Dear Mr. Greenewald:

The enclosed documents were reviewed under the Freedom of Information Act (FOIA), Title 5, United States Code, Section 552/552a. Deletions have been made to protect information which is exempt from disclosure, with the appropriate exemptions noted on the page next to the excision. In addition, a deleted page information sheet was inserted in the file to indicate where pages were withheld entirely. The exemptions used to withhold information are marked below and explained on the enclosed Explanation of Exemptions:

<table>
<thead>
<tr>
<th>Section 552</th>
<th>Section 552a</th>
</tr>
</thead>
<tbody>
<tr>
<td>(b)(1)</td>
<td>(d)(5)</td>
</tr>
<tr>
<td>(b)(2)</td>
<td>(j)(2)</td>
</tr>
<tr>
<td>(b)(3)</td>
<td>(k)(1)</td>
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<tr>
<td>(b)(4)</td>
<td>(k)(2)</td>
</tr>
<tr>
<td>(b)(5)</td>
<td>(k)(3)</td>
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<tr>
<td>(b)(6)</td>
<td>(k)(4)</td>
</tr>
<tr>
<td>(b)(7)(A)</td>
<td>(b)(7)(B)</td>
</tr>
<tr>
<td>(b)(7)(B)</td>
<td>(b)(7)(C)</td>
</tr>
<tr>
<td>(b)(7)(C)</td>
<td>(b)(7)(D)</td>
</tr>
<tr>
<td>(b)(7)(D)</td>
<td>(b)(7)(E)</td>
</tr>
<tr>
<td>(b)(7)(E)</td>
<td>(b)(7)(F)</td>
</tr>
<tr>
<td>(b)(7)(F)</td>
<td>(b)(8)</td>
</tr>
<tr>
<td>(b)(8)</td>
<td>(b)(9)</td>
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<tr>
<td>(b)(9)</td>
<td>(k)(5)</td>
</tr>
<tr>
<td>(b)(10)</td>
<td>(k)(6)</td>
</tr>
<tr>
<td>(b)(11)</td>
<td>(k)(7)</td>
</tr>
</tbody>
</table>

16 pages were reviewed and 16 pages are being released.

[ ] Document(s) were located which originated with, or contained information concerning, other Government Agency (ies) [OGA].

[ ] This information has been referred to the OGA(s) for review and direct response to you.

[ ] We are consulting with another agency. The FBI will correspond with you regarding this information when the consultation is completed.

[ ] In accordance with standard FBI practice and pursuant to FOIA exemption (b)(7)(E) and Privacy Act exemption (j)(2) [5 U.S.C. § 552/552a (b)(7)(E)/(j)(2)], this response neither confirms nor denies the existence of your subject's name on any watch lists.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist. Enclosed for your information is a copy of the Explanations of Exemptions.

For questions regarding our determinations, visit the www.fbi.gov/foia website under "Contact Us."
The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request. Your patience is appreciated.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Suite 11050, 1425 New York Avenue, NW, Washington, D.C. 20530-0001, or you may submit an appeal through OIP’s FOIAonline portal by creating an account on the following website: https://foiaonline.regulations.gov/foia/action/public/home. Your appeal must be postmarked or electronically transmitted within ninety (90) days from the date of this letter in order to be considered timely. If you submit your appeal by mail, both the letter and the envelope should be clearly marked “Freedom of Information Act Appeal.” Please cite the FOIPA Request Number assigned to your request so that it may be easily identified.

You may seek dispute resolution services by contacting the Office of Government Information Services (OGIS) at 877-684-6448, or by emailing ogis@nara.gov. Alternatively, you may contact the FBI’s FOIA Public Liaison by emailing foipaquestions@fbi.gov. If you submit your dispute resolution correspondence by email, the subject heading should clearly state “Dispute Resolution Services.” Please also cite the FOIPA Request Number assigned to your request so that it may be easily identified.

The enclosed material is from the main investigative file(s) in which the subject(s) of your request was the focus of the investigation. Our search located additional references, in files relating to other individuals, or matters, which may or may not be about your subject(s). Our experience has shown when similar references usually contain information similar to the information processed in the main file(s). Because of our significant backlog, we have given priority to processing only the main investigative file(s). If you want the references, you must submit a separate request for them in writing, and they will be reviewed at a later date, as time and resources permit.

See additional information which follows.

Sincerely,

David M. Hardy
Section Chief
Record/Information Dissemination Section
Records Management Division

Enclosures (2)

The enclosed documents represent the first and final interim release of information responsive to your Freedom of Information Act (FOIA) request.

