and Fuel</td>
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<td></td>
<td>Government</td>
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<td></td>
<td>Wholesale</td>
</tr>
<tr>
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<td>Advertising</td>
</tr>
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<td></td>
<td>Collection Services</td>
</tr>
<tr>
<td></td>
<td></td>
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<tr>
<td>PUBLIC RECORD TYPES</td>
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<td>AG Amendment Granted</td>
<td></td>
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<td>AH Amendment</td>
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</tr>
<tr>
<td>AT Chapter 13 Adjudicated</td>
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<td>AQ Accrued</td>
<td></td>
</tr>
<tr>
<td>BR Bankruptcy dismissed</td>
<td></td>
</tr>
<tr>
<td>CD Civil Judgment Disputed</td>
<td></td>
</tr>
<tr>
<td>CH Chapter Mortgage</td>
<td></td>
</tr>
<tr>
<td>CJ Civil Judgment</td>
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<tr>
<td>CH Change of Name</td>
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<td>CS Cost Suit Filed</td>
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<td>DB Dismissed Bankruptcy</td>
<td></td>
</tr>
<tr>
<td>DJ Discharged Bankruptcy</td>
<td></td>
</tr>
<tr>
<td>EF Dismissed Foreclosure</td>
<td></td>
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<tr>
<td>DG Divorce Granted</td>
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</tr>
<tr>
<td>DJ Discharge of Judgment</td>
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<td>OF Divorce Audited</td>
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<td>FS Dissolution of Court Suit</td>
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<tr>
<td>DT Chapter 13 Dismissed</td>
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<td>DV Divorce</td>
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</tr>
<tr>
<td>FC Foreclosure</td>
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<tr>
<td>FD Foreclosure Detailer</td>
<td></td>
</tr>
<tr>
<td>FR Foreclosure Real Estate Sold</td>
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<td>FS Financing Statement</td>
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<tr>
<td>FT Federal Tax Lien</td>
<td></td>
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<td>FN Garnishment</td>
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<tr>
<td>GN Garnishment</td>
<td></td>
</tr>
<tr>
<td>HL Hospital Lien</td>
<td></td>
</tr>
<tr>
<td>HS Homestead</td>
<td></td>
</tr>
<tr>
<td>JO Judgment by Confession</td>
<td></td>
</tr>
<tr>
<td>JP Judgment by Default</td>
<td></td>
</tr>
<tr>
<td>KM Dismissed Judgment</td>
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</tr>
<tr>
<td>LP Lie Penders</td>
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<tr>
<td>LT Landlord Tenant</td>
<td></td>
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<tr>
<td>MG Miscellaneous</td>
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<tr>
<td>ML Mechanics Lien</td>
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<tr>
<td>ND Notice of Default</td>
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<td>ND Notice of Non-Responsible</td>
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**REMARKS AND FCBA DISPUTE CODES**

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<th>Description</th>
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<td>AJP</td>
<td>Adjustment pending</td>
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<tr>
<td>BKL</td>
<td>Bankruptcy filed</td>
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<tr>
<td>CCA</td>
<td>Consumer counseling account</td>
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<tr>
<td>CUD</td>
<td>Disputed after Resolution Process</td>
</tr>
<tr>
<td>DIS</td>
<td>Dispute following resolution</td>
</tr>
<tr>
<td>DP</td>
<td>Dispute report pending</td>
</tr>
<tr>
<td>DAP</td>
<td>Dispute resolution pending</td>
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<tr>
<td>JUD</td>
<td>Judgement obtained</td>
</tr>
<tr>
<td>MOV</td>
<td>Moved. Left forwarding address</td>
</tr>
<tr>
<td>ND</td>
<td>No dispute</td>
</tr>
<tr>
<td>PRL</td>
<td>Profit and loss written off</td>
</tr>
<tr>
<td>RLD</td>
<td>Repossession. Paid by dealer.</td>
</tr>
<tr>
<td>RLP</td>
<td>Repossession. Proceeds applied to debt.</td>
</tr>
<tr>
<td>RPO</td>
<td>Repossession</td>
</tr>
<tr>
<td>RPD</td>
<td>Repossession. Deborah paid</td>
</tr>
<tr>
<td>RPE</td>
<td>Repossession. Redeemed</td>
</tr>
<tr>
<td>RSV</td>
<td>Returned voluntarily</td>
</tr>
<tr>
<td>RVN</td>
<td>Returned voluntarily. Paid by dealer.</td>
</tr>
<tr>
<td>RVP</td>
<td>Returned voluntarily. Proceeds applied to debt.</td>
</tr>
<tr>
<td>RVR</td>
<td>Returned voluntarily. Redeemed</td>
</tr>
<tr>
<td>STL</td>
<td>Plate stolen or lost.</td>
</tr>
<tr>
<td>WEP</td>
<td>Wage Earning Plan Account (Chapter XIII)</td>
</tr>
<tr>
<td>b6</td>
<td></td>
</tr>
<tr>
<td>b7C</td>
<td></td>
</tr>
</tbody>
</table>
Trans Union Credit Information Co.
New York Division
330 West 34th Street • New York, N.Y. 10001
212/239-2000

IF YOU OPEN AN ACCOUNT WITH THIS INDIVIDUAL, PLEASE COMPLETE THE FOLLOWING, DETACH, AND RETURN TO THE ABOVE ADDRESS.

<table>
<thead>
<tr>
<th>ECOA DESIGNATOR</th>
<th>SUBSCRIBER CODE NO.</th>
<th>HIGH CREDIT</th>
<th>TYPE ACCOUNT</th>
<th>TERMS</th>
<th>DATE OPENED</th>
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</table>

For (P) ZNY1116
IN FILE SINCE 4/77

RICH, MARC

CURRENT ADDRESS

230 PARK AV., NEW YORK NY. 10000

PRESENT EMPLOYER AND ADDRESS

MARC RICH & CO
230 PARK AV., NEW YORK NY.

BIRTH DATE

6/79

INCIDENT BASIS

PAYMENT PATTERN

FORMER ADDRESSES

FORMER EMPLOYER AND ADDRESS

SPouse'S EMPLOYER AND ADDRESS

SUBSCRIBER NAME

ACCOUNT NUMBER

SUBSCRIBER CODE

DATE OPENED

HIGH CREDIT

DATE CLOSED/VERIFIED

PRESENT STATUS

BALANCE DUE

AMOUNT PAST DUE

PAYMENT PATTERN

TYPE OF ACCOUNT & MOP

ACCOUNT LIMIT

TERMS

MAXIMUM DELINQUENCY

HISTORICAL STATUS

REMARKS

DATE AMOUNT MOP NO. OF MONTHS 30-59 60-89 90+
### ECOA Inquiry and Account Designators

<table>
<thead>
<tr>
<th>Designator</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>Individual account for sole use of applicant</td>
</tr>
<tr>
<td>P</td>
<td>Participant in shared account which cannot be distinguished as C or A</td>
</tr>
<tr>
<td>T</td>
<td>Relationship with account terminated</td>
</tr>
<tr>
<td>U</td>
<td>Undesignated</td>
</tr>
<tr>
<td>S</td>
<td>Co-signer, no spousal relationship</td>
</tr>
<tr>
<td>M</td>
<td>Co-signer primarily liable for account</td>
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</table>

### Remarks and FCBA Dispute Codes

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>RLDP</td>
<td>Repossession, Paid by dealer.</td>
</tr>
<tr>
<td>RLPP</td>
<td>Repossession, Proceeds applied to debt.</td>
</tr>
<tr>
<td>RLD</td>
<td>Repossession.</td>
</tr>
<tr>
<td>RPO</td>
<td>Repossession.</td>
</tr>
<tr>
<td>RRE</td>
<td>Repossession, redeemed.</td>
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<tr>
<td>RS</td>
<td>Dispute resolved.</td>
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<tr>
<td>RVD</td>
<td>Returned, Voluntary.</td>
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<tr>
<td>RWN</td>
<td>Returned, Voluntary. Paid by dealer.</td>
</tr>
<tr>
<td>RVR</td>
<td>Returned voluntarily.</td>
</tr>
<tr>
<td>STL</td>
<td>Plate stolen or lost.</td>
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<tr>
<td>WEP</td>
<td>Wage Earning Plan Account (Chapter XIII)</td>
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### TERMS OF SALE

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<tr>
<th>Code</th>
<th>Kind of Business</th>
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<tbody>
<tr>
<td>A</td>
<td>Agricultural</td>
</tr>
<tr>
<td>B</td>
<td>Automotive</td>
</tr>
<tr>
<td>C</td>
<td>Banks</td>
</tr>
<tr>
<td>D</td>
<td>Clothing</td>
</tr>
<tr>
<td>E</td>
<td>Department and Variety</td>
</tr>
<tr>
<td>F</td>
<td>Finance</td>
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<tr>
<td>G</td>
<td>Groceries</td>
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<tr>
<td>H</td>
<td>Home Furnishings</td>
</tr>
<tr>
<td>I</td>
<td>Insurance</td>
</tr>
<tr>
<td>J</td>
<td>Jewelry and Cameras</td>
</tr>
<tr>
<td>K</td>
<td>Contractors</td>
</tr>
<tr>
<td>L</td>
<td>Lumber, Building Material, Hardware</td>
</tr>
<tr>
<td>M</td>
<td>Medical and Related Health</td>
</tr>
<tr>
<td>N</td>
<td>National Credit Card</td>
</tr>
<tr>
<td>O</td>
<td>Oil and National Credit Card Companies</td>
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<tr>
<td>P</td>
<td>Personal Services Other Than Medical</td>
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<td>Q</td>
<td>Mail Order Houses</td>
</tr>
<tr>
<td>R</td>
<td>Real Estate and Public Accommodations</td>
</tr>
<tr>
<td>S</td>
<td>Sporting Goods</td>
</tr>
<tr>
<td>T</td>
<td>Farm and Garden Supplies</td>
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<td>U</td>
<td>Utilities and Fuel</td>
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<td>V</td>
<td>Government</td>
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<tr>
<td>W</td>
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<tr>
<td>Y</td>
<td>Collection Services</td>
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<tr>
<td>Z</td>
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### USUAL MANNER OF PAYMENT

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<th>Description</th>
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<td>00</td>
<td>Too new to rate, approved but not used or not rated</td>
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<tr>
<td>01</td>
<td>Pays (or paid) within 30 days of billing pays accounts as agreed</td>
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<tr>
<td>02</td>
<td>Pays (or paid) in more than 30 days but not more than 60 days, or not more than one payment past due</td>
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<tr>
<td>03</td>
<td>Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due</td>
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<td>04</td>
<td>Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due</td>
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<td>05</td>
<td>Pays (or paid) in 120 days or more</td>
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### PUBLIC RECORD TYPES

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<td>AN</td>
<td>Assignment</td>
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<td>Acquainted</td>
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<td>BD</td>
<td>Bankruptcy Dismissed</td>
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<tr>
<td>BR</td>
<td>Bankruptcy</td>
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<td>CD</td>
<td>Civil Judgment Disputed</td>
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<td>CH</td>
<td>Chattel Mortgage</td>
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<td>CJ</td>
<td>Civil Judgment</td>
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<td>CM</td>
<td>Change of Name</td>
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<td>CS</td>
<td>Civil Suit Filed</td>
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<tr>
<td>DB</td>
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<tr>
<td>DR</td>
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<tr>
<td>DE</td>
<td>Divorce and Mortgage</td>
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<td>DJ</td>
<td>Deficiency Judgment</td>
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<td>HS</td>
<td>Homestead</td>
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<td>JC</td>
<td>Judgment by Confession</td>
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<td>JD</td>
<td>Judgment by Default</td>
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<tr>
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<td>LP</td>
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<td>ML</td>
<td>Mechanics Lien</td>
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<tr>
<td>ND</td>
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### KINDS OF BUSINESS CLASSIFICATION

<table>
<thead>
<tr>
<th>Code</th>
<th>Kind of Business</th>
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<tbody>
<tr>
<td>01</td>
<td>Agricultural</td>
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<tr>
<td>02</td>
<td>Automotive</td>
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<td>03</td>
<td>Banks</td>
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<td>04</td>
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<td>09</td>
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<tr>
<td>10</td>
<td>Jewelry and Cameras</td>
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<tr>
<td>11</td>
<td>Contractors</td>
</tr>
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<td>12</td>
<td>Lumber, Building Material, Hardware</td>
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<td>13</td>
<td>Medical and Related Health</td>
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<td>14</td>
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<td>Oil and National Credit Card Companies</td>
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<td>Mail Order Houses</td>
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<td>Real Estate and Public Accommodations</td>
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<td>Wholesale</td>
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<td>24</td>
<td>Advertising</td>
</tr>
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<td>25</td>
<td>Collection Services</td>
</tr>
<tr>
<td>26</td>
<td>Real Estate, Mortgages and Insurance</td>
</tr>
</tbody>
</table>
To: **SPECIAL CLERKS**

**MARC RICH and CO., INC.**

**Address:**
- **Residence:** 280 PARK AVE, N.Y., N.Y. 10017
- **Business:**

**Date and place of marriage:**

**Race** | **Sex** | **Age** | **Height** | **Weight** | **Hair** | **Eyes**
---|---|---|---|---|---|---

**Birth date** | **Birthplace**

**Arrest Number** | **Fingerprint classification** | **Criminal specialty**

**Specific information desired:**

**Results of check:**

---

All information contained herein is unclassified. Date illegible by 08/10/75.

(CDU 01-081)

---

(Use reverse side if necessary)
FM NEW YORK (196B-1774) (P) (M-1)

TO: DIRECTOR (196B-2848) ROUTINE

BT

UNCLASS

MARC RICH, DBA MARC RICH AND COMPANY, ET AL, FBW-ENERGY RELATED,

OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981.

REFERENCED NEW YORK TELETYPE REQUESTED

NYO REQUESTS FBIHQ FORWARD ABOVE

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#1-NEW YORK
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ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 2/21/81 BY SPEC/183

(CDEU #01-081)

Approved:

Transmitted

Per

1
to:

J. R. L. A. S.

MARC RICH, BBA MARC RICH AND COMPANY, ET AL., FBP-ENERGY RELATED,
60 W NEW YORK.

RECEIVED BY THE BUREAU, DATED DECEMBER 11, 1981.

REMARKS: NEW YORK TELETYPE REQUESTED

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/81 BY SPOTERS
(CORRUPTION #01-081)
Who's Trying to Corner Tin?

Rumors Rife With Price Climbing

By COLIN CAMPBELL

Since last July, a shadowy group of speculators has spent as much as half a billion dollars buying up huge quantities of tin. Their evident attempt to corner the market has since raised the price of this normally plentiful metal more than 25 percent — to as much as $7.60 a pound in London yesterday — while the prices of other commodities have sagged under world recession.

Commodity traders have been startled by such high prices at this time. Governments, manufacturers and the governors of world exchanges have been investigating the scheme, pooh-poohing it, taking steps to undercut it, asking how much more the speculators can spend and, generally, filling the narrow world of big-time tin with the shrewd and wild gossip that attends every such manipulation.

On the floor of the London Metal Exchange, where much of the world's tin is traded, the prevailing rumor is that the Malaysian Government lies behind the gigantic purchases, which have amounted so far to 20,000 and perhaps even 30,000 tons of soft, shiny, useful, unglamorous tin.

Since Malaysia is the world's largest producer of tin, that country, its accusers say, has the most to gain from higher prices. The recent buying binge, moreover, began right after the world's tin-consuming nations killed a proposal last July to raise tin prices under the current International Tin Agreement.

No Threat to U.S.

The squeeze, which appears to be legal, is not considered threatening to any vital American interest. Roy Marson, chief tin salesman for the General Services Administration in Washington, which stockpiles dozens of strategic materials, said that the Government was spending up to $7.60 a pound, but that the Government stockpile stood at almost 200,000 tons. It has been estimated, he said, that only 42,000 tons would be needed in a war lasting three years.

No world supplies appear threatened; tin is mined in Bolivia, Indonesia, Thailand and elsewhere in addition to Malaysia. Although world production has been dropping from a normal 200,000 tons and more, most analysts expect the economic slump to produce an even bigger surplus this year than last.

Nor has the unexpected price rise yet devastated dealers caught on the wrong side of the market. The main reason, tin traders say, is that the London Metal Exchange last week eased its rules on how much a trader in tin futures would be permitted to lose when he must deliver tin when his contract to do so comes due at the end of this month.

Still, attempts to corner basic commodities are hardly common. There seems to be a plot afoot and there are certainly fortunes at stake. Memories of the Hunt brothers' attempt in 1980 to corner silver, and of another group to send up coffee prices have been revived together with the lessons of their failures. The jealousies of nations are...
with Stuart E. Eizenstat, a Carter Aide

... gated to say, “Yes, of course, we mind.” But that wasn’t the prime reason for our decision.
Who's Trying to Corner Tin?

Continued From First Business Page

also at work—of tin producers, in this case, versus industrialized consumers. Rumors of an OPEC-style tin cartel are being heard more and more.

"Buyers don't know what to do because traders don't know what to do," said an irritated trader in New York City who buys tin on the international market and sells it to manufacturers.

"It's costing us a hell of a lot of money," said a spokesman for United States Steel in Pittsburgh. Calling the squeeze "galling," he estimated that U.S. Steel alone bought 4,000 tons of tin a year, nearly all of it for tinplate.

"It's probably the "Malaysians," runs the private opinion of more than one tin expert in the United States Government—although a diplomatic official at the State Department insisted, "We have not seen anything that constitutes proof" that the Malaysian Government itself is involved.

Malaysia Denies It

Perhaps the buyers are "private individuals," said George Kam, who heads the Malaysian Tin Bureau in Washington. "Perhaps Malaysian," he said, "perhaps Indonesian, perhaps some Arabs, perhaps OPEC money—all that jazz. It's certainly not the Malaysian Government that's doing it."

High officials in Kuala Lumpur, the capital of Malaysia, have likewise denied that their Government is involved.

One curious rumor, an American official reported, is that someone wants to rionetize tin. But why anyone would choose a metal whose uses have been shrinking is an enigma, he said. "Consumption has been going down, not up," a New York tin dealer observed.

"Also there's a rumor that it's a West Coast American company," a broker in London said. Still another theory is that the buyers just want to close the London market.

"Whoever's doing this is playing with fire," warned the State Department official, who, like many others, chose to speak only privately about the issue.

Mr. Kam predicted: "Either A, they'll get burned, like the Hunt brothers in silver, or B—I don't know what."

'A One-Way Bet'

Most analysts predict that the scheme will collapse. "This thing can't stay up there for long," said a tin purchaser in industry. But no one is sure. "Up to a point," an American official noted, "the speculator has a one-way bet."

A key figure in the smelter of speculation is said to be a broker named Marc Rich. Mr. Rich, who made a fortune in oil during the early 1970s and whose Marc Rich & Company operates in Switzerland, New York and elsewhere, reportedly began proposing a world-scale plan to raise tin prices early last year.

A spokesman for his company in New York said yesterday that it had a policy against discussing business, and that Mr. Rich was "almost impossible" to reach.

According to The Economist, the respected London news weekly, Mr. Rich also sells tin in the United States for the world's largest tin producer, the Malaysian Mining Company—55 percent of which is owned by the Malaysian Government.

Three Malaysian directors of that company are reported to have set up a tin-buying company last June named Maminco, with a capital of $90 million. Where the money came from, or where much of tin-buying money has come from, is uncertain. The Economist has reported that the Government-owned Bank Bumiputra of Malaysia is intimately involved.

Abdul Rahim Ali, who is head of Maminco and also deputy chairman of the Malaysian Mining Company, has denied that the private company is connected with the Government company. But interlocking directorates and other coincidences have persuaded many tin traders in Penang—Malaysia's spot market for tin—and in London and elsewhere that the Government backs the squeeze, and the Kuala Lumpur stock exchange is said to be investigating.

The Heavy Buying Begins

After last July's failed attempt by tin-producing nations to raise the official floor under the metal, prices on the Penang market shot up more than 20 percent in 10 days; one American official said. Soon afterward, metals dealers in London noticed that traders from Maclaine Watson & Company Ltd. — part of the Drexel Burnham Lambert group — were paying premium prices for three-month tin futures.

"They started buying massively," a London metals trader said. "They bought and bought and bought. I don't know how much they bought before that in Penang."

In October a meeting of the International Tin Council, which regulates prices on behalf of most producing and consuming nations, raised the price, but only slightly. Then suddenly, a few weeks later, the buyers changed tactics.

On Nov. 28 they sold 10,000 tons worth of futures and bought 10,000 tons of metal. The switch depressed futures prices and sharply raised the price of physical tin. It made the metal cost-
Rumors Rife as the Price Climbs

In fact, futures contracts for tin — a phenomenon known in London as "backwardation," since the costs of inventories normally keep tin prices higher than the market. "Backwardation" was startling results. The speculators were able to sell some of their physical holding and buy up further contracts for future delivery. As for those who remained in the market in the hope that the speculation would collapse, some must now deliver physical tin on Feb. 25 and 28. Prices are exceptionally high, and much of the tin they will need is controlled by the shadowy buyers.

Some Nervous Traders

The market has thus remained tight and traders jumpy, and some relatively amateur speculators — in a tin market usually played by a few senior traders — are said to be in trouble. "The situation," one trader in London said, "has been getting steadily worse."

"Forward business," added a New York tin buyer, "has slowed down despite backwardation."

Citing "abnormalities" in the market, the London Metal Exchange announced last week that a "gentlemen's agreement" had been reached to insure that the market remained "orderly."

Traditionally, contractual default on the exchange has been penalized by what one trader called "terrible" fines and other sanctions. "Now," the trader said, sounding disappointed, "if you default on the tin market you're only going to be fined £120 per ton per day."

