

OVERVIEW OF SECRET SERVICE RECORDS/SEARCHES
RELATING TO
THE JFK ASSASSINATION

In connection with preparing for our compliance meeting with Secret Service, set forth is a brief overview of the Secret Service records in the JFK Collection.

A. The Main Secret Service Case File on President Kennedy's Assassination

The JFK Collection includes the original of the Secret Service's main case file on the assassination of President Kennedy. It is my understanding that this main file was sent to the NARA prior to passage of the JFK Act. This file is numbered CO--3--34030, and is composed of several subfiles, as follows:

1. The DeMohrenschildts (there is a cross-reference to another file number, CO--2--34, 785)
2. Mark Lane
3. Lee Harvey Oswald
4. Marguerite Oswald
5. Marina Oswald
6. Robert Oswald
7. John Pic
8. Jack Ruby
9. Commission Correspondence
10. Dallas TV Tapes

11. JFK Medical Reports
12. Other Agency Reports
13. Secret Service Agents' Statements (*i.e.*, agents who had been assigned to Dallas)
14. Dallas PD Folder
15. Secret Service Reports
16. The Paines
17. FBI Reports

Following these discrete subfiles, there were additional groupings of documents, as follows:

18. Exhibits, consisting mostly of photographs (Oswald distributing leaflets in New Orleans; the Presidential Limousine after the shooting; the Texas School Book depository; and the scene of the Tippit shooting).
19. A booklet containing Protective Research Section Reports for Dallas and other cities in Texas (the Dallas reports were file numbers CO-2-34,007; 33,996; 33,664; 33,518 [file number CO--2--34,007 mentions General Walker, and we may want to request that entire file]).
20. The FBI's 1963 and then 1964 (Supplemental) Reports prepared for the Warren Commission (these are bound in blue).
21. Certain Secret Service documents being returned from the CIA to the Secret Service in March 1977.
22. A set of index cards referencing certain "Secret Service Numbers". We need to ascertain what these are.

--Miscellaneous Issues

hard files?

Protective Research Service ("PRS") reports. Is there a master index of these? What physical files were retained? There may just be computer abstracts, which is what the SS provided..

--Other files are cross-referenced (Theses were summaries of PRS reports for the Texas area summarized for the Warren Commission):

CO-2-34, 785 (Demohrenschildts)
CO-2-34, 007 (mentions Walker)
CO-2-33,996
CO-2-33,664
CO-2-33,518

We should ask for the original of these.

--Other files cross referenced:

127-T73-0001476 ("Protectee Trip")
127-CO2-0092207 ("Nonreferred file")
127-CO2-0076251 (File destroyed)
127-I73-0000844 (incident file but not a case referral)
127-CO2-0073684 (File exists)
127-I74-0001150 (incident)
127-I73-0000841 (incident)

SS says that whatever available records on these files were forwarded to the Review Board (Compl. Stmt. at 8; SS letters dated 5/29/96 and 4/30/97). These records were from PRS. Have these been placed in the JFK Collection?

--The Review Board was provided with abstracts on the 11 people mentioned in this file. Compl. Stmt. At 4. These were printed out by the Intelligence Division in 1996. Where are these abstracts -- in the JFK Collection? Are there any hard files?

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B. Additional Secret Service Records Released After Passage of the JFK Act

After passage of the JFK Act, the Secret Service included within the JFK Collection additional records groups, as follows:

1. Miscellaneous FBI reports released by the Secret Service as a result of the Service's 1993 review of documents.
2. Shift Records/Trip Reports of the Presidential Protective Division, November 1-29, 1963 [Same as the "trip files" referred to at Compl. Stmt. at 7 (SS-4)?]. Has March 1963 to November 1963 been designated as assassination records? And placed in the JFK Collection?
3. NARA Box 1--Agency Archives

Includes news clippings, Pierre Salinger conferences, the "Manchester File," and Protective Surveys for miscellaneous cities in November 1963.
4. NARA Box 2--Agency Archives

Includes letters from the public on improving Presidential security (File 800.19) and a file on Agent Bolden.
5. NARA Box 3--Agency Archives

Includes more public suggestions re Presidential security (File 800.19).

¹ These are Protective Research files from the 1970's. An "I74" file is an "incident" file.