This material is being provided to you at no charge.
EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

(b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;

(b)(2) related solely to the internal personnel rules and practices of an agency;

(b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;

(b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;

(b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;

(b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;

(b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;

(b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or

(b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

(d)(5) information compiled in reasonable anticipation of a civil action proceeding;

(j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;

(k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;

(k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;

(k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;

(k)(4) required by statute to be maintained and used solely as statistical records;

(k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;

(k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service he release of which would compromise the testing or examination process;

(k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FBI/DOJ
TO DIRECTOR (161-6197)
NEW YORK (161-2961)
FROM PHILADELPHIA (161-1373) (RUC)
NELSON ALDRICH ROCKEFELLER; SPIN (REVIEW OF FINANCES)

RE NEW YORK TELS TO BUREAU AND PHILADELPHIA, SEPTEMBER 6 AND 16, 1974.

ON SEPTEMBER 18, 1974, __________________ ADMINISTRATIVE ASSISTANT TO __________________ TRUST DEPARTMENT, FIRST PENNSYLVANIA BANKING AND TRUST COMPANY, PACKARD BUILDING, 15TH AND CHESTNUT STREETS, PHILADELPHIA, PA., ADVISED THAT THE "TRUST UNDER WILL OF JANE AUDENRIED FITLER PER APPT. UNDER WILL OF WILLIAM WONDERLY FITLER, JR., FOR MARGARETTA FITLER ROCKEFELLER", ACCOUNT NUMBER __________________ HAD DIVIDEND INCOME FIGURES THAT CORRESPOND TO THOSE INDICATED IN NEW YORK TEL DATED SEPTEMBER 6, 1974. _______________ COULD NOT PROVIDE THIS DIVIDEND INCOME FOR 1973, SINCE DETERMINING SUCH A FIGURE WOULD INVOLVE MANY HOURS OF WORK AND SHE DID NOT HAVE IN HER POSSESSION THE TAX RETURNS THAT APPLY TO THIS PERIOD OF TIME.

ALL INFORMATION CONTAINED
HE D.B.12-19-71 9803 RDD/SSR

58 JAN .4 1975
Provided the following additional information regarding the trust as requested in New York Tel dated September 6, 1974:

Re New York Tel, Number Two Phila. Lead;

<table>
<thead>
<tr>
<th>Asset</th>
<th>Market Value</th>
<th>As of</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abington Twp. School District</td>
<td>$8,517</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>Citizens and Southern Holding Co.</td>
<td>$6,000</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>General Motors</td>
<td>$5,000</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>General Telephone &amp; Electronics</td>
<td>$3,000</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>New Jersey Turnpike Auth.</td>
<td>$10,835</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>New York Housing Auth.</td>
<td>$6,387.20</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>New York State Br Auth.</td>
<td>$14,297.20</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>New York State Power Auth.</td>
<td>$29,050</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>New York State Thruway Auth.</td>
<td>$19,950</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>Pittsburgh Sch Dist.</td>
<td>$9,757.92</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>So San Joaquin Irrg. Calif. Tri-Dams</td>
<td>$9,150</td>
<td>August 30, 1974</td>
</tr>
<tr>
<td>Lone Star Industries</td>
<td>$7,200</td>
<td>September 12, 1974</td>
</tr>
<tr>
<td>International Tel &amp; Tel</td>
<td>$8,925</td>
<td>September 12, 1974</td>
</tr>
<tr>
<td>Reynolds Metals Co.</td>
<td>$3,185</td>
<td>September 12, 1974</td>
</tr>
</tbody>
</table>
IN HIS LAST WILL AND TESTAMENT, WILLIAM WONDERLY FITLER, JR., STATED THAT "I HEREBY EXERCISE THE LIMITED POWER OF APPOINTMENT GIVEN ME UNDER THE WILL OF MY MOTHER, JANE AUDENRIED FITLER, DATED OCTOBER 10, 1942, AND DIRECT THAT UPON MY DEATH THE PRINCIPAL OF ONE-HALF OF HER RESIDUARY ESTATE, OF WHICH I AM THE INCOME BENEFICIARY, SHALL BE PAID OVER TO MY TRUSTEES HEREINAFTER NAMED, IN TRUST, TO HOLD, INVEST, SELL AND RE-INVEST, WITH THE POWERS
HEREINAFTER SET FORTH, AND TO PAY OVER THE NET INCOME THEREFROM PERIODICALLY TO MY TWO CHILDREN, WILLIAM WONDERLY FITLER, III, AND MARGARETTA LARGE FITLER, IN EQUAL SHARES FOR THEIR RESPECTIVE LIVES."