"I didn't think the London market would ever do that," the trader added, "but the contracts written last week had already escaped unscathed. Despite the rule change, he said, "The sparks will fly" in London late this month.

The United States has no domestic market in tin futures, but some Americans are obviously riveted on world developments.

Will the mystery buyers run out of money? It has been noted that they have been selling as well as buying tin, and an American official said that the price has not risen greatly since Jan. 22. The price has hovered, in fact, just below the point at which the manager of the International Tin Council's buffer stock must start selling tin to ease the price. This stockpile is small by American standards — only a few thousand tons — but analysts wonder if the buyers would rather not be forced to buy it.

From another office in Washington, Mr. Markon at the General Services Administration, when asked if he planned to flood the market with the 22,000 tons of tin that Congress has authorized him to sell, said with a laugh, "I'm a salesman!" He added, "We've made $125 million since we started selling a year and a half ago. His earnings have come from the sale of more than 8,000 tons, most of it offered since the buying spree began. The tin is now being offered to foreign as well as domestic buyers, a power granted by Congress in December.

If the tin were sold at a profit, the General Services Administration could also use up the surplus tin and sell it to the American market. "The tin buyers will get it," the official said, "or they'll buy futures."

The tin market is a complex one and one trader said, "It's like a lottery."

Critics also noted that although tin consumption is rising in both Communist and developing countries, important substitutes have been found in the industrialized West, which uses three-quarters of the world's tin and devotes most of that to tinplate and solder.

If, they say, the mystery buyer is rich enough to purchase the 22,000 tons of tin that Congress has already authorized, and if the buyer could afford to buy the International Tin Council's much smaller buffer stock, and if the buyer could also pick up the world's surplus production to keep that source tight — then (assuming some other interest, such as the London Metal Exchange, may be looking for tin) the tin market may somehow dispose of its hoard at a discount before Congress can authorize the sale of more tin.

The General Services Administration officials note, a complexity of interests has kept Congress from acting swiftly on stockpiled commodities.

In any case, one Government official warned, if recent history repeats itself even huge sales might not lower prices greatly. During the rapid rise in commodity prices around the time of the oil price increases of 1973-74, the General Services Administration sold nearly 40,000 tons of tin over two years. Yet world tin prices did not collapse. If something similar happens this time, the sale of tin by the producing nations could make a killing on the heels of the mystery buyer.

If present prices drop, and if the next International Tin Agreement fails to raise the floor, tin producers are widely expected to revive an old idea of forming a cartel.

The possibility of a suspension of tin sales and a价格 drop from tin consumers and American officials.

"I think the Malaysians are talking a little too strongly now," said a major tin producer and seller of tin in New York City. "I don't know what they think they are. Tin is not oil."

He meant that the commodity was not as irreplaceable as oil. Others, however, have noted that tin production is concentrated in a handful of countries, and that three-quarters of the non-Communist world's tin comes from Malaysia, Indonesia, and Pakistan — all members of the Association of Southeast Asian Nations.

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telephone number was contacted at his place of employment.

He was informed of the official identities of the interviewing Agents. SA served Mr. a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to The subpoena commands an U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.
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Air tel

2/11/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P)

SUBJECT: MARC RICH, dba MARC RICH AND COMPANY;

FEW - ENERGY RELATED (CO: NY)

ReButeleype to NY, dated 11/2/81.

Enclosed for Houston are the originals and one copy each of two subpoenas.

For Info of Houston, AUSA, SDNY has indicated he intends to initiate Grand Jury segment of captioned investigation.

LEAD

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

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DATE 2/11/81 BY APPLICANTS

2 - Houston (Encls. 4)
1 - New York

GJL: mpc

60 - Supv. M-1

12/2/81-1224-27
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company;

FBW - ENERGY RELATED
00: NEW YORK

Re New York airtel to Houston, 2/11/82.

Enclosed for New York are two original, executed Grand Jury subpoenas, directed to __________________________. Also enclosed for New York is the original and one copy each of two FD-302s regarding __________________________.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/14/81 BY ESRS
(CDEU #01-081)

1 - New York (Enc. 6) ex
2 - Houston
DAS/dls (3) Exited original subpoena returned

196B-1774-30

Approved: __________________________
Transmitted (Number) (Time) _______ 002
3/1/82

TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba Marc Rich and Company;
NEW - ENERGY RELATED
00: NEW YORK

Re New York airtel to Houston, 2/11/82.

Enclosed for New York are two original executed Grand
Jury subpoenas directed to ____________________________
Also enclosed for New York is the original and one copy each of two FD-302s regarding

2 - New York (Enc. 6)
1 - Houston
DAS/dls (3)

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/1/82 BY [REDACTED]
(CDEU #01-081)

[Handwritten note: 1982-1774-3? ; M.P.3/69]
Hiram Walker's Unhappy Morning After

by ALEXANDER STUART

Stocks, bonds, pork bellies, or oil—every market has its peak, and it is inevitably the fate of some hapless company to buy in at the top. The latest example of this hoary principle of commerce surfaced in early February: Hiram Walker Resources Ltd., a Canadian outfit best known for its whisky, liqueurs, and other distilled spirits, announced that its 1981 purchase of $630 million of U.S. oil and gas properties from Denver oilman Marvin Davis had gone sour.

Just how sour will not be known for several months. But largely because it plunged hastily into a superheated market for hydrocarbons, misjudged future oil prices, and stumbled over the tricky task of estimating oil and gas reserves, Hiram Walker reported a possible charge against its 1982 earnings of roughly $145 million after taxes. (All figures are in U.S. dollars.) The debacle is sure to send a chill through Texas. At the time, Hiram Walker, who thought by ALEXANDER STUART

 estimated oil and gas reserves acquired from Davis Oil, said Wilder, and the numbers suggested that proved reserves were "somewhat less" than originally anticipated—20% to 25% less, in fact. Moreover, he said, unproved reserves now seemed "significantly less" than Hiram Walker had thought. "Significantly" is presumably a lot worse than "somewhat." The company and the independent engineer are still thrashing out the final figures, but that process could take months. Hiram Walker's board decided, reasonably enough, to go public with the bad news before then.

When the story broke in the papers, tensions mounted between buyer and seller, fueled by some press accounts hinting that Davis might have inflated his reserve estimates. Actually, the oilman had provided no estimates to Hiram Walker—only raw data such as electronic well logs, production records, and evidence of Davis's net
interest in each of the wells. "Why should Marvin have given reserve estimates?" asks a source close to the proceedings. "It would only have put a lid on what he could have received." Nevertheless, Davis Oil was free in giving advice to Hiram Walker on how to interpret the data, and Wilder was quoted in the Wall Street Journal after the annual meeting as saying: "We'll know in about a month if we have a case for misrepresentation."

Davis was "livid" at the quoted remark, says someone who knows him well, adding: "They lost their ass because they bid too high. Now they want someone to share the heat with them." Through Edward Bennett Williams, a friend and personal attorney, Davis issued an ultimatum to the company demanding a retraction. But according to Williams, Wilder now claims he never said any such thing.

The profits that vanished

The write-down of the Davis properties is a painful blow. Hiram Walker Resources came into being ten months ago, the result of mergers between Hiram Walker-Gooderham & Worts, the liquor company, Home Oil, and the Consumers' Gas Co., a large Ontario gas utility. In the fiscal year that ended last September 30, Hiram Walker earned $208 million on sales of $2.4 billion. Most analysts project either no earnings or a loss this year. And in the near future, at least, profits of the kind once envisioned are going to be harder to find than those buried cases of Canadian Club that Hiram Walker used to feature in advertisements. The blame lies almost entirely in the troubles of its U.S. oil and gas business. "The most pressing problem facing the company" is the way Wilder described that acquisition at the annual meeting.

The write-down is also an obvious embarrassment to Hiram Walker, tarnishing the stately escutcheon that adorns the cover of its annual report, to say nothing of the company's image in the Canadian investment community. In the past six months the company has lost almost $1.2 billion in market value, as the stock dropped from a high of about $30 per share to a recent price of around $12. The Davis acquisition was the first test for this newly formed company, and all along there's been disagreement about the values there," says Robert Munsie, an analyst with Wood Gundy Ltd., a Toronto investment house. "We went on good faith that this thing would work out."

Indeed, a lot of people's faith in the oil industry may be fraying at the edges just now. Soaring interest rates and higher operating costs are putting a crimp in cash flows. Drilling has slowed. And the unthinkable has happened: oil prices have actually declined. Not long ago, price increases were thought to be as certain as death, taxes, and budget deficits. Partly for that reason, companies like Shell and Sun each spent billions of dollars to purchase reserves (or, like Mobil, have tried to spend billions). Newcomers to the business—most notably Du Pont and U.S. Steel—bought multibillion-dollar chunks of the oil patch on the floor of the stock exchanges. The news flash from Toronto raised the possibility that companies who bought last year may have drastically overpaid. Could Hiram Walker's experience be a harbinger of things to come?

Probably not, most experts contend—though the debate is by no means resolved. Hiram Walker's gallling experience with the Davis properties is a sharp reminder that estimating oil and gas reserves is a fiendishly inexact mixture of art and science. After all, the precise amount of hydrocarbons a field will ultimately produce is unknowable until the last well has been plugged and abandoned.

Early in the life of a field, petroleum engineers who specialize in calculating the size of reserves can be off by as much as 200% to 300%. The margin of error generally shrinks to perhaps 10% to 15% after a field has been producing for a few years—as were the properties Hiram Walker acquired. As the flow of oil tails off, engineers can plot so-called decline curves that project a field's performance, based on past production.

The data are reevaluated annually. If a change is necessary, and one almost always is, it shows up in the "revisions" section of the reserve reports required by the Securities and Exchange Commission from all public oil companies. The adjustments can be of Hiram Walker proportions, or worse. But in an Arthur Andersen & Co. survey of 160 oil companies in 1980, 83% reported revisions amounting to 10% or less of the reserves on the books at the beginning of the year.

The perils of the unproved

The reports to the SEC disclose information only about proved reserves, generally defined as those economic to recover at current prices with existing technology. An oil reservoir might contain 100 million barrels. But only, say, 30 million barrels might ultimately be recoverable given the prices and technologies current at the time of estimating. Of course, nothing stops a company like Hiram Walker from assuming higher future prices when it decides how much to pay for a field.

Unproved reserves—what an oilman thinks he has, but can't prove to the SEC's satisfaction—are typically the subject of painstaking scrutiny by companies intent upon acquiring them. Petroleum engineers usually divide the category into probable, possible, and potential reserves. Probable reserves are often located near existing production, but have either not flowed oil or gas, or are in geologic formations where hydrocarbons have not been conclusively demonstrated. Probable reserves can also
be those that a company hopes to extract with enhanced recovery techniques. A savvy Houston engineering consultant defines possible reserves thusly: "If it's not impossible, then it's possible." Potential reserves have the greatest likelihood of being moose pasture.

The value of all three categories lies solely in the eyes of the beholder. "No two engineers will totally agree on them," says Walter Wright, vice president of the Bank of Montreal's petroleum department. But placing a dollar value on proved or unproved reserves is a painstaking, time-consuming process based, among other factors, on subjective judgments about future production levels, inflation, and escalations in price and operating costs. To arrive at a net present-value figure for all future production revenues, each category of proved and unproved reserves is adjusted downward by different percentages to reflect the risk that some of the reserves purchased might never materialize. There's no hard and fast rule, but depending on market conditions, proved reserves are usually multiplied by 70% to 80%; probable reserves by 30% to 60%; and possible reserves by 5% to 25%.

When Marvin Davis and Hiram Walker began talking in the fall of 1980, the market for oil and gas reserves had never been hotter. "People were hysterical to buy," recalls Dwight Moorhead, vice chairman of Petro-Lewis, a Denver outfit that has purchased over $2 billion of properties since 1970. The war between Iraq and Iran had just broken out, and as Moorhead puts it: "Things got wild, fast, and loose. Everybody thought oil prices would keep increasing at 15% to 20% a year, that you couldn't make a mistake. People thought the only mistake was in not buying."

Hiram Walker had been searching anyway for a major diversification from the slow-growth liquor business, which even after the mergers with Consumers' Gas and Home Oil represented almost 60% of operating income in 1980. Energy seemed the best bet, particularly in the U.S., where oil taxes are far lower than in Canada.

It took just three to four weeks of fierce activity for Home Oil staffers to work up production and reserve forecasts. Rivals in the competition included Dome Petroleum, Shell Oil of Houston, and, by several informed accounts, Mobil, although Mobil denies bidding. Morgan Stanley, Hiram Walker's investment banker, handled much of the number crunching required to determine a final offer.

Hiram Walker's $630-million offer, which Davis informally accepted in mid-December, was by far the most cash rich of all the bids. The company won't comment, but the risk of borrowing heavily undoubtedly seemed a small price to pay to gain access to the U.S. market. "It came down to corporate strategy," says a source close to the bid formulation. "And that was a board decision."

The price of romance

What went wrong? Almost everything. Hiram Walker did not have much time to evaluate the properties, and optimism clearly colored the estimates. For example, the company paid a lot for what oilmen call the romance in the Davis holdings—the unproved reserves whose value is now in dispute. In fact, over 40% of the purchase price is carried on Hiram Walker's books as the cost of "unproved properties" in the U.S. Some of that romance may yet bloom, but the acreage must first

continued
be drilled. Hiram Walker's development-drilling program has got off to a slow start because the company had to spend months clearing the titles to many of the leases.

Mainly Hiram Walker guessed wrong on oil prices. Frank Ternan, the company's spokesman, says that the "drop in the price component was a substantial factor in our decision" to write down the properties—which suggests that Hiram Walker had been bullish indeed on prices.

In the past, oil-price hikes almost certainly bailed reserve buyers out of potentially bad deals. Hiram Walker lost that cushion when the market turned, and some other companies are doubtless facing uncomfortable reappraisals of their own. Yet a wave of drastic write-downs seems unlikely, barring a major collapse of oil prices. Unlike other acquirers, Hiram Walker bought the greatest part of its U.S. reserves at peak prices. An unusually high proportion of its reserves, therefore, have now dropped in value far below their acquisition costs.

Hooting in the oil patch

The upshot of Hiram Walker's unhappy experience in the States, President Wilder told shareholders, will be "substantial" cuts in U.S. oil and gas expenditures. The company needs all the cash flow it can squeeze from existing production to reduce its whopping debt burden. To finance the Davis transaction, Hiram Walker took to the capital markets, and its long-term debt exploded to the equivalent of 95% of its equity last year, up from a relatively modest 42% in 1980.

Sympathy for the company's plight was not much in evidence in the days following the annual meeting. The consensus among oilmen, security analysts, and consultants: wily Marvin Davis out-traded Hiram Walker, which in hindsight paid far too rich a price. "They dug their own grave," smiles one oilman. But while the old hands in the oil patch hoot, the chuckles of some of the newcomers may have a nervous edge as they review the investments they once thought risk-free.
Memorandum

To: ADIC, NY (196-1774)(P)  Date 3/10/82

From: SA

Subject: MARC RICH E-AL FBW - ENERGY RELATED O0: NY

On 3/9/82, subpoenas were served on

for

The originals were served. Executed copies returned to AUSA SDNY on 3/10/82.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED

DATE 2/31/81 BY (READ) (CDRUL 01-081)
I. Date Investigation Began: 10/21/81
II. Type of Investigation: GCI
III. Date of FBIHQ/Departmental Approval: N/A
IV. Date Investigative Authority Expires: N/A
V. Basis of Investigation: provided information that
VI. Major Objectives: 1) Identify all participants in scheme that are both actively and passively involved.
VII.   Prosecution Opinion: AUSA SDNY has been actively involved in investigative effort to date. He has...

VIII.   Milestones Completed: 1) DOJ Tax Division approval for Grand Jury, 2/22/82.  
2) Identification of passive participation 2/25/82.

IX.     Milestones Anticipated: 1) 
2) 
3) Obtain 
4) Complete type review of 

X.   Unusual Investigative Techniques Employed/Anticipated: None.

XI.   Manpower Anticipated: One Special Agent Accountant full time.

XII. Accomplishments: None.
Crude Maneuvers

Controls on Oil Prices, Though Ended, Leave A Residue of Charges

Some Say Firms Evaded Law To Ring Up Huge Profits And Are Going Scot-Free

Vague Rules Impede Cases

By JOHN R. EMCHWILLER
And ANDY PASZTOR
Staff Reporters of THE WALL STREET JOURNAL

Until early last year, a disappearing act that might have daunted a horde of law enforcers took place every day in the U.S.

The magicians may have included major oil companies as well as obscure entrepreneurs, some of whom are said to have become overnight millionaires.

This particular piece of legal maneuver involved hundreds of millions of dollars of oil and may have cost consumers billions of dollars. Some investigators call it the biggest black-market operation in history. And it may turn out to be the biggest in the history of the law enforcement in the U.S.

Nevertheless, the Reagan administration is making sharp budget cutbacks that critics contend are undermining enforcement efforts.

The tale begins with the 1973 oil embargo. To cushion consumers from skyrocketing oil prices, the government imposed elaborate controls on domestic crude oil. Essentially, it set up different price classifications for oil, depending on the location and type of the crude oil.

As world oil prices rose, the lowest-price "old" oil was almost a barrel below the categories at the world prices.

Though Ended, Leave A Residue of Charges

Some Say Firms Evaded Law To Ring Up Huge Profits And Are Going Scot-Free

Vague Rules Impede Cases

The Enforcement Team

Until President Reagan abolished oil-price controls in January 1981, the job of registering that oil sold at its legal price was entrusted to a large enforcement team at the Department of Energy. At one point, DOE had some 1,300 auditors, lawyers and other staffers keeping tabs on everybody from Exxon Corp. to corner service stations.

But according to records at DOE and elsewhere, some 300 million to 400 million barrels of lower-priced oil produced in the U.S. during the eight years of price controls never reached refineries. Meanwhile, a large amount of uncontrolled crude not shown on production records was refluxed.

The authorities still haven't figured out what happened. Although DOE's records show that it knew about the problem of disappearing "old" oil more than four years ago, officials haven't made a comprehensive effort to track it down.

"I can't really explain why the oil disappeared," says Rayburn Hanslik, who since October has headed DOE's faltering investigation into price-control violations.

Such answers don't satisfy everyone.

"Very little of what went on during the price-control period has been brought to justice," says Rep. John Dingell, the Michigan Democrat, long a critic of price-control enforcement, who has scheduled a hearing today to examine whether DOE is pushing its investigation hard enough.

Two Theories

Two major theories exist about what happened to all that old oil: One involves breaking the law; the other, at least the spirit of the law.

The latter theory was laid out forcefully in a lawsuit filed last April in a Syracuse, N.Y., federal court by Mobil Corp. against DOE. In the suit, which challenges some aspects of the agency's enforcement efforts, the nation's second-largest oil company calculates that up to nearly one million barrels a day of lower-priced crude—about 30% of the production of such oil—was disappearing prior to decontrol.

Mobil argues that some refineries took advantage of loopholes in the regulations to withhold reports of their purchases of old oil. These refineries, Mobil says, simply held that oil in inventory until decontrol, when its value rose more than was needed. While holding back the price-controlled oil, these refineries were drawing down stocks of more expensive classifications of crude, Mobil says, thus accounting for the seemingly magical appearance of large amounts of uncontrolled oil.

Refiners that didn't play such a game—and Mobil says it didn't—would be put at a disadvantage. That's because the government, trying to equalize the costs of crude among companies, required refiners that processed large amounts of low-cost oil to make periodic payments to refiners that processed mostly expensive oil. If large amounts of lower-cost oil weren't reported, the payment calculations would be badly skewed.

DOE'S Response

In its suit, Mobil calculates that the amount of lower-cost oil that disappeared raised crude-oil costs by $5.6 billion.

Responding to the suit, DOE concedes that it doesn't know whether such manipulation went on. But it asserts that Mobil doesn't know, either. Mobil's arguments are based entirely on "speculative, hypothetical possibilities" and fail to take into account legitimate business transactions that could explain some of the apparent discrepancies, DOE's filings contend.

Whatever the outcome of the case, the dispute may never be fully resolved. Although DOE lawyers believe that any such inventory manipulation would have been illegal, the department admits that it never audited refiner inventories. And at the pressing officials say they have neither the time nor the resources to try to track down such manipulations now. "It would be a nightmare of a task," one department attorney says.

The other theory about the disappearance of oil—that the law was simply broken—involves a shadowy underworld of the oil industry that sprang up like a mushroom during the price-control period.
Crude Maneuvers, Oil-Price Controls, Though Ended, Leave a Residue of Charges That Firms Evaded Law

Continued From First Page

In the biggest such court case to date, a federal grand jury last October indicted Robert E. Sutton of Tulsa, Okla., a former pesto-dealer-salesman who became a major oil refiner, on charges of falsifying certificates to obtain millions of barrels of oil. The firm has denied the charges. His trial is set to begin tomorrow in Tulsa.

In addition, DOE has charged more than 60 resellers with civil violations totaling $53 million. So far, $22 million has been collected. Of the cases still open, 24 have involved investigations for possible criminal wrongdoing. DOE says, however, these totals may leave out "many incidents of mis-certification that haven't yet been and may never be detected," DOE says in papers filed in the Moll suit.

Majors' Role

Underlying the suspicions of both inspectors and the industry is the fear that some firms, in their dealings with the government, may have manipulated inventories. If so, it might be said, the majors' role was merely to lend support to the government's investigation.

As for mis-certification, some DOE investigators say that the majors had a large role. These officials say DOE audits show that many major refiners regularly gave an incorrect price to DOE on a handful of criminal investigations.

Preparations of rules by DOE made it difficult to develop criminal cases against the majors.

