

# THE BLACK VAULT

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3 September 1958

MEMORANDUM FOR:

SUBJECT : Prediction Study

The sum of \$2,150 was received for work on the Frediction Study. The work was completed and the following sums were paid out as noted on our monthly reports.

Dr. 484 1140	\$500.00
Mr. Mr.	500.00
Mr.	500.00
Dr. Carlotte	500.00
Mr.	100.00
	40 500 00

Total \$2,100.00

Balance in account \$50.00.

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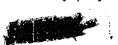
### RECEIPT

Recipt is hereby acknowledged for Treasurer's Check No.

dated June 26, 1958, drawn on the c.

in the amount of \$2,150.00, payable to the

Date: 7=2-53





18 June 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

TSS/Budget Officer

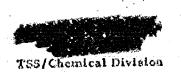
SUBJECT

MKULTRA Subproject 65, Invoice No. 2 Allotment 8-2502-10-001

1. Invoice No. 2 for the above subproject is attached. Payment should be made as follows:

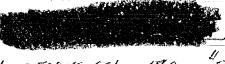
Cashier's check in the amount of \$2,150.00, drawn

- 2. Please forward the check to Chief/TSS/Chemical Division through TSS/Budget Officer by Wednesday, 2 July 1958.
- 3. This is a final invoice. A total of \$52,150.00 was obligated under this subproject during FY 58. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

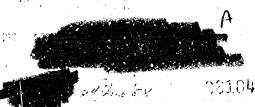


Attachmente: Invoice & Certifications

Distribution: Orig & 2 - Addressee CHECK 7750# THE AMOUNT OF S.



2,150.00 21500



888 002664. 600.1

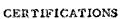


INVOICE

For services

\$2,150.00

B



(1) It is hereby certified that this is Invoice No. 2 applying to Subproject 65 of MKULTRA, that performance has been satisfactory, that the services have been accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 65 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 12 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:



NO. SEEV

8.2502-10-001

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14 April 1958

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION

: Finance Division

SUBJECT

: MKULTRA, Subproject 65 Additional Authorization No. 2

Under the authority granted in the Memorandum dated 13 April 1953, from the DCI to the DD/A and the extension of this authority in subsequent memoranda, Subproject 65 was previously approved. Under the same authority an additional sum of \$2,150.00 has been authorized to cover the Subproject's expenses (thereby bringing to a total of \$52,150.00 funds obligated during FY 58), to be charged to Allotment 8-2502-10-001.

T&S/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

Research Director

23 458

Date:

Distributions Orig & 2 - Addresses A TSS/FASB





DRAFT 11 April 1958

MEMORANDUM FOR: \_ THE RECORD

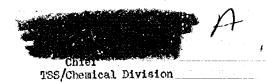
SUBJECT : Supplementary Funds, MKULTRA, Subproject 65

1. The purpose of the supplement to this project is to support a special study predicting the adjustment of the refugees already examined in this project. Several members of the project staff will independently rate each subject on a specified list of adjustment variables having to do with health, occupation, social relationships, and acculturation.

- 2. While it has been anticipated that the original budget for the current year would cover the cost of this phase, delays in acquiring and processing the original data consumed the budgeted worktime of several of the staff members. The attached supplementary budget includes only salary payments to staff members who are no longer on the project-payroll.
- 3. The total additional cost of this project will not exceed \$2,150.00, (thereby bringing to a total of \$52,150.00 funds obligated during FY 58), to be charged against Allotment 8-2502-10.00.
  - 4. Other specification for this project remain as stated



in the Memorandum for the Record dated 28 October 1957, Subject: Continuation of Project MKULTRA, Subproject 65.



APPROVED FOR OBLIGATION OF FUNDS:

Paramah Director

Research Director

Date: April 23-1918;

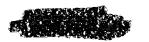
Distribution: Original only

Attachment: Supplemental Budget



## SUPPLEMENTAL BUDGET, MKULTRA, Subproject 65

Onsultation fees  Dr. anthropologist	\$500.00
Dr. Dr. sociologists	1,000.00
Mr. paychologist	500.00
Medical student participant observers	150.00
Total	\$ 2,150.00





5 October 1960

MEMORANDUM FOR THE RECORD

SUBJECT: Accounting for MKULTRA Subproject 65

This project was terminated with the grant made in FY 57-58. An accounting was rendered to the Society and subsequently transmitted to us covering the terminal grant, but was treated as a part of the total money given to during this fiscal period in that accounting which is on file in MKULTRA Subproject 61.

