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**OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2010**

Requester (OGEAttv.) Date Recd./[DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-9/30/10) BEGINS

2009 (But in Fiscal Yr.(FY)10 FOIA/Privacy Act(PA) Reporting Period--10/01/09-09/30/10)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 (but count as 1 for stats.) + then add. days, starting still w/1 per DOJ/OH clarification 12/08/08 as modified by their attached 01/12/09 (item 2) FOIA posting (FY08 carry-over count same new way).]

[Note: OGE answered 3 regular FOIA reqs. & 1 FOIA/PA req. from the preceding fiscal year (FOIAFY09/70/no records [E], FOIAFY09/71/part.den. 6 [E], FOIAFY09/74/grant/full [W] & FOIAFY09/73/PAFY09/16/9 [W]/grant/full) in FY10 (2009: 4) (total WDs pending at start of FY10: 15 (<1+4+5+5); total response time: 6+12+16+16=50; those requests are counted for the stats. in this FY10 req. list report.) {underlined # means initial response completed}

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of 407 OPEN Govt. Act FOIA audits.]

Unresponsive

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Unresponsive

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Unresponsive

2010

31. (b)(6) (BG) 01/05 [02/03] 01/07//2(BG) ~~no recs.~~ X
~~[also OGE PATY 10/18/13]~~ [specified indict. (s)/case(s) & apparently related materials rereqtr./W]
32. Greenwald (BG) 01/08 [02/08] 01/15//5(BG) ~~den. part 6~~ X
 [OGE FOIA log 2009/E]
33. & 36 (b)(6) (BG) 01/12 [02/10] 03/04/10 (ER/EN) ~~no recs.~~ X
~~[also OGE PATY 10/19/2]~~ [specified indict. (s)/case(s) & apparently related materials rereqtr./W]
 due date is 02/17 (minus 4 ½ snow days) response for FOIA 33 was late
34. Carson (DV) 01/14 [02/12] 3/12/10 ~~no recs.~~ X
 meritsystems/prohibited personnel practs. policy/assess. docs./W] ~~[admin. app. of 10/23] appeal denied~~
- 35 (b)(6) (EN) 01/19 [2/26 - ext 3/16] 3/16(EN) ~~no recs.~~ X
 [DOJ FOIA Hotline confirmed 4 ½ snow days not work days]
~~[also OGE PATY 10/22/5]~~
- 36 (b)(6) (ER/EN see # 33. 02/16 03/04 (ER/EN) ~~no recs.~~ X
~~[also OGE PATY 10/21/4]~~ (see notes under #33 above - response for #36 was timely)
37. Barr (KC) 2/24 [3/24] 03/24 (KC) ~~den in part~~ X
 [info on seven-named individuals]

#	Requester (OGE Atty.)	Date Recd.	Due Date	Date/Dec./WDs	Disp./Ex.	App. Date/#
38.	(b)(6) (EN) (also OGE PATY 10/20/3) Referral from State	2/25	[3/25]	3/3 (EN)	granted/full	X
39.	(b)(6) (EN) (also OGE PATY 10/23/6)	2/24	[3/23]	3/18 (EN)	no recs	X
40.	(b)(6) (EN) will not process unless so notified (also OGE PATY 10/24/7)	3/5	[4/2]	3/25 (EN)	lit to (b)(6) that OGE	X
41.	(b)(6) (EN) (also OGE PATY 10/25/8)	3/16	[4/13]	3/18 (EN)	withdrawn	X
42.	(b)(6) (EN) (also OGE PATY 11/26/9) (spoke with (b)(6) and treat as an oral request)	3/17	[4/14]	4/5 (EN)	no recs	X
43.	Kenyon (EN) [Any records re. investigation of Stuart Statler, former CPSC Commissioner]	3/24	[4/22]	4/22 (EN)	no recs	X
44.	Phillips (EN) [copies of 2007 & 2008 OGE COI prosecutions survey]	5/18	[6/15]	6/7 (EN)	granted/full	X
45.	Asensio (EN) [copies of various ethics documents]	5/18	[6/15]	6/7 (EN)	no recs	X
46.	(b)(6) (EN) (also OGE PATY 10/27/10)	5/28	[6/25]	6/16 (EN)	no recs	X
47.	Nicely (EN) [Update personnel manual & no labor agreements]	6/2	[6/30]	6/17 (EN)	granted/full	X
48.	Macfarlane (EN)	6/2	[6/30]	6/7 (EN)	withdrawn	X
49.	Schreane (EN) [229.406 (Item 406) Code of Ethics]	6/14	[7/12]	6/28 (EN)	granted/full	X
50.	McElhatton (EN) [names received 208(b) waivers, 502(d) authorizations, agency supp waivers]	6/24	[7/22] ex 18/5	8/3 (EN)	den in part	X
51.	Movroydis (EN) [November 1999 letter from several advocacy groups re. Robert Rubin at Treasury]	6/25	[7/23]	7/19 (EN)	den in part	X