In addition, DOE apparently didn't fully use some of its own detection devices. Beginning in 1979, resellers were required to submit monthly reports calculating any overcharges to their customers; under the rules, such overcharges should have been later refunded. But although these reports have been used in some audits, DOE never systematically checked to determine the total amount of overcharges or whether refunds were made. Some DOE investigators estimate these reported overcharges at several hundred million dollars.

Even in successful prosecutions, the penalties imposed may not have been tough enough. In one Houston case, Alfred Alkek, one of the dozen resellers, reportedly pleaded guilty to a criminal information charge that he had concealed his knowledge of some alleged crude-oil mis-certifications. He was not on three years probation and fined $22 million. Mr. Canales, the former U.S. Attorney, says he recalls that Mr. Alkek calmly pulled out his checkbook and offered to pay the refund on the spot. "He assured me the check would be good since he owned the bank," Mr. Canales says. (Mr. Alkek couldn't be reached for comment."

Signs of Discouragement

All in all, the experience of the past few years seems to have blunted the enthusiasm of some law-enforcement officers. Michael Brown, an Assistant U.S. Attorney who has handled some of the oil cases out of Houston, has grown increasingly disillusioned with the effort clear from the few prosecutions. Although he says he has not lost faith in the system, he also says he has lost faith in the system.

Reagan administration critics maintain that this is just what DOE wants. They note that the enforcement staff has already been slashed about 60% and that in fiscal 1983 the administration is seeking to reduce it to onefifth its size under President Carter. "Unless the current course is reversed, dozens of other suits are going to be sent down the drain," says Rep. Albert Gore Jr., a Tennessee Democrat.

Even by the administration's own standards, enforcement has been lagging. DOE's Mr. Hanslik confesses the department won't complete audit work on resellers by September as previously planned. So, despite DOE's plans to complete only a handful of proposed civil settlements concerning resellers.

"But Mr. Hanslik, bristling at charges he is soft on oil companies, notes the Carter administration didn't put any proposed settlement agreements. He terms court cutoffs as "doctoring cases he says, "but I say all cases will be fully pursued anyway."

"There won't be any amnesty," he says.

Forest Oil Discovers Gas

DENVER—Forest Oil Corp. says it found a promising oil discovery in a lease it acquired from Shell Oil Co. Forest Oil Corp. is a subsidiary of Forest Oil Corp.
TO: SAC, HOUSTON (196B-881)
FROM: ADIC, NEW YORK (196B-1774) (P)

SUBJECT: MARC RICH,
dba MARC RICH AND COMPANY,
ET AL
FBW-ENERGY RELATED
CO: NY

Re: HO airtel to NY, 3/1/82.

Enclosed for HO are the original and one copy each of five subpoenas. Enclosed for Kansas City is the original and one copy of a subpoena.

For information of Kansas City, investigation at NY has determined that referenced energy related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will kindly serve enclosed subpoenas.

KANSAS CITY

AT WICHITA, KANSAS. Will kindly serve enclosed subpoena.

ALL INFORMATION CONTAINED HEREBY IS UNCLASSIFIED

DATE 2/1/01 BY M.D. C.A.S.
(CM11 #01-081)
TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
00 NY

Re New York airtel to Houston, dated 5/5/82, and Wichita, Kansas RA telephone call to AUSA Southern District of New York, on 5/14/82.

Enclosed for Denver is the original and one copy of a subpoena.

For information of New York and Denver,

No records available at

On 5/14/82, telephonically advised that the proper person for whom the subpoena should be served is


On the same date AUSA supra was telephonically contacted by SA Linus C. Issinghoff, AUSA advised the subpoena should be forwarded to Denver and served on

2-New York
2-Denver (Encl 2)
1-Kansas City
LCI: djm
(5)

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 5/14/82
196B-1774-25

FILE
WYOR/PO:
MAY 20 1982

(CDEU #01-08)
KC 1068-902

stated he would advise New York Case Agent and also would telephonically make contact with Mr. in regard to the subpoena.

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.
TO:  ADIC, NEW YORK (196B-1774)

FROM:  SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
dba Marc Rich and Company,
ET AL.
PBW-ENERGY RELATED
00 NY

Re New York airtel to Houston, dated 5/5/82, and
Wichita, Kansas RA telephone call to AUSA Southern District of New York, on 5/14/82.

Enclosed for Denver is the original and one copy of a subpoena.

For information of New York and Denver,

No records available at Wichita, Kansas.

On 5/14/82, telephonically advised that the proper person for whom the subpoena should be served is

On the same date AUSA supra, was telephonically contacted by SA Linus C. Issinghoff, Wichita, Kansas PA. AUSA advised the subpoena should be forwarded to Denver and served on AUSA.

(2) New York
2-Denver (Encl 2)
1-Kansas City
LCl: djm

All information contained herein is unclassified.

Approved: ____________  Transmitted (Number) (Time)  Per

FBI-NEW YORK
KC 196B-902

stated he would advise New York Case Agent [ ] and also would telephonically make contact with Mr. [ ] in regard to the subpoena.

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(00:NY)

ReNYairstel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the subpoenas duces tecum (five) which were served on located in as requested by New York.

This case is considered RUC.

Approved: 
Transmitted Per 

Date 5/12/82
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba Marc Rich and Company, ET AL
FBW - ENERGY RELATED (00:NY)

Re: NY Airtel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the subpoenas duces tecum (five) which were served on [redacted] located in [redacted] as requested by New York.

This case is considered RUC.

ALL INFORMATION CONTAINED HEREIN IS ClassIFIED
DATE 2/21/01 BY ATSC/ED
(CDRU #01-081)

- New York (Enc. 5)
1 - Houston

MMW: #1k
(3)
INSTRUCTIONS

1. This form may be submitted in legible handwriting.
2. Use separate form for each individual on whom record is requested.
3. Make effort to furnish FBI identification number, law enforcement identification number, or military service number.
4. Furnish descriptive data and fingerprint classification only when FBI number not available.
5. Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.
6. Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.
7. Do not fill in block in lower left corner.

To: ASSISTANT DIRECTOR, IDENTIFICATION DIVISION
Re: MARC RICH

Furnish The Known Identification Record of the Following:

| Name     | MARC RICH |
|          | FBI No. 0 |
| Address  | 5725 6th Ave, Brooklyn |
| Race     | M |
| Birth Date | 12/18/34 |
| Birthplace | — |
| Residence | NY, NY |
| Height   | 5'9" |
| Weight   | — |
| Build    | — |
| Hair     | Brown |
| Eyes     | Brown |
| Complexion | — |
| Age      | 47 |

Fingerprint Classification

| Scars, marks and tattoos |

Please Search: [ ] Criminal Files [X] Civil Files
Also Furnish: [ ] Photo [ ] Fingerprint [ ] Handwriting Specimens

Identification Division's Reply

[ ] On basis of information furnished, unable to identify:
[ ] Criminal Files [ ] Civil Files
[ ] Record Attached [ ] Photo Attached [ ] Photo Not Available [ ] Fingerprint Attached [ ] Handwriting Specimen Attached

Return Reply to:
SAC, NEW YORK (196-1774)
Attn: SA (Sq M-1)

Send Copies To:
196-1774-3166
M-1 JUN 8 1982

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE: 5/20/82 BY SPECIES
(CDCU #01-081)
INSTRUCTIONS

1. This form may be submitted in legible handwriting.
2. Use separate form for each individual on whom record is requested.
3. Make effort to furnish FBI identification number, law enforcement identification number, or military service number.
4. Furnish descriptive data and fingerprint classification only when FBI number not available.
5. Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.
6. Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.
7. Do not fill in block in lower left corner.

ASSISTANT DIRECTOR, IDENTIFICATION DIVISION

Field File No. 196-1774

Furnish the Known Identification Record of the Following:

<table>
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<td>Age</td>
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</tr>
</tbody>
</table>

Fingerprint Classification

Scars, marks and tattoos

Please Search:

- Criminal Files
- Civil Files

Also Furnish:

- Photo
- Fingerprints
- Handwriting Specimens

Identification Division's Reply 6/1/82

Return Reply to:

VSAC, New York (196-1774)

Send Copies To:

ATTN: SA

All information contained herein is unclassified.

Date: 2/21/81

By: SPSNC/1DS

(CDR#: 01-091)
TO:  ADIC, NEW YORK (196B-1774)

FROM:  RUC, DENVER (196B-1142) (RUC)

SUBJECT:  MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO:  NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena served on

1982.

Unless further investigation is required this matter is considered RUC.

ORIGINAL

received AUSA 6/8/82

1 - New York (1 Encl.) OK
1 - Denver
RKW: mh
(3)

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/11/81 BY ESTRADA (CDEA #01-087)

196B-1774-32

INDEXED FILED +
JUL 1, 1982
TO:  ADIC, NEW YORK (196B-1774)
FROM:  SAC, DENVER (196B-1142) (RUC)
SUBJECT:  MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
CO:  NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena served on

on May 19, 1982.

Unless further investigation is required this matter is considered RUC.
Memorandum

To: ADIC, NY (196-1774) (P)
From: SA

Subject: MARC RICH
DOB: 12/18/34
SSN: 496-34-3075

Date 5/21/82

For indexing purposes:

1. Name: MARC RICH
   Sex: Male
   Race: White
   HT: 5'11"
   DOB: 12/18/34
   SSN: 496-34-3075

2. Name: PINCUS GREEN
   Sex: Male
   Race: White
   HT: 5'10"

ALL INFORMATION CONTAINED HEREIN IS DECLASSIFIED DATE 2/21/81 BY PERIOD
(CDRN #01-081)
Memorandum

To: ADIC, NY (166-1774) (D)

From: SA

Subject: MARC RICH

Date: 5/21/52

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/1/61 BY SPECIAL DNS
(CDRU #01-081)

DMV results re: RICH GREEN
New York appeared at the United States Attorney's Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, to provide handwriting exemplars. He then furnished ten 3"x5" cards with his name in script. He then furnished three handwritten copies of three sheets of paper entitled September thru December, 1980.

The following descriptive data was obtained by interview and observation:

- **Sex:** Male
- **Race:** White
- **Hair:**
- **Date of Birth:**
- **Business Address:**

After supplying the handwriting exemplars, his attorney proceeded to the New York office where and was photographed.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.


Date dictated 6/7/82.
New York, appeared at the United States Attorney’s Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, to provide handwriting exemplars. He furnished ten 3”x5” cards with his name in script. He then furnished three handwritten copies of three sheets of paper entitled September thru December, 1980.

The following descriptive data was obtained by interview and observation:

Sex: Male
Race: White
Hair:
Date of Birth: ____________
Business Address: __________

After supplying the handwriting exemplars, and his attorney proceeded to the New York office where and was photographed.

ALL INFORMATION CONTAINED HEREBI IS UCLASSIFIED
DATE 6/21/82 BY SPEC/ FDS
(CDRU #01-881)
FEDERAL BUREAU OF INVESTIGATION

Date of transcription: 6/29/82

provided the following information:

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 3/24/81 BY SPARACI
(EDEN#01-081)

Telephonic investigation on 6/29/82 New York, New York
by SA 196-1774-41

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is staged to your agency; it and its contents are not to be distributed outside your agency.
"GRAND JURY MATERIAL - DISCLOSED ONLY
PURSUANT TO RULE 6 (E), F.D.R.C.R. CIRCUIT"
I would test the U.S. for what is shown as input.
I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.

VII. Prosecutive Opinion:

AUSA [Name], SDNY, has been actively involved in investigative effort to date. He has [Added information]

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 9/21/81 BY APOST/EDS
CDRM #01-081

JUL 15 1982
FBI-NEW YORK
VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, Inc., 2/25/82.

3) Affidavits in support of

4) Continued

IX. Milestones Anticipated:

3) Obtain

Subject to Judge's decision on

4) Complete type review of

X. Unusual Investigative Techniques Employed/Anticipated:

None.

XI. Manpower Anticipated:


XII. Accomplishments:

None.
TO: SAC, HOUSTON (196B-861)
FROM: ADIC; NEW YORK (196B-1774) (F) (M-1)

SUBJECT: MARC RICH
dba Marc Rich
and Company,
ET AL
FBI - ENERGY RELATED
(CO; NY)

Re: Gailtel to New York, 5/19/82.

Enclosed for Houston are the original and one copy each of two subpoenas. Enclosed for Jacksonville is the original and one copy of a subpoena.

For information of Jacksonville, investigation at New York has determined that referenced energy-related fraud may exceed $25 million. USA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

2 - Houston (Encls. 4)
2 - Jacksonville (Encls. 2)
1 - New York

1 - Supv. M-1

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 3/14/82 BY 24 HRS.
(CDRM #61-081)
NYfile 196B-1774

JACKSONVILLE

AT JACKSONVILLE, FLORIDA, will serve enclosed subpoena.
TO: ADIC, NEW YORK (196B-1774) (M-1)  
FROM: SAC, JACKSONVILLE (196B-748) (RUC)  
SUBJECT: MARC RICH  
dba Marc Rich and Company,  
ET AL  
FBW - ENERGY RELATED  
OO: NEW YORK  

ReNYairtel to Houston, 8-13-82.  

Enclosed for New York, is an executed Federal Grand Jury subpoena for the Southern District of New York, dated 8-9-82, which was served on 8-17-82.  

Inasmuch as there is no further investigation for Jacksonville Division, this matter is being placed in an RUC status.

Approved:  
Transmitted
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, JACKSONVILLE (196B-748) (RUC)
SUBJECT: MARC RICH
dba Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
CO: NEW YORK

ReNYairtel to Houston, 8-13-82.

Enclosed for New York, is an executed Federal Grand Jury subpoena for the Southern District of New York, dated 8-9-82, which was served on 8-17-82.

Inasmuch as there is no further investigation for Jacksonville Division, this matter is being placed in an RUC status.

ALL INFORMATION CONTAINED HERIN IS CONFIDENTIAL
DATE 3/31/81 BY: [Signature]
(CDEU #01-081)

- New York (Enc. - 1)
- Jacksonville
JS/1kr
(3)
TO: SAC, LOS ANGELES

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH
dba Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(CO:NY)

Enclosed for Los Angeles is the original and one copy of a subpoena.

For information of Los Angeles, investigation at New York has determined that referenced energy-related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEAD

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

2 - Los Angeles (Encls. 2)
2 - New York

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

Exhibit 1

1. Telephone was furnished a copy of a subpoena duces tecum commanding that any

Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on at 

It commands

Exhibit 1

1. 

2. 

3. 

4. 

5. 

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED 

DATE 04/10/82 BY SPSJPLJ

(CDEU #01-081)

Investigation on 8/18/82 at File #

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
telephone was furnished a copy of a subpoena duces tecum commanding that any Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on It commands

Exhibit 1

1. 
2. 
3. 
4. 
5. 

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED DATE 2/11/81 BY STATE/PD

(CDRU #01-081)

Investigation on 8/18/82 at

by SA Helen L. Bachor/rbd Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to you for your agency's use. It and its contents are not to be distributed outside your agency.
telephone was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on at It commands that

Exhibit 1

1. 
2. 
3. 
4. 

ALL INFORMATION CONTAINED HERETO IS CLASSIFIED DATE 7/2/81 BY SPE/LEDS
(CDRU #40/01-08)

Investigation on 8/19/82

by SA Helen I. Bacher/ rbp

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Exhibit 2
FEDERAL BUREAU OF INVESTIGATION

Date of transcription: 8/23/82

Telephone was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that

before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on

at

It commands that

Exhibit 1

ALL INFORMATION CONTAINED HERIN IS U.S.C.I.S.C.I. (CDEN #0/1-881)

Investigation on 8/19/82 at

by SA Helen L. Barchor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba Marc Rich and Company; ET AL
FBW - ENERGY RELATED
(00: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy each of two FD-302s and two original subpoenas served on

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 9/21/81 BY 338/185
(CDEU #01-08)

New York (Enc. 6) NY
1 - Houston
HIB/rbp
(3)

Approved: [Signature]
Transmitted: [Stamp]

Date 8/23/82
8/23/82

TO:       ADIC, NEW YORK (196B-1774) (M-1)
FROM:     SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company;
ET AL
FBW - ENERGY RELATED
(00: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy
each of two FD-302s and two original subpoenas served on

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 7/1/81 BY SPARFERS
(CDRU #01-081)

- New York (Enc.6)
  - Houston
  HIB/rbp
  (3)

ORIGINAL DOCUMENTS ATTACHED DO NOT BLOCK STAMP
Many of U.S. 400 richest don’t know their worth

The Washington Post

WHEN TEXAS billionaire Nelson Bunker Hunt was testifying at a congressional hearing two years ago, he started the committee by saying he didn’t know how much money he had.

“A fellow asked me that once and I said I don’t know, but I do know people who know how much they are worth generally aren’t worth much,” said Hunt.

Billionaires may not bother to count their money, but Forbes magazine has done it for them, compiling for the first time a list of the 400 richest people in America.

According to Forbes, the richest man in America is Daniel Keith Ludwig, the 85-year-old New York shipping and real estate magnate, with a personal fortune estimated at more than $2 billion.

Ludwig has so much money, Forbes reckons, that he remains the richest American even after losing $1 billion trying to start a paper making empire in the Amazon jungle of Brazil.

THERE ARE a dozen other U.S. billionaires, the magazine reports in its Sept. 13 issue. They include Bunker Hunt and four of his brothers and sisters, Perry Richardson Bass and his son Sid, two other Texas oilmen worth $1 billion apiece; Gordon Peter Getty, son of the founder of Getty Oil Co.; David Packard, founder of Hewlett-Packard Co.; Marvin Davis, the Denver oil man who owns 20th Century Fox; Philip Anschutz, another Denver oil and real estate investor and Forrest Mars Sr., former head of Mars Inc., the world’s largest candy company.

Most of the mega millionaires on the Forbes 400 list refused to cooperate with the magazine’s survey. It took a year to glean the list of the really rich from stock holdings and real estate records, wills, biographies and business reports. The magazine plans to update the list annually, which should sell enough extra issues to enrich publisher Malcolm Forbes, who already qualifies for his own richest list.

FLAMBOYANT publisher Forbes would not reveal the size of his personal fortune to his own publication. The editors included him anyway, gave no estimate of his wealth.

The poorest person on the list of 400 fabulous fortunes is Armas C. Markkula, Jr., of San Francisco, who helped start Apple computer in 1976 and now owns stock worth $31 million. Apple co-founder Steve Jobs, 27, is the youngest person on the list with $100 million worth of Apple stock.

From young self-made microprocessor millionaires the census of the really rich stretches to the scions of America’s old money aristocrats, a dozen du Ponts, a rook of Rockefellerers, a carload of Fords, the Kennedy family plus assorted Mellons.

At least one of the 400 richest people in America is in a mental hospital—H. L. (Hassie) Hunt III, 65, whose share of his father’s estate is worth $500 million, has been hospitalized for some 20 years. Another is in exile—fugitive financier Robert Vesco had $235 million when he fled fraud charges.

CRIME SOMETIMES does pay, the compilation suggests, for the list includes Meyer Lansky, 80, of Miami, listed as “mob money man” with $100 million to his name, and Morris (Mo) Dalitz, 83 of Las Vegas, identified by the Kefauver crime commission in 1951 as a founder of a national crime syndicate.

So does entertainment: Bob “Thanks for the Memories” Hope is listed with $220 million. The late Beatles John Lennon left $150 million to Yoko Ono. Walt Disney’s brother Roy is worth $150 million, Forbes figures.

Not surprisingly, 50 of the 400 richest people in the country are Texans, or at least live in the Lone Star State part of the year. Many millionaires are so common in Texas that a $10 million fortune has become the standard “unit” by which wealth is measured.

In big bucks Texas talk, a $100 million fortune is “10 units” and half a billion dollars is just “50 units.”

Runners-up to the $1 billion barrier, in estimated order, are David Rockefeller, of Chase Manhattan Bank, nearly $1 billion; Walter H. Annenberg, founder of TV Guide, $500 million; Stephen Bechtel Sr. and his son Stephen Jr., of the California construction company, almost a billion between them; Harry Helmsley, the New York real estate man, $750 billion; Sam Walton, an Arkansas discount store king, $690 million; William R. Hewlett, co-founder of Hewlett-Packard, $650 billion; Edward J. DeBartolo, a Youngstown, Ohio, shopping center builder, $500 million; Samuel J. Newhouse Jr. and his brother Donald, $650 million; Hassie Hunt, $500 million; William Caruth Jr., Dallas real estate, $600 million; Cyril Wagner Jr. and Jack Brown, owners of a Texas oil company worth $1.1 billion; Henry L. Hillman, Pittsburgh banker, $500 million; Paul Mellon; four grandchildren of Houston wildcatter Hugh Roy Cullen, who share $2 billion; A. Alfred Taubman, a Michigan developer; Barbara Cox Anthony and Anne Cox Chambers of Atlanta, heirs to the Cox newspaper and broadcasting fortune.

Leonard N. Stern, son of the founder of Hartz Mountain pet food, $500 million; Richard Mellon Scaife, another Mellon heir, who “vigorously denies” Forbes’ estimate of a $500 million fortune; Robert O. Anderson, chairman of Atlantic Richfield, $500 million; Curtis Carlson of the Minneapolis trading stamps and hotels businesses, worth $500 million; Jack Kent Cooke, $500 million; Trammell Crow, Dallas real estate developer, $500 million; Kenneth W. Ford, Oregon lumber baron, $500 million; Samuel J. LeFrak, New York real estate, $500; and J.R. Simplot, the Idaho potato grower who invented frozen French fries, $500 million.
TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH,
dba Marc Rich and Company
ET AL
PBW - ENERGY RELATED
(CO:NY)

Re: TCAirtel to New York, dated 8/23/82.

Enclosed for Houston are the original and one copy of two subpoenas.