A A

Chief TSD/Research Branch



This project (\$50,000) was accounted for in the over-all Project - MKULTRA 65 6

#### RECEIPT

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Cashier's ChecksNo. DM 43767 in the amount of \$30,000.00, and No. DM 43768 in the amount of \$20,000.00, dated November 8, 1957, drawn on payable to

Date: 13 November 1957-

RECEIPT

Receipt is hereby acknowledged for Cashier's Check No. DM 43863, dated November 18, 1957, drawn on in the amount of \$20,000.00, payable to the 8



Date: 12-2-57

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30 October 1957

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA:

TSS/Budget Officer

SUBJECT:

MKULTRA Subproject 65, Invoice No. 1, Allotment 8-2502-10-001

1. Invoice No. I for the above subproject is attached. Payment should be made as follows:

Two cashier's checks, one in the amount of \$30,000.00, and one in the amount of \$20,000.00, both drawn on a god made rayable to the

- 2. Please forward the checks to Acting Chief, TSS/Chemical Division through TSS/Budget Officer by Friday, 8 November 1957.
- 3. This is a final invoice. A total of \$50,000.00 was obligated under this subproject during FY 58. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Acting Chief TSS/Chemical Division

Attachments:
Invoice & Certifications

Distribution:

Orig & Z - Addressee

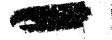
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10-001 17.2 \$55,000.00

**经验证** 

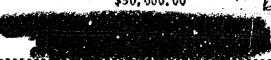
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INVOICE

For services

\$50,000.00



#### CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. I applying to Subproject 65 of MKULTRA, that performance has been satisfactory, that the services have been accomplished in accordance with mutual agreements, that a detailed agends of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 65 under MKULTRA which was duly approved and that the project is being carried out in accordance with the memorandum dated 12 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:



28 October 1957

MEMORANDUM FOR: COMPTROLLER

ATTENTION

: Floance Division

SUPJECT

: MKULTHA, Subproject 65

Under the authority granted in the memorandum dated 13 April 1933 from the OCI to the DO/A, and the extension of this authority in subsequent memoranda, Subproject 65 has been approved and 530,006,00 of the over-all MEULTAA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotteent 6-2502-16-061.

APPROVED FOR OBLIGATION OF FUNDS:

ncting Cutel
ISS/Chemical Division

Research Director

Date:

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R. H. BERFOL BY 187475

DRAFT

28 October 1957

MEMORANDUM FOR: THE RECORD

SUBJECT

: Continuation of Project MKULTRA, Subproject 65

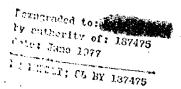
- 1. The purpose of this project is to continue Agency support of Dr. studies on refugees. At the present time the first phase of this study is approaching completion. It is expected that the second phase, that of predicting certain results of the displacement of these individuals, will occupy Dr. s facility for the remainder of this year. The third, or follow-up phase on these predictions, will be made by investigators other than Dr.
- 2. This project was reviewed and approved by the Board of Directors of at the meeting of 11 March 1957. It will be noted that the attached budget indicates an expenditure of \$87,621.00 for the coming year. Draw has agreed to obtain C support from sources outside the Government to defray those costs exceeding \$50,000.00.
- 3. The cost of the program for a period of one year

  1 July 1957 is estimated to be \$50,000.00. The

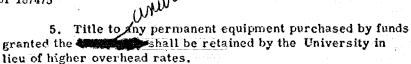
  will be used as a cutout
  for transferring the money to

  should be made against Allotment 6-2502-10-001.

has requested submit to them a summary accounting on an annual basis of all monies received from the Also, it has been requested that any unexpended funds be returned as soon as possible upon the close of the grant year.



Powngraded to: 1 by authority of: 187475 date: June 1977 ES IMPDET; CL BY 187475



6. In connection with travel under this project, it is agreed that the Agency will accept the travel documentation and accounting which conform with the practices of the University.

APPROVED FOR OBLIGATION OF FUNDS:



Acting Chief TSS/Chemical Division



Research Director

Attachment: Proposal and Budget

Distribution; \_ Original only - TSS/CD

ly authority of: 187475 date: Juno 1977

1 MIDET; CL BY 187475

C

Dr. 's Project - Plans for the Coming Year, July 1957 - June 1958

No will have completed the interviewing of our informants by
July 1, 1957. In the course of six months we have examined and interviewed

70 informants - a task which required almost 1; years in the study.

The improved efficiency of the study is in part the result of the

experience gained with the investigation of the The rapidity with

which the interviewing has been conducted has left us with a large backlog

of material which is still to be transcribed and typed. Our present staff of

typists will be busy for at least snother six months in completing this work

and our consumption of elerical supplies will remain high during this time.