52. Ravnitzky (EN) 6/25 [7/23] 7/9 (EN) ~~denied/(b)(5)~~ appeal
 [Feb 9, 2009 OGE letter to OLC]

Requester (OGEAttv.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App. Date/#

53. ~~(b)(6)~~ (EN) 6/25 [7/23] 7/6 (EN) ~~no recs.~~ X
~~[also OGE PAICY 10/28/11]~~

54. Ho (EN) 6/28 ~~[7/26]~~ 7/16 (EN) ~~no recs.~~ X
 [documents including 278s on Elliott Broidy, U.S. Homeland Security Advisory Council]

55. Riley (EN) 7/6 ~~[8/3]~~ 7/16 (EN) ~~no recs.~~ X
 [Marie Louise Hagen, former DOJ attorney from 1982 – 2003]

56. Ravnitzky (KC) 7/6 ~~[8/3]~~ 7/27 (KC) ~~granted/full~~ X
 [OGE ethics audits for Feb. & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]

57. McElhatton (KC) 7/6 ~~[8/3]~~ 7/27 (KC) ~~granted/full~~ X
 [OGE ethics audits for Jan, Feb & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]

58. Darch (EN) 7/7 [8/4] 7/30 (EN) ~~den in part~~ yes
 [all docs from, regarding and to Craig Becker, Member of the NLRB]

59. Ravnitzky (KC) 7/14 [8/11] 7/27 (KC) ~~granted/full~~ X
~~[Feb 11, 2009 OGE letter to OLC - which appeal of 10/22 denied in full (b)(5)]~~

60. Movroydis (EN) 7/14 ~~[8/11]~~ 7/27 (EN) ~~no recs.~~ X
 [copy of the settlement document between DOJ and Richard Holbrooke]

61. Rennie (KC) 7/20 [8/17] 8/9 (KC) ~~granted/no recs.~~ X
 [info submitted by Thomas Foley, in connection with his 2006 nom & conf as Amb. Ireland]

62. ~~(b)(6)~~ (KC) 7/28 [8/30] 8/9 (KC) ~~no recs.~~ X
~~[also OGE PAICY 10/29/12]~~
 [specified non-OGE FOIA/PA record re reqtr.]

63. O'Keefe (KC) 8/2 [8/30] 8/9 (KC) ~~granted/full~~ X
 [U.S. Postal Service Program Review]

64. Ravnitzky (KC) 8/2 [8/30] 8/16 (KC) ~~granted/full~~ X
 [Program Review Tracking Report FY2005-FY2009]

65. McAllister (KC) 8/2 [8/30] 8/9 (KC) ~~granted/full~~ X
 [U.S. Postal Service Program Review]

66. ~~(b)(6)~~ 7/30 [8/27] 8/9 (KC) ~~no recs.~~ X

[also OGE P.A.T.Y. 10/30/13] [specified indict. (s)/case(s) & apparently related materials re reqtr.]