For information of Houston, Grand Jury investigation at New York continuing.

LEAD

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoena.

AT FREEPORT, TEXAS. Will serve enclosed subpoena.

2 - Houston (Encls. 4)
1 - New York

GWL: dap (4)

1 - Supervisor M-1
M-1
TITLE: MARC RICH
dba Marc Rich and Company;
FBW-ENERGY RELATED
(00:NY)

File: 1968-1774
Case Agent: 
Supervisor:

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

[Handwritten text]

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.
VII. Prosecutive Opinion:

AUSA [Redacted] SDNY, has been actively involved in investigative effort to date. He has

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.


3) Affidavits in support of

4) Motion

5) Continued record production by

6) Obtain [Redacted] to date).

IX. Milestones Anticipated:

1)

2) Obtain cooperation of November, 1982. (Negotiations continued).

3) Obtain [Redacted] Subject to results of appear now in process.

4) Complete

5) Complete
X. Unusual Investigative Techniques Employed/Anticipated:

XI. Manpower Anticipated:

XII. Accomplishments:

Motion to [ ] for production of [ ] was settled in favor of the U.S. Government.
service of a subpoena for

1

2

3

4

5

Date of transcription 10/15/82

FEDERAL BUREAU OF INVESTIGATION

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 10/15/82 BY SPECIALTY

(CIBU #01-081)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.
U.S. Grand Jury Probing Shift Of Oil Profits

By Patrick E. Tyler

Marc Rich, half-owner of Twentieth Century Finance Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted $12 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the Internal Revenue Service to determine whether Rich's overseas affiliate violated tax laws.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Trolden, who at that time were operating West Texas Marketing Corp. of Abilene.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions.

They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's company.

Ratliff and Trolden said in telephone interviews during the weekend that they told federal investigators their firm engaged in the following transactions described in the investigation:

Marc Rich and his U.S. company, Marc Rich & Co. International Ltd., sold volumes of allegedly illegally priced crude oil to the West Texas Marketing Corp.

Subsequently, the Texas company allegedly sold the crude at an inflated price and more than doubled the profit, thereby generating extra-

The profits allegedly were paid to Rich and later transferred.

See PROBE, A14, Col. 1

U.S. Grand Jury Probing Shift of Profits in Crude-Oil Trading

PROBE, from A1 to the account of his Swiss company. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly fixed additional transactions that had an amount of money equal to those profits.

Rich could not be reached for comment on the charges being investigated and denied an earlier report for an interview.

In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has asked me to advise you that the policy of our firm is not to grant interviews."

Rich's attorney, Edward Bennett Williams, said, "I can't comment. I never comment on a client's problems or activities, except when it involves a grand jury."

Rich's vast crude-oil trading operation reportedly has handled tens of thousands, perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis touched off by the Iranian revolution.

During the peak of the 1978 oil crisis, Rich and his affiliates reportedly controlled up to 10 million barrels of crude-oil production worldwide. One major U.S. oil company that fell drastically short of its production requirements in early 1979 paid Rich a $50-per-barrel premium over the official Nigerian price for access to some of that crude.

Rich, 46, runs his international commodities trading operation from Rich's Avenue offices in New York.

It is a business he built after leaving Phillips Brothers (now Fosco Corp.) in 1974.

At Phillips Brothers, Rich was a senior trader and reportedly quit in a salary dispute in the wake of the 1973 Iranian oil embargo.

Rich went on his own, taking several top Phillips Brothers' traders with him.

Rich's name did not surface publicly when Denver-based Martin Daniels negotiated the $722 million purchase of Phillips by Texaco in 1983.

Ratliff and Trolden introduced the Rich organization by one of Trolden's former colleagues who had gone to work for Rich.

Ratliff also told investigators that beginning in September, 1980, Rich's company allegedly sold cheap, price-controlled crude oil to West Texas Marketing, which in turn sold equal volumes of crude at world prices, thus creating a huge profit margin, much of which was retained for the Rich firm.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certification on volumes of crude oil from price-controlled level of about $12 a barrel to high, world price levels of $20 a barrel or more.

Instead of paying the allegedly illegal profits directly to Rich's U.S. firm, the Texas firm allegedly transferred the money to Rich's overseas affiliate and disguised the action by creating paper losses through a series of foreign currency transactions.

The transfer was allegedly arranged this way: Rich's Swiss affiliate sold on paper certain volumes of foreign crude oil at world prices to West Texas Marketing. The Texas firm turned around and resold the fictitious crude at less than the world price, thus creating huge losses.

The losses were formulated to equal Rich's profits from the domestic crude-oil sales.

By manufacturing these losses, the West Texas Marketing officials "ballooned" their books and allegedly hid the Marc Rich profits that had been transferred offshore to Mars Rich & Co., A16.

A spokesman for the U.S. attorney's office in New York declined to comment on the investigation.
Top Oil "Trader\nFocus of Probe\nOn Tax Evasion

By Patrick E. Tyler
The Washington Post

Marc Rich, half-owner of Twentieth Century-Fox Film Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted $23 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the IRS to determine whether Rich's intricate worldwide oil-trading practices violated tax law.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Troland, who at that time were operating West Texas Marketing Corp. of Abilene. According to Ratliff, he and Troland were introduced to the Rich organization by one of Troland's former colleagues who had gone to work for Rich.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions. They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's companies. Ratliff and Troland said in telephone interviews that they told investigators their firm engaged in the following transactions: Rich and his U.S. company, Marc Rich & Co. International Ltd., sold volumes of allegedly price-controlled crude to the West Texas company. Subsequently, the Texas company allegedly claimed the crude was unregulated and more than doubled the price, thereby generating enormous profits. Most of the profits allegedly were held for Rich and later transferred to the account of his Swiss company. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly faked additional transactions that lost an amount of money equal to those profits.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certifications on volumes of crude oil from price-controlled levels of about $12 to world price levels of $30 or more.

Rich, 48, could not be reached for comment on the allegations being investigated and declined an earlier request for an interview. In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has asked me to advise you that the policy of our firm is not to grant interviews." Rich's attorney, Edward Bennett Williams, also declined to comment.

Rich's vast crude-oil trading operation reportedly has controlled perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis.
Phone number was advised of the identity of the interviewing agent and stated that he knew of the subpoena being served to him as he had been in contact with the U.S. Attorney's office in New York. He was served with a Grand Jury Subpoena Duces Tecum to bring before the Southern District of New York.

Original subpoena was served to USA on 8/82.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 1/1/81 BY SPS/EDS
(CDRU #01-081)

Investigation on 10/27/82 by SA Helen T. Bachor/pbs

Date dictated 10/27/82
number was advised of the identity of and stated that he knew of the purpose of the interview, as he had spoken with the Assistant United States Attorney (AUSA) in New York. was served with a Grand Jury Subpoena Duces Tecum to bring to the Southern District of New York.
number was advised of the identity of and stated that he knew of the purpose of the interview, as he had spoken with the Assistant United States Attorney (AUSA) in New York. was served with a Grand Jury Subpoena Duces Tecum to bring to the Southern District of New York.
telephone number was advised of the identity of the interviewing agent and stated that he knew of the subpoena being served to him as he had been in contact with the U.S. Attorney's office in New York. was served with a Grand Jury Subpoena Duces Tecum to bring to the Southern District of New York.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 3/24/81 BY OF TO PDS
(CDR#01-081)

Investigation on 10/27/82

by SA Helen I. Bachor/pbs

Date dictated 10/27/82
TO:    ADIC, NEW YORK (196B-1774) (M-1)
FROM:  SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(00: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on [Redacted], and the original and one copy of [Redacted]. Also enclosed are the original subpoena served on [Redacted], and the original and one copy of an FD-302 on [Redacted].

Original Documents Enclosed
Do Not Blockstamp

All Information Contained Herein is Unclassified
Date 10/25/82 by [Redacted]

CDRU #01-081
196B-1774-60

[Handwritten notes and signatures]

Approved: [Signature]
Transmitted [Number] [Time] Per [Signature]

Date 10/25/82
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(00: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on ____________________________ b6
and the original and one copy of FD-302. Also enclosed are the original subpoena served on ____________________________ b7C
and the original and one copy of an FD-302 on ____________________________ b3

(2) New York (Enc. 6)
2 - Houston
JDB/pbs
(4)

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/3/81 BY CDRU #01-087

196B-1774-60
Memorandum

To: SAC, Div. II (196-1774) (P)
From: SA

Subject: MARC RICH, ET AL
FBW - ENERGY RELATED
DO: NY

Attached are photocopies of all FD-302s which are part of the referenced case file to date. Another set of these FD-302s were furnished to AUSA on 10/20/82. AUSA requested these documents on 10/25/82.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE: 2/21/81 BY: SPEICHER
(CIBU #01-081)
telephone was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that:

before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [date] at [time]. It commands that:

Exhibit 1

1. 
2. 
3. 
4.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED DATE 02/11/81 BY SPECIAL (CDRU#01-081)

Investigation on 8/19/82 at [time] by [agent] File # NY 1968-1734-50

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.
A telephone was furnished a copy of a subpoena duces tecum commanding that any appear before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on at . It commands that .


date: 8/23/8

---

Exhibit 1

1. 
2. 
3. 
4. 
5. 

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 8/23/8

(CDEU #01-08)

---

Investigation on 8/18/82 at File #

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.
New York, appeared at the United States Attorney's Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, to provide handwriting exemplars. He then furnished three handwritten copies of three sheets of paper entitled "September thru December, 1980".

The following descriptive data was obtained by interview and observation:

Sex: Male
Race: White
Hair: 
Date of Birth: 
Business Address: 

After supplying the handwriting exemplars, and his attorney proceeded to the New York office where was photographed.
telephone number was contacted at his place of employment.

He was informed of the official identities of the interviewing Agents. Agent [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to [redacted]. The subpoena commands an appearance and the production of U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.
Texas, telephone number [redacted] was contacted at his place of employment.

He was informed of the official identities of the interviewing Agents. SA [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to Mr. [redacted]. The subpoena commands an appearance at the U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/11/82 BY [redacted]
(CDRU #01-081)

Investigation on 2/18/82 at [redacted] File #: [redacted]

SAs and DAS/dls [redacted]

[redacted] 2/22/82

Information contained herein is neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; you are not to distribute outside your agency.
provided the following information:

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.
provided the below described original documents:

1. 
2. 
3. 
4. 
5. 
6. 
7. 
8. 
9. 

Interviewed on 11/9/81 at [Redacted] by SA [Redacted]

Date Dictated 11/13/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED

DATE 01/31/81 BY [Redacted]

(CDRU #01-01)
TO:       ADIC, NEW YORK (196B-1774)

FROM:    SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE:      MARK RICH,
dba Marc Rich and Company
Et Al
FBW - ENERGY RELATED
00: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena
served on [ ] on 8/31/82.

On 11/9/82, it was determined that the subpoenaed
Attorney's Office, New York City.

Subpoena given to 11/29/82

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 7/31/81 By 7331[Eds]
(CDRU #01-081)

New York (Enc. 1) OK

Los Angeles

Approved: RT MCT

Transmitted (Number) (Time) Per
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE: MARK RICH,
       dba Marc Rich and Company
       Et Al
       FBW - ENERGY RELATED
       00: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena

served on __________________ on 8/31/82.

On 11/9/82, it was determined that the subpoenaed
will be furnished directly to the U.S. Attorney's
Office, New York City.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 11/12/82 BY SPENCER

2 - New York (Enc. 1)
1 - Los Angeles

TRG/BAR

(3)

Approved: 11/12/82
Transmitted ___________________
(Number) (Time) Per ___________________
12/10/82

TO: SAC, CLEVELAND

FROM: NANC NEW YORK (1968-1774) (F) (2-1)

SUBJECT: NARC NEW

BEC: RE:

FIR = ENERGY RELATED
(CO: NY)

Ref: Referral to New York, 11/9/82; and Referral to New York, 10/25/82.

Enclosed for Cleveland is the original and one copy of a subpoena. Enclosed for Houston is the original and one copy of a subpoena. Enclosed for Los Angeles are the original and one copy each of two subpoenas. Enclosed for Louisville is the original and one copy of a subpoena.

For the information of Cleveland and Louisville, investigation at New York has determined that referenced energy related fraud may exceed $25 million. Thus, CMNY has initiated grand jury action of investigation.

Cleveland Division

Clarence Division

CLARENCE DIVISION

BY CLEVELAND, OHIO

Will serve enclosed subpoenas. (CERL #01-08)

2 - Cleveland (Enrol. 2)
2 - Houston (1968-391) (Enrol. 2)
2 - Los Angeles (1961-2709) (Enrol. 4)
1 - Louisville (Enrol. 2)
1 - New York.

Clarence Division

(1 per Supv. M-1)

(13)
BY 1965-1776

HOUSTON DIVISION
AT HOUSTON, TEXAS.
Will serve enclosed subpoena.

LOS ANGELES DIVISION
AT LOS ANGELES, CALIFORNIA.
Will serve enclosed subpoena.

LOUISVILLE DIVISION
AT LOUISVILLE, KENTUCKY.
Will serve enclosed subpoena.
To: Special CGen (1966-1974)  
From: SA  
Subject: MARC RICH  

It is requested that the attached subpoena be served on the

1/4/83

Original subpoena returned to USA

All information contained herein is unclassified

1/21/81 by spec ops

(CDRU #01-051)

1640 to 5C
12/21/82

TO: SAC, CHICAGO

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH; ET AL;
PBW - ENERGY RELATED
(00: NY)

Re: NY airfoil to Cleveland, dated 12/16/82.

Enclosed for Chicago is the original and one copy of a subpoena. Enclosed for Houston are the original and one copy each of two subpoenas.

For the information of Chicago, investigation at New York has determined that captioned energy-related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

CHICAGO DIVISION

AT Schaumburg, Illinois. Will serve enclosed subpoena.

HOUSTON DIVISION

AT Houston, Texas. Will serve enclosed subpoenas.

2. Chicago (Encs. 2)
2. Houston (Encs. 2)
2. New York

GUL:... (6)
TO: ADIC, New York (196B-1774) (M-1).

FROM: SAC, Cleveland (1968-956) (SQ. 6) (RUC)

MARC RICH;
ET AL.

FBI - ENERGY RELATED

OO: New York

Reuraitel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.

New York (Enc. 1) (RM)

Cleveland

JLR/hs
(3)
TO: ADIC, New York (196B-1774) (M-1)
FROM: SAC, Cleveland (196B-956) (SQ. 6) (RUC)

MARC RICH;
ET AL.
FBW - ENERGY RELATED
OO: New York

Reuraitel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.
On December 28, 1982, telephone number was contacted at the by Special Agent who identified himself to him as a Special Agent of the Federal Bureau of Investigation (FBI).

was served with a Federal Grand Jury subpoena Duces Tecum, to testify and provide at the United States District Court, Southern District of New York, New York, New York, on was advised that any questions concerning this subpoena should be directed to Assistant United States Attorney U.S. Attorney's Office, New York, New York, telephone

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
TO:       ADIC, NEW YORK (196-1774) (M-1)
FROM:     SAC, HOUSTON (196-881) (P)
MARC RICH
ET AL;
FBW-ENERGY RELATED
(00;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for

Also enclosed is the original
and one copy of an FD-302, reflecting service of the subpoena
on 12/28/82.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/1/78 BY SPOT /BXP
(CDRU #01-001)

(Enc. 3)
1 - New York
2 - Houston
   (1-196B-881)
   (1-66-1872)
HLL/bgp
(4)
TO: ADIC, NEW YORK (196-1774) (M-1)

FROM: SAC, HOUSTON (196-881) (P)

MARC RICH
ET AL;
FBW-ENERGY RELATED
(00;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for Also enclosed is the original and one copy of an FD-302, reflecting service of the subpoena on 12/28/82.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED DATE D/C 1/20/83 BY PSYCP

(AD 1401-081)

2 - New York (Enc. 3)
2 - Houston
(1-196-881)
(1-66-1872)
HLL/bgp

Approved: Transmitted (Number) 4

U.S. GOVERNMENT PRINTING OFFICE: 1983-081-555
On December 27, 1982, was contacted at by Special Agent (SA) who identified himself to her as a Special Agent of the Federal Bureau of Investigation, (FBI).

was served with a Federal Grand Jury, (FJG) subpoena duces tecum, to testify and provide concerning at the United States District Court, Southern District of New York, New York, New York on was advised that any questions concerning this subpoena should be directed to Assistant U.S. Attorney (AUSA), U.S. Attorney's Office, New York, New York,

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/2/81 BY SPECIALIST

(CIRU #01-081)
On December 27, 1982, [Redacted] was contacted at [Redacted] by Special Agent [Redacted], who identified himself to her as a Special Agent of the Federal Bureau of Investigation, (FBI).

[Redacted] was served with a Federal Grand Jury, (FGJ) subpoena duces tecum to testify and provide [Redacted] concerning [Redacted] at the United States District Court, Southern District of New York, New York, New York, on [Redacted] was advised that any questions concerning this subpoenas should be directed to Assistant U.S. Attorney (AUSA) [Redacted], U.S. Attorney's Office, New York, New York.

December 27, 1982

Investigation on

Special Agent md

by December 27, 1982

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency: it and its contents are not to be distributed outside your agency.
TO: SAC, NEW YORK (196B-1774) 
FROM: SAC, HOUSTON (196B-881) (Q)

MARC RICH;
ET AL
FBW - ENERGY RELATED
(00: NY)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for ________________________________

enclosed is the original and one copy of two FD-302s reflecting
service of subpoenas to the ________________________________

On December 27, 1982, the two subpoenas were
served to the above ________________________________

Houston Division is placing this matter as RUC,

SUBPOENAS RETURNED TO ARCA 12/83.

2-New York (Encs.6)
2-Houston (1-196B-881) (1-66-1822)
HLL/md
(4)

TO: SAC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (Q)

MARC RICH;
ET AL
FBW - ENERGY RELATED
(00: NY)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for ________________________________

enclosed is the original and one copy of two FD-302s reflecting
service of subpoenas to the ________________________________

On December 27, 1982, the two subpoenas were
served to the above ________________________________

Houston Division is placing this matter as RUC,

SUBPOENAS RETURNED TO ARCA 12/83.

2-New York (Encs.6)
2-Houston (1-196B-881) (1-66-1822)
HLL/md
(4)

TO: SAC, NEW YORK (196B-1774)
FROM: SAC, HOUSTON (196B-881) (Q)

MARC RICH;
ET AL
FBW - ENERGY RELATED
(00: NY)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for ________________________________

enclosed is the original and one copy of two FD-302s reflecting
service of subpoenas to the ________________________________

On December 27, 1982, the two subpoenas were
served to the above ________________________________

Houston Division is placing this matter as RUC,

SUBPOENAS RETURNED TO ARCA 12/83.

2-New York (Encs.6)
2-Houston (1-196B-881) (1-66-1822)
HLL/md
(4)
Re: NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for [REDACTED]. Also, enclosed is the original and one copy of two FD-302s reflecting service of subpoenas to the [REDACTED].

On December 27, 1982, the two subpoenas were served to the above [REDACTED].

Houston Division is placing this matter as RUC.
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, LOUISVILLE (196B-744) RUC
MARC RICH; ET AL;
FBW - ENERGY RELATED
OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82, was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhattan, New York City.

DATE 2/6/81 01 BY (CDRU #01-081)
X Airtel

1/5/83

TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, LOUISVILLE (196B-744) RUC
MARC RICH;
ET AL;
FBW - ENERGY RELATED
OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82, I was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhattan, New York City.

(2) - New York
1 - Louisville
GJD: kms
(3)
Ms. [Name] accepted service of a subpoena for any and all information contained herein.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED DATE: 2/21/01 BY: [Signature]
(CDRU #01-081)

Investigation on 1/13/83 by [SC] and [RVC] Date dictated 1/13/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Ms.

accepted service of a subpoena for any and

ALL INFORMATION CONTAINED
HERIN IS UNCLASSIFIED

DATE dictated by SPECIAL DESK

INVESTIGATION on 1/12/83

by SC

FILE: NY-106-1774-23

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
TO: ADIC, NEW YORK (1961-1774) (M-1)
FROM: SAC, CHICAGO (1961-1865) (RUC)

MARC RICH;
ET AL;
FBW-ENERGY RELATED
(OO: NEW YORK)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1/21/83 BY EPS TC/EDS
(CDRU #01-08)

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to _____________________________

advised that she could not accept service of the subpoena because the _________ for that purpose stated that she would begin to retrieve the _________ called for in the subpoena in order to meet the deadline of _________.

On 1/7/83, the enclosed subpoena was served on _____________________________

advised that she would contact AUSA _________ Southern District of New York at telephone number _________ and make arrangements to provide him with the _________ called for in this subpoena.

No investigation remains for Chicago, this matter is considered RUC'd.

Approved: EDH

Transmitted
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, CHICAGO (196B-1865) (RUC)

MARC RICH;
ET AL;
FBW-ENERGY RELATED
(CO: NEW YORK)

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to the Attorney. She advised that she could not accept service of the subpoena because her employer for that purpose stated that she would begin to retrieve the called for in the subpoena in order to meet the deadline of

On 1/7/83, the enclosed subpoena was served on contact AUSA [Name], Southern District of New York at telephone number [Number] and make arrangements to provide him with the called for in this subpoena.

No investigation remains for Chicago, this matter is considered RUC'd.

New York (Enc. 1)

DJH/cjc
(3)

ALL INFORMATION CONTAINED
HERETO IS UNCLASSIFIED
DATE 1/3/83 BY STAFF
(03D 40-003)

Approved: ___________________ Transmitted ___________________ Per ___________________
TITLE: MARC RICH
dba Marc Rich and Company;

FILE: 196B-1774

Case Agent: 
Supervisor: 

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.
VII. Prosecution Opinion:

AUSA SDNY has been actively involved in investigative effort to date. He has

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.