6. NARA Box 4--Historical Vault

Includes correspondence and memos re Presidential protection (Files 611 & 602).

Also includes computer abstracts on certain individuals printed out by the Intelligence Division in 1996. There were abstracts for the Paines, the DeMohrenschildts, and for the main JFK investigative file of the Secret Service (the abstract for the main file cross-referenced certain other files).²

As part of the compliance program, we ought to consider what other abstracts we want printed out (*e.g.*, Walker, Loran Hall, and/or others).

7. NARA Box 5--Issuances of Chief James J. Rowley

Includes issuances (or directives) of Rowley (File No.101.50); Presidential Protective Division Shift Reports -- Unusual Incidents (1960, 1963-66) [SS-3]; General Correspondence/Memos re the President (File 611.0); and "Trip File" (documents were not in the folder).

C. Materials Recently Forwarded to NARA for Inclusion in the JFK Collection

In addition, Steve Tilley made available certain materials that have been forwarded to him by the Secret Service for inclusion in the JFK Collection. They are:

1. Treasury-Secret Service Correspondence.
2. Secret Service Correspondence with the Senate Select Committee on Intelligence.
3. Personnel data from the files of Secret Service agents Hill, Behn, Kinney, Lawton, and Kellerman.
4. The Broshears file (SS-7).
5. Protective Surveys/Trip Reports filed in chronological order. These are prepared after a Presidential trip or event (File 611). The boxes at NARA contained these files from 1961 through part of 1963.

D. **Other Records Requested by ARRB and/or Located by the Secret Service** {Are these in the

² The cross-references were: I 73-841, I 74-1150, 127-T73-1476, CO2-92207, CO2-76251, I73-8411, and CO2-73684.

JFK Collection or at ARRB?]

--Communications/recordings on the day of the assassination (SS-2). The SS found nothing.

--New York Field Office file relating to JFK assassination (Compl. Stmt. at 4)

--Classified log book in the Presidential Protection Division (*Id.*)

--Documents located from the Office of Investigations (*Id.*)

--Case File on Richard Case Nagell (SS-3)

--Cassette interview of Rowley, 8/63 (Compl. Stmt. at 7) (SS-4)

--Files on 4 of 6 individuals (Larrie Schmidt, R. Broshears, Abraham Bolden, Richard Lauchli, David Ferrie, Pedro Luis Diaz Lanz) were forwarded to the Review Board. Compl. Stmt at 8 (SS-7). Are these in the JFK Collection?

--Agent's inspection report relative to internal security review (SS-8). Compl. Stmt. at 8-9. In the JFK Collection?

--Two files on the JFK funeral arrangements. Documents re agreements with State Dept. and FBI. (SS-9). Compl. Stmt. at 9. Are these in the JFK Collection?

--Files on 109 individuals requested by the HSCA (SS-10). SS found hardly anything. Compl. Stmt. at 10. Additional individual files requested by HSCA (SS-14). The Secret Service has not yet responded to this. Compl. Stmt. at 11.

--Richard Bouck Files (SS-11). The Secret Service has not yet responded. Compl. Stmt. At 10.

--Records and Filing Information for 1963. (SS-12). Secret Service has not yet responded. Compl. Stmt. at 11.

--Correspondence with Congressional Committees (HSCA, Church, Pike Committees)..
Files were made available to the Church Committee in late 1975. See Wallach's Letter to McBrien dated Nov. 24, 1975 (which references a "a USSS index #" for many of the documents that the Church Committee requested). Documents re destruction of assassination records in 1965 by SAIC James M. Mastrovito. Testimony of SA Elmer Moore, Patterson, and any other SS officials before the Church or other committees. (SS-14). The Secret service has not yet responded.

--PRS files for certain individuals reviewed by Bolden in October-November 1963. (SS-15). The

Secret Service has not yet responded. Compl. Stmt. At 11.

--Request for further information regarding the Classified Log Book (SS-16). The Secret Service has not yet responded. Compl. Stmt at 12.

--CO-2-33, 115 file and "file log book" (SS-17). The Secret Service has not yet responded. Compl. Stmt. at 12.

--Records on organized crime figures (SA Carnes). (SS-18).