With the completion of the interpreters and some of the other special personnel who were hired specifically for this project will be dropped from the payroll. However, the task of digesting and collating the information and preparing the necessary reports is just beginning and will certainly occupy us for at least six months. Although our speaking psychologist and our anthropologist will not be giving nearly so much time to the project as they have during the past few months, it will nevertheless be necessary for them to participate in the evaluation of our information, and we will have to recompense them to some extent for Deaves us with their time. Our experience with the an extraordinarily large body of intensive information on a significant number of important representatives of these two societies. Although the first is now being completed, we have only begun to draw from report on the these data much of the valuable information which can be obtained. It is my ophilon that it is of great importance for the wanters of my staff to busy themselves during the next six months with the evaluation of the information which they have obtained and the completion of the reports based upon it.



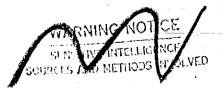
Dr. Project

-2-

During the same period, we will be engaged in planning the next step in our investigations. Preliminary conferences relating to this are now under way. We enticipate that our efforts will again be in the direction of the evaluation of significant members of other societies now under Communist domination, or otherwise of interest, and that we shall also concern ourselves with the development of methods of evaluation and assessment. Our mext project should get under way some time after the first of next year, and the details will have been worked out well in advance of this date. The accompanying budget is based upon a plan of activities as outlined above.

The present stage of Dr se project in brain function will have been completed by January 1, 1958 and at that time Dr. will be transferred to this project on a full-time basis to give his time to the collation and publication of material assembled from the sand studies.

Up until that time he will be working on this project on a part-time basis.



Fiscal Year 1957-58	
Dr. Froject	
Permanent Staff	
Dr. project director Dr. physician, full time 8,000 Dr. physician, part time 2,000 Dr. psychistrist, part time 4,000 Dr. psychologist, full time from Jan. 1, 1958 4,750	
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1 psychologist until august 4, 1957 1 psychological statisticien for collation of data, part time, 1 yr. 1 anthropologist, 6 months Other statistical services Additional typing (preparation of manuscripts, tape recordings, psychological reports, records, and dittoing)	\$\frac{\chi_{1}}{2}\$\frac{\chi_{0}}{600}\$ 1,000 1,000
Supplies	3,000
Office Equipment	1,500
Technical Equipment	1,000
Library	200
Kiscellaneous	1,000
Rent	10,680
Telephone	603
Travel	1,502

Admin. Fee 105, minus rent

\$87,621

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MEMORANDUM FOR: \_THE RECORD

SUBJECT

:- MKULTRA, Subproject 65

1. Subproject 65 is being initiated to support the work of Dr.

For the past year, Dr.

That been one of the principal collaborators of Dr.

In the Conduct of the research program of MKULTRA Subproject 48.

Subproject 48 was set up to continue under TSS the research activity originated under CIA special project.

The administrative aspects of this activity are to be carried on as MKULTRA Subproject 60. The research work commenced by Dr.

under Subproject 48 is to be continued as Subproject 65.

2. The scope of the program to be undertaken by Dr. will encompass a detailed study of those factors which influence human behavior that could be used as a means of achieving intelligence objectives. The major effort of Dr. s program will be:

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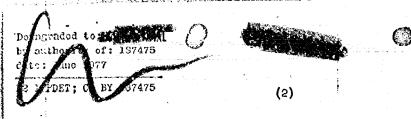
- (a) To better understand the factors which cause individuals to defect, commit treason or change loyalties.
- (b) To develop skills by which potential defectors can be detected.
- (c) To develop methods for increasing the chances of defection of various target individuals.

A more detailed outline of the proposed program is attached.

3. Subproject 48 will expire on 30 June 1956. The estimates that there will be approximately \$74,000.00 of unexpended funds remaining. These funds were obligated in Fiscal Year 1956. Since Dr. was directed by TSS/CD to enlarge the scope of his former program, it is the desire of TSS/CD to utilize the above surplus funds to finance his expanded program. Normally, the

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unused funds of a project should be returned at the end of the fiscal year. For security reasons it is not desirable to have the unexpended Society funds returned, since such return would appear very peculiar on the books of this type of organization. Instead of return, the unused money is to be reobligated within the present FY 1956.

At the present time, the pere in possession of these lunds. Upon proval of this project, the money will be advanced to Dr. Also, an annual accounting will be obtained from the University by

- 5. The \$74,000.00 of reobligated funds do not quite meet the proposed budget of \$76,056.00. If the additional \$2,056 is required at the end of the year, further funds . will be requested at that time.
- 6. Title to any permanent equipment purchased by funds granted the University shall be retained by them in lieu of higher overhead rates.
- 7. In connection with travel under this project, it is agreed that the Agency will accept the travel documentation and accounting which are standard for the University.
  - has an Agency TOP SECRET Covert clearance.