3) Affidavits in support of

4) Motion

5) Continued

6) Obtain
to date).

8) Cooperation of could not be obtained.

9) 

IX. Milestones anticipated:

1) Obtain cooperation of

2) Obtain
Subject to results of appeal now in process. Still pending.

3) Complete

4) Indictment Possible by May, 1983.
X. Unusual Investigative Techniques Employed/Anticipated: 

[Blank Line] from a [Blank Line] to ensure 

XI. Manpower Anticipated: 

[Blank Line] 

XII. Accomplishments: 

Motion to [Blank Line] for production of [Blank Line] was settled in favor of the U.S. Government. 

[Blank Line]
Ms. I accepted service of a subpoena for the following:

1) 
2) 
3) 
4) 
5) 
6) 
7) 
8) 

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED DATE 2/21/81 BY PS/CDS CDEA #01-081)

Original Subpoena

Investigation on 1/18/83 File NY 196-1774

by S.C. mcp Date dictated 1/24/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
FEDERAL BUREAU OF INVESTIGATION

Ms. [Blank]

accepted service of a subpoena for the following:

1)
2)
3)
4)
5)
6)
7)
8)

Date of transcription 1/24/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
Memorandum

To: Special Clerk (196-1774) (P)  Date: 1/18/83
From: SA  (M-1)  

Subject: Marc Rich; 
Falk & FBW (B)

Attached is a subpoena re captured matter. 
It is requested that special clerk serve this 
subpoena.

C&D

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED 
DATED 1/24/83 BY PERSONS
(CED#01-081)

LEAD TO 
SC'S

196-1774 1/24/83
1/18/83

[Handwritten notes]

60
TO: SAC, ALEXANDRIA

FROM: ADIC, NEW YORK (1968-1774) (P) (M-1)

SUBJECT: MARC RICH;
dba MARC RICH AND CO;
ET AL
FEW-ENERGY RELATED
(CO:NY)

ALL INFORMATION CONTAINED
HERIN IS U.S. CLASSIFIED
DATE 1/28/83 BY EPSIC/ADS
(CDEL #01-081)

RelAairtel to New York, dated 11/9/82.

Enclosed for Alexandria is one subpoena, Chicago are two subpoenas, Kansas City are two subpoenas, Los Angeles is one subpoena, New Orleans is one subpoena, Oklahoma City are three subpoenas, Philadelphia are two subpoenas, St. Louis is one subpoena, San Antonio is one subpoena and San Francisco is one subpoena.

For information of Receiving Offices, New York Office investigation involves the

This investigation is in the grand jury stage and depends to a large extent
on the results of lengthy

review.

2 - Alexandria (Enc. 1)
2 - Chicago (Enc. 2)
3 - Kansas City (Enc. 2) (196-902)
2 - Los Angeles (Enc. 1) (196-2709)
2 - New Orleans (Enc. 1)
3' - Oklahoma City (Enc. 3)
3 - Philadelphia (Enc. 2)
2 - St. Louis (Enc. 1)
2 - San Antonio (Enc. 1)
2 - San Francisco (Enc. 1)
2 - New York

Approved: Transmitted
(Number) (Time) Per

U.S. GOVERNMENT PRINTING OFFICE: 1983 0 - 369-895
LEADS

ALEXANDRIA

AT FAIRFAX, VIRGINIA. Will serve enclosed subpoena.

CHICAGO

AT CHICAGO, ILLINOIS. Will serve enclosed subpoenas.

KANSAS CITY

AT WICHITA, KANSAS. Will serve enclosed subpoena.

AT MC PHERSON, KANSAS. Will serve enclosed subpoena.

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

NEW ORLEANS

AT SHREVEPORT, LOUISIANA. Will serve enclosed subpoena.

OKLAHOMA CITY

AT BARTLESVILLE, OKLAHOMA. Will serve enclosed subpoena.

AT TULSA, OKLAHOMA. Will serve enclosed subpoenas.

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA. Will serve enclosed subpoena.

AT WAYNE, PENNSYLVANIA. Will serve enclosed subpoena.

ST. LOUIS

AT ST. LOUIS, MISSOURI. Will serve enclosed subpoena.

SAN ANTONIO

AT SAN ANTONIO, TEXAS. Will serve enclosed subpoena.

SAN FRANCISCO

AT SAN FRANCISCO, CALIFORNIA. Will serve enclosed subpoena.
Chase Is Trapped In Legal Tug of War Between U.S., Britain

High Court in London Orders Bank to Ignore Subpoena For Records by Grand Jury

By a Wall Street Journal Staff Reporter

NEW YORK—Chase Manhattan Bank is caught in a tug of war between a federal grand jury and a British court over access to some of the bank's records.

Late last week, a judge of the High Court in London ordered the London branch of Chase Manhattan to ignore a subpoena from a federal grand jury in New York to supply records related to Marc Rich & Co., a closely held international oil-trading firm here.

The grand jury is reportedly investigating various transactions of Marc Rich to determine whether the company violated any U.S. laws. The company has banking relations with Chase Manhattan.

Spokesmen for Chase Manhattan, Marc Rich and the U.S. attorney's office in New York declined to comment on the matter.

One of the issues raised by the dispute is to what extent records of U.S. banks held in their overseas offices are subject to access by U.S. law enforcement agencies. Chase Manhattan now faces the possible choice of defying the British court injunction or facing potential contempt-of-court charges in the U.S. for failing to comply with the subpoena.

The High Court judge apparently based his order on the grounds the records were confidential between the bank and its client.

A story in Friday's Financial Times said the grand jury subpoena sought documents of three companies, but none was named.

Besides Marc Rich, the identities of any other companies involved couldn't be ascertained.
TO: ADIC NEW YORK (196B-1774) (M-1)
FROM: SAC, CHICAGO (196B-1865) (RUC) (SQUAD 7C)

MARC RICH; dba
Marc Rich and Co.; ET AL;
FBW-ENERGY RELATED;
00:NEW YORK

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenas issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on

On 2/3/83, the above described subpoenas were served on to accept such
subpoenaes and will probably furnish the subpoenaed
by registered mail to AUSA SDNY, New York, New York, or furnish them to the Chicago Office of the FBI.

Chicago is placing this matter in an RUC status and will reopen this matter if furnishes the subpoenaed to Chicago, forwarding the same to New York.

Approved: EDH/om Transmitted (Number) (Time) Per

U.S. GOVERNMENT PRINTING OFFICE: 1982 0 - 369-095
Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenaes issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on [blank].

On 2/3/83, the above described subpoenas were served on [blank] to accept such subpoenas and [blank] will probably furnish the subpoenaed by registered mail to AUSA [blank], SDNY, New York, New York, or furnish them to the Chicago Office of the FBI.

Chicago is placing this matter in an RUC status and will reopen this matter if [blank] furnishes the subpoenaed documents to Chicago, forwarding the same to New York.

(2) New York (Encl. 2)
(1) - Chicago
CAK: tm
(3)

1968-1774-78
*
TO: ADIC, NEW YORK (1968-1774) (M-1)

FROM: SAC, NEW ORLEANS (1968-999) (RUC)

MARC RICH, dba, Marc Rich and Co.; ET AL;
FBW - Energy Related
(00:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on

advised the subpoena would be made available to
on 2/3/83.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/10/83
(Cdl# 61-081)
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, NEW ORLEANS (196B-999) (RUC)

MARC RICH, dba, Marc Rich and Co.;
ET AL;
FBW - Energy Related
(00:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on
advised the subpoena would be made available to
2/3/83.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/11/83 BY SRGS/EC2
(CDRU #01-081)

2- New York (Enc. 1)
1- New Orleans (196B-999)
TRR: drs
(3)
received a Federal Grand Jury Subpoena issued by the U.S. District Court, Southern District of New York on January 26, 1983, directing [redacted] to provide [redacted] etc. specified on said subpoena, by [redacted] was directed to call AUSA [redacted], Southern District New York, [redacted], as soon as possible.
received a Federal Grand Jury Subpoena issued by the U.S. District Court, Southern District of New York on January 26, 1983, directing to provide etc. specified on said subpoena, by was directed to call AUSA Southern District New York, as soon as possible.
telephone received Federal Grand Jury subpoena issued by USDC, Southern District of New York on December 14, 1982, commanding to provide etc., as specified on the subpoena, by

was directed to call AUSA

Southern District New York, as soon as possible.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED DATE 1/24/83 BY SPECIALISTS (CED #01-081)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency.
It and its contents are not to be distributed outside your agency.
Telephone received Federal Grand Jury subpoena issued by USDC, Southern District of New York on December 14, 1982, commanding to provide etc., as specified on the subpoena, by was directed to call AUSA as soon as possible.

Date dictated 1/28/83

Investigation on 1/24/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.
telephone received Federal Grand Jury subpoena issued by U.S.D.C. Southern District at New York on December 14, 1982, commanding to provide etc. as indicated on the subpoena, by was directed to call AUSA Southern District New York, as soon as possible.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED DATE 2/21/81 BY SP/EDS (CIAU #01-081)
Federal Grand Jury subpoena issued by U.S.D.C. Southern District at New York on December 14, 1982, commanding to provide etc. as indicated on the subpoena, by was directed to call AUSA Southern District New York, as soon as possible.
TO:  ADIC, NEW YORK (196B-1774)(M-1)(P)
FROM:  SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
RE:  MARC RICH; 
dba Marc Rich and Co., 
Et Al 
FBW; ENERGY RELATED 
00: New York

Re New York airtel to Alexandria, 1/28/83 and New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original Federal Grand Jury subpoenas for return and one copy of FD-302 interviews regarding the following:

ADMINISTRATIVE

New York should note that captioned matter was not reopened and assigned at Los Angeles until 1/14/83. AUSA 
telephonically advised case agent, Los Angeles, to change to FJG appearance dates re as indicated on enclosed original subpoenas.

DETAILS:

All three of the enclosed FG prior to the appearance dates, and the instructed to contact AUSA as soon as possible.

Approved:  
Transmitted:  
Per  

U.S. GOVERNMENT PRINTING OFFICE: 1982 0 369-895
LA 196B-2709

As all investigation at Los Angeles is complete, this matter is RUC.
TO: ADIC, NEW YORK: (196B-1774)(M-1)(P)
FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)
RE: MARC RICH; dba Marc Rich and Co., Et Al
FBI; ENERGY RELATED
00: New York

Re New York airtel to Alexandria, 1/28/83 and New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original Federal Grand Jury subpoenas for return and one copy of FD-302 interviews regarding the following:

- served on [b6]
- served on [b7c]
- served on [b3]

ADMINISTRATIVE

New York should note that captioned matter was not reopened and assigned at Los Angeles until 1/14/83. AUSA Weinberg, telephonically advised case agent, Los Angeles, to change to FJG appearance dates as indicated on enclosed original subpoenas.

DETAILS:

All three of the enclosed FJG subpoenas were served prior to the appearance dates, and the subpoenas recipients were instructed to contact AUSA SDNY, as soon as possible.

2 New York (Enc. '9)
1 - Los Angeles
JLP/BAR
(3)

Approved: Transmitted (Number) (Time) Per
TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, OKLAHOMA CITY (196B-1149) -RUC-

SUBJECT: MARC RICH;
        dba Marc Rich and Company;
        ET AL
        FBW-ENERGY RELATED
        (CO: New York)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for the New York Division are the return on three Southern District of New York subpoenas which were served 2/7/83 at _______ and 2/8/83 at _______.

No further investigation remains in the Oklahoma City Division, the case is being placed in an RUC status.

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED
DATE 2/21/83 BY SECRETARY
(CRIL #01-081)
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: SAC, OKLAHOMA CITY (196B-1149) -RUC-
SUBJECT: MARC RICH; 
dba Marc Rich and Company;
ET AL
FBW-ENERGY RELATED
(00: New York)
Re New York airtel to Alexandria, 1/28/83.
Enclosed for the New York Division are the return on three Southern District of New York subpoenas which were served 2/7/83 at_ and 2/8/83 at_ .

No further investigation remains in the Oklahoma City Division, the case is being placed in an RUC status.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/10/83 BY
(CP-01-1081)

New York (Enc. 3)
1 - Oklahoma City
GRZ: cl
(3)
On February 1, 1983, a United States District Court subpoena was served on

The subpoena was issued in New York City and concerns the presentation [mask] to the United States District Court in the Borough of Manhattan, New York City, on [mask] was advised of the content of the subpoena and was instructed to call [mask] the Assistant United States Attorney, at [mask].
On February 1, 1983, a United States District Court subpoena was served on

The subpoena was issued in New York City and concerns the presentation to the United States District Court in the Borough of Manhattan, New York City, on

was advised of the content of the subpoena and was instructed to call

United States Attorney, at

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 2/1/83  BY: OPSEC/EDS
(CDRN #: 01-081)
TO:  ADIC, NEW YORK (196B-1774)
FROM: D/SAC; ALEXANDRIA (196B-573) (RUC)

MARC RICH
dba MARC RICH AND CO; ET AL
FBW - ENERGY RELATED
(00:NY)

Re ADIC, New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving of United States District Court subpoena on b3
original subpoena which was served them on 2/1/83.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/10/83.

(CP114 #01-071)

NEW YORK (Enc. 3)
1-Alexandria
DAL: gaj
(3)

Approved: ____________________________
Transmitted ____________________________
(Number) (Time)
2/10/83

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, ALEXANDRIA (196B-573) (RUC)

MARC RICH
dba MARC RICH AND CO;
ET AL
FBW - ENERGY RELATED
(00:NY)

Re ADIC, New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving of United States District Court subpoena on [blank] and the original subpoena which was served them on 2/1/83.

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 1/10/83 BY [signature]
(CDRU 4/1-08)

(2) New York (Enc. 3)
1-Alexandria
DAL: gaj
(3)
A Federal Grand Jury Subpoena Duces Tecum was
served to ____________________________
by Special Agent ______________________ on February 2, 1983
at 11:10 a.m.

This subpoena was issued from the United States
Attorney's Office for the Southern District of New York and
dated January 26, 1983.

[ ] agreed to comply with the subpoena
and would contact the San Antonio Field Office of the FBI
for any further information.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency;
it and its contents are not to be distributed outside your agency.
TO: SAC, NEW YORK (196B-1774).

FROM: SAC, SAN ANTONIO (196B-806) (RUC).

MARC RICH
DBA Marc Rich and Co.
et al
FBW - ENERGY RELATED
CC: NEW YORK

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

Grand Jury Subpoena Duces Tecum received and
served to

on 2/2/83, at 11:10 a.m. Also enclosed are original and
one copy of FD-302 re service.

A copy of subpoena was given to ______ personally
and compliance is forthcoming. The original subpoena is
being returned to New York Office.
TO: SAC, NEW YORK (196A-1774).

FROM: SAC, SAN ANTONIO (196A-806) (RUC).

MARC RICH
DBA Marc Rich and Co.
et al
FBW - ENERGY RELATED
CC: NEW YORK

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

Grand Jury Subpoena Duces Tecum received and

served on 2/2/83, at 11:10 a.m. Also enclosed are original and
two copies of FD-302 re service.

A copy of subpoena was given to personally
and compliance is forthcoming. The original subpoena is
being returned to New York Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/11/83 BY DC

(CDRU #01-081)

(1) New York (Enc. 3)
1-San Antonio
WCH/csh.

Date 2/11/83
telephone number was served with a Federal Grand Jury subpoena which ordered that certain be brought before the Grand Jury. This subpoena commanded appearance on
FEDERAL BUREAU OF INVESTIGATION

Date of transcription: 2/11/83

The telephone number was served with a Federal Grand Jury subpoena which ordered that certain be brought before the Grand Jury. This subpoena commanded appearance on

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/11/83 BY SPECIAL AGENT
(CDOU #01-081)

Investigation on 2/3/83

by SA /inh

Date dictated 2/7/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
TO: SAC, NEW YORK (196B-1774) (P) (M-1)
FROM: SAC, SAN FRANCISCO (196B-1536) (RUC) (Squad 8)
SUBJECT: MARC RICH
dba Marc Rich and Co.;
ET AL
FBW - ENERGY RELATED
OO: New York
Re New York airtel to Alexandria, dated 1/28/83.
Enclosed for New York is the following:
1) Original and one copy of an FD-302 reflecting service of subpoena;
2) Original executed subpoena.
TO: SAC, NEW YORK (196B-1774) (P) (M-1)
FROM: SAC, SAN FRANCISCO (196B-1536) (RUC) (Squad 9)
SUBJECT: MARC RICH
dba Marc Rich and Co.;
ET AL
FBW - ENERGY RELATED
OO: New York
Re New York airtel to Alexandria, dated 1/28/83.
Enclosed for New York is the following:
1) Original and one copy of an FD-302 reflecting service of subpoena;
2) Original executed subpoena.
Oil Trader Got Millions From ARCO

By Patrick E. Tyler
Washington Post Staff Writer

A New York oil trader's international companies received possibly as much as $119 million in revenue in an 18-month period by acting as middlemen in the sale of Nigerian crude oil to Atlantic Richfield Co. (ARCO) during the oil crisis of 1979-80.

The oil trader, Marc Rich, now a co-owner of Twentieth Century-Fox Film Corp. with Denver oilman Marvin Davis, had gained access to a large quantity of Nigerian oil production before 1979 and was able to command premium prices by reselling it. ARCO lost substantial oil supplies in Iran in early 1979 and subsequently acquired much of Rich's Nigerian oil by agreeing to pay his companies large premiums.

The crude oil was pumped from Nigerian wells and loaded into oil tankers bound for ARCO refineries. Officials of the oil company said they were willing to pay the premiums because the only alternative was to pay higher prices elsewhere.

ARCO first paid the premiums under two contracts signed with Marc Rich & Co. in mid-1979. The contracts guaranteed Rich $5-a-barrel and $8-a-barrel markups over the official price of the Nigerian state oil company on 40,000 barrels of oil a day.

New contracts were negotiated in the summer of 1980 for the Nigerian oil. One carried a $3-a-barrel markup over Nigeria's official price. The other, signed directly between ARCO and Nigeria, provided a $2.50-a-barrel consultant fee to Marc Rich & Co.

In some cases, the markup paid by ARCO to Rich's companies under the contracts represented as much as one-third of the price for a 42-gallon barrel of oil. ARCO officials said they did not know what Rich's costs were and what premiums he paid, if any, to obtain the Nigerian oil. His net profits cannot be determined.

The Energy Department began looking into Rich's crude oil trading profits in late 1978, according to L. Kenneth Jones, then a senior energy official.

See ARCO, A8, Col. 1
Trader Made Millions

From ARCO on Nigerian Oil

SEPT. 1979 CONTRACT
RICH SELLS AT $5
PER BARREL PREMIUM OVER
NIGERIAN OFFICIAL PRICE*
$47,125,000

MARC RICH & CO: $119,280,000 IN
PREMIUMS AND FEES FOR NIGERIAN OIL

OCT. 1979 CONTRACT
$8 PER BARREL PREMIUM*
$38,200,000

AUG. 1980 CONTRACT
$3 PER BARREL PREMIUM*
$17,400,000

AUG. 1980 CONTRACT
$2.50 PER BARREL FEE TO RICH
$16,555,000

RICH'S ACTUAL PURCHASE PRICE FROM NIGERIA IS NOT KNOWN

CHARACTER: Gail McCrory
SUBMITTING OFFICE: FBI/DOJ
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FD-350 <Rov. 10-!9-77>

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miers Marc Rich & Co. paid to obtain the Nigerian oil that was resold to ARCO, the contract figures indicate that his maximum revenues were as much as $85 million on the two 1979 contracts and $34 million on the 1980 contracts.

The fact that large premiums added significantly to the overall price of a barrel of oil also supports the claim of some government officials that the skyrocketing prices felt by consumers in the United States and the world were not exclusively the result of price increases by the Organization of Petroleum Exporting Countries (OPEC).

Energy Department price control regulations also allowed U.S. oil companies to increase their profits-taking in the tight market fostered by the Iranian crisis.

From January, 1979, through early 1981, the world price of crude oil raced from about $15 a barrel to more than $40 a barrel. Today, the Saudi Arabian benchmark price is $34 a barrel, but average world prices are closer to $20.

During the period of the contracts, ARCO often paid more for Nigerian crude oil than did any other, American, company, according to an official familiar with the Energy Department's confidential "Crude Watch" computer survey.

The official, Paul L. Bloom, then special counsel for Energy Department price control enforcement, said in an interview, "The department's monitoring of costs of crude oil lifted for import to the United States through many months in 1979 and 1980 frequently and repeatedly demonstrated that ARCO was paying prices for Nigerian crude significantly higher than prices paid by any other importers of the same crude.

ARCO officials said they could not get better prices in Nigeria and that the alternatives elsewhere were more costly.

Marc Rich operates through Marc Rich + Co. International Ltd. in New York, Mark Rich + Co. A.G. in Zug, Switzerland, and other affiliates in London and the Netherlands Antilles. Though not well known publicly, Rich is something of a legend in the oil industry as one of the largest international crude oil traders who broke away from Philipp Brothers in 1974.

Before the Iranian crisis, in November, 1976, and again in September, 1978, Rich's companies in Switzerland and New York obtained crude oil supply contracts from the Nigerian National Petroleum Co. (NNPC), according to Nigerian government documents. Industry sources reported that Rich controlled as much as 60,000 barrels a day of Nigerian production. The world price of oil then was about $15 a barrel.

In the fall of 1978, the momentum of the Iranian revolution was spreading to Iran's oil fields. By the end of the year, all production had ceased. "The cutoff was immediate, with ships in transit," said ARCO's Morse.

