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Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Form Approved: OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) 02/05/2007	Reporting Status (Check Appropriate Boxes)	New Entrant, Nominee, or Candidate	Termination Termination Date (If A Filer cable) (Month, Day, Year	Ppli- Tee for Late Filing Any individual who is required to file this report and does so more than 30 days
Danastina	Last Name	First Name and M	liddle Initial	after the date the report is required to be filed, or, if an extension is granted, more
Reporting Individual's Name	Giuliani	Rudolph W		than 30 days after the last day of the filing extension period, shall be subject
	Title of Position	Department or A	gency (If Applicable)	to a \$200 fee.
Position for Which Filing	Candidate for President of the United States			Reporting Periods Incumbents: The reporting period is
Location of	Address (Number, Street, City, State, and ZIP Code)		Telephone No. (Include Area Co	de) the preceding calendar year except Part II of Schedule C and Part 1 of Schedule D
Present Office (or forwarding address)	5 Times Square, New York, NY 10036	18	(212) 931-7301	where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held			
Government During the Preceding 12 Months (If Not Same as Above)	None			Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends
Description of the second	Name of Congressional Committee Considering Nomina	tion Do You Intend to	Create a Qualified Diversified Trus	at the date of termination. Part II of Schedule D is not applicable.
Presidential Nominees Subject to Senate Confirmation		Yes	□ No	Nominees, New Entrants and Candidates for President and
Certification	Signature of Reporting Individual		Date (Month, Day, Year)	Vice President:
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	/ h doly W. hivlian	ĺ	May 14,2007	Schedule A-The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets
Other Review	Signature of Other Reviewer	4	Date (Month, Day, Year)	as of any date you choose that is within 31 days of the date of filing.
(If desired by agency)	Jamenes / Cox	uD	7/16/07	Schedule B-Not applicable.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Review	ng Official	Date (Month, Day, Year)	Schedule C, Part I (Liabilities)The reporting period is the preceding calendar
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		m_	7/17/07	year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics	Signature		Date (Month, Day, Year)	Schedule C, Part II (Agreements or Arrangements) Show any agreements or
Use Only	My henry	ς ,	10/17/07	arrangements as of the date of filing. Schedule D—The reporting period is
Comments of Reviewing Officials	(If additional space is required, use the reverse side of ti	nis sheet)	,	the preceding two calendar years and the current calendar year up to the date
	(Check box if filing	g extension granted & in	dicate number of days)	of filing.
Reviewed	for Apparent Compliance	Willing Replace	ment Annex "A" provided	for Agency Use Only
with the I	Federal Election Campaign Act		erical error made involving	Received Sypte on 5/15/07
Replacement pages 11 8	CONNECT Description 12 SN000		speeches. VJS, 12/3/07	OGE Use Only
and changes made to se the "Notes" section. VJS	Veral items in the אב 10 באפצער און א 10/2/07 3. אאוואר און איז איז איז איז איז איז א)	re continued on the reverse side) .	JUL 2 3 2007
Supercodes Princ Editions Which C	NOITUS JAN	1 270.112	- Annual Company of the Company of t	NEW 7540 01 070 844

		g Individual's Name udolph W												S	CI	ΗE	D	UI	LE	A	L												Pa	age Number 2 of	18
		Assets and Income			at	Va clo	alu ose	ati	ior rep	1 0 f	f As ting	sse g pe	t s erio	d					In cl	nco hec	o m :kec	e: t 1, n	ype o o	e ar	ıd a	imo	oun y is	it. I	f "N	Non ed i	ie (in F	or l	less k (s than \$201 C for that ite)" is em.
L		BLOCK A				_		BI	LOC	K B						L										BL	OCK.	C				,			
For arth reginal	roductional de exception income incom	Type Amount Type Type Amount Type Type Amount Type Amount Type Amount Type Amount Type Type Amount Type Amount Type Amount Type Amount Type Type Amount Type Amount Type Type Amount Type Amount Type Type Amount Type Type															Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria																
		Central Airlines Common					х	H					\vdash	T	\forall				х		H				x						\vdash				
E	xamples	Doe Jones & Smith, Hometown, State	7			х					Γ-		-	†-						Γ.		7					-							Law Partnership Income \$130,000	
		Kempstone Equity Fund						x					T.			x										x								[]	
		IRA: Heartland 500 Index Fund								×						x											×								
1	Giuliani	& Company LLC (1)											×	-												e e								Total: \$4,108,328	
2	Bracew	ell & Giuliani LLP (2)																															1000000	Law Partnership Income: \$1,200,901	
3	Washin	gton Speakers Bureau (3)																																Speaker Fees: \$11,390,000	
4	Disney	Worldwide Services - on/Miramax Books (4)														П															П		i	Book Royalties: \$146,092	
5	SPE Co	orporate Services (5)																																Theatrical Royalty: \$496	
6		Partners 401K - Freedom 2010 - Blended Fund				×										×							×				100								
	* This o	category applies only if the asset/inc e filer with the spouse or dependent	come is	s sol	lely i	that rk t	t of	the f	filer r hij	's sr gher	oous	e or	dep	end of va	ent d	child	dren	. If	the	asse	et/in	com	ie is	eith	er ti	hat	of th	ie fil	ler c	or jo	intly	y he	ld		

	Reporting Individual's Name Siuliani, Rudolph W								,	SC	CH		o se o							ue	d										Pa	ge Number 3 of	18
	Assets and Income	at close of reporting period checked, no other entry is needed in Block															ess k C	than \$20 for that	01)" is item.														
L	BLOCK A			_	_		BLO	CK I	3	_	_	_	_		_	_	L				_		_	BL	OCK							000	
		None (or less than \$1.001)	000	\$15,001 - \$50,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000 .	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000		\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bessemer Trust:																																
2	Bessemer Sweep Program (J) (6)		×																×				×				,					E.	
3	U.S. Treasury Note - 5/31/07 (J)						×												×				×										5. 91
4	Old Westbury Municipal Bond Fund (J)									×				×												×							
5	Old Westbury Large Cap Equity (J)	-								×				×											×								
6	Old Westbury Mid Cap Equity Fund (J)							×						×										×									-
7	Old Westbury Global Small Cap Fund (J)				,	×			4					×									×										
8	Old Westbury International Fund (J)							×						×											×								
9	Old Westbury Real Return Fund (J)						×				1			×									×										
	* This category applies only if the asset/inco by the filer with the spouse or dependent	me is se	olely n, m	tha ark t	t of t	the i	filer r hig	's sp	ous	e or	dep	end f va	ent o	child	iren ppr	. If	the	asse	et/in	com	ie is	eith	er t	hat	of th	ne fil	ler o	or jo	intly	y he	d		

