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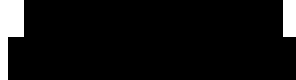


UNITED STATES OFFICE OF PERSONNEL MANAGEMENT
Washington, DC 20415

Office of the
Inspector General

July 1, 2016

John Greenewald, Jr.



Sent via e-mail to john@greenewald.com

Dear Mr. Greenewald:

This is in response to your Freedom of Information Act (FOIA) request of June 24, 2016, in which you asked for a “listing or index, preferably in electronic format, of all closed investigations by your agency’s Office of the Inspector General during calendar years 2014 and 2015.” The tracking number for this request is 2016-05693.

The attached documents comply with your request.

If you wish to appeal this response under the Freedom of Information Act, you should contact, in writing, Robin Richardson, FOIA Appeals Officer, Room 6400, 1900 E Street, NW, Washington, DC 20415. Please include a copy of your initial request, a copy of this letter, and a statement explaining why you disagree with our decision. You should write “Freedom of Information Act Appeal” on the front of the envelope and on the first page of the appeal letter.

Sincerely,

A handwritten signature in black ink, appearing to read "Tanner Horton-Jones".

Tanner Horton-jones
Associate Counsel

Enclosure

OPM-OIG Office of Investigations

Closed Investigation Disposition Summary

Start Date 01/01/2014 End Date 12/31/2014

Total Count of Cases 70 Total OPM Recovery Net 54,828,013.23

This report generated on: 06/29/2016 11:17:17 AM

Count	Case Number	Date Investigation Closed	Closed Status	OPM Organization	Program	Allegation	Allegation Subtype	Disposition Summary	OPM Recovery Net
1	I 2009 00091	02/12/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer	Civil Action, Criminal Action	37,012,749.97
2	I 2006 00103	01/13/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Kickback, Off-Label Marketing	Drug Manufacturer	Civil Action, Criminal Action	627,371.56
3	I 2008 00098	03/19/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer	Civil Action, Criminal Action	5,504,930.66
4	I 2006 00010	09/19/2014	Complete	External Issue (not OPM)	Not Applicable	Other Agency Misconduct Issue		Criminal Action	0
5	I 2010 00053	01/29/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	67,850.48
6	I 2008 00328	08/04/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician	Prosecution Declined	0
7	I 2009 00047	07/17/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician	Civil Action, Criminal Action	20,436.30
8	I 2009 00021	03/28/2014	Complete	Federal Investigative Services	Background Investigations	Fabrication (Targeted Review)	OPM Contractor	Administrative Action, Criminal Action	78,832.93
9	I 2009 00079	02/12/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding, Not Medically Necessary, Services Not Rendered	Physical Therapy	Prosecution Declined	0
10	I 2010 00028	11/07/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant, Representative Payee		Criminal Action	7,936.80
11	I 2011 00020	03/28/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Prosecution Declined	0
12	I 2010 00064	09/23/2014	Complete	Federal Investigative Services	Background Investigations	Fabrication (Targeted Review)	OPM Contractor	Criminal Action	79,468.00
13	I 2010 00085	01/29/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Criminal Action	47,243.62
14	I 2010 00108	09/25/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	False Diagnosis		Criminal Action	103,184.36
15	I 2010 00873	12/17/2014	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery)	OPM Contractor	Administrative Action, Criminal Action	173,446.22
16	I 2010 00110	02/18/2014	Lack of Resources	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding			0
17	I 2010 00561	07/17/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	92,681.90
18	I 2010 00607	05/19/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer	Civil Action	202,975.92
19	IA 2011 00001	10/23/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	False Diagnosis	Physician	Investigation Continued By Other Agency(s), Prosecution Declined	0
20	I 2010 00092	02/21/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	125,262.00

21	I 2011 00043	04/03/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Not Medically Necessary, Services Not Rendered			0
22	I 2011 00668	04/01/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Billing Dispute, Services Not Rendered	Physical Therapy	Criminal Action	190,306.43
23	I 2011 00062	01/23/2014	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery)	OPM Employee	Administrative Action, Criminal Action	109,000 00
24	I 2010 00808	04/30/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Kickback, Off-Label Marketing	Drug Manufacturer	Civil Action, Criminal Action	6,430,989.47
25	I 2011 00058	03/14/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding, Services Not Rendered	Chiropractor	Criminal Action	7,260 66
26	I 2010 00939	07/17/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Hospital	Civil Action	103,119 28
27	I 2011 00001	07/23/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	398,773 96
28	I 2011 00201	08/07/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer		0
29	IA 2011 00005	10/17/2014	No Action Required	Retirement Services	OPM Internal	Employee Misconduct			0
30	IA 2011 00011	10/06/2014	No Action Required	Office of the Director	Immediate Office of the Director	Employee Misconduct	OPM Employee		0
31	I 2011 00403	04/02/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Duplicate Claims, Miscoding/Upcoding	Physician Group Practice	Civil Action	216,198.40
32	I 2011 00814	03/27/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer	Civil Action	143,681 67
33	I 2008 00128	09/25/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Civil Action	14,425.67
34	I-12-00084	08/12/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding		Civil Action	439 401 68
35	I 2005 00127	02/24/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding			0
36	I 2004 00050	06/16/2014	No Action Required	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant			0
37	I 2009 00916	01/14/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer		411,257 69
38	I 2011 00090	01/28/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding		Civil Action	26,581.24
39	I 2011 00827	10/16/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Not Medically Necessary	Physician Group Practice		289,380 23
40	I-12-00127	02/10/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Physician Group Practice	Civil Action	298,651 20
41	I-12-00144	03/20/2014	Lack of Resources	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Kickback, Not Medically Necessary	Physician Group Practice		0
42	I-12-00214	03/20/2014	Lack of Resources	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Billing Dispute, Kickback, Not Medically Necessary	Hospital		0

