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United States

Office of Government Ethics

1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

January 15, 2010

Mr. John Greenewald, Jr.



Tracking No.: OGE FOIA FY10/32

Dear Mr. Greenewald:

The Office of Government Ethics (OGE) is granting in part and denying in part your January 7, 2010 Freedom of Information Act (FOIA) request (there is no charge for processing it). In your request, you sought access to the OGE FOIA log for 2009. In response, we are enclosing a copy of that log, subject to deletions of the names of individuals who made combined FOIA and Privacy Act requests seeking records about themselves. The deletions are each marked with a highlighted bracketed reference "[Exempt.6]" in the enclosed copy. They have been made in accordance with Justice Department policy guidance pursuant to FOIA Exemption 6, 5 U.S.C. § 552(b)(6), in order to protect information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, at 5 U.S.C. § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this partial denial of your request. The name and address of the OGE official to whom such an appeal would have to be submitted are: Don W. Fox, General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response. If you do appeal, you should include copies of your request and this response as well as a statement of why you believe this initial determination is in error. Moreover, in any appeal you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,

William E. Gressman

William E. Gressman
OGE FOIA Officer

Enclosure

OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2009

Requester (OGEAtty.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

**FISCAL YEAR 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-9/30/09) BEGINS
2008 (But in Fiscal Yr.(FY)09 FOIA/Privacy Act(PA) Reporting Period--10/01/08-09/30/09)**

(Continued on next page)

#	Requester (OGEAtty.)	Date Recd.[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
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2009

18. Gerstein (BG) 01/22 ~~[02/20:ext.:03/10]~~ 03/09//29(BG) grant/full(+clar.) X
(10-WD ext. ltr. sent 02/20=30WDs)
[recs.fdn.ðicsissuesrePASoff.incl.ethicspld./E](consult.ref.FAXestoState1/29,2/19,5/13,6/11)

19. [Exempt.6] (BG)	01/26	[02/24]	01/30//4(BG)	no recs.	X
(also OGE PA FY09/8/1)	<i>[specified case materials (re requester)/W]</i>				

20. Miller (BG) 01/30 [03/02] 02/03//2(BG) grant/full(pt.I&pt.II02/06) X
[ethics agreements of 2 PAS nominee officials/W](consult. FAXes to BGFRS & SEC 02/02)

<u>21.</u> Seaberg (BG)	01/29	[02/27]	02/12//10(BG)	no recs.	X
<i>[any recs. re resign. 2 Congress people/E] (out-of-order)</i>					

22. [Exempt.6] (BG)	02/02 [03/03]	02/11//7(BG)	no recs.	X
(also OGE PA FY09/9/2) [copy of indictment, spec. case/requester/W]				

23. [Exempt.6] (BG) 02/02 [03/03] 02/12//8(BG) no recs. X
(also OGE PA FY09/10/3) *frpts./investigations of Federal penitentiary & invts./requester)/W]*

(Continued on next page)

Requester (OGEAtt.) Date Recd./[DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