,	eporting Individual's Name									S C		ED (Us								ue	d —										Pag	ge Number 4 of	18
	Assets and Income		a	V at cl	alu ose	of	ion rep	of ort	As	se pe	ts rio	d					II	nec	o m kec	e: t i, n	ype o o	e ar	nd a	imo	oun / is	t. I	f "N ede	lon ed i	e (d n B	or l	ess k C	than \$20 for that)1)" is item.
	BLOCK A						BLO	CK B									L							BL	оск	С			22				
																		Ту	pe					_	_	Α	mc	ur	t				
10		None (or loss than \$1 001)	200	100	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bessemer Sweep Program IRA (6)			×															×			×		×									
2	Federal Home Loan Bank IRA						×												×		×											•	
3	U.S. Treasury Note IRA - 5/31/07			×	-54													•	×		×												
4	Old Westbury Fixed Inc. Fund IRA					×								×							×												å
5	Old Westbury Large Cap Equity IRA				×									×							×												
6	Old Westbury Mid Cap Equity Fund IRA			×										×							×										and the same		g e
7	Old Westbury Global Small Cap Fund IRA		×											×					1		×												
8	Old Westbury International IRA		T	×									,	×							×				*1								
g	Old Westbury Real Return Fund IRA	**	×										a	×		,					×										-	-	
	* This category applies only if the asset/inco by the filer with the spouse or dependent	me is	solely en, m	y tha	t of	the other	filer r his	's sp	ous	e or	dep	endo f va	ent d	chile as a	dren	ı. If	the	asse	et/ir	icon	ie is	eith	er t	hat	of th	ie fi	ler c	or jo	intly	/ he	d	· · · · · · · · · · · · · · · · · · ·	New York Comments

,	Reporting Individual's Name									S C)U se c							100	d 										Pa	5 of	18	
	Assets and Income		a	V t cl	alu ose	at	ior rep	of	As	sse g pe	ts rio	d					Ir	ico iecl	m e	e: t	ype o o	an the	d a	ımo	oun y is	it. I	f "N ede	Vor.	n B	or l	ess k C	than \$20 for that	01)" is item.	
	BLOCK A	┸	_	_			BLO	CK E		_	_													BL	OCK	ALL COMMANDE					_			
		None (or less than \$1,001)	91.	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties		Capital Gains	None (or less than \$201)	.\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Da Yr.) Only if Honorari	ay,
l	Bessemer Sweep Program (6) (7)		×																×						×			1						
2	Federal Home Loan Bk Short Term Note (7)					×													×		×													
3	Federal Home Loan Bank Short Term Note (7)						×												×	1	×													1
4	U.S. Treasury Note - 5/31/07 (7)				×							t.							×		×			,									2.	
5	Old Westbury Fixed Inc. Fund (7)						×	×						×							×													
6	Old Westbury Large Cap Equity Fund (7)					×								×							×													
7	Old Westbury Mid Cap Equity Fund (7)			×										×							×													
8	Old Westbury G.L. Small Cap Fund (7)		×											×							×													
9	Old Westbury International Fund (7)	1			×								1	×							×													
	* This category applies only if the asset/incom by the filer with the spouse or dependent ch	e is se	olely n, m	tha	t of	the othe	filer r hig	's sp	ous	e or	dep	endo f va	ent o	chile as a	dren	. If	the ate.	asse	t/in	com	ie is	eith	er t	hat	of ti	he fi	ler (or jo	intl	y he	d	8		

	Reporting Individual's Name									,	SC			0 455	LI						ie	d									-	Pa	ge Number	18
-			_							-			(0)	-		, ,,				,						-	-	_	-		+	_	0 01	A. 2.
	Assets and Income			at	Va	ılu ose	at of	ior	ort	As	sse g pe	ts erio	d					II	nec	kec	e: t	ype o o	e ar	id a	itry	y is	ne	f "N ede	Non ed i	e (e n B	or l	ess k C	than \$20 for that)1)" is item.
H	BLOCK A		_	_	_	_		BLO	CK I	3		_	_				_	L			_	_		_	BL	OCK				_	_	_		
			None (or less than \$1,001)	2		\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Real Return Fund (7)				×										×							×												
2	Bessemer Sweep Program IRA (S) (6)				×															×		×							,		ar A la la			
3	Bristol-Meyers Squibb Co. IRA (S)		7	×														×				×									***************************************			
4	Zimmer Holdings Inc. IRA (S)		7	×							,							×				×												
5	Bessemer Sweep Program (S) (6)		;	×											Г					×			×											
6	U.S. Treasury Note - 5/31/07 (S)					×														×		×												
7	Old Westbury Municipal Bond Fund (S)							×							×							×												
8	Bristol-Meyers Squibb Co. (S)		;	×												-		×				×										-		12
q	Zimmer Holdings Inc. (S)	,	K															×				×												
	* This category applies only if the asset/inc by the filer with the spouse or dependent	ome is	sole	ly ma	that	of the o	the the	filer r hi	's sp	ous	e or	der ies d	end	ent due,	chile as a	drer	ı. If	the	ass	et/ir	icon	ne is	eith	ier t	hat	of t	he fi	ler	or jo	intl	y he	ıd		

	Reporting Individual's Name								. ;	SC		EI (Us								ue	d	2								-	Pa	ge Number 7 of	18	
	Assets and Income		a	V t cl	alu ose	at	ior rep	of ort	As	sse g pe	ts rio	d					I c	nco	om :ke	e: t	ype o o	ar the	nd a	imo	un / is	t. I ne	f "N	Non ed i	ne (in E	or l	ess k C	than \$20 for that	01)" is item.	
L	BLOCK A						BLO	CK E	3															BL	OCK	С		10.0			and later			
		T																Ту	pe			_				Α	mo	oui	nt		L			,
		None (or less than \$1.001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,0001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day Yr.) Only if Honoraria	
1	Old Westbury Mid-Cap Equity Fund (S)					×								×							×										September September			
2	Old Westbury Large Cap Equity Fund (S)	Ī					×							×							×													
3	Old Westbury G.L. Small Cap Fund (S)			×										×							×													
4	Old Westbury International Fund (S)					×								×							×													
5	Old Westbury Real Return Fund (S)				×									×							×												3	
6	Bessemer Sweep Program (S) (6)				×												Γ		×			×												
7	Federal Home Loan Bank Short Term Note (S)						×							1					×		×													
8	U.S. Treasury Note - 5/31/07 (S)					×													×		×													
9	Old Westbury Municipal Bond Fund (S)	T		ľ			×							×							×						Γ	T					1	
	* This category applies only if the asset/incom by the filer with the spouse or dependent ch	e is s ildre	olely	tha	t of	the othe	filer r hi	r's sp gher	ous	e or	dep	end of va	lent ilue,	chil	drei	n. If	the	ass	et/i	ncon	ne is	eit	ner t	hat	of th	he fi	iler	or jo	oint	y h	ld	<u> </u>		