Requester (OGE Atty.) Date Recd. [Due Date] Date/Dec./WDs Disp./Ex. App. Date/#

67. Popkin (KC) 8/3 [8/31] 8/9 (KC) granted/full X
[U.S. Postal Service Program Review]

68. McElhatto (KC) 8/10 8/10 (KC) granted/full X
[U.S. Postal Service Program Review from 1/1/08 to date]

69. (b)(6) (EN) 8/5 [9/2] 8/23 (EN) no recs X
[also OGE P.A.T.Y. 10/31/14] [any OGE records regarding requester]

70. Schwellenbach (KC) 8/20 [9/17] 9/29 (KC) part den X
[various named OGE agency reviews from 2005-2010]
[10 day extension requested]

71. Medsger (KC) 8/20 [9/17] 8/24 (KC) granted/full X
[most recent FBI program review]

72. Edwards (KC) 8/20 [9/17] 8/24 (KC) granted/full & no recs

73. Wagner (EN) 8/20 [9/17] 9/13 (EN) granted/full X
[various named OGE agency program reviews from 2006-2010]

74. Sternstein (KC) 8/23 [9/20] 8/24 (KC) granted/full X
[2010 Interior program review and 2 related program question]

75. Holt (EN) 8/23 [9/20] 9/10 (EN) no recs.

76. Ravnitzky (KC) 8/24 [9/21] 9/8 (KC) part den
[various named OGE agency review reports from 2005-2009]

77. Darch (KC) 8/30 [9/27] 9/29 (KC) part den
[FOIA appeal from OGE P.A.T.Y. 10/5/08]
[9/13/10 - 10 day extension requested]

78. Smathers (EN) 9/1 [9/29] 9/10 (EN) part den
[log of all FOIA requested received in August 2010]

[REDACTED]

80. Ravnitzky (EN) 9/8 [10/5] 9/30 (EN) partial den

[various named OGE agency program reviews]

Requester (OGEAttv.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

81. Ravnitzky (EN) 9/8 [10/5] 9/30 (EN) grant/full

[list of agency program reviews from 1995-2005]

82. Smathers (EN) 9/9 [10/6] 9/20 (EN) no recs.

[copy of other FOIA request that arouse suspicion and were sent to a law enforcement office]

83. Ravnitzky (EN) 9/14 [10/12] 9/30 (EN) grant/full

[FOIA referral from EPA – request for the last 3 EPA program review reports]

[REDACTED]

85. Holt (KC) 9/27 [10/25] 9/29 (KC) no recs.

[FOIA request of 10/4 for the records of the father's murder]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

— END OF FY 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-09/30/10)—

[REDACTED]

OGE FY10 FOIA RESPONSE TIMES (Working Days, incl. exts.): Requests (except EP): 31+4(carry-over)=35: <1, <1, 1, 2, 2, 3, 4, 5, 6, 6, 6, 7, 7, 7, 7, 8, 8, 9 [Median: 9.0; mean (overall average): 367/35=10.5] 9, 10, 11, 12, 12, 13, 13, 13, 14, 16, 16, 17, 19, 19 □ (ext.: 3) 25, 29, 29; **Backlog?** No [100.0% Timely (35/35) (incl. regular & EP reqs.)]; **Expedited Processing (EP) Requests** Adjudicated(=Granted or Denied) [counted separately(time); not including no formal determ./answered w/ 10CDs-not counted/Annual Rpt.]; granted: ; denied: (--/10 CDs to decide/"adjudicate") :: WDs(resps.): [Median: ; mean:]; **Fee Waiver Requests** Adjudicated(=Granted or Denied) [not counted separately, no separate WD response calculations needed (except for Pt. VIII.B. Annual Rpt.); not including no formal determ./answered w/ 20WDs-not counted/Annual Rpt.]; granted: 1; denied: (3 WDs to decide/"adjudicate"); **Consults from:** (WDs/not counted for OGE); **Appeals:** (): response times (incl. exts.): □□ [Median: ; mean:]; **Backlog?** Yes/No [---% Timely (---/---)]; **Litigation:** -; **Pending at the end of FY09 (4):** <1, 4::5 [Median: 4+5/2=4.5; mean (overall average): 15/4=3.8] 5; **Pending at the end of FY10 (---):** [Median: --; mean (overall average): --/--] [counted in FY11 rpt., disp. also shown in this FY req. listing/prior pages, but not here].