E. Storage Issues

--We have not seen any of the SF-135's for the Counsel's Office, the Protective Research section, or the Field Offices. All the SF-135's and Center Point inventories appear to relate to records of the Presidential Protection Division. Also, we have seen no SF-135 forms for any high-level officials.

F. Organization of the Secret Service

1. Office of the Chief (James Rowley)

--James Rowley (SS-1). SS has not located any identifiable files of Rowley. Are Chief's files designated CO-1?

--Stuart Knight, Chief during the HSCA --Knight's Briefing Book before the HSCA (pp.145-151 re NSA are missing) was provided to the Review Board-- Has it been placed in the JFK Collection?

--SS located "compilation of internal messages for Rowley" from 11/1/63 to 12/30/64. Where did these emanate from? Rowley's actual files?

--Public Suggestions re Presidential security (File No. 800.19)

--Rowley Speeches 11/63-1/65

--Issuances of Rowley (File 101.50)

--Inspector McCann investigated drinking incident

--Rowley was deposed by the HSCA

2. Office of Special Investigations

--This Office was in existence at the time of the assassination. Any relevant records?

3. Office of Information & Liaison

--This Office existed at the time of the assassination

4. Protective Research Section

--Office of Assistant Director

--Robert Bouck (1963/64 ?)

--Bouck testified as follows before the Warren Commission:

-Between Nov. 1961 and Nov. 1963, there were 7337 potential threats (115 in Texas). There were 1372 threats being investigated (34 in Texas).

-There was a "Check-Up Control File" of 400 individuals at the time of the Dallas trip

-50,000 active files total

-"Trip Index File" contained 100 individuals (nothing for Dallas trip index file but two for Houston)

-FBI released some cases to USSS in connection with Dallas trip

-What records existed with respect to Adlai Stevenson incident in Dallas?

--Milteer File CO-2-35, 588. Has this been released?

--PRS materials collected for the Dallas trip

--James T. Burke, Assistant Director, Protective Intelligence (1975/78?)

--Horeace t. Gibbs, Deputy Assistant Director, Protective Intelligence (1975/78?)

--Eileen Dineen reviewed 413 "threat files" for the period 3/63 through 12/63 (HSCA Doc. No. 14588)

--CO-2-32,682 was destroyed (HSCA Doc. No. 11318) but was incorporated into CO-2-33,115 ("The file designated CO-2-33.115 is a numerical prefix for approximately 157 separate files maintained on individuals involved in Cuban/anti-Cuban activities.").

--The Protective Research Section has a master name index that is computerized. Protective Research Service ("PRS") reports. Is there a master index of these? What physical files were retained? There may just be computer abstracts, which is what the SS provided..

--We have to inspect the original log book of CO files.

--We need to identify the "operational" files of the PRS.

--Intelligence Division

--As of 1963, there was an Intelligence Division of the PRS

--Liaison Division

--As of 1963, there was a Liaison Division for PRS

5. Office of the Chief Inspector (Thomas Kelley)

--Kelley was liaison to the Warren Commission

--Records & Files Section

This was under Kelley at the time of the Warren Commission

6. Protective Division [same as Office of Protective Operations?]

-- Presidential Protective Division

--Same as White House Detail?

--The Secret Service identified the following records in storage in 1992:

1. OPO-85-0001[Office of Protective Operations?]³

³ The Center Point records were sent to storage in the mid-1980's.

Box 2--General infor re Kennedy Detail (600.00 Protection)

Box 7--Protective Surveys:

11/22/63 Fort Worth (602.111)

11/21/63 Houston (602.111)

Austin, TX (602.111)

Box 8--Glen Ora, Middleburg VA (602.111)

Box 10-- President General (Corresp./Memos) (611.0)

Box 20-- ?????

Box 21 and 22-- SS reports on the JFK Assassination (Copies 40-44)

Box 34--Presidential Commission on the Assassination of JFK

2. PPD 85-000

Box 1--Daily Shift reports for November 1963

3. SF-135 87-75-0004

Boxes 1 to 6 -- 1961 to 63 Presidential Protection/Survey Reports

--We need to identify the "operational" files of the Office of Protective Operations.