THERE IS NO COMMITTEE INVOLVED IN THE TRUST.

THE BENEFICIARIES OF WILLIAM WONDERLY FITLER, JR., RECEIVE THE NET INCOME DISTRIBUTION FOR LIFE. THE PROPERTY IS DISTRIBUTED TO MARGARETTA FITLER ROCKEFELLER'S DESCENDANTS PER STIRPES UPON HER DEATH.

RE NEW YORK TEL, NUMBER FOUR PHILA. LEAD:

THE TRUSTEES DO NOT HAVE CONTROL OVER ANY BUSINESSES.

RE NEW YORK TEL, NUMBER FIVE PHILA. LEAD:

THE FIRST PENNSYLVANIA BANKING AND TRUST COMPANY DETERMINES WHICH SECURITIES SHOULD BE BOUGHT OR SOLD AND THE TRUSTEES HAVE
VETO POWER OVER ANY SUGGESTED TRANSACTIONS.

RE NEW YORK TEL, NUMBER SIX PHILA. LEAD:

THE ORIGINAL SOURCE OF ASSETS IN THE TRUST ARE FROM
THE ESTATE OF JANE AUDENRIED FITLER, DATE OF DEATH, NOVEMBER 20,
1942.

RE NEW YORK TEL, NUMBER SEVEN PHILA. LEAD:

THE NOMINEE HAS NO INVOLVEMENT IN THE TRUST AND IS IN No
WAY A BENEFICIARY.

RE NEW YORK TEL, NUMBER EIGHT PHILA. LEAD:

THERE HAVE BEEN NO SIGNIFICANT TRANSACTIONS INVOLVING THE
TRUST IN THE PAST TWO MONTHS.

END
It is noted that the book "The Federal Reserve Bank" has been charged out of the Library of Congress and will not be available for at least three weeks.

The card catalog at the Library of Congress which lists all the books which the Library maintains contains no record of the following two books:

1) "The Capitalist Conspiracy" by EDWARD GRIFFIN.
2) "The Naked Capitalist" by W. CLEON SKOUSEN.

It is noted that the Library of Congress had a book entitled "The Naked Communist" by W. CLEON SKOUSEN. A review of this book revealed that there was no reference made to the nominee in the entire book. JOHN D. ROCKEFELLER was referred to in that during the middle part of the year 1919 he was one of 36 prominent people to whom bombs were sent in the mail, allegedly by Communists.
Memorandum

TO: Mr. Bishop

FROM: G. E. Malmfeldt

DATE: 3/25/71

SUBJECT: MRS. NELSON A. ROCKEFELLER
WIFE OF NEW YORK GOVERNOR
REQUEST FOR SPECIAL FBI TOUR
THURSDAY, APRIL 1, 1971, 10:00 A.M.

Jim Rowley, Director of the Secret Service, telephoned this morning and spoke with SA Stapleton. He said he had just received a telegram from Ann Whitman, former personal secretary to President Eisenhower, who is now Governor Nelson A. Rockefeller's secretary, asking if he would make the necessary arrangements for two of Mrs. Rockefeller's daughters and their young son, to be conducted on a FBI tour while they are in Washington during Cherry Blossom week. According to Mr. Rowley, the three children would be accompanied by a former New York State police officer. Mr. Rowley indicated that probably Mrs. Rockefeller would also be along although at this time it could not be said definitely that she would be able to make the FBI visit.

Arrangements have been made for the Rockefeller party to come to the Director's Reception Room at 10:00 a.m. on April 1, 1971. Mr. Rowley asked that his warm regards be given to Mr. Hoover. He was assured that this would be done and that the Rockefeller party would be handled very specially at the time of their FBI visit. There was no request to meet the Director.

RECOMMENDATION:

That a Special Agent of the Crime Records Division be assigned to conduct the Rockefeller party on a very special tour of our facilities at the above-indicated time.

1 - Mr. Mohr
1 - Mr. Bishop
1 - Mr. M. A. Jones
1 - Mr. Malmfeldt

WHS:cfj (8)
MRS. NELSON A. ROCKEFELLER
MEETING WITH THE DIRECTOR
AND SPECIAL TOUR OF
BUREAU HEADQUARTERS
APRIL 1, 1971
RESEARCH (CRIME RECORDS)

Yesterday morning, Mrs. Nelson A. Rockefeller; her son, her daughter by a previous marriage, and a friend of her daughter, visited me in my office. They were accompanied by a member of the Rockefeller staff, formerly of the New York State Police. A photograph was taken.