DISPOSITION TALLY: Requests (except EP): Grant/full: 5+2(carry-over)=7; part grant/part ext.: □□; part grant/part no recs.: ; part grant/part den.: 4+1(carry-over)=5; [<1, 1, 2, 3, 3, 5::6 (Total: 12; Med: 5+6/2=5.5; mn: 96/12=8.0) 8, 12, 14, 16 □□ (ext.: 1) 25]; [**EP Total (Separate):** (): Med: mn:]; den./full: 1; :: [E1: , E2: , E3()*: , E4: 1, E5: 1, E6: 6, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; no recs.: 20+1(carry-over)=21; (discret. rel.); ; other disposition: not proc./req cat./fees: ; recs. not reasonably described/clarify: ; withdrawn: ; ref. to: (+forw.); consult w/ ; not comply regs.: ; not FOIA: ; duplicate req.: 1; (reqs. incl. personal materials:); misdirected: ; fees/cat.: ; clar.: ; also PA: 18-1(carry-over)=19; **Appeals:** Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; :: [E1: , E2: , E3()*: , E4: , E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); (overturned:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:); [also PA:].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f) (trusts),+ OGE regs.

Acronyms: Rec'd.by:E=E-mail;F=Form(1[+(N/A-278s)]=1); V=Verbal; W=Ltr./FAX(Written)
AG=Allison George BG=Bill Gressman EN=Elaine Newton ER=Emory Rounds JE=Julie Eirinberg KC=Kerri Cox RM=Rachel Molinaro VS=Vince Salamone WS=Walter Shaub
CDs=Calendar Days EA=Ethics Agreement EP=Expedited Processing PA=Privacy Act
FOIA=Freedom of Information Act WDs=Working Days

Note: Underlined request # = response completed (incl. any follow-up), primary reply can occur earlier & be counted for FOIA/PA statistics.[EP req.:]

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester & OGE Atty	Date Received	Due Date	Records Sought	Status
11/01 & PA 11/1/15	(b)(6)(EN)	10/4/10	11/1/10	specific information about (b)(6) and six named individuals	Completed 10/25 – No Records
11/02	Jones (KC)	10/4/10	11/1/10	documents related to the specific steps agencies must take in a 3/16/10 memo from WH	Completed 10/26 – Partial denial (Ex 5)
11/03	Ravnitzky (EN)	10/7/10	11/4/10	FAA Program Review Reports for 1994, 1998 and 2003	Completed 10/25 – Grant/Full
11/04	Ravnitzky (KC)	10/7/10	11/4/10	list of single issue reviews conducted by OGE	Completed 10/25 – Grant/Full
11/05	Arnott (KC)	10/12/10	11/09/10	Postal Service and BBG Program Review Reports	Completed 10/25 – Grant/Full
11/06	Ravnitzky (EN)	10/7/10	11/4/10	Fed Retirement Thrift Investment Board Program Reviews for 1990, 1999 & 2004	Completed 10/26 – Grant/Full
11/07	Ravnitzky (EN)	10/4/10	11/1/10	Nuclear Waste Technical Review Board Program Review for April 2009	Completed 10/26 – Grant/Full
11/08	Barr (EN)	10/22/10	11/19/10	Any records of communication between OGE and Gingrich & Barbour and copies of FOIA requests on seven named individuals	Completed 11/12 – Partial denial (b)(6)
11/09	Kinderlin (EN)	10/22/10	11/19/10	Copies of various documents including E.O. 11222, 5 CFR parts 2600-2699 & three DOJ documents	Completed 11/1 – Grant/No Records
11/10	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Copy of database related to travel by OGE employees	Completed 12/15 – withheld in full (b)(4)