--Presidential Trip Reports (1961- November 11, 1963)

--Accession No. 87-75-4, File No. is 611

--Two boxes were destroyed containing the October and November trip reports (O-1 Form documents the destruction)

--File 602.111 ("Other Places Folder No. 6 -- July to Nov. 1963" is missing)

--It has not been decided to what extent all of these will be designated as assassination records

--Trip Reports for November 1-29, 1963 are part of the JFK Collection (White House -Detail Shift Reports for Nov. 18, 1963 to November 24, 1963 were located in Dec. 1992)

--Nov. 8, 1963 NYC Trip Report was not destroyed

--PPD Shift reports -- Unusual Incidents -- 1960, 1963-66 [PPD-85-0001,1/ISS/62]

--Protective Surveys for Miscellaneous Cities in November 1963 (Fort Worth, Houston, Austin)

--Kennedy Detail Assignments

--Winston Lawson testified before the Warren Commission:

-Lawson told of no Dallas threats by PRS

-CWO Arthur Bales, Jr., WHCA, made the communications arrangements for Dallas

-Dallas field office did some checking up on right-wing groups in Dallas in advance of trip.
SA Howlett touched base with local police authorities. Howlett looking at right-wing groups, including Walker.

-President's Trade Mart Speec was going to be recorded

-Max Phillips, PRS, spoke with Lawson after the assassination

--Notes on Dallas Planning (MNO-85-0012 Box 4-B (126.31))

--Agreements/Communications with the Military, including ONI, NSA DOD (MNO 85-0008 Box 234)

--Vice-Presidential Detail

--White House Police

--Are these files designated C)-4?

7. Field Offices

--Dallas Field Office (Forrest Sorrels)

--Houston Field Office

--Miami Field Office

--Files on Joseph Milteer; tape was provided to the SS; the SA was Robert Jamison

--Chicago Field Office

--Investigation of threat by Thomas Arthur Valleee

--SA Abraham Bolden (J-CO-1-9513) (contains "Report of Investigation by Inspector Arvid J. Dahlquist")

--Post-assassination investigation of Thomas Mosley and Homer S. Echevarria

--Washington Field Office

--SAIC was Henry W. Geiglein

8. Secret Service Legal Counsel

--Robert O. Goff

--David Martin (Counsel during Church Committee)

--Richard R. Albrecht, Treasury General Counsel (when?)

9. Office of Administration, Management & Organization Division(MNO), Policy Analysis & Records Systems Branch (PARS)

--This is the office that is conducting the searches under the JFK Act (Machado)

What is this?

10. Special Investigation

--What is this?

12. Records and Files Section

13. Tapes/Films

--SS loacted

- Dictated memos by WC staff
- “Dictated reports”
- Interviews of Marguerite and others

14. Communications with the Warren Commission

15. Communications with HSCA or the Church Committee

--The following testified before the HSCA:

Kelley (Hearing) (14586)
Rowley (Hearing)
Bouck (Executive Session)
Behn (Interview)
Lawson (interview) (7066)
Sorrels (7062)

--109 files, 413 “threat sheets” (March - November 1963 period)

--Some correspondence with the HSCA and Church Committee

--Rowley testified before the Church Committee

15. Files on Individual Secret Service Agents

We asked for the files of:

Roy Kellerman (CO-1-5038-E)
Ed Zucker (CO-!-9181-E)

Thomas Kelley
James Fox

Secret service takes the position that these are personnel/security investigation type files that SS will review but will not let us inspect directly.

16. The Record 1960-65

17. Files on Individuals

Larrie Henry Schmidt (associated with Ed Walker)
Raymond Broshears (AKA Earl Raymond Allen) (CO2-42, 269)
Richard Nagell (CO-2-34, 412)
Edward Serzysko (CO-2-32,030) (destroyed)
Richard Lauchli (CO-2-26,104)
David Ferrie (Nothing)
Pedro Luis Diaz Lanz (CO-2-29,146)

Do we want to request file searches on:

Shaw
Walker
Trafficante
Hoffa
Marcello
Milteer
Castro
Kostikov
Loran Hall

18. Files Relating to the Protection, Interviewing and Surveillance of the Oswald Family After the Assassination

19. Electronic Surveillance

Did the Secret Service maintain any electronic surveillance in connection with the Kennedy assassination investigation?

20. Project Star File

What is this?

21. FOIA Files