Following their visit, the Rockefeller party was given a special tour of Bureau facilities.

Mrs. Rockefeller was most complimentary of the Bureau and expressed her complete support of our activities.

The above is furnished for your information.
Memorandum

TO: Mr. Bishop

FROM: G. E. Malmfeldt

DATE: 3/29/71

SUBJECT: MEETING WITH THE DIRECTOR AND SPECIAL TOUR
MRS. NELSON A. ROCKEFELLER AND PARTY
THURSDAY, APRIL 1, 1971, 10:00 A.M.

The Director has noted on our memorandum of March 25, 1971, concerning the visit of Mrs. Nelson A. Rockefeller and her party, "I shall meet them at 10 a.m. April 1. H" This appointment has been confirmed with Mrs. Ann Whitman, personal secretary to Governor Rockefeller through Jim Rowley, Director of Secret Service who had telephoned to make the original arrangements. Mr. Rowley stated that he received the definite impression after talking with Mrs. Whitman, that Mrs. Rockefeller would make the FBI visit, especially in view of the Director's courtesy in seeing the party at the time of their tour.

Mrs. Whitman told Mr. Rowley that in addition to Mrs. Rockefeller the group would consist of the Governor's and her young son, her daughter by a previous marriage, and a friend of hers, both of whom are As previously indicated, the group will also be accompanied by formerly of the New York State Police.

Governor Nelson A. Rockefeller of New York has long been known to the Director on a first-name basis. He is on our Special Correspondents List. Files reflect that in May, 1963, Governor Rockefeller married the former Margaretta Fitler Murphy and resides with her and their two minor children, at the family estate at Pocantico Hills, New York. The Governor also maintains a residence at 810 Fifth Avenue, New York City. Available public source information reflects that Mrs. Rockefeller was formerly married to Dr. James Slater Murphy, that she is originally from Philadelphia and an heiress in her own right to a $4 million fortune.

1 - Mr. Mohn
1 - Mr. Bishop
1 - Mr. M. A. Jones
1 - Mr. Malmfeldt

WHS:cfj (9)
Malmfeldt to Bishop Memorandum
Re: Meeting with the Director and Special Tour

She won the nickname, "Happy," at the Shipley School, a fashionable girls' school in Bryn Mawr, Pennsylvania. Files contain no identifiable, derogatory information concerning Mrs. Rockefeller and a search of the files of the Identification Division disclose no arrest record. There is no indication that Mrs. Rockefeller has ever been photographed with the Director or received a copy of one of his photographs. The files of the Director's Office contained no information concerning her.

Major ________ retired from the New York State Police in December, 1970, after 25 years of service. Major ________ had been in charge of the Governor's detail since April, 1948. Files contain no derogatory information concerning him and SAC, Albany has reported that he is well and favorably known to that office.

RECOMMENDATION:

For the Director's information in connection with his meeting with Mrs. Rockefeller and her party on Thursday, April 1, 1971.
EXECUTIVE MANSION
ALBANY, N.Y. 12202

April 7th, 1971

Dear Mr. Hoover:

We enjoyed so much the visit with you, and I can't tell you how thoughtful I think it was to send the autographed picture. Nelson A. Rockefeller Jr.

Little Nelson is thrilled.

With best wishes,

Sincerely,

Mrs. Nelson A. Rockefeller

AKA: Happy Rockefeller

The Honorable J. Edgar Hoover
Director, Federal Bureau of Investigation
Department of Justice
Washington, D.C.
May 10, 1971

Mrs. Nelson A. Rockefeller
810 Fifth Avenue
New York, New York 10021

Dear Mrs. Rockefeller:

Enclosed are two copies of the May, 1971, issue of the FBI's employee magazine, "The Investigator," which I thought you and your son might like to have. The photograph taken in my office appears on page 18.

Sincerely yours,

J. Edgar Hoover

Enclosures: (2)

1. New York

NOTE: Address per file.

MM: sla

(6) 31a

MM

ST-116

MAIL ROOM □ TELETYPE UNIT □

MAY 24 1971

REO 62-72612-146

19 MAY 13 1971
EXECUTIVE MANSION
ALBANY, N. Y. 12202

May 28th, 1971

Dear Mr. Hoover:

Thank you very much for sending me two copies of "The Investigator". It was extremely thoughtful of you, and Nelson adds his thanks to mine. We are both most appreciative of your thought of us.

Again, many thanks,

Sincerely;

[Signature]

Mrs. Nelson A. Rockefeller
aka Happy

The Honorable J. Edgar Hoover
Director, Federal Bureau of Investigation
Department of Justice
Washington, D. C.