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/11	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Non-electronic records of OGE Director's travel since 1/1/2010	Completed 12/9 – partial release (b)(2) & (b)(6) (discretionary release)
11/12	Carollo (KC)	10/29/10	11/26/10 – 10 day ext until 12/13	All 1353 reports (paper & electronic)	Completed 12/9 – partial release (no rec for 2 nd part of request)
11/13	Ravnitzky (KC)	10/29/10	11/26/10	Six named OGE single issue reviews	Completed 11/9 – Partial Release (one doc on website) other docs withheld under (b)(5)
11/14 & PA 11/2/16	[REDACTED] (EN)	11/5/10	12/3/10	Records about [REDACTED] & John Garafolo or Garofolo and various law enforcement documents	Completed 11/22 – No Records
11/15	Smathers (EN)	11/15/10	12/13/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/16	Bush (KC)	11/15/10	12/13/10	Documents about OGE's implementation of the Open Government Directive and any OGE contracts & billing for implementing FOIA	Completed 11/24 – partial denial (no records)
11/17	Ravnitzky (EN)	11/16/10	12/14/10	FOIA [REDACTED] of OGE FOIA FY 11/13 for OGE single issue reviews	Completed 2/1 – partial denial – (b)(5) certain info from ftnotes (discretionary release)
11/18	Saklad (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/8 – partial denial (one page w/held in part – (b)(6))
11/19	Greenwald (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/20	Hines (EN)	11/23/10	12/21/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/21	Owens (EN)	12/1/10	12/29/10	U.S. Postal Service program reviews for 2005, 2001, 1995, 1993, 1991, 1988 & 1982	Completed 12/1 – partial denial (one page w/held in part – (b)(6))
11/22	Bigwood (EN)	12/2/10	12/30/10	U.S. Postal Service program reviews for 192, 1988, 1991, 1993, 1995, 2000 & 2005	Completed 12/6 – partial denial (one page w/held in part – (b)(6))
11/23	Crossman (EN)	12/6/10	1/3/11	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/9 – partial denial (one page w/held in part – (b)(6))
11/24	Malone (EN)	12/3/10	12/31/10	Request for SF 278s of Rahm I. Emanuel from 1993 to 1998.	Completed 12/10 – full denial (b)(3)
11/25	Carollo (KC)	12/17/10	1/14/11	FOIA Appel of OGE FOIA FY 11/11 for the Director's travel records	Completed 1/4 – full denial
11/26	Carollo (KC)	12/18	1/26/11 - 10 day ext until 2/9/11	FOIA Appel of OGE FOIA FY 11/10 for copy of database of OGE employee travel	Completed 1/26 – partial denial – (b)(6)
11/27	Brush (EN)	1/3/11	1/31/11 – 10 day ext until 2/14/11	All communication between OGE & Commodity Futures Trading Comm from 1/10 to present (1/5 telecon narrowed scope to exclude IT, admin & ed. (conf & training)	Completed 2/14 – partial denial (b)(5) & (b)(6), certain docs withheld in entirety, certain docs referred to CFTC (discretionary release)
11/28	Kent (EN)	1/7/11	2/4/11	Request for SF 278 of Dr. Brian Kent	Completed 1/10 – full denial (b)(3)
11/29	Orce (KC)	1/20	2/17/11 - 10 day ext until 3/3/11	All records for Joshua Steiner and Steven Rattner, except for records available on a 201 form	Completed 2/28/11 – partial denial (b)(2), (5) & (b) and no recs for Steiner (discretionary release)
11/30	Ray (KC)	1/24/11	2/18/11	Ethics Program Reviews for USPS 2005, 2000, 1995, 1993, 1991, 1988, 1982.	Completed 1/24 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/31	Marks (EN)	1/18/11	2/5/11	A list of all OGE FOIA requests received in 2010	Completed 1/31/11 – partial denial (identity of requesters for records about self – (b)(6))
11/32 & PA 11/3/1	(b)(6) (EN)	1/25/11	2/22/11	Various records about (b)(6)	Completed 2/1 – no records
11/33	Ravnitzky (EN)	2/18/11	3/18/11	Referral from Labor for last three Ethics Program Reviews of Labor	Completed 3/2 – Grant/Full
11/34	Mullins (EN)	2/23/11	3/23/11 w/10 Day extension 4/4/11	List of employees receiving CD from 1/1/08 – 12/31/10 and correspondence – Expedited Processing Requested	Completed 4/1 – Grant/Full (requester amended request for only the logs and CDs issued)
11/35	Cusack (EN)	2/24/11	3/24/11	FOIA log from November 1, 2010 to February 24, 2011	Completed 2/25/11 – partial denial (identity of requesters for records about self – (b)(6))
11/36 & PA 11/4/2	(b)(6) (EN)	2/25/11	3/24/11	Various records about (b)(6)	Completed 3/11/11 – no records
11/37	Wereschagin (EN)	3/1/11	3/29/11	Accounting info of legal expenses incurred for various congressional matters – Expedited Processing Requested	Completed 3/11/11 – no records Response provided within 10 days
11/38	Krote (EN)	3/1/11	3/29/11	Copies of OGE's contingency plans, guidance, reports, or correspondence with OMB regarding preparations for a government shutdown – Expedited Processing Requested	Completed 3/11/11 – full denial (b)(5) Response provided within 10 days
11/39	Fugh (KC)	3/1/11	3/29/11	FOIA consultation with EPA	Completed 3/9/11 – Grant/Full
11/40	Cardenas (EN)	3/2/11	3/30/11	Request for all SF 278s of Lucinda M. Babara	Completed 3/3 – full denial (b)(3)