. R	Reporting Individual's Name	SCHEDULE A continued (Use only if needed)														Pa	ge Number 8 of	18																	
\vdash																	-		-																
	Assets and Income	Sets and Income Valuation of Assets at close of reporting period BLOCK A BLOCK B BLOCK C Type Amount															or l	ess k C	than \$2 for that)1)" item	is														
┝	BLOCK A		_		_	_	BI	OCI	B	_	_	_		+	_	_	+	-			_	_		_	BL	OCK	10.170.0			_	4	_		Т	
	*		None (or less than \$1,001)	21,001 - 313,000	\$15,001 - \$50,000	30,001 - 3100,055	\$100,001 - \$250,000	\$250,001 - \$500,000	\$300,001 - \$1,000,000	\$1,000,000 - \$5,000,000	85 000 001 \$35 000 000	-		Excepted Investment Bind	Excepted Hivestment Fund	Excepted ITUSE	Qualified I rust		d Royalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	1.	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	(Mc	Date o., Day, Yr.) nly if noraria
1	Old Westbury Large Cap Equity Fund (S)					>	<							,	×		1					×													•
2	Old Westbury Mid Cap Equity Fund (S)					>	<				1			,	×							×													
3	Old Westbury G.L. Small Cap Fund (S)			;	×									,	×							×										- C			
4	Old Westbury International Fund (S)					>	<							>	×							×		-								and the second			
5	Old Westbury Real Return Fund (S)				>	<								>	×							×										And the same of the same			
6	Merrill Lynch (8):													T																					
7	ML Bank Deposit (S) (6)		×																	×		×													
8	Bristol-Meyers Squibb (S)		×										T	1				×					×	Ä											
9	Zimmer Holdings, Inc. (S)		×										T	1			1	×				×				3						1			
Γ	* This category applies only if the asset/in by the filer with the spouse or depender	come is	s sole	ly t	hat o	of the	ne fil her l	er's	spou er ca	ise o	or de	epen	den	nt ch	ildi s ap	ren.	If t	he a	isse	t/in	com	e is	eith	er t	hat	of t	he f	ler	or jo	oint	ly h	eld			

L	Reporting Individual's Name Biuliani, Rudolph W									SC				LI						1e	d										Pa	ge Number 9 of	18
-	Assets and Income		a	t c	alu	at	io: rej	oort	fAs	sse g pe	ts erio	d					Cl	ico nec) m (e: t	ype o o	ar the	nd a	imo	un / is	t. I	f "N ede	Non ed i	e (d	or l	ess k C	than \$20 for that i	11)" is item.
L	BLOCK A	\perp	_	_	_		BLC	CK I	3	_	_	_	_	L	_		L							BL	OCK	_				_	_		
		None (or less than \$1,001)		\$15,001 - \$50,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Money Markets IRA (S) (6)	×																	×			×											
2	Bristol-Meyers Squibb IRA (S)	×															×					×											
3	Zimmer Holdings, Inc. IRA (S)	×															×				×									and see that			
4	Prudential Financial Stock		×																×		×										-		
5	Changing Our World, Inc. (S) (9) New York, NY	T																														Salary	-
6	Rudolph W. Giuliani (S) New York, NY																														-	Salary .	
7	Schwab (S) (10) Defined Benefit Plan				×																×										-		
8	JP Morgan Institutional Tax Free Money Management (11)									×				×											2			×			Care Constant		
9	JP Morgan Private Bank Checking Account (6)					×													×		×												
	* This category applies only if the asset/income by the filer with the spouse or dependent chi	is so	olely	thark	at of the	the	file:	r's sp gher	oous	e or	dep	end of va	lent llue,	chile as a	drer	ı. If	the	asse	et/in	icon	ne is	eitl	ner t	hat	of th	ne fi	ler (or jo	intly	he.	ld		

	Reporting Individual's Name Siuliani, Rudolph W								5	SC	H				E A					ie	d										Pag	ge Number	18
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	Assets and Income		а	V it cl	alu lose	of	ion rep	of	As	se pe	ts rio	d					Ir	ico	m (e: t	ype o o	ar the	nd a	mo	oun / is	t. Ii	f "N ede	Non ed i	e (or l	ess k C	than \$20 for that)1)" is item.
L	BLOCK A	- 1				1	BLO	СК В																BL	OCK	С					1		
				Τ														Гу	pe							Α	mo	ur	ıt.	-			
		4 000 100	\$1,001 - \$15,000	\$15,001 - \$50,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Prudential Financial Money Market (6) (12)			×										×									×										
2	Vanguard Group Growth and Income (12)		1	×										×								×											
3	Vanguard Group Total Stock Market (12)		×											×								×											
4	Merrill Lynch - Blackrock Balanced Cap Fund (13)		×											×							×										1		
5	Merrill Lynch - Blackrock National Municipal Bond Fund (13)		×											×		al.					×												15.
6	Merrill Lynch Money Market Account (6) (13)		×																×		×										200		
7	Citibank - Checking (6)						×												×					×	7								
8	Citibank - Money Management (6)		T				×									,			×							×							
9	Citibank - Money Management (6)		T							×									×									×		Γ			
	* This category applies only if the asset/inc by the filer with the spouse or dependent	ome is a	solely en, m	y tha	t of	the i	filer r hig	s sp	ouse	e or	dep	ende f va	ent o	chile as a	dren	. If	the	asse	t/in	com	ie is	eith	ner t	nat	of th	ne fi	ler o	or jo	intl	y he	ld		