43	I-12-00222	02/18/2014	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery)	OPM Employee	Administrative Action, Criminal Action	159,918.09
44	I-12-00325	08/04/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician	Civil Action	124,214.64
45	I-12-00356	02/20/2014	Complete	Federal Investigative Services	Background Investigations	Lost/Stolen Property, Other	OPM Employee	Administrative Action, Prosecution Declined	0
46	I-12-00601	07/21/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	19,918.80
47	I-12-00617	01/23/2014	Complete	Human Resources Solutions	Other	Employee Misconduct		Management Advisory Issued	0
48	I-12-00674	04/01/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	73,793.92
49	I-12-00696	08/28/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding, Not Medically Necessary	DME Supplier	Civil Action	439,069.35
50	I-12-00721	05/15/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Forgery, Kickback, Miscoding/Upcoding, Not Medically Necessary, Services Not Rendered	Physician		0
51	I-13-00004	10/20/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding			0
52	I-13-00022	01/29/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	182,202.52
53	I-13-00079	01/23/2014	Complete	Office of the Director	Immediate Office of the Director	Ethics Violation	OPM Employee	Allegation(s) Not Substantiated, Management Advisory Issued	0
54	I-13-00116	05/15/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician Group Practice		0
55	I-13-00169	01/08/2014	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	156,799.70
56	I-13-00173	08/04/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	OIG Courtesy Copy		Criminal Action	6,363.48
57	I-13-00391	11/12/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Laboratory	Civil Action	144,292.08
58	I-13-00392	05/06/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding		Civil Action	66,626.64
59	I-13-00403	07/11/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician		107,400.12
60	I-13-00504	03/19/2014	Complete	Retirement Services	CSRS & FERS	Deceased Annuitant		Criminal Action	43,826.34
61	I-13-00757	10/20/2014	Complete	Federal Investigative Services	Other	Contract Fraud, Lost/Stolen Property, PII Breach, Whistleblower Retaliation	OPM Employee	Allegation(s) Not Substantiated	0
62	I-13-00849	07/14/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician Group Practice		58,657.03
63	I-13-00853	05/08/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Identity Theft, OIG Courtesy Copy		Criminal Action	0.00

64	I-13-01012	09/26/2014	Complete	OIG	Investigations	Employee Misconduct, Travel or Purchase Card Misuse	OPM Employee	Administrative Action, Prosecution Declined	0
65	I-13-01027	06/17/2014	Complete	Healthcare and Insurance	FEHBP & FEDV P	Billing Dispute, Miscoding/Upcoding	Ophthalmologist	Civil Action	2,496.59
66	I-14-00041	03/28/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician Group Practice	Civil Action	11,494.63
67	I-14-00250	08/28/2014	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician	Civil Action	4,494.27
68	I-14-00290	03/07/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician		271.19
69	I-14-00474	07/07/2014	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	OIG Courtesy Copy			0
70	I-14-00555	03/28/2014	Complete	Healthcare and Insurance	FEHBP & FEDV P	Miscoding/Upcoding	Physician	Civil Action	2,775.54