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/41	McCarroll (EN)	3/14/11	4/11/11	SF 278 for Betty S. Ireland	Completed 3/16 – full denial (b)(3)
11/42 & PA 11/5/3	(b)(6) (EN)	3/14/11	4/11/11	Various records about (b)(6)	Completed 3/21 – no records
11/43	Garcia (EN)	3/17/11	4/14/11	SF 278s, SF-450s and CDs for Henry Paulson from 2006 to present	Completed 3/23 – partial denial (b)(3) and release CDs
11/44	Dunbar (EN)	4/29/11	5/27/11	SF 278 for Tammy Sun and Neil Grace	Completed 5/5 – full denial (b)(3)
11/45	Ravnitzky (EN)	5/4/11	6/1/11 – 10 day extension until 6/15	OGE Guidance Letters issued to an agency between 4/1/2010 and 4/1/2011	Completed 6/24 – partial denial (b)(6) & (b)(7)(A)
11/46	Ravnitzky (EN)	5/5/11	6/2/11	Electronic copy of the most recent semiannual agency travel reports under 31 U.S.C. 1353	Completed 5/13 – granted in full
11/47	Lewis (EN)	5/12/11	6/9/11	OGE FOIA log from 2010 w/FOIA #, name of requester, and records sought	Completed 5/13 – partial denial (b)(6) – dual Privacy requests
11/48	Hudak (EN)	5/12/11	6/9/11	List of FOIA request from 2010 in which a “political appointee” personally made an inquiry, reviewed or had a hand in the disposition of the request	Completed 5/25 – no records
11/49	Ravnitzky (EN)	5/18/11	6/15/11	Electronic copy of the semiannual agency travel reports under 1353 for 2005, 2006 and 2007	Completed 6/10 – granted in full
11/50	Lopez (EN)	5/18/11	6/15/11	FOIA consult with US Trade & Development Agency for 2008 Ethics Program Review	Completed 5/19/11 – recommend granting in full