	Reporting Individual's Name									_	-					_								1								Pag	ge Number	
G	Siuliani, Rudolph W										SC					E A	_				1e	d											11 of	18
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	Assets and Income			at	V a clo	lu se	ati of	on	of	As	se pe	ts rio	d					II cl	ic c	m kec	e: t l, n	ype o o	e ar the	nd a	imo	oun / is	t. I	f "N ede	lon ed i	e (e n B	or l	ess k C	than \$20 for that i	1)" is tem.
L	BLOCK A						F	BLO	CK B																BL	OCK	С							-
																			Ту	pe							A	mc	ur	ıt				
		10 (10)	None (or less than \$1,001)	1	1	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Citibank - Money Management (6)										×									×									×					
2	Citibank - Money Management (6)								×											×							×							
3	Citibank - Checking (S) (6)		;	×	1															×			×											
4	Citibank - Money Management (S) (6)	>	<	1		1														×							×							
5	Citibank - Checking (J) (6)		1			×														×		×												
6	Citibank - Checking (J) (6)		1		1	1					×									×					×									
7	Omnicom (S) (14)		1			×												×					×											
8	Mutual of America Mid-Term Bond Fund (S)	(15)				×									×										×									·
9	Personal Loan to Kenneth Caruso New York, NY							×												×		×												
	* This category applies only if the asset/inc by the filer with the spouse or dependent	ome is a	sole	ly t	hat k th	of t	he f	filer hig	's sp	ous	e or	dep	end of va	ent lue,	chil as a	drer	ı. If	the	asse	et/ir	con	ne is	eith	ner t	hat	of tl	ne fi	ler o	or jo	intl	y he	ld		

	SCHEDULE A continued (Use only if needed) Page Number 12 of 18																																
_	Tanana, Nadolphi 11	(Use only if needed) 12 of															12 of	18															
	Assets and Income	we Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or less than \$2 checked, no other entry is needed in Block C for that															than \$20	1)" is															
	BLOCK A						7			PC		•					CI	icci	KCU	, 11	, 0,	.IIC					cuc	1	пъ	1001		ioi that i	cem.
		Type Amount																															
		None (or less than \$1,001)		10	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch Basic Retirement																																
2	Retirement Reserves CI I (6)		×														×				×												
3	Blackrock Balanced Cap Fund Inc. Instl			×									1	×									×										
4	Merrill Lynch Basic Retirement						-																										
5	Retirement Reserves CI I (6)		×										1				×				×												
5	Blackrock Balanced Cap Fund Inc Instl			×										×									×										
7	Investment Residential Property (J) Hazelton, PA					×															×												
3	Vanguard Wellington IRA Fund							×						×												×							
,	New York City 457 Deferred Compensation Pla - Socially Responsible Mutual Funds (16)	in .			×									×																			
	* This category applies only if the asset/incomby the filer with the spouse or dependent cl	ne is so hildrei	olely	tha ark t	t of the c	the f	filer' hig	s sp her	ouse	e or	dep	ende f va	ent c	hilo as a	iren	. If	the ate.	asse	t/in	com	e is	eith	er t	hat	of th	ne fi	ler o	or jo	intly	/ hel	ld		

Giuliani, Rudolph W SCHEDULE A continued (Use only if needed)										13 of 18																							
	Assets and Income		a	V t cl	alu ose	at	ior rep	oort	As	se g pe	ts rio	d					Ir	ico	me ked	e: t	ype o o	ar	nd a	mo	un is	t. I	f "N ede	Noned i	e (e n B	or 1 loc	ess c C	than \$20 for that i	1)" is item.
	BLOCK A						BLO	CK E	3															BLO	OCK	С							
																		Ту	рe				_		_	A	mic	uı	ıt				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch IRA:						v				,																						
2	ML Bank USA RASP (6)		×														×				×												
3	Retirement Reserves CI I (6)	×															×				×									And the second of			1
4	AIM Charter Fund Class B			×										×							×										Note the Brand Was		
5	Alliancebernstein LG Cap Growth Fd CI B		×											×							×									Ÿ			
6	Alliancebernstein Growth and Income Fd Cl B		Г	×										×							×										To add the state of the state of		2
7	Leeds Equity Associates IV LLC (17)																			٠.					à			141			-	Stipend: \$150,000	
8	Connecticut General Life Insurance Company Universal Life Policy (18)			×																	×												
9	Connecticut General Life Insurance Company Universal Life Policy (19)	-		×									-								×							,					
	* This category applies only if the asset/income	is so	olely	tha	t of	the	file	r's sp	ous	e or	dep	end	ent	chile	dren	. If	the	asse	et/in	con	ie is	eitl	ner t	hat	of th	he fi	ler	or jo	intl	y he	ld		

	Reporting Individual's Name Biuliani, Rudolph W	SCHEDULE A continued (Use only if needed)												Pag	ge Number 14 of	18																		
	Assets and Income		a			of						d					I r	hec	kec	e: t	ype o o	e ar	nd a r er	itry	/ is	ne	f "N	lon ed i	ne (d	or l	ess k C	than \$20 for that)1)" is item.	
H	BLOCK A	BLOCK B BLOCK C Amount											-	_		T	-																	
	*	None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	. Qualified Trust		toyalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000			\$100,000 - \$1,000,000	Over \$1,000,000*	\$1,000,001 = \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria	
1	Schwab (20) Defined Benefit Plan	×																			×										1			
2																															The second			
3														٠																-	and and an in the last			
4																															O manifold to the transfer	*		-
5																										25								
6																				,	2			*							Confidence :			
7									•																									
8																																		
9																											٠				The Participant of the Participa			
	* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.																																	

