

## MEMORANDUM

June 5, 1997

To: David G. Marwell  
T. Jeremy Gunn

From: Ronald G. Haron

Subject: Interim Status Report Re Compliance Program

In connection with the Review Board's June meeting, set forth is the latest status report on our Compliance Program with the federal agencies.

A. Agencies Whose Initial Compliance Statements Have Been Evaluated by Review Board Staff

The following agencies have submitted their initial Statements of Compliance. In addition, we have met with these agencies and have substantively evaluated their present compliance with the JFK Act, as memorialized in recent correspondence.<sup>1</sup>

- Department of State
- Army's Central Security Facility
- Army's Criminal Investigative Command
- Bethesda Naval Hospital
- Armed Forces Institute of Pathology
- Department of the Air Force
- Department of the Navy
- Defense Intelligence Agency
- Civil Division, Department of Justice
- Criminal Division, Department of Justice

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<sup>1</sup> Attached for the Review Board is recent compliance related correspondence with some of the agencies.

- Civil Rights Division, Department of Justice
- Leadership Offices (Attorney General), Department of Justice
- Immigration & Naturalization Service
- Department of Treasury
- Bureau of Alcohol, Tobacco & Firearms
- Customs Service
- Postal

B. Agencies That Have Submitted Initial Compliance Statements That Need To Be Evaluated By the Review Board Staff

We have also received initial Statements of Compliance from the following agencies and need to evaluate their Statements:

- National Security Agency
- the Joint Staff
- Secret Service
- Social Security Administration

C. Agencies That Must Submit Their Initial Statements of Compliance

We are awaiting submission of initial Statements of Compliance from the following agencies:

- FBI
- CIA
- Office of the Secretary of Defense
- Department of the Army
- National Archives & Records Administration
- LBJ Library
- JFK Library
- Gerald Ford Library

## STATUS OF COMPLIANCE PROGRAM -- 1/13/97

### Intelligence Agencies

1. **CIA** must commit to date for submission of Compliance Statement. We must identify key records not encompassed by sequestered collection and prepare letter to CIA requesting follow-up. Also need to follow-up in interviewing people familiar with their records from each relevant division.
2. **National Security Agency (NSA)** submitted its initial Compliance Statement; we need to review the Statement and set up informal meeting.

### Department of State

3. **Department of State** is supposed to designate a Compliance Official by the end of January. We will need them to commit to a date for submission of the Compliance Statement.

### Department of Defense

4. **Washington Headquarters Services (Dept. of Defense)**. Their initial Compliance Statement is due Jan. 21.
5. **Joint Staff** must commit to date for submitting initial Compliance Statement. We should check on the status of their review.
6. **Army** still has not designated a Compliance Official. We need to check status of this and get them to commit to a date for submission of their initial Compliance Statement.
7. **Army Central Security Facility**. They submitted their initial Statement of Compliance. We need to review their initial Statement and set up an informal meeting.

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8. **Army Criminal Investigative Command** has submitted their Final Declaration of Compliance. We need to follow-up with the Provost Marshall General and the heads of CIC (army intelligence) and CID (army criminal investigation) to locate any of their records for 1963-64.
9. **Armed Forces Institute of Pathology**. Their initial Compliance Statement is due February 14, 1997.
10. **Navy (including Marine Corps)**. They need to commit to a date for submission of their initial Compliance Statement. We need to send letter outlining record categories to be located and inspected by Navy. We should arrange to meet with ONI re its filing system.
11. **National Naval Medical Center, Bethesda** has submitted their initial Statement of Compliance. We need to review it and set up an informal meeting.
12. **Air Force**. Their initial Statement of Compliance should be due shortly.
13. **Defense Intelligence Agency** submitted their initial Statement of Compliance. We need to review the Statement and set up an informal meeting.

#### Department of Justice

14. **FBI**. We need to meet with them to review their progress in preparing the initial Statement of Compliance (probably during the week of January 13) and get them committed to a date for submitting the Statement.
15. **INS**. Their initial Compliance Statement is in the works. INS will be submitting to us their preliminary list of files that they consider assassination records. We will need to review the list and give them any additional guidance regarding the scope of files they need to locate. We also need to submit a letter requesting them to give priority towards releasing the balance of the LHO file and the Marina Oswald file.
16. **Civil Division** initial Statement of Compliance is due January 21, 1997.
17. **Civil Rights Division's** initial Statement of Compliance is past due.
18. **Criminal Division's** initial Statement of Compliance is due January 16, 1997.

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19. **Leadership Offices (OIP)** initial Statement of Compliance is due the week of January 13, 1997. OIP needs to follow-up in locating additional record categories we identified for them.
20. **Office of Legal Counsel.** We may need to initiate a compliance program with them.
21. **Tax Division.** We need to follow-up on our request to inspect specific records. A formal compliance program will probably be unnecessary for this Division since they will have little, if any, assassination records.

#### Treasury

22. **Main Treasury.** Treasury's initial response to our compliance letter is due January 15, 1997. Treasury needs to follow-up on certain record categories that we asked them to locate.
23. **Secret Service.** We expect their initial Compliance Statement by the end of January; we should get their confirmation that they will submit the Statement by then.
24. **Bureau of Alcohol, Tobacco & Firearms (ATF).** Their initial Statement of Compliance is past due.
25. **IRS.** We are to meet with them January 22, 1997. We need to submit to IRS in writing our legal position regarding the confidentiality of tax return records under Section 6103. We have not yet asked IRS to adhere to a formal compliance program given the need to first resolve the issue of confidentiality.
26. **Customs Service.** Their initial Statement of Compliance is past due.

#### Presidential Libraries

27. **LBJ Library.** Their initial Statement of Compliance is past due.
28. **JFK Library.** We may need to initiate compliance program.
29. **Ford Library.** We may need to initiate compliance program.

#### White House

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30. **White House.** We must consider whether we need to initiate a compliance program for any White House offices (e.g., White House Communications Agency, National Security Council).

Other

31. **Postal Service** initial Statement of Compliance is due February 1, 1997.

32. **National Archives.** We must consider whether to initiate a compliance program and what form it would take.

33. **General Services Administration (GSA).** We must consider whether to initiate a compliance program and what form it would take. We also need to submit an initial request to locate assassination records.

34. **Social Security Administration (SSA).** Meeting scheduled for January 16, 1997. We have not initiated a formal compliance program for SSA because, as with IRS, there is an issue regarding confidentiality of tax return records.

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