At the same time, ARCO was notified that other U.S. oil companies were curtailing supplies of Saudi Arabian crude that they had been selling to ARCO. By the end of 1979, ARCO's total loss of supply grew to 200,000 barrels a day out of an 850,000 barrel-a-day requirement, company officials said.

"What we had to do was to go out and buy that crude from somebody, somewhere," said James S. Morrison, president of ARCO Petroleum Products Co., which supervises crude oil purchases. "We had a lot of purchases on the spot market, and we made the decision that if we were to try to buy all of it on the spot market... we could... cause the spot market to go even higher than it was."
The energy secretary at the time, James R. Schlesinger, was calling on American oil companies to refrain from making purchases in the spot market out of fear that higher prices paid there would put pressure on OPEC countries to accelerate their price increases.

Morrison said ARCO officials decided in mid-1979 to try to obtain contracts for some of the crude oil it was purchasing on a "spot" basis up until that time.

In August, 1979, ARCO turned to Rich, from whom it had been buying Nigerian crude on a "spot" basis for some time. "There were lots of phone-booth operators who claimed to have all kinds of crudes," Morse said. "We knew him well and knew that he was one of the most reliable traders in the sense that if he had some crude, he really had it."

"Two contracts were executed on Aug. 28, 1979, one for 25,000 barrels per day effective Sept. 1, 1979, and the other effective Oct. 1, 1979, for 15,000 barrels per day," Morse said. According to Morse and Morrison, ARCO paid Rich the official posted price of the Nigerian state oil company plus $5 a barrel on the 25,000 barrel-a-day contract. On the second contract, for 15,000 barrels a day, ARCO paid Rich the official government price plus $8 a barrel.

With the official Nigerian price for its various grades of crude oil ranging from $22 to $24 a barrel, the $33-a-barrel premium represented one-third of a barrel's official price.

"It's kind of like buying a car," Morse said. "You never know if you've gotten the best deal the guy was willing to give, but you had a sense that... if you aren't willing to pay it, you won't get a contract."

ARCO officials said they received a total 9.4 million barrels during the term of the first contract for 25,000 barrels a day, which yielded up to $47.1 million in premium payments to Rich. On the second contract for 15,000 barrels a day, the company said it received 4.8 million barrels of crude, which increased the gross revenues to Rich for the first 12 months to as much as $85 million.

Rich's revenues may have been reduced by premiums he may have been forced to pay the Nigerian state oil company. Nigeria was one of several OPEC countries that attempted to extract from its customers a premium over and above Nigeria's official price during 1979.

Industry newsletters were reporting that some companies were paying a premium of $1.50 a barrel over the official price. Since Rich had signed some supply contracts in Nigeria before the crisis when oil was plentiful, it is not known whether Rich paid any additional premiums to Nigeria.

Petroleum Intelligence Weekly reported in September, 1979, that Nigerian oil officials had "settled for price surcharges of roughly 50 cents a barrel over official prices (trivial in the context of current world prices for 'extra' oil supplies) and in some cases even zero premiums." If Rich were paying a $1.50 a barrel premium, his revenues in the first 12 months would have been reduced from $85 million to about $64 million.

"He [Rich] probably made a fortune," Morrison said. "It's a big margin for a trader, but at the same time... he wanted the same kind of arrangement we wanted. We wanted some assurance that we'd have the product in the future and... he wants to be sure he has some kind of term sale for it."

By the end of the 12 months, the international petroleum market had cooled significantly. ARCO officials said they then decided to try to establish a long-term supply relationship directly with the Nigerian state.
oil company to meet ARCO's projections that demand for petroleum products would continue rising and prices would remain high.

"Executives responsible for crude oil supply... made numerous, unsuccessful visits to Lagos [the Nigerian capital] attempting to arrange for the supply of crude," Morse said.

In July, 1980, ARCO again turned to Rich. By this time, ARCO's Morse said, spot market prices had dipped below the official Nigerian price of about $37 a barrel. ARCO signed one new contract with Marc Rich & Co. agreeing to pay the official Nigerian selling price plus a $3-a-barrel markup beginning Aug. 1, 1980. This contract generated gross revenues to Rich of up to $17.4 million over its term.

A second contract was signed directly with the Nigerian state oil company, but Marc Rich & Co. received a consulting fee for having helped arrange this contract and for "contract administration services." Spot market prices recovered briefly that fall and then continued at a steady downward pace through the rest of 1980 and into 1981. On a number of purchases in 1980 and 1981, ARCO paid more for Nigerian crude purchased through Rich than it could have paid for similar crude on the international spot market.

ARCO officials said they had not foreseen the drop in spot market prices. Morse said ARCO was aware of projections in the industry that demand for oil would fall in late 1980. But he said ARCO continued to concentrate on purchases of Nigerian oil because it still wanted to build a long-term supply relationship with Nigeria and because Nigeria's high-grade crudes were well suited to the technical requirements of ARCO's Philadelphia refinery.

"In hindsight, we should have known we were right on the brink of that precipice" of falling prices, said Morrison, noting that after "signing this deal... demand started going to the toilet, but that's the benefit of hindsight, and that's what makes horse races, I guess."

On the second of the two contracts—the one made directly with the Nigerian oil company, with assistance from Rich—ARCO drew 9.5 million barrels over nine months. ARCO paid the Nigerians the official selling price for this crude and in the side agreement ARCO agreed to pay Marc Rich & Co. a consulting fee of $2.50 a barrel for Rich's assistance.

This consulting fee amounted to $16.5 million, and ARCO included the fee as part of its crude oil costs that were reported to the Energy Department. According to Morrison, ARCO paid the consulting fee to Rich for using "his good offices to help us be able to make a deal straight with Nigeria."

"When it was discovered that we had been incorporating that fee into the... [cost] of crude, it was my judgment that that was not something that the [federal] regulations contemplated," Morse added.

He said that, although the fee was inadvertently included in ARCO's costs that could be passed through to consumers, the oil company did not pass it through "because of the market."

By the time Morse and others discovered that the fee had been included in ARCO's crude cost base, President Reagan had abolished price controls on oil. In a May, 1981 letter attached to a monthly filing to the Energy Department, ARCO stated that the fees to Rich had been "backed out," for accounting purposes, of ARCO's crude oil costs for the period, Morse said.

"Bloom, the Energy Department's special counsel at the time, called ARCO's treatment of the Rich consultant's fee an act of "dubious legality... as a legitimate cost under the regulations in my opinion."
Bloom also said that in 1980 he began a separate investigation of ARCO's contract arrangements in Nigeria. This investigation remained incomplete when he left office in early 1981.

Bloom said he called Morse and other ARCO officials to meetings in Washington and questioned them about the details of the contracts. In answering questions, ARCO officials "never disclosed," Bloom said, the consulting fees to Rich or the fact that the payments were being included in the cost of crude oil as calculated under the price control regulations.

"That would have been a tremendous red flag if they [ARCO officials] were paying a broker or fees on what was being reported as direct contract purchases from the Nigerian government," Bloom said. "My best recollection is that we did disclose that we had entered a services and consulting agreement whereby we paid fees to Marc Rich," replied Morse, "but that they were not included in the cost of crude because . . . I was operating on the assumption that they were not included . . ."

Morse said he only discovered months later that he had been wrong on how ARCO treated the Rich fees. He said the Energy Department was notified about this in the May, 1981, letter, after Bloom had left.

Morrison, when asked why it was in Rich's interest to help ARCO deal directly with the Nigerian government, thus eliminating the need for a middleman, replied, "Well, we paid him for helping and it was either that or he wasn't going to get anything . . . [and] he probably was tumbling to the realization that the Nigerians are going to cut him out anyway . . ."

Another issue faced by ARCO was that Rich was doing business in a country widely known for its persistent corruption problems.

"We explained our understanding of the Foreign Corrupt Practices Act to Mr. Rich and he understood and knows that law and we have a provision in our contract with him that none of the fees were to be used for any unlawful payments," Morse said, adding that Rich had legitimate arrangements for dealing with the Nigerian government.

ARCO maintained the contract relationship until May, 1981. "While it [ARCO] had the option of breaching the 1980 contracts when, in late 1980-early 1981 it appeared they were not advantageous, ARCO elected to terminate as contractually permitted rather than prematurely breach its covenants," Morse said.

ARCO's Morrison said the company's original justification for pursuing a Nigerian connection had fallen by the wayside for two reasons: first, spot market prices were destined to stay below the prices ARCO had contracted for in Nigeria and, second, the worldwide demand for crude had subsided causing ARCO to cut back its refinery operations.

"So you say, 'Now the world looks different to me than it did nine months ago,' and making another call I say, 'Have I made a bad investment and should I cut my losses?'" Morrison said the answer was yes: "The whole rationale underlying the need for that Nigerian contract and a permanent on-going relationship is much diminished . . ."

"You have to keep in mind that the overall framework at the time, political sensitivities and so forth, that the paramount thing in our mind is keep the refineries full and don't be accused of creating a shortage," Morrison added. "And, for Christ's sake, try to buy the crude at the lowest price you can, but get the crude. That was the orders for the crude people . . ."
A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to was served on at that address by SA

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/16/83

A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to [Blank] was served on [Blank] at that address by SA [Blank]

2/4/83

Investigation on 196B-1214 (NSRA) by SA [Blank] at [Blank]

PHILADELPHIA

Date dictated 2/10/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
On February 8, 1983, the subpoena for telephone number regarding service of a subpoena for Ms. advised that she would accept service of the subpoena by mail at

Date of transcription 2/8/83

FEDERAL BUREAU OF INVESTIGATION

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.
TO: SAC, NEW YORK (196B-1774) (M-1)
FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (MRC)
SUBJECT: MARC RICH, dba
Marc Rich & Company;
ET AL
FBW-ENERGY RELATED
(00: NEW YORK)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are returned copies of Federal Grand Jury subpoenas served by Philadelphia. Also enclosed for New York are original and one copy each of FD-302s setting forth the details of those subpoena services.

Approved: J.L. (Handwritten)

Transmitted (Number) (Time) Per 1
TO: SAC, NEW YORK (196B-1774) (M-1)
FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (PNC)
SUBJECT: MARC RICH, dba
Marc Rich & Company;
ET AL
FBW-ENERGY RELATED
(GO: NEW YORK)

Re New York airtel to Alexandria, 1/28/83.
Enclosed for New York are returned copies of Federal Grand Jury subpoenas served by Philadelphia. Also enclosed for New York are original and one copy each of FD-302s setting forth the details of those subpoena services.
TO: ADIC, NEW YORK (196B-1774) (M-1)
FROM: RAC, KANSAS CITY (196B-902) (WRA-SRA) (RUC)
MARC RICH;
dba Marc Rich and Company;
ET AL;
FBW;
ENERGY RELATED
CO: NY

Re New York airtel to Alexandria dated 1/28/83.

The subpoena for enclosed in the referenced airtel was served on 2/8/83,

advised that the items requested in the subpoena had previously been forwarded to New York City based on a subpoena served at on a previous date.

On 2/11/83, the subpoena for enclosed in the referenced airtel was

provided reflecting

advised that had become

associated with

New York
1-Kansas City
DSB:jlh
(3)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 2/23/83 BY SPALTERS
(CDIR #01-051)
The provided were sent via registered mail to the U.S. Attorney's Office, Attn: (AUSA), One Street Andrew's Plaza, New York, New York, 10007. The were provided in this manner due to a request from AUSA per a telephone conversation on 2/11/83.
TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: DAV, KANSAS CITY (196B-902) (WRA-SRA) (RUC)
MARC RICH
dba Marc Rich and Company; ET AL
FBW;
ENERGY RELATED
OO: NY

Re New York airtel to Alexandria dated 1/28/83.

The subpoena for enclosed in the referenced airtel was served on 2/8/83.

advised that the items requested in the subpoena had previously been forwarded to New York City based on a subpoena served at on a previous date.

On 2/11/83, the subpoena for enclosed in the referenced airtel was served on

provided reflecting

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associated with

with

1-New York
1-Kansas City
DSB: jlh
(3)
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, ST. LOUIS (196B-718) (RUC)

SUBJECT: MARC RICH, dba Marc Rich & Company; et al;
         FBW - ENERGY RELATED (B)
         OO: NEW YORK

Re: New York airtel to Alexandria, 1/28/83.

Enclosed for New York is original subpoena which was
enclosed in referenced airtel. A copy of this subpoena was
served on 2/4/83 on telephone number __________

On 2/22/83 advised that ______ had required
to retrieve the subpoenaed ______ and
due to the unavailability and subsequent uncooperativeness of
the AUSA in New York City, ______ had to go to court to get an
________ advised that when all ______ are retrieved they will
probably be forwarded to this attorney for presentation to the

Approved: 6-LY/M Transmitted 09 (Number)

ORIGINAL DOCUMENT(S) ENCLOSED
DO NOT BLOCK STAMP

New York (Enc. 1)
1-St. Louis
RJW:kyw (3)
TO: ADIC, NEW YORK (196B-1774)
FROM: SAC, ST. LOUIS (196B-718) (RUC)
SUBJECT: MARC RICH, dba Marc Rich & Company; et al;
FBW - ENERGY RELATED (B)
OO: NEW YORK

Re: New York airtel to Alexandria, 1/28/83.

Enclosed for New York is original subpoena which was enclosed in referenced airtel. A copy of this subpoena was served on 2/4/83 on _________________.

On 2/22/83 I advised that ___ had required to retrieve the subpoenaed and due to the unavailability and subsequent uncooperativeness of the AUSA in New York City had to go to court to get an also had to hire an attorney in New York, and advised that when all are retrieved they will probably be forwarded to this attorney for presentation to the U.S. Attorney's Office, Southern District of New York.
### Table: Item Details

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<td>21</td>
<td>1968</td>
<td>Submarine sword.</td>
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<tr>
<td>22</td>
<td>1968</td>
<td>Photo of Rook &amp; Poona still, plus negative.</td>
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<td>1968</td>
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<td>Incidental copy of passport received 10/7/68.</td>
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<td>26</td>
<td>1/85</td>
<td>Passport issued 12/12/63.</td>
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<td>27</td>
<td>5/67</td>
<td>Feature magazine article containing recent photos of Poona.</td>
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<tr>
<td>28</td>
<td>4/68</td>
<td>Motivational letter to 249, Switzerland. Right Green reportedly have their company.</td>
</tr>
<tr>
<td>29</td>
<td>3-10/69</td>
<td>Copy of subpoena served at.</td>
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<td>30</td>
<td>3-18/69</td>
<td>FD-193: 160, destroyed on 1/68.</td>
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**Note:** All information contained herein is unclassified. Date 2/18/61. By [Redacted].

**Title:** [Redacted]

**Date:** 1968-12-31

**Page:** FD-340a (Rev. 10-3-77)
Field File No. ________
00 and File No. NY 196-1774-189
Date Received 11/3/82
From (NAME OF CONTRIBUTOR)
(address of contributor)
By (NAME OF SPECIAL AGENT)
To Be Returned □ Yes □ No
Receipt Given □ Yes □ No
Grand Jury Material—Disseminate Only
Pursuant to Rules 6(e), Federal Rules of Criminal Procedure
Description:
PHOTOGRAPHS AND NEGATIVE
OF
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/82 BY OSF (JS) 01-087
Field File No. NY 196-1774/4
00 and File No. NY 196-1774/4
Date Received 11/3/82
From (NAME OF CONTRIBUTOR)

ADDRESS OF CONTRIBUTOR

(CITY AND STATE)
By (NAME OF SPECIAL AGENT)

To Be Returned □ Yes Receipt Given □ Yes
□ No Grand Jury Material-
□ Yes Disseminate Only
□ No Pursuant to Rules 6(e), Federal Rules

Description: PHOTOGRAPlHS AND NEGATIVE

ALL INFORMATION CONTAINED
DISCLOSED IS UNCLASSIFIED
DATE 2/27/80 BY 01-2881
Field File No.  NY 196-1774/A3
00 and File No.
Date Received  11/10/82
From
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By
(NAME OF SPECIAL AGENT)

To Be Returned □ Yes   Receipt Given □ Yes
□ No                  □ Yes                 Grand Jury Material—
□ Yes                □ No                 Disseminate Only
Pursuant to Rule
6(e), Federal Rules
of Criminal Procedure

Description:
PHOTOGRAPHS AND NEGATIVE

OF

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/27/81 BY SCS(72)98
01-087
Field File No. 00 and File No. NY 196-1774-1974
Date Received 11/10/82
From

(NAME OF CONTRIBUTOR)

ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By

(NAME OF SPECIAL AGENT)

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

Grand Jury Material- Disseminate Only
Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

PHOTOGRAPHS AND NEGATIVE

OF

ALL INFORMATION CONTAINED HEREIN IS CLASSIFIED
DATE 2/27/81 BY SPHMC
01-081
Field File No.  
00 and File No. NY 146-1724/A  
Date Received 11/10/82  
From  
(NAME OF CONTRIBUTOR)  

(ADDRESS OF CONTRIBUTOR)  

By  
(NAME OF SPECIAL AGENT)  

To Be Returned  □ Yes  □ No  
Receipt Given  □ Yes  □ No  

Grand Jury Material  
Disseminate Only  
Pursuant to Rules  
6(e), Federal Rules  
of Criminal Procedure  

Description:  

PHOTOGRAPHS AND NEGATIVE  

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2/22/81 BY SOS/CLAB  
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Field File No. | NY 196-777471
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00 and File No. | 11/10/82
Date Received | 11/10/82
From | (NAME OF CONTRIBUTOR)
ADDRESS OF CONTRIBUTOR | 
(CITY AND STATE) | 
By | (NAME OF SPECIAL AGENT)
To Be Returned | No
Receipt Given | No
Grand Jury Material - Disseminate Only
Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

Photographs AND NEGATIVE

OF |
Field File No. 196A-1774/1A0
00 and File No. NY 196A-1774
Date Received 9/19/83

To Be Returned [ ] Yes [ ] No
Receipt Given [ ] Yes [ ] No

Grand Jury Material:
[ ] Disseminate Only
Pursuant to Rules 6(e), Federal Rules
of Criminal Procedure

Description:
Copies of Arrest Warrant for Marc Rich and

United States District Court

UNITED STATES OF AMERICA

v.

MARC RICH

WARRANT FOR ARREST

DISTRICT

SOUTHERN DISTRICT OF NEW YORK

DOCKET NO.

83 Cr.

MAGISTRATE CASE NO.

NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED

WARRANT ISSUED ON THE BASIS OF:

☑ Indictment

☐ Information

☐ Complaint

TO:

ANY United States Marshal or any other authorized officer

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud; Income Tax Evasion; Trading with the Enemy.

IN VIOLATION OF

UNITED STATES CODE TITLE

18, 26, 31

SECTION

1962 (c)(d), 1341, 1343 & 2,

BAIL

OTHER CONDITIONS OF RELEASE

ORDERED BY

U.S.D.J.

09/19/83

09/19/83

SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)

DATE ORDERED

DATE ISSUED

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED

DATE EXECUTED

NAME AND TITLE OF ARRESTING OFFICER

SIGNATURE OF ARRESTING OFFICER

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.
**WAR.RANT FOR ARREST**

United States District Court  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA  
v.  
PINCUS GREEN

WARRANT ISSUED ON THE BASIS OF:  
☐ Order of Court  
☒ Indictment  
☐ Information  
☐ Complaint

TO:  
ANY United States Marshal or any other authorized officer

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

**DESCRIPTION OF CHARGES**

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud  
Income Tax Evasion; Trading with the Enemy.

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

IN VIOLATION OF  
UNITED STATES CODE TITLE  
18, 26, 31  
SECTION  
1962 (c)(d), 1341, 1343 & 2

ORDERED BY  
U.S.D.J.  
SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)  
DATE ORDERED  
09/19/83

CLERK OF COURT  
DATE ISSUED  
09/19/83  

This warrant was received and executed with the arrest of the above-named person.

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.
Field File No.: 196A-1774-1901
OAO File No.: 196A-1291
Date Received: 9/17/83

(Name of Contributor)

(Address of Contributor)

(City and State)

By: DON L. RAMSEY
(Name of Special Agent)

To Be Returned □ Yes □ No □ Yes □ No

Receipt Given □ Yes □ No

Grand Jury Material - Disseminate
Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

SUBPOENA SERVED ON

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 5/12/83 BY SPECIAL AGENT

OCT 4 1983
FBI - NEW YORK

(ORK #: 01-081)
ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE: 2/28/01 BY JPSJC/ES
(CDRU #01-081)
Field File No. 196 A - 1714
GO and File No. 1774
Date Received 12-1-83
From Photo Lab
(Name of Contributor)
(Address of Contributor)
(City and State)
By
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No ☐ Yes Receipt Given ☐ Yes ☐ No
☐ No
☐ Yes
☐ No
Grand Jury Material - Disseminate Only Pursuant to Rules 6(e),
Federal Rules of Criminal Procedure

Description:
- Photograph of Marc Rich
- Francis Green
- Negative of Photographs

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED.
DATE 2/3/81 BY 3P-NO/EDS.
(CD&L#04081)
ALL INFORMATION CONTAINED HERE IN IS UNCLASSIFIED
DATE 2/28/81 BY SPEC/EDS
(CDRU #01-081)

ALL INFORMATION CONTAINED
HERE IN IS UNCLASSIFIED
DATE 2/28/81 BY SPEC/EDS
(CDRU #01-081)
Field File No. 196A-1774
OG and File No. NY 196A-1774-1983
Date Received 1/9/84
From. Bureau (Name of Contributor)
(Address of Contributor)
(City and State)
By (Name of Special Agent)
To Be Returned □ Yes Receipt Given □ Yes
□ No □ No
□ Yes Grand Jury Material - Disseminate
□ No Only Pursuant to Rules 6(e),
Federal Rules of Criminal
Procedure

Description: Copy of Fingerprint card
of Pincus Green.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 8/28/01 BY ESP1/EDS
(CIRN 01-081)
Field File No.       1960-1724
Serial # of Originating Document
OOG and File No.       12/22/76
Date Received
From
(Name of Contributor)
(Address of Contributor)

By

To Be Returned □ Yes □ No  Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No

Title:       Marc R. (engineer), et al.