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

	porting Individual's Name Jliani, Rudolph W	SCHEDULE C Page Number 15 of 18															
Re	art I: Liabilities port liabilities over \$10,000 owed any one creditor at any time	a mortgage on your personal residence unless it is rented out; loans secured by	None >	3		Category of Amount or Value (x)											
di yo Ci	any one creditor at a ny time aring the reporting period by you, our spouse, or dependent children. leck the highest amount owed aring the reporting period. Exclude	automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term if	\$10,001 -	\$15,001 -	\$50,001 -	\$100,001-	50,001 -	\$500,001 -	Over \$1,000,000*	\$5,000,000-	\$5,000,001 -	\$25,000,001 -	Over . \$50,000,000	
	Creditors (Name and Address)	Type of Liability	Incurred	Rate	applicable	\$1	\$1	\$5	\$1	\$2	\$5	\$1	\$1	\$5	\$2	\$50	
Exa	Imples First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC	Mortgage on rental property, Delaware Promissory note															
3																	
4																	
5																	
1	This category applies only if the liability is	solely that of the filer's spouse or dependent child rk the other higher categories, as appropriate.	en. If the li	ability is th	nat of the fil	er or a	joint	liabili	ty of t	he file	er						
-	art II: Agreements o																
Re en	port your agreements or arrangement aployee benefit plan (e.g. pension, 40	its for: (1) continuing participation in an 1k, deferred compensation); (2) continua- (including severance payments); (3) leaves	of abser ing of n	ice; and (egotiation	4) future e is for any	mplo of the	ymer se ar	it. See range	instr ment	ructions or b	ons re penefi	gard its.	ing th		ort- None		
	Status and T	Ferms of any Agreement or Arrangement							Partie	s					D	Date	
Exa	mple Pursuant to partnership agreement calculated on service performed the	t, will receive lump sum payment of capital account & parough 1/00.	rtnership sh	are	Doe Jones	& Smit	h, Hon	netown	, State						7.	/85	
1 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY 01/02											/02						
2	Pursuant to book contract, filer will continue	to receive royalties on book sales. (4)			Disney Wo	orldwid	le Sen	vices, l	Hyperi	on/Mir	ramax	Book	s, Nev	/ York	7/	02	
3	Partnership Agreement continues to exist (1) (22)		8	Giuliani &	Comp	any, L	LC, N	ew Yo	rk, NY	,	10			1/0	02	
4	Partnership Agreement continues to exist (2	2) (23)			Bracewell	& Giul	iani, A	ustin,	TX			ij.			4/0	05	
5	457 Deferred Compensation Socially Response	onsible Fund (no contributions allowed post-12/01)			City of Nev	w York	Defer	red Co	mpen	sation	Plan,	New	York,	NY	9/9	94	
6	Pursuant to standard theatrical contract, roy	valties will be paid for repeat showings of performance	s. (Various	dates)	SPE Corp	oration	, Culv	er City	, CA (Paying	g Ager	nt)					

Re	porting Individual's Name	SCHEDULE C Page Number 16 of 18														
1000	art I: Liabilities eport liabilities over \$10,000 owed	a mortgage on your personal residence unless it is rented out; loans secured by	None >	3												
to di yo Cl	any one creditor at any time uring the reporting period by you, our spouse, or dependent children. neck the highest amount owed uring the reporting period. Exclude	automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term if	\$15,000 -	\$50,000	\$50,001 -			\$500,000 -	Over 10 \$1,000,000*	\$1,000,0001- \$5,000,000	\$5,000,001 -	\$25,000,001 -	Over \$50,000,000
	Creditors (Name and Address)	Type of Liability	Incurred	Rate	applicable	\$10	\$1	\$5	\$1	\$2	\$5	\$1	\$1	\$5	\$2	\$50
Ex	amples First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC															
1																
2																
3																
4																
5																
	This category applies only if the liability is with the spouse or dependent children, man	solely that of the filer's spouse or dependent child rk the other higher categories, as appropriate.	en. If the li	ability is th	at of the fil	er or a	joint	liabili	ty of t	he file	er					
P	art II: Agreements or	Arrangements										Jan				
er	nployee benefit plan (e.g. pension, 40)	ts for: (1) continuing participation in an 1k, deferred compensation); (2) continua-including severance payments); (3) leaves	of absen	ce; and (egotiation	4) future e as for any o	mplo of the	ymen se ari	t. See range	instr ment	uctio s or b	ns re enefi	gardi its.	ng th		ort- None	
	Status and T	erms of any Agreement or Arrangement							Partie	s		1			D	Date
Exa	Pursuant to partnership agreement, calculated on service performed the	, will receive lump sum payment of capital account & pa	rtnership sh	те	Doe Jones	& Smit	h, Hom	etown,	State					3	7,	/85
1	Chairman of the Board (17)	9			Leeds Equ	ity Fur	nd IV,	Board	of Adv	isors,	New	York, I	VY		06	5/02
2	5/06															
3																
4																
5	9		•									4				
6																

Reporting Individual's Name Giuliani, Rudolph W		Page Number 17 of	18		
Part I: Positions Held Report any positions held during the ar sated or not. Positions include but are r trustee, general partner, proprietor, rep any corporation, firm, partnership, or c	pplicable reporting period, wheth not limited to those of an officer, o presentative, employee, or consul	er compen- director, social, fraternal, or politica tant of nature.	al institution. Exclude positions al entities and those solely of an	honorary	one 🔲
Organization (Name	and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,Yr.)
Examples Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present
Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00
Giuliani & Company LLC, New York, NY (1) (25)	Holding Company	Chairman	01/2002	Present
Giuliani Partners LLC, New York, NY (1) (2	25)	Consulting Firm	Chairman and CEO	01/2002	Present
Bracewell & Giuliani LLP, New York, NY		Law Firm	Partner	04/2005	Present
Leeds Equity Fund IV, Board of Advisors, N	New York, NY	Investment Firm Advisory Board	Chairman (17)	06/2002	Present
S Ronald Reagan Presidential Library Founda	ation, Simi Valley, CA (on leave)	Not-for-profit Presidential Library	Board Member	04/2003	04/2007
Rudolph W. Giuliani Center For Urban Lead	dership, New York, NY	Non-profit Library/Education	Board Member	01/2002	Present
Part II: Compensation Report sources of more than \$5,000 con business affiliation for services provide the reporting period. This includes the corporation, firm, partnership, or other	mpensation received by you or yo d directly by you during any one names of clients and customers o	year of you directly provided the fany services generating a fee or	payment of more than \$5,000	ion Filer, or dential Cand You	Vice
Source (Name and	d Address)	Br	ief Description of Duties		
Examples Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith)	nith), Moneytown, State	Legal services Legal services in connection with university const	truction		
2					
3	,			-	
	2				
4	97				
S					
6					

