SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)* 02/10/2007	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report 2006	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name Obama		First Name and Middle Initial Barack H.		
Position for Which Filing	Title of Position Candidate for President		Department or Agency *(If Applicable)*		
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)* 713 Hart Senate Office Building, Washington, DC 20510		Telephone No. *(Include Area Code)* 202-224-2854		
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held United States Senator - Jan. 4, 2005 to Present				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No		
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual		Date *(Month, Day, Year)* 5/14/07		
Other Review (If desired by agency)	Signature of Other Reviewer		Date *(Month, Day, Year)* 7/16/07		
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official		Date *(Month, Day, Year)* 7/17/07		
Office of Government Ethics Use Only	Signature		Date *(Month, Day, Year)* 8/15/07		

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

*Additional information provided to FEC by candidate on 6/27/07

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Reviewed for Apparent Compliance with the Federal Election Campaign Act

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name
Obama, Barack H.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples: Central Airlines Common				x								
Examples: Doe Jones & Smith, Hometown, State			x									
Examples: Kempstone Equity Fund					x							
Examples: IRA: Heartland 500 Index Fund							x					
1 Morgan Chase Private Client Asset Mgmt Checking Acct (J)					x							
2 Northern Trust Checking (J)				x								
3 UBS Bank USA Deposit Acct	x											
4 Vanguard Wellington Fund Investor Shares					x							
5 State of Illinois General Assembly Pension Plan defined benefit plan KB/FEC				x								
6 Vanguard Wellington Fund Investor Shares (S)				x								

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains
Examples: Central Airlines Common				x			
Examples: Doe Jones & Smith, Hometown, State							
Examples: Kempstone Equity Fund	x						
Examples: IRA: Heartland 500 Index Fund	x						
1 Morgan Chase Private Client Asset Mgmt Checking Acct (J)						x	
2 Northern Trust Checking (J)						x	
3 UBS Bank USA Deposit Acct						x	
4 Vanguard Wellington Fund Investor Shares	x						
5 State of Illinois General Assembly Pension Plan defined benefit plan KB/FEC	x						
6 Vanguard Wellington Fund Investor Shares (S)	x						

Assets and Income	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common			x										
Examples: Doe Jones & Smith, Hometown, State												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund				x									
Examples: IRA: Heartland 500 Index Fund					x								
1 Morgan Chase Private Client Asset Mgmt Checking Acct (J)				x									
2 Northern Trust Checking (J)			x										
3 UBS Bank USA Deposit Acct		x											
4 Vanguard Wellington Fund Investor Shares					x								
5 State of Illinois General Assembly Pension Plan defined benefit plan KB/FEC	x												
6 Vanguard Wellington Fund Investor Shares (S)					x								

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B) — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard Wellesley Income Fund Investor Shares (S)					X								X											X								
2	Nuveen Floating Rate Income Fund (J)	X															X						X										
3	Marshall Prime Money Market Investor Shares (S)		X											X								X											
4	Pimco Total Return Admin Shares (S)		X											X								X											
5	Goldman Sachs Large Cap Value A (S)		X											X								X											
6	Marshall Mid-Cap Value Investor Shares (S)		X											X								X											
7	Vanguard Mid-Cap Index Fund Investor Shares (S)		X											X							X												
8	University of Chicago Hospitals (S)																															Salary	
9	Tree House Foods (S)																															Director Fees	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued

(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Dystel & Goodrich - Book Royalties (amount includes $43,644 of commissions and legal fees)	VALUE NOT READILY ASCERTAINABLE																X														$147,490	
2	Random House - Book Advance (amount includes $22,228 of commissions and legal fees)																															$425,000	
3	Tree House Foods Options (2,266 shares vested 6/28/06 but not yet exercised) (S) *		X																		X												
4	Tree House Foods Options (2,266 shares to vest 6/28/07) (S) *		X*																		X												
5	Tree House Foods Options (2,267 shares to vest 6/28/08) (S) *		X*																		X												
6	* Note: Strike price on Tree House Foods options is $29.65/share. Options expire 6/28/15.																																
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