Reference:    ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

Date 4/28/71 by Specials

(CDEU 401-081)

Description: □ Original notes re interview of

Fortune Magazine Article

Containing recent photos of Marc R.

1A27
Chief Executive Fred Joseph of Drexel Burnham Lambert, the firm at the center of the unfolding Boesky affair, posed in his Broad Street office for photographer Joyce Ravid. See page 26.

Wall Street's Spreading Scandal
 Deals are unraveling and raiders are retreating, but corporate managers can't relax.  by Ford S. Worthy

Travail at Drexel Burnham
 The firm that lined up billions for buyouts is reeling. Plus an exclusive interview.  by Stratford P. Sherman

What's Legal—and What's Not
 Insider trading rules are so vague that it's hard to know when they are broken.  by Monci Jo Williams

The Lifestyle of Rich, the Infamous
 U.S. tax fugitive Marc Rich is happily running a billion-dollar Swiss commodities firm.  by Shawn Tully

The Editor's Desk
 News/Trends
 The Boesky book and movie boom, Celtics for sale, the corporate exodus to Delaware, mumbo jumbo in cosmetics, a farewell to America, and more.

The Selling Off of America
 Foreign investment in the U.S. is growing more than $100 billion a year. But don't despair.  by Jaclyn Fierman

The Selling Off of America
 Foreign investment in the U.S. is growing more than $100 billion a year. But don't despair.  by Jaclyn Fierman

Competitors
 Where Airplanes Go to Die
 It's not the Great Junkyard in the Sky, but an Air Force base in the desert near Tucson.  (A picture portfolio)

Companies to Watch
 Toyota Takes Off the Gloves
 Despite the strong yen, Japan's No. 1 carmaker is out to boost world market share.  by Joel Dreyfuss

Companies to Watch
 Behold, the Bell Tel Cell Wars
 The Baby Bells are battling each other for control of the buzzing mobile phone market.  by Stuart Gannes

Selling
 Labor's Man on Wall Street
 Lazard Frères' Gene Kellin advises unions on everything from givebacks to profit sharing.  by Irwin Ross

Profile
 People to Watch
 The Real Cost of Disarmament
 Whatever happens, the defense industry is unlikely to suffer.  by Lee Smith

MONEY & MARKETS

COMPETITION

THE ECONOMY

POLITICS & POLICY

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE: 12/22/86

(CDBU #01-051)
WHEN THE BOESKY scandal broke, FORTUNE readers already had the issue (November 24) with our cover story analyzing why Wall Street's young stars, who profit so extravagantly from the takeover game, were headed for a fall. The day the Boesky news hit, we went to press with the issue (December 8) examining what the scandal said about the decline of business ethics. Now, in the cover package that begins on page 26, we examine the long-term consequences. Says Edmund Palffermayer, Marc Rich (left) with writer Shawn Tully.

who edited the two main stories: "We look beyond the heat of the battle to answer the big questions." Among them: What will the scandal do to takeover fever? What will happen to junk bonds and the investment bankers who float them? What laws might Congress pass? For the lead article, writer Ford Worthy interviewed dozens of investment bankers and the investors and arbitragers with whom they deal. His conclusion: "The public is going to be more skeptical about companies that specialize in takeovers and load up with debt. And things are ripe for regulation."

The story on Drexel Burnham Lambert was written by the author of our previous article on the investment bankers' clouded future, Stratford F. Sherman. He reports that Drexel's chief executive, Frederick H. Joseph, seemed unusually grim.

Just what is illegal insider trading? Remarkably, no statutes or regulations define it, so writer Monci Williams pored through mounds of case law for her definitive story on the subject, which was edited by Al Ehrbar.

As a bonus, Paris Bureau Chief Shawn Tully tracked down the central figure in an earlier scandal, commodities trader Marc Rich, who fled from the biggest tax evasion case in U.S. history. You may or may not be pleased, but you surely will be interested to know that he is alive, well, and prospering as never before in beautiful Switzerland.
The SEC has been fretting most in recent years about the informational advantage that stock market professionals sometimes have in takeover fights. In 1980 it adopted Rule 14e-3, which makes it illegal for anyone with inside information about a takeover to trade the target company's stock. An investor is in violation of the rule even if he doesn't get the information directly from an insider. He simply has to "know or have reason to know" that the information came from an insider.

Rule 14e-3 and Ivan Boesky were made for each other. Dennis Levine gave him advance word of takeovers and Boesky took big positions in the stocks of target companies, reaping enormous profits when the prices of the stocks subsequently shot up. Boesky sealed his guilt by agreeing to share his winnings with Levine, making it undeniable that he believed Levine was furnishing him with bona fide inside information and not just Wall Street scuttlebutt.

By most any logic, Boesky's big sell-off in advance of the SEC's announcement of the charges against him should be illegal too. In both cases Boesky came out ahead by virtue of inside information that was material to the prices of the securities he traded. But the SEC's logic is unlike any other, and it has different rules when the inside information does not involve a takeover. Part of its reasoning seems to be that the charges against Ivan may have been material to him and his investment partnership, but not to the companies whose stocks he sold.

What's more, it apparently is legal in many cases for an outsider to trade on material non-public information, provided he did not get it from an inside tipster and the information is about anything other than a tender offer. The SEC would prefer otherwise. It would likely to prosecute anyone trading on undisclosed news. But the U.S. Supreme Court tied its hands in a case involving Raymond Dirks, the security analyst who uncovered the Equity Funding scandal in 1973.

Dirks discovered that the insurance company was cooking its books to a fare-thee-well and tipped off big clients of his brokerage firm before he told the SEC what he had found. The commission, furious that Dirks's customers got the information first, charged him with insider trading. Dirks took the case to the Supreme Court, which looked for evidence of fraud, the thing that the original statute prohibits. The justices ruled that Dirks had to be a fiduciary of Equity Funding in order to manipulate or deceive investors, and threw out the charges. Some legal experts say the Dirks ruling raises questions about whether Rule 14e-3—the one that helped the SEC nail Boesky—is valid.

The SEC, meanwhile, is trying to free its hands to go after outsiders. It has expanded the scope of insider trading with something called the misappropriation theory, which holds that it is illegal to trade on stolen information. That's the argument the SEC used in its charges against Foster Winans, the Wall Street Journal reporter who passed on the names of stocks about to be mentioned in the newspaper's Heard on the Street column. Winans was not an insider and didn't even have material information about the companies involved. The SEC got a conviction against Winans by arguing that what he did was illegal because he stole the information from his employer. Winans is appealing and some legal experts think he could win.

What Winans did was plainly unfair to other investors—and unethical—even if it ultimately proves to have been legal. If the SEC loses the Winans appeal, it will figure out another way to go after similar actions in the future. Indeed, it seems safe to assume the commission will find some rationale to prosecute people for any kind of trading that it regards as unfair to investors in general.

The SEC's refusal to come up with a clear definition of insider trading makes life precarious for arbitragers. Though many, like Boesky, are lawyers who know the regulations cold, they spend their lives working in the gray areas of the law. They trade information as furiously as they trade stocks, forever calling raiders, investment bankers, and takeover lawyers to get the slightest informational edge on their competitors. They walk up to the legal line the SEC has drawn and then lean as far over it as they can. Problem is, the SEC keeps moving the line.

That's a problem for ordinary corporate folks as well. Many people think the term insider applies just to officers and directors, the ones who have to file disclosure forms whenever they buy or sell their company's stock. In fact, anyone who is privy to important information about his or her employer can be an insider. If you find out someone has made a secret bid for your company and

SEC Chairman John Shad says fuzzy laws enable him to do a better job against insider trading.

Buy some shares, you have violated Rule 14e-3. The rules are more vague for other kinds of news. But all executives should keep a couple of thoughts in mind. Since they know more about their company than outsiders, they always are in possession of some inside information. And the Texas Gulf decision still stands. Whether information is "material" is a judgment call, and the SEC is the judge. So if you have any doubts about where you stand, don't trade before you consult your company's lawyer.
"I'm a modest, quiet person who has never done anything illegal."

On top of the world in Zug: Marc Rich has a five-bedroom house, a six-story office building, and an 11-digit company.
Marc Rich, biggest tax fugitive in U.S. history, is in Switzerland happily running a commodities trading firm worth nearly $1 billion. — by Shawn Tully

BY STRIKING A DEAL with the SEC and federal prosecutors, Ivan Boesky avoided the hoary tactic of financiers in legal trouble—the quick flight to a country that will not extradite U.S. fugitives. Commodity trader Marc Rich, 52, fled first and tried to deal later. Facing a 65-count criminal indictment that could result in a 325-year prison term—the biggest tax evasion case in U.S. history—he may be abroad for a long time.

His life on the lam is luxurious—and lucrative. Unlike fugitive Robert Vesco, who is holed up less than splendidly in Cuba after years of spending his booties bribing various officials around the Caribbean, Rich is living grandly in Switzerland. Though he has long avoided the U.S. press, Rich spent a day talking to FORTUNE in November, his first interview with a non-European publication since he slipped out of New York.

Today his slim face is framed by slicked-back hair and bushy sideburns, and he has an air of dour refinement. In his office he chain-smokes imported cigars and downs Diet Coke. Rich's Swiss-based international commodities trading company, Marc Rich & Co. AG, has become one of the biggest in the world. He says that the company earned more than $100 million before taxes on trading volume of $12 billion in 1985 and that its capital stands at $950 million.

Rich has a five-bedroom house filled with valuable art in the picturesque village of Zag, 15 miles south of Zurich, and a ski chalet in St. Moritz. He is a regular at concerts in Zurich and Lucerne. His American wife has become a rather famous European pop-record star. Thanks to an expensive P.R. campaign and expansive charitable giving, Rich has achieved something resembling respect in Swiss society.

Living abroad is not a new experience for him. Born in Antwerp, Rich came to the U.S. with his parents at age 8. As a rising young commodities trader for New York-based Philipp Brothers, now a subsidiary of Salomon Inc., he resided in Spain for 14 years. Still, returning to America has become an obsession. "I want very badly to be able to go back," he says, speaking in a faintly European accent. "I think about the U.S. every day. My mother is there and my in-laws. It's a generous country that accepted my parents and me." (When his father died in New York last September, Rich was pained not to attend the funeral. Federal agents would have arrested him.)

To find some way out Rich has assembled an influential legal team headed by Washington superlawyer Edward Bennett Williams and including Leonard Garment, former special counsel on the Nixon White House staff. Robert Gray, the Washington public relations consultant who was secretary to the Cabinet in the Eisenhower Administration and co-chairman of Ronald Reagan's first inaugural, is on retainer.

"I've made mistakes," Rich says, in what starts out sounding like contrition. "I guess my reputation will never fully recover." Then it becomes clear he is talking about legal strategy. He argues that what he really has is an image problem. "I've been portrayed in a horrible way," he says, "as a workaholic, a loner, a money machine. It's not a true picture. I'm a modest, quiet person who has never done anything illegal." Sometimes he portrays himself as victim: "What happened to me was an unfortunate chain of events that hasn't shaken my faith in the U.S."

The Justice Department isn't buying any of that. In the prosecutors' view, Rich and partner Pincus "Pinky" Green, 52, are simply fugitives. Assistant U.S. Attorney Martin Auerbach says his office is ready to go to trial if it can get its hands on the defendants. For U.S. authorities, Rich and Green are Vesco-size targets. Marshals have designed tantalizing schemes to nab them, especially Rich, who is the more active of the two. Rich has nearly the bait several times, only to slip away at the last moment.

The case is hideously complicated, and some of it hinges on violations in 1980 and 1981 of oil price laws long since repealed and never particularly popular. If Rich and Green were fudging price controls, they had a lot of company. A number of major oil producers have long since settled similar cases. The fugitives are also accused of trading with the enemy, for buying
oil from Iran during the hostage crisis in 1980.

If those issues were all the case involved, Rich and Green might have come home long ago, or might never have left. The big one is tax evasion. The government charges that the pair smuggled $108 million of profits from those illegal oil transactions to Switzerland to avoid paying $48 million in U.S. taxes. Shortly after fleeing to Switzerland, they reportedly offered to pay $100 million if the government would settle the charges—and were turned down flat. Says Auerbach: “They have broached this issue for a long time through a variety of channels in the Justice Department and anywhere else in Washington they can get a hearing. They are no closer to coming back to the U.S. now than when they left. They can’t buy their way out of jail.”

Faced with such an uncompromising position, Rich apparently has quietly switched legal strategies. His lawyers plan to attack the evidence in the tax case in hopes of persuading the government to drop the indictment. Says one: “We’re going back and reviewing everything. What we’re learning gives us some encouragement, but we’re not Pollyannas.”

Until his lawyers cooled on the idea, Rich was thinking of launching a major P.R. campaign in the U.S. In 1984 Robert Gray traveled to Zug with his associate Frank Mankiewicz, former head of National Public Radio, and Meryl Comer, a consultant to Gray who also co-anchors a business news show on ESPN, the cable-TV network. Gray advised Rich to go public in the U.S. by granting interviews to U.S. newspapers and TV reporters. Comer even taped a practice interview with Rich to see how he came across.

Rich did buff up his image in Switzerland with a successful campaign led by Peter Hargitay, a Zurich P.R. man. Hargitay says he was paid a monthly retainer that added up to “the middle six figures” annually. In 1985 and 1986 Hargitay arranged about 30 interviews with Swiss newspapers, magazines, and TV stations. Sometimes he looked over the interviewers’ questions in advance, then helped edit the interview. Most of the coverage was favorable, in part because the Swiss business press is notoriously flattering. Interviewers asked Rich about the future of OPEC, the commodities business, and how he liked Switzerland, but rarely broached the legal case. He told one that he missed New York “not at all” and wished he had “come to live in Switzerland many years ago.”

Though a few Swiss grumble that Rich is trying to buy a good name, most seem happy to let him try, as long as he is willing to spend so much cash on the project. This year, among a host of charitable activities, Marc Rich AG set up a $3-million foundation to make grants to artists, scientists, and worthy organizations in Switzerland. In November the foundation sprinkled $150,000 among a Zurich chamber orchestra, a group that teaches the disabled to work, and the Catastrophe Dogs, an organization that uses dogs to find people in the rubble of earthquakes. The foundation’s board is headed by a retired three-star general who runs Switzerland’s Red Cross.

Lavish parties have helped Rich make friends. The splashiest was his 50th birthday bash two years ago in Lucerne’s National Hotel. His wife sang two of her compositions: “Don’t Look Back” and “The Years Go By So Quickly.” Marc’s partners gave him a ten-foot-long sailboat made of chocolate, plus the title to a motorboat to be delivered later. The highlight of the evening was a mock boxing match pitting a clown wearing the Marc Rich logo against one dressed as a New York cop. Another clown in judge’s robes acted as referee.

Though he walked away from a ten-room Park Avenue apartment in New York, Rich has hardly taken a step down. His hilltop house has a breathtaking view of the misty lake of Zug. Cream-colored carpeting and sleek modern furniture designed by a California decorator set off superb works of art. His collection includes two Picassos, as well as paintings by Georges Braque, Fernand Léger, and Joan Miró, and a sculpture by Alberto Giacometti. A giant satellite dish captures programs from France, England, and Germany, as well as Cable News Network from the U.S. A Spanish couple serve as butler and maid.

Rich and his wife have three daughters: Gabrielle, 17, and Daniella, 11, go to school in Switzerland and Ilona, 19, is an art student in France. Fluent in German, French, and Spanish, Rich speaks Spanish to his terrier, Macho, and to his daughters. The two oldest girls learned the language as very young children in Spain, and he doesn’t want them to forget it.

On ski weekends in St. Moritz, Rich
Wines & Spirits

WINE: SCOTCH

Only those with the
luck of Christmas would pay twenty dollars for a
bottle. But then, it's not Scotch whisky.
But you're not paying twenty dollars. Yet.

If you're still
desiring single malt Scotch, with
unparalleled
taste and passion.
So, don't be an old Scrooge...this
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MONEY & MARKETS

Each morning, Rich takes a dip in his indoor pool, then is chauffeured in a gray Mercedes to the office ten minutes away.

His headquarters, a six-story cube of blue reflecting glass, is plushly appointed. Soft jazz and popular music fill the halls and elevators. The lobby floor is burgundy marble, and the carpeting is salmon pink. On the walls are a collage by Swiss artist and architect Le Corbusier and a painting by 20th-century Spanish painter Antonio Quirós.

Rich's office is equipped with an electrically operated door so that he can buzz visitors in without leaving his desk or getting off the phone. At lunchtime he strolls across a parking lot to his private dining room at the Chashof, a restaurant owned by his company that offers both Swiss and kosher food.

H E CAN wolf down a three-course lunch in 30 minutes to rush back to the office. Windy discussions irk him. Occasionally he simply excuses himself, even from his own office, sending an underling back to finish the conversation. "He's always stressed and in a hurry," says one former associate. "And he never says thank you." But friends assert he is flawlessly considerate to them. He sends long, handwritten letters to friends and employees on the death of a parent or the birth of a child, and fetches coffee for business visitors. He is extremely soft-spoken. "Sometimes when I fire someone," he says, "they don't notice right away."

For Rich, once an inveterate globetrotter, the world has shrunk drastically. Tax evasion as defined by U.S. law is not included in Switzerland's extradition treaty with the U.S. Rich also can safely visit Spain. Several years ago he became a Spanish citizen, though neither he nor his lawyers will say how or why he arranged it. But many countries in Western Europe will extradite U.S. fugitives indicted for tax fraud.

In contrast to her cosmopolitan husband, Denise Rich is overwhelmingly American, a self-described "junk-food addict, pizza lover, and fan of deli corned-beef sandwiches." Daughter of a wealthy New England shoe manufacturer, she is a sunny optimist who gushes, "I'm surrounded by positive energy." Raven-black hair and almond eyes give her an exotic look. She and Marc met on a blind date in New York around Christmas 1965.

After years of trying, Denise hit the big time last year with her song "Frankie," sung by the American female rock group Sister Sledge and released on Atlantic Records. "Frankie" was the No. 1 hit in Britain for six weeks and sold more than 750,000 copies, winning a gold record. Meanwhile, Denise has sung on TV in Switzerland and Germany, and recently made a music video in London. Her new album for MCA Records, Sweet Pain of Love, is now on sale in Switzerland and will be distributed in the rest of Europe in February. She says that some of the songs are about Marc.

If Marc and Denise are an odd couple, Rich and Pinky Green are an equally unlikely twosome. Green is as playful as Rich is intense. "Pinky reminds me of Groucho Marx," says a former Rich associate. Tall and crewcut, Green is a confirmed quipster. Asked about the oil business, he shoots back, "Oil? Isn't that the stuff you pack sardines in?" Devout religious, Green has a home in Geneva. Adds Richard Perkins, head oil trader at Chevron International: "We do deals with him. Marc Rich has always performed on his contracts and has good standing with the majors." Rich estimates that Marc Rich AG trades 900,000 barrels a day in crude oil, and another 400,000 barrels of naphtha and other oil products.

According to Rich, the company has weathered the commodities recession better than other traders by carefully minimizing risks. It seldom buys a cargo, he says, without first lining up a customer—at a price that includes a slim trading margin. "We see the trading as a service business," he says. "We put producers and buyers together in exchange for a service charge. We hope not to be too dependent on price cycles. We're not sexy or speculative. It's insane to try for a killing in today's market." Some caution a few years ago might have saved Rich and Green a lot of lawyers' fees—not to mention one-way tickets to Zug.

M ARC RICH AG is run by a triumvirate of Rich, Green, and Hackel, who hold the majority of the company's stock. About 100 employees also own shares. Rich looks after oil, Hackel runs the metals and minerals division, and Green, nicknamed "the Admiral," handles shipping, along with finance and administration. Rich says he and the other top partners each earn $1 million or more a year.

Whatever U.S. prosecutors think about Rich, competitors and clients have respect for his abilities as a trader. They say he combines excellent judgment with a vast network of contacts around the world. "He has survived because he has the most talent," says Slimane Bouguessa, a competitor in Geneva. Adds Richard Perkins, head oil trader at Chevron International: "We do deals with him. Marc Rich has always performed on his contracts and has good standing with the majors." Rich believes that Marc Rich AG trades 900,000 barrels a day in crude oil, and another 400,000 barrels of naphtha and other oil products.