Reporting Individual's Name Giuliani, Rudolph W	Page Number	f 18			
Part I: Positions Held Report any positions held during the sated or not. Positions include but are trustee, general partner, proprietor, r any corporation, firm, partnership, or	applicable reporting period e not limited to those of an c epresentative, employee, or	whether compen- officer, director, consultant of organization or ed social, fraternal, o nature.	lucational institution. Exclude posit or political entities and those solely of	f ari honorary	s, None 🔲
Organization (Nam	e and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,Yr.)
Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present
Examples Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00
America's Camp Foundation Board of Ad	visors, New York, NY	Non-profit camp	Chairman	05/2003	Present
Giuliani Group LLC, New York, NY (1) (2	5)	Holding Company	Chairman	01/2002	Present
3					
4					
5					
6	×				
Part II: Compensatio Report sources of more than \$5,000 c business affiliation for services provide the reporting period. This includes th corporation, firm, partnership, or oth	ompensation received by you during a e names of clients and custo	any one year of you directly provious services generating	ation when Presidential or Pr	ination Filer, o esidential Can 000. You	r Vice
Source (Name a	nd Address)		Brief Description of Duties	+ 1	
Examples Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones &	Smith), Moneytown, State	Legal services Legal services in connection with univ	versity construction		
1					
2					
3					
4					
5					
6					
					-

Notes - Rudolph W. Giuliani Form SF 278

1) Giuliani & Company LLC

New York, NY

The filer is a thirty percent equity partner who received a guaranteed payment pursuant to his partnership agreement of \$994,169 and a net distributive share of partnership income of \$2,452,115 during the reporting period. Included in the reported amount is \$600,000 in accrued guaranteed payments for 2007 and a distributive share of interest earned in the partnership of \$62,044 for 2006, which amounts have not been paid yet.

Giuliani & Company LLC has ownership interests in the following companies, whose underlying asset values and income types and values are not readily ascertainable:

Giuliani Group LLC

New York, NY

Giuliani Group is an intermediary holding company that performs no direct services.

Giuliani Partners LLC

New York, NY

Giuliani Partners is a management consulting firm that provides strategic advisory services to clients.

Giuliani Capital Advisors LLC

New York, NY

GCA is a broker-dealer that no longer provides services following a sale of assets to Macquarie Bank.

Giuliani Security & Safety LLC

New York, NY

GSS is a consulting company that provides security and safety consulting services.

K.K. Giuliani Security & Safety Asia

Tokyo, Japan

GSSA is a consulting company that provides security and safety consulting services.

K.K. Giuliani Compliance Japan

Tokyo, Japan

GCJ is a consulting company that provides corporate governance consulting services.

Lenore Partners LLC

New York, NY

Lenore Partners is a holding company for an investment in Skywatch LLC, a technology company.

BioONE Solutions, LLC

Albany, NY

BioOne is a technology services company providing decontamination services to clients.

BSMB/Camelbak LLC

New York, NY

BSMB/Camelbak is a holding company that owns a majority interest in Camelbak Group, LLC, which manufactures personal, portable hydration systems.

The Nucleus Group LLC

New York, NY

The Nucleus Group is a consulting company that provides strategic consulting services to clients.

Leeds Equity Associates IV LLC

New York, NY

LEAIV is the General Partner of Leeds Equity Partners Fund IV L.P. ("LEPFIV"), a private equity fund that makes investments in knowledge and education industry-related companies. Currently, the fund has investments in the following companies whose underlying asset values, income types and values are not readily ascertainable.

Company	Location	Nature of Business
Sagus International	Oakbrook, IL	School Furniture
Millen Heiman, Inc.	Reno, NV	Sales Training &
		Consulting
Seaton Corp.	Chicago, IL	Staffing
		&Recruitment
		Process
		Outsourcing
Education	Pittsburgh, PA	Post-Secondary
Management Corp.		Education
Instituto de Banca y	San Juan, PR	Post-Secondary
Comercio, Inc.	***	Education
eInstruction	Denton, TX	K-12 and
		Postsecondary Education

2) Bracewell and Giuliani LLP

New York, NY

The filer is an income partner who receives an annual salary and a seven and one-half percent share of the revenue of the New York office of the firm, which seven and one-half percent share has not yet been calculated or distributed for the reporting period. The law firm represents clients in legal matters and has a lobbying component in some offices but not in the New York office.

3) Washington Speakers Bureau

Alexandria, VA

The Washington Speakers Bureau serves as an agent for the booking of speeches and lectures. Annex A contains a complete list of all appearances by the filer booked through the Washington Speakers Bureau, including the date of each speaking engagement, the identity of the entity contracting for each appearance and the gross and net revenue for each appearance. The filer is not currently accepting any paid speaking engagements.

4) Disney Worldwide Services - Hyperion/Miramax Books

Lake Buena Vista, Florida

Company is the publisher of the filer's book, "Leadership," and the reported income represents his gross royalty payments during the reporting period. The filer is entitled to receive royalty payments on future book sales. The amount of such future royalty payments is not readily ascertainable.

5) SPE Corporate Services
Culver City, CA

SPE is a paying agent for theatrical royalties.

- 6) These are cash accounts.
- 7) These accounts are a retirement plan for the filer that were in a Giuliani Partners defined benefit plan before being moved to Bessemer Trust in 2007.
- 8) These accounts have been closed and moved to Bessemer Trust.
- 9) Changing Our World, Inc.

New York, NY

Changing Our World is a fundraising and philanthropic consulting firm.

- 10) This represents a defined benefit plan for the filer's spouse originally designed to provide an estimated benefit of \$8,600 per month at age 63. Funding ceased and the proceeds are in the process of being moved to a retirement account at Bessemer Trust.
- 11) This represents a tax free money market sweep which is comprised of a changing portfolio of cash and short-term, tax-free instruments.
- 12) This represents a one-half interest in accounts held in the name of Donna Hanover.