OPM-OIG Office of Investigations

Closed Investigation Disposition Summary

Start Date 01/01/2015 End Date 12/31/2015

Total Count of Cases 48 Total OPM Recovery Net 10,610,800.84

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Count	Case Number	Date Investigation Closed	Closed Status	OPM Organization	Program	Allegation	Allegation Subtype	Disposition Summary	OPM Recovery Net
1	I 2007 00109	05/28/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Criminal Action	158,564.93
2	I 2007 00104	03/12/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Administrative Action, Criminal Action	0.00
3	I 2003 00016	12/09/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician Group Practice	Prosecution Declined	0
4	I 2010 00078	01/02/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	44,067.49
5	I 2011 00036	04/03/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	PBM	Civil Action	1,178,121.61
6	I 2010 00028	02/03/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant, Representative Payee		Criminal Action	7,936.80
7	I 2009 00859	05/19/2015	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery)	OPM Contractor	Administrative Action	136,531.40
8	I 2010 00228	05/19/2015	Complete	Federal Investigative Services	Background Investigations	Fabrication (Targeted Review)	OPM Contractor	Administrative Action	170,131.64
9	I 2011 00028	05/15/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding		Civil Action	3,482,203.00
10	I 2010 00871	03/18/2015	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing	Drug Manufacturer		0
11	I 2010 00461	03/13/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	False Claim, Off-Label Marketing	Drug Manufacturer	Civil Action	1,578,606.74
12	I 2011 00354	11/04/2015	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician		0
13	I 2011 00065	02/03/2015	Lack of Resources	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered			0
14	I 2011 00002	05/19/2015	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery)	OPM Contractor	Administrative Action	89,320.76
15	I 2011 00051	05/15/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Criminal Action	325,000.00
16	I 2011 00194	11/04/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Not Medically Necessary		Civil Action, Criminal Action	257,807.11
17	I 2011 00359	03/12/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered		Criminal Action	39,328.94
18	I 2011 00423	05/29/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Not Medically Necessary	Hospital	Civil Action	9,700.00
19	I-12-00037	01/30/2015	Complete	Healthcare and Insurance	FEHBP & FEDV P	Services Not Rendered	Dentist	Civil Action, Criminal Action	195,452.82

20	I-2011-00027	05/28/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing		Civil Action	11,456.27
21	I-12-00185	01/28/2015	Complete	Federal Investigative Services	Background Investigations	Fabrication (Recovery), Fabrication (Targeted Review), False Statement	OPM Contractor	Administrative Action, Criminal Action	86,181.86
22	I-12-00314	05/26/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Forgery, Miscoding/Upcoding, Services Not Rendered	Enrollee/Member	Criminal Action	943,519.46
23	I-12-00378	03/12/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Phantom Provider	Home Health	Criminal Action	18,411.38
24	I-12-00415	06/12/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	False Diagnosis		Civil Action	137,123.83
25	I-12-00546	05/29/2015	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	False Diagnosis, Phantom Provider	Physician		0
26	I-13-00006	10/19/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Billing Dispute	Pharmacy	Prosecution Declined	0
27	I-13-00018	05/18/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing, Services Not Rendered	Physician Group Practice	Civil Action	5,034.59
28	I-13-00147	11/30/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Off-Label Marketing		Civil Action	467,482.58
29	I-13-00203	05/29/2015	Complete	Federal Investigative Services	Background Investigations	Fabrication (Targeted Review)	OPM Employee	Administrative Action, Prosecution Declined	0
30	I-13-00252	06/12/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding, Not Medically Necessary, Off-Label Marketing, Services Not Rendered		Criminal Action	0.00
31	I-13-00286	05/18/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Services Not Rendered	Physician Group Practice	Civil Action	101,174.90
32	I-13-00319	10/29/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Civil Action	110,328.00
33	I-13-00386	11/30/2015	No Action Required	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Billing Dispute, Miscoding/Upcoding, Not Medically Necessary, Services Not Rendered	Physician		0
34	I-13-00403	06/18/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physician	Civil Action	107,400.12
35	I-13-00560	05/18/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding	Physical Therapy	Civil Action	9,425.61
36	I-13-00589	06/22/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Civil Action, Criminal Action	50,945.00
37	I-13-00596	12/03/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Miscoding/Upcoding		Criminal Action	137,893.00
38	I-13-00960	11/02/2015	Complete	Retirement Services	CSRS & FERS	Deceased Annuitant		Criminal Action	141,135.12

39	I-13-00961	11/02/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Enrollment Eligibility		Prosecution Declined	0
40	I-14-00201	01/08/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	124,950.66
41	I-14-00299	05/15/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Kickback, Services Not Rendered		Criminal Action	0.00
42	I-14-00699	03/02/2015	Complete	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Forgery	Pharmacy	Criminal Action	0.00
43	I-14-00786	05/28/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	218,820.06
44	I-14-01116	01/23/2015	Lack of Resources	Healthcare and Insurance	FEHBP (Federal Employees Health Benefits Program)	Billing Dispute			0
45	I-14-01183	01/29/2015	Complete	Retirement Services	Other	PII Breach	OPM Employee	Management Advisory Issued	0
46	I-14-01227	03/31/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Administrative Action	43,265.57
47	I-15-00225	11/27/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	26,467.36
48	I-15-00546	11/23/2015	Complete	Retirement Services	CSRS (Civil Service Retirement System)	Deceased Annuitant		Criminal Action	197,012.23