*Per conversation with Chris Lu on 8/8/07. -SHJ-

Reporting Individual's Name		Page Number
Obama, Barack H.	SCHEDULE C	4 of 5

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors *(Name and Address)*	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	See Attachment #1		
2	403(b) Retirement Plan (No Further Contributions by Former Employer)	University of Chicago, Chicago, IL	9/92
3	General Assembly Pension Plan (No Further Contributions by Former Employer)	State of Illinois, Springfield, IL	1/97
4			
5			
6			

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Reporting Individual's Name	SCHEDULE D	Page Number
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	N/A	
2		
3		
4		
5		
6		

ATTACHMENT #1

Senate*

With the permission of the Senate Ethics Committee in January 2005, a $1.9 million advance against royalties was agreed to by the Senator and Random House, Inc., for writing 2 non-fiction books and 1 children's book (of which $200,000 of the advance to be donated to charity). (The advance for one of the non-fiction books, *Audacity of Hope*, is reflected on Schedule A, page 3, line 2.)

Royalties for the two non-fiction books are: 15% of the U.S. price for hardcover book sales; 7.5% of the U.S. price for trade paperback book sales; 8% of the U.S. price for the first 150,000 of mass-market paperback book sales – 10% thereafter; and 10% of the amount received by the publisher for audio book sales.

Royalties for the children's book are: 5% of the U.S. price for hardcover book sales; 3% of the U.S. price for trade paperback book sales; 3% of the U.S. price for the first 150,000 of mass-market paperback book sales – and 10% of the amount received by the publisher for audio book sales.

In addition, with the permission of the Ethics Committee, a $370,000 advance against royalties ($40,000 of which had previously paid pursuant to the original publishing agreement) was agreed to for *Dreams from My Father*, a work published in 1995. The advance has been earned out and future royalties are dependent on sales pursuant to the terms of the 1995 contract. (The royalties for *Dreams from My Father* are reflected on Schedule A, page 3, line 1.) The agreement also included an audio edition of the 1995 work.

Royalties for the audio book are: 10% of the amount received by the publisher for audio book sales.

These and all other royalties have been reviewed and approved by the Ethics Committee.

* Per conversation with Chris Lu 8/8/07. -SHJ-

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Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
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Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)* 02/10/2007	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report 2007 *	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name Obama			First Name and Middle Initial Barack H.	
Position for Which Filing	Title of Position Candidate for President			Department or Agency *(If Applicable)*	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)* 713 Hart Senate Office Building, Washington, DC 20510			Telephone No. *(Include Area Code)* 202-224-2854	
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held United States Senator - Jan. 4, 2005 to Present				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual			Date *(Month, Day, Year)* 5/15/08	
Other Review (If desired by agency)	Signature of Other Reviewer			Date *(Month, Day, Year)* 6/10/08	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official			Date *(Month, Day, Year)* 6/11/2008	
Office of Government Ethics Use Only	Signature			Date *(Month, Day, Year)* 9/26/08	

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

* - Reporting period: 01/01/07 - 05/15/08
* - Per conversation w/Chris Lu 9/23/08
SHJ

(Check box if filing extension granted & indicate number of days ____) ☐

Reviewed for Apparent Compliance with the Federal Election Campaign Act

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods
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Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Asset	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	Morgan Chase Private Client Asset Mgmt Checking Acct (J)			X															X			X											
2	Northern Trust Checking (J)		X																X		X												
3	Northern Municipal Money Market Fund (J)									X				X*					X							X							
4	Vanguard FTSE Social Index (retirement)					X								X									X										
5	State of Illinois General Assembly Pension Plan (defined benefit plan)				X																X												
6	Vanguard FTSE Social Index (S) (retirement)				X									X									X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard Wellesley Income Fund Investor Shares (S) (retirement)					X								X										X									
2	U.S. Treasury Notes (J)							X											X			X											
3	Marshall Prime Money Market Investor Shares (S) (retirement)		X											X								X											
4	Pimco Total Return Admin Shares (S) (retirement)		X											X								X											
5	Goldman Sachs Large Cap Value A (S) (retirement)		X											X									X										
6	Marshall Mid-Cap Value Investor Shares (S) (retirement)		X											X									X										
7	Vanguard Mid-Cap Index Fund Investor Shares (S) (retirement)		X											X							X												
8	Bright Directions College Savings 529 Plan (DC) (Age-based growth - newborn to 8 years old)					X								X							X												
9	Bright Directions College Savings 529 Plan (DC) (Age-based growth - 9 to 12 years old)					X								X							X												