- 13) This represents a one-half interest in accounts held under the name Rudolph W. Giuliani and Donna Hanover.
- 14) This represents ownership of Omnicom stock held in an employee stock purchase program at Changing Our World.
- 15) This represents funds held in Mutual of America 401K plan from Changing Our World.
- 16) Income not readily ascertainable because the account does not pay dividends or interest. Rather, the value of the participants' holdings are adjusted quarterly.
- 17) As Chairman of the Advisory Board, the filer may elect to receive or defer a stipend of \$150,000 or designate another to make such election. Giuliani Partners LLC has been designated and elected to defer the stipend in favor of a profits interest in the fund. Neither Giuliani Partners nor the filer received any actual income from this event.
- 18) The filer established the Rudolph W. Giuliani Trust containing a one million dollar life insurance policy for the benefit of his children, with his former spouse as a contingent beneficiary. The trust is administered by an independent Trustee and the filer has no legal right or authority to access the net funds or loan value of the policy.
- 19) The filer's former spouse has established the Donna H. Giuliani Trust containing a one million dollar life insurance policy for the benefit of her children, with the filer as a contingent beneficiary. The trust is administered by an independent Trustee and the filer has no legal right or authority to access the net funds or loan value of the policy.
- 20) The filer established a defined benefit plan at Schwab that, effective January 2007, was scheduled to pay the filer a monthly benefit of \$7,500 at age 63. The plan is in termination and the funds were moved to the Bessemer accounts identified by note 7.
- 21) As a partner, the filer is entitled to an annual contribution of \$20,250 under a safe harbor program so long as he remains an active partner.
- 22) Pursuant to partnership agreement, the filer is a partner with a 30% equity interest and a guaranteed payment which is reviewed quarterly and subject to change. The current payment is \$200,000 per month, which is accruing and has not been paid. See also Note 1.
- 23) Pursuant to partnership agreement, the filer receives guaranteed payments of one million dollars per year and 7 ½% of the revenue of the New York office. See also Note 2.
- 24) Pursuant to New York City Administrative Code Section 12–126.3, former Mayors of New York City who attain the age of 62 are entitled to the same health insurance coverage that city employees and retirees receive pursuant to Section 12–126 of such code. The filer has not availed himself of this benefit.

25) The filer is a partner and either CEO or Chairman of each entity. The filer does not hold an office with the related companies listed in Note 1.

Annex A--Rudolph W. Giuliani Form SF 278

Listed below are the organizations that retained the filer for speaking engagements in 2006 and 2007 through the Washington Speakers Bureau and the dates on which those speaking engagements occurred.* For each engagement, the gross amount of the contract paid to Washington Speakers Bureau is listed followed by the net amount paid to the filer.

Appearance		440	
Date	Organization	Gross Fee ⁽¹⁾	Net Speaker Fee
01/20/2006	IDX Systems Corporation	\$100,000.00	\$80,000.00
01/22/2006	Guidant Sales Corporation	\$100,000.00	\$80,000.00
01/24/2006	IQPC	\$100,000.00	\$80,000.00
01/25/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
01/25/2006	Life Win, Inc. (Get Motivated Seminars)	\$25,000.00	\$20,000.00
01/31/2006	Freescale Semiconductor, Inc.	\$100,000.00	\$80,000.00
02/02/2006	TD Waterhouse Investor Services, Inc.	\$100,000.00	\$80,000.00
02/06/2006	Novartis Pharmaceuticals Corporation	\$60,000.00	\$48,000.00
02/07/2006	National Grocers Association	\$100,000.00	\$80,000.00
02/08/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
02/08/2006	Life Win, Inc. (Get Motivated Seminars)	\$25,000.00	\$20,000.00
02/11/2006	Edwards Lifesciences	\$100,000.00	\$80,000.00
02/16/2006	Lexus Southern Area Dealers	\$50,000.00	\$40,000.00
02/22/2006	Sir Dorabji Tata Trust	\$200,000.00	\$180,000.00
02/27/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
03/05/2006	Reed Exhibitions	\$50,000.00	\$40,000.00
03/07/2006	Shell Oil Products US	\$60,000.00	\$48,000.00
03/08/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
03/09/2006	P4 Healthcare	\$100,000.00	\$80,000.00
03/16/2006	TraceyLocke	\$50,000.00	\$40,000.00
03/21/2006	Help-U-Sell Real Estate	\$100,000.00	\$80,000.00
03/24/2006	Oklahoma State University	\$100,000.00	\$80,000.00
03/28/2006	Dunkin' Brands Inc.	\$100,000.00	\$80,000.00
03/29/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
03/30/2006	Barrick Gold Corporation care of Ogilvy Renault	\$50,000.00	\$40,000.00
03/31/2006	Credit Suisse	\$100,000.00	\$80,000.00
04/05/2006	Los Angeles Venture Association	\$100,000.00	\$80,000.00
04/11/2006	Financial Times Ltd.	\$200,000.00	\$160,000.00
04/19/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
04/21/2006	University of Western Ontario	\$120,000.00	\$100,000.00
04/24/2006	Nelson Levine deLuca & Horst	\$50,000.00	\$40,000.00
04/24/2006	PGA Tour, Inc. (2)	\$90,000.00	\$72,000.00
05/01/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
05/02/2006	SAP America, Inc.	\$100,000.00	\$80,000.00
05/04/2006	City of Winnipeg	\$120,000.00	\$100,000.00
05/07/2006	Shore Memorial Health Foundation	\$60,000.00	\$48,000.00
05/07/2006	Richmond Events, Inc.	\$50,000.00	\$40,000.00
05/09/2006	Ohio Chamber of Commerce	\$100,000.00	\$80,000.00
05/10/2006	RedPrarie Corporation	\$100,000.00	\$80,000.00
05/11/2006	MAILCOM	\$60,000.00	\$48,000.00
05/12/2006	Project Management Institute Mile Hi Chapter	\$100,000.00	\$80,000.00