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Field File No. 196 A-1774
Serial # of Originating Document
OOG and File No.
Date Received
From
(Name of Contributor)
(Address of Contributor)

By

To Be Returned □ Yes □ No Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No

Title: MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBI, CO, NY

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

[Handwritten note: Photograph of building in Zug, Switzerland where Rich and Green reportedly have their company office. - A]
Building where Rich & Green reportedly have their office.
Field File No. 40196B-881-(A)
00 and File No. NY 196B-1774 (M-I)
Date Received 10/27/82
From

(CITY AND STATE)

By Helen J. Becker (NAME OF SPECIAL AGENT)

To Be Returned □ Yes Receipt Given □ Yes
☑ No □ Yes Grand Jury Material Disseminate Only
☑ No □ Yes Pursuant to Rules
☑ No □ Yes 6(e), Federal Rules
☑ No □ Yes of Criminal Procedure

Description:
Copy of subpoena served
at

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 3/28/91 BY SPS0C/EOCS
(CDRU #01-081)
Field File No. 00 and File No. 1968-881-1AC
Date Received 10/17/87
From ____________________________
(NAME OF CONTRIBUTOR)
______________________________
(ADDRESS OF CONTRIBUTOR)
______________________________
(CITY AND STATE)
By ____________________________
(NAME OF SPECIAL AGENT)
______________________________
To Be Returned □ Yes □ No
Receipt Given □ Yes □ No
□ Yes □ No
Grand Jury Material-
Distribute Only,
Pursuant to Rules
8(c), Federal Rules
of Criminal Procedure

Description: □ Original notes re interview of

FO-192 008; 182 destroyed
(Rev 8A)
(Rev 8A)
10/17/87 008)
MEMO DATED 2/31/87

ALL INFORMATION CONTAINED
HERETO IS UNCLASSIFIED
DATE 2/18/87 BY 3PM/EDT
(CDRM 61-091)
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**Title and Character of Case**

**MARC RICH, DBA MARC RICH CO.**

**Date Property Acquired**

7/12/81

**Location of Property or Bulky Exhibit**

8th Floor Vault

**Reason for Retention of Property and Efforts Made to Dispose of Same**

EVIDENCE

**To Be Returned**

No

**Agent Submitting Property or Exhibit**


**Agent Assigned Case**


**Date**

7/28/81

**Field File #**

196B-881

**Filed by**

HO

**Date**

JUL 29 1981

**FBI - HOUSTON**
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**Reviewed by SA**

**Date:** 10/17/87

**Recommended by:** SA

**Date:** 8/31/87

**Recommendation:**
Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 5-23-80)

Date 6/29/81

Title and Character of Case
MARK RICH, DBA MARK RICH COMPANY

Date Property Acquired 6/18/81

Location of Property or Bulky Exhibit

Reason for Retention of Property and Efforts Made to Dispose of Same
Evidence

To Be Returned Yes No

Sea Serial

Agent Submitting Property or Exhibit

Agent Assigned Case


Description of Property or Exhibit

Records of

Packet of

Semianual Inventory Certification to Justify Retention of Property (Insert and Date 1961)

Field File # 1961-87

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<td>4/3/97</td>
<td>X</td>
<td>Photographs of telephone message received by</td>
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<td>Photos of Marc Rich (taken about 1986)</td>
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<td>Photos &amp; Marc Rich (taken about 1986)</td>
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All information contained is unclassified.

Revised 11/01/97 by KSSC/DE

(FDA-97-1774/1AS)

1966-1974 IA5

Vol 3

MAY 13, 1997
FBI - NEW YORK
Field File No.       NY 196-1774-1/0
OF and File No.     11/3/82
Date Received      
From
(NAME OF CONTRIBUTOR)

ADDRESS OF CONTRIBUTOR

(CITY AND STATE)

By
(NAME OF SPECIAL AGENT)

To Be Returned        Yes             Receipt Given        Yes
     □ Yes          □ Yes
     □ No          □ No

□ Yes
     □ No

Grand Jury Material
Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:
PHOTOGRAPHS AND NEGATIVE

OF

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
Date 1/24/82 BY: ST/2CA
Field File No. N Y 196-1774/1

Date Received 7/3/82

From

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By

(NAME OF SPECIAL AGENT)

To Be Returned □ Yes Receipt Given □ Yes

☒ No ☒ No

□ Yes Grand Jury Material—Disseminate Only

☐ No Pursuant to Rules 6(e), Federal Rules

of Criminal Procedure

Description:

PHOTOGRAPHS AND NEGATIVE

OF =

ALL INFORMATION CONTAINED HEREBIN IS UNCLASSIFIED

DATE 7/1/80 BY JPS/DEB
Field File No. 106-1774-187
00 and File No. 
Date Received 3/2/83
From 
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By 
(NAME OF SPECIAL AGENT)

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

☐ Yes Grand Jury Material—Disseminate Only
☐ No Pursuant to Rules 6(a), Federal Rules

of Criminal Procedure

Description:
PD 102 Evidence
COPY FOR MATERIAL
TO AUSA
(SEE COPY)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/2/83 BY AHA
01-081
Title and Character of Case

**MARC RICH ET AL**

**FBI - HOUSTON OFFICE**

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<th>Source From Which Property Acquired</th>
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<th>Reason for Retention of Property and Efforts Made to Dispose of Same</th>
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<td>EVIDENCE PENDING CONCLUSION OF CASE</td>
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<th>Agent Submitting Property or Exhibit</th>
<th>Agent Assigned Case</th>
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Description of Property or Exhibit

**ONE CELLOPHANE ENVELOPE CONTAINING:**

**MISCELLANEOUS RECORDS (see airtāl for complete description)**

*airtāl dated 3/2/83    Reg number 063 171 447

For Valuable and/or Narcotics Evidence Only

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SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

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Field File #

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<td>NY 1968-1774-1B1 M-1</td>
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EVIDENCE (PACKAGE COPY)
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<th>Released Custody</th>
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Item No. __________________________ Remarks __________________________


Title and Character of Case

MARK RICH D.B.A. MARK RICH COMPANY

Date of Property Acquired

6/18/1981

Location of Property or Bulk Exhibit

S 8TH FLOOR VAULT

Evidence

To Be Returned

[ ] Yes [ ] No

See Serial

Agent Submitting Property or Exhibit

Agent Assigned Case

[ ] Yes [ ] No


Description of Property or Exhibit

Records

Sent

W

2.

3. Packet of

4.

5.

6.

Semiannual Inventory Certification to Justify Retention of Property (Initial and Date)

196-81

Field File # 196B-881

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<td>3 P</td>
<td>3/2/83</td>
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<td>3/1/83</td>
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<td>3/1/83</td>
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**Remarks**

Sent 10/24 via US mail R# 063171458


**Date:** 12/18/83

**Reporting Company:** XYZ Inc.
Field File No. NY 196-1774
00 and File No. NY 196-1774
Date Received 6/83
From
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

☐ Yes Grand Jury Material
☐ No Disseminate Only
Pursuant to Rules
6(e), Federal Rules
of Criminal Procedure

Description:

PHOTOS OF

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SDT/DE 01-081
Field File No. NY96-1774-A1
00 and File No. NY96-1774-A1
Date Received 6/83
From
(NAME OF CONTRIBUTOR)

ADDRESS OF CONTRIBUTOR

(CITY AND STATE)

By
(NAME OF SPECIAL AGENT)

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

Grand Jury Material - 
Disseminate Only
Pursuant to Rules 6(a), Federal Rules of Criminal Procedure

Description: PHOTOS OF

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 06/27/81 BY SPSLCHAS
06/081
Field File No. 1963-881
DO and File No. NY 1964-1774
Date Received 8-30-83
From NY (Name of Contributor)
(Address of Contributor)
(City and State)
By: (Name of Special Agent)

To Be Returned □ Yes □ No □ No
Receipt Given □ Yes □ No
□ Yes □ No
Grand Jury Material - Disseminate
Only Pursuant to Rules 6(e)

Description:
COPY OF SUBPOENA

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 3/1/01 BY (CDRU #01-081)
Field File No. 196A-NY-1774-1A32
Serial # of Originating Document
OO and File No.
Date Received 5/29/91
From
(Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure □ Yes □ No

Title: MARC RICH-FUG (B), PINCUS GREEN-FUG (B), FBW, MF, RICO

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

PHOTOS OF PINCUS GREENS AT GRADUATION

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 11/10/81 BY ESOTEPS
(CDRE #81-081)
b6
b7c

MRS

Dr.
Pincus Green's

ALL INFORMATION CONTAINED HERIN IS CONFIDENTIAL.

1964-NY-1774-1932

(CDRU #01-081)
Universal File Case Number: 1964-44-1774-433

Field Office Acquiring Evidence: 

Serial # of Originating Document: 

Date Received: 5/6/92

From: ____________________________

(Name of Contributor)

(Address of Contributor)

By: ____________________________

To Be Returned: [ ] Yes [ ] No

Receipt Given: [ ] Yes [ ] No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) (CDJU #01-081)

Federal Rules of Criminal Procedure: [ ] Yes [ ] No

Title: MARE RICH - Fug (B)
PUNCUS GREEN - Fug (B)

Reference: 00:14

(Communication Enclosing Material)

Description: [ ] Original notes re interview of

PHOTOS OF MARE RICH FROM TELEVISION PROGRAM "DATELINE" aired 5/6/92.
1966-1774 IA33

2/28/01 SPSJC/EDS
(CREM #01-081)
1968 - 1774 /A33

2/28/01 - SPSTC/RDS
(CDRU #01-081)
1966-1974 IA 33

2/28/01 SPSC/DDS
(CDRU #01-081)
1968-1774

1A32

2/28/01: SFSC/EDS
(CDRK # 61-081)
1963 1774 1433

2/28/01 submitted
(CDRU #01-081)
Field File No. 196A - NY - 1774 - 1A34
Serial # of Originating Document
OO and File No.
Date Received 8/29/92
From
(Address of Contributor)
By
(Name of Special Agent)
To Be Returned □ Yes □ No, Receipt Given □ Yes □ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules
of Criminal Procedure □ Yes □ No
Title: Marc Rich - Fujotex
Reference: (Communication Enclosing Material)
Description: Original notes re interview of

Photocopies of telex messages received

All information contained herein is unclassified.
Date 2/4/91
(Com # 01-081)
Herewith an information that I heard on the market place - without guarantees.

Cordially,
Mark Rich operations do not look as secure as before. The last months he lost most of his senior collaborators and the market is full of rumors of high risk operations in the East combined with lavish bribery budgets. Cargill and other companies put Rich on the list of "endangered species" and cut credit lines to a bare trickle.
Universal File Case Number 196A-NY-1774-1A25
Field Office Acquiring Evidence
Serial # of Originating Document 5/5/92
Date Received
From (Name of Contributor)
(Address of Contributor)
By
To Be Returned □ Yes □ No
Receipt Given □ Yes □ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure □ Yes □ No
Title: MARC RICH - Fug (B);
       AINCUS GREEN - Fug (B);
       FBW
Reference: (Communication Enclosing Material)
Description: Original notes re interview of

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE 2/28/01 BY 5PNC/ESP
(CDRU #01-081)
Tues.
5/5/92

 Mesa

 with other.
 is neighboring

 Used to be in

 She doesn't

 Green house

 Doesn't think Rick travels
 outside of Switzerland

 except Spain
said he had seen Rich about 3 yrs - inherited for a barley/Russians.
big barley, gas corn, trader - wonder many deals that ADM make because he doesn't abide by laws
most traders in Germany + Russia
also in South America

saw Rich at office in Zug.
trader aluminum

has an office in Rotterdam that ADM dealt w/him at ADM, at recommendation of Germany government, ADM go should divide the 4,000,000 tons of grain 1 paid Rich 10%
which was

everyone that deals w/him knows he's wanted

only known w/him in Zug & doesn't transmit any other location where he could be reached

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 27/3/91 BY STJC/GRS
(GDFS #01-081)
Universal File Case Number: 194A-N-1774
Field Office Acquiring Evidence: (Name of Field Office)
Serial # of Originating Document: (Serial #)
Date Received: (Date)
From: (Name of Contributor)

To Be Returned: □ Yes □ No
Receipt Given: □ Yes □ No.

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure: □ Yes □ No

Title:
MARC RICH - FUG (B)
PINCUS GREEN

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of
PHOTO OF

PINCUS GREEN

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED
DATE: 2/28/01 BY (SPECIFIED)
(CORD # 61-081)
Universal Case File Number

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received: 6/3/92

From: LNU

(Title: Marc Rich - Fug (B); Pincus Green - Fug (B); FBW, MF, RICO)

Reference: (Communication Enclosing Material)

Description: Original notes re interview of Two Photos (One LNU claims to be Marc Rich)

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE: 6/8/91 EX RECT/ES
(CDELETE #61-081)
Universal Case File Number

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

From

(Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No

Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure □ Yes □ No

Title: MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, INCOME TAX

Reference: (Communication Enclosing Material)

EVASION, TRADING WITH THE ENEMY

Description: □ Original notes re interview of

PHOTOS OF PINCUS GREEN

(Taken about 1981)

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 3/1/01 BY SPICEROS

(CASE #01-081)
Universal Case File Number: 1968-NY 1774

Field Office-Acquiring Evidence: 

Serial # of Originating Document: 

Date Received: 

From: 
(Name of Contributor) 

(Address of Contributor) 

By: 

To Be Returned: ☐ Yes ☐ No 
Receipt Given: ☐ Yes ☐ No 

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) 
Federal Rules of Criminal Procedure: ☐ Yes ☐ No 

Title: M AR C Ri c h - Fug.(B);
P incus G re en- Fug.(B);
FBW, MF, RICO, Income; 
TAX Evasion, TRADING WITH THE ENEMY 

Reference: 
(Communication Enclosing Material) 

Description: ☐ Original notes re interview of 

Arrest Warrant for Pincus Green 

Issued 9/19/83 

ALL INFORMATION CONTAINED 
HEREIN IS DECLASSIFIED 
DATE 3/1/91 BY 01-081 
(CDEU #01-081)
WARRANT FOR ARREST

UNITED STATES DISTRICT COURT

UNITED STATES OF AMERICA

V.

PINCUS GREEN

WARRANT ISSUED ON THE BASIS OF:  □ Order of Court
□ Indictment  □ Information  □ Complaint

TO: ANY United States Marshal or any other authorized officer

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud
Income Tax Evasion; Trading with the Enemy.

Section: 7201,
535.206 (a) (4); 535.208,
535.701

IN VIOLATION OF

UNITED STATES CODE TITLE 18, 26, 31
SECTION 1962 (c)(d), 1341, 1343 & 2

BAIL

OTHER CONDITIONS OF RELEASE

ORDERED BY

SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)  DATE ORDERED

09/19/83

09/19/83

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED

NAME AND TITLE OF ARRESTING OFFICER

SIGNATURE OF ARRESTING OFFICER

DATE EXECUTED

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.
Universal Case File Number: 1968-77-1774

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

From

(Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No

Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure □ Yes □ No

Title: MARC RICH - FBI (B); PRINCIPAL GREEN - FBI (B); FBIR, MI, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

 Arrest Warrant for Marc Rich

Issued 9/19/83

ALL INFORMATION CONTAINED HERIN IS UNCLASSIFIED

DATE 5/11/81 BY STAFFERS

(CDRU 601-681)
WARRANT FOR ARREST

United States District Court

UNITED STATES OF AMERICA V.

MARC RICH

WARRANT ISSUED ON THE BASIS OF: [X] Indictment  [ ] Information  [ ] Complaint

TO: ANY United States Marshal or any other authorized officer

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud; Income Tax Evasion; Trading with the Enemy.

IN VIOLATION OF

18, 26, 31  1962 (c)(d), 1341, 1343 & 2,

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

ORDERED BY

U.S.D.J.  09/19/83

CLERK OF COURT  09/19/83

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED  NAME AND TITLE OF ARRESTING OFFICER  SIGNATURE OF ARRESTING OFFICER

DATE EXECUTED

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.
Universal Case File Number: 196A-NY-1774-A92
Field Office Acquiring Evidence
Serial # of Originating Document
Date Received: 11/00

From (Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
□ Yes □ No

Title: MARC RICH - FUG. (FB); PINCUS GREEN - FUG. (FB);
FBW

Reference: (Communication Enclosing Material)

Description: □ Original notes re interview of

Copies of Fingerprint Cards of

PINCUS GREEN.
NY 1964. 1774. 1842
Title: Marc Rich, Pincus Green, FBW, MF, RICO, Income Tax

Reference: Evasion, Trading with the Enemy

Description: Original notes re interview of My Reasons for the Pardons by Former President Clinton
"...and he shall have Power to grant Reprieves and Pardons for Offenses against the United States..."

My Reasons for the Pardons

By William Jefferson Clinton

CRAPPAAA, N.Y.,

because of the intense bitterness and criticism of the pardoning of Marc Rich and his partner, Richard Green, and because legitimate concerns have been raised, I want to explain what I did.

First, I want to make some general comments about pardons and commutations of sentences. Article II of the Constitution gives the president the broad and irreversible power to give "Reprieves and Pardons" for all offenses against the United States. The Supreme Court has ruled that the pardon power extends to "[the] President, in his discretion, without limitation." (United States v. Klein) Justice Oliver Wendell Holmes declared that "[a] pardon,... is the determination of the ultimate authority that the public welfare will be better served by [the pardon]." (Biddle v. Perlowich) A president may conclude a pardon or commutation is warranted for several reasons: the defendant committed a nonviolent crime; the defendant is serving a long prison sentence; the defendant has been a model prison inmate; the defendant has shown genuine remorse. I came up with two major reasons for the pardons: (1) I understood that the other companies that had structured transactions like those on which Mr. Rich and Mr. Green were indicted were instead sued civilly by the government; (2) I was informed that, in 1985, Mr. Rich had instructed a former law partner, Mr. Rich and Mr. Green, the Energy Department, which was responsible for enforcing the government's laws, that the manner in which the Rich-Green companies had accounted for these transactions was proper; (2) two highly regarded tax experts, Bernard Wolfman of Harvard Law School and Martin Ginsburg of Georgetown University Law Center, reviewed the transactions in question and concluded that the companies accounted for these transactions properly.

"There was absolutely no quid pro quo."

There were several other factors that contributed to my decision: (1) I was concerned that the other companies that had structured transactions like those on which Mr. Rich and Mr. Green were indicted were instead sued civilly by the government; (2) I was informed that, in 1985, Mr. Rich had instructed a former law partner, Mr. Rich and Mr. Green, the Energy Department, which was responsible for enforcing the government's laws, that the manner in which the Rich-Green companies had accounted for these transactions was proper; (2) two highly regarded tax experts, Bernard Wolfman of Harvard Law School and Martin Ginsburg of Georgetown University Law Center, reviewed the transactions in question and concluded that the companies accounted for these transactions properly.

The suggestion that I granted the pardons because Mr. Rich's former wife, Donna, made political contributions to me and contributed to the Clinton Library Foundation is entirely false. There was absolutely no quid pro quo. Indeed, other friends and financial supporters sought pardons in cases which, after careful consideration based on the information available to me, I determined I could not grant.

In the last few months of my term, many, many people came up with me suggesting that I grant or at least consider granting clemency in various cases. These people included friends, family members, former spouses of applicants, supporters, acquaintances, Republican and Democratic members of Congress, journalists and political friends. I believe the president should listen to such requests, although they cannot determine his decision on the merits.

I am accustomed to the tough, no-nonsense politics, but the accusations made against me in this case have been particularly painful because for eight years I worked hard to make the United States a better place for everyone. I want every American to know that, while you may disagree with this decision, I made it on the merits and I took full responsibility for it.
agreed with at the time; (6) it was my understanding that Deputy Attorney General Eric Holder's position on the pardon application was "neutral, leaning for"; (7) the applications were reviewed and advocated not only by my former White House counsel Jack Quinn but also by three distinguished Republican attorneys: Leonard Garment, a former Nixon White House official; William Bradford Reynolds, a former high-ranking official in the Reagan Justice Department; and Lewis Libby, now Vice President Cheney's chief of staff; (8) finally, and importantly, many present and former high-ranking Israeli officials of both major political parties and leaders of Jewish communities in America and Europe urged the pardon of Mr. Rich because of his contributions and services to Israeli charitable causes to the Mogadishuliga of rescue and evacuate Jews from hostile countries, and to the peace process through sponsorship of education and health programs in Gaza and the West Bank.

While I was troubled by the criminalization of the charges against Mr. Rich and Mr. Green, I also wanted to assure the government's ability to pursue any Energy Department tax or other charges that might be available and warranted. I knew the Israelites had settled their disputes with the government, but I did not know what personal liability the individuals might still face for Energy Department or other violations.

Therefore, I required them to waive any and all defenses, including their statute of limitations defenses, to any civil charges the government might bring against them. Before I granted the pardons, I received from their lawyer a letter confirming that they "waive any and all defenses which could be raised to the lawful imposition of civil fines or penalties in connection with the actions and transactions alleged in the indictment against them pending in the Southern District of New York."

I believe my pardon decision was in the best interests of justice. If the two men were wrongly indicted in the first place, justice has been done. On the other hand, if they do personally owe money for Energy Department penalties, unpaid taxes or civil fines, they can now be sued civilly, as others in their position apparently were, a result that might not have been possible without the waiver, because civil statutes of limitations may have run while they were out of the United States. While I was aware that I could not account the fact that the United States attorney for the Southern District of New York did not support these pardons, in retrospect, the process would have been better served had I sought his views directly. Further, I regret that Mr. Holder did not have more
Universal Case File Number 196A-NY-1774-A44

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

From (Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No
Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e)
Federal Rules of Criminal Procedure □ Yes □ No

Title: MARC RICH - FUG.(B); PINGUS GREEN - FUG.(B); FBW, MF, RICO, Income Tax

Reference: EVASION, TRADING WITH THE ENEMY

(Communication Enclosing Material)

Description: □ Original notes re interview of

MISCELLANEOUS PHOTOS OF MARC RICH
Marc Rich
12/18/34
Marc Rich
12/18/34
Marc Rich
12/18/34
Marc Rich
12/18/34
Marc Rich
12/18/34
Marc Rich
12/18/34
Marc Rich
12/18/34
Universal Case File Number: 196A-NY-1774-1445

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

From

(Name of Contributor)

(Address of Contributor)

By

To Be Returned □ Yes □ No

Receipt Given □ Yes □ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e)

Federal Rules of Criminal Procedure

□ Yes □ No

Title:

MARC RICH - FUG. (B)

Pincus Green - FUG. (B)

FBW

Reference: ____________________________

(Communication Enclosing Material)

Description: □ Original notes re interview of

Miscellaneous Photos of Pincus Green
- Pincus Green

- DOB:

- Taken 1983