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Reporting Individual's Name

Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

#	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B: None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C — Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Morgan Chase Private Client Asset Mgmt Checking Acct (S)		X																		X												
2	Tree House Food Options (see Attachment #1)	X																			X												
3	University of Chicago Hospitals (S)																															Salary	
4	Dystel & Goderich - Book Royalties for Dreams from My Father		VALUE NOT READILY															X														$815,971	
5	Random House - Book Royalties for Audacity of Hope				ASCERTAINABLE													X														$3278719	
6	Tree House Foods (S)																															Director Fees	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

	Creditors (*Name and Address*)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	See Attachment #2		
2	403(b) Retirement Plan (no further contributions by former employer)	University of Chicago, Chicago, IL	9/92
3	General Assembly Pension Plan (no further contributions by former employer)	State of Illinois, Springfield, IL	1/97
4			
5			
6			

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Reporting Individual's Name	SCHEDULE D	Page Number
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization (*Name and Address*)	Type of Organization	Position Held	From (*Mo., Yr.*)	To (*Mo., Yr.*)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	N/A	
2		
3		
4		
5		
6		

ATTACHMENT #1

In May 2007, Michelle Obama resigned from the board of directors of Tree House Foods. As of December 31, 2007, Mrs. Obama had no options outstanding of Tree House Foods stock. All options were cancelled upon her resignation. During her time as a director, she never exercised any stock options.

ATTACHMENT #2

With the permission of the Senate Ethics Committee in January 2005, a $1.9 million advance against royalties was agreed to by the Senator and Random House, Inc., for writing 2 non-fiction books and 1 children's book (of which $200,000 of the advance to be donated to charity). One of the non-fiction books is *The Audacity of Hope*.

Royalties for the two non-fiction books are: 15% of the U.S. price for hardcover book sales; 7.5% of the U.S. price for trade paperback book sales; 8% of the U.S. price for the first 150,000 of mass-market paperback book sales – 10% thereafter; and 10% of the amount received by the publisher for audio book sales.

Royalties for the children's book are: 5% of the U.S. price for hardcover book sales; 3% of the U.S. price for trade paperback book sales; 3% of the U.S. price for the first 150,000 of mass-market paperback book sales – and 10% of the amount received by the publisher for audio book sales.

In addition, with the permission of the Senate Ethics Committee, a $370,000 advance against royalties ($40,000 of which had previously paid pursuant to the original publishing agreement) was agreed to for *Dreams from My Father*, a work published in 1995. The advance has been earned out and future royalties are dependent on sales pursuant to the terms of the 1995 contract. The agreement also included an audio edition of the 1995 work.

Royalties for the audio book are: 10% of the amount received by the publisher for audio book sales.

These and all other royalties have been reviewed and approved by the Senate Ethics Committee.

ATTACHMENT #3

The book royalty figures in Schedule A, page 3, lines 4 and 5 reflect royalties received as of December 31, 2007.

For presidential candidates, the reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Accordingly, the total amount of book royalties received from January 1, 2007, to May 31, 2008, is:

Dystel & Goderich (Dreams from My Father) -- $1,338,089

Random House (Audacity of Hope) -- $4,082,196

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Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)* 01/20/2009	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report 2008	New Entrant, Nominee, or Candidate ☐	Termination Filer ☐ Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Obama		First Name and Middle Initial: Barack H.		
Position for Which Filing	Title of Position: President		Department or Agency *(If Applicable)*		
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State , and ZIP Code)*: White House, 1600 Pennsylvania Ave. NW, Washington, D.C. 20500		Telephone No. *(Include Area Code)*: 202-456-1414		
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: United States Senator 01/01/2008-11/16/2008				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No		

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: [signature]	Date *(Month, Day, Year)*: 5/15/09
Other Review (If desired by agency)	Signature of Other Reviewer: Virginia A. Canter	Date *(Month, Day, Year)*: 5/15/09
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Norman L. Eisen	Date *(Month, Day, Year)*: 5/15/09
Office of Government Ethics Use Only	Signature: [signature]	Date *(Month, Day, Year)*: 5/15/09