- 24.** Cohen (BG) 02/06 [03/09] 03/06//19(BG) grant/full(init.22EAs) X
 (&2add.resps.3/30:14EAs[12g.f.+2d.p.4,6];4/17:2EAsg.f.+follow-up07/31:re2ndpartdiscont.?/Y)
[ethics agrmts. of 38 current PAS nominees & any docs.submitted by them re pastempl./comp./E]
- 25.** Urevich (BG) 02/06 [03/09] 02/12//4(BG) no recs. X
[any docs. naming ind. w/resp. to PAS nominee processing/E]
EP req.: X [not decided/reg. resp. in 6 CDs (w/i 10CD-period)]
- 26.** Vogel (BG) 02/17 [03/17] 02/20//3(BG) no recs. X
[ethics agrmts. of 29 spec. individuals (part of 201 req. for any 278 rpts. & CDs for them)/W]
- 27.** Vogel (BG) 02/17 [03/17] 02/20//3(BG) grant/full(init.10EAs) X
 (&5add.resps.2/27:5EAsg.f.;3/6:7EAsg.f.;3/13:9EAs[7g.f.+2d.p.4,6];3/20:5EAsg.f.;4/02:2EAsg.f.)
[ethics agrmts. of 38 current PAS nominees (part of 201 req. for any CDs for them)/W]
- 28.** Vogel (BG) 02/19 [03/19:ext.:04/02] 03/30//27(BG) grant/full(2EAs) X
 (10-WD ext. FAX sent 03/19=30WDs)
[ethics agrmts. of 2 DOJcurrent PAS nominees (part of 201 req. for any 278s&CDs for them)/W]
- 29.** Henry (BG) 02/23 [03/23] 02/26//3(BG) FOIAgrant/full(redact/201) X
 [+201req.--other201s/FOIAreqs.for own SF278rpts. & EA/W] (EthicsAct:tel./FAX/OGEstaff)
- 30.** Vogel (BG) 03/09 [04/06] 03/19//8(BG) grant/full(init.1EA) X
 (&1add. resp.4/6:1EA g.f.)
[ethics agrmts. of 2 current PAS noms.:DHS/DOJ(part of 201req.for any 278s&CDs for them)/W]
- 31.** Vogel (BG) 03/09 [04/06] 04/02//18(BG) den./part. 6 X
[ethics agrmt. of 1 current PAS nominee:DOI(part of 201 req. for any 278 & CD for him)/W]
- 32.** Pierce (BG) 03/19 [04/16] 03/27//6(DS) grant/full(init.37EAs*) X
 (*prev.released/otherFOIAs(&2add.resps.4/24:15EAs[12g.f.+3d.p.4,6];5/4:1EA g.f.))
[ethics agrmts. of 53 current PAS noms./some new(part of 201 req. for any 278s for them)/W]
- 33.** Vogel (BG) 03/19 [04/16] 04/07//13(BG) grant/full(init.9*EAs) X
 (*as corrected4/7:9-1=8EAsg.f.+1EA d.p.6;&1add. resp.4/14:1EA d.p.6)
[ethics agrmts. of 10 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]
- 34.** Long+Burnham (BG) 03/19 [04/16] 04/08//14(BG) grant/full X
[DOJ Ethics Off. comments on DOJ/EOIR reg.(forward/ref. from latter DOJcomp./mistake?)/W]
- 35.** Vogel (BG) 03/19 [04/16] 04/16//20(BG) grant/full(init.3EAs) X
 (&1add.resp.4/24:2EAs[1g.f.+1d.p.4,6])
[ethics agrmts. of 5 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]

(Continued on next page)

Requester (OGEAtt.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

49. Casey (BG) 06/02 [06/30] 06/23//15(BG) no recs. X
[OGE security contracts & related coll. bargaining agrmts./W]

50. [Exempt.6] (BG) 06/03 [07/01] 06/24//15(BG) no recs. X
(also OGE PA FY09/12/5) [any records relating to requester/W]

51. Manske (BG) 06/04 [07/02;ext.07/17] 07/15//28(BG) den./full 6 X
(ref. from BPD/Treasury)[docs. submitted by applicant hired/OGE job announcement/W]

52. [Exempt.6] (BG) 06/04 [07/02] 06/24//14(BG) no recs. X
(also OGE PA FY09/13/6) [any docs. relating to or referencing requester, incl. spec. case/W]

53. Graziano (BG) 06/05 [07/06;ext.:07/20] 07/16//28(BG) grant/full X
[docs. regarding specified bone graft study/proc., company & ind. doctors/W]

54. Pierce (WS&KC) 06/08 [07/07] 06/15//5(WS) den./part. 4,6 (30EAs)X
[ethics agrmts. of 30 current PAS nominees (filled in on 201 req. forms)/W]

55. Pierce (WS&KC) 06/10 [07/09] 07/09//20(WS) den./part. 6(58EAs/2noEAs)X
[ethics agrmts. of 42(+18?)=60 current PAS nominees (filled in on 201 req. forms)/W](+7/13folp)

56. Pierce (WS&KC) 06/16 [07/15] 07/13//18(WS) grant/full(init. 6EAs) X
(&1 add. resp. 7/14: 18EAs [15g.f.+3d.p.6])
[ethics agrmts. of 24 current PAS nominees (filled in on 201 req. forms)/W]

57. Cowan (WS&KC) 06/18 [07/17;ext.:07/31] 07/24//25(WS) den./part. 6(3EAs) X
[ethics agrmts. (so construed) of 3 current PAS nominees (part of 201 req. for any 278s for them)/W]

58. Upchurch (BG) 06/23 [07/22] 06/23//<1(BG) grant/full X
[OGE Govt. purchase cardholders list/E]

59. Dawson (VS&BG) 07/01 [07/30] 07/21//13(VS) grant/full X
[list of specified senior OGE officials 1994-2009/E]

60. Vogel (VS&BG) 07/09 [08/06] 08/05//19(VS) grant/full X
[1993 WH memo/E]

61. Wierenga (BG) 07/23 [08/20] 07/28//3(BG) den./part. 6 X
[list of OGE FOIA requests in last 6 months/W]

62. Hartman (BG) 07/27 [08/24] 07/29//2(BG) grant/full X
[OGE tel. directory & org. chart/E(&W)]

(Continued on next page)