Annex A--Rudolph W. Giuliani Form SF 278

05/10/0000	0 1111	£100 000 00	00 000 003
05/13/2006	General Motors	\$100,000.00	\$80,000.00
05/16/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
05/18/2006	Atlanta Journal Constitution	\$100,000.00	\$80,000.00
05/19/2006	Gail & Rice, Inc.	\$100,000.00	\$80,000.00
05/21/2006	Suffolk University	\$100,000.00	\$80,000.00
05/22/2006	Junior Achievment of Eastern MA, Inc.	\$100,000.00	\$80,000.00
05/23/2006	Merrill Lynch & Co., Inc.	\$100,000.00	\$80,000.00
05/24/2006	American Jewish Committee	\$50,000.00	\$40,000.00
05/25/2006	Financial Pacific Insurance Company	\$100,000.00	\$80,000.00
05/25/2006	Advertising Specialty Institute	\$50,000.00	\$40,000.00
05/31/2006	Saginaw County Chamber of Commerce	\$50,000.00	\$40,000.00
06/01/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
06/01/2006	Life Win, Inc. (Get Motivated Seminars)	\$25,000.00	\$20,000.00
06/02/2006	Council of Insurance Agents and Brokers	\$100,000.00	\$80,000.00
06/05/2006	Cloverlea Entertainment	\$100,000.00	\$80,000.00
06/06/2006	Cendant Corporation	\$100,000.00	\$80,000.00
06/07/2006	HSM Americas, Inc.	\$100,000.00	\$80,000.00
06/07/2006	HSM Americas, Inc.	\$5,000.00	\$4,000.00
06/09/2006	America's Health Insurance Plans	\$100,000.00	\$80,000.00
06/14/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
06/21/2006	Global Leaders Ltd.	\$200,000.00	\$160,000.00
06/27/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
06/28/2006	Bowling Proprieters' Association of America	\$100,000.00	\$80,000.00
07/07/2006	Assurant Health	\$50,000.00	\$40,000.00
07/21/2006	Merrill Lynch & Co., Inc.	\$100,000.00	\$80,000.00
07/25/2006	Associacion E3 Futura	\$150,000.00	\$120,000.00
08/05/2006	Sports, Etcetera	\$100,000.00	\$80,000.00
08/05/2006	Ameriprise Financial	\$100,000.00	\$80,000.00
08/16/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
08/18/2006	Shaklee Corporation	\$100,000.00	\$80,000.00
08/29/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
09/01/2006	Steiner Sports Marketing	\$75,000.00	\$60,000.00
09/05/2006	Life Win, Inc. (Get Motivated Seminars)	\$50,000.00	\$40,000.00
09/06/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
09/07/2006	Masterplan, Inc.	\$55,000.00	\$44,000.00
03/01/2000	Allegheny Casualty International Fidelity	400,000.00	*
09/09/2006	Associated Bond (AIA Holdings)	\$50,000.00	\$40,000.00
09/12/2006	HSM Americas, Inc.	\$10,000.00	\$8,000.00
09/12/2006	HSM Americas, Inc.	\$50,000.00	\$40,000.00
09/19/2006	National Beer Wholesalers Association	\$100,000.00	\$80,000.00
09/20/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
09/20/2006	World Affairs Council of Philadelphia	\$100,000.00	\$80,000.00
09/22/2006	Assist America	\$50,000.00	\$40,000.00
09/23/2006	Empire Entertainment, Inc.	\$50,000.00	\$40,000.00
09/29/2006	Iceland Telecom - Siminn	\$200,000.00	\$160,000.00
10/04/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
10/05/2006	International Sanitary Supply Association	\$100,000.00	\$80,000.00
10/03/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
10/09/2006	Institute for International Research Poland	\$200,000.00	\$160,000.00
10/16/2006	Healthways, Inc.	\$100,000.00	\$80,000.00
	HSM Deutschland GMBH	\$125,000.00	\$100,000.00
10/24/2006	How Deutschaffu GWDH	\$120,000.00	ψ.00,000.00

Annex A--Rudolph W. Giuliani Form SF 278

10/00/0000	HOME E OF LUNIDEDCOMME	*405 000 00	0400 000 00
10/26/2006	HSM Italia, S.R.L. UNIPERSONALE	\$125,000.00	\$100,000.00
10/31/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
11/08/2006	HSM Argentina S.A.	\$10,000.00	\$8,000.00
11/08/2006	HSM Argentina S.A.	\$125,000.00	\$100,000.00
	American Hotel & Lodging Association &		
11/11/2006	Educational Institute	\$50,000.00	\$40,000.00
11/12/2006	Wilkes University	\$100,000.00	\$80,000.00
11/13/2006	Life Win, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
11/14/2006	McCreath Communications	\$100,000.00	\$80,000.00
11/14/2006	McCreath Communications	\$100,000.00	\$80,000.00
11/17/2006	Hindustan Times Group	\$200,000.00	\$160,000.00
11/20/2006	LaSalle Bank Corporation	\$100,000.00	\$80,000.00
12/01/2006	JPMorgan Chase & Company	\$50,000.00	\$40,000.00
12/06/2006	Future Tech Enterprise, Inc.	\$50,000.00	\$40,000.00
12/06/2006	Cambridge Strategic Management Group	\$50,000.00	\$40,000.00
03/13/2006	Forval Corporation	\$100,000.00	\$90,000.00
09/15/2006	Forval Corporation	\$150,000.00	\$135,000.00
12/12/2006	Softbrain Corporation	\$200,000.00	\$180,000.00
11/20/2005	Sage Capital Group (3)	\$300,000.00	\$270,000.00
01/09/2007	Commercial Mortgage Securities Association	\$100,000.00	\$80,000.00
01/10/2007	Needham and Company	\$50,000.00	\$40,000.00
01/22/2007	Ringling School Library Association Town Hall	\$150,000.00	\$120,000.00
01/22/2007	JPMorgan Securities Inc.	\$100,000.00	\$80,000.00
01/24/2007	Premier, Inc.	\$100,000.00	\$80,000.00
01/25/2007	Lehman Brothers	\$100,000.00	\$80,000.00
01/25/2007	LifeWin, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
01/26/2007	Quesada	\$50,000.00	\$40,000.00
	Armed Forces Communications and Electronics		M Jan Amerikana
01/31/2007	Association	\$100,000.00	\$80,000.00
02/03/2007	Leadership 100	\$90,000.00	\$72,000.00
02/08/2007	Association of Foreign Investors In Real Estate	\$50,000.00	\$40,000.00
02/09/2007	Quesada	\$50,000.00	\$40,000.00
02/14/2007	LifeWin, Inc. (Get Motivated Seminars)	\$100,000.00	\$80,000.00
02/14/2007	LifeWin, Inc. (Get Motivated Seminars)	\$25,000.00	\$20,000.00
	Total	\$11,390,000.00	\$9,195,000.00
	27.70		

^{*} The filer contracted for a speech on January 17, 2007 for the Associazione Calabria Pro Zambia: Bridge of Solidarity in Italy. The speech was completed but all funds were returned.

⁽¹⁾ This column represents the gross amount of fees paid for the filer's speaking engagements, including amounts retained by Washington Speakers Bureau as agent fees.

⁽²⁾ The filer had a contract to speak at an event in Louisiana for the PGA Tour, Inc. Within one day of the appearance he donated \$80,000 in personal contributions to New Orleans charities addressing the aftermath of Hurricane Katrina including: For! Kids Foundation, St James AME Church, Catholic Charities Archdiocese of New Orleans, Friends of the New Orleans Fire Department, Dillard University and St. Dominic's Church.

⁽³⁾ Washington Speakers Bureau did not receive payment until January 2006 and did not pay Mr. Giuliani until 2006 – this was a 2005 event.