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

MAY 15 2009

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
Examples	Central Airlines Common				x											
	Doe Jones & Smith, Hometown, State			x												
	Kempstone Equity Fund					x								x		
	IRA: Heartland 500 Index Fund							x						x		
1	Morgan Chase Private Client Asset Mgmt. Checking Account (J)					X										
2	Northern Trust Checking Account (J)		X													
3	Vanguard FTSE Social Index (Retirement)				X									X		
4	State of Illinois General Assembly Defined Benefit Pension Plan				X											
5	Vanguard FTSE Social Index (Retirement) (S)			X										X		
6	Vanguard FTSE Social Index (Retirement) (S)				X									X		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common	x						x										
	Doe Jones & Smith, Hometown, State																Law Partnership Income $130,000	
	Kempstone Equity Fund								x									
	IRA: Heartland 500 Index Fund									x								
1	Morgan Chase Private Client Asset Mgmt. Checking Account (J)			X			X											
2	Northern Trust Checking Account (J)			X		X												
3	Vanguard FTSE Social Index (Retirement)							X										
4	State of Illinois General Assembly Defined Benefit Pension Plan					X												
5	Vanguard FTSE Social Index (Retirement) (S)							X										
6	Vanguard FTSE Social Index (Retirement) (S)							X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	U.S. Treasury Bills - SEP/IRA				X														X				X										
2	Northern Municipal Money Market Fund (J)	X												X										X									
3	U.S. Treasury Notes (J)	X																	X							X							
4	Northern Trust Gov't. Select Money Market Fund (J)	X												X												X							
5	U.S. Treasury Bills (J)									X									X			X											
6	Bright Directions College Savings 529 Plan (DC) (Newborn to 8 yrs).				X									X							X												
7	Bright Directions College Savings 529 Plan (DC) (9 to 12 yrs).				X									X							X												
8	Morgan Chase Private Client Asset Mgmt. Checking Account (S)	X																			X												
9	Marshall Prime Money Market Investor Shares (S) (Retirement)	X												X							X												

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) | **Valuation of Assets** at close of reporting period (BLOCK B) | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Pimco Total Return Admin Series (S) (Retirement)	X												X								X											
2	Goldman Sachs Large Cap Value A (S) (Retirement)	X												X							X												
3	Marshall Mid-Cap Value Investor Shares (S) (Retirement)	X												X								X											
4	Vanguard Mid-Cap Index Fund Investor Shares (S) (Retirement)	X												X							X												
5	University of Chicago Hospitals, Chicago, IL (S)																															Salary	
6	Dystel & Goderich, NY, NY - Book Royalties from Crown Publishing - Dreams from My Father																	X										X					
7	(Value not readily ascertainable) - See Attachment A for related information																																
8	Random House, NY, NY - Book Royalties - Audacity of Hope (Value not readily																	X												X			
9	ascertainable)																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
Obama, Barack H.

SCHEDULE A continued
(Use only if needed)

	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
																	Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Vanguard Wellesley Income Fund Investor Shares (S) (retirement)	X												X									X										
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
Obama, Barack H.		6 of 8

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260, and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source *(Name and Address)*	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

Reporting Individual's Name		Page Number
Obama, Barack H.	SCHEDULE C	7 of 8

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [X]

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	403(b) Retirement Plan (no further contributions by former employer)	University of Chicago, Chicago, IL	09/92
2	General Assembly Pension Plan (no further contributions by former employer)	State of Illinois, Springfield, IL	1/97
3			
4			
5			
6			

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Reporting Individual's Name		Page Number
Obama, Barack H.	SCHEDULE D	

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

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ATTACHMENT A TO SCHEDULE A – ASSETS AND INCOME

On January 9, 2009, Barack Obama executed an amendment to his previously disclosed, December 2004 agreement with Crown Publishing Group. Under this agreement, a non-fiction work, the subject to be determined, would not be delivered during his term in office and the schedule for any future book publications would be accordingly revised.

On January 15, 2009, Barack Obama approved a $500,000 advance against royalties under an agreement between Crown Publishing Group, a division of Random House, Inc., and Random House Children's Books, for an abridged version of *Dreams From My Father* suitable for middle grade or young adult readers, as proposed by the publisher in 2008. Royalties for the book are: 15% of the U.S. sales price for hardcover book sales; 7.5% to 10% of the U.S. price for trade paperback book sales, 10% of the U.S. price for the mass-market paperback book sales, and other standard royalties.