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/51	Wiens (EN)	5/19/11	6/16/11 – 10 day extension until 6/30	All opinions & waivers submitted by DOJ pursuant to 202-209 for 1/05 – present	Completed 6/30/11 – complete referral to DOJ
11/52	Johnson (EN)	6/2/11	6/30/11	List of all appointees (PAS, non-career SES & Sch C) between 1/20/01 and 5/15/11	Completed 6/17/11 – granted in full & no records
11/53	Johnson (EN)	6/2/11	6/30/11	Resumes of Sch C appointed; non-career SES employed; and PAS employed between 5/15/09 and 5/15/11	Completed 6/17/11 – granted in full & no records
11/54	Selin (EN)	6/2/11	6/30/11	List of reports OGE sent to Congress and a list of the Congressional testimony in 2010	Completed 6/21/11 – granted in full
11/55	Selin (EN)	6/2/11	6/30/11	All written communications from OGE to the Speaker of the House & Chairman of the House Committee on Oversight & Gov't Reform	Completed 6/20/11 – granted in full
11/56	Carollo (EN)	6/2/11	6/30/11	1353 reports from HHS from 2003- 2008	Completed 6/10/11 – granted in full
11/57	Carollo (EN)	6/6/11	7/5/11	Latest 1353 reports	Completed 7/5/11 – granted in full
11/58	Wiens (KC)	6/16/11	7/14/11 – 10 day extension until 7/28	Opinions & waivers submitted by SEC, NRC, Energy, FDA, FCC, Ocean Energy Mgmt Reg & Enforcement (formerly Minerals Mgmt) and FAA	Completed 6/26/11 – complete referral to DOE
11/59	Horton (EN)	6/21/11	7/19/11	Requests a copy of her OGE 450A	Completed 6/22/11 – no records
11/60	Nicely (EN)	6/28/11	7/26/11	Updates to OGE Personnel Manual from 6/1/10-5/31/11 & labor agreements (current))	Completed 7/19/11 – granted in full

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011


Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/61	Lee (EN)	7/8/11	7/22/11 (OSTP requested date)	OSTP consultation on an e-mail chain (2 pages) between two OGE employees and an OSTP employee	Completed 7/21/11 – partial release (b)(5)
11/62	Leoning (EN & KC)	7/5/11	8/1/11 10-day ext. until 8/12	All ethics agreements since January 2009 for the PAS filers on OGE website	Completed – multiple responses provided from 7/13 until the 6 th and final response on 8/18/ – (b)(4) & (b)(6)
11/63	Carollo (EN)	8/3/11	8/31/11	Access to or copies of database tracking FOIA requests since 1/1/09	Completed 8/11/11 – partial release (b)(4) and (b)(6)
11/64	Brown (EN)	9/7/11	10/6/11	OGE organizational chart – CIO office & contact information	Completed 9/8/11 – Grant/Full (verbal response—staff director on OGE website)
11/65	Norton – oral request (EN)	8/30/11	9/27/11	OGE letter to the Interior IG re Norton involvement with oil shale leases (2009 or 2010)	Completed 9/26/11 – partial release (b)(5) (discretionary release)
11/66	Smathers (KC)	9/19/11	10/17/11	All records on, about, mentioning or concerning Government Attic website	Completed 10/4/11 – Grant/Full
11/67	Farrar (EN)	9/19/11	10/17/11	Information/official guidelines on the use of professional signatures during performance of official duties	Completed 9/29/11 – no records
11/68	Epstein (EN)	9/26/11	10/24/11 (ext. to 11/7/11)	Various documents concerning the pledge and pledge waiver	Completed 11/2/11 – Grant/Full & no records
11/69	Cairncross (EN)	9/28/11	10/26/11	All documents related to any 278 & ethics agreement filed by Elizabeth Warren, Former Assistant to the President and Special Advisor to the Treasury Secretary	Completed 10/11/11 – no records