TSS/Chemical Division

APPROVED:

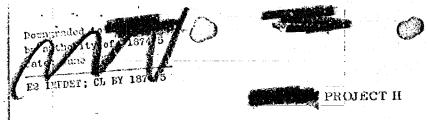
Acting Chief, TSS/Chemical Division.

APPROVED FOR OBLIGATION OF FUNDS:

Attachment: Proposal & Budget

Distribution: Original Only - TSS/CD

TSS/CD/



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1. Practical Agency objectives of this study from which the scientific objectives of the Project issue:

To develop materials and techniques for influencing human behavior as one means of achieving clandestine intelligence objectives. Specifically, to conduct research and development work that will enable Agency personnel to:

- (a) Better understand the factors which cause individuals to defect, commit treason or change loyalties.
- (b) Develop skills by which potential defectors
  can be detected.
- (c) Develop methods for increasing the chances of defection of various target individuals.
- 2. Scientific objectives of the Project II.

To systematically study defection in order to:

 (a) Define the psychological characteristics of individuals who have defected, with particular emphasis on the interaction of the psychological

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and situational factors acting at the time of their defection.

- (b) Define the circumstances under which men have defected from military, political, religious, social and cultural groups and evaluate the factors appearing to influence such defections.
- (c) Study individuals who have maintained loyalty in the face of persistent, sustained attempts to change, affect and alter this loyalty and evaluate the factors appearing to contribute to this resistance.

#### Definitions:

"Defection" is defined as the conscious breach of allegiance or duty to a value system, cause, person, ideology, philosophy or organization, replaced by a willingness to actively support systems, etc., which are antagonistic to the position previously held.

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To "systematically study" refers to (a) the assembling and evaluating as much as possible of the pertinent information on the subject of defection viewed as an historical, sociological and psychological phenomenon; (b) examining a sample of known defectors and known resistors according to predetermined methods (medical, psychiatric, sociological and psychological); (c) systematically and objectively recording the results of such examinations; and (d) collating and evaluating the data obtained in order to determine significant differences, isolate important characteristics and test comparative methods for determining these differences and characteristics.

3. Status: A working group, primarily composed of professionals formerly engaged in the "Brainwashing" and "Chinese Cultural" studies has been formed. Much of the material already collected in these studies has applicability to the proposed study outlined above. This group has prepared a plan of action and they are ready to begin operation whenever requested. A major part of the study can be completed in one year.

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#### BUDGET:

Project	II	
Payroll		54,725
Retirement		2,736
Social Security		916
Consultant Fees	•	1,000
Statistical Ser	vices	- 800
Supplies		2,000
Furn. & Equipme	nt	1,245
Travel & Entert	ainment	4,000
Reference Librar	r <b>y</b>	100
Telephone		J <sup>100</sup>
Maintenance		1,200
Conference Expen	nses	500
Electricity		600
Miscellaneous		200
	Subtotal	\$70,422
Overhead at 8%		_ 5,634
	TOTAL.	\$76,056



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MKUI	TRA Subproject	: 65	
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28 June 1956

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MEMORANDUM FOR: COMPTROILER

ATTENTION

Finance Division

SUBJECT

: MKULTRA, Subproject 65 and 48

1. Under the authority granted in the memorandum dated 13 April 1953, from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 48 was previously approved. During Fiscal Year 1956, \$240,479.00 was approved and obligated for this project.

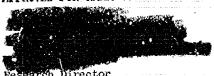
2. The scope of the project has been reduced so that only \$166,479.00 of the originally appropriated FY 56 funds will be used. The balance, amounting to \$74,000.00 has been transferred to MKULTRA, Subproject 65, under the authority cited in paragraph 1 above.



Acting Chief TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:





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REMEST:



#### CRHITFICATION

(3) It is hereby certified that the progres under subprojects 3), 61 and 65 have twen estimatorily completed and returned unused funio. However, subproject 60, which is still certinuing also redunded acceptance of the requested that the excents as shown below on Involve number 1 of subproject 12% by credited to the subprojects as shown below.

Project	ASSORT.	Mil	Allotect
£39	\$1,306.86	143	5-2502-10-001
\$6 <b>1</b>	1.977.52	<i>6</i> 3Š	9-2502-55-302
₹65	50.00	2004	6-2502-10-001
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