Requester (OGEAtt.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

- 63.** Vogel (BG) 07/28 [08/25; amdt.08/28] 08/13//9(BG) den./part. 6 X
[OGE 2009 correspondence (incl. E-mails, notes, FOIAs, etc.) w/16 news organizations/E]
 (amended 08/01: just any such 2009 corr. for apps.conf.&mtgs./interviews(+17thorg.)& FOIA log)
EP req.: Y (+fee waiver//08/11 FAX--decision due/amended req.: 08/14)
- 64.** Mix (BG) 07/28 [08/25] 08/24//19(BG) no recs.(FOIA) X
[E.O. 13490 pledges & waivers & stats. for listed officials& others+278s/E](OAP:278s08/03)
- 65.** Scheidel (BG&ER) 08/06 [09/03:ext.09/18] 09/18//30 (BG) ref.resp./FDIC* X
 (add. resp.[d./p.5] & FDIC ref. 10/20)
*[ltrs./docs. to/from FDIC/OGE mid-1990s re FDIC specified regs/F](*courierdel.09/21)*
- 66.** Mostrous (IH) 07/29 [08/26] 07/29//<1(IH) no recs. X
[any ethics agreements/2 individuals/W] (out-of-order; informal OAP proc.)
- 67.** Gordon (BG) 08/17 [09/15] 09/11//18(BG) grant/part1EA* X
[any ethics agreements/7 individuals/W](&part/norecs./4noEAs+09/11ltr.ref./part2EAs--Treas.)*
- 68.** [Exempt.6] (BG) 08/17 [09/15] 09/15//20(BG) grant/part* X
 (+follow-up resp.WHconsult.10/02g.f.)
[also OGEPAFY09/14/20] [recs.recert./app.reqtr./Fed.appt./W](&part/ext.WH+ref.DHS/OIG)*
- 69.** [Exempt.6] (AG) 09/03 [10/02] 09/30//18(BG) no recs. X
[also OGEPAFY09/15/8] [specified docs. re reqtr.'s case & other info./W]
- 70.** * Buffalo (BG&SD) 09/23 [10/22] [5] 10/16//16(BG) no recs. X
[list/info. of/on OGE subcontractors/Australia or 2001-2009/E]
- 71.** * Waterman (BG&SD) 09/23 [10/22] [5] 10/16//16(BG) den./part. 6 X
[resumes/info. on OGE Sch. C, non-career SES & PAS employees 2001-2009/E]
- 72.** Fedner (VS) 09/23 [10/22] 09/29//4(VS) withdrawn X
[info. on OGE regulatory functions/issues/E]
- 73.** * [Exempt.6] (BG) 09/24 [10/23] [4] 10/02//6(BG) grant/full X
(also OGEPAFY09/16/9) [OGE-orig.rec.s.recert./app.reqtr./Fed.appt. ref. from DHS/OIG/W]
- 74.** * Chin (KD) 09/30 [10/29] [<1] 10/19//12(BG) grant/full X
[implementation recs. re 2009 Pres. & AG FOIA memos/W]

— END OF FY 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-09/30/09)—

* Carry-over request--disposition shown here, but counted in the statistics for next fiscal year's report (FY10); bolded parenthetical nos.(#)=WDs pending at end of this fiscal year.

OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2010

Requester (OGEAtt.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-9/30/10) BEGINS

2009 (But in Fiscal Yr.(FY)10 FOIA/Privacy Act(PA) Reporting Period--10/01/09-09/30/10)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their attached 01/12/09 (item 2) FOIA posting (FY08 carry-overs count same new way).]

[Note: OGE answered 3 regular FOIA reqs. & 1 FOIA/PA req. from the preceding fiscal year (FOIAFY09/70/no records [E], FOIAFY09/71/part.den. 6 [E], FOIAFY09/74/grant/full [W] & FOIAFY09/73//PAFY09/16/9 [W]/grant/full) in FY10 (2009: 4) (total WDs pending at start of FY10: 15 (<1+4+5+5); total response time: 6+12+16+16=50; those requests are counted for the stats. in this FY10 req. list report.] {underlined # means initial response completed}

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amdt.]