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012

Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

Number	Requester	OGE Atty	Date Rec'd	Due Date	Records Sought	Status	Exemptions	Date Completed	Response Time	Other Trackable Elements
11/66	Smathers	KC	9/19/11	10/17/11	All records on, about, mentioning or concerning Government Attic website	Full Grant	N/A	10/4/11	11 Days	Pending Request from FY11
11/68	Epstein	EN	9/26/11	10/24/11 10-Day Ext: 11/7/11	Various documents concerning the pledge and pledge waiver	Partial Grant - No Records	N/A	11/2/11	26 Days	Pending Request from FY11
11/69	Cairncross	EN	9/28/11	10/26/11	All documents related to any 278 & ethics agreement filed by Elizabeth Warren, Former Assistant to the President and Special Advisor to the Treasury Secretary	Full Denial - No Records	N/A	10/11/11	8 Days	Pending Request from FY11
12/01	Corbin	RD	10/11/11	11/8/11	Request for basic information about OGE's functions and available information	Full Grant	N/A	10/14/11	3 Days	N/A
12/02	Bodney	EN	10/7/11	11/4/11 10-Day Ext: 11/18/11	All records regarding the possible appointment of  (b)(6)	Glomar	(b)(6)	12/12/11	43 Days	N/A

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012

Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

12/03	Seife	RD	10/13/11	11/11/11	Documents that show HHS, NIH, FDA have consulted with OGE on 208 waivers from Jan. 2005 to present	Partial Grant - Exemption	(b)(6)	11/5/11	13 Days	N/A
12/04 PA 12/1/4	(b)(6)	EN	10/20/11	11/17/11 10-Day Ext: 12/1/11	Investigative documents compiled in response to OGE IG referral	Partial Grant - Exemption	(b)(6)	12/1/11	28 Days	Referral from NRC (Sealing) Partial referral to NRC, DOE, and DNFSB Consultation with NRC (Sealing)
12/05	Smathers	EN	10/19/11	11/16/11	Copy of FOIA templates	Full Grant	N/A	10/26/11	5 Days	Discretionary Release (b)(5)
12/06	Corbin	EN	10/31/11	11/28/11	Information about the standards, criminal COI laws, and training products	Full Grant	N/A	11/1/11	1 Day	N/A
12/07	Johnson	RD	11/16/11	12/14/11	Copy of purchase cardholders list	Full Grant	N/A	11/18/11	2 Days	N/A
12/08 PA 12/2/5	(b)(6)	EN	11/30/11	12/28/11	Any documents about (b)(6)	Full Denial - No Records	N/A	12/5/11	3 Days	N/A
12/09	Cairncross	RD	12/8/11	1/6/12	All files related to the 278 and EA of Richard Carmona	Partial Grant - Exemptions	(b)(5) (b)(6)	1/3/12	18 Days	Partial referral to HHS

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012

Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

12/10	Drake	EN	12/8/11	1/6/12	SOC and employee manual	Partial Grant - No Records	N/A	12/12/11	2 Days	N/A
12/11	Ravnitzky	RD	12/12/11	1/9/12	Copy of records given to Issa in Jan. 2011 and any other 2011 correspondence to Issa	Partial Grant -Exemptions, No Records	(b)(6)	1/9/12	20 Days	Request for electronic version; Email response
12/12 PA 12/3/12	(b)(9)(q)	RD	12/14/11	1/11/12 10 Day Ext: 1/25/12	Copy of opinion requested by Army Corp of Engineers, OGE's response, any comments on request, and Army annual ethics report	Partial Grant - No Records	N/A	1/23/12	28 Days	Consultation with Dept. of the Army

Unresponsive