- 1.** Greenwald (BG) 10/14 [11/12] 10/26//8(BG) den./part.6 X
[OGE FOIA logs 2006-2008/E] (returned by USPS 11/10:re-sent w/9digit ZIP 11/12)
- 2.** Greenwald (JE) 10/14 [11/12] 11/03//14(BG) grant/full X
[last 5 OGE Ethics Newsgrams/E]
- 3.** Greenwald (WS&KC) 10/14 [44/42:ext.:11/27] 11/25//29(WS) den./full 5,6 X
[2008-Jan.19, 2009 communications w/Sen. Obama's office/E] (ext.ltr.11/12)
- 4.** Greenwald (WS&KC) 10/14 [44/42:ext.:11/27] 11/25//29(WS) no recs. X
[2008 communications w/Sen. McCain's office/E] (ext.ltr.11/12)
- 5.** [Exempt.6] (ER) 10/14 [11/12] 11/02//13(BG) no recs. X
(also OGEPAFY10/1/10)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 6.** [Exempt.6] (RM) 10/15 [11/13] 11/02//12(BG) no recs. X
(also OGEPAFY10/2/11)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 7.** Greenwald (BG&AG) 10/20//12/11 [11/18//01/12/'10] 12/16//<1(BG) grant/full X
[OGE employee manual/E](11/18feeresp.(20WDs)/reqtr.replyrec'd 12/11--OK 12/16/nocharge)
- 8.** [Exempt.6] (BG&EN) 10/21 [11/19] 11/05//11(BG) no recs. X
(also OGEPAFY10/3/12)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 9.** [Exempt.6] (BG&ER) 10/21 [11/19] 11/03//9(BG) no recs. X
(also OGEPAFY10/4/13)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

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#	Requester (OGEAtt'y.)	Date Recd.	Due Date	Date/Dec./WDs	Disp./Ex.	App.Date/#
10.	[Exempt.6] (BG&VS) (also OGEPAFY10/5/14)	10/21	[11/19]	11/04//10(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
11.	[Exempt.6] (AG) (also OGEPAFY10/6/15)	10/26	[11/24]	11/19//17(BG)	no recs.	X [specified case & apparently related materials <u>re</u> requester/W]
12.	[Exempt.6] (KC) (also OGEPAFY10/7/16)	10/26	[11/24]	11/13//13(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
13.	[Exempt.6] (KD) (also OGEPAFY10/8/17)	10/26	[11/24]	11/23//19(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
14.	[Exempt.6] (KD) (also OGEPAFY10/9/18)	10/26	[11/24]	11/23//19(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
15.	[Exempt.6] (ER) (also OGEPAFY10/10/19)	11/02	[12/02]	11/16//9(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
16.	Cook (KC) [recs. requests by another ind. 09/01/08-10/30/09/E]	11/02	[12/02]	11/13//8(BG)	no recs.	X
17.	[Exempt.6] (BG) (also OGEPAFY10/11/20)	11/04	[12/04]	11/13//6(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
18.	[Exempt.6] (BG) (also OGEPAFY10/12/21)	11/04	[12/04]	11/16//7(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
19.	Schuck (BG) [IT staff contact information/E]	11/09	[12/09]	11/10//1(BG)	grant/full	X
20.	[Exempt.6] (ER) (also OGEPAFY10/13/22)	11/09	[12/09]	11/19//7(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
21.	[Exempt.6] (KC) (also OGEPAFY10/14/23)	11/17	[12/16]	11/25//6(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
22.	[Exempt.6] (BG) (also OGEPAFY10/15/24)	11/19	[12/18]	11/25//4(BG)	no recs.	X [specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]
23.	Carson (KC) [meritsystems/prohibitedpersonnelpracts.policy/assess.docs./W]	11/24	[12/23:ext.:01/08{+1}/'10]	01/04/'10/25(WS)	den./part.6	X (ext.ltr.12/18;Govt.closed12/21)
24.	Levine (VS) [a nominee's ethics agreement/W&F]	12/01	[12/30]	12/03//2(VS)	grant/full	X

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#	Requester (OGE Atty.)	Date Recd.	Due Date	Date/Dec./WDs	Disp./Ex.	App. Date/#
25.	Walker (KC)	12/01	[12/30]	12/01//<1(OAP)	den./part.4,6	X [nom.'sEA/commits./recusals/W](informal201;restclosedper12/10KCtc.req.to filed directlyw/DOT)
26.	Loos (BG)	12/03	[01/04/'10]	12/10//6(BG)	--/duplicate	X [non-career appointeesw/ethicspledge—SF278s/pledges/waivers(follow-up toFOIAFY09/64)/E]
27.	[Exempt.6] (ER)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X [also OGEPAFY10/16/25][specified indict.(s)/case(s)&apparently related materials rereqtr./W]
28.	[Exempt.6] (BG)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X [also OGEPAFY10/17/26][specified indict.(s)/case(s)&apparently related materials rereqtr./W]
29.	Sullivan (BG)	12/07	[01/06/'10]	12/10//3(BG)	grant/full	X [contracting out branchwide stats./'08 agency ethics prog. quests./E]
30.	Bogardus (WS+KC)	11/23	[12/22{+1}:ext.:01/08/'10]			[communications w/4 WH officials1 11/1/08-11/1/09/W] (logged in out-of-order) (ext